

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; in addition to amounts appropriated to the FDA Innovation Account, for carrying out the activities described in section 1002(b)(4) of the 21st Century Cures Act (Public Law 114-255); for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; and notwithstanding section 521 of Public Law 107-188; **[\$5,772,442,000]** \$5,897,299,000: *Provided*, That of the amount provided under this heading, **[\$1,074,714,000]** \$1,119,188,000 shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h, and shall be credited to this account and remain available until expended; **[\$220,142,000]** \$238,595,000 shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and remain available until expended; **[\$513,223,000]** \$526,039,000 shall be derived from human generic drug user fees authorized by 21 U.S.C. 379j-42, and shall be credited to this account and remain available until expended; **[\$41,923,000]** \$42,998,000 shall be derived from biosimilar biological product user fees authorized by 21 U.S.C. 379j-52, and shall be credited to this account and remain available until expended; **[\$30,611,000]** \$31,306,000 shall be derived from animal drug user fees authorized by 21 U.S.C. 379j-12, and shall be credited to this account and remain available until expended; **[\$20,151,000]** \$20,609,000 shall be derived from generic new animal drug user fees authorized by 21 U.S.C. 379j-21, and shall be credited to this account and remain available until expended; \$712,000,000 shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s, and shall be credited to this account and remain available until expended: *Provided further*, That in addition to and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees, medical device user fees, human generic drug user fees, biosimilar biological product user fees, animal drug user fees, and generic new animal drug user fees that exceed the respective fiscal year **[2020]** 2021 limitations are appropriated and shall be credited to this account and remain available until expended: *Provided further*, That fees derived from prescription drug, medical device, human generic drug, biosimilar biological product, animal drug, and generic new animal drug assessments for fiscal year **[2020]** 2021, including any such fees collected prior to fiscal year **[2020]** 2021 but credited for fiscal year **[2020]** 2021, shall be subject to the fiscal year **[2020]** 2021 limitations: *Provided further*, That the Secretary may accept payment during fiscal year **[2020]** 2021 of user fees specified under this heading and authorized for fiscal year **[2021]** 2022, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year **[2021]** 2022 for which the Secretary accepts payment in fiscal year **[2020]** 2021 shall not be included in amounts under this heading: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) \$1,088,881,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs, of which no less than \$15,000,000 shall be used for inspections of foreign seafood manufacturers and field examinations of imported seafood; (2) \$1,972,093,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs; (3) \$419,302,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$237,741,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$581,761,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs; (6) \$66,712,000 shall be for the National Center for Toxicological Research; (7) \$661,739,000 shall be for the Center for Tobacco Products and for related field activities in the Office of Regulatory Affairs; (8) \$186,399,000 shall be for Rent and Related activities, of which \$53,913,000 is for White Oak Consolidation, other than the amounts paid to the General Services Administration for rent; (9) \$239,717,000 shall be for payments to the General Services Administration for rent; and (10) \$318,097,000 shall be for other activities, including the Office of the Commissioner of Food and Drugs, the Office of Foods and Veterinary Medicine, the Office of Medical and Tobacco Products, the Office of Global and Regulatory Policy, the Office of Operations, the Office of the Chief Scientist, and central services for these offices: *Provided further*, That not to exceed \$25,000 of this amount shall be for official reception and repres-

entation expenses, not otherwise provided for, as determined by the Commissioner: *Provided further*, That any transfer of funds pursuant to section 770(n) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 379dd(n)) shall only be from amounts made available under this heading for other activities: *Provided further*, That of the amounts that are made available under this heading for "other activities", and that are not derived from user fees, \$1,500,000 shall be transferred to and merged with the appropriation for "Department of Health and Human Services-Office of Inspector General" for oversight of the programs and operations of the Food and Drug Administration and shall be in addition to funds otherwise made available for oversight of the Food and Drug Administration: *Provided further*, That funds may be transferred from one specified activity to another with the prior **[approval of]** *notice* to the Committees on Appropriations of both Houses of Congress.

In addition, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, priority review user fees authorized by 21 U.S.C. 360n and 360ff, food and feed recall fees, food reinspection fees, and voluntary qualified importer program fees authorized by 21 U.S.C. 379j-31, outsourcing facility fees authorized by 21 U.S.C. 379j-62, prescription drug wholesale distributor licensing and inspection fees authorized by 21 U.S.C. 353(e)(3), third-party logistics provider licensing and inspection fees authorized by 21 U.S.C. 360eee-3(c)(1), third-party auditor fees authorized by 21 U.S.C. 384d(e)(8), and medical countermeasure priority review voucher user fees authorized by 21 U.S.C. 360bbb-4a, and, contingent upon the enactment of the Over-the-Counter Monograph User Fee Act of **[2019]** 2020, fees relating to over-the-counter monograph drugs authorized by part 10 of subchapter C of Chapter VII of the Federal Food, Drug and Cosmetic Act shall be credited to this account, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, demolition, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$11,788,000]** \$13,788,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	2
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, FDA	1	3	3
2000 Total: Balances and receipts	2	4	5
Appropriations:			
Current law:			
2101 Salaries and Expenses	-1	-2	-2
5099 Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Foods	1,060	1,089	1,091
0002 Human Drugs	663	683	683
0003 Devices and Radiological Health	387	395	416
0004 National Center for Toxicological Research	67	67	66
0005 FDA Other Activities (FDA Headquarters)	186	185	187
0006 FDA Other Rent and Rent Related Activities (Including White Oak Consolidation)	115	126	154
0007 FDA GSA Rental Payments	170	171	167
0008 FDA Buildings and Facilities	12	32	14
0009 Cooperative Research and Development (CRADA)	1	2	2
0010 Animal Drugs and Feed	179	191	190
0011 Biologics	240	252	252
0015 CURES Activities	75	70	70
0016 Emerging Health Threats	5
0017 Opioids - IMF	65
0018 FDA HCFAC Wedge Fund	6
0799 Total direct obligations	3,156	3,268	3,292
0801 FDA Reimbursable program (User fees)	2,447	2,664	2,744
0802 FDA Reimbursable program (Federal sources)	32
0899 Total reimbursable obligations	2,479	2,664	2,744

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts	5,635	5,932	6,036
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	927	1,022	1,017
1001 Discretionary unobligated balance brought fwd, Oct 1	926	1,000	
1021 Recoveries of prior year unpaid obligations	57		
1050 Unobligated balance (total)	984	1,022	1,017
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,080	3,192	3,220
1120 Appropriations transferred to other accts [075-0128]	-2	-2	
1121 Appropriations transferred from other acct [075-5629]	75	70	
1160 Appropriation, discretionary (total)	3,078	3,265	3,290
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	2	2
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,557	2,660	2,743
1700 Collected	10	22	
1701 Change in uncollected payments, Federal sources	22	-22	
1702 Offsetting collections (previously unavailable)	772		
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-774		
1750 Spending auth from offsetting collections, disc (total)	2,587	2,660	2,743
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1801 Change in uncollected payments, Federal sources	6		
1850 Spending auth from offsetting collections, mand (total)	7		
1900 Budget authority (total)	5,673	5,927	6,035
1930 Total budgetary resources available	6,657	6,949	7,052
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,022	1,017	1,016
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,978	2,867	2,993
3010 New obligations, unexpired accounts	5,635	5,932	6,036
3011 Obligations ("upward adjustments"), expired accounts	26		
3020 Outlays (gross)	-5,615	-5,806	-6,294
3040 Recoveries of prior year unpaid obligations, unexpired	-57		
3041 Recoveries of prior year unpaid obligations, expired	-100		
3050 Unpaid obligations, end of year	2,867	2,993	2,735
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-70	-66	-44
3070 Change in uncollected pymts, Fed sources, unexpired	-28	22	
3071 Change in uncollected pymts, Fed sources, expired	32		
3090 Uncollected pymts, Fed sources, end of year	-66	-44	-44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,908	2,801	2,949
3200 Obligated balance, end of year	2,801	2,949	2,691
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,665	5,925	6,033
Outlays, gross:			
4010 Outlays from new discretionary authority	3,323	4,735	4,832
4011 Outlays from discretionary balances	2,284	1,063	1,460
4020 Outlays, gross (total)	5,607	5,798	6,292
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-35	-22	
4033 Non-Federal sources:	-2,557	-2,660	-2,743
4040 Offsets against gross budget authority and outlays (total)	-2,592	-2,682	-2,743
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-22	22	
4052 Offsetting collections credited to expired accounts	25		
4060 Additional offsets against budget authority only (total)	3	22	
4070 Budget authority, net (discretionary)	3,076	3,265	3,290
4080 Outlays, net (discretionary)	3,015	3,116	3,549
Mandatory:			
4090 Budget authority, gross	8	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	6	2	2

4101 Outlays from mandatory balances	2	6	
4110 Outlays, gross (total)	8	8	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources:		-6	
4123 Non-Federal sources:	-1		
4130 Offsets against gross budget authority and outlays (total) ...	-1	-6	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-6		
4142 Offsetting collections credited to expired accounts	6		
4150 Additional offsets against budget authority only (total)	-6	6	
4160 Budget authority, net (mandatory)	1	2	2
4170 Outlays, net (mandatory)	7	2	2
4180 Budget authority, net (total)	3,077	3,267	3,292
4190 Outlays, net (total)	3,022	3,118	3,551
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	804	806	806
5092 Unexpired unavailable balance, EOY: Offsetting collections	806	806	806

The Food and Drug Administration (FDA) promotes and protects public health by overseeing the safety, efficacy, quality, and security of human and veterinary drugs, biological products, medical devices, foods, cosmetics, and products that emit radiation. FDA also has responsibility for regulating the manufacturing, marketing, and distribution of tobacco products to protect public health and to reduce tobacco use by minors. FDA advances public health by helping to advance innovations that make medicines more effective, safe, and affordable and by helping the public get the accurate, science-based information they need to use medicines and foods to maintain and improve their health. FDA supports the Nation's counterterrorism capability by ensuring the security of the food supply and by fostering the development of medical products and countermeasures to respond to deliberate and naturally emerging public health threats. The FY 2021 Budget includes \$6.2 billion in total resources for FDA. The Budget invests in priority activities such as artificial intelligence, regulation of cannabis and cannabis derivatives, modernizing influenza vaccines, food safety track and trace, and infrastructure.

Object Classification (in millions of dollars)

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	880	971	977
11.3 Other than full-time permanent	88	99	100
11.5 Other personnel compensation	39	45	45
11.7 Military personnel	59	61	62
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	1,067	1,177	1,185
12.1 Civilian personnel benefits	332	367	369
12.2 Military personnel benefits	32	33	34
21.0 Travel and transportation of persons	50	47	48
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	173	171	167
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	18	17	17
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	44	42	43
25.2 Other services from non-Federal sources	412	412	416
25.3 Other goods and services from Federal sources	506	484	489
25.4 Operation and maintenance of facilities	139	133	135
25.5 Research and development contracts	20	19	20
25.7 Operation and maintenance of equipment	35	33	34
26.0 Supplies and materials	39	38	38
31.0 Equipment	63	60	61
32.0 Land and structures	3	3	3
41.0 Grants, subsidies, and contributions	215	223	225
42.0 Insurance claims and indemnities	2	2	2
99.0 Direct obligations	3,156	3,267	3,292
99.0 Reimbursable obligations	2,479	2,665	2,744
99.9 Total new obligations, unexpired accounts	5,635	5,932	6,036

Employment Summary

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8,439	8,576	8,637
1101 Direct military average strength employment	658	658	658
2001 Reimbursable civilian full-time equivalent employment	6,269	6,811	6,974
2101 Reimbursable military average strength employment	488	488	488
3001 Allocation account civilian full-time equivalent employment	25	25	25
3101 Allocation account military average strength employment	1	1	1

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of authorizing legislation, the Secretary shall charge a fee for innovative food products activities and over-the-counter monograph drug activities: Provided, That fees of \$28,000,000 for innovative food products shall be credited to this account and remain available until expended; \$28,400,000 for over-the-counter monograph drug activities shall be credited to this account and remain available until expended: Provided further, That, in addition to and notwithstanding any other provision under this heading, amounts collected for innovative food products and over-the-counter monograph drug user fees that exceed the respective fiscal year 2021 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from innovative food products and over-the-counter monograph drug reviews for fiscal year 2021 received during fiscal year 2021, including any such fees assessed prior to fiscal year 2021 but credited for fiscal year 2021, shall be subject to the fiscal year 2021 limitations: Provided further, That the Secretary may accept payment during fiscal year 2021 of user fees specified in this paragraph and authorized for fiscal year 2022, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year 2022 for which the Secretary accepts payment in fiscal year 2021 shall not be included in amounts in this paragraph.

In addition, contingent upon the enactment of authorizing legislation establishing fees under 21 U.S.C. 387s with respect to products deemed under 21 U.S.C. 387a(b) but not specified in 21 U.S.C. 387s(b)(2)(B), the Secretary shall assess and collect such fees: Provided, That \$100,000,000 shall be derived from such fees, which shall be credited to this account and remain available until expended, in addition to amounts otherwise derived from fees authorized under 21 U.S.C. 387s.

Program and Financing (in millions of dollars)

Identification code 075-9911-2-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FDA Reimbursable program (Tobacco, OTC and Food innovation)			156
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			156
1900 Budget authority (total)			156
1930 Total budgetary resources available			156
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			156
3020 Outlays (gross)			-156
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			156
Outlays, gross:			
4010 Outlays from new discretionary authority			156
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources:			-156
4040 Offsets against gross budget authority and outlays (total)			-156
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The FY 2021 Budget proposes reforms to the Over-the-Counter Monograph program and includes a total of \$28 million in new over-the-counter drug fees. The Budget proposes a new user fee program to support innovative food activities totaling \$28 million. The Budget also includes a legislative proposal to increase the user fee collected in support of the tobacco

program by \$100 million and adds electronic nicotine delivery system manufacturers and importers as entities subject to the user fees.

Object Classification (in millions of dollars)

Identification code 075-9911-2-1-554	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent			5
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			7
12.1 Civilian personnel benefits			2
21.0 Travel and transportation of persons			3
25.1 Advisory and assistance services			12
25.2 Other services from non-Federal sources			91
25.3 Other goods and services from Federal sources			41
99.0 Reimbursable obligations			156
99.9 Total new obligations, unexpired accounts			156

Employment Summary

Identification code 075-9911-2-1-554	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment			52

FDA WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 075-4613-0-4-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	324	324	324
0801 Reimbursable program activity	257	295	295
0900 Total new obligations, unexpired accounts	581	619	619
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	33	33
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	609	619	619
1900 Budget authority (total)	609	619	619
1930 Total budgetary resources available	614	652	652
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	33	33
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		205	
3010 New obligations, unexpired accounts	581	619	619
3020 Outlays (gross)	-376	-824	-619
3050 Unpaid obligations, end of year	205		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		205	
3200 Obligated balance, end of year	205		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	609	619	619
Outlays, gross:			
4010 Outlays from new discretionary authority	376	619	619
4011 Outlays from discretionary balances		205	
4020 Outlays, gross (total)	376	824	619
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-609	-619	-619
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-233	205	

FDA WORKING CAPITAL FUND—Continued
Object Classification (in millions of dollars)

Identification code 075-4613-0-4-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	73	73	73
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	77	77	77
12.1 Civilian personnel benefits	26	26	26
12.2 Military personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	6	6	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	15	15	15
25.2 Other services from non-Federal sources	77	77	77
25.3 Other goods and services from Federal sources	54	54	54
25.4 Operation and maintenance of facilities	3	3	3
25.7 Operation and maintenance of equipment	56	56	56
26.0 Supplies and materials	4	4	4
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	324	324	324
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	58	58	58
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	61	61	61
12.1 Civilian personnel benefits	20	20	20
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	12	12	12
25.2 Other services from non-Federal sources	63	63	63
25.3 Other goods and services from Federal sources	43	81	81
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	44	44	44
26.0 Supplies and materials	3	3	3
41.0 Grants, subsidies, and contributions	1	1	1
43.0 Interest and dividends	1	1	1
99.0 Reimbursable obligations	257	295	295
99.9 Total new obligations, unexpired accounts	581	619	619

Employment Summary

Identification code 075-4613-0-4-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	697	697	697
1101 Direct military average strength employment	8	8	8
2001 Reimbursable civilian full-time equivalent employment	480	480	480
2101 Reimbursable military average strength employment	6	6	6

PAYMENT TO THE FDA INNOVATION ACCOUNT, CURES ACT

Program and Financing (in millions of dollars)

Identification code 075-0148-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	70	75	70
0900 Total new obligations, unexpired accounts (object class 94.0)	70	75	70
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	70	75	70
1930 Total budgetary resources available	70	75	70
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	70	75	70
3020 Outlays (gross)	-70	-75	-70

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	70	75	70
Outlays, gross:			
4100 Outlays from new mandatory authority	70	75	70
4180 Budget authority, net (total)	70	75	70
4190 Outlays, net (total)	70	75	70

This account, and a related special receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

FDA INNOVATION ACCOUNT, CURES ACT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the purposes described under section 1002(b)(4) of the 21st Century Cures Act, in addition to amounts available for such purposes under the heading "Salaries and Expenses", **[\$75,000,000] \$70,000,000**, to remain available until expended: *Provided*, That amounts appropriated in this paragraph are appropriated pursuant to section 1002(b)(3) of the 21st Century Cures Act, are to be derived from amounts transferred under section 1002(b)(2)(A) of such Act, and may be transferred by the Commissioner of Food and Drugs to the appropriation for "Department of Health and Human Services Food and Drug Administration Salaries and Expenses" solely for the purposes provided in such Act: *Provided further*, That upon a determination by the Commissioner that funds transferred pursuant to the previous proviso are not necessary for the purposes provided, such amounts may be transferred back to the account: *Provided further*, That such transfer authority is in addition to any other transfer authority provided by law. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 General Fund Payment, FDA Innovation, CURES Act	70	75	70
2000 Total: Balances and receipts	70	75	70
Appropriations:			
Current law:			
2101 FDA Innovation, Cures Act	-70	-75	-70
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 New Obligations for CURES Activities	58		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	38	38
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	70	75	70
1120 Appropriations transferred to other acct [075-9911]		-75	-70
1160 Appropriation, discretionary (total)	70		
1930 Total budgetary resources available	96	38	38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	38	38	38
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	42	2
3010 New obligations, unexpired accounts	58		
3020 Outlays (gross)	-43	-40	
3050 Unpaid obligations, end of year	42	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	42	2
3200 Obligated balance, end of year	42	2	2

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	70	
Outlays, gross:			
4010	Outlays from new discretionary authority	17	
4011	Outlays from discretionary balances	26	40
4020	Outlays, gross (total)	43	40
4180	Budget authority, net (total)	70	
4190	Outlays, net (total)	43	40

The 21st Century Cures Act was enacted into law on December 13, 2016. The Act includes authorities FDA can use to help modernize drug, biological product, and device product development and review and to create greater efficiencies and predictability in product development and review.

Object Classification (in millions of dollars)

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	13	
11.3	Other than full-time permanent	3	
11.5	Other personnel compensation	2	
11.9	Total personnel compensation	18	
12.1	Civilian personnel benefits	6	
25.2	Other services from non-Federal sources	15	
26.0	Supplies and materials	1	
41.0	Grants, subsidies, and contributions	18	
99.9	Total new obligations, unexpired accounts	58	

Employment Summary

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	136	

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

Identification code 075-4309-0-3-554	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Revolving Fund for Certification and Other Services (Reimbursable)	9	10	11
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	9	10	10
1802	Offsetting collections (previously unavailable)	1	1	1
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1	
1850	Spending auth from offsetting collections, mand (total)	9	10	11
1900	Budget authority (total)	9	10	11
1930	Total budgetary resources available	12	13	14
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	5	2
3010	New obligations, unexpired accounts	9	10	11
3020	Outlays (gross)	-8	-13	-11
3050	Unpaid obligations, end of year	5	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	5	2
3200	Obligated balance, end of year	5	2	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	9	10	11
Outlays, gross:				
4100	Outlays from new mandatory authority	5	10	11

4101	Outlays from mandatory balances	3	3	
4110	Outlays, gross (total)	8	13	11
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-9	-10	-10
4180	Budget authority, net (total)			1
4190	Outlays, net (total)	-1	3	1

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1	

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics. These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 075-4309-0-3-554	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	3	3	3
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	2	2
25.3	Other goods and services from Federal sources	1	1	1
25.4	Operation and maintenance of facilities	1	1	1
26.0	Supplies and materials	1	1	2
99.9	Total new obligations, unexpired accounts	9	10	11

Employment Summary

Identification code 075-4309-0-3-554	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	33	37	37

HEALTH RESOURCES AND SERVICES ADMINISTRATION

Federal Funds

PRIMARY HEALTH CARE

For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, **[\$1,626,522,000]** *\$1,728,522,000: Provided*, That no more than \$1,000,000 shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act: *Provided further*, That no more than \$120,000,000 shall be available until expended for carrying out subsections (g) through (n) and (q) of section 224 of the PHS Act, and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law.

HEALTH WORKFORCE

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, sections 1128E and 1921 of the Social Security Act, and the Health Care Quality Improvement Act of 1986, **[\$1,194,506,000]**, of which \$138,916,000 shall remain available through September 30, 2021 to carry out sections 750, 755, 756, 760, 781, and 791 of the PHS Act **[\$370,425,000: Provided**, That sections 751(j)(2) and 762(k) of the PHS Act and the proportional funding amounts in paragraphs (1) through (4) of section 756(f) of the PHS Act shall not apply to funds made available under this heading **]: Provided further**, That for any program operating under section 751 of the PHS Act on or before January 1, 2009, the Secretary of Health and Human Services (referred to in this title as the "Secretary") may hereafter waive any of the requirements contained in sections 751(d)(2)(A) and 751(d)(2)(B) of such Act for the full project period of a grant under such section: *Provided further*, That no funds shall be available for section 340G-1 of the PHS Act **]: Provided further**, That fees collected for the disclosure of information under section 427(b) of the Health Care Quality Improvement Act of 1986 and sections 1128E(d)(2) and 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the programs authorized by such sections and shall remain available until expended for the National Practitioner Data Bank: *Provided further*, That funds transferred to this account to carry out section 846 and subpart 3 of part D of title

HEALTH RESOURCES AND SERVICES—Continued

III of the PHS Act may be used to make prior year adjustments to awards made under such section and subpart: *Provided further*, That \$120,000,000 shall remain available until expended for the purposes of providing primary health services, assigning National Health Service Corps ("NHSC") members to expand the delivery of substance use disorder treatment services, notwithstanding the assignment priorities and limitations under sections 333(a)(1)(D), 333(b), and 333A(a)(1)(B)(ii) of the PHS Act, and making payments under the NHSC Loan Repayment Program under section 338B of such Act: *Provided further*, That, within the amount made available in the previous proviso, \$15,000,000 shall remain available until expended for the purposes of making payments under the NHSC Loan Repayment Program under section 338B of the PHS Act to individuals participating in such program who provide primary health services in Indian Health Service facilities, Tribally-Operated 638 Health Programs, and Urban Indian Health Programs (as those terms are defined by the Secretary), notwithstanding the assignment priorities and limitations under section 333(b) of such Act: *Provided further*, That for purposes of the previous [two provisos] proviso, section 331(a)(3)(D) of the PHS Act shall be applied as if the term "primary health services" includes clinical substance use disorder treatment services, including those provided by masters level, licensed substance use disorder treatment counselors: *Provided further*, That of the funds made available under this heading, \$5,000,000 shall be available to make grants to establish or expand optional community-based nurse practitioner fellowship programs that are accredited or in the accreditation process, with a preference for those in Federally Qualified Health Centers, for practicing postgraduate nurse practitioners in primary care or behavioral health].

[Of the funds made available under this heading, \$50,000,000 shall remain available until expended for grants to public institutions of higher education to expand or support graduate education for physicians provided by such institutions: *Provided*, That, in awarding such grants, the Secretary shall give priority to public institutions of higher education located in States with a projected primary care provider shortage in 2025, as determined by the Secretary: *Provided further*, That grants so awarded are limited to such public institutions of higher education in States in the top quintile of States with a projected primary care provider shortage in 2025, as determined by the Secretary: *Provided further*, That the minimum amount of a grant so awarded to such an institution shall be not less than \$1,000,000 per year: *Provided further*, That such a grant may be awarded for a period not to exceed 5 years: *Provided further*, That such a grant awarded with respect to a year to such an institution shall be subject to a matching requirement of non-Federal funds in an amount that is not less than 10 percent of the total amount of Federal funds provided in the grant to such institution with respect to such year.]

MATERNAL AND CHILD HEALTH

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health and title V of the Social Security Act, [\$943,784,000] \$919,018,000: *Provided*, That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than [\$119,116,000] \$132,593,000 shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and \$10,276,000 shall be available for projects described in subparagraphs (A) through (F) of section 501(a)(3) of such Act.

RYAN WHITE HIV/AIDS PROGRAM

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, [\$2,388,781,000] \$2,483,781,000, of which \$1,970,881,000 shall remain available to the Secretary through September 30, [2022] 2023, for parts A and B of title XXVI of the PHS Act, and of which not less than \$900,313,000 shall be for State AIDS Drug Assistance Programs under the authority of section 2616 or 311(c) of such Act; and of which [\$70,000,000] \$165,000,000, to remain available until expended, shall be available to the Secretary for carrying out a program of grants and contracts under title XXVI or section 311(c) of such Act focused on ending the nationwide HIV/AIDS epidemic, with any grants issued under such section 311(c) administered in conjunction with title XXVI of the PHS Act, including the limitation on administrative expenses.

HEALTH CARE SYSTEMS

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, [\$123,593,000, of which \$122,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center] \$102,033,000: *Provided*, That in addition to amounts provided herein, \$13,385,000 shall be from funds available under section 241 of the PHS Act to supplement funding for organ transplantation activities: *Provided further*, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: *Provided*

further, That fees pursuant to the 340B Drug Pricing Program shall be collected by the Secretary based on sales data that shall be submitted by drug manufacturers and shall be credited to this account to remain available until expended.

RURAL HEALTH

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act of 1969, and sections 711 and 1820 of the Social Security Act, [\$318,294,000, of which \$53,609,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: *Provided*, That of the funds made available under this heading for Medicare rural hospital flexibility grants, \$19,942,000 shall be available for the Small Rural Hospital Improvement Grant Program for quality improvement and adoption of health information technology and up to \$1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: *Provided further*, That notwithstanding section 338J(k) of the PHS Act, \$12,500,000 shall be available for State Offices of Rural Health: *Provided further*, That \$10,000,000 shall remain available through September 30, 2022, to support the Rural Residency Development Program: *Provided further*, That \$110,000,000 shall be for the Rural Communities Opioids Response Program] \$246,834,000.

FAMILY PLANNING

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, \$286,479,000: *Provided*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office.

PROGRAM MANAGEMENT

For program support in the Health Resources and Services Administration, [\$155,300,000] \$151,993,000: *Provided*, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health". (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Primary Health Care (Health Centers, Free Clinics)	1,644	1,707	1,729
0010 Primary Health Care (Mandatory)	4,047	2,576
0015 Health Workforce	1,091	1,324	370
0020 Health Workforce (Mandatory)	439	281
0025 Maternal and Child Health	923	944	919
0030 Maternal and Child Health (Mandatory)	6	6	6
0035 Ryan White HIV/AIDS	2,332	2,390	2,484
0040 Health Care Systems	114	124	102
0045 Rural Health	352	361	247
0050 Family Planning	285	286	286
0055 HRSA Program Management	155	155	152
0091 Direct program activities, subtotal	11,388	10,154	6,295
0300 Total direct programs	11,388	10,154	6,295
Credit program obligations:			
0702 Loan guarantee subsidy	1	2
0799 Total direct obligations	11,388	10,155	6,297
0801 Health Resources and Services (Reimbursable)	68	51	75
0802 HRSA Reimbursable program: PHS evaluation	13
0899 Total reimbursable obligations	68	51	88
0900 Total new obligations, unexpired accounts	11,456	10,206	6,385
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	454	460	201
1001 Discretionary unobligated balance brought fwd, Oct 1	336	345
1010 Unobligated balance transfer to other accts [075-0116]	-8
1010 Unobligated balance transfer to other accts [015-5606]	-5	-5	-5
1021 Recoveries of prior year unpaid obligations	72
1050 Unobligated balance (total)	513	455	196

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	6,844	7,037	6,289
1120	Appropriations transferred to other acct [075-1503]	-21		
1121	Appropriations transferred from other acct [075-0140]	80		
1160	Appropriation, discretionary (total)	6,903	7,037	6,289
Appropriations, mandatory:				
1200	Appropriation	4,443	2,863	6
Spending authority from offsetting collections, discretionary:				
1700	Collected	22	31	68
1701	Change in uncollected payments, Federal sources	10		
1750	Spending auth from offsetting collections, disc (total)	32	31	68
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (cash)(HPSL&NSL)	27	20	20
1802	Offsetting collections (previously unavailable)	2	2	1
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-2	-1	
1850	Spending auth from offsetting collections, mand (total)	27	21	21
1900	Budget authority (total)	11,405	9,952	6,384
1930	Total budgetary resources available	11,918	10,407	6,580
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	460	201	195
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	7,969	8,278	8,025
3010	New obligations, unexpired accounts	11,456	10,206	6,385
3011	Obligations ("upward adjustments"), expired accounts	34		
3020	Outlays (gross)	-11,002	-10,459	-8,565
3040	Recoveries of prior year unpaid obligations, unexpired	-72		
3041	Recoveries of prior year unpaid obligations, expired	-107		
3050	Unpaid obligations, end of year	8,278	8,025	5,845
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-16	-16	-16
3070	Change in uncollected pymts, Fed sources, unexpired	-10		
3071	Change in uncollected pymts, Fed sources, expired	10		
3090	Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	7,953	8,262	8,009
3200	Obligated balance, end of year	8,262	8,009	5,829
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	6,935	7,068	6,357
Outlays, gross:				
4010	Outlays from new discretionary authority	2,247	2,297	2,040
4011	Outlays from discretionary balances	4,388	4,476	4,822
4020	Outlays, gross (total)	6,635	6,773	6,862
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-12	-12	-25
4033	Non-Federal sources	-23	-19	-43
4040	Offsets against gross budget authority and outlays (total) ...	-35	-31	-68
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-10		
4052	Offsetting collections credited to expired accounts	13		
4060	Additional offsets against budget authority only (total)	3		
4070	Budget authority, net (discretionary)	6,903	7,037	6,289
4080	Outlays, net (discretionary)	6,600	6,742	6,794
Mandatory:				
4090	Budget authority, gross	4,470	2,884	27
Outlays, gross:				
4100	Outlays from new mandatory authority	1,904	1,269	23
4101	Outlays from mandatory balances	2,463	2,417	1,680
4110	Outlays, gross (total)	4,367	3,686	1,703
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-27	-20	-20
4180	Budget authority, net (total)	11,346	9,901	6,296
4190	Outlays, net (total)	10,940	10,408	8,477
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	2	2	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	2	1	
5103	Unexpired unavailable balance, SOY: Fulfilled purpose	1	1	
5104	Unexpired unavailable balance, EOY: Fulfilled purpose	1		

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	11,346	9,901	6,296
Outlays	10,940	10,408	8,477
Legislative proposal, subject to PAYGO:			
Budget Authority		1,580	4,437
Outlays		689	2,771
Total:			
Budget Authority	11,346	11,481	10,733
Outlays	10,940	11,097	11,248

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Health centers: Facilities renovation loan guarantee levels		60	66
215999 Total loan guarantee levels		60	66
Guaranteed loan subsidy (in percent):			
232001 Health centers: Facilities renovation loan guarantee levels		2.57	2.78
232999 Weighted average subsidy rate		2.57	2.78
Guaranteed loan subsidy budget authority:			
233001 Health centers: Facilities renovation loan guarantee levels		2	2
233999 Total subsidy budget authority		2	2
Guaranteed loan reestimates:			
235001 Health centers: Facilities renovation loan guarantee levels	-1		
235999 Total guaranteed loan reestimates	-1		

Resources displayed here support grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers, treatment and care for those living with HIV/AIDS, health workforce training, maternal and child health care services, promotion of organ and bone marrow donation, rural health activities, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans, the program's revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the program's revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2018-2019 data reported in the Annual Operating Report.

Health Professions Revolving Loan Programs

Program	Federal Capital Contribution		Account Balance
	2019 actual	2020 est.	
HPSL			436,419,789
NSL			201,922,803
PCL			216,454,324
LDS			187,255,691
Total			1,042,052,607

Object Classification (in millions of dollars)

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	205	199	161

HEALTH RESOURCES AND SERVICES—Continued
Object Classification—Continued

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
11.3 Other than full-time permanent	7	7	6
11.5 Other personnel compensation	5	5	4
11.7 Military personnel	20	18	14
11.9 Total personnel compensation	237	229	185
12.1 Civilian personnel benefits	67	64	52
12.2 Military personnel benefits	11	10	8
13.0 Benefits for former personnel	2	2	2
21.0 Travel and transportation of persons	4	4	3
23.1 Rental payments to GSA	20	20	16
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	4	2
25.1 Advisory and assistance services	12	12	9
25.2 Other services from non-Federal sources	266	268	210
25.3 Other goods and services from Federal sources	303	293	146
25.4 Operation and maintenance of facilities	1	1	1
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	5	5	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	8	6	5
32.0 Land and structures	4	4	4
41.0 Grants, subsidies, and contributions	10,304	9,122	5,538
42.0 Insurance claims and indemnities	135	107	108
99.0 Direct obligations	11,388	10,155	6,297
99.0 Reimbursable obligations	68	51	88
99.9 Total new obligations, unexpired accounts	11,456	10,206	6,385

Employment Summary

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,808	1,735	1,426
1101 Direct military average strength employment	191	178	144
2001 Reimbursable civilian full-time equivalent employment	52	52	68
2101 Reimbursable military average strength employment	1	1	1

HEALTH RESOURCES AND SERVICES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0350-4-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Primary Health Care (Mandatory)		1,425	4,000
0020 Health Workforce (Mandatory)		155	437
0091 Direct program activities, subtotal		1,580	4,437
0300 Total direct programs		1,580	4,437
0900 Total new obligations, unexpired accounts		1,580	4,437
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		1,580	4,437
1900 Budget authority (total)		1,580	4,437
1930 Total budgetary resources available		1,580	4,437
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			891
3010 New obligations, unexpired accounts		1,580	4,437
3020 Outlays (gross)		-689	-2,771
3050 Unpaid obligations, end of year		891	2,557
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			891
3200 Obligated balance, end of year		891	2,557
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		1,580	4,437
Outlays, gross:			
4100 Outlays from new mandatory authority		689	1,935
4101 Outlays from mandatory balances			836
4110 Outlays, gross (total)		689	2,771

4180 Budget authority, net (total)	1,580	4,437
4190 Outlays, net (total)	689	2,771

Resources displayed here support grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers and health workforce programs.

Object Classification (in millions of dollars)

Identification code 075-0350-4-1-550	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		17	48
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.7 Military personnel		2	6
11.9 Total personnel compensation		19	56
12.1 Civilian personnel benefits		6	16
12.2 Military personnel benefits		1	3
23.1 Rental payments to GSA			4
23.3 Communications, utilities, and miscellaneous charges		2	2
25.2 Other services from non-Federal sources		37	42
25.3 Other goods and services from Federal sources		112	122
25.7 Operation and maintenance of equipment			1
31.0 Equipment			3
41.0 Grants, subsidies, and contributions		1,403	4,188
99.9 Total new obligations, unexpired accounts		1,580	4,437

Employment Summary

Identification code 075-0350-4-1-550	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		130	365
1101 Direct military average strength employment		13	36

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

Identification code 075-0320-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	35	35
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	35	35	35
1930 Total budgetary resources available	35	35	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	35	35	35
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-2		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	2		
4170 Outlays, net (mandatory)	-2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2		

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203 and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988, are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1,

1988, are reflected in the Vaccine Injury Compensation Program trust fund account.

COVERED COUNTERMEASURE PROCESS FUND

Program and Financing (in millions of dollars)

Identification code 075-0343-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Claims	1	1	1
0103 Admin Expense	2	2	2
0900 Total new obligations, unexpired accounts	2	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1011 Unobligated balance transfer from other acct (075-0140)	2	3	3
1050 Unobligated balance (total)	3	4	4
1930 Total budgetary resources available	3	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	2	3	3
3020 Outlays (gross)	-2	-3	-3
3050 Unpaid obligations, end of year	1	1	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2	3	3
4180 Budget authority, net (total)	2	3	3
4190 Outlays, net (total)	2	3	3

The Covered Countermeasure Process Fund is established pursuant to the Public Health Service (PHS) Act, as amended by Division C of Public Law 109-148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the PHS Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personnel Protection Act of 2003 (P.L. 108-20).

Object Classification (in millions of dollars)

Identification code 075-0343-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	1	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	2	3	3

Employment Summary

Identification code 075-0343-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3
1101 Direct military average strength employment	2	2	2

MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAMS

Program and Financing (in millions of dollars)

Identification code 075-0321-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Maternal, Infant, and Early Childhood Home Visiting Programs	402	376	400
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	26	26
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	28	26	26
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	400	400	400
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		-24	
1260 Appropriations, mandatory (total)	400	376	400
1930 Total budgetary resources available	428	402	426
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	26	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	748	758	748
3010 New obligations, unexpired accounts	402	376	400
3020 Outlays (gross)	-384	-386	-392
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	758	748	756
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	748	758	748
3200 Obligated balance, end of year	758	748	756
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	400	376	400
Outlays, gross:			
4100 Outlays from new mandatory authority	11	15	16
4101 Outlays from mandatory balances	373	371	376
4110 Outlays, gross (total)	384	386	392
4180 Budget authority, net (total)	400	376	400
4190 Outlays, net (total)	384	386	392

The Maternal, Infant and Early Childhood Home Visiting Program provides comprehensive services for at risk communities. These activities are administered by HRSA.

Object Classification (in millions of dollars)

Identification code 075-0321-0-1-551	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	5	5	5
11.9 Total personnel compensation	5	5	5
12.1 Civilian personnel benefits	2	2	2
25.1 Advisory and assistance services	14	13	13
41.0 Grants, subsidies, and contributions	381	356	380
99.9 Total new obligations, unexpired accounts	402	376	400

Employment Summary

Identification code 075-0321-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	36	36	36
1101 Direct military average strength employment	2	2	2

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4442-0-3-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3020 Outlays (gross)	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
Financing authority and disbursements, net:			
Mandatory:			
Financing disbursements:			
4110 Outlays, gross (total)	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1		

Status of Guaranteed Loans (in millions of dollars)

Identification code 075-4442-0-3-551	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2121 Limitation available from carry-forward	888	888	828
2143 Uncommitted limitation carried forward	-888	-828	-762
2150 Total guaranteed loan commitments		60	66
2199 Guaranteed amount of guaranteed loan commitments		48	53
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	60	34	83
2231 Disbursements of new guaranteed loans		60	66
2251 Repayments and prepayments	-26	-10	-10
Adjustments:			
2263 Terminations for default that result in claim payments		-1	-1
2264 Other adjustments, net			
2290 Outstanding, end of year	34	83	138
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	25	64	108

Public Law 104-299, Public Law 104-208, and Public Law 115-141 authorize Health Resources and Services Administration (HRSA) to guarantee up to \$1 billion in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation, and modernization of medical facilities. The program account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the program and financing schedule.

Balance Sheet (in millions of dollars)

Identification code 075-4442-0-3-551	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	1
1999 Total assets	1	1
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	1
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1	1

MEDICAL FACILITIES GUARANTEE AND LOAN FUND

Status of Direct Loans (in millions of dollars)

Identification code 075-9931-0-3-551	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	5	5	5
1290 Outstanding, end of year	5	5	5

Titles VI and XVI of the PHS Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in the event of default, \$30 million as a revolving fund for direct loans, and an amount for interest subsidy payments on guaranteed loans.

Balance Sheet (in millions of dollars)

Identification code 075-9931-0-3-551	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	5	5
1999 Total assets	5	5
LIABILITIES:		
2201 Non-Federal liabilities: Accounts payable	5	5
NET POSITION:		
3100 Unexpended appropriations		
3300 Cumulative results of operations		
3999 Total net position		
4999 Total liabilities and net position	5	5

Trust Funds

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund (the "Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed **[\$10,200,000]** \$16,200,000 shall be available from the Trust Fund to the Secretary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,684	3,818	3,921
0198 Adjustment to reconcile to budgetary accounting	4		
0199 Balance, start of year	3,688	3,818	3,921
Receipts:			
Current law:			
1110 Deposits, Vaccine Injury Compensation Trust Fund	280	303	309
1140 Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund	94	92	101
1199 Total current law receipts	374	395	410
1999 Total receipts	374	395	410
2000 Total: Balances and receipts	4,062	4,213	4,331
Appropriations:			
Current law:			
2101 Vaccine Injury Compensation Program Trust Fund	-28	-32	-45
2101 Vaccine Injury Compensation Program Trust Fund	-346	-260	-266
2135 Vaccine Injury Compensation Program Trust Fund	120		
2199 Total current law appropriations	-254	-292	-311
2999 Total appropriations	-254	-292	-311
4030 Vaccine Injury Compensation Program Trust Fund	9		
5098 Adjustment to reconcile to budgetary accounting	1		
5099 Balance, end of year	3,818	3,921	4,020

Program and Financing (in millions of dollars)

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Compensation: Claims for post - FY 1989 injuries	226	260	266
0103 Claims processing (Claims Court)	9	9	10
0104 Claims processing (HRSA)	9	10	16
0105 Claims processing (Dept. of Justice)	10	13	19
0191 Direct program activities, subtotal	28	32	45
0900 Total new obligations, unexpired accounts	254	292	311
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	9		
1035 Unobligated balance of appropriations withdrawn	-9		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	28	32	45
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	346	260	266
1235 Appropriations precluded from obligation (special or trust)	-120		
1260 Appropriations, mandatory (total)	226	260	266
1900 Budget authority (total)	254	292	311
1930 Total budgetary resources available	254	292	311
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	13	
3010 New obligations, unexpired accounts	254	292	311
3020 Outlays (gross)	-260	-305	-311
3050 Unpaid obligations, end of year	13		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	13	
3200 Obligated balance, end of year	13		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	28	32	45
Outlays, gross:			
4010 Outlays from new discretionary authority	21	32	45
4011 Outlays from discretionary balances	13		
4020 Outlays, gross (total)	34	32	45
Mandatory:			
4090 Budget authority, gross	226	260	266
Outlays, gross:			
4100 Outlays from new mandatory authority	226	260	266
4101 Outlays from mandatory balances		13	
4110 Outlays, gross (total)	226	273	266
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-9		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	9		
4160 Budget authority, net (mandatory)	226	260	266
4170 Outlays, net (mandatory)	217	273	266
4180 Budget authority, net (total)	254	292	311
4190 Outlays, net (total)	251	305	311
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	3,750	3,849	3,984
5001 Total investments, EOY: Federal securities: Par value	3,849	3,984	4,128

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
12.1 Civilian personnel benefits	1	1	1
25.3 Other goods and services from Federal sources	5	5	5

42.0 Insurance claims and indemnities	246	284	302
99.9 Total new obligations, unexpired accounts	254	292	311

Employment Summary

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	16	23
1101 Direct military average strength employment	5	5	5

INDIAN HEALTH SERVICE

Federal Funds

INDIAN HEALTH SERVICE

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination and Education Assistance Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, **[\$4,315,205,000] \$4,507,113,000** to remain available until September 30, **[2021] 2022**, except as otherwise provided herein, together with payments received during the fiscal year pursuant to sections 231(b) and 233 of the Public Health Service Act (42 U.S.C. 238(b) and 238b), for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That \$2,000,000 shall be available for grants or contracts with public or private institutions to provide alcohol or drug treatment services to Indians, including alcohol detoxification services: *Provided further*, That **[\$964,819,000] \$964,783,000** for Purchased/Referred Care, including \$53,000,000 for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: *Provided further*, That of the funds provided, up to **[\$40,000,000] \$44,000,000** shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That of the funds provided, **[\$125,000,000]** shall remain available until expended to supplement funds available for operational costs at tribal clinics operated under an Indian Self-Determination and Education Assistance Act compact or contract where health care is delivered in space acquired through a full service lease, which is not eligible for maintenance and improvement and equipment funds from the Indian Health Service, and **[\$58,000,000]** shall be for costs related to or resulting from accreditation emergencies, including supplementing activities funded under the heading "Indian Health Facilities," of which up to \$4,000,000 may be used to supplement amounts otherwise available for Purchased/Referred Care: *Provided further*, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited in the Fund authorized by section 108A of that Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of that Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of that Act (25 U.S.C. 1613a and 1616a): *Provided further*, That the amounts made available within this account for the Substance Abuse and Suicide Prevention Program, for Opioid Prevention, Treatment and Recovery Services, for the Domestic Violence Prevention Program, for the Zero Suicide Initiative, for **[the] recruitment and retention, including a housing subsidy authority for civilian employees, for Aftercare Pilot Programs at Youth Regional Treatment Centers, for transformation and modernization costs of the Indian Health Service Electronic Health Record system, for national quality and oversight activities, to improve collections from public and private insurance at Indian Health Service and tribally operated facilities, for an initiative to treat or reduce the transmission of HIV and HCV, for the Tele-behavioral Health Center of Excellence, for a maternal health initiative, for Community Health, and for accreditation emergencies** shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: *Provided further*, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for

INDIAN HEALTH SERVICES—Continued

those related to the planning, design, or construction of new facilities: *Provided further*, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That the Bureau of Indian Affairs may collect from the Indian Health Service, and from tribes and tribal organizations operating health facilities pursuant to Public Law 93–638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.): *Provided further*, That of the funds provided, \$72,280,000 is for the Indian Health Care Improvement Fund and may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account: *Provided further*, That none of the funds appropriated by this Act to the Indian Health Service for the Electronic Health Record system shall be available for obligation or expenditure for the selection or implementation of a new Information Technology infrastructure system, unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 90 days in advance of such obligation. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075–0390–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Clinical services	3,753	3,935	4,178
0002 Preventive health	165	178	142
0003 Urban health	49	58	49
0004 Indian health professions	60	65	52
0005 Tribal management	2	2
0006 Direct operations	70	72	81
0007 Self-governance	4	6	5
0009 Diabetes funds	150	97
0799 Total direct obligations	4,253	4,413	4,507
0801 Indian Health Services (Reimbursable)	1,641	1,704	1,771
0900 Total new obligations, unexpired accounts	5,894	6,117	6,278
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,219	1,510	1,606
1001 Discretionary unobligated balance brought fwd, Oct 1	1,192	1,504
1021 Recoveries of prior year unpaid obligations	192
1050 Unobligated balance (total)	1,411	1,510	1,606
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,103	4,315	4,507
Appropriations, mandatory:			
1200 Appropriation	150	97
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,738	1,801	1,868
1701 Change in uncollected payments, Federal sources	4
1750 Spending auth from offsetting collections, disc (total)	1,742	1,801	1,868
1900 Budget authority (total)	5,995	6,213	6,375
1930 Total budgetary resources available	7,406	7,723	7,981
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	1,510	1,606	1,703
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,139	1,199	767
3010 New obligations, unexpired accounts	5,894	6,117	6,278
3011 Obligations ("upward adjustments"), expired accounts	43
3020 Outlays (gross)	-5,670	-6,549	-6,341
3040 Recoveries of prior year unpaid obligations, unexpired	-192
3041 Recoveries of prior year unpaid obligations, expired	-15
3050 Unpaid obligations, end of year	1,199	767	704
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-106	-109	-109
3070 Change in uncollected pymts, Fed sources, unexpired	-4
3071 Change in uncollected pymts, Fed sources, expired	1
3090 Uncollected pymts, Fed sources, end of year	-109	-109	-109
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,033	1,090	658
3200 Obligated balance, end of year	1,090	658	595

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	5,845	6,116	6,375
Outlays, gross:			
4010 Outlays from new discretionary authority	4,504	5,339	5,564
4011 Outlays from discretionary balances	1,018	1,111	773
4020 Outlays, gross (total)	5,522	6,450	6,337
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-437	-436	-436
4033 Non-Federal sources	-1,302	-1,365	-1,432
4040 Offsets against gross budget authority and outlays (total)	-1,739	-1,801	-1,868
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4
4052 Offsetting collections credited to expired accounts	1
4060 Additional offsets against budget authority only (total)	-3
4070 Budget authority, net (discretionary)	4,103	4,315	4,507
4080 Outlays, net (discretionary)	3,783	4,649	4,469
Mandatory:			
4090 Budget authority, gross	150	97
Outlays, gross:			
4100 Outlays from new mandatory authority	52	93
4101 Outlays from mandatory balances	96	6	4
4110 Outlays, gross (total)	148	99	4
4180 Budget authority, net (total)	4,253	4,412	4,507
4190 Outlays, net (total)	3,931	4,748	4,473

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,253	4,412	4,507
Outlays	3,931	4,748	4,473
Legislative proposal, subject to PAYGO:			
Budget Authority	53	150
Outlays	51	146
Total:			
Budget Authority	4,253	4,465	4,657
Outlays	3,931	4,799	4,619

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. More than \$2.7 billion, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2021.

Object Classification (in millions of dollars)

Identification code 075–0390–0–1–551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	416	440	445
11.3 Other than full-time permanent	18	19	18
11.5 Other personnel compensation	66	68	68
11.7 Military personnel	56	58	57
11.9 Total personnel compensation	556	585	588
12.1 Civilian personnel benefits	166	175	174
12.2 Military personnel benefits	29	30	30
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	8	8	14
21.0 Patient travel	20	21	20
22.0 Transportation of things	6	6	6
23.1 Rental payments to GSA	14	14	14
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	10	10	10
25.1 Advisory and assistance services	5	5	5
25.2 Other services from non-Federal sources	291	301	384
25.3 Other goods and services from Federal sources	111	114	112
25.4 Operation and maintenance of facilities	2	2	2
25.6 Medical care	390	401	396
25.7 Operation and maintenance of equipment	9	9	9
25.8 Subsistence and support of persons	7	7	7
26.0 Supplies and materials	109	112	131
31.0 Equipment	8	8	45
41.0 Grants, subsidies, and contributions	2,495	2,588	2,543
42.0 Insurance claims and indemnities	15	15	15
99.0 Direct obligations	4,253	4,413	4,507
99.0 Reimbursable obligations	1,641	1,704	1,771

99.9	Total new obligations, unexpired accounts	5,894	6,117	6,278
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Employment Summary

Identification code 075-0390-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6,449	6,449	6,468
1101 Direct military average strength employment	1,530	1,530	1,531
2001 Reimbursable civilian full-time equivalent employment	6,102	6,102	6,102

INDIAN HEALTH SERVICES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0390-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 Diabetes funds		53	150
0799 Total direct obligations		53	150
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		53	150
1930 Total budgetary resources available		53	150
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			2
3010 New obligations, unexpired accounts		53	150
3020 Outlays (gross)		-51	-146
3050 Unpaid obligations, end of year		2	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			2
3200 Obligated balance, end of year		2	6
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		53	150
Outlays, gross:			
4100 Outlays from new mandatory authority		51	144
4101 Outlays from mandatory balances			2
4110 Outlays, gross (total)		51	146
4180 Budget authority, net (total)		53	150
4190 Outlays, net (total)		51	146

The Budget proposes to extend the Special Diabetes Program for Indians through fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 075-0390-4-1-551	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			2
11.9 Total personnel compensation			2
12.1 Civilian personnel benefits			1
25.6 Medical care			10
31.0 Equipment			1
41.0 Grants, subsidies, and contributions		53	136
99.0 Direct obligations		53	150
99.9 Total new obligations, unexpired accounts		53	150

Employment Summary

Identification code 075-0390-4-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			23

CONTRACT SUPPORT COSTS

For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Indian Health Service for fiscal year [2020] 2021, such sums as may be necessary:

Provided, That notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account: Provided further, That amounts obligated but not expended by a tribe or tribal organization for contract support costs for such agreements for the current fiscal year shall be applied to contract support costs due for such agreements for subsequent fiscal years. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0344-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contract Support Costs	799	855	855
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	799	855	855
1930 Total budgetary resources available	799	855	855
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	62	59	37
3010 New obligations, unexpired accounts	799	855	855
3011 Obligations ("upward adjustments"), expired accounts	38		
3020 Outlays (gross)	-830	-877	-892
3041 Recoveries of prior year unpaid obligations, expired	-10		
3050 Unpaid obligations, end of year	59	37	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	62	59	37
3200 Obligated balance, end of year	59	37	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	799	855	855
Outlays, gross:			
4010 Outlays from new discretionary authority	777	855	855
4011 Outlays from discretionary balances	53	22	37
4020 Outlays, gross (total)	830	877	892
4180 Budget authority, net (total)	799	855	855
4190 Outlays, net (total)	830	877	892

The Contract Support Costs account provides for the reasonable and allowable costs for direct program expenses for the operation of, and any additional administrative or other expense related to, the overhead incurred by tribes and tribal organizations who operate health programs through self-determination contracts and compacts. An estimated \$855 million in contract support costs funds will be provided to tribal governments and tribal organizations in 2021.

Object Classification (in millions of dollars)

Identification code 075-0344-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	799		
41.0 Grants, subsidies, and contributions		855	855
99.9 Total new obligations, unexpired accounts	799	855	855

PAYMENTS FOR TRIBAL LEASES

For payments to tribes and tribal organizations for leases pursuant to section 105(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5324(l)) for fiscal year 2021, such sums as may be necessary: Provided, That notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account.

Program and Financing (in millions of dollars)

Identification code 075-0200-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments for Tribal Leases			101

PAYMENTS FOR TRIBAL LEASES—Continued
Program and Financing—Continued

Identification code 075-0200-0-1-551	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)			101
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			101
1930 Total budgetary resources available			101
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			101
3020 Outlays (gross)			-101
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			101
Outlays, gross:			
4010 Outlays from new discretionary authority			101
4180 Budget authority, net (total)			101
4190 Outlays, net (total)			101

The Payments for Tribal Leases account provides for the reasonable and allowable costs for leases with a Tribe or tribal organization for a building owned or leased by the tribe or tribal organization that is used for administration or delivery of services under the Indian Self-Determination and Education Assistance Act. An estimated \$101 million in lease funds will be provided to tribal governments and tribal organizations in 2021.

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, *demolition*, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$911,889,000]** \$769,455,000 to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: *Provided further*, That not to exceed \$500,000 may be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development: *Provided further*, That not to exceed \$2,700,000 from this account and the "Indian Health Services" account may be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 may be placed in a Demolition Fund, to remain available until expended, and be used by the Indian Health Service for the demolition of Federal buildings. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Rent and Charges for Quarters, Indian Health Service	8	9	9
2000 Total: Balances and receipts	8	9	9
Appropriations:			
Current law:			
2101 Indian Health Facilities	-8	-9	-9

5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Maintenance	135	170	168
0002 Sanitation Facilities Construction	208	214	193
0003 Facilities and environmental health	244	261	259
0004 Equipment	24	28	24
0005 Health Care Facilities Construction	304	359	125
0100 Total direct program	915	1,032	769
0799 Total direct obligations	915	1,032	769
0801 Indian Health Facilities (Reimbursable)	8	9	9
0900 Total new obligations, unexpired accounts	923	1,041	778
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	621	630	569
1001 Discretionary unobligated balance brought fwd, Oct 1	617	614	
1021 Recoveries of prior year unpaid obligations	14		
1050 Unobligated balance (total)	635	630	569
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	879	912	769
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	8	9	9
Spending authority from offsetting collections, discretionary:			
1700 Collected	31	59	59
1900 Budget authority (total)	918	980	837
1930 Total budgetary resources available	1,553	1,610	1,406
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	630	569	628
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	678	862	990
3010 New obligations, unexpired accounts	923	1,041	778
3020 Outlays (gross)	-725	-913	-926
3040 Recoveries of prior year unpaid obligations, unexpired	-14		
3050 Unpaid obligations, end of year	862	990	842
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-18	-18	-18
3090 Uncollected pymts, Fed sources, end of year	-18	-18	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	660	844	972
3200 Obligated balance, end of year	844	972	824
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	910	971	828
Outlays, gross:			
4010 Outlays from new discretionary authority	349	333	290
4011 Outlays from discretionary balances	370	564	627
4020 Outlays, gross (total)	719	897	917
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-31	-59	-59
4040 Offsets against gross budget authority and outlays (total)	-31	-59	-59
Mandatory:			
4090 Budget authority, gross	8	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority	2	9	9
4101 Outlays from mandatory balances	4	7	
4110 Outlays, gross (total)	6	16	9
4180 Budget authority, net (total)	887	921	778
4190 Outlays, net (total)	694	854	867

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service. More than \$340 million, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2021.

Object Classification (in millions of dollars)

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	47	48
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	20	21	22
11.9 Total personnel compensation	68	71	73
12.1 Civilian personnel benefits	16	17	17
12.2 Military personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	301	349	330
25.3 Other goods and services from Federal sources	4	3	4
25.4 Operation and maintenance of facilities	3	3	3
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	2	2	2
32.0 Land and structures	110	128	60
41.0 Grants, subsidies, and contributions	383	432	253
42.0 Insurance claims and indemnities	8	8	8
99.0 Direct obligations	914	1,032	769
99.0 Reimbursable obligations	9	9	9
99.9 Total new obligations, unexpired accounts	923	1,041	778

Employment Summary

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	946	946	946
1101 Direct military average strength employment	151	151	151
2001 Reimbursable civilian full-time equivalent employment	40	40	40

ADMINISTRATIVE PROVISIONS-INDIAN HEALTH SERVICE

Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary of Health and Human Services; uniforms or allowances therefor as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121, the Indian Sanitation Facilities Act and Public Law 93-638: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or approved by the House and Senate Committees on Appropriations through the reprogramming process: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450 et seq.), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department

of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead costs associated with the provision of goods, services, or technical assistance: *Provided further*, That the Indian Health Service may provide to civilian medical personnel serving in hospitals operated by the Indian Health Service housing allowances equivalent to those that would be provided to members of the Commissioned Corps of the United States Public Health Service serving in similar positions at such hospitals: *Provided further*, That [the appropriation structure for the Indian Health Service may not be altered without advance notification to the House and Senate Committees on Appropriations] *none of the funds made available in this Act may be used to compensate an Indian tribe or tribal organization for any lease under section 105(l) of the Indian Self-Determination and Education Assistance Act relating to a facility exceeding 40,000 square feet unless funds for the lease are specifically appropriated in advance for such purpose.* (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

CENTERS FOR DISEASE CONTROL AND PREVENTION

Federal Funds

IMMUNIZATION AND RESPIRATORY DISEASES

For carrying out titles II, III, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, [\$433,105,000] \$527,160,000.

HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION

For carrying out titles II, III, XVII, and XXIII of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, [\$1,273,556,000] \$1,552,556,000.

EMERGING AND ZOO NOTIC INFECTIOUS DISEASES

For carrying out titles II, III, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, [\$570,372,000] \$413,464,000: *Provided*, That of the amounts made available under this heading, up to \$1,000,000 shall remain available until expended to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine law.

CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

For carrying out titles II, III, XI, XV, XVII, and XIX of the PHS Act with respect to chronic disease prevention and health promotion, [\$984,964,000] \$359,145,000: *Provided*, That such amounts and any amounts transferred to this appropriation shall be available for the Director of the Centers for Disease Control and Prevention (CDC) to administer a program, to be known as The America's Health State Block Grant, to provide increased flexibility for States, territories, tribes, and tribal organizations to improve public health: *Provided further*, That for the purposes of carrying out such program, the Director may award grants to States, territories, tribes, and tribal organizations through a formula, as determined by the Director, that takes into account the population and disease burden of the grantee: *Provided further*, That the Director may set aside not more than 15 percent of the amounts awarded for grants described in the previous proviso for such purposes, to make grant awards on a competitive basis, to cities, Federally-recognized tribes, and public health entities serving rural and frontier areas or other entities: *Provided further*, That funds made available under this heading may be available for making grants under section 1509 of the PHS Act for not less than 21 States, tribes, or tribal organizations: *Provided further*, That of the funds made available under this heading, \$15,000,000 shall be available to continue and expand community specific extension and outreach programs to combat obesity in counties with the highest levels of obesity: *Provided*

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued

further, That the proportional funding requirements under section 1503(a) of the PHS Act shall not apply to funds made available under this heading.

BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH

For carrying out titles II, III, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, **[\$160,810,000]** \$112,250,000.

PUBLIC HEALTH SCIENTIFIC SERVICES

For carrying out titles II, III, and XVII of the PHS Act with respect to health statistics, surveillance, health informatics, and workforce development, **[\$555,497,000]** \$58,000,000: *Provided, That, in addition to amounts provided under this heading, \$463,000,000 is available to this appropriation, for the purposes under this heading, from amounts provided pursuant to section 241 of the PHS Act.*

ENVIRONMENTAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to environmental health, **[\$196,850,000]** \$182,000,000.

INJURY PREVENTION AND CONTROL

For carrying out titles II, III, and XVII of the PHS Act with respect to injury prevention and control, **[\$677,379,000]** and for carrying out the Drug-Free Communities Support Program (the Program) authorized by chapter 2 of subtitle A of title I of the National Narcotics Leadership Act of 1988 (chapter 2), \$730,159,000: *Provided, That the Secretary shall have the same authorities as those delegated by chapter 2 to the Office of National Drug Control Policy (ONDCP), the Director of ONDCP, and the Administrator of the Program: Provided further, That the Secretary may make grants to, or enter into cooperative agreements with, states, territories and Indian tribes for such entities to make subgrants under the Program to eligible coalitions, as defined in section 1023 of chapter 2: Provided further, That for such purpose, the Secretary may delegate to such entities those of the Secretary's authorities under subchapter I of chapter 2 and the previous proviso that the Secretary considers necessary or appropriate for efficient and effective management of the Program: Provided further, That the limitation on administrative costs in section 1024(b) of chapter 2 shall not apply to amounts made available under this heading.*

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

For carrying out titles II, III, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, **[\$342,800,000]** \$111,362,000: *Provided, That, in addition to amounts provided herein, \$78,638,000 shall be available to this appropriation, for the purposes under this heading, from amounts provided pursuant to section 241 of the PHS Act.*

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,358,000, to remain available until expended: *Provided, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106-554.*

GLOBAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to global health, **[\$570,843,000]** \$532,222,000, of which: (1) **[\$128,421,000]** \$69,547,000 shall remain available through September 30, **[2021]** 2022, for international HIV/AIDS; and (2) **[\$173,400,000]** \$225,000,000 shall **[remain]** be available **[through September 30, 2022]** for global **[disease detection and emergency response]** public health protection: *Provided, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.*

PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, **[\$850,200,000]** \$802,000,000: *Provided, That the Director of the Centers for Disease Control and Prevention (referred to in this title as "CDC") or the Administrator of the Agency for Toxic Substances and Disease Registry may detail staff without reimbursement for up to 180 days to support an activation of the CDC Emergency Operations Center, so long as the Director or Administrator, as applicable, provides a notice to the Committees on Appropriations of the House of Representatives and the Senate within 15 days of the use of this authority and a full report within 30 days after use of this authority which includes the number of staff and funding level broken down by the originating center and number of days detailed:*

Provided further, That funds appropriated under this heading may be used to support a contract for the operation and maintenance of an aircraft in direct support of activities throughout CDC to ensure the agency is prepared to address public health preparedness emergencies].

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

(INCLUDING TRANSFER OF FUNDS)

For carrying out titles II, III, XVII and XIX, and section 2821 of the PHS Act and for cross-cutting activities and program support for activities funded in other appropriations included in this Act for the Centers for Disease Control and Prevention, **[\$198,570,000]** \$155,000,000, of which up to \$5,000,000 may be transferred to the reserve of the Working Capital Fund authorized under this heading in division F of Public Law 112-74: *Provided, That paragraphs (1) through (3) of subsection (b) of section 2821 of the PHS Act shall not apply to funds appropriated under this heading and in all other accounts of the CDC: Provided further, That of the amounts made available under this heading, \$50,000,000 shall be transferred to and merged with the Infectious Diseases Rapid Response Reserve Fund established by section 231 of division B of Public Law 115-245: Provided further, That any amounts made available by this Act to the Centers for Disease Control and Prevention may be used to support the purchase, hire, maintenance, and operation of an aircraft for use and support of the activities of CDC: Provided further, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: Provided further, That CDC may use up to \$10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: Provided further, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: Provided further, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program and the Respirator Certification Program shall be available through September 30, **[2021]** 2022. (Department of Health and Human Services Appropriations Act, 2020.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, Centers for Disease Control	1	2	2
2000 Total: Balances and receipts	1	2	3
Appropriations:			
Current law:			
2101 CDC-wide Activities and Program Support	-1	-1	-1
5099 Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Birth Defects, Developmental Disabilities, Disability and Health (0958)	155	161	112
0002 CDC-Wide Activities and Program Support (0943)	483	359	155
0004 Chronic Disease Prevention and Health Promotion (0948)	1,196	1,240	813
0005 Emerging and Zoonotic Infectious Diseases (0949)	619	636	550
0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA) (0954)	52	55	55
0007 Environmental Health (0947)	213	214	182
0008 Global Health (0955)	480	571	532
0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention (0950)	1,130	1,274	1,553
0013 Immunization and Respiratory Diseases (0951)	838	790	830
0015 Injury Prevention and Control (0952)	702	677	730
0016 Occupational Safety and Health (0953)	335	343	111
0019 Public Health Preparedness and Response (0956)	860	827	802
0020 Public Health Scientific Services (0959)	494	578	58
0021 Cooperative Research and Development Agreements (CRADA) (5146)	1	1	1
0799 Total direct obligations	7,558	7,726	6,484
0802 CDC-Wide Activities and Program Support (Reimbursable)	158	366	399
0809 Reimbursable program activities, subtotal	158	366	399

0900	Total new obligations, unexpired accounts	7,716	8,092	6,883
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	934	307	224
1001	Discretionary unobligated balance brought fwd, Oct 1	439	174	
1010	Unobligated balance transfer to other accts [075-0960]	-7		
1010	Unobligated balance transfer to other accts [075-0140]	-425		
1010	Unobligated balance transfer to other accts [075-0140]	-50		
1011	Unobligated balance transfer from other acct [075-0140]	10		
1012	Unobligated balance transfers between expired and unexpired accounts	36		
1021	Recoveries of prior year unpaid obligations	111		
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	610	307	224
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	7,058	6,815	5,535
1120	Appropriations transferred to other acct [075-1503]	-14		
1120	Appropriations transferred to other acct [075-0140]	-604		
1120	Appropriations transferred to other acct [075-4553]	-9		
1120	Appropriations transferred to other acct [075-0945]	-50	-85	-50
1121	Appropriations transferred from other acct [075-0140]	20		
1160	Appropriation, discretionary (total)	6,401	6,730	5,485
Appropriations, mandatory:				
1200	Appropriation (075-0954 - EEOICPA)	55	55	55
1201	Appropriation (075-5146 CRADA)	1	1	1
1221	Appropriations transferred from other acct PPHF [075-0116]	805	854	894
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-5		
1260	Appropriations, mandatory (total)	856	910	950
Spending authority from offsetting collections, discretionary:				
1700	Collected	137	366	399
1701	Change in uncollected payments, Federal sources	24		
1750	Spending auth from offsetting collections, disc (total)	161	366	399
Spending authority from offsetting collections, mandatory:				
1800	Collected	4	3	3
1900	Budget authority (total)	7,422	8,009	6,837
1930	Total budgetary resources available	8,032	8,316	7,061
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-9		
1941	Unexpired unobligated balance, end of year	307	224	178
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6,934	6,671	7,189
3010	New obligations, unexpired accounts	7,716	8,092	6,883
3011	Obligations ("upward adjustments"), expired accounts	38		
3020	Outlays (gross)	-7,395	-7,574	-7,879
3030	Unpaid obligations transferred to other accts [075-0140]	-242		
3040	Recoveries of prior year unpaid obligations, unexpired	-111		
3041	Recoveries of prior year unpaid obligations, expired	-269		
3050	Unpaid obligations, end of year	6,671	7,189	6,193
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-127	-108	-108
3070	Change in uncollected pymts, Fed sources, unexpired	-24		
3071	Change in uncollected pymts, Fed sources, expired	43		
3090	Uncollected pymts, Fed sources, end of year	-108	-108	-108
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6,807	6,563	7,081
3200	Obligated balance, end of year	6,563	7,081	6,085
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	6,562	7,096	5,884
Outlays, gross:				
4010	Outlays from new discretionary authority	2,454	3,050	2,558
4011	Outlays from discretionary balances	4,121	3,658	4,401
4020	Outlays, gross (total)	6,575	6,708	6,959
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-167	-366	-399
4033	Non-Federal sources	-12		
4040	Offsets against gross budget authority and outlays (total)	-179	-366	-399
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-24		
4052	Offsetting collections credited to expired accounts	41		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		

4060	Additional offsets against budget authority only (total)	18		
4070	Budget authority, net (discretionary)	6,401	6,730	5,485
4080	Outlays, net (discretionary)	6,396	6,342	6,560
Mandatory:				
4090	Budget authority, gross	860	913	953
Outlays, gross:				
4100	Outlays from new mandatory authority	146	218	226
4101	Outlays from mandatory balances	674	648	694
4110	Outlays, gross (total)	820	866	920
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-4	-3	-3
4180	Budget authority, net (total)	7,257	7,640	6,435
4190	Outlays, net (total)	7,212	7,205	7,477

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization and respiratory diseases, HIV/AIDS, Hepatitis, STDs, and Tuberculosis prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, public health and scientific services, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, public health preparedness and emergency response, and CDC-wide activities and program support. The FY 2021 Budget provides \$371 million for CDC to undertake the second year of the Ending the HIV Epidemic initiative. The FY 2021 Budget maintains the proposal to establish a new block grant, proposed at \$350 million, to increase flexibility for States to address their population's unique public health needs. The FY 2021 Budget provides \$175 million for global health security activities to protect Americans through partnerships and other activities that support public health capacity improvements in countries at risk for uncontrolled outbreaks of infectious diseases.

Object Classification (in millions of dollars)

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	766	744	761
11.3	Other than full-time permanent	112	99	101
11.5	Other personnel compensation	39	38	38
11.7	Military personnel	70	65	68
11.8	Special personal services payments	6	5	5
11.9	Total personnel compensation	993	951	973
12.1	Civilian personnel benefits	305	291	291
12.2	Military personnel benefits	55	53	54
13.0	Benefits for former personnel	2	2	2
21.0	Travel and transportation of persons	59	42	42
22.0	Transportation of things	9	7	7
23.1	Rental payments to GSA	6	4	4
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	9	5	5
24.0	Printing and reproduction	3	3	3
25.1	Advisory and assistance services	811	784	607
25.2	Other services from non-Federal sources	105	107	78
25.3	Other goods and services from Federal sources	890	899	662
25.4	Operation and maintenance of facilities	14	11	8
25.5	Research and development contracts	41	38	27
25.6	Medical care	31	33	24
25.7	Operation and maintenance of equipment	33	30	22
26.0	Supplies and materials	129	125	127
31.0	Equipment	70	63	63
32.0	Land and structures	1		
41.0	Grants, subsidies, and contributions	3,984	4,269	3,476
42.0	Insurance claims and indemnities	7	8	8
99.0	Direct obligations	7,558	7,726	6,484
99.0	Reimbursable obligations	158	366	399
99.9	Total new obligations, unexpired accounts	7,716	8,092	6,883

Employment Summary

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	8,486	8,477	8,630
1101	Direct military average strength employment	807	812	812

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued
Employment Summary—Continued

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	198	197	197
2101 Reimbursable military average strength employment	27	27	27

BUILDINGS AND FACILITIES
[(INCLUDING TRANSFER OF FUNDS)]

For any cost related to the acquisition of real property, equipment, construction, installation, demolition, and renovation of facilities, [\$25,000,000] \$30,000,000, which shall remain available until September 30, [2024: Provided, That funds made available to this account in this or any prior Act that are available for the acquisition of real property or for construction or improvement of facilities shall be available to make improvements on non-federally owned property, provided that any improvements that are not adjacent to federally owned property do not exceed \$2,500,000, and that the primary benefit of such improvements accrues to CDC: Provided further, That funds previously set-aside by CDC for repair and upgrade of the Lake Lynn Experimental Mine and Laboratory shall be used to acquire a replacement mine safety research facility: Provided further, That in addition, the prior year unobligated balance of any amounts assigned to former employees in accounts of CDC made available for Individual Learning Accounts shall be credited to and merged with the amounts made available under this heading to support the replacement of the mine safety research facility] 2025. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0960-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CDC Buildings and Facilities (0960)	153	25	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	500	384	384
1011 Unobligated balance transfer from other acct [075-0943]	7		
1050 Unobligated balance (total)	507	384	384
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	25	30
1930 Total budgetary resources available	537	409	414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	384	384	384
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	144	109
3010 New obligations, unexpired accounts	153	25	30
3020 Outlays (gross)	-35	-60	-49
3050 Unpaid obligations, end of year	144	109	90
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	26	144	109
3200 Obligated balance, end of year	144	109	90
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	25	30
Outlays, gross:			
4010 Outlays from new discretionary authority	2	10	12
4011 Outlays from discretionary balances	33	50	37
4020 Outlays, gross (total)	35	60	49
4180 Budget authority, net (total)	30	25	30
4190 Outlays, net (total)	35	60	49

Object Classification (in millions of dollars)

Identification code 075-0960-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
25.4 Operation and maintenance of facilities	8	8	8
32.0 Land and structures	144	16	21

99.9 Total new obligations, unexpired accounts	153	25	30
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CDC WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 075-4553-0-4-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 CDC Working Capital Fund (Reimbursable)	591	569	569
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	50	54	73
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	62	54	73
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [075-0943]	9		
Spending authority from offsetting collections, discretionary:			
1700 Collected	573	588	532
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	574	588	532
1900 Budget authority (total)	583	588	532
1930 Total budgetary resources available	645	642	605
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	54	73	36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	198	210	197
3010 New obligations, unexpired accounts	591	569	569
3020 Outlays (gross)	-567	-582	-550
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	210	197	216
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	196	207	194
3200 Obligated balance, end of year	207	194	213
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	583	588	532
Outlays, gross:			
4010 Outlays from new discretionary authority	396	388	351
4011 Outlays from discretionary balances	171	194	199
4020 Outlays, gross (total)	567	582	550
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-573	-588	-532
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4070 Budget authority, net (discretionary)	9		
4080 Outlays, net (discretionary)	-6	-6	18
4180 Budget authority, net (total)	9		
4190 Outlays, net (total)	-6	-6	18

Implemented in fiscal year 2014, CDC's Working Capital Fund has extended availability and serves as the funding mechanism to finance centralized business services support across CDC. Services rendered under the fund are performed at pre-established rates that are used to cover the full cost of operations and future investments. Contributions are collected for services, thereby creating market-like incentives to maximize efficiency and quality.

Object Classification (in millions of dollars)

Identification code 075-4553-0-4-551	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	148	148	148
11.3 Other than full-time permanent	3	4	4
11.5 Other personnel compensation	3	4	4

11.7	Military personnel	4	3	3
11.9	Total personnel compensation	158	159	159
12.1	Civilian personnel benefits	50	50	50
12.2	Military personnel benefits	2	2	2
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	2	2	2
23.1	Rental payments to GSA	33	32	32
23.3	Communications, utilities, and miscellaneous charges	17	22	22
25.1	Advisory and assistance services	34	31	31
25.2	Other services from non-Federal sources	86	77	77
25.3	Other goods and services from Federal sources	82	68	68
25.4	Operation and maintenance of facilities	53	10	10
25.7	Operation and maintenance of equipment	53	52	52
26.0	Supplies and materials	1	1	1
31.0	Equipment	10	12	12
32.0	Land and structures	9	50	50
99.9	Total new obligations, unexpired accounts	591	569	569

Employment Summary

Identification code 075-4553-0-4-551	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,484	1,484	1,484
2101 Reimbursable military average strength employment	34	34	34

INFECTIOUS DISEASES RAPID RESPONSE RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 075-0945-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		85	50
0900 Total new obligations, unexpired accounts (object class 41.0)		85	50
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		50	50
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [075-0943]	50	85	50
1930 Total budgetary resources available	50	135	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	50	50	50
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			35
3010 New obligations, unexpired accounts		85	50
3020 Outlays (gross)		-50	-85
3050 Unpaid obligations, end of year		35	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			35
3200 Obligated balance, end of year		35	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	50	85	50
Outlays, gross:			
4011 Outlays from discretionary balances		50	85
4180 Budget authority, net (total)	50	85	50
4190 Outlays, net (total)		50	85

The FY 2021 Budget provides \$50 million for the Infectious Diseases Rapid Response Reserve Fund within the Centers for Disease Control and Prevention. This Fund will provide the ability to respond efficiently and rapidly to emerging infectious disease threats or outbreaks.

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and section 3019 of the Solid Waste Disposal Act, **[\$76,691,000]** \$62,000,000: *Provided*, That notwithstanding any other provision of law, in lieu of

performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited healthcare providers: *Provided further*, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: *Provided further*, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year **[2020]** 2021, and existing profiles may be updated as necessary. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Agency for Toxic Substances and Disease Registry, Toxic Substance (Direct)	87	62	62
0801 Agency for Toxic Substances and Disease Registry, Toxic Substance (Reimbursable)	3		
0900 Total new obligations, unexpired accounts	90	62	62
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	18	36
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	75	77	62
1121 Appropriations transferred from other acct [097-0100]	10		
1160 Appropriation, discretionary (total)	85	77	62
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	3
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	3	3	3
1900 Budget authority (total)	88	80	65
1930 Total budgetary resources available	108	98	101
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	36	39
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	64	70	48
3010 New obligations, unexpired accounts	90	62	62
3020 Outlays (gross)	-81	-84	-69
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	70	48	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-15	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	49	58	36
3200 Obligated balance, end of year	58	36	29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	88	80	65
Outlays, gross:			
4010 Outlays from new discretionary authority	49	52	42
4011 Outlays from discretionary balances	30	32	27
4020 Outlays, gross (total)	79	84	69
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-6	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	5		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	85	77	62
4080 Outlays, net (discretionary)	73	81	66
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	2		
4180 Budget authority, net (total)	85	77	62

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH—Continued
Program and Financing—Continued

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	75	81	66

Object Classification (in millions of dollars)

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	14	14
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	1		
11.7 Military personnel	3	3	3
11.9 Total personnel compensation	28	18	18
12.1 Civilian personnel benefits	8	5	5
12.2 Military personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	5	9	9
25.2 Other services from non-Federal sources	5	3	3
25.3 Other goods and services from Federal sources	16	15	15
25.7 Operation and maintenance of equipment	1		
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	21	9	9
99.0 Direct obligations	87	62	62
99.0 Reimbursable obligations	3		
99.9 Total new obligations, unexpired accounts	90	62	62

Employment Summary

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	198	198	198
1101 Direct military average strength employment	28	28	28
2001 Reimbursable civilian full-time equivalent employment	2	2	2
2101 Reimbursable military average strength employment	1	1	1

WORLD TRADE CENTER HEALTH PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 World Trade Center Health Program—Federal Share (CDC/NIOSH)	530	541	540
0002 World Trade Center Health Program—NYC	59	60	60
0900 Total new obligations, unexpired accounts	589	601	600

Budgetary resources:

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	873	797	735
1012 Unobligated balance transfers between expired and unexpired accounts	7		
1021 Recoveries of prior year unpaid obligations	3		
1033 Recoveries of prior year paid obligations	14		
1050 Unobligated balance (total)	897	797	735
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (WTC (CDC Direct))	402	485	501
1200 Appropriation (WTC—NYC DHSS—CDC)	45	54	56
1260 Appropriations, mandatory (total)	447	539	557
Spending authority from offsetting collections, mandatory:			
1800 Collected	42		
1900 Budget authority (total)	489	539	557
1930 Total budgetary resources available	1,386	1,336	1,292
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	797	735	692

Change in obligated balance:

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	224	327	528
3010 New obligations, unexpired accounts	589	601	600
3020 Outlays (gross)	-483	-400	-479

3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	327	528	649
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	224	327	528
3200 Obligated balance, end of year	327	528	649

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	489	539	557
Outlays, gross:			
4100 Outlays from new mandatory authority		248	256
4101 Outlays from mandatory balances	483	152	223
4110 Outlays, gross (total)	483	400	479
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-63		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	7		
4143 Recoveries of prior year paid obligations, unexpired accounts	14		
4150 Additional offsets against budget authority only (total)	21		
4160 Budget authority, net (mandatory)	447	539	557
4170 Outlays, net (mandatory)	420	400	479
4180 Budget authority, net (total)	447	539	557
4190 Outlays, net (total)	420	400	479

HHS, along with CDC, began implementing provisions of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC serves as the Program Administrator for the World Trade Center (WTC) Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The WTC Health Program has been extended through FY 2090 under the James Zadroga 9/11 Health and Compensation Reauthorization Act of 2015 (P.L. 114-113, Division O, Title III). The amounts included for 2020 and 2021 in the Budget reflect estimated Federal obligations for the WTC Health Program.

Object Classification (in millions of dollars)

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	5	5	5
12.1 Civilian personnel benefits	2	2	2
12.2 Military personnel benefits	1	1	1
25.1 Advisory and assistance services	39	39	39
25.2 Other services from non-Federal sources	105	105	105
25.3 Other goods and services from Federal sources	12	12	12
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	24	24	24
42.0 Insurance claims and indemnities	399	411	410
99.9 Total new obligations, unexpired accounts	589	601	600

Employment Summary

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	38	43	43
1101 Direct military average strength employment	7	7	7

NATIONAL INSTITUTES OF HEALTH

Federal Funds

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cancer, **[\$6,245,442,000]** \$5,686,173,000, of which up to \$30,000,000 may be used for facilities repairs and improvements at the National Cancer Institute-Frederick Federally Funded Research and Development Center in Frederick, Maryland.

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$3,624,258,000]** \$3,298,004,000.

NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to dental and craniofacial diseases, **[\$477,429,000]** \$434,559,000.

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, **[\$2,114,314,000]** \$1,924,211,000.

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, **[\$2,374,687,000]** \$2,195,110,000.

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, **[\$5,885,470,000]** \$5,445,886,000.

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, **[\$2,937,218,000]** \$2,672,074,000, of which **[\$1,230,821,000]** \$741,000,000 shall be from funds available under section 241 of the PHS Act: *Provided*, That not less than **[\$386,573,000]** \$351,781,000 is provided for the Institutional Development Awards program.

EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, **[\$1,556,879,000]** \$1,416,366,000.

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, **[\$824,090,000]** \$749,003,000.

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, **[\$802,598,000]** \$730,147,000. (*Department of Health and Human Services Appropriations Act, 2020.*)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (42 U.S.C. 9660(a)) and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, **[\$81,000,000]** \$73,688,000. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the PHS Act with respect to aging, **[\$3,543,673,000]** \$3,225,782,000.

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, **[\$624,889,000]** \$568,480,000.

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, **[\$490,692,000]** \$446,397,000.

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, **[\$169,113,000]** \$156,804,000.

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, **[\$545,373,000]** \$497,346,000.

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, **[\$1,462,016,000]** \$1,431,770,000.

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to mental health, **[\$1,968,374,000]** \$1,794,865,000.

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, **[\$606,349,000]** \$550,116,000.

NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, **[\$403,638,000]** \$368,111,000.

NATIONAL CENTER FOR COMPLEMENTARY AND INTEGRATIVE HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to complementary and integrative health, **[\$151,740,000]** \$138,167,000.

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, **[\$335,812,000]** \$305,498,000: *Provided*, That funds may be used to implement a reorganization that is presented to an advisory council in a public meeting and for which the Committees on Appropriations of the House of Representatives and the Senate have been notified 30 days in advance.

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), **[\$80,760,000]** \$73,531,000.

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, **[\$456,911,000]** \$415,665,000: *Provided*, That of the amounts available for improvement of information systems, \$4,000,000 shall be available until September 30, **[2021]** 2022: *Provided further*, That in fiscal year **[2020]** 2021, the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH").

NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, **[\$832,888,000]** \$787,703,000: *Provided*, That up to **[\$60,000,000]** 10 percent of the amounts made available under this heading shall be available to implement section 480 of the PHS Act, relating to the Cures Acceleration Network: *Provided further*, That at least \$578,141,000 is provided to the Clinical and Translational Sciences Awards program.]

OFFICE OF THE DIRECTOR

(INCLUDING TRANSFER OF FUNDS)

For carrying out the responsibilities of the Office of the Director, NIH, **[\$2,239,787,000]** \$2,086,463,000: *Provided*, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: *Provided further*, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *Provided further*, That **[\$180,000,000]** \$168,763,500 shall be for the Environmental Influences on Child Health Outcomes study: *Provided further*, That **[\$626,511,000]** \$583,867,000 shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: *Provided further*, That of the funds provided, \$10,000 shall be for official reception and representation expenses when specifically approved by the Director of the NIH: *Provided further*, That the Office of AIDS Research within the Office of the Director of the NIH may spend up to \$8,000,000 to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act: *Provided further*, That \$50,000,000 shall be used to carry out section 404I of the PHS Act (42 U.S.C. 283K), relating to biomedical and behavioral research facilities: *Provided further*, That \$5,000,000 shall be transferred to and merged with the appropriation for the "Office of Inspector General" for oversight of grant programs and operations of the NIH, including agency efforts to ensure the integrity of its grant application evaluation and selection processes, and shall be in addition to funds otherwise made available for oversight of the NIH: *Provided further*, That the funds provided in the previous proviso may be transferred from one specified activity to another with 15 days prior approval of the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That the Inspector General shall consult with the Committees on Appropriations of the House of Representatives and the Senate before submitting to the Committees an audit plan for fiscal years 2020 and 2021 no later than 30 days after the date of enactment of this Act: *Provided further*, That amounts available under this heading are also available to establish, operate, and support the Research Policy Board authorized by section 2034(f) of the 21st Century Cures Act.

In addition to other funds appropriated for the Common Fund established under section 402A(c) of the PHS Act, \$12,600,000 is appropriated to the Common Fund

NATIONAL INSTITUTES OF HEALTH—Continued

from the 10-year Pediatric Research Initiative Fund described in section 9008 of title 26, United States Code, for the purpose of carrying out section 402(b)(7)(B)(ii) of the PHS Act (relating to pediatric research), as authorized in the Gabriella Miller Kids First Research Act.

BUILDINGS AND FACILITIES

For the study of, construction of, demolition of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, **[\$200,000,000]** \$300,000,000, to remain available through September 30, **[2024]** 2025.

NATIONAL INSTITUTE FOR RESEARCH ON SAFETY AND QUALITY

For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$256,660,000: Provided, That section 947(c) of the PHS Act shall not apply in fiscal year 2021: Provided further, That in addition, amounts received from Freedom of Information Act fees, reimbursable and inter-agency agreements, and the sale of data shall be credited to this appropriation and shall remain available until expended. (Department of Health and Human Services Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, NIH	51	68	51
2000 Total: Balances and receipts	51	68	51
Appropriations:			
Current law:			
2101 National Institutes of Health	-51	-68	-51
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Cancer Institute (0849)	5,994	6,606	5,881
0002 National Heart, Lung, and Blood Institute (0872)	3,482	3,625	3,298
0003 National Institute of Dental and Craniofacial Research (0873)	461	478	435
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884)	2,025	2,115	1,924
0005 National Institute of Neurological Disorders and Stroke (0886)	2,414	2,449	2,245
0006 National Institute of Allergy and Infectious Diseases (0885)	5,567	5,897	5,446
0007 National Institute of General Medical Sciences (0851)	1,675	1,706	1,931
0008 National Institute of Child Health and Human Development (0844)	1,509	1,557	1,416
0009 National Eye Institute (0887)	794	823	749
0010 National Institute of Environmental Health Sciences (0862)	851	884	804
0011 National Institute on Aging (0843)	3,080	3,546	3,226
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888)	603	625	568
0013 National Institute on Deafness and Other Communication Disorder (0890)	473	491	446
0014 National Institute of Mental Health (0892)	1,870	2,045	1,845
0015 National Institute on Drug Abuse (0893)	1,621	1,458	1,432
0016 National Institute on Alcohol Abuse and Alcoholism (0894)	525	547	497
0017 National Institute of Nursing Research (0889)	163	172	157
0018 National Human Genome Research Institute (0891)	575	604	550
0019 National Institute of Biomedical Imaging and Bioengineering (0898)	388	405	368
0021 National Center for Complementary and Integrative Health (0896)	146	152	138
0022 National Institute on Minority Health and Health Disparities (0897)	313	336	305
0023 John E. Fogarty International Center (0819)	78	81	74
0024 National Library of Medicine (0807)	442	457	416
0025 NIH Office of the Director (0846)	1,926	2,247	2,099
0026 NIH Buildings and facilities (0838)	211	200	300
0027 NIH Cooperative Research and Development Agreements	47	68	51
0028 National Center for Advancing Translational Sciences (0875)	847	833	788
0029 National Institute for Research on Safety and Quality			257
0031 Type 1 Diabetes	74	297	
0799 Total direct obligations	38,154	40,704	37,646
0801 NIH Reimbursable - Other	4,360	4,520	3,909

0802 NIH Royalties	130	129	129
0809 Reimbursable program activities, subtotal	4,490	4,649	4,038
0899 Total reimbursable obligations	4,490	4,649	4,038
0900 Total new obligations, unexpired accounts	42,644	45,353	41,684

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,475	1,145	754
1001 Discretionary unobligated balance brought fwd, Oct 1	1,226	862	
1011 Unobligated balance transfer from other acct [075-0140]	15		
1011 Unobligated balance transfer from other acct [075-1700]			16
1021 Recoveries of prior year unpaid obligations	94		
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	1,586	1,145	770
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	37,294	39,805	37,287
1120 Appropriations transferred to other acct [075-1503]	-124		
1120 Appropriations transferred to other acct [075-0128]	-5	-5	
1121 Appropriations transferred from other acct [075-5628]	515	335	295
1121 Appropriations transferred from other acct [075-5736]	13	13	13
1160 Appropriation, discretionary (total)	37,693	40,148	37,595
Appropriations, mandatory:			
1200 Appropriation	150	97	
1201 Appropriation (special or trust fund)	51	68	51
1260 Appropriations, mandatory (total)	201	165	51
Spending authority from offsetting collections, discretionary:			
1700 Collected	3,999	4,649	4,038
1701 Change in uncollected payments, Federal sources	317		
1750 Spending auth from offsetting collections, disc (total)	4,316	4,649	4,038
Spending authority from offsetting collections, mandatory:			
1800 Collected	3		
1900 Budget authority (total)	42,213	44,962	41,684
1930 Total budgetary resources available	43,799	46,107	42,454
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10		
1941 Unexpired unobligated balance, end of year	1,145	754	770

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37,409	40,480	43,800
3010 New obligations, unexpired accounts	42,644	45,353	41,684
3011 Obligations ("upward adjustments"), expired accounts	389		
3020 Outlays (gross)	-39,069	-42,033	-43,642
3040 Recoveries of prior year unpaid obligations, unexpired	-94		
3041 Recoveries of prior year unpaid obligations, expired	-799		
3050 Unpaid obligations, end of year	40,480	43,800	41,842
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-564	-650	-650
3070 Change in uncollected pymts, Fed sources, unexpired	-317		
3071 Change in uncollected pymts, Fed sources, expired	231		
3090 Uncollected pymts, Fed sources, end of year	-650	-650	-650
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	36,845	39,830	43,150
3200 Obligated balance, end of year	39,830	43,150	41,192

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	42,009	44,797	41,633
Outlays, gross:			
4010 Outlays from new discretionary authority	12,483	14,636	13,864
4011 Outlays from discretionary balances	26,382	27,270	29,620
4020 Outlays, gross (total)	38,865	41,906	43,484
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3,906	-4,649	-4,038
4033 Non-Federal sources	-298		
4040 Offsets against gross budget authority and outlays (total)	-4,204	-4,649	-4,038
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-317		
4052 Offsetting collections credited to expired accounts	203		
4053 Recoveries of prior year paid obligations, unexpired accounts	2		
4060 Additional offsets against budget authority only (total)	-112		
4070 Budget authority, net (discretionary)	37,693	40,148	37,595
4080 Outlays, net (discretionary)	34,661	37,257	39,446
Mandatory:			
4090 Budget authority, gross	204	165	51

Outlays, gross:				
4100	Outlays from new mandatory authority	24	22	13
4101	Outlays from mandatory balances	180	105	145
4110	Outlays, gross (total)	204	127	158
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-3		
4180	Budget authority, net (total)	37,894	40,313	37,646
4190	Outlays, net (total)	34,862	37,384	39,604

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	37,894	40,313	37,646
Outlays	34,862	37,384	39,604
Legislative proposal, subject to PAYGO:			
Budget Authority		53	150
Outlays		3	23
Total:			
Budget Authority	37,894	40,366	37,796
Outlays	34,862	37,387	39,627

This program funds biomedical research and research training. These accounts will continue to be appropriated separately and are displayed in a consolidated format to improve the readability of the presentation. The FY 2021 Budget consolidates the activities of the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality. Detailed information is available through the Department of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	1,008	1,091	1,131
11.3	Other than full-time permanent	527	562	571
11.5	Other personnel compensation	49	52	54
11.7	Military personnel	18	19	20
11.8	Special personal services payments	199	210	210
11.9	Total personnel compensation	1,801	1,934	1,986
12.1	Civilian personnel benefits	528	600	632
12.2	Military personnel benefits	14	15	15
21.0	Travel and transportation of persons	61	64	54
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	24	25	23
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	20	20	15
25.1	Advisory and assistance services	229	307	236
25.2	Other services from non-Federal sources	1,502	1,567	1,216
25.3	Other goods and services from Federal sources	3,496	3,763	3,549
25.4	Operation and maintenance of facilities	232	241	328
25.5	Research and development contracts	1,577	1,739	1,544
25.6	Medical care	44	38	34
25.7	Operation and maintenance of equipment	173	174	153
26.0	Supplies and materials	258	273	230
31.0	Equipment	188	200	164
32.0	Land and structures		41	25
41.0	Grants, subsidies, and contributions	28,002	29,697	27,436
99.0	Direct obligations	38,155	40,704	37,646
99.0	Reimbursable obligations	4,489	4,649	4,038
99.9	Total new obligations, unexpired accounts	42,644	45,353	41,684

Employment Summary

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	12,540	13,258	13,467
1101	Direct military average strength employment	172	173	177
2001	Reimbursable civilian full-time equivalent employment	4,371	4,507	4,507
2101	Reimbursable military average strength employment	98	98	98

NATIONAL INSTITUTES OF HEALTH
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-9915-4-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Type 1 Diabetes	53	150
0900	Total new obligations, unexpired accounts (object class 41.0)	53	150
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	53	150
1930	Total budgetary resources available	53	150
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		50
3010	New obligations, unexpired accounts	53	150
3020	Outlays (gross)	-3	-23
3050	Unpaid obligations, end of year	50	177
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		50
3200	Obligated balance, end of year	50	177
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	53	150
Outlays, gross:			
4100	Outlays from new mandatory authority	3	12
4101	Outlays from mandatory balances		11
4110	Outlays, gross (total)	3	23
4180	Budget authority, net (total)	53	150
4190	Outlays, net (total)	3	23

The Budget proposes to extend the Special Diabetes Program for Type 1 Diabetes through FY 2021.

PAYMENT TO THE NIH INNOVATION ACCOUNT, CURES ACT

Program and Financing (in millions of dollars)

Identification code 075-0147-0-1-552	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Payment to NIH Innovation (object class 94.0)	711	492	404
0900	Total new obligations, unexpired accounts (object class 94.0)	711	492	404
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	711	492	404
1930	Total budgetary resources available	711	492	404
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	711	492	404
3020	Outlays (gross)	-711	-492	-404
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	711	492	404
Outlays, gross:				
4100	Outlays from new mandatory authority	711	492	404
4180	Budget authority, net (total)	711	492	404
4190	Outlays, net (total)	711	492	404

This account, and a related special fund receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

NIH INNOVATION ACCOUNT, CURES ACT
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the purposes described in section 1001(b)(4) of the 21st Century Cures Act, in addition to amounts available for such purposes in the appropriations provided to the NIH in this Act, **[\$492,000,000] \$404,000,000**, to remain available until expended: *Provided*, That such amounts are appropriated pursuant to section 1001(b)(3) of such Act, are to be derived from amounts transferred under section 1001(b)(2)(A) of such Act, and may be transferred by the Director of the National Institutes of Health to other accounts of the National Institutes of Health solely for the purposes provided in such Act: *Provided further*, That upon a determination by the Director that funds transferred pursuant to the previous proviso are not necessary for the purposes provided, such amounts may be transferred back to the Account: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided by law. (*Department of Health and Human Services Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 General Fund Payment, NIH Innovation, CURES Act	711	492	404
2000 Total: Balances and receipts	711	492	404
Appropriations:			
Current law:			
2101 NIH Innovation, Cures Act	-711	-492	-404
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CURES obligations	185	216	109
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	48	59	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	711	492	404
1120 Appropriations transferred to other acct [075-9915]	-515	-335	-295
1160 Appropriation, discretionary (total)	196	157	109
1930 Total budgetary resources available	244	216	109
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	59		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	95	228	264
3010 New obligations, unexpired accounts	185	216	109
3020 Outlays (gross)	-52	-180	-180
3050 Unpaid obligations, end of year	228	264	193
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	228	264
3200 Obligated balance, end of year	228	264	193
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	196	157	109
Outlays, gross:			
4010 Outlays from new discretionary authority	17	31	23
4011 Outlays from discretionary balances	35	149	157
4020 Outlays, gross (total)	52	180	180
4180 Budget authority, net (total)	196	157	109
4190 Outlays, net (total)	52	180	180

The 21st Century Cures Act was enacted into law on December 13, 2016. The 21st Century Cures Act authorizes \$4.8 billion over 10 years for four NIH Innovation Projects and includes amendments to the Public Health Service Act to advance Precision Medicine and other high-priority NIH activities. Amounts appropriated into the NIH Innovation Account are

either transferred to the individual institutes and centers or obligated directly in the NIH Innovation Account.

Object Classification (in millions of dollars)

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	6	10
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	6	7	11
12.1 Civilian personnel benefits	2	3	4
25.3 Other goods and services from Federal sources		6	1
25.5 Research and development contracts	3		
41.0 Grants, subsidies, and contributions	174	200	93
99.9 Total new obligations, unexpired accounts	185	216	109

Employment Summary

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	45	64	89
1101 Direct military average strength employment	1	1	1

10-YEAR PEDIATRIC RESEARCH INITIATIVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5736-0-2-552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		28	28
Receipts:			
Current law:			
1140 Transfers from Presidential Election Campaign Fund	41	13	
2000 Total: Balances and receipts	41	41	28
Appropriations:			
Current law:			
2101 10-Year Pediatric Research Initiative Fund	-13	-13	-13
5099 Balance, end of year	28	28	15

Program and Financing (in millions of dollars)

Identification code 075-5736-0-2-552	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	13	13	13
1120 Appropriations transferred to other acct [075-9915]	-13	-13	-13
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This special fund was created by the Gabriella Miller Kids First Research Act, enacted on April 3, 2014. This fund receives transfers from the Presidential Election Campaign Fund, which are then appropriated to the NIH Common Fund to support pediatric research.

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION

Federal Funds

MENTAL HEALTH

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, **[\$1,644,974,000] \$1,675,106,000: Provided**, [That of the funds made available under this heading, \$68,887,000 shall be for the National Child Traumatic Stress Initiative: *Provided further*,] That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: *Provided further*, That in addition to amounts provided herein, \$21,039,000 shall be available under section 241 of the PHS Act to supplement funds otherwise available for mental health activities and to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical

assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: *Provided further, That \$35,000,000 of the amounts appropriated for subpart I of part B shall be available to support evidence-based crisis systems: Provided further, That up to 10 percent of the amounts made available to carry out the Children's Mental Health Services program may be used to carry out demonstration grants or contracts for early interventions with persons not more than 25 years of age at clinical high risk of developing a first episode of psychosis: Provided further, That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated in this Act for fiscal year 2020: Provided further, That States shall expend at least 10 percent of the amount each receives for carrying out section 1911 of the PHS Act to support evidence-based programs that address the needs of individuals with early serious mental illness, including psychotic disorders, regardless of the age of the individual at onset: Provided further, That \$200,000,000 \$225,000,000 shall be available until September 30, [2022] 2023 for grants to communities and community organizations who meet criteria for Certified Community Behavioral Health Clinics pursuant to section 223(a) of Public Law 113–93: Provided further, That none of the funds provided for section 1911 of the PHS Act shall be subject to section 241 of such Act: Provided further, That of the funds made available under this heading, \$19,000,000 \$25,000,000 shall be to carry out section 224 of the Protecting Access to Medicare Act of 2014 (Public Law 113–93; 42 U.S.C. 290aa 22 note).*

SUBSTANCE ABUSE TREATMENT

For carrying out titles III and V of the PHS Act with respect to substance abuse treatment and title XIX of such Act with respect to substance abuse treatment and prevention, and the SUPPORT for Patients and Communities Act, \$3,756,556,000 \$3,728,556,000: *Provided, That \$1,500,000,000 \$1,585,000,000 shall be for State Opioid Response Grants for carrying out activities pertaining to opioids and stimulants undertaken by the State agency responsible for administering the substance abuse prevention and treatment block grant under subpart II of part B of title XIX of the PHS Act (42 U.S.C. 300x–21 et seq.): Provided further, That of such amount \$50,000,000 shall be made available to Indian Tribes or tribal organizations: Provided further, That 15 percent of the remaining amount shall be for the States with the highest mortality rate related to opioid use disorders: Provided further, That of the amounts provided for State Opioid Response Grants not more than 2 percent shall be available for Federal administrative expenses, training, technical assistance, and evaluation: Provided further, That of the amount not reserved by the previous three provisos, the Secretary shall make allocations to States, territories, and the District of Columbia according to a formula using national survey results that the Secretary determines are the most objective and reliable measure of drug use and drug-related deaths: Provided further, That the Secretary shall submit the formula methodology to the Committees on Appropriations of the House of Representatives and the Senate not less than 15 days prior to publishing a Funding Opportunity Announcement: Provided further, That prevention and treatment activities funded through such grants may include education, treatment (including the provision of medication), behavioral health services for individuals in treatment programs, referral to treatment services, recovery support, and medical screening associated with such treatment: Provided further, That each State, as well as the District of Columbia, shall receive not less than \$4,000,000: Provided further, That in addition to amounts provided herein, the following amounts \$79,200,000 shall be available under section 241 of the PHS Act: (1) \$79,200,000 to supplement funds otherwise available for substance abuse treatment activities and to carry out subpart II of part B of title XIX of the PHS Act to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX; and (2) \$2,000,000 to evaluate substance abuse treatment programs: Provided further, That none of the funds provided for section 1921 of the PHS Act or State Opioid Response Grants shall be subject to section 241 of such Act.*

SUBSTANCE ABUSE PREVENTION

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention, \$206,469,000 \$96,985,000.

HEALTH SURVEILLANCE AND PROGRAM SUPPORT

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration, \$128,830,000 \$97,004,000: *Provided, That in addition to amounts provided herein, \$31,428,000 \$42,453,000 shall be available under section 241 of the PHS Act to supplement funds available*

to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical assistance activities: *Provided further, That, in addition, fees may be collected for the costs of publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this appropriation and shall remain available until expended for such purposes: Provided further, That amounts made available in this Act for carrying out section 501(o) of the PHS Act shall remain available through September 30, [2021] 2022: Provided further, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention". (Department of Health and Human Services Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 075–1362–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Mental Health	1,493	1,633	1,675
0007 Substance Abuse Treatment	3,738	3,757	3,729
0008 Substance Abuse Prevention	205	206	97
0009 Health Surveillance and Program Support	132	129	97
0011 SAMHSA Prevention Fund	12	12
0100 Total, direct program	5,580	5,737	5,598
0799 Total direct obligations	5,580	5,737	5,598
0802 SAMHSA Reimbursables	121	2	2
0810 SAMHSA Reimbursable: PHS Evaluation	134	134	143
0899 Total reimbursable obligations	255	136	145
0900 Total new obligations, unexpired accounts	5,835	5,873	5,743
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	130	178
1001 Discretionary unobligated balance brought fwd, Oct 1	20	130
1010 Unobligated balance transfer to other accts [075–0116]	–8
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	14	130	178
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,597	5,736	5,598
1120 Appropriations transferred to other acct [075–1503]	–9
1121 Appropriations transferred from other acct [075–0140]	100
1160 Appropriation, discretionary (total)	5,688	5,736	5,598
Appropriations, mandatory:			
1221 Appropriations transferred from the Prevention and Public Health Fund [075–0116]	12	12
Spending authority from offsetting collections, discretionary:			
1700 Collected	135	173	173
1701 Change in uncollected payments, Federal sources	121
1750 Spending auth from offsetting collections, disc (total)	256	173	173
1900 Budget authority (total)	5,956	5,921	5,771
1930 Total budgetary resources available	5,970	6,051	5,949
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–5
1941 Unexpired unobligated balance, end of year	130	178	206
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,125	7,309	6,618
3010 New obligations, unexpired accounts	5,835	5,873	5,743
3011 Obligations ("upward adjustments"), expired accounts	49
3020 Outlays (gross)	–4,557	–6,564	–6,157
3040 Recoveries of prior year unpaid obligations, unexpired	–2
3041 Recoveries of prior year unpaid obligations, expired	–141
3050 Unpaid obligations, end of year	7,309	6,618	6,204
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–164	–187	–187
3070 Change in uncollected pymts, Fed sources, unexpired	–121
3071 Change in uncollected pymts, Fed sources, expired	98
3090 Uncollected pymts, Fed sources, end of year	–187	–187	–187
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,961	7,122	6,431
3200 Obligated balance, end of year	7,122	6,431	6,017
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,944	5,909	5,771

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 075-1362-0-1-551	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	976	1,649	1,610
4011 Outlays from discretionary balances	3,570	4,906	4,539
4020 Outlays, gross (total)	4,546	6,555	6,149
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-229	-171	-171
4033 Non-Federal sources		-2	-2
4040 Offsets against gross budget authority and outlays (total)	-229	-173	-173
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-121		
4052 Offsetting collections credited to expired accounts	94		
4060 Additional offsets against budget authority only (total)	-27		
4070 Budget authority, net (discretionary)	5,688	5,736	5,598
4080 Outlays, net (discretionary)	4,317	6,382	5,976
Mandatory:			
4090 Budget authority, gross	12	12	
Outlays, gross:			
4100 Outlays from new mandatory authority		4	
4101 Outlays from mandatory balances	11	5	8
4110 Outlays, gross (total)	11	9	8
4180 Budget authority, net (total)	5,700	5,748	5,598
4190 Outlays, net (total)	4,328	6,391	5,984

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with States, communities, tribal organizations, and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities.

Object Classification (in millions of dollars)

Identification code 075-1362-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	43	48	49
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	2	1	1
11.7 Military personnel	3	3	4
11.9 Total personnel compensation	50	54	56
12.1 Civilian personnel benefits	14	15	15
12.2 Military personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	37	34	35
25.2 Other services from non-Federal sources	101	95	63
25.3 Other goods and services from Federal sources	39	36	37
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	5,327	5,491	5,381
99.0 Direct obligations	5,580	5,737	5,599
99.0 Reimbursable obligations	255	136	144
99.9 Total new obligations, unexpired accounts	5,835	5,873	5,743

Employment Summary

Identification code 075-1362-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	452	463	467
1101 Direct military average strength employment	30	31	32
2001 Reimbursable civilian full-time equivalent employment	9	104	108
2101 Reimbursable military average strength employment		8	8

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY

Federal Funds

HEALTHCARE RESEARCH AND QUALITY

【For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$338,000,000: *Provided*, That section 947(c) of the PHS Act shall not apply in fiscal year 2020: *Provided further*, That in addition, amounts received from Freedom of Information Act fees, reimbursable and inter-agency agreements, and the sale of data shall be credited to this appropriation and shall remain available until September 30, 2021.】 (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1700-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research on Health Costs, Quality and Outcomes	196	190	
0002 Medical Expenditure Panel Survey	70	70	
0003 AHRQ Program Support	71	71	
0799 Total direct obligations	337	331	
0803 Research on Health Costs, Quality and Outcomes (Reimbursable)	31	31	
0899 Total reimbursable obligations	31	31	
0900 Total new obligations, unexpired accounts	368	362	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	16	16
1001 Discretionary unobligated balance brought fwd, Oct 1	1	1	
1010 Unobligated balance transfer to other accts [075-9915]			-16
1050 Unobligated balance (total)	23	16	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	338	338	
1120 Appropriations transferred to other acct [075-1503]	-1		
1160 Appropriation, discretionary (total)	337	338	
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	
1701 Change in uncollected payments, Federal sources	14	14	
1750 Spending auth from offsetting collections, disc (total)	16	16	
Spending authority from offsetting collections, mandatory:			
1800 Collected	8	8	
1900 Budget authority (total)	361	362	
1930 Total budgetary resources available	384	378	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	16	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	335	344	438
3010 New obligations, unexpired accounts	368	362	
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-350	-268	-303
3041 Recoveries of prior year unpaid obligations, expired	-12		
3050 Unpaid obligations, end of year	344	438	135
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-35	-28	-21
3070 Change in uncollected pymts, Fed sources, unexpired	-14	-14	
3071 Change in uncollected pymts, Fed sources, expired	21	21	
3090 Uncollected pymts, Fed sources, end of year	-28	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	300	316	417
3200 Obligated balance, end of year	316	417	114
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	353	354	
Outlays, gross:			
4010 Outlays from new discretionary authority	127	134	
4011 Outlays from discretionary balances	217	118	303
4020 Outlays, gross (total)	344	252	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-20	

4040	Offsets against gross budget authority and outlays (total)	-20	-20
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-14	-14
4052	Offsetting collections credited to expired accounts	18	18
4060	Additional offsets against budget authority only (total)	4	4
4070	Budget authority, net (discretionary)	337	338
4080	Outlays, net (discretionary)	324	232	303
	Mandatory:			
4090	Budget authority, gross	8	8
	Outlays, gross:			
4100	Outlays from new mandatory authority		8
4101	Outlays from mandatory balances	6	8
4110	Outlays, gross (total)	6	16
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	-8	-8
4180	Budget authority, net (total)	337	338
4190	Outlays, net (total)	322	240	303

The FY 2021 Budget consolidates the activities of the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality.

Object Classification (in millions of dollars)

Identification code 075-1700-0-1-552	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	30	32
11.3	Other than full-time permanent	4	4
11.5	Other personnel compensation	1
11.7	Military personnel	1	1
11.9	Total personnel compensation	36	37
12.1	Civilian personnel benefits	11	11
12.2	Military personnel benefits	1
23.1	Rental payments to GSA	3	3
25.2	Other services from non-Federal sources	10	10
25.3	Other goods and services from Federal sources	22	18
25.5	Research and development contracts	141	133
31.0	Equipment	1	1
41.0	Grants, subsidies, and contributions	113	117
99.0	Direct obligations	337	331
99.0	Reimbursable obligations	31	31
99.9	Total new obligations, unexpired accounts	368	362

Employment Summary

Identification code 075-1700-0-1-552	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	251	267
1101	Direct military average strength employment	5	5
2001	Reimbursable civilian full-time equivalent employment	1	1
3001	Allocation account civilian full-time equivalent employment	7	7

CENTERS FOR MEDICARE AND MEDICAID SERVICES
Federal Funds

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$273,188,478,000] \$313,904,098,000**, to remain available until expended.

[For making.] In addition, for carrying out such titles after May 31, **[2020**, payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act **2021**, for the last quarter of fiscal year **[2020] 2021** for unanticipated costs incurred for the current fiscal year, such sums as may be necessary, *to remain available until expended.*

[For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act **] In addition, for carrying out such titles** for the first quarter of fiscal year **[2021, \$139,903,075,000] 2022, \$148,732,315,000**, to remain available until expended.

Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to

such quarter and approved in that or any subsequent quarter. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0512-0-1-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Medicaid Vendor Payments	430,962	448,384	465,149
0002	State and local administration	23,090	22,340	23,169
0003	Vaccines for Children	4,161	4,418	4,951
0799	Total direct obligations	458,213	475,142	493,269
0900	Total new obligations, unexpired accounts (object class 41.0)	458,213	475,142	493,269
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	15,403	14,678
1021	Recoveries of prior year unpaid obligations	34,290	37,147	38,208
1033	Recoveries of prior year paid obligations	10,932
1050	Unobligated balance (total)	60,625	51,825	38,208
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	276,236	284,243	313,904
Advance appropriations, mandatory:				
1270	Advance appropriation	134,848	137,932	139,903
Spending authority from offsetting collections, mandatory:				
1800	Collected	1,053	1,142	1,254
1801	Change in uncollected payments, Federal sources	129
1850	Spending auth from offsetting collections, mand (total)	1,182	1,142	1,254
1900	Budget authority (total)	412,266	423,317	455,061
1930	Total budgetary resources available	472,891	475,142	493,269
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	14,678
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	46,079	48,596	38,208
3010	New obligations, unexpired accounts	458,213	475,142	493,269
3020	Outlays (gross)	-421,406	-448,383	-453,032
3040	Recoveries of prior year unpaid obligations, unexpired	-34,290	-37,147	-38,208
3050	Unpaid obligations, end of year	48,596	38,208	40,237
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-724	-853	-853
3070	Change in uncollected pymts, Fed sources, unexpired	-129
3090	Uncollected pymts, Fed sources, end of year	-853	-853	-853
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	45,355	47,743	37,355
3200	Obligated balance, end of year	47,743	37,355	39,384
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	412,266	423,317	455,061
Outlays, gross:				
4100	Outlays from new mandatory authority	379,389	414,441	448,147
4101	Outlays from mandatory balances	42,017	33,942	4,885
4110	Outlays, gross (total)	421,406	448,383	453,032
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1,053	-1,142	-1,254
4123	Non-Federal sources	-10,932
4130	Offsets against gross budget authority and outlays (total)	-11,985	-1,142	-1,254
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-129
4143	Recoveries of prior year paid obligations, unexpired accounts	10,932
4150	Additional offsets against budget authority only (total)	10,803
4160	Budget authority, net (mandatory)	411,084	422,175	453,807
4170	Outlays, net (mandatory)	409,421	447,241	451,778
4180	Budget authority, net (total)	411,084	422,175	453,807
4190	Outlays, net (total)	409,421	447,241	451,778

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	411,084	422,175	453,807
Outlays	409,421	447,241	451,778

GRANTS TO STATES FOR MEDICAID—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Legislative proposal, subject to PAYGO:			
Budget Authority			-3,633
Outlays			-3,633
Total:			
Budget Authority	411,084	422,175	450,174
Outlays	409,421	447,241	448,145

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

Vaccines for Children

(in millions of dollars)

	2019	2020	2021
Obligations			
Vaccine Purchase	3,960	4,206	4,642
Vaccine Stockpile	25	10	98
Ordering, Distribution, and Operations	176	202	212
Total Obligations	4,161	4,418	4,951

GRANTS TO STATES FOR MEDICAID
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0512-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medicaid Vendor Payments			-3,633
0799 Total direct obligations			-3,633
0900 Total new obligations, unexpired accounts (object class 41.0)			-3,633

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-3,633
1900 Budget authority (total)			-3,633
1930 Total budgetary resources available			-3,633

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-3,633
3020 Outlays (gross)			3,633

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-3,633
Outlays, gross:			
4100 Outlays from new mandatory authority			-3,633
4180 Budget authority, net (total)			-3,633
4190 Outlays, net (total)			-3,633

This schedule reflects the Administration's Medicaid proposals.

STATE GRANTS AND DEMONSTRATIONS

Program and Financing (in millions of dollars)

Identification code 075-0516-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 Medicaid integrity program	84	111	91
0018 Money follows the person (MFP) demonstration	32	215	261
0023 Grants to improve outreach and enrollment	21	38	3

0028 Demo to increase substance use provider under the Medicaid Program	48	4	1
0799 Total direct obligations	185	368	356
0900 Total new obligations, unexpired accounts	185	368	356

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	368	663	555
1012 Unobligated balance transfers between expired and unexpired accounts	77		
1021 Recoveries of prior year unpaid obligations	11		
1050 Unobligated balance (total)	456	663	555
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	397	265	91
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-5	-5	
1260 Appropriations, mandatory (total)	392	260	91
1900 Budget authority (total)	392	260	91
1930 Total budgetary resources available	848	923	646
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	663	555	290

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	901	695	626
3010 New obligations, unexpired accounts	185	368	356
3020 Outlays (gross)	-378	-437	-429
3040 Recoveries of prior year unpaid obligations, unexpired	-11		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	695	626	553
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	901	695	626
3200 Obligated balance, end of year	695	626	553

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	392	260	91
Outlays, gross:			
4100 Outlays from new mandatory authority	30	27	23
4101 Outlays from mandatory balances	348	410	406
4110 Outlays, gross (total)	378	437	429
4180 Budget authority, net (total)	392	260	91
4190 Outlays, net (total)	378	437	429

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173), the Deficit Reduction Act of 2005 (P.L. 109-171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3), the Patient Protection and Affordable Care Act (P.L. 111-148), the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152), the Protecting Access to Medicare Act of 2014 (P.L. 113-93), and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10). The account also includes funding for grant programs enacted in the HEALTHY KIDS Act (P.L. 115-120), the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115-123), the Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment for Patients and Communities Act (P.L. 115-271), the Medicaid Extenders Act of 2019 (P.L. 116-3), the Medicaid Services Investment and Accountability Act of 2019 (P.L. 116-16), the Sustaining Excellence in Medicaid Act of 2019 (P.L. 116-39), the Continuing Appropriations Act, 2020, the Health Extenders Act of 2019 (P.L. 116-59), and the Further Consolidated Appropriation Act, 2020 (P.L. 116-94).

Object Classification (in millions of dollars)

Identification code 075-0516-0-1-551	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent - Medicaid Integrity Program	20	20	20
11.9 Total personnel compensation	20	20	20
12.1 Civilian personnel benefits - Medicaid Integrity Program	9	9	9

41.0	Grants, subsidies, and contributions - Medicaid Integrity Program	55	82	62
41.0	Grants, subsidies, and contributions - Money follows the person (MFP) demonstrations	32	215	261
41.0	Grants, subsidies, and contributions - Grants to improve outreach and enrollment	21	38	3
41.0	Grants, subsidies, and contributions - Demo to increase substance use provider capacity under Medicaid	48	4	1
99.0	Direct obligations	185	368	356
99.9	Total new obligations, unexpired accounts	185	368	356

Employment Summary

Identification code 075-0516-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	184	201	201
1101 Direct military average strength employment	5	5	5

PAYMENTS TO THE HEALTH CARE TRUST FUNDS

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$410,796,100,000] \$439,514,000,000.**

In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0580-0-1-571	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Federal contribution to match premiums (SMI)	271,628	304,045	325,500
0002	Part D benefits (Rx Drug)	75,418	104,539	111,800
0003	Part D Federal administration (Rx Drug)	642	861	882
0004	General Fund Transfers to HI	1,308	1,346	1,327
0006	Federal Bureau of Investigation (HCFAC)	138	135	138
0007	Federal payments from taxation of OASDI benefits (HI)	23,781	26,941	29,300
0008	Criminal fines (HCFAC)	15	88	36
0009	Civil penalties and damages (HCFAC—DOJ and CMS administration)	37	51	52
0010	Asset Forfeiture	64	31	32
0011	State Low Income Determinations	4	5	5
0900	Total new obligations, unexpired accounts	373,035	438,042	469,072

Budgetary resources:

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (definite, annual)	378,344	410,796	439,514
1200	Appropriation (indefinite, permanent)	23,781	26,941	29,300
1200	Appropriation (HCFAC for FBI)	138	135	138
1200	Appropriation (indefinite for HCFAC)	84	170	120
1260	Appropriations, mandatory (total)	402,347	438,042	469,072
1930	Total budgetary resources available	402,347	438,042	469,072
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-29,312		

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	10,525	12,667	63,114
3010	New obligations, unexpired accounts	373,035	438,042	469,072
3011	Obligations ("upward adjustments"), expired accounts	16		
3020	Outlays (gross)	-364,706	-387,595	-415,989
3041	Recoveries of prior year unpaid obligations, expired	-6,203		
3050	Unpaid obligations, end of year	12,667	63,114	116,197
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10,525	12,667	63,114
3200	Obligated balance, end of year	12,667	63,114	116,197

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	402,347	438,042	469,072
Outlays, gross:				
4100	Outlays from new mandatory authority	364,039	375,230	367,777

4101	Outlays from mandatory balances	667	12,365	48,212
4110	Outlays, gross (total)	364,706	387,595	415,989
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-4,289		
4123	Non-Federal sources	-1,537		
4130	Offsets against gross budget authority and outlays (total)	-5,826		
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts	5,826		
4160	Budget authority, net (mandatory)	402,347	438,042	469,072
4170	Outlays, net (mandatory)	358,880	387,595	415,989
4180	Budget authority, net (total)	402,347	438,042	469,072
4190	Outlays, net (total)	358,880	387,595	415,989

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	402,347	438,042	469,072
Outlays	358,880	387,595	415,989
Legislative proposal, not subject to PAYGO:			
Budget Authority			-7,383
Outlays			-7,383
Total:			
Budget Authority	402,347	438,042	461,689
Outlays	358,880	387,595	408,606

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries and administrative expenses that are properly chargeable to the general fund.

Object Classification (in millions of dollars)

Identification code 075-0580-0-1-571	2019 actual	2020 est.	2021 est.	
Direct obligations:				
41.0	Grants, subsidies, and contributions	371,053	435,726	466,859
42.0	Insurance claims and indemnities (HI Uninsured Federal)	127	109	95
94.0	Financial transfers (Federal admin)	1,855	2,207	2,118
99.9	Total new obligations, unexpired accounts	373,035	438,042	469,072

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0580-2-1-571	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Federal contribution to match premiums (SMI)			-7,383
0900	Total new obligations, unexpired accounts (object class 41.0)			-7,383

Budgetary resources:

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (definite, annual)			-7,383
1930	Total budgetary resources available			-7,383

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts			-7,383
3020	Outlays (gross)			7,383

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross			-7,383
Outlays, gross:				
4100	Outlays from new mandatory authority			-7,383
4180	Budget authority, net (total)			-7,383
4190	Outlays, net (total)			-7,383

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued
and administrative expenses that are properly chargeable to the general fund.

QUALITY IMPROVEMENT ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 075-0519-0-1-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 QIO Clinical Quality Improvement	38	486
0002 QIO Beneficiary and Family Centered Care	438
0003 QIO Support Contracts	421	462	354
0004 QIO Administration	62	74	76
0900 Total new obligations, unexpired accounts	959	1,022	430
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	240	202	36
1801 Change in uncollected payments, Federal sources	1,184	820	393
1850 Spending auth from offsetting collections, mand (total)	1,424	1,022	429
1930 Total budgetary resources available	1,424	1,023	430
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-464
1941 Unexpired unobligated balance, end of year	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	867	886	886
3010 New obligations, unexpired accounts	959	1,022	430
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-911	-1,022	-429
3041 Recoveries of prior year unpaid obligations, expired	-31
3050 Unpaid obligations, end of year	886	886	887
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,617	-1,799	-2,619
3070 Change in uncollected pymts, Fed sources, unexpired	-1,184	-820	-393
3071 Change in uncollected pymts, Fed sources, expired	1,002
3090 Uncollected pymts, Fed sources, end of year	-1,799	-2,619	-3,012
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-750	-913	-1,733
3200 Obligated balance, end of year	-913	-1,733	-2,125
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,424	1,022	429
Outlays, gross:			
4100 Outlays from new mandatory authority	221	411	100
4101 Outlays from mandatory balances	690	611	329
4110 Outlays, gross (total)	911	1,022	429
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-933	-1,022	-429
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-1,184	-820	-393
4142 Offsetting collections credited to expired accounts	693	820	393
4150 Additional offsets against budget authority only (total)	-491
4170 Outlays, net (mandatory)	-22
4180 Budget authority, net (total)
4190 Outlays, net (total)	-22
Memorandum (non-add) entries:			
5093 Expired unavailable balance, SOY: Offsetting collections	50	50	50
5095 Expired unavailable balance, EOY: Offsetting collections	50	50

Part B of title XI of the Social Security Act, as amended by the Peer Review Improvement Act of 1982 (P.L. 97-248), provides the statutory authority for the Medicare Quality Improvement Organization (QIO) Program. The mission of the program is to promote the effectiveness, efficiency, economy, and quality of services delivered to Medicare beneficiaries and to ensure that those services are reasonable and necessary. The program is funded through transfers from the Medicare Hospital Insurance Trust Fund

and the Medicare Supplementary Medical Insurance Trust Fund. In FY 2012, a Treasury account specific to the QIO Program was established to improve budgetary operations.

Object Classification (in millions of dollars)

Identification code 075-0519-0-1-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	25	37	38
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	26	38	39
12.1 Civilian personnel benefits	12	21	22
12.2 Military personnel benefits	1	1
23.3 Communications, utilities, and miscellaneous charges	1	4	4
25.2 Other services from non-Federal sources	920	958	364
99.9 Total new obligations, unexpired accounts	959	1,022	430

Employment Summary

Identification code 075-0519-0-1-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	237	252	257
1101 Direct military average strength employment	10	10	10

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare & Medicaid Services, not to exceed **[\$3,669,744,000] \$3,693,548,000**, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 1893(h) of the Social Security Act, and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until expended: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That the Secretary is directed to collect fees in fiscal year **[2020] 2021** from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act: *Provided further*, That **[**amounts available under this heading to support quality improvement organizations (as defined in section 1152 of the Social Security Act) shall not exceed the amount specifically provided for such purpose under this heading in division H of the Consolidated Appropriations Act, 2018 (Public Law 115-141)**]** *of the funds made available under this heading, \$442,192,000 shall remain available until September 30, 2022, and shall be available for the Survey and Certification Program. (Department of Health and Human Services Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 075-0511-0-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program operations	3,050	2,825	2,479
0002 Federal administration	749	733	773
0003 State survey and certification	401	397	442
0004 Research, demonstrations, and evaluation projects	20	20
0007 ARRA Medicare/Medicaid HIT	27	32	25
0100 Total direct program	4,247	4,007	3,719
0799 Total direct obligations	4,247	4,007	3,719
0801 Clinical laboratory improvement amendments	64	69	69
0802 Sale of data	24	27	27
0803 Coordination of benefits	32	70	70
0804 Medicare advantage/Prescription drug plan	76	85	85
0805 Provider enrollment	5	5	5
0806 Recovery audit contractors	87	111	119
0808 Marketplace User Fees	1,364	1,341	1,120
0810 Risk Adjustment Administrative Expenses	48	58	52

0813	Other reimbursable program activity	24	24	
0899	Total reimbursable obligations	1,700	1,790	1,571
0900	Total new obligations, unexpired accounts	5,947	5,797	5,290
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,123	4,475	5,069
1020	Adjustment of unobligated bal brought forward, Oct 1	464		
1021	Recoveries of prior year unpaid obligations	27		
1033	Recoveries of prior year paid obligations	5		
1050	Unobligated balance (total)	3,619	4,475	5,069
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	3	3	3
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,819	3,995	3,714
1701	Change in uncollected payments, Federal sources	2,212		
1710	Spending authority from offsetting collections transferred to other accounts [075-1503]	-4		
1710	Spending authority from offsetting collections transferred to other accounts [075-9912]	-5		
1750	Spending auth from offsetting collections, disc (total)	4,022	3,995	3,714
Spending authority from offsetting collections, mandatory:				
1800	Collected	2,333	2,412	2,294
1801	Change in uncollected payments, Federal sources	607		
1802	Offsetting collections (previously unavailable)	116	122	109
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-159	-141	
1850	Spending auth from offsetting collections, mand (total)	2,897	2,393	2,403
1900	Budget authority (total)	6,922	6,391	6,120
1930	Total budgetary resources available	10,541	10,866	11,189
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-119		
1941	Unexpired unobligated balance, end of year	4,475	5,069	5,899

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4,599	4,767	4,722
3010	New obligations, unexpired accounts	5,947	5,797	5,290
3011	Obligations ("upward adjustments"), expired accounts	46		
3020	Outlays (gross)	-5,569	-5,842	-6,919
3040	Recoveries of prior year unpaid obligations, unexpired	-27		
3041	Recoveries of prior year unpaid obligations, expired	-229		
3050	Unpaid obligations, end of year	4,767	4,722	3,093
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5,838	-6,882	-6,882
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-500		
3070	Change in uncollected pymts, Fed sources, unexpired	-2,819		
3071	Change in uncollected pymts, Fed sources, expired	2,275		
3090	Uncollected pymts, Fed sources, end of year	-6,882	-6,882	-6,882
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-1,739	-2,115	-2,160
3200	Obligated balance, end of year	-2,115	-2,160	-3,789

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,022	3,995	3,714
Outlays, gross:				
4010	Outlays from new discretionary authority	1,718	2,008	1,867
4011	Outlays from discretionary balances	2,065	1,193	1,986
4020	Outlays, gross (total)	3,783	3,201	3,853
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-3,806	-3,975	-3,694
4033	Non-Federal sources	-31	-20	-20
4040	Offsets against gross budget authority and outlays (total)	-3,837	-3,995	-3,714
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2,212		
4052	Offsetting collections credited to expired accounts	2,018		
4060	Additional offsets against budget authority only (total)	-194		
4070	Budget authority, net (discretionary)	-9		
4080	Outlays, net (discretionary)	-54	-794	139
Mandatory:				
4090	Budget authority, gross	2,900	2,396	2,406
Outlays, gross:				
4100	Outlays from new mandatory authority	36	1,337	1,266
4101	Outlays from mandatory balances	1,750	1,304	1,800
4110	Outlays, gross (total)	1,786	2,641	3,066

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-356	-54	-31
4123	Non-Federal sources	-2,049	-2,358	-2,263
4130	Offsets against gross budget authority and outlays (total)	-2,405	-2,412	-2,294
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-607		
4142	Offsetting collections credited to expired accounts	67		
4143	Recoveries of prior year paid obligations, unexpired accounts	5		
4150	Additional offsets against budget authority only (total)	-535		
4160	Budget authority, net (mandatory)	-40	-16	112
4170	Outlays, net (mandatory)	-619	229	772
4180	Budget authority, net (total)	-49	-16	112
4190	Outlays, net (total)	-673	-565	911

Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	552	531	550
5091	Expiring unavailable balance: Offsetting collections	-64		
5092	Unexpired unavailable balance, EOY: Offsetting collections	531	550	441
5093	Expired unavailable balance, SOY: Offsetting collections	5	69	69
5095	Expired unavailable balance, EOY: Offsetting collections	5	69	69

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-49	-16	112
Outlays	-673	-565	911
Legislative proposal, subject to PAYGO:			
Budget Authority			12
Outlays		-4	-28
Total:			
Budget Authority	-49	-16	124
Outlays	-673	-569	883

Program management activities include funding for program operations, survey and certification, the Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts, and other administrative costs.

Object Classification (in millions of dollars)

Identification code 075-0511-0-1-550	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	425	401	372
11.3	Other than full-time permanent	12	11	11
11.5	Other personnel compensation	6	6	5
11.7	Military personnel	15	14	13
11.9	Total personnel compensation	458	432	401
12.1	Civilian personnel benefits	162	153	142
12.2	Military personnel benefits	9	8	8
21.0	Travel and transportation of persons	5	5	4
23.1	Rental payments to GSA	16	15	14
23.3	Communications, utilities, and miscellaneous charges	8	8	7
24.0	Printing and reproduction	46	43	40
25.2	Other services from non-Federal sources	3,014	2,843	2,640
25.3	Other goods and services from Federal sources	97	92	85
25.6	Medical care	366	345	320
25.7	Operation and maintenance of equipment	40	38	35
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	2	2
41.0	Grants, subsidies, and contributions	23	22	20
99.0	Direct obligations	4,247	4,007	3,719
99.0	Reimbursable obligations	1,700	1,790	1,571
99.9	Total new obligations, unexpired accounts	5,947	5,797	5,290

Employment Summary

Identification code 075-0511-0-1-550	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	4,226	4,088	4,152
1001	Direct military full-time equivalent employment	141	116	64
1101	Direct military average strength employment	144	144	144
2001	Reimbursable civilian full-time equivalent employment	257	293	293
2101	Reimbursable military average strength employment	16	16	16

PROGRAM MANAGEMENT—Continued
PROGRAM MANAGEMENT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0511-4-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program operations			12
0100 Total direct program			12
0799 Total direct obligations			12
0801 ACL SHIPs		5	13
0899 Total reimbursable obligations		5	13
0900 Total new obligations, unexpired accounts		5	25
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			12
Spending authority from offsetting collections, mandatory:			
1800 Collected		5	53
1900 Budget authority (total)		5	65
1930 Total budgetary resources available		5	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			40
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			4
3010 New obligations, unexpired accounts		5	25
3020 Outlays (gross)		-1	-25
3050 Unpaid obligations, end of year		4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			4
3200 Obligated balance, end of year		4	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		5	65
Outlays, gross:			
4100 Outlays from new mandatory authority		1	23
4101 Outlays from mandatory balances			2
4110 Outlays, gross (total)		1	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		-5	-53
4180 Budget authority, net (total)			12
4190 Outlays, net (total)		-4	-28

This display includes the effects of proposals related to mandatory funding for the State Health Insurance Assistance Program, resources to implement HHS's legislative proposals in the FY 2021 Budget, a revisit fee for long term care facilities, and the National Medicare and You Education Program user fee increase.

Object Classification (in millions of dollars)

Identification code 075-0511-4-1-550	2019 actual	2020 est.	2021 est.
25.3 Direct obligations: Other goods and services from Federal sources			12
99.0 Direct obligations			12
99.0 Reimbursable obligations		5	13
99.9 Total new obligations, unexpired accounts		5	25

PAYMENTS TO HOSPITALS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0514-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			17,500
0900 Total new obligations, unexpired accounts (object class 41.0)			17,500
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			17,500
1930 Total budgetary resources available			17,500
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			17,500
3020 Outlays (gross)			-17,500
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			17,500
Outlays, gross:			
4100 Outlays from new mandatory authority			17,500
4180 Budget authority, net (total)			17,500
4190 Outlays, net (total)			17,500

This account reflects the Budget's proposals to modify payments to hospitals for uncompensated care and consolidate graduate medical education spending.

CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0515-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to states and US territories	17,416	18,708	18,030
0002 CHIP Redistribution Funds	179		
0003 Child health quality	10	31	34
0900 Total new obligations, unexpired accounts (object class 41.0)	17,605	18,739	18,064
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8,251	8,237	14,065
1011 Unobligated balance transfer from other acct [075-5551]		4,037	4,914
1021 Recoveries of prior year unpaid obligations	29		
1033 Recoveries of prior year paid obligations	146		
1050 Unobligated balance (total)	8,426	12,274	18,979
Budget authority:			
Appropriations, discretionary:			
1130 Appropriations permanently reduced			-8,790
Appropriations, mandatory:			
1200 Appropriation	22,600	23,700	24,800
1221 Appropriations transferred from other acct [075-5551]			6,093
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2,061	-3,170	
1260 Appropriations, mandatory (total)	20,539	20,530	30,893
1900 Budget authority (total)	20,539	20,530	22,103
1930 Total budgetary resources available	28,965	32,804	41,082
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3,123		
1941 Unexpired unobligated balance, end of year	8,237	14,065	23,018
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,789	5,530	6,615
3010 New obligations, unexpired accounts	17,605	18,739	18,064
3020 Outlays (gross)	-17,835	-17,654	-15,778
3040 Recoveries of prior year unpaid obligations, unexpired	-29		
3050 Unpaid obligations, end of year	5,530	6,615	8,901
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,789	5,530	6,615

3200	Obligated balance, end of year	5,530	6,615	8,901
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			-8,790
Mandatory:				
4090	Budget authority, gross	20,539	20,530	30,893
Outlays, gross:				
4100	Outlays from new mandatory authority	12,531	10,831	9,263
4101	Outlays from mandatory balances	5,304	6,823	6,515
4110	Outlays, gross (total)	17,835	17,654	15,778
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources:	-146		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	146		
4160	Budget authority, net (mandatory)	20,539	20,530	30,893
4170	Outlays, net (mandatory)	17,689	17,654	15,778
4180	Budget authority, net (total)	20,539	20,530	22,103
4190	Outlays, net (total)	17,689	17,654	15,778

The Balanced Budget Act of 1997 (P.L. 105–33) established the Children's Health Insurance Program (CHIP) under title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3, CHIPRA) reauthorized the CHIP program and appropriated funding for CHIP through fiscal year 2013. CHIPRA made some modifications to the program, including increased funding for States and territories, and support for child health quality and outreach activities. CHIPRA also created a contingency fund in a separate account to assist States who project spending above their available allocated CHIP funds. The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114–10) extended CHIP funding through fiscal year 2015 and 2017, respectively. The HEALTHY KIDS Act (P.L. 115–120) and the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115–123) extended CHIP funding through fiscal year 2023 and 2027, respectively.

CENTER FOR MEDICARE AND MEDICAID INNOVATION

Program and Financing (in millions of dollars)

Identification code 075–0522–0–1–551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Administration	349	411	433
0002	Innovation Activities	459	1,003	810
0900	Total new obligations, unexpired accounts	808	1,414	1,243
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,619	1,856	10,442
1021	Recoveries of prior year unpaid obligations	45		
1050	Unobligated balance (total)	2,664	1,856	10,442
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation		10,000	
1930	Total budgetary resources available	2,664	11,856	10,442
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,856	10,442	9,199
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,228	1,013	1,217
3010	New obligations, unexpired accounts	808	1,414	1,243
3020	Outlays (gross)	-978	-1,210	-1,203
3040	Recoveries of prior year unpaid obligations, unexpired	-45		
3050	Unpaid obligations, end of year	1,013	1,217	1,257

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,228	1,013	1,217
3200	Obligated balance, end of year	1,013	1,217	1,257
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross		10,000	
Outlays, gross:				
4101	Outlays from mandatory balances	978	1,210	1,203
4180	Budget authority, net (total)		10,000	
4190	Outlays, net (total)	978	1,210	1,203

The Center for Medicare and Medicaid Innovation ("Innovation Center") was established by section 1115A of the Social Security Act (as added by section 3021 of the Patient Protection and Affordable Care Act). The Innovation Center is tasked with testing innovative payment and service delivery models to reduce program expenditures while preserving or enhancing the quality of care provided to individuals under Medicare, Medicaid, or the Children's Health Insurance Program (CHIP). The statute provides \$10 billion in mandatory funding for these purposes for fiscal years 2011 through 2019 and each subsequent 10-year fiscal period (beginning with the 10-year fiscal period beginning with fiscal year 2020).

Object Classification (in millions of dollars)

Identification code 075–0522–0–1–551	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	81	61	67
11.7	Military personnel	2	3	4
11.9	Total personnel compensation	83	64	71
12.1	Civilian personnel benefits	20	20	21
12.2	Military personnel benefits	1	1	1
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.2	Other services from non-Federal sources	567	860	686
41.0	Grants, subsidies, and contributions	123	405	401
42.0	Insurance claims and indemnities	11	61	60
99.9	Total new obligations, unexpired accounts	808	1,414	1,243

Employment Summary

Identification code 075–0522–0–1–551	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	516	557	557
1101	Direct military average strength employment	17	17	17

CHILD ENROLLMENT CONTINGENCY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075–5551–0–2–551	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	3,132	5,609	6,093
0198	Earnings on investment adjustment	-4		
0199	Balance, start of year	3,128	5,609	6,093
Receipts:				
Current law:				
1140	Interest, Child Enrollment Contingency Fund	254	173	75
2000	Total: Balances and receipts	3,382	5,782	6,168
Appropriations:				
Current law:				
2101	Child Enrollment Contingency Fund	-254	-173	-168
2103	Child Enrollment Contingency Fund	-3,128	-5,609	-6,093
2135	Child Enrollment Contingency Fund	3,267	6,093	
2199	Total current law appropriations	-115	311	-6,261
2999	Total appropriations	-115	311	-6,261
4030	Child Enrollment Contingency Fund	2,342		
5099	Balance, end of year	5,609	6,093	-93

CHILD ENROLLMENT CONTINGENCY FUND—Continued

Program and Financing (in millions of dollars)

Identification code 075-5551-0-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States and US Territories	113		
0900 Total new obligations, unexpired accounts (object class 41.0)	113		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,338	4,522	4,914
1010 Unobligated balance transfer to other accts [075-0515]		-4,037	-4,914
1020 Adjustment of unobligated bal brought forward, Oct 1	4		
1035 Unobligated balance precluded from obligation (limitation on obligations)(special and trust)	-2,342		
1050 Unobligated balance (total)		485	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	4,520	4,740	4,960
1201 Appropriation (special or trust fund)	254	173	168
1203 Appropriation (previously unavailable)(special or trust)	3,128	5,609	6,093
1220 Appropriations transferred to other acct [075-0515]			-6,093
1235 Appropriations precluded from obligation (special or trust)	-3,267	-6,093	
1260 Appropriations, mandatory (total)	4,635	4,429	5,128
1900 Budget authority (total)	4,635	4,429	5,128
1930 Total budgetary resources available	4,635	4,914	5,128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4,522	4,914	5,128
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	200	310	
3010 New obligations, unexpired accounts	113		
3020 Outlays (gross)	-3	-310	
3050 Unpaid obligations, end of year	310		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	200	310	
3200 Obligated balance, end of year	310		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4,635	4,429	5,128
Outlays, gross:			
4100 Outlays from new mandatory authority	3		
4101 Outlays from mandatory balances		310	
4110 Outlays, gross (total)	3	310	
4180 Budget authority, net (total)	4,635	4,429	5,128
4190 Outlays, net (total)	3	310	
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value			15,044
5001 Total investments, EOY: Federal securities: Par value		15,044	10,042

The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3) established the Child Enrollment Contingency Fund under title XXI of the Social Security Act. Beginning in 2009, a State may qualify for a Contingency Fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10) extended the Contingency Fund through fiscal years 2015 and 2017, respectively. The HEALTHY KIDS Act (P.L. 115-120) and the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115-123) extended the Contingency Fund through FY 2023 and FY 2027, respectively.

The Fund receives an appropriation equal to 20 percent of the CHIP national allotment appropriation under section 2104(a) of the Social Security Act. The Contingency Fund is invested in interest bearing securities of the United States, and the income derived from these investments constitutes a part of the fund.

CHILD ENROLLMENT CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

The Budget proposes to repeal the Child Enrollment Contingency Fund and instead fund a restructured Shortfall Fund that will be a more accessible safety net for states. Currently, few states can meet the restrictive eligibility criteria to qualify for a Contingency Fund payment. When States do qualify, they do not need to spend the funds on children's health. This proposal has no budget impact.

MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 075-0508-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Incentive payments to hospitals	37	15	10
0802 Incentive payments to eligible professionals	9		
0900 Total new obligations, unexpired accounts (object class 42.0)	46	15	10
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	12		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected from the HI Trust Fund	53	15	10
1801 Change in uncollected payments, Federal sources	-16		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-3		
1850 Spending auth from offsetting collections, mand (total)	34	15	10
1930 Total budgetary resources available	46	15	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	15	15
3010 New obligations, unexpired accounts	46	15	10
3020 Outlays (gross)	-55	-15	-10
3050 Unpaid obligations, end of year	15	15	15
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-161	-145	-145
3070 Change in uncollected pymts, Fed sources, unexpired	16		
3090 Uncollected pymts, Fed sources, end of year	-145	-145	-145
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-137	-130	-130
3200 Obligated balance, end of year	-130	-130	-130
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	34	15	10
Outlays, gross:			
4100 Outlays from new mandatory authority	31	1	10
4101 Outlays from mandatory balances	24	14	
4110 Outlays, gross (total)	55	15	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-53	-15	-10
4123 Non-Federal sources	-12		
4130 Offsets against gross budget authority and outlays (total)	-65	-15	-10
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	16		
4143 Recoveries of prior year paid obligations, unexpired accounts	12		
4150 Additional offsets against budget authority only (total)	28		
4160 Budget authority, net (mandatory)	-3		
4170 Outlays, net (mandatory)	-10		
4180 Budget authority, net (total)	-3		
4190 Outlays, net (total)	-10		
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	141	144	144

5092	Unexpired unavailable balance, EOY: Offsetting collections	144	144	144
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RATE REVIEW GRANTS

Program and Financing (in millions of dollars)

Identification code 075-0112-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Premium rate review grants		26
0900	Total new obligations, unexpired accounts (object class 41.0)		26
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	26
1012	Unobligated balance transfers between expired and unexpired accounts		16
1021	Recoveries of prior year unpaid obligations		5
1050	Unobligated balance (total)	5	26
1930	Total budgetary resources available	5	26
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	26
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	52	18
3010	New obligations, unexpired accounts		26
3020	Outlays (gross)	-17	-5
3040	Recoveries of prior year unpaid obligations, unexpired		-5
3041	Recoveries of prior year unpaid obligations, expired	-17	
3050	Unpaid obligations, end of year	18	21
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	52	18
3200	Obligated balance, end of year	18	21
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	17	5
4180	Budget authority, net (total)		
4190	Outlays, net (total)	17	5

The Patient Protection and Affordable Care Act (P.L. 111-148) added section 2794 to the Public Health Service Act and provided that the Secretary carry out a program to award grants to States for a five-year period beginning in fiscal year 2010. The program provided \$250 million in grants to help States develop or enhance their current rate review activities from 2010 through 2014, with remaining unobligated balances subsequently available for state implementation of consumer protections and other insurance reform activities consistent with section 2794(c)(2)(B).

PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM

Program and Financing (in millions of dollars)

Identification code 075-0113-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Pre-Existing Condition Insurance Plan Program (Direct)	55	
0002	Administration		75
0799	Total direct obligations	55	75
0900	Total new obligations, unexpired accounts (object class 25.2)	55	75
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	246	120
1021	Recoveries of prior year unpaid obligations	4	
1050	Unobligated balance (total)	250	120
1930	Total budgetary resources available	250	120
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	195	45

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	69	71
3010	New obligations, unexpired accounts	55	75
3020	Outlays (gross)	-52	-90
3040	Recoveries of prior year unpaid obligations, unexpired	-4	
3050	Unpaid obligations, end of year	68	56
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	69	71
3200	Obligated balance, end of year	68	56

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	52	90
4180	Budget authority, net (total)		
4190	Outlays, net (total)	52	90

This account funded the Pre-Existing Condition Insurance Plan program (PCIP), which made health insurance available to people who had been unable to purchase insurance due to a pre-existing condition. Enrollees paid monthly premiums similar to those charged in the commercial individual market, and the Federal government paid for remaining costs that exceeded enrollee contributions. The funding for this program, including operating costs, was provided in the Patient Protection and Affordable Care Act (P.L. 111-148). The PCIP program ended in fiscal year 2014, and outlays in subsequent fiscal years reflect program close out and claims run out costs, as well as allowable administrative costs in the current year.

EARLY RETIREE REINSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 075-0114-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	26	36
1021	Recoveries of prior year unpaid obligations		10
1050	Unobligated balance (total)	26	36
1930	Total budgetary resources available	26	36
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	26	36
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	10	10
3040	Recoveries of prior year unpaid obligations, unexpired		-10
3050	Unpaid obligations, end of year	10	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	10	10
3200	Obligated balance, end of year	10	
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

The Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated \$5 billion for the Early Retiree Reinsurance Program (ERRP). By statute, ERRP sunset on January 1, 2014, and is no longer providing reimbursements to plan sponsors.

AFFORDABLE INSURANCE EXCHANGE GRANTS

Program and Financing (in millions of dollars)

Identification code 075-0115-0-1-551	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7	
3020	Outlays (gross)	-5	
3041	Recoveries of prior year unpaid obligations, expired	-2	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	7	

AFFORDABLE INSURANCE EXCHANGE GRANTS—Continued
Program and Financing—Continued

Identification code 075-0115-0-1-551	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	5		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	1		
4170 Outlays, net (mandatory)	4		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4		

This program provided funding for Planning and Establishment Grants to States for their activities to implement Health Insurance Exchanges. The Exchanges facilitated the purchase of qualified health plans in the individual market and allowed small businesses to offer qualified health plans to their employees through the Small Business Health Options Program (SHOP). Section 1311 of the Patient Protection and Affordable Care Act (P.L. 111-148) provided amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allowed for renewal of grants through January 1, 2015. The final round of grants was awarded to States in December 2014.

COST-SHARING REDUCTIONS

Program and Financing (in millions of dollars)

Identification code 075-0126-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Cost Sharing Reductions		6,734	6,624
0002 Basic Health Program		1,266	1,307
0900 Total new obligations, unexpired accounts (object class 41.0)		8,000	7,931
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		8,000	7,931
1930 Total budgetary resources available		8,000	7,931
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		8,000	7,931
3020 Outlays (gross)		-8,000	-7,931
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		8,000	7,931
Outlays, gross:			
4100 Outlays from new mandatory authority		8,000	7,931
4180 Budget authority, net (total)		8,000	7,931
4190 Outlays, net (total)		8,000	7,931

Under current law, insurers are required to offer reduced cost-sharing to eligible, low-income consumers. The classification of CSRs as an entitlement pursuant to BBEDCA does not determine legal entitlement to a payment or benefit or availability of funding.

RISK ADJUSTMENT PROGRAM PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5733-0-2-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	311	371	328
Receipts:			
Current law:			
1110 Receipts, Risk Adjustment Program	5,978	5,541	5,627
2000 Total: Balances and receipts	6,289	5,912	5,955

Appropriations:

Current law:			
2101 Risk Adjustment Program Payments	-5,978	-5,540	-5,627
2103 Risk Adjustment Program Payments	-311	-371	-327
2132 Risk Adjustment Program Payments	371	327	
2199 Total current law appropriations	-5,918	-5,584	-5,954
2999 Total appropriations	-5,918	-5,584	-5,954
5099 Balance, end of year	371	328	1

Program and Financing (in millions of dollars)

Identification code 075-5733-0-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Risk Adjustment Program Payments (Direct)	7,397	5,584	5,954
0900 Total new obligations, unexpired accounts (object class 41.0)	7,397	5,584	5,954
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,225	746	746
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5,978	5,540	5,627
1203 Appropriation (previously unavailable)(special or trust) ...	311	371	327
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-371	-327	
1260 Appropriations, mandatory (total)	5,918	5,584	5,954
1930 Total budgetary resources available	8,143	6,330	6,700
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	746	746	746

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,192	2,678	
3010 New obligations, unexpired accounts	7,397	5,584	5,954
3020 Outlays (gross)	-6,911	-8,262	-5,954
3050 Unpaid obligations, end of year	2,678		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,192	2,678	
3200 Obligated balance, end of year	2,678		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	5,918	5,584	5,954
Outlays, gross:			
4100 Outlays from new mandatory authority	2,494	5,584	5,954
4101 Outlays from mandatory balances	4,417	2,678	
4110 Outlays, gross (total)	6,911	8,262	5,954
4180 Budget authority, net (total)	5,918	5,584	5,954
4190 Outlays, net (total)	6,911	8,262	5,954

Section 1343 of the Patient Protection and Affordable Care Act (P.L. 111-148) established a permanent risk adjustment program for non-grandfathered plans in the individual and small group markets. Risk adjustment is budget neutral within each state and market, such that charges collected from plans with lower than average actuarial risk are used to make payments to plans with higher than average actuarial risk. Risk adjustment may be operated by a State, or by the Federal government in the event a State chooses not to operate risk adjustment. Payments and charges are made in the year following the plan year for which they are calculated.

TRANSITIONAL REINSURANCE PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	25		
Receipts:			
Current law:			
1110 Contributions, Transitional Reinsurance Program	1		
2000 Total: Balances and receipts	26		
Appropriations:			
Current law:			
2101 Transitional Reinsurance Program	-1		

2103	Transitional Reinsurance Program	-25		
2199	Total current law appropriations	-26		
2999	Total appropriations	-26		
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Transitional reinsurance payments	237		
0900	Total new obligations, unexpired accounts (object class 41.0)	237		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	216	5	5
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	1		
1203	Appropriation (previously unavailable)(special or trust)	25		
1260	Appropriations, mandatory (total)	26		
1930	Total budgetary resources available	242	5	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	5	5

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	10	212	
3010	New obligations, unexpired accounts	237		
3020	Outlays (gross)	-35	-212	
3050	Unpaid obligations, end of year	212		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10	212	
3200	Obligated balance, end of year	212		

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.	
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	26		
Outlays, gross:				
4101	Outlays from mandatory balances	35	212	
4180	Budget authority, net (total)	26		
4190	Outlays, net (total)	35	212	

Section 1341 of the Patient Protection and Affordable Care Act (P.L. 111-148) established a transitional three-year reinsurance program to minimize the impact of high-cost enrollees in plans in the individual market for plan years 2014, 2015, and 2016. The Centers for Medicare & Medicaid Services assessed contributing entities a per enrollee fee to fund the reinsurance program and made payments to issuers in the individual market for enrollees whose medical costs exceed a certain threshold, up to a reinsurance cap. Reinsurance collections and payments were made in the year following the plan year for which they were applicable. Reinsurance collections ended in FY 2019 and outlays in subsequent fiscal years reflect remaining payments, refunds, and allowable administrative activities.

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0705	Reestimates of direct loan subsidy	4		
0709	Administrative expenses	1		
0900	Total new obligations, unexpired accounts (object class 41.0)	5		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	2	2
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	2	2	2

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	5		
1930	Total budgetary resources available	7	2	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	2

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	1	1
3010	New obligations, unexpired accounts	5		
3020	Outlays (gross)	-5		
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	1	1
3200	Obligated balance, end of year	1	1	1

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	5		
Outlays, gross:				
4100	Outlays from new mandatory authority	5		
4180	Budget authority, net (total)	5		
4190	Outlays, net (total)	5		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Direct loan reestimates:				
135001	Startup Loans	5		
135999	Total direct loan reestimates	5		

The Consumer Operated and Oriented Plan Contingency Fund was established by the American Taxpayer Relief Act of 2012 (P.L. 112-240). This fund provides assistance and oversight to qualified nonprofit health insurance issuers that have been awarded loans or grants under section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148).

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0705	Reestimates of direct loan subsidy	231	2	
0706	Interest on reestimates of direct loan subsidy	28		
0709	Administrative expenses	1	1	
0900	Total new obligations, unexpired accounts	260	3	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	2	1	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	259	2	
1900	Budget authority (total)	259	2	
1930	Total budgetary resources available	261	3	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	3
3010	New obligations, unexpired accounts	260	3	
3020	Outlays (gross)	-259	-2	
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	2	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	3
3200	Obligated balance, end of year	2	3	3

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	259	2	
Outlays, gross:			
4100 Outlays from new mandatory authority	259	2	
4180 Budget authority, net (total)	259	2	
4190 Outlays, net (total)	259	2	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135002 Startup Loans	116		
135003 Solvency Loans	143	2	
135999 Total direct loan reestimates	259	2	

Section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated funding for the Consumer Operated and Oriented Plan (CO-OP) Program. The CO-OP Program fosters the creation of qualified nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary awarded loans to qualified nonprofit issuers to fund start-up costs and reserves, which enabled qualified issuers to meet state solvency requirements. The Secretary issued the final round of loans in December 2014.

Object Classification (in millions of dollars)

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	1	
33.0 Investments and loans		2	
41.0 Grants, subsidies, and contributions	259		
99.9 Total new obligations, unexpired accounts	260	3	

CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4418-0-3-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	7	3	3
0900 Total new obligations, unexpired accounts	7	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	22	18	20
1020 Adjustment of unobligated bal brought forward, Oct 1	-13		
1050 Unobligated balance (total)	9	18	20
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	3	2	2
Spending authority from offsetting collections, mandatory:			
1800 Collected	272	41	
1825 Spending authority from offsetting collections applied to repay debt	-259	-38	
1850 Spending auth from offsetting collections, mand (total)	13	3	
1900 Budget authority (total)	16	5	2
1930 Total budgetary resources available	25	23	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	20	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			3
3010 New obligations, unexpired accounts	7	3	3

3020 Outlays (gross)	-7		
3050 Unpaid obligations, end of year		3	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			3
3200 Obligated balance, end of year		3	6

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	16	5	2
Financing disbursements:			
4110 Outlays, gross (total)	7		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-259	-2	
4122 Interest on uninvested funds	-5	-1	
4123 Non-Federal sources	-8	-38	
4130 Offsets against gross budget authority and outlays (total)	-272	-41	
4160 Budget authority, net (mandatory)	-256	-36	2
4170 Outlays, net (mandatory)	-265	-41	
4180 Budget authority, net (total)	-256	-36	2
4190 Outlays, net (total)	-265	-41	

Status of Direct Loans (in millions of dollars)

Identification code 075-4418-0-3-551	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,893	1,893	1,893
1263 Write-offs for default: Direct loans			-582
1290 Outstanding, end of year	1,893	1,893	1,311

Balance Sheet (in millions of dollars)

Identification code 075-4418-0-3-551	2018 actual	2019 actual
Group heading		
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	9	9
Investments in U.S. securities:		
1106 Receivables, net	259	259
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,893	1,893
1402 Interest receivable	25	25
1405 Allowance for subsidy cost (-)	-1,778	-1,778
1499 Net present value of assets related to direct loans	140	140
1999 Total assets	408	408
LIABILITIES:		
2103 Federal liabilities: Debt	408	408
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	408	408

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4482-0-3-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	2	1	1
0900 Total new obligations, unexpired accounts	2	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	6
1020 Adjustment of unobligated bal brought forward, Oct 1	-3		
1023 Unobligated balances applied to repay debt	-2		
1050 Unobligated balance (total)	3	5	6
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	3	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	6	1	

1825	Spending authority from offsetting collections applied to repay debt	-5		
1850	Spending auth from offsetting collections, mand (total)	1	1	
1900	Budget authority (total)	4	2	1
1930	Total budgetary resources available	7	7	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	6	6
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			1
3010	New obligations, unexpired accounts	2	1	1
3020	Outlays (gross)	-2		
3050	Unpaid obligations, end of year		1	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			1
3200	Obligated balance, end of year		1	2
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	4	2	1
Financing disbursements:				
4110	Outlays, gross (total)	2		
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-5		
4123	Non-Federal sources	-1	-1	
4130	Offsets against gross budget authority and outlays (total)	-6	-1	
4160	Budget authority, net (mandatory)	-2	1	1
4170	Outlays, net (mandatory)	-4	-1	
4180	Budget authority, net (total)	-2	1	1
4190	Outlays, net (total)	-4	-1	

Status of Direct Loans (in millions of dollars)

Identification code 075-4482-0-3-551	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	480	480
1263	Write-offs for default: Direct loans		-139
1290	Outstanding, end of year	480	341

Balance Sheet (in millions of dollars)

Identification code 075-4482-0-3-551	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	5
Investments in U.S. securities:		
1106	Receivables, net	5
1206	Non-Federal assets: Receivables, net	
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	480
1402	Interest receivable	5
1405	Allowance for subsidy cost (-)	-429
1499	Net present value of assets related to direct loans	56
1999	Total assets	66
LIABILITIES:		
Federal liabilities:		
2103	Debt	65
2104	Resources payable to Treasury	
2207	Non-Federal liabilities: Other	
2999	Total liabilities	65
NET POSITION:		
3300	Cumulative results of operations	1
4999	Total liabilities and net position	66

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	164,161	156,175

Receipts:

Current law:			
1110	FHI Trust Fund, Transfers from General Fund (FICA Taxes)	258,083	271,553
1110	FHI Trust Fund, Receipts from Railroad Retirement Board	610	586
1110	FHI Trust Fund, Transfers from General Fund (SECA Taxes)	18,879	20,005
1110	FHI Trust Fund, Civil Penalties and Damages	815	614
1130	FHI Trust Fund, Other Proprietary Interest from the Public		2
1130	FHI Trust Fund, Basic Premium, Medicare Advantage	387	511
1130	FHI Trust Fund, Medicare Refunds	6,231	6,100
1130	Affordable Care Act Medicare Shared Savings Models (HI)	79	48
1130	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,823	4,207
1140	FHI Trust Fund, Federal Employer Contributions (FICA)	3,817	4,060
1140	FHI Trust Fund, Postal Service Employer Contributions (FICA)	662	661
1140	FHI Trust Fund, Interest Received by Trust Funds	6,927	6,129
1140	FHI Trust Fund, Taxation on OASDI Benefits	23,781	26,941
1140	FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	138	135
1140	FHI Trust Fund, Transfers from General Fund (criminal Fines)	15	88
1140	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	64	52
1140	FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	37	31
1140	FHI Trust Fund, Interest Payments by Railroad Retirement Board	24	23
1140	FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	1,177	1,293
1199	Total current law receipts	325,549	343,039
Proposed:			
1210	FHI Trust Fund, Transfers from General Fund (FICA Taxes)		-83
1230	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible		-235
1240	FHI Trust Fund, Interest Received by Trust Funds		247
1240	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)		2
1299	Total proposed receipts		-69
1999	Total receipts	325,549	343,039
2000	Total: Balances and receipts	489,710	507,653
Appropriations:			
Current law:			
2101	Federal Hospital Insurance Trust Fund	-3,039	-2,689
2101	Federal Hospital Insurance Trust Fund	-320,420	-338,930
2101	Health Care Fraud and Abuse Control Account	-765	-786
2101	Health Care Fraud and Abuse Control Account	-1,380	-1,406
2103	Federal Hospital Insurance Trust Fund	-14,649	-9,153
2132	Federal Hospital Insurance Trust Fund	6,636	
2132	Health Care Fraud and Abuse Control Account	49	49
2199	Total current law appropriations	-333,568	-352,915
Proposed:			
2201	Federal Hospital Insurance Trust Fund		-247
2201	Federal Hospital Insurance Trust Fund		235
2203	Federal Hospital Insurance Trust Fund		-11
2234	Federal Hospital Insurance Trust Fund		16,932
2299	Total proposed appropriations		-11
2999	Total appropriations	-333,568	-352,926
3098	Federal Hospital Insurance Trust Fund	33	
5099	Balance, end of year	156,175	146,288

Program and Financing (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Benefit payments, HI	326,346	346,980
0002	HIT Incentive Payments	44	15
0003	Administration, HI	4,456	2,871
0004	Quality improvement organizations, HI	636	907
0799	Total direct obligations	331,482	350,773
0900	Total new obligations, unexpired accounts	331,482	350,773

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1
1001	Discretionary unobligated balance brought fwd, Oct 1		1
1021	Recoveries of prior year unpaid obligations	39	
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	-33	

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued
Program and Financing—Continued

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
1033 Recoveries of prior year paid obligations	5		
1050 Unobligated balance (total)	11	1	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,039	2,689	2,789
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	320,420	338,930	357,148
1203 Appropriation (previously unavailable)(special or trust)	14,649	9,153	15,814
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced (Sequester)	-6,636		
1260 Appropriations, mandatory (total)	328,433	348,083	372,962
1900 Budget authority (total)	331,472	350,772	375,751
1930 Total budgetary resources available	331,483	350,773	375,751
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	36,686	40,274	40,867
3001 Adjustments to unpaid obligations, brought forward, Oct 1	5		
3010 New obligations, unexpired accounts	331,482	350,773	375,751
3020 Outlays (gross)	-327,860	-350,180	-375,341
3040 Recoveries of prior year unpaid obligations, unexpired	-39		
3050 Unpaid obligations, end of year	40,274	40,867	41,277
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	36,691	40,274	40,867
3200 Obligated balance, end of year	40,274	40,867	41,277
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,039	2,689	2,789
Outlays, gross:			
4010 Outlays from new discretionary authority	1,883	1,469	1,551
4011 Outlays from discretionary balances	1,062	494	697
4020 Outlays, gross (total)	2,945	1,963	2,248
Mandatory:			
4090 Budget authority, gross	328,433	348,083	372,962
Outlays, gross:			
4100 Outlays from new mandatory authority	292,819	311,142	339,784
4101 Outlays from mandatory balances	32,096	37,075	33,309
4110 Outlays, gross (total)	324,915	348,217	373,093
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-5		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	5		
4160 Budget authority, net (mandatory)	328,433	348,083	372,962
4170 Outlays, net (mandatory)	324,910	348,217	373,093
4180 Budget authority, net (total)	331,472	350,772	375,751
4190 Outlays, net (total)	327,855	350,180	375,341
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	202,805	198,625	189,516
5001 Total investments, EOY: Federal securities: Par value	198,625	189,516	189,717

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	331,472	350,772	375,751
Outlays	327,855	350,180	375,341
Legislative proposal, subject to PAYGO:			
Budget Authority		11	-17,167
Outlays		11	-17,167
Total:			
Budget Authority	331,472	350,783	358,584
Outlays	327,855	350,191	358,174

Status of Funds (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	203,227	198,894	189,672
0298 Adjustment to reconcile to proprietary accounting	1		
0999 Total balance, start of year	203,228	198,894	189,672
Cash income during the year:			
Current law:			
Receipts:			
1110 FHI Trust Fund, Transfers from General Fund (FICA Taxes)	258,083	271,553	286,235
1110 FHI Trust Fund, Receipts from Railroad Retirement Board	610	586	619
1110 FHI Trust Fund, Transfers from General Fund (SECA Taxes)	18,879	20,005	21,268
1110 FHI Trust Fund, Civil Penalties and Damages	815	614	575
1130 FHI Trust Fund, Basic Premium, Medicare Advantage	387	511	573
1130 FHI Trust Fund, Medicare Refunds	6,231	6,100	6,150
1130 Affordable Care Act Medicare Shared Savings Models (HI)	79	48	48
1130 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,823	4,207	4,511
1130 Federal Hospital Insurance Trust Fund	5		
1150 FHI Trust Fund, Interest Received by Trust Funds	6,927	6,129	5,688
1150 FHI Trust Fund, Other Proprietary Interest from the Public		2	2
1150 FHI Trust Fund, Interest Payments by Railroad Retirement Board	24	23	22
1160 FHI Trust Fund, Federal Employer Contributions (FICA)	3,817	4,060	4,219
1160 FHI Trust Fund, Postal Service Employer Contributions (FICA)	662	661	660
1160 FHI Trust Fund, Taxation on OASDI Benefits	23,781	26,941	29,300
1160 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	138	135	138
1160 FHI Trust Fund, Transfers from General Fund (criminal Fines)	15	88	36
1160 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	64	52	52
1160 FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	37	31	32
1160 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	1,177	1,293	1,306
1199 Income under present law	325,554	343,039	361,434
Proposed:			
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes)			
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes)			-83
Offsetting receipts (proprietary):			
1230 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible			-235
1250 FHI Trust Fund, Interest Received by Trust Funds			247
Offsetting governmental receipts:			
1260 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)			2
1299 Income proposed			-69
1999 Total cash income	325,554	343,039	361,365
Cash outgo during year:			
Current law:			
2100 Federal Hospital Insurance Trust Fund [Budget Acct]	-327,860	-350,180	-375,341
2100 Health Care Fraud and Abuse Control Account [Budget Acct]	-1,965	-2,070	-2,156
2199 Outgo under current law	-329,825	-352,250	-377,497
Proposed:			
2200 Federal Hospital Insurance Trust Fund		-11	17,167
2299 Outgo under proposed legislation		-11	17,167
2999 Total cash outgo (-)	-329,825	-352,261	-360,330
Surplus or deficit:			
3110 Excluding interest	-11,222	-15,376	-4,924
3120 Interest	6,951	6,154	5,959
3199 Subtotal, surplus or deficit	-4,271	-9,222	1,035
3298 Adjustment to reconcile to proprietary accounting	-63		
3299 Total adjustments	-63		
3999 Total change in fund balance	-4,334	-9,222	1,035
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	269	156	990
4200 Federal Hospital Insurance Trust Fund	198,625	189,516	189,717
4999 Total balance, end of year	198,894	189,672	190,707

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled persons.

Object Classification (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Payment for Quality Improvement Organization (QIO) activities	636	907	340
42.0 Insurance claims and indemnities (benefits)	326,390	346,995	372,428
94.0 Financial transfers	4,456	2,871	2,983
99.9 Total new obligations, unexpired accounts	331,482	350,773	375,751

Employment Summary

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1	1

FEDERAL HOSPITAL INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8005-2-7-571	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			247
1235 Appropriations precluded from obligation (special or trust)			-247
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

FEDERAL HOSPITAL INSURANCE TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8005-4-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments, HI			-17,185
0003 Administration, HI		11	18
0799 Total direct obligations		11	-17,167
0900 Total new obligations, unexpired accounts		11	-17,167
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-235
1203 Appropriation (previously unavailable)(special or trust)		11	
1234 Appropriations precluded from obligation			-16,932
1260 Appropriations, mandatory (total)		11	-17,167
1900 Budget authority (total)		11	-17,167
1930 Total budgetary resources available		11	-17,167
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		11	-17,167
3020 Outlays (gross)		-11	17,167
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		11	-17,167
Outlays, gross:			
4100 Outlays from new mandatory authority		11	-17,167
4180 Budget authority, net (total)		11	-17,167
4190 Outlays, net (total)		11	-17,167

The Budget includes a package of Medicare proposals designed to eliminate wasteful spending, preserve and expand beneficiary access to care, enhance choice and competition, and strengthen Medicare's fiscal sustainability, consistent with the President's Executive Order on *Protecting and Improving Medicare for Our Nation's Seniors*.

Object Classification (in millions of dollars)

Identification code 075-8005-4-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0 Insurance claims and indemnities (benefits)			-17,185
94.0 Financial transfers		11	18
99.9 Total new obligations, unexpired accounts		11	-17,167

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

In addition to amounts otherwise available for program integrity and program management, **[\$786,000,000]** \$813,000,000, to remain available through September 30, **[2021]** 2022, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which **[\$610,000,000]** \$628,356,426 shall be for the Centers for Medicare & Medicaid Services program integrity activities, of which **[\$93,000,000]** \$101,643,574 shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act, and of which \$83,000,000 shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: *Provided*, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year **[2020]** 2021 shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation: *Provided further*, That of the amount provided under this heading, \$311,000,000 is provided to meet the terms of section 251(b)(2)(C)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$475,000,000]** \$496,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(C) of such Act: *Provided further*, That **[the Secretary shall provide not less than \$18,000,000 for the Senior Medicare Patrol program to combat health care fraud and abuse from the funds provided to this account]** amounts made available under this heading and amounts made available for fiscal year 2021 in section 1817(k)(3)(A) of the Social Security Act shall also be available for the Senior Medicare Patrol Program to combat health care fraud and abuse. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medicare integrity program	887	915	949
0002 FBI fraud and abuse control	138	141	154
0003 Other fraud and abuse control	285	301	324
0005 Undistributed Savings, HCFA and SSA		-31	-210
0091 Total Mandatory	1,310	1,326	1,217
0101 CMS discretionary	554	610	628
0102 Other discretionary	166	176	185
0191 Total Discretionary	720	786	813
0900 Total new obligations, unexpired accounts	2,030	2,112	2,030
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	471	574	605
1001 Discretionary unobligated balance brought fwd, Oct 1	201	574	
1021 Recoveries of prior year unpaid obligations	41		
1050 Unobligated balance (total)	512	574	605
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	765	786	813
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,380	1,406	1,427
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-49	-49	
1260 Appropriations, mandatory (total)	1,331	1,357	1,427
1900 Budget authority (total)	2,096	2,143	2,240
1930 Total budgetary resources available	2,608	2,717	2,845
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	574	605	815
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	4		
1952 Expired unobligated balance, start of year	31	36	36

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued
Program and Financing—Continued

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
1953 Expired unobligated balance, end of year	32	36	36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,970	1,977	2,019
3010 New obligations, unexpired accounts	2,030	2,112	2,030
3020 Outlays (gross)	-1,965	-2,070	-2,156
3040 Recoveries of prior year unpaid obligations, unexpired	-41		
3041 Recoveries of prior year unpaid obligations, expired	-17		
3050 Unpaid obligations, end of year	1,977	2,019	1,893
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,970	1,977	2,019
3200 Obligated balance, end of year	1,977	2,019	1,893
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	765	786	813
Outlays, gross:			
4010 Outlays from new discretionary authority	107	314	325
4011 Outlays from discretionary balances	601	109	353
4020 Outlays, gross (total)	708	423	678
Mandatory:			
4090 Budget authority, gross	1,331	1,357	1,427
Outlays, gross:			
4100 Outlays from new mandatory authority	415	689	608
4101 Outlays from mandatory balances	842	958	870
4110 Outlays, gross (total)	1,257	1,647	1,478
4180 Budget authority, net (total)	2,096	2,143	2,240
4190 Outlays, net (total)	1,965	2,070	2,156

The Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Centers for Medicare and Medicaid Services (CMS) program integrity that will supplement other CMS program integrity funds. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

Object Classification (in millions of dollars)

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent (CMS)	42	46	46
11.7 Military personnel	2	2	2
11.9 Total personnel compensation	44	48	48
12.1 Civilian personnel benefits (CMS)	10	11	11
12.2 Military personnel benefits	1	2	1
23.3 Communications, utilities, and miscellaneous charges	11	13	13
25.2 Other services (CMS/Medicaid/Private Insurance)	109		
25.3 Other purchases of goods and services from Government accounts (HHS/DOJ)	140	145	150
25.3 Other purchases of goods and services from Government accounts (HHS/OIG)	298	303	327
25.3 Other purchases of goods and services from Government accounts (HHS/OGC)	7	7	7
25.3 Other goods and services from Federal sources (HHS/CMS)		15	18
25.3 Other goods and services from Government accounts (HHS/FDA)	6	6	6
25.6 Medical care (CMS)	1,266	1,452	1,505
92.0 Undistributed		-31	-210
94.0 Financial transfers (FBI)	138	141	154
99.9 Total new obligations, unexpired accounts	2,030	2,112	2,030

Employment Summary

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	410	430	430

1101 Direct military average strength employment	16	16	16
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FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	67,416	67,661	67,581
0198 Adjustment to reconcile to budgetary accounting	-580		
0199 Balance, start of year	66,836	67,661	67,581
Receipts:			
Current law:			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	2,437	2,800	2,800
1130 Other Proprietary Interest from the Public, FSMI Fund		3	3
1130 Premiums Collected for Medicare Prescription Drug Account, FSMI	5,542	5,780	6,338
1130 Payments from States, Medicare Prescription Drug Account, FSMI	12,154	12,478	13,255
1130 Basic Premium, Medicare Advantage, FSMI Trust Fund	486	639	725
1130 Medicare Refunds, SMI	4,961	5,011	5,061
1130 Affordable Care Act Medicare Shared Savings Models, SMI	72	45	45
1130 Premiums Collected for the Aged, FSMI Fund	84,468	95,004	101,235
1130 Premiums Collected for the Disabled, FSMI Fund	13,290	13,409	13,683
1140 Federal Contributions, FSMI Fund	265,819	287,016	300,234
1140 Interest Received by Trust Fund, FSMI Fund	2,657	1,182	1,333
1140 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI		5	5
1140 Interest, Medicare Prescription Drug Account, FSMI	65	48	51
1140 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	1,196	861	882
1140 Federal Contributions for Benefits, Prescription Drug Account, SMI	66,687	71,172	84,003
1140 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
1199 Total current law receipts	459,835	495,454	529,654
Proposed:			
1230 Premiums Collected for the Aged, FSMI Fund			-2,186
1230 Premiums Collected for the Disabled, FSMI Fund			-295
1240 Federal Contributions, FSMI Fund			-7,383
1299 Total proposed receipts			-9,864
1999 Total receipts	459,835	495,454	519,790
2000 Total: Balances and receipts	526,671	563,115	587,371
Appropriations:			
Current law:			
2101 Federal Supplementary Medical Insurance Trust Fund	-2,998	-3,141	-3,101
2101 Federal Supplementary Medical Insurance Trust Fund	-371,197	-399,303	-422,011
2101 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	-641	-861	-882
2101 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	-84,998	-89,915	-102,850
2103 Federal Supplementary Medical Insurance Trust Fund	-5,987	-2,301	-5,492
2132 Federal Supplementary Medical Insurance Trust Fund	7,317		
2199 Total current law appropriations	-458,504	-495,521	-534,336
Proposed:			
2201 Federal Supplementary Medical Insurance Trust Fund			7,383
2201 Federal Supplementary Medical Insurance Trust Fund			2,481
2203 Federal Supplementary Medical Insurance Trust Fund			-7,383
2203 Federal Supplementary Medical Insurance Trust Fund		-13	-20
2234 Federal Supplementary Medical Insurance Trust Fund			7,384
2299 Total proposed appropriations		-13	9,845
2999 Total appropriations	-458,504	-495,534	-524,491
3098 Federal Supplementary Medical Insurance Trust Fund	-41		
5098 Adjustment to reconcile to budgetary accounting	-465		
5099 Balance, end of year	67,661	67,581	62,880

Program and Financing (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments, SMI	371,048	398,010	425,125
0002 Transfer to Medicaid for payment of SMI premiums		1,142	1,249
0004 Administration, SMI		3,133	3,101
0005 Quality Improvement Organizations, SMI		436	163

0799	Total direct obligations	371,048	402,721	429,638
0900	Total new obligations, unexpired accounts	371,048	402,721	429,638
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1			34
1021	Recoveries of prior year unpaid obligations	9		
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	41		
1033	Recoveries of prior year paid obligations	5		
1050	Unobligated balance (total)	55		34
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	2,998	3,141	3,101
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	371,197	399,303	422,011
1203	Appropriation (previously unavailable)(special or trust)	5,987	2,301	5,492
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-7,317		
1236	Appropriations applied to repay debt	-1,872	-1,990	-1,000
1260	Appropriations, mandatory (total)	367,995	399,614	426,503
1900	Budget authority (total)	370,993	402,755	429,604
1930	Total budgetary resources available	371,048	402,755	429,638
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year		34	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	27,919	31,871	32,154
3001	Adjustments to unpaid obligations, brought forward, Oct 1	505		
3010	New obligations, unexpired accounts	371,048	402,721	429,638
3020	Outlays (gross)	-367,592	-402,438	-429,533
3040	Recoveries of prior year unpaid obligations, unexpired	-9		
3050	Unpaid obligations, end of year	31,871	32,154	32,259
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	28,424	31,871	32,154
3200	Obligated balance, end of year	31,871	32,154	32,259
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,998	3,141	3,101
Outlays, gross:				
4010	Outlays from new discretionary authority	1,691	2,074	2,118
4011	Outlays from discretionary balances	1,317	442	691
4020	Outlays, gross (total)	3,008	2,516	2,809
Mandatory:				
4090	Budget authority, gross	367,995	399,614	426,503
Outlays, gross:				
4100	Outlays from new mandatory authority	339,640	369,426	395,419
4101	Outlays from mandatory balances	24,944	30,496	31,305
4110	Outlays, gross (total)	364,584	399,922	426,724
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-5		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	5		
4160	Budget authority, net (mandatory)	367,995	399,614	426,503
4170	Outlays, net (mandatory)	364,579	399,922	426,724
4180	Budget authority, net (total)	370,993	402,755	429,604
4190	Outlays, net (total)	367,587	402,438	429,533
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	98,197	104,716	105,611
5001	Total investments, EOY: Federal securities: Par value	104,716	105,611	102,161
5080	Outstanding debt, SOY	-5,024	-3,152	-1,162
5081	Outstanding debt, EOY	-3,152	-1,162	-162

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	370,993	402,755	429,604
Outlays	367,587	402,438	429,533
Legislative proposal, subject to PAYGO:			
Budget Authority		13	-9,845
Outlays		13	-9,845
Total:			
Budget Authority	370,993	402,768	419,759

Outlays	367,587	402,451	419,688
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The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician care and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed by premium payments from enrollees and contributions from the general revenues.

Status of Funds (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	96,946	104,053	109,261
0999 Total balance, start of year	96,946	104,053	109,261
Cash income during the year:			
Current law:			
Receipts:			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	2,437	2,800	2,800
1130 Premiums Collected for Medicare Prescription Drug Account, FSMI	5,542	5,780	6,338
1130 Payments from States, Medicare Prescription Drug Account, FSMI	12,154	12,478	13,255
1130 Basic Premium, Medicare Advantage, FSMI Trust Fund	486	639	725
1130 Medicare Refunds, SMI	4,961	5,011	5,061
1130 Affordable Care Act Medicare Shared Savings Models, SMI	72	45	45
1130 Premiums Collected for the Aged, FSMI Fund	84,468	95,004	101,235
1130 Premiums Collected for the Disabled, FSMI Fund	13,290	13,409	13,683
1130 Federal Supplementary Medical Insurance Trust Fund	5		
1150 Interest Received by Trust Fund, FSMI Fund	2,657	1,182	1,333
1150 Other Proprietary Interest from the Public, FSMI Fund		3	3
1150 Interest, Medicare Prescription Drug Account, FSMI	65	48	51
1160 Federal Contributions, FSMI Fund	265,819	287,016	300,234
1160 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI		5	5
1160 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	1,196	861	882
1160 Federal Contributions for Benefits, Prescription Drug Account, SMI	66,687	71,172	84,003
1160 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
1160 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund		2,564	
1199 Income under present law	459,840	498,018	529,654
Proposed:			
Offsetting receipts (proprietary):			
1230 Premiums Collected for Medicare Prescription Drug Account, FSMI			
1230 Premiums Collected for the Aged, FSMI Fund			-2,186
1230 Premiums Collected for the Disabled, FSMI Fund			-295
Offsetting governmental receipts:			
1260 Federal Contributions, FSMI Fund			-7,383
1260 Federal Contributions for Benefits, Prescription Drug Account, SMI			
1299 Income proposed			-9,884
1999 Total cash income	459,840	498,018	519,790
Cash outgo during year:			
Current law:			
2100 Federal Supplementary Medical Insurance Trust Fund [Budget Acct]	-367,592	-402,438	-429,533
2100 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund [Budget Acct]	-85,140	-90,359	-103,451
2199 Outgo under current law	-452,732	-492,797	-532,984
Proposed:			
2200 Federal Supplementary Medical Insurance Trust Fund		-13	9,845
2299 Outgo under proposed legislation		-13	9,845
2999 Total cash outgo (-)	-452,732	-492,810	-523,139
Surplus or deficit:			
3110 Excluding interest	4,386	3,975	-4,736
3120 Interest	2,722	1,233	1,387
3199 Subtotal, surplus or deficit	7,108	5,208	-3,349
3298 Adjustment to reconcile to proprietary accounting	-1		
3299 Total adjustments	-1		
3999 Total change in fund balance	7,107	5,208	-3,349
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-663	3,650	3,751

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued
Status of Funds—Continued

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
4200 Federal Supplementary Medical Insurance Trust Fund	104,716	105,611	102,161
4999 Total balance, end of year	104,053	109,261	105,912

Object Classification (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
41.0 Payment for Quality Improvement Organization (QIO) activity	429	436	163
42.0 Insurance claims and indemnities	366,367	402,284	429,474
94.0 Financial transfers	4,252		
99.0 Direct obligations	371,048	402,721	429,638
99.9 Total new obligations, unexpired accounts	371,048	402,721	429,638

Employment Summary

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	6	6

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8004-2-7-571	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-7,383
1203 Appropriation (previously unavailable)(special or trust)			7,383
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8004-4-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments, SMI		13	-9,845
0799 Total direct obligations		13	-9,845
0900 Total new obligations, unexpired accounts (object class 42.0)		13	-9,845
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-2,481
1203 Appropriation (previously unavailable)(special or trust)		13	20
1234 Appropriations precluded from obligation			-7,384
1260 Appropriations, mandatory (total)		13	-9,845
1900 Budget authority (total)		13	-9,845
1930 Total budgetary resources available		13	-9,845
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		13	-9,845
3020 Outlays (gross)		-13	9,845
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		13	-9,845
Outlays, gross:			
4100 Outlays from new mandatory authority		13	-9,845
4180 Budget authority, net (total)		13	-9,845
4190 Outlays, net (total)		13	-9,845

The Budget includes a package of Medicare proposals designed to eliminate wasteful spending, preserve and expand beneficiary access to care, enhance choice and competition, and strengthen Medicare's fiscal sustainability, consistent with the President's Executive Order on *Protecting and Improving Medicare for Our Nation's Seniors*.

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 075-8308-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Prescription Drug Benefits	87,561	89,915	102,850
0002 Administrative Costs	642	861	882
0799 Total direct obligations	88,203	90,776	103,732
0900 Total new obligations, unexpired accounts	88,203	90,776	103,732
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	641	861	882
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	84,998	89,915	102,850
Spending authority from offsetting collections, mandatory:			
1800 Collected		2,564	
1801 Change in uncollected payments, Federal sources	2,564	-2,564	
1850 Spending auth from offsetting collections, mand (total)	2,564		
1900 Budget authority (total)	88,203	90,776	103,732
1930 Total budgetary resources available	88,203	90,776	103,732
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7,440	10,503	10,920
3010 New obligations, unexpired accounts	88,203	90,776	103,732
3020 Outlays (gross)	-85,140	-90,359	-103,451
3050 Unpaid obligations, end of year	10,503	10,920	11,201
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-225	-2,789	-225
3070 Change in uncollected pymts, Fed sources, unexpired	-2,564	2,564	
3090 Uncollected pymts, Fed sources, end of year	-2,789	-225	-225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7,215	7,714	10,695
3200 Obligated balance, end of year	7,714	10,695	10,976
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	641	861	882
Outlays, gross:			
4010 Outlays from new discretionary authority	313	295	321
4011 Outlays from discretionary balances	147	141	273
4020 Outlays, gross (total)	460	436	594
Mandatory:			
4090 Budget authority, gross	87,562	89,915	102,850
Outlays, gross:			
4100 Outlays from new mandatory authority	77,992	79,914	91,850
4101 Outlays from mandatory balances	6,688	10,009	11,007
4110 Outlays, gross (total)	84,680	89,923	102,857
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources:		-2,564	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-2,564	2,564	
4160 Budget authority, net (mandatory)	84,998	89,915	102,850
4170 Outlays, net (mandatory)	84,680	87,359	102,857
4180 Budget authority, net (total)	85,639	90,776	103,732
4190 Outlays, net (total)	85,140	87,795	103,451

Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit.

Object Classification (in millions of dollars)

Identification code 075-8308-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	642	861	882
42.0 Insurance claims and indemnities	87,561	89,915	102,850
99.0 Direct obligations	88,203	90,776	103,732
99.9 Total new obligations, unexpired accounts	88,203	90,776	103,732

Employment Summary

Identification code 075-8308-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

The Budget supports comprehensive drug pricing reform legislation including modernization of the Part D benefit.

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 075-1552-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State family assistance grant	16,433	16,433	16,433
0002 Territories - family assistance grants	78	78	78
0006 Tribal work programs	7	8	8
0009 Healthy marriage and responsible fatherhood grants	139	149	150
0010 Evaluation Funding and What Works Clearinghouse	44	45	45
0011 Census Bureau Research	8	10	10
0900 Total new obligations, unexpired accounts	16,709	16,723	16,724
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	16,739	16,739	16,739
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2		
1260 Appropriations, mandatory (total)	16,737	16,739	16,739
1900 Budget authority (total)	16,737	16,739	16,739
1930 Total budgetary resources available	16,737	16,739	16,740
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-28	-15	-15
1941 Unexpired unobligated balance, end of year		1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8,070	9,283	9,900
3010 New obligations, unexpired accounts	16,709	16,723	16,724
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-15,497	-16,106	-16,788
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	9,283	9,900	9,836
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8,070	9,283	9,900
3200 Obligated balance, end of year	9,283	9,900	9,836

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	16,737	16,739	16,739
Outlays, gross:			
4100 Outlays from new mandatory authority	10,210	10,546	10,546
4101 Outlays from mandatory balances	5,287	5,560	6,242
4110 Outlays, gross (total)	15,497	16,106	16,788
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		

Additional offsets against gross budget authority only:

4142 Offsetting collections credited to expired accounts	1		
4160 Budget authority, net (mandatory)	16,737	16,739	16,739
4170 Outlays, net (mandatory)	15,496	16,106	16,788
4180 Budget authority, net (total)	16,737	16,739	16,739
4190 Outlays, net (total)	15,496	16,106	16,788

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	16,737	16,739	16,739
Outlays	15,496	16,106	16,788
Legislative proposal, subject to PAYGO:			
Budget Authority			-1,494
Outlays			-1,073
Total:			
Budget Authority	16,737	16,739	15,245
Outlays	15,496	16,106	15,715

This account provides funding for the Temporary Assistance for Needy Families (TANF) block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). TANF's authorization was most recently extended in the Further Consolidated Appropriations Act, 2020 (P. L. 116-94).

Object Classification (in millions of dollars)

Identification code 075-1552-0-1-609	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	10	10	10
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	72	72	72
25.2 Other services from non-Federal sources	17	17	17
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	16,604	16,618	16,619
99.9 Total new obligations, unexpired accounts	16,709	16,723	16,724

Employment Summary

Identification code 075-1552-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	98	97	100

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1552-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State family assistance grant			-1,594
0002 Territories - family assistance grants			-8
0010 Evaluation Funding and What Works Clearinghouse			8
0012 Opportunity and Economic Mobility Demonstrations			100
0900 Total new obligations, unexpired accounts (object class 41.0)			-1,494
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-1,494
1900 Budget authority (total)			-1,494
1930 Total budgetary resources available			-1,494

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-1,494
3020 Outlays (gross)			1,073
3050 Unpaid obligations, end of year			-421

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES—Continued
Program and Financing—Continued

Identification code 075-1552-4-1-609	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-421
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-1,494
Outlays, gross:			
4100 Outlays from new mandatory authority			-1,073
4180 Budget authority, net (total)			-1,494
4190 Outlays, net (total)			-1,073

The Budget proposes comprehensive reforms to TANF, including phasing out the work participation rate and transitioning to employment outcome and engagement measures, and modifying spending rules to refocus the program on core activities. The Budget also continues the proposal to reduce funding for TANF Family Assistance Grants to States, territories, and tribes by 10 percent. In addition, the Budget creates a demonstration opportunity for states to grow capacity across public safety net benefit programs to reduce dependency.

CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 075-1522-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contingency Fund for State Welfare Programs	608	608	608
0900 Total new obligations, unexpired accounts (object class 41.0)	608	608	608
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	608	608	608
1930 Total budgetary resources available	608	608	608

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	55	45	45
3010 New obligations, unexpired accounts	608	608	608
3020 Outlays (gross)	-600	-608	-608
3041 Recoveries of prior year unpaid obligations, expired	-18		
3050 Unpaid obligations, end of year	45	45	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	55	45	45
3200 Obligated balance, end of year	45	45	45

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	608	608	608
Outlays, gross:			
4100 Outlays from new mandatory authority	574	563	545
4101 Outlays from mandatory balances	26	45	63
4110 Outlays, gross (total)	600	608	608
4180 Budget authority, net (total)	608	608	608
4190 Outlays, net (total)	600	608	608

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	608	608	608
Outlays	600	608	608
Legislative proposal, subject to PAYGO:			
Budget Authority			-608
Outlays			-608
Total:			
Budget Authority	608	608	
Outlays	600	608	

The TANF Contingency Fund provides a funding reserve of \$608 million to assist states that meet certain criteria related to the state's unemployment rate and Supplemental Nutrition Assistance Program (SNAP) caseload. In order to qualify for contingency funds, States must also meet a higher maintenance-of-effort requirement of 100 percent of historical expenditures. The authorization for the Contingency Fund was most recently extended in the Further Consolidated Appropriations Act, 2020 (P.L. 116-94).

CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1522-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contingency Fund for State Welfare Programs			-608
0900 Total new obligations, unexpired accounts (object class 41.0)			-608
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-608
1930 Total budgetary resources available			-608

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-608
3020 Outlays (gross)			608

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-608
Outlays, gross:			
4100 Outlays from new mandatory authority			-545
4101 Outlays from mandatory balances			-63
4110 Outlays, gross (total)			-608
4180 Budget authority, net (total)			-608
4190 Outlays, net (total)			-608

The Budget proposes to eliminate funding for the Contingency Fund.

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For carrying out, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, **[\$2,890,000,000]** \$3,039,000,000, to remain available until expended; and for such purposes for the first quarter of fiscal year **[2021]** 2022, \$1,400,000,000, to remain available until expended.

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-1501-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State child support administrative costs	3,979	4,032	3,988
0002 Child support incentive payments	571	581	607
0003 Access and visitation grants	10	10	10
0091 Subtotal, child support enforcement	4,560	4,623	4,605
0102 Payments to territories	33	33	33
0103 Repatriation	1	1	1
0191 Subtotal, other payments	34	34	34
0799 Total direct obligations	4,594	4,657	4,639
0801 Offset obligations (CSE grants to States)	13	1	
0900 Total new obligations, unexpired accounts	4,607	4,658	4,639

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	141	55
1021	Recoveries of prior year unpaid obligations	251	200
1037	Unobligated balance of appropriations withdrawn	-52	
1050	Unobligated balance (total)	340	255
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	2,922	3,002
Advance appropriations, mandatory:			
1270	Advance appropriation	1,400	1,400
Spending authority from offsetting collections, mandatory:			
1800	Collected		1
1900	Budget authority (total)	4,322	4,403
1930	Total budgetary resources available	4,662	4,639
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	55	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,383	1,622
3010	New obligations, unexpired accounts	4,607	4,658
3020	Outlays (gross)	-4,117	-4,325
3040	Recoveries of prior year unpaid obligations, unexpired	-251	-200
3050	Unpaid obligations, end of year	1,622	1,755
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,383	1,622
3200	Obligated balance, end of year	1,622	1,755
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	4,322	4,403
Outlays, gross:			
4100	Outlays from new mandatory authority	3,474	3,762
4101	Outlays from mandatory balances	643	563
4110	Outlays, gross (total)	4,117	4,325
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources		-1
4180	Budget authority, net (total)	4,322	4,402
4190	Outlays, net (total)	4,117	4,324

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,322	4,402	4,439
Outlays	4,117	4,324	4,351
Legislative proposal, subject to PAYGO:			
Budget Authority			19
Outlays			19
Total:			
Budget Authority	4,322	4,402	4,458
Outlays	4,117	4,324	4,370

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account.

Object Classification (in millions of dollars)

Identification code	2019 actual	2020 est.	2021 est.
41.0	Direct obligations: Grants, subsidies, and contributions	4,594	4,658
99.0	Reimbursable obligations	13	
99.9	Total new obligations, unexpired accounts	4,607	4,658

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	State child support administrative costs		18

0091	Subtotal, child support enforcement		18
0103	Repatriation		1
0191	Subtotal, other payments		1
0799	Total direct obligations		19
0900	Total new obligations, unexpired accounts (object class 41.0)		19

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation		19
1900	Budget authority (total)		19
1930	Total budgetary resources available		19

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		19
3020	Outlays (gross)		-19

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		19
Outlays, gross:			
4100	Outlays from new mandatory authority		19
4180	Budget authority, net (total)		19
4190	Outlays, net (total)		19

The Budget improves establishment and enforcement procedures by closing loopholes and improving Federal processes. Proposals promote work programs, increase collections, enhance distribution, and improve program efficiency.

LOW INCOME HOME ENERGY ASSISTANCE

For making payments under subsections (b) and (d) of section 2602 of the Low-Income Home Energy Assistance Act of 1981 (42 U.S.C. 8621 et seq.), \$3,740,304,000: *Provided*, That notwithstanding section 2609A(a) of such Act, not more than \$2,988,000 may be reserved by the Secretary of Health and Human Services for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures and the Secretary may, in addition to the authorities provided in section 2609A(a)(1), use such funds through contracts with private entities that do not qualify as nonprofit organizations: *Provided further*, That all but \$753,000,000 of the amount appropriated under this heading shall be allocated as though the total appropriation for such payments for fiscal year 2020 was less than \$1,975,000,000: *Provided further*, That, after applying all applicable provisions of section 2604 of such Act and the previous proviso, each State or territory that would otherwise receive an allocation that is less than 97 percent of the amount that it received under this heading for fiscal year 2019 from amounts appropriated in Public Law 115–245 shall have its allocation increased to that 97 percent level, with the portions of other States' and territories' allocations that would exceed 100 percent of the amounts they respectively received in such fashion for fiscal year 2019 being ratably reduced. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	LIHEAP Block Grant	3,653	3,740
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	3
1012	Unobligated balance transfers between expired and unexpired accounts	2	
1050	Unobligated balance (total)	3	3
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	3,690	3,740
1120	Appropriations transferred to other acct [075–1503]	-37	
1160	Appropriation, discretionary (total)	3,653	3,740
1930	Total budgetary resources available	3,656	3,743
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	3

LOW INCOME HOME ENERGY ASSISTANCE—Continued
Program and Financing—Continued

Identification code 075-1502-0-1-609	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,630	1,570	1,553
3010	New obligations, unexpired accounts	3,653	3,740
3011	Obligations ("upward adjustments"), expired accounts	1
3020	Outlays (gross)	-3,696	-3,757	-1,296
3041	Recoveries of prior year unpaid obligations, expired	-18
3050	Unpaid obligations, end of year	1,570	1,553	257
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,630	1,570	1,553
3200	Obligated balance, end of year	1,570	1,553	257
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3,653	3,740
Outlays, gross:				
4010	Outlays from new discretionary authority	2,308	2,308
4011	Outlays from discretionary balances	1,388	1,449	1,296
4020	Outlays, gross (total)	3,696	3,757	1,296
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1
4070	Budget authority, net (discretionary)	3,653	3,740
4080	Outlays, net (discretionary)	3,695	3,757	1,296
4180	Budget authority, net (total)	3,653	3,740
4190	Outlays, net (total)	3,695	3,757	1,296

The Budget proposes to eliminate funding for the Low Income Home Energy Assistance Program.

Object Classification (in millions of dollars)

Identification code 075-1502-0-1-609	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	3	2
25.3	Other goods and services from Federal sources	1
41.0	Grants, subsidies, and contributions	3,650	3,737
99.9	Total new obligations, unexpired accounts	3,653	3,740

REFUGEE AND ENTRANT ASSISTANCE
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, and for carrying out section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, the Trafficking Victims Protection Act of 2000 ("TVPA"), and the Torture Victims Relief Act of 1998, **[\$1,908,201,000]** \$2,456,380,000, of which **[\$1,864,446,000]** \$2,412,625,000 shall remain available **[through September 30, 2022]** until expended for carrying out such sections 414, 501, 462, and 235: *Provided*, That amounts available under this heading to carry out the TVPA shall also be available for research and evaluation with respect to activities under such Act: *Provided further*, That **[not less than \$160,000,000 shall be used for legal services, child advocates, and post-release services]** funds made available in this or any prior Act for the Unaccompanied Alien Children program that are available for the acquisition of real property or for construction or improvement of facilities shall be available to make improvements on non-federally owned property that is adjacent to facilities utilized by such program, provided that the primary benefit of such improvements accrues to such program: *Provided further*, That the limitation in section **[205]** 204 of this Act regarding transfers increasing any appropriation shall apply to transfers to appropriations under this heading by substituting "**[15]** 20 percent" for "3 percent": *Provided further*, That funds made available under this heading shall be available to pay or reimburse other Federal agencies for the costs of construction, improvements to property, and other activities, including structure removal, if such activities are necessary for the purpose of carrying out such sections 462 and 235: *Provided further*, That other Federal agencies

may retain and use such payments and reimbursements to cover costs described in the preceding proviso. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1503-0-1-609	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Refugee Support Services (RSS) and Transitional & Medical Services (TAMS)	513	561	429
0002	Assistance for treatment of torture victims	14	16	16
0003	Unaccompanied Children	2,617	1,303	1,983
0005	Trafficking Victims program	26	28	28
0799	Total direct obligations	3,170	1,908	2,456
0900	Total new obligations, unexpired accounts	3,170	1,908	2,456
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	41	2,096	2,096
1021	Recoveries of prior year unpaid obligations	159
1050	Unobligated balance (total)	200	2,096	2,096
Budget authority:				
Appropriations, discretionary:				
1100	Base Appropriation	4,787	1,908	2,456
1120	Appropriations transferred to other acct [075-0128]	-5
1121	Appropriations transferred from other acct [075-1502]	37
1121	Appropriations transferred from other acct [075-1536]	48
1121	Appropriations transferred from other acct [075-1515]	18
1121	Appropriations transferred from other acct [075-0142]	7
1121	Appropriations transferred from other acct [075-1700]	1
1121	Appropriations transferred from other acct [075-0943]	14
1121	Appropriations transferred from other acct [075-0350]	21
1121	Appropriations transferred from other acct [075-9915]	124
1121	Appropriations transferred from other acct [075-9912]	1
1121	Appropriations transferred from other acct [075-1362]	9
1160	Appropriation, discretionary (total)	5,062	1,908	2,456
Spending authority from offsetting collections, discretionary:				
1711	Spending authority from offsetting collections transferred from other accounts [075-0511]	4
1900	Budget authority (total)	5,066	1,908	2,456
1930	Total budgetary resources available	5,266	4,004	4,552
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,096	2,096	2,096

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,722	2,191	1,522
3010	New obligations, unexpired accounts	3,170	1,908	2,456
3011	Obligations ("upward adjustments"), expired accounts	1
3020	Outlays (gross)	-2,529	-2,577	-2,614
3040	Recoveries of prior year unpaid obligations, unexpired	-159
3041	Recoveries of prior year unpaid obligations, expired	-14
3050	Unpaid obligations, end of year	2,191	1,522	1,364
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-180	-180	-180
3090	Uncollected pymts, Fed sources, end of year	-180	-180	-180
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,542	2,011	1,342
3200	Obligated balance, end of year	2,011	1,342	1,184

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	5,066	1,908	2,456
Outlays, gross:				
4010	Outlays from new discretionary authority	1,406	766	860
4011	Outlays from discretionary balances	1,123	1,811	1,754
4020	Outlays, gross (total)	2,529	2,577	2,614
4180	Budget authority, net (total)	5,066	1,908	2,456
4190	Outlays, net (total)	2,529	2,577	2,614

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	5,066	1,908	2,456
Outlays	2,529	2,577	2,614
Legislative proposal, subject to PAYGO:			
Budget Authority	200
Outlays	130

Total:			
Budget Authority	5,066	1,908	2,656
Outlays	2,529	2,577	2,744

This account provides funds to States and non-governmental organizations to administer the refugee and entrant assistance programs. Funds support cash and medical assistance and social services for refugees, asylees, and other arrivals eligible for refugee benefits. The account also includes funding for the care and placement of unaccompanied alien children, and for the rehabilitation of victims of torture and human trafficking .

Object Classification (in millions of dollars)

Identification code 075-1503-0-1-609	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	15	15
11.7 Military personnel	1	2	2
11.9 Total personnel compensation	10	17	17
12.1 Civilian personnel benefits	3	5	5
12.2 Military personnel benefits	1	2	2
23.1 Rental payments to GSA	7	7	7
25.1 Advisory and assistance services	16	16	16
25.2 Other services from non-Federal sources	621	621	621
25.3 Other goods and services from Federal sources	123	123	123
25.4 Operation and maintenance of facilities	1	1	1
41.0 Grants, subsidies, and contributions	2,388	1,116	1,664
99.0 Direct obligations	3,170	1,908	2,456
99.9 Total new obligations, unexpired accounts	3,170	1,908	2,456

Employment Summary

Identification code 075-1503-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	97	153	157
1101 Direct military average strength employment	13	12	12

REFUGEE AND ENTRANT ASSISTANCE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1503-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 UAC Contingency Fund, mandatory			200
0900 Total new obligations, unexpired accounts (object class 41.0)			200
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			200
1930 Total budgetary resources available			200
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			200
3020 Outlays (gross)			-130
3050 Unpaid obligations, end of year			70
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			70
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			200
Outlays, gross:			
4100 Outlays from new mandatory authority			130
4180 Budget authority, net (total)			200
4190 Outlays, net (total)			130

The Budget requests a mandatory UAC contingency fund capped at \$2 billion over a three-year period.

PROMOTING SAFE AND STABLE FAMILIES

For carrying out, except as otherwise provided, section 436 of the Social Security Act, \$345,000,000 and, for carrying out, except as otherwise provided, section 437 of such Act, \$92,515,000: *Provided*, That of the funds available to carry out section 437, \$59,765,000 shall be allocated consistent with subsections (b) through (d) of such section: *Provided further*, That of the funds available to carry out section 437, to assist in meeting the requirements described in section 471(e)(4)(C), \$20,000,000 shall be for grants to each State, territory, and Indian tribe operating title IV-E plans for developing, enhancing, or evaluating kinship navigator programs, as described in section 427(a)(1) of such Act, \$10,000,000, in addition to funds otherwise appropriated in section 436 for such purposes, shall be for competitive grants to regional partnerships as described in section 437(f), and \$2,750,000, in addition to funds otherwise appropriated in section 476 for such purposes, for the Family First Clearinghouse: *Provided further*, That section 437(b)(1) shall be applied to amounts in the previous proviso by substituting "5 percent" for "3.3 percent", and notwithstanding section 436(b)(1), such reserved amounts may be used for identifying, establishing, and disseminating practices to meet the criteria specified in section 471(e)(4)(C): *Provided further*, That the reservation in section 437(b)(2) and the limitations in section 437(d) shall not apply to funds specified in the second proviso: *Provided further*, That the minimum grant award for kinship navigator programs in the case of States and territories shall be \$200,000, and, in the case of tribes, shall be \$25,000. *Department of Health and Human Services Appropriations Act, 2020.*

Program and Financing (in millions of dollars)

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States and Tribes	385	384	370
0002 Research, training and technical assistance	8	10	10
0003 State court improvement activities	30	30	31
0004 Family Connection Grants		2	2
0005 Personal Responsibility Education (PREP)	73	91	1
0006 Sexual Risk Abstinence Education (SRAE)	69	75	
0007 Family Rec. & Reunification	4	3	3
0900 Total new obligations, unexpired accounts	569	595	417
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	53	546
1001 Discretionary unobligated balance brought fwd, Oct 1	6		
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	40	53	546
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	100	93	60
Appropriations, mandatory:			
1200 Appropriation	510	995	345
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-21		
1260 Appropriations, mandatory (total)	489	995	345
1900 Budget authority (total)	589	1,088	405
1930 Total budgetary resources available	629	1,141	951
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-7		
1941 Unexpired unobligated balance, end of year	53	546	534
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	658	739	635
3010 New obligations, unexpired accounts	569	595	417
3011 Obligations ("upward adjustments"), expired accounts	6		
3020 Outlays (gross)	-482	-699	-856
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	739	635	196
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	658	739	635
3200 Obligated balance, end of year	739	635	196
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	100	93	60
Outlays, gross:			
4010 Outlays from new discretionary authority	15	22	14
4011 Outlays from discretionary balances	46	70	63

PROMOTING SAFE AND STABLE FAMILIES—Continued
Program and Financing—Continued

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
4020 Outlays, gross (total)	61	92	77
Mandatory:			
4090 Budget authority, gross	489	995	345
Outlays, gross:			
4100 Outlays from new mandatory authority	88	221	89
4101 Outlays from mandatory balances	333	386	690
4110 Outlays, gross (total)	421	607	779
4180 Budget authority, net (total)	589	1,088	405
4190 Outlays, net (total)	482	699	856

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	589	1,088	405
Outlays	482	699	856
Legislative proposal, subject to PAYGO:			
Budget Authority			220
Outlays			22
Total:			
Budget Authority	589	1,088	625
Outlays	482	699	878

This account provides funds for a broad range of child welfare services, including family preservation and support services and grants to increase the well-being of and improve the permanency outcomes for children affected by substance abuse, through Promoting Safe and Stable Families. It also includes the Sexual Risk Avoidance Education program and the Personal Responsibility Education Program (PREP).

Object Classification (in millions of dollars)

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	2
25.1 Advisory and assistance services	27	28	27
25.3 Other goods and services from Federal sources	4	4	4
41.0 Grants, subsidies, and contributions	537	562	384
99.9 Total new obligations, unexpired accounts	569	595	417

Employment Summary

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	21	22

PROMOTING SAFE AND STABLE FAMILIES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1512-4-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States and Tribes			40
0003 State court improvement activities			30
0005 Personal Responsibility Education (PREP)			75
0006 Sexual Risk Abstinence Education (SRAE)			75
0900 Total new obligations, unexpired accounts			220
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			220
1930 Total budgetary resources available			220
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			220
3020 Outlays (gross)			-22
3050 Unpaid obligations, end of year			198

Memorandum (non-add) entries:			
3200 Obligated balance, end of year			198

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			220
Outlays, gross:			
4100 Outlays from new mandatory authority			22
4180 Budget authority, net (total)			220
4190 Outlays, net (total)			22

The Budget includes a proposal to expand the Regional Partnership Grants program, to increase funding for the Court Improvement Program, and a two-year reauthorization of Sexual Risk Avoidance Education and the Personal Responsibility Education Program (PREP).

Object Classification (in millions of dollars)

Identification code 075-1512-4-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			23
25.3 Other goods and services from Federal sources			3
41.0 Grants, subsidies, and contributions			194
99.9 Total new obligations, unexpired accounts			220

CHILD CARE ENTITLEMENT TO STATES

Program and Financing (in millions of dollars)

Identification code 075-1550-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mandatory child care	1,178	1,178	1,178
0002 Matching child care	1,708	1,651	1,651
0003 Child Care Training and technical assistance	15	15	15
0004 Child care tribal grants	43	58	58
0005 Child Care Research	15	15	15
0900 Total new obligations, unexpired accounts	2,959	2,917	2,917
Budgetary resources:			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts	42		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,917	2,917	2,917
1930 Total budgetary resources available	2,959	2,917	2,917
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,614	1,268	1,224
3010 New obligations, unexpired accounts	2,959	2,917	2,917
3011 Obligations ("upward adjustments"), expired accounts	23		
3020 Outlays (gross)	-3,267	-2,961	-2,960
3041 Recoveries of prior year unpaid obligations, expired	-61		
3050 Unpaid obligations, end of year	1,268	1,224	1,181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,614	1,268	1,224
3200 Obligated balance, end of year	1,268	1,224	1,181
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,917	2,917	2,917
Outlays, gross:			
4100 Outlays from new mandatory authority	2,137	2,139	2,139
4101 Outlays from mandatory balances	1,130	822	821
4110 Outlays, gross (total)	3,267	2,961	2,960
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Non-Federal sources	-23		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	23		
4160 Budget authority, net (mandatory)	2,917	2,917	2,917
4170 Outlays, net (mandatory)	3,244	2,961	2,960
4180 Budget authority, net (total)	2,917	2,917	2,917
4190 Outlays, net (total)	3,244	2,961	2,960

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,917	2,917	2,917
Outlays	3,244	2,961	2,960
Legislative proposal, subject to PAYGO:			
Budget Authority			1,295
Outlays			271
Total:			
Budget Authority	2,917	2,917	4,212
Outlays	3,244	2,961	3,231

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts, most recently in the Further Consolidated Appropriations Act, 2020 (P.L. 116–94).

Object Classification (in millions of dollars)

Identification code 075–1550–0–1–609	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	19	19	19
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	2,939	2,897	2,897
99.9 Total new obligations, unexpired accounts	2,959	2,917	2,917

CHILD CARE ENTITLEMENT TO STATES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1550–4–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mandatory child care			1,000
0002 Matching child care			295
0900 Total new obligations, unexpired accounts (object class 41.0)			1,295
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			1,295
1930 Total budgetary resources available			1,295
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,295
3020 Outlays (gross)			–271
3050 Unpaid obligations, end of year			1,024
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,024
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			1,295
Outlays, gross:			
4100 Outlays from new mandatory authority			271
4180 Budget authority, net (total)			1,295
4190 Outlays, net (total)			271

The Budget increases funding for this account to ensure federal funding for key child care programs is maintained, given the effects of other Budget proposals on child care spending. The Budget also proposes a \$1 billion one-time fund to build the supply of child care for underserved populations and to stimulate employer investment.

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT

For carrying out the Child Care and Development Block Grant Act of 1990 ("CCDBG Act"), \$5,826,000,000 shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: *Provided,*

That technical assistance under section 6581(a)(3) of such Act may be provided directly, or through the use of contracts, grants, cooperative agreements, or inter-agency agreements: *Provided further,* That all funds made available to carry out section 418 of the Social Security Act (42 U.S.C. 618), including funds appropriated for that purpose in such section 418 or any other provision of law, shall be subject to the reservation of funds authority in paragraphs (4) and (5) of section 6580(a) of the CCDBG Act: *Provided further,* That in addition to the amounts required to be reserved by the Secretary under section 6580(a)(2)(A) of such Act, \$174,780,000 shall be for Indian tribes and tribal organizations. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075–1515–0–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Child Care Block grant payments to States	5,234	5,800	5,800
0004 Child Care Research and evaluation fund	26	26	26
0900 Total new obligations, unexpired accounts	5,260	5,826	5,826
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		28	28
1012 Unobligated balance transfers between expired and unexpired accounts	4		
1050 Unobligated balance (total)	4	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,306	5,826	5,826
1120 Appropriations transferred to other acct [075–1503]	–18		
1160 Appropriation, discretionary (total)	5,288	5,826	5,826
1930 Total budgetary resources available	5,292	5,854	5,854
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4		
1941 Unexpired unobligated balance, end of year	28	28	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,791	4,115	4,646
3010 New obligations, unexpired accounts	5,260	5,826	5,826
3020 Outlays (gross)	–3,918	–5,295	–6,360
3041 Recoveries of prior year unpaid obligations, expired	–18		
3050 Unpaid obligations, end of year	4,115	4,646	4,112
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,791	4,115	4,646
3200 Obligated balance, end of year	4,115	4,646	4,112
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,288	5,826	5,826
Outlays, gross:			
4010 Outlays from new discretionary authority	1,981	3,204	3,612
4011 Outlays from discretionary balances	1,937	2,091	2,748
4020 Outlays, gross (total)	3,918	5,295	6,360
4180 Budget authority, net (total)	5,288	5,826	5,826
4190 Outlays, net (total)	3,918	5,295	6,360

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality.

Object Classification (in millions of dollars)

Identification code 075–1515–0–1–609	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	46	45	45
25.3 Other goods and services from Federal sources	1	3	3
41.0 Grants, subsidies, and contributions	5,210	5,775	5,775
99.9 Total new obligations, unexpired accounts	5,260	5,826	5,826

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK
GRANT—Continued
Employment Summary

Identification code 075-1515-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	16	16

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: *Provided*, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1534-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Social Services Block Grant	1,594	1,600	1,700
0002 Health Profession Opportunity Grants	83	85
0900 Total new obligations, unexpired accounts	1,677	1,685	1,700

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	31	31
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,785	1,785	1,700
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-105	-100
1260 Appropriations, mandatory (total)	1,680	1,685	1,700
1930 Total budgetary resources available	1,710	1,716	1,731
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	31	31	31

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	545	566	536
3010 New obligations, unexpired accounts	1,677	1,685	1,700
3020 Outlays (gross)	-1,646	-1,715	-1,712
3041 Recoveries of prior year unpaid obligations, expired	-10
3050 Unpaid obligations, end of year	566	536	524
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	545	566	536
3200 Obligated balance, end of year	566	536	524

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1,680	1,685	1,700
Outlays, gross:			
4100 Outlays from new mandatory authority	1,212	1,331	1,360
4101 Outlays from mandatory balances	434	384	352
4110 Outlays, gross (total)	1,646	1,715	1,712
4180 Budget authority, net (total)	1,680	1,685	1,700
4190 Outlays, net (total)	1,646	1,715	1,712

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,680	1,685	1,700
Outlays	1,646	1,715	1,712
Legislative proposal, subject to PAYGO:			
Budget Authority	-1,700
Outlays	-1,360
Total:			
Budget Authority	1,680	1,685
Outlays	1,646	1,715	352

The Social Services Block Grant (SSBG) account includes funding for SSBG and the Health Profession Opportunity Grants (HPOG) programs. SSBG funds a broad array of social services for children and adults. The

account also provides funding for the HPOG demonstration to provide education and training to Temporary Assistance for Needy Families recipients and other low-income individuals for health care occupations that pay well and are expected to either experience labor shortages or be in high demand.

Object Classification (in millions of dollars)

Identification code 075-1534-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	10	10	10
41.0 Grants, subsidies, and contributions	1,665	1,673	1,689
99.9 Total new obligations, unexpired accounts	1,677	1,685	1,700

Employment Summary

Identification code 075-1534-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8	8

SOCIAL SERVICES BLOCK GRANT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1534-4-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Social Services Block Grant	-1,700

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	-1,700
1930 Total budgetary resources available	-1,700

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	-1,700
3020 Outlays (gross)	1,360
3050 Unpaid obligations, end of year	-340
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	-340

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	-1,700
Outlays, gross:			
4100 Outlays from new mandatory authority	-1,360
4180 Budget authority, net (total)	-1,700
4190 Outlays, net (total)	-1,360

The Budget proposes to discontinue funding for the Social Services Block Grant. The Budget does not propose reauthorizing the Health Profession Opportunity Grants (HPOG).

Object Classification (in millions of dollars)

Identification code 075-1534-4-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	-1
21.0 Travel and transportation of persons	-1
25.1 Advisory and assistance services	-10
41.0 Grants, subsidies, and contributions	-1,688
99.9 Total new obligations, unexpired accounts	-1,700

CHILDREN AND FAMILIES SERVICES PROGRAMS

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Head Start Act, the Every Student Succeeds Act, the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child

Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), and part B-1 of title IV and sections 429, 473A, 477(i), 1110, 1114A, and 1115 of the Social Security Act, and the Community Services Block Grant Act ("CSBG Act"); and for necessary administrative expenses to carry out titles I, IV, V, X, XI, XIV, XVI, and XX-A of the Social Security Act, the Act of July 5, 1960, the Low-Income Home Energy Assistance Act of 1981, the Child Care and Development Block Grant Act of 1990, the Assets for Independence Act, title IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act of 1980, \$12,876,652,000 \$11,856,130,000, of which \$75,000,000, to remain available through September 30, 2021 2022, shall be for grants to States for adoption and legal guardianship incentive payments, as defined by section 473A of the Social Security Act and may be made for adoptions and legal guardianships completed before September 30, 2020 2021: Provided, That \$10,613,095,000 shall be for making payments under the Head Start Act, including for Early Head Start-Child Care Partnerships, and, of which, notwithstanding section 640 of such Act:

(1) \$193,000,000 shall be available for a cost of living adjustment, and with respect to any continuing appropriations act, funding available for a cost of living adjustment shall not be construed as an authority or condition under this Act;

(2) (1) \$25,000,000 shall be available for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of the Head Start Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12), and 645A(d) of such Act, and such funds shall not be included in the calculation of "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of such Act; and

(3) \$100,000,000, in addition to funds otherwise available under such section 640 for such purposes, shall be available through March 31, 2021 for new grants to entities defined as eligible under section 645A(d) of such Act for Early Head Start programs as described in section 645A of such Act, conversion of Head Start services to Early Head Start services as described in section 645(a)(5)(A) of such Act, and high quality infant and toddler care through Early Head Start-Child Care Partnerships, and for training and technical assistance for such activities;

(4) \$250,000,000 shall be available for quality improvement consistent with section 640(a)(5) of such Act except that any amount of the funds may be used on any of the activities in such section (5);

(5) \$4,000,000 shall be available for the purposes of re-establishing the Tribal Colleges and Universities Head Start Partnership Program consistent with section 648(g) of such Act; and

(6) (2) \$19,000,000 shall be available to supplement funding otherwise available for research, evaluation, and Federal administrative costs:

Provided further, That the Secretary may reduce the reservation of funds under section 640(a)(2)(C) of such Act in lieu of reducing the reservation of funds under sections 640(a)(2)(B), 640(a)(2)(D), and 640(a)(2)(E) of such Act: Provided further, That \$275,000,000 shall be available until December 31, 2020 for carrying out sections 9212 and 9213 of the Every Student Succeeds Act: Provided further, That up to 3 percent of the funds in the preceding proviso shall be available for technical assistance and evaluation related to grants awarded under such section 9212: Provided further, That \$770,383,000 shall be for making payments under the CSBG Act: Provided further, That \$30,383,000 shall be for section 680 of the CSBG Act, of which not less than \$20,383,000 shall be for section 680(a)(2) and not less than \$10,000,000 shall be for section 680(a)(3)(B) of such Act: Provided further, That, notwithstanding section 675C(a)(3) of such Act, to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under such Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: Provided further, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: Provided further, That intangible assets in the form of loans, equity investments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: Provided further, That these procedures shall apply to such grant funds made available after November 29, 1999: Provided further, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: Provided further, That \$175,000,000 shall be for carrying out section 303(a) of the Family Violence Prevention and Services Act, of which \$7,000,000 shall be allocated notwithstanding section 303(a)(2) of such Act for carrying out section 309 of such Act: Provided further, That the percentages specified in section

112(a)(2) of the Child Abuse Prevention and Treatment Act shall not apply to funds appropriated under this heading: Provided further, That \$1,864,000 \$4,000,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: Provided further, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Head Start	10,438	10,671	10,613
0102 Preschool Development Grants	249	515
0103 Runaway and homeless youth (basic centers)	54	63	63
0104 Transitional living	56	51	51
0106 Education grants to reduce sexual abuse of runaway youth	17	19	19
0109 Child abuse State grants	85	90	90
0110 Child abuse discretionary activities	33	35	51
0111 Community-based child abuse prevention	40	56	56
0112 Child welfare services	268	269	269
0113 Child welfare training, research, or demonstration projects	18	18	21
0114 Adoption opportunities	39	42	42
0116 Adoption and Legal Guardianship Incentives	75	75	75
0117 Independent living education and training vouchers	46	43	43
0124 Native American programs	54	56	57
0125 Social services and income maintenance research	6	7	6
0128 ACF Federal administration	202	206	209
0131 Disaster human services case management	2	2	4
0191 Direct program activities, subtotal	11,682	12,218	11,669
0301 Community services block grant	717	740
0303 Rural community facilities	9	10
0304 Community economic development	20	20
0308 Domestic violence hotline	10	12	12
0309 Family violence prevention and services	164	175	175
0391 Direct program activities, subtotal	920	957	187
0400 Total, direct program	12,602	13,175	11,856
0799 Total direct obligations	12,602	13,175	11,856
0801 Children and Families Services Programs (Reimbursable)	31	22	22
0809 Reimbursable program activities, subtotal	31	22	22
0900 Total new obligations, unexpired accounts	12,633	13,197	11,878
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,251	933	685
1001 Discretionary unobligated balance brought fwd, Oct 1	1,251	933
1012 Unobligated balance transfers between expired and unexpired accounts	3
1021 Recoveries of prior year unpaid obligations	3
1050 Unobligated balance (total)	1,257	933	685
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12,329	12,877	11,856
1120 Appropriations transferred to other acts (075-1503)	-48
1160 Appropriation, discretionary (total)	12,281	12,877	11,856
Spending authority from offsetting collections, discretionary:			
1700 Collected	26	70	70
1701 Change in uncollected payments, Federal sources	2
1750 Spending auth from offsetting collections, disc (total)	28	70	70
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	1	1
1801 Change in uncollected payments, Federal sources	1	1
1850 Spending auth from offsetting collections, mand (total)	2	2	2
1900 Budget authority (total)	12,311	12,949	11,928
1930 Total budgetary resources available	13,568	13,882	12,613
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	933	685	735
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8,884	9,739	10,767
3010 New obligations, unexpired accounts	12,633	13,197	11,878
3011 Obligations ("upward adjustments"), expired accounts	21
3020 Outlays (gross)	-11,639	-12,169	-12,622
3040 Recoveries of prior year unpaid obligations, unexpired	-3

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued
Program and Financing—Continued

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
3041 Recoveries of prior year unpaid obligations, expired	-157		
3050 Unpaid obligations, end of year	9,739	10,767	10,023
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-17	-18
3070 Change in uncollected pymts, Fed sources, unexpired	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-17	-18	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8,864	9,722	10,749
3200 Obligated balance, end of year	9,722	10,749	10,004

Budget authority and outlays, net:			
Discretionary:			
Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
4000 Budget authority, gross	12,309	12,947	11,926
Outlays, gross:			
4010 Outlays from new discretionary authority	4,283	4,240	3,833
4011 Outlays from discretionary balances	7,353	7,929	8,789
4020 Outlays, gross (total)	11,636	12,169	12,622
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-27	-70	-70
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-29	-70	-70
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	3		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	12,281	12,877	11,856
4080 Outlays, net (discretionary)	11,607	12,099	12,552
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2		
4101 Outlays from mandatory balances	1		
4110 Outlays, gross (total)	3		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-3	-2	-2
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired		-1	-1
4142 Offsetting collections credited to expired accounts	1	1	1
4150 Additional offsets against budget authority only (total)	1		
4170 Outlays, net (mandatory)		-2	-2
4180 Budget authority, net (total)	12,281	12,877	11,856
4190 Outlays, net (total)	11,607	12,097	12,550

The request totals \$11.86 billion, including more than \$10.6 billion for Head Start. This request discontinues funding for the Community Services Block Grant, Rural Community Facilities, Community Economic Development, and Preschool Development Grants.

Object Classification (in millions of dollars)

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	108	115	114
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	1	1	1
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	114	121	120
12.1 Civilian personnel benefits	36	38	38
21.0 Travel and transportation of persons	3	4	3
23.1 Rental payments to GSA	5	16	16
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	244	255	244
25.2 Other services from non-Federal sources	19	20	20
25.3 Other goods and services from Federal sources	59	51	53
25.4 Operation and maintenance of facilities	1	2	2
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	12,119	12,666	11,358
99.0 Direct obligations	12,602	13,175	11,856

99.0 Reimbursable obligations	31	22	22
99.9 Total new obligations, unexpired accounts	12,633	13,197	11,878

Employment Summary

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,032	1,114	1,113
1101 Direct military average strength employment	5	6	6
2001 Reimbursable civilian full-time equivalent employment	10	10	10

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 075-1553-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Training and technical assistance	13	17	12
0002 Federal parent locator service	25	28	25
0799 Total direct obligations	38	45	37
0801 Federal Parent Locator Service reimbursable	26	30	29
0899 Total reimbursable obligations	26	30	29
0900 Total new obligations, unexpired accounts	64	75	66
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	11	
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	14	11	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	37	37	37
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-2	
1260 Appropriations, mandatory (total)	35	35	37
Spending authority from offsetting collections, mandatory:			
1800 Collected	26	29	29
1802 Offsetting collections (previously unavailable)	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1	
1850 Spending auth from offsetting collections, mand (total)	26	29	30
1900 Budget authority (total)	61	64	67
1930 Total budgetary resources available	75	75	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11		1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	23	36
3010 New obligations, unexpired accounts	64	75	66
3020 Outlays (gross)	-62	-62	-67
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	23	36	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6	-6
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	17	30
3200 Obligated balance, end of year	17	30	29

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	61	64	67
Outlays, gross:			
4100 Outlays from new mandatory authority	41	45	45
4101 Outlays from mandatory balances	21	17	22
4110 Outlays, gross (total)	62	62	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-8	-9	-9
4123 Non-Federal sources	-18	-20	-20
4130 Offsets against gross budget authority and outlays (total)	-26	-29	-29
4160 Budget authority, net (mandatory)	35	35	38

4170	Outlays, net (mandatory)	36	33	38
4180	Budget authority, net (total)	35	35	38
4190	Outlays, net (total)	36	33	38

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171).

Object Classification (in millions of dollars)

Identification code 075–1553–0–1–609	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	8	9	9
12.1	Civilian personnel benefits	2	2	3
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources	10	13	9
25.3	Other goods and services from Federal sources	6	7	5
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	7	9	6
99.0	Direct obligations	38	45	37
99.0	Reimbursable obligations	26	30	29
99.9	Total new obligations, unexpired accounts	64	75	66

Employment Summary

Identification code 075–1553–0–1–609	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	61	68	71

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

The Budget includes a set of proposals that would require changes to statute to provide additional access to the National Directory of New Hires for evidence building and program integrity purposes, while ensuring privacy and security safeguards.

PAYMENTS FOR FOSTER CARE AND PERMANENCY

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, **[\$5,744,000,000] \$7,012,000,000.**

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, for the first quarter of fiscal year **[2021] 2022, \$3,000,000,000.**

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Foster care	5,231	5,569	5,796
0002	Independent living	142	143	143
0004	Adoption assistance	2,993	3,430	3,802
0005	Guardianship	189	242	271
0006	Technical Assistance and Implementation Services for Tribal Programs	3	3	3
0007	Prevention Services Technical Assistance	1	1	1
0900	Total new obligations, unexpired accounts	8,559	9,388	10,016

Budgetary resources:

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	2	2
1012	Unobligated balance transfers between expired and unexpired accounts	2
1050	Unobligated balance (total)	2	2	2

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	5,860	6,589	7,015
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1
1260	Appropriations, mandatory (total)	5,859	6,588	7,015
Advance appropriations, mandatory:				
1270	Advance appropriation	2,700	2,800	3,000
1900	Budget authority (total)	8,559	9,388	10,015
1930	Total budgetary resources available	8,561	9,390	10,017
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	1

Change in obligated balance:

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,214	1,410	1,409
3010	New obligations, unexpired accounts	8,559	9,388	10,016
3011	Obligations ("upward adjustments"), expired accounts	359
3020	Outlays (gross)	-8,619	-9,389	-9,910
3041	Recoveries of prior year unpaid obligations, expired	-103
3050	Unpaid obligations, end of year	1,410	1,409	1,515
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,214	1,410	1,409
3200	Obligated balance, end of year	1,410	1,409	1,515

Budget authority and outlays, net:

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Mandatory:				
4090	Budget authority, gross	8,559	9,388	10,015
Outlays, gross:				
4100	Outlays from new mandatory authority	7,513	8,259	8,816
4101	Outlays from mandatory balances	1,106	1,130	1,094
4110	Outlays, gross (total)	8,619	9,389	9,910
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-20
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts	20
4160	Budget authority, net (mandatory)	8,559	9,388	10,015
4170	Outlays, net (mandatory)	8,599	9,389	9,910
4180	Budget authority, net (total)	8,559	9,388	10,015
4190	Outlays, net (total)	8,599	9,389	9,910

Summary of Budget Authority and Outlays (in millions of dollars)

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	8,559	9,388	10,015
Outlays	8,599	9,389	9,910
Legislative proposal, subject to PAYGO:			
Budget Authority	45
Outlays	45
Total:			
Budget Authority	8,559	9,388	10,060
Outlays	8,599	9,389	9,955

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance Program, Foster Care Prevention Services, and the Chafee Program for Successful Transition to Adulthood, as well as technical assistance and implementation services for tribal programs.

Foster Care—The proposed level will support eligible low-income children who must be placed outside the home. An average of 171,500 children per month are estimated to be served in FY 2021.

Adoption Assistance—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 649,400 children per month are estimated to be served in FY 2021.

Guardianship Assistance Program—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 46,300 children per month are estimated to be served in FY 2021.

Object Classification (in millions of dollars)

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Direct obligations:				
21.0	Travel and transportation of persons	1	1	1

PAYMENTS FOR FOSTER CARE AND PERMANENCY—Continued

Object Classification—Continued

Identification code 075-1545-0-1-609	2019 actual	2020 est.	2021 est.
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	30	32	34
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	8,526	9,353	9,979
99.9 Total new obligations, unexpired accounts	8,559	9,388	10,016

Employment Summary

Identification code 075-1545-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

PAYMENTS FOR FOSTER CARE AND PERMANENCY

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1545-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Foster care			19
0002 Independent living			12
0004 Adoption assistance			13
0005 Guardianship			1
0900 Total new obligations, unexpired accounts (object class 41.0)			45
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			45
1900 Budget authority (total)			45
1930 Total budgetary resources available			45
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			45
3020 Outlays (gross)			-45
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			45
Outlays, gross:			
4100 Outlays from new mandatory authority			45
4180 Budget authority, net (total)			45
4190 Outlays, net (total)			45

The Budget includes proposals to create the Child Welfare Flexible Funding Option for title IV-E agencies, to create a performance incentive for improving Child and Family Services Reviews outcomes, and to allow states to pay salaries to foster parents who can care for children with complex needs. The Budget provides new flexibilities and support in the Chafee program for youth transitioning out of foster care. The Family First Improvements proposal streamlines approval of title IV-E prevention services and kinship navigator programs and provides additional flexibility to title IV-E tribal agencies in operating the title IV-E program. Additionally, the Budget includes the interaction effects from the proposal to eliminate SSBG funding, which increases costs in the Foster Care and Permanency account due to State agencies shifting eligible expenses previously funded by SSBG to Foster Care and Permanency.

ADMINISTRATION FOR COMMUNITY LIVING

Federal Funds

AGING AND DISABILITY SERVICES PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), the RAISE Family Caregivers Act, the Supporting Grandparents Raising Grandchildren Act, titles III and XXIX of the PHS Act, sections 1252 and

1253 of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, title XX-B of the Social Security Act, the Developmental Disabilities Assistance and Bill of Rights Act, parts 2 and 5 of subtitle D of title II of the Help America Vote Act of 2002, the Assistive Technology Act of 1998, titles II and VII (and section 14 with respect to such titles) of the Rehabilitation Act of 1973, and for Department-wide coordination of policy and program activities that assist individuals with disabilities, **[\$2,171,000,000]** \$2,072,092,000, together with **[\$52,115,000]** \$36,115,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconciliation Act of 1990: *Provided*, That amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: *Provided further*, That of amounts made available under this heading to carry out section 321 of the OAA, up to one percent of such amounts shall be available for developing and implementing evidence-based practices for enhancing home and community-based supportive services: *Provided further*, That of amounts made available under this heading to carry out sections 311, 331, and 336 of the OAA, up to one percent of such amounts shall be available for developing and implementing evidence-based practices for enhancing senior nutrition, including medically-tailored meals: *Provided further*, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section: *Provided further*, That **[\$2,000,000]** shall be for competitive grants to support alternative financing programs that provide for the purchase of assistive technology devices, such as a low-interest loan fund; an interest buy-down program; a revolving loan fund; a loan guarantee; or an insurance program: *Provided further*, That applicants shall provide an assurance that, and information describing the manner in which, the alternative financing program will expand and emphasize consumer choice and control: *Provided further*, That State agencies and community-based disability organizations that are directed by and operated for individuals with disabilities shall be eligible to compete **]** of the amount made available under this heading, \$5,000,000 shall be available for a program under which the Secretary may make competitive grants to centers for independent living that have received grants under part C of chapter 1 of title VII of the Rehabilitation Act of 1973, to develop evidence-based interventions to increase employment of individuals with disabilities: *Provided further*, That none of the funds made available under this heading may be used by an eligible system (as defined in section 102 of the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C. 10802)) to continue to pursue any legal action in a Federal or State court on behalf of an individual or group of individuals with a developmental disability (as defined in section 102(8)(A) of the Developmental Disabilities and Assistance and Bill of Rights Act of 2000 (20 U.S.C. 15002(8)(A)) that is attributable to a mental impairment (or a combination of mental and physical impairments), that has as the requested remedy the closure of State operated intermediate care facilities for people with intellectual or developmental disabilities, unless reasonable public notice of the action has been provided to such individuals (or, in the case of mental incapacitation, the legal guardians who have been specifically awarded authority by the courts to make healthcare and residential decisions on behalf of such individuals) who are affected by such action, within 90 days of instituting such legal action, which informs such individuals (or such legal guardians) of their legal rights and how to exercise such rights consistent with current Federal Rules of Civil Procedure: *Provided further*, That the limitations in the immediately preceding proviso shall not apply in the case of an individual who is neither competent to consent nor has a legal guardian, nor shall the proviso apply in the case of individuals who are a ward of the State or subject to public guardianship. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0142-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Aging Services Programs	1,629	1,651	1,627
0102 ACL Program Administration	40	41	42
0103 Integrated Aging and Disability Services Programs	44	53	46
0104 Disability Services Programs	321	314	267
0105 National Institute on Disability, Independent Living & Rehab Research	109	112	90
0300 Total, direct program	2,143	2,171	2,072
0799 Total direct obligations	2,143	2,171	2,072
0801 ACL Reimbursable Programs	94	85	54

0900	Total new obligations, unexpired accounts	2,237	2,256	2,126
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	7	6	28
1001	Discretionary unobligated balance brought fwd, Oct 1	7	6	
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	11	6	28
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,120	2,171	2,072
1120	Appropriations transferred to other accts [012–3507]	-2		
1120	Appropriations transferred to other acct [075–1503]	-7		
1160	Appropriation, discretionary (total)	2,111	2,171	2,072
Appropriations, mandatory:				
1221	PPHF Appropriations transferred from other accounts [075–0116]	28	28	
Spending authority from offsetting collections, discretionary:				
1700	Collected	11	14	11
1701	Change in uncollected payments, Federal sources	58	57	44
1750	Spending auth from offsetting collections, disc (total)	69	71	55
Spending authority from offsetting collections, mandatory:				
1800	Collected	17		
1801	Change in uncollected payments, Federal sources	8	8	
1850	Spending auth from offsetting collections, mand (total)	25	8	
1900	Budget authority (total)	2,233	2,278	2,127
1930	Total budgetary resources available	2,244	2,284	2,155
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	6	28	29

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,470	1,516	1,202
3010	New obligations, unexpired accounts	2,237	2,256	2,126
3011	Obligations ("upward adjustments"), expired accounts	4		
3020	Outlays (gross)	-2,177	-2,570	-2,193
3040	Recoveries of prior year unpaid obligations, unexpired	-4		
3041	Recoveries of prior year unpaid obligations, expired	-14		
3050	Unpaid obligations, end of year	1,516	1,202	1,135
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-190	-129	-194
3070	Change in uncollected pymts, Fed sources, unexpired	-66	-65	-44
3071	Change in uncollected pymts, Fed sources, expired	127		
3090	Uncollected pymts, Fed sources, end of year	-129	-194	-238
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,280	1,387	1,008
3200	Obligated balance, end of year	1,387	1,008	897

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,180	2,242	2,127
Outlays, gross:				
4010	Outlays from new discretionary authority	1,019	1,338	1,275
4011	Outlays from discretionary balances	1,110	1,182	882
4020	Outlays, gross (total)	2,129	2,520	2,157
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-136	-55	-55
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-58	-57	-44
4052	Offsetting collections credited to expired accounts	125	41	44
4060	Additional offsets against budget authority only (total)	67	-16	
4070	Budget authority, net (discretionary)	2,111	2,171	2,072
4080	Outlays, net (discretionary)	1,993	2,465	2,102
Mandatory:				
4090	Budget authority, gross	53	36	
Outlays, gross:				
4100	Outlays from new mandatory authority	2	3	
4101	Outlays from mandatory balances	46	47	36
4110	Outlays, gross (total)	48	50	36
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-18	-8	
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-8	-8	
4142	Offsetting collections credited to expired accounts	1	8	
4150	Additional offsets against budget authority only (total)	-7		
4160	Budget authority, net (mandatory)	28	28	

4170	Outlays, net (mandatory)	30	42	36
4180	Budget authority, net (total)	2,139	2,199	2,072
4190	Outlays, net (total)	2,023	2,507	2,138

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,139	2,199	2,072
Outlays	2,023	2,507	2,138
Legislative proposal, subject to PAYGO:			
Budget Authority		19	25
Outlays		4	15
Total:			
Budget Authority	2,139	2,218	2,097
Outlays	2,023	2,511	2,153

This account funds formula and discretionary grants that provide home and community-based services and supports to assist older adults and people of all ages with disabilities to live independently and to fully participate in their communities. ACL works with states, localities, tribal organizations, nonprofit organizations, businesses and families, and through networks of aging and disability organizations, to provide these services and supports which include nutrition, supportive, caregiver, independent living, and protection and advocacy services.

Object Classification (in millions of dollars)

Identification code 075–0142–0–1–506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	22	22	22
12.1 Civilian personnel benefits	7	7	7
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	44	44	44
41.0 Grants, subsidies, and contributions	2,067	2,096	1,996
99.0 Direct obligations	2,143	2,172	2,072
99.0 Reimbursable obligations	94	84	54
99.9 Total new obligations, unexpired accounts	2,237	2,256	2,126

Employment Summary

Identification code 075–0142–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	168	177	180
2001 Reimbursable civilian full-time equivalent employment	12	11	11

AGING AND DISABILITY SERVICES PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–0142–4–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 ACL Reimbursable Programs		29	51
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			-10
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected		19	25
1900 Budget authority (total)		19	25
1930 Total budgetary resources available		19	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		-10	-36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			25
3010 New obligations, unexpired accounts		29	51
3020 Outlays (gross)		-4	-15
3050 Unpaid obligations, end of year		25	61
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			25
3200 Obligated balance, end of year		25	61

AGING AND DISABILITY SERVICES PROGRAMS—Continued
Program and Financing—Continued

Identification code 075-0142-4-1-506	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		19	25
Outlays, gross:			
4100 Outlays from new mandatory authority		4	5
4101 Outlays from mandatory balances			10
4110 Outlays, gross (total)		4	15
4180 Budget authority, net (total)		19	25
4190 Outlays, net (total)		4	15

This legislative proposal extends funding for Medicare enrollment assistance programs through 2021.

Object Classification (in millions of dollars)

Identification code 075-0142-4-1-506	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.1 Advisory and assistance services		1	3
41.0 Grants, subsidies, and contributions		28	48
99.0 Reimbursable obligations		29	51
99.9 Total new obligations, unexpired accounts		29	51

Employment Summary

Identification code 075-0142-4-1-506	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment		1	4

DEPARTMENTAL MANAGEMENT

Federal Funds

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of six passenger motor vehicles, and for carrying out titles III, XVII, XXI, and section 229 of the PHS Act, the United States-Mexico Border Health Commission Act, [and research studies under section 1110 of the Social Security Act, \$479,629,000] and to carry out health or human services research and evaluation activities, including such activities that are similar to activities carried out by other components of the Department, \$347,105,000, together with [\$64,828,000] \$73,840,000 from the amounts available under section 241 of the PHS Act [to carry out national health or human services research and evaluation activities]: *Provided*, That of this amount, \$53,900,000 shall be for minority AIDS prevention and treatment activities: [*Provided further*, That of the funds made available under this heading, \$101,000,000 shall be for making competitive contracts and grants to public and private entities to fund medically accurate and age appropriate programs that reduce teen pregnancy and for the Federal costs associated with administering and evaluating such contracts and grants, of which not more than 10 percent of the available funds shall be for training and technical assistance, evaluation, outreach, and additional program support activities, and of the remaining amount 75 percent shall be for replicating programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors, and 25 percent shall be available for research and demonstration grants to develop, replicate, refine, and test additional models and innovative strategies for preventing teenage pregnancy: *Provided further*, That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, \$6,800,000 shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches: *Provided further*, That of the funds made available under this heading, \$35,000,000 shall be for making competitive grants which exclusively implement education in sexual risk avoidance (defined as voluntarily refraining from non-marital sexual activity): *Provided further*, That funding for such competitive grants for sexual risk avoidance shall use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach

the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity: *Provided further*, That no more than 10 percent of the funding for such competitive grants for sexual risk avoidance shall be available for technical assistance and administrative costs of such programs: *Provided further*, That funds provided in this Act for embryo adoption activities may be used to provide to individuals adopting embryos, through grants and other mechanisms, medical and administrative services deemed necessary for such adoptions: *Provided further*, That such services shall be provided consistent with 42 CFR 59.5(a)(4):] *Provided further*, That of the funds made available under this heading, [\$5,000,000] \$2,000,000 shall be for carrying out prize competitions sponsored by the Office of the Secretary to accelerate innovation in the prevention, diagnosis, and treatment of kidney diseases (as authorized by section 24 of the Stevenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3719)). (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-9912-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 GDM Direct	481	480	347
0100 Direct, subtotal	481	480	347
0802 GDM Reimbursable (collected)	169	191	182
0803 PHS Evaluation Reimbursable (Collected)	65	65	74
0809 Reimbursable program activities, subtotal	234	256	256
0811 HCFA Mandatory (R)	7	7	10
0812 MACRA Mandatory (R)	5	5	5
0819 Reimbursable program activities, subtotal	12	12	15
0899 Total reimbursable obligations	246	268	271
0900 Total new obligations, unexpired accounts	727	748	618

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	13	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (GDM Direct)	481	480	347
1120 Appropriations transferred — other acts [075-1503]	-1		
1160 Appropriation, discretionary (total)	480	480	347
Spending authority from offsetting collections, discretionary:			
1700 Collected	106	256	260
1701 Change in uncollected payments, Federal sources	127		
1711 Spending authority transferred other accounts [075-0511]	5		
1750 Spending auth from offsetting collections, disc (total)	238	256	260
Spending authority from offsetting collections, mandatory:			
1800 Collected	11	12	15
1801 Change in uncollected payments, Federal sources	1		
1850 Spending auth from offsetting collections, mand (total)	12	12	15
1900 Budget authority (total)	730	748	622
1930 Total budgetary resources available	741	761	635
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	13	13	17

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	493	525	527
3010 New obligations, unexpired accounts	727	748	618
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-684	-746	-694
3041 Recoveries of prior year unpaid obligations, expired	-19		
3050 Unpaid obligations, end of year	525	527	451
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-318	-248	-248
3070 Change in uncollected pymts, Fed sources, unexpired	-128		
3071 Change in uncollected pymts, Fed sources, expired	198		
3090 Uncollected pymts, Fed sources, end of year	-248	-248	-248
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	175	277	279
3200 Obligated balance, end of year	277	279	203

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	718	736 607
Outlays, gross:			
4010	Outlays from new discretionary authority	357	352 296
4011	Outlays from discretionary balances	315	382 383
4020	Outlays, gross (total)	672	734 679
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources:	-298	-256 -260
4033	Non-Federal sources:	-1
4040	Offsets against gross budget authority and outlays (total)	-299	-256 -260
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-127
4052	Offsetting collections credited to expired accounts	193
4060	Additional offsets against budget authority only (total)	66
4070	Budget authority, net (discretionary)	485	480 347
4080	Outlays, net (discretionary)	373	478 419
Mandatory:			
4090	Budget authority, gross	12	12 15
Outlays, gross:			
4100	Outlays from new mandatory authority	8	12 15
4101	Outlays from mandatory balances	4
4110	Outlays, gross (total)	12	12 15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources:	-14	-12 -15
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	-1
4142	Offsetting collections credited to expired accounts	3
4150	Additional offsets against budget authority only (total)	2
4170	Outlays, net (mandatory)	-2
4180	Budget authority, net (total)	485	480 347
4190	Outlays, net (total)	371	478 419

Note.—The reimbursable program (HCFAC) in the General Department Management (GDM) account reflects estimates of the allocation for 2021. The actual allocation is determined annually.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health, including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund.

Object Classification (in millions of dollars)

Identification code 075-9912-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	83	90 87
11.3	Other than full-time permanent	4	4 4
11.5	Other personnel compensation	2	2 2
11.7	Military personnel	3	2 2
11.9	Total personnel compensation	92	98 95
12.1	Civilian personnel benefits	25	31 30
12.2	Military personnel benefits	1	1 1
21.0	Travel and transportation of persons	5	4 4
23.1	Rental payments to GSA	20	21 22
23.3	Communications, utilities, and miscellaneous charges	2	2 2
24.0	Printing and reproduction	1	1 1
25.1	Advisory and assistance services	22	21 17
25.2	Other services from non-Federal sources	35	26 28
25.3	Other goods and services from Federal sources	125	124 88
25.4	Operation and maintenance of facilities	5	5 6
25.7	Operation and maintenance of equipment	3	3 3
26.0	Supplies and materials	1	1 1
31.0	Equipment	1	1 1
41.0	Grants, subsidies, and contributions	143	141 48
99.0	Direct obligations	481	480 347
99.0	Reimbursable obligations	246	268 271
99.9	Total new obligations, unexpired accounts	727	748 618

Employment Summary

Identification code 075-9912-0-1-551	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	836	868 833
1101	Direct military average strength employment	25	22 24
2001	Reimbursable civilian full-time equivalent employment	491	486 507
2101	Reimbursable military average strength employment	15	15 13

PAYMENT TO THE STATE RESPONSE TO THE OPIOID ABUSE CRISIS ACCOUNT, CURES ACT

This account, and a related special receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

STATE RESPONSE TO THE OPIOID ABUSE CRISIS, CURES ACT

The 21st Century Cures Act authorized in 2017 and 2018 grants to states, the District of Columbia, and territories to develop and provide opioid abuse prevention, treatment, and recovery support services.

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$38,798,000] \$30,286,000.** (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Office for Civil Rights (Direct)	39	39 30
0801	Office for Civil Rights (Reimbursable)	7	14 27
0900	Total new obligations, unexpired accounts	46	53 57
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	34	53 49
1021	Recoveries of prior year unpaid obligations	3
1050	Unobligated balance (total)	37	53 49
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	39	39 30
Spending authority from offsetting collections, mandatory:			
1800	Collected	23	10 10
1802	Offsetting collections (previously unavailable)	1
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1
1850	Spending auth from offsetting collections, mand (total)	23	10 10
1900	Budget authority (total)	62	49 40
1930	Total budgetary resources available	99	102 89
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	53	49 32
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	23	15 4
3010	New obligations, unexpired accounts	46	53 57
3020	Outlays (gross)	-51	-64 -48
3040	Recoveries of prior year unpaid obligations, unexpired	-3
3050	Unpaid obligations, end of year	15	4 13
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	23	15 4
3200	Obligated balance, end of year	15	4 13
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	39	39 30
Outlays, gross:			
4010	Outlays from new discretionary authority	34	31 24
4011	Outlays from discretionary balances	6	8 8
4020	Outlays, gross (total)	40	39 32
Mandatory:			
4090	Budget authority, gross	23	10 10

OFFICE FOR CIVIL RIGHTS—Continued
Program and Financing—Continued

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	11	24	15
4110 Outlays, gross (total)	11	25	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-23	-10	-10
4180 Budget authority, net (total)	39	39	30
4190 Outlays, net (total)	28	54	38

The Office for Civil Rights funds activities that carry out the Department's civil rights, nondiscrimination, health information privacy, and security compliance programs.

Object Classification (in millions of dollars)

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	16	17	15
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	17	18	16
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	1
25.2 Other services from non-Federal sources	1	2	1
25.3 Other goods and services from Federal sources	9	8	5
31.0 Equipment	1		
99.0 Direct obligations	39	39	30
99.0 Reimbursable obligations	7	14	27
99.9 Total new obligations, unexpired accounts	46	53	57

Employment Summary

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	138	150	140
1101 Direct military average strength employment	1	1	1
2001 Reimbursable civilian full-time equivalent employment	1	2	1

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY

For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, **[\$60,367,000]** \$50,717,000. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0130-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health information technology (IT)	60	60	51
0799 Total direct obligations	60	60	51
0801 Office of the National Coordinator for Health IT (ONC):			
Reimbursable	6	6	6
0899 Total reimbursable obligations	6	6	6
0900 Total new obligations, unexpired accounts	66	66	57
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	10	19
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	11	10	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	60	60	51

Spending authority from offsetting collections, discretionary:			
1700 Collected		15	15
1701 Change in uncollected payments, Federal sources	5		
1750 Spending auth from offsetting collections, disc (total)	5	15	15
1900 Budget authority (total)	65	75	66
1930 Total budgetary resources available	76	85	85
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	19	28

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	68	54	13
3010 New obligations, unexpired accounts	66	66	57
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-69	-107	-68
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	54	13	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired	-5		
3071 Change in uncollected pymts, Fed sources, expired	15		
3090 Uncollected pymts, Fed sources, end of year	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	48	44	3
3200 Obligated balance, end of year	44	3	-8

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	65	75	66
Outlays, gross:			
4010 Outlays from new discretionary authority	41	64	56
4011 Outlays from discretionary balances	28	43	12
4020 Outlays, gross (total)	69	107	68
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-14	-15	-15
4040 Offsets against gross budget authority and outlays (total)	-14	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-5		
4052 Offsetting collections credited to expired accounts	14		
4060 Additional offsets against budget authority only (total)	9		
4070 Budget authority, net (discretionary)	60	60	51
4080 Outlays, net (discretionary)	55	92	53
4180 Budget authority, net (total)	60	60	51
4190 Outlays, net (total)	55	92	53

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was authorized in title XXX of the PHS Act as added by the Health Information Technology for Economic and Clinical Health (HITECH) Act (P.L. 111-5, Title XIII) and the 21st Century Cures Act (P.L. 114-255), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

Object Classification (in millions of dollars)

Identification code 075-0130-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	19	19	19
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	21	21	21
12.1 Civilian personnel benefits	6	6	6
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	13	13	11
25.3 Other goods and services from Federal sources	11	11	9
41.0 Grants, subsidies, and contributions	6	6	
99.0 Direct obligations	59	59	49
99.0 Reimbursable obligations	5	5	5

99.5	Adjustment for rounding	2	2	3
99.9	Total new obligations, unexpired accounts	66	66	57

Employment Summary

Identification code 075-0130-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	156	162	162
1101 Direct military average strength employment	2	2	2

MEDICARE HEARINGS AND APPEALS

For expenses necessary for Medicare hearings and appeals in the Office of the Secretary, **[\$191,881,000]** \$196,381,000 shall remain available until September 30, **[2021]** 2022, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0139-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Medicare Hearings and Appeals (Direct)	164	192	196
0002 Proposed User Fees			2
0799 Total direct obligations	164	192	198
0900 Total new obligations, unexpired accounts	164	192	198
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	37	56	56
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	40	56	56
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	127	192	196
1701 Change in uncollected payments, Federal sources	55		
1750 Spending auth from offsetting collections, disc (total)	182	192	196
1900 Budget authority (total)	182	192	196
1930 Total budgetary resources available	222	248	252
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	56	56	54

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	55	57	1
3010 New obligations, unexpired accounts	164	192	198
3020 Outlays (gross)	-158	-248	-196
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	57	1	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-106	-156	-156
3070 Change in uncollected pymts, Fed sources, unexpired	-55		
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-156	-156	-156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-51	-99	-155
3200 Obligated balance, end of year	-99	-155	-153

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	182	192	196
Outlays, gross:			
4010 Outlays from new discretionary authority	98	192	196
4011 Outlays from discretionary balances	60		
4020 Outlays, gross (total)	158	192	196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-130	-192	-196
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-55		
4052 Offsetting collections credited to expired accounts	3		
4060 Additional offsets against budget authority only (total)	-52		
4080 Outlays, net (discretionary)	28		

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		56	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	28	56	

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, and the Medicare appeals related operations of the Departmental Appeals Board (DAB). OMHA provides an independent and impartial forum for the adjudication of claims brought by or on behalf of Medicare beneficiaries related to their benefits and care. The Departmental Appeals Board for Medicare provides final HHS administrative review of claims for Medicare entitlement, payment, and coverage.

Object Classification (in millions of dollars)

Identification code 075-0139-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	77	107	119
11.5 Other personnel compensation		2	2
11.9 Total personnel compensation	77	109	121
12.1 Civilian personnel benefits	27	35	36
21.0 Travel and transportation of persons	1		
22.0 Transportation of things	1		
23.1 Rental payments to GSA	5	11	11
23.3 Communications, utilities, and miscellaneous charges	4	8	8
25.2 Other services from non-Federal sources	13	13	2
25.3 Other goods and services from Federal sources	19	10	12
25.4 Operation and maintenance of facilities	5	2	1
25.7 Operation and maintenance of equipment	1	2	3
26.0 Supplies and materials	3	1	1
31.0 Equipment	8	1	3
99.0 Direct obligations	164	192	198
99.9 Total new obligations, unexpired accounts	164	192	198

Employment Summary

Identification code 075-0139-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	853	1,376	1,339

MEDICARE HEARINGS AND APPEALS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0139-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Reimbursable program activity			2
0900 Total new obligations, unexpired accounts (object class 25.2)			2
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			2
1930 Total budgetary resources available			2

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			2
3020 Outlays (gross)			-2

Budget authority and outlays, net:

Mandatory:			
Budget authority, gross:			
4100 Outlays from new mandatory authority			2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

MEDICARE HEARINGS AND APPEALS—Continued

This legislative proposal provides the Office of Medicare Hearings and Appeals (OMHA) and the Departmental Appeals Board (DAB) mandatory resources to address the Medicare appeals backlog. The proposal would establish a post-adjudication user fee for Medicare Parts A and B claim appeals filed by a provider or supplier, or a State Medicaid Agency, with respect to appeals that are unfavorable to the appellant and for appeals that are dismissed.

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, **[\$1,037,458,000]** \$1,081,465,000, of which \$561,700,000 shall remain available through September 30, **[2021]** 2022, for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act and other administrative expenses of the Biomedical Advanced Research and Development Authority: *Provided*, That funds provided under this heading for the purpose of acquisition of security countermeasures shall be in addition to any other funds available for such purpose: *Provided further*, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act: *Provided further*, That \$5,000,000 of the amounts made available to support emergency operations shall remain available through September 30, **[2022]** 2023: *Provided further*, That \$20,000,000 of the amounts made available to the National Disaster Medical System shall remain available through September 30, 2022, for activities related to the Pediatric Disaster Care Program: *Provided further*, That \$5,000,000 of the amounts made available for policy and planning shall remain available until expended for implementation activities related to the National Biodefense Strategy.

For expenses necessary for procuring security countermeasures (as defined in section 319F-2(c)(1)(B) of the PHS Act), **[\$735,000,000]** \$535,000,000, to remain available until expended.

For expenses necessary to carry out section 319F-2(a) of the PHS Act, \$705,000,000, to remain available until expended.

For an additional amount for expenses necessary to prepare for or respond to an influenza pandemic, **[\$260,000,000]** \$310,000,000; of which **[\$225,000,000]** \$275,000,000 shall be available until expended, for activities including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools: *Provided*, That notwithstanding section 496(b) of the PHS Act, funds may be used for the construction or renovation of privately owned facilities for the production of pandemic influenza vaccines and other biologics, if the Secretary finds such construction or renovation necessary to secure sufficient supplies of such vaccines or biologics.

For an additional amount to supplement amounts otherwise available for programs in the Department to provide authorized assistance or services to individuals who are homeless or who have experienced homelessness, \$10,000,000: Provided, That such funds may be transferred to other accounts in the Department for such purposes: Provided further, That the transfer authority provided in the previous proviso is in addition to any other transfer authority available to the Department. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0140-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public Health and Social Services Emergency Fund	2,916	2,737	2,641
0100 Direct program activities, subtotal	2,916	2,737	2,641
0801 Reimbursable program (FEMA)	91	98	98
0802 Reimbursable program activity (OPP)	2	2	2
0899 Total reimbursable obligations	93	100	100
0900 Total new obligations, unexpired accounts	3,009	2,837	2,741
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	437	694	1,126
1010 Unobligated balance transfer to other accts [075-0343]	-2	-3	-3
1010 Unobligated balance transfer to other accts [075-9915]	-15		
1010 Unobligated balance transfer to other accts [075-0943]	-10		
1011 Unobligated balance transfer from other acct [075-0943]	50		
1011 Unobligated balance transfer from other acct [075-0943]	425		

1011 Unobligated balance transfer from other acct [075-0116]	16		
1021 Recoveries of prior year unpaid obligations	88		
1050 Unobligated balance (total)	989	691	1,123
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,222	3,272	2,641
1120 Appropriations transferred to other acct [075-0350]	-80		
1120 Appropriations transferred to other acct [075-0128]	-1		
1120 Appropriations transferred to other acct [075-1362]	-100		
1120 Appropriations transferred to other acct [075-0943]	-20		
1121 Appropriations transferred from other acct [075-0943]	604		
1160 Appropriation, discretionary (total)	2,625	3,272	2,641
Spending authority from offsetting collections, discretionary:			
1700 Collected	4		
1701 Change in uncollected payments, Federal sources	87		
1750 Spending auth from offsetting collections, disc (total)	91		
1900 Budget authority (total)	2,716	3,272	2,641
1930 Total budgetary resources available	3,705	3,963	3,764
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	694	1,126	1,023
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,480	4,967	4,746
3010 New obligations, unexpired accounts	3,009	2,837	2,741
3011 Obligations ("upward adjustments"), expired accounts	19		
3020 Outlays (gross)	-2,658	-3,058	-3,332
3031 Unpaid obligations transferred from other accts [075-0943]	242		
3040 Recoveries of prior year unpaid obligations, unexpired	-88		
3041 Recoveries of prior year unpaid obligations, expired	-37		
3050 Unpaid obligations, end of year	4,967	4,746	4,155
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-198	-203	-203
3070 Change in uncollected pymts, Fed sources, unexpired	-87		
3071 Change in uncollected pymts, Fed sources, expired	82		
3090 Uncollected pymts, Fed sources, end of year	-203	-203	-203
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,282	4,764	4,543
3200 Obligated balance, end of year	4,764	4,543	3,952
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,716	3,272	2,641
Outlays, gross:			
4010 Outlays from new discretionary authority	431	617	581
4011 Outlays from discretionary balances	2,227	2,441	2,751
4020 Outlays, gross (total)	2,658	3,058	3,332
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-86		
4033 Non-Federal sources:	-1		
4040 Offsets against gross budget authority and outlays (total)	-87		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-87		
4052 Offsetting collections credited to expired accounts	83		
4060 Additional offsets against budget authority only (total)	-4		
4070 Budget authority, net (discretionary)	2,625	3,272	2,641
4080 Outlays, net (discretionary)	2,571	3,058	3,332
4180 Budget authority, net (total)	2,625	3,272	2,641
4190 Outlays, net (total)	2,571	3,058	3,332

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response (ASPR), as authorized by the Pandemic and All-Hazards Preparedness and Advancing Innovation Act of 2019. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System and National Biodefense Strategy implementation. The PHSSEF continues to support the advanced development, procurement, and stockpiling of biodefense and pandemic influenza countermeasures.

The PHSEF also supports the HHS Cybersecurity program, National Security and Strategic Information programs, the U.S. Public Health Service Commissioned Corps, and the Medical Reserve Corps.

Object Classification (in millions of dollars)

Identification code 075-0140-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	96	110	115
11.3 Other than full-time permanent	14		
11.7 Military personnel	9	10	11
11.9 Total personnel compensation	119	120	126
12.1 Civilian personnel benefits	32	31	33
12.2 Military personnel benefits	4	5	5
21.0 Travel and transportation of persons	13	9	16
22.0 Transportation of things	3	6	8
23.1 Rental payments to GSA	6	6	7
23.2 Rental payments to others	9	10	11
23.3 Communications, utilities, and miscellaneous charges	5	3	3
25.1 Advisory and assistance services	900	1,057	967
25.2 Other services from non-Federal sources	183	150	150
25.3 Other goods and services from Federal sources	157	106	107
25.4 Operation and maintenance of facilities	14	25	25
25.5 Research and development contracts	45	40	40
25.7 Operation and maintenance of equipment	31	31	33
26.0 Supplies and materials	1,092	801	752
31.0 Equipment	6	2	3
32.0 Land and structures		35	35
41.0 Grants, subsidies, and contributions	297	300	320
99.0 Direct obligations	2,916	2,737	2,641
99.0 Reimbursable obligations	93	100	100
99.9 Total new obligations, unexpired accounts	3,009	2,837	2,741

Employment Summary

Identification code 075-0140-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	821	935	963
1101 Direct military average strength employment	80	77	81

TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 075-0145-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 AHRQ	52	106	
0002 Office of the Secretary	26	27	25
0003 NIRSQ			98
0900 Total new obligations, unexpired accounts	78	133	123
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	127	195	195
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	128	195	195
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	145	133	123
1930 Total budgetary resources available	273	328	318
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	195	195	195
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	118	116	168
3010 New obligations, unexpired accounts	78	133	123
3020 Outlays (gross)	-79	-81	-152
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	116	168	139
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	118	116	168
3200 Obligated balance, end of year	116	168	139

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	145	133	123
Outlays, gross:			
4100 Outlays from new mandatory authority		4	4
4101 Outlays from mandatory balances	79	77	148
4110 Outlays, gross (total)	79	81	152
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-145	-133	-123
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-66	-52	29

Public Law 111-148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF was transferred each year to the Department of Health and Human Services (HHS). In FY 2020, PCORTF was extended through FY 2029. As authorized in section 937 of the Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and coordinate Federal health programs to build research and data capacity for comparative clinical effectiveness research. Transferred funds were distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities. The FY 2021 Budget consolidates the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality.

Object Classification (in millions of dollars)

Identification code 075-0145-0-1-552	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	54	27	25
41.0 Grants, subsidies, and contributions	24	106	98
99.9 Total new obligations, unexpired accounts	78	133	123

NONRECURRING EXPENSES FUND

Program and Financing (in millions of dollars)

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nonrecurring Expenses Fund Projects	505	665	105
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,116	1,024	609
1012 Unobligated balance transfers between expired and unexpired accounts	792	600	600
1021 Recoveries of prior year unpaid obligations	21		
1050 Unobligated balance (total)	1,929	1,624	1,209
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-400	-350	-500
1930 Total budgetary resources available	1,529	1,274	709
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,024	609	604
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	543	792	796
3010 New obligations, unexpired accounts	505	665	105
3020 Outlays (gross)	-235	-661	185
3040 Recoveries of prior year unpaid obligations, unexpired	-21		
3050 Unpaid obligations, end of year	792	796	1,086
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	543	792	796
3200 Obligated balance, end of year	792	796	1,086
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	-400	-350	-500

NONRECURRING EXPENSES FUND—Continued
Program and Financing—Continued

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority		-52	-75
4011 Outlays from discretionary balances	235	713	-110
4020 Outlays, gross (total)	235	661	-185
4180 Budget authority, net (total)	-400	-350	-500
4190 Outlays, net (total)	235	661	-185

The Nonrecurring Expenses Fund is a no-year account that receives transfers of expired unobligated balances from discretionary accounts prior to cancellation. The Fund is used for capital acquisition, including facilities infrastructure and information technology infrastructure.

Object Classification (in millions of dollars)

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	8	11	
25.2 Other services from non-Federal sources	144	90	
25.3 Other goods and services from Federal sources	90	119	
25.4 Operation and maintenance of facilities	121	160	
25.5 Research and development contracts	3	4	
25.7 Operation and maintenance of equipment	51	67	
31.0 Equipment	14	18	
32.0 Land and structures	73	196	105
99.0 Direct obligations	504	665	105
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	505	665	105

Employment Summary

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

HEALTH INSURANCE REFORM IMPLEMENTATION FUND

Program and Financing (in millions of dollars)

Identification code 075-0119-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	3	3
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	3	3	3
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	15	13
3020 Outlays (gross)	-5	-2	-2
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	15	13	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	15	13
3200 Obligated balance, end of year	15	13	11
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	5	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	5	2	2

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152) appropriated \$1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human

Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Health Care and Education Reconciliation Act of 2010.

PREVENTION AND PUBLIC HEALTH FUND

Program and Financing (in millions of dollars)

Identification code 075-0116-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
1010 Unobligated balance transfer to other accts [075-0140]	-16		
1011 Unobligated balance transfer from other acct [075-0350]	8		
1011 Unobligated balance transfer from other acct [075-1362]	8		
1050 Unobligated balance (total)	6	6	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	900	950	950
1220 Appropriations transferred to other accts [075-0142]	-28	-28	
1220 Appropriations transferred to other accts [075-0943]	-805	-854	-894
1220 Appropriations transferred to other accts [075-1362]	-12	-12	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced [SEQ]	-55	-56	-56
1930 Total budgetary resources available	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Prevention and Public Health Fund supports prevention and public health activities. In FY 2021, \$894 million is available to support a range of public health efforts intended to prevent disease and reduce health care costs. The Secretary has authority to transfer to accounts within HHS.

PREGNANCY ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pregnancy Assistance Fund (Direct)	23		
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	25		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2		
1260 Appropriations, mandatory (total)	23		
1930 Total budgetary resources available	23		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	26	1
3010 New obligations, unexpired accounts	23		
3020 Outlays (gross)	-21	-25	-1
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	26	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	26	1
3200 Obligated balance, end of year	26	1	

Budget authority and outlays, net:

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
Mandatory:			
Budget authority, gross			
4090 Budget authority, gross	23		
Outlays, gross:			
4100 Outlays from new mandatory authority	1		
4101 Outlays from mandatory balances	20	25	1
4110 Outlays, gross (total)	21	25	1
4180 Budget authority, net (total)	23		
4190 Outlays, net (total)	21	25	1

This appropriation funds competitive grants to States to assist pregnant and parenting teens and women. Annual funding for this program expired at the end of FY 2019. The Budget does request an extension of this program.

Object Classification (in millions of dollars)

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1		
41.0 Grants, subsidies, and contributions	22		
99.9 Total new obligations, unexpired accounts	23		

Employment Summary

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2		

SECTION 241 EVALUATION TRANSACTIONS ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-3902-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Section 241 Evaluation Transactions Account (Reimbursable)	516	584	667
0809 Reimbursable program activities, subtotal	516	584	667
0900 Total new obligations, unexpired accounts (object class 25.3)	516	584	667
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	84	584	667
1701 Change in uncollected payments, Federal sources	432		
1750 Spending auth from offsetting collections, disc (total)	516	584	667
1930 Total budgetary resources available	516	584	667
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	633	790	324
3010 New obligations, unexpired accounts	516	584	667
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-321	-1,050	-861
3041 Recoveries of prior year unpaid obligations, expired	-41		
3050 Unpaid obligations, end of year	790	324	130
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-629	-787	-787
3070 Change in uncollected pymts, Fed sources, unexpired	-432		
3071 Change in uncollected pymts, Fed sources, expired	274		
3090 Uncollected pymts, Fed sources, end of year	-787	-787	-787
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	3	-463
3200 Obligated balance, end of year	3	-463	-657
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	516	584	667
Outlays, gross:			
4010 Outlays from new discretionary authority	84	584	667
4011 Outlays from discretionary balances	237	466	194
4020 Outlays, gross (total)	321	1,050	861
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-317	-584	-667
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total) ...	-320	-584	-667
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-432		
4052 Offsetting collections credited to expired accounts	236		
4060 Additional offsets against budget authority only (total)	-196		
4080 Outlays, net (discretionary)	1	466	194
4180 Budget authority, net (total)			

4190 Outlays, net (total)	1	466	194
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The Public Health Service (PHS) Act Evaluation Transactions account supports the execution of section 241 of the PHS Act.

PROGRAM SUPPORT CENTER

Federal Funds

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0379-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Retirement payments	488	506	525
0002 Survivors' benefits	31	31	32
0003 Medical care	104	100	96
0900 Total new obligations, unexpired accounts	623	637	653
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	624	637	653
1930 Total budgetary resources available	624	638	654
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	102	93	30
3010 New obligations, unexpired accounts	623	637	653
3011 Obligations ("upward adjustments"), expired accounts	12		
3020 Outlays (gross)	-618	-700	-652
3041 Recoveries of prior year unpaid obligations, expired	-26		
3050 Unpaid obligations, end of year	93	30	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	102	93	30
3200 Obligated balance, end of year	93	30	31
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	624	637	653
Outlays, gross:			
4100 Outlays from new mandatory authority	558	605	620
4101 Outlays from mandatory balances	60	95	32
4110 Outlays, gross (total)	618	700	652
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	1		
4160 Budget authority, net (mandatory)	624	637	653
4170 Outlays, net (mandatory)	617	700	652
4180 Budget authority, net (total)	624	637	653
4190 Outlays, net (total)	617	700	652

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

Retirement Pay, Survivor Benefits, and Medical Benefits

	2019	2020	2021
Active Duty:			
HHS	4,876	4,859	4,676
DOJ, BOP	787	787	754
Homeland Security	400	400	492
EPA	55	55	53
All Other	294	312	326
Total Active Duty	6,412	6,411	6,301

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS—Continued
Retirement Pay, Survivor Benefits, and Medical Benefits—Continued

	2019	2020	2021
Retirees & Survivors:			
Retirees	6,150	6,300	6,380
Retiree family members and survivors	1,125	1,100	1,120
Total Retirement Pay	7,275	7,400	7,500
Total Beneficiaries (active duty, retirees, survivors)	13,687	13,811	13,801

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

Identification code 075-0379-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
13.0 Benefits for former personnel	519	537	557
25.6 Medical care	104	100	96
99.9 Total new obligations, unexpired accounts	623	637	653

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS
(Legislative proposal, subject to PAYGO)

The Budget includes a mandatory proposal, effective FY 2022, which shifts the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit costs from mandatory to discretionary.

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 075-0170-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medicare eligible accruals	29	29	31
0900 Total new obligations, unexpired accounts (object class 12.2)	29	29	31
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29	29	31
1900 Budget authority (total)	29	29	31
1930 Total budgetary resources available	29	29	31
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	29	29	31
3020 Outlays (gross)	-29	-29	-31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29	29	31
Outlays, gross:			
4010 Outlays from new discretionary authority	29	29	31
4180 Budget authority, net (total)	29	29	31
4190 Outlays, net (total)	29	29	31

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108-375, section 725).

GENERAL FUND PAYMENT FOR UNFUNDED LIABILITY, USPHS COMMISSIONED CORPS RETIREMENT PAY AND SURVIVOR BENEFIT COSTS
(Legislative proposal, not subject to PAYGO)

Beginning FY 2022, this account would support the unfunded liability of U.S. Public Health Service Commissioned Corps retirement pay and survivor benefits costs.

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 075-9913-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 075-9941-0-4-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Program Support Center	1,025	1,280	880
0802 OS activities	477	505	505
0900 Total new obligations, unexpired accounts	1,502	1,785	1,385
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	204	216	629
1021 Recoveries of prior year unpaid obligations	35	250	250
1050 Unobligated balance (total)	239	466	879
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,621	1,948	1,948
1701 Change in uncollected payments, Federal sources	-142		
1750 Spending auth from offsetting collections, disc (total)	1,479	1,948	1,948
1930 Total budgetary resources available	1,718	2,414	2,827
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	216	629	1,442
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,265	1,012	1,081
3010 New obligations, unexpired accounts	1,502	1,785	1,385
3020 Outlays (gross)	-1,720	-1,466	-1,464
3040 Recoveries of prior year unpaid obligations, unexpired	-35	-250	-250
3050 Unpaid obligations, end of year	1,012	1,081	752
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-673	-531	-531
3070 Change in uncollected pymts, Fed sources, unexpired	142		
3090 Uncollected pymts, Fed sources, end of year	-531	-531	-531
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	592	481	550
3200 Obligated balance, end of year	481	550	221
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,479	1,948	1,948
Outlays, gross:			
4010 Outlays from new discretionary authority	885	980	980
4011 Outlays from discretionary balances	835	486	484
4020 Outlays, gross (total)	1,720	1,466	1,464
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,618	-1,948	-1,948
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total)	-1,621	-1,948	-1,948

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	142
4080	Outlays, net (discretionary)	99	-482 -484
4180	Budget authority, net (total)		
4190	Outlays, net (total)	99	-482 -484

The HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities, such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of the Secretary activities include the Service and Supply Fund Manager's Office, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, acquisition reform, small business consolidation, grants tracking, the physical security component of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

Object Classification (in millions of dollars)

Identification code 075-9941-0-4-551	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	103	117 123
11.3	Other than full-time permanent	3	3 3
11.5	Other personnel compensation	4	3 3
11.7	Military personnel	5	8 8
11.8	Special personal services payments	10	10 12
11.9	Total personnel compensation	125	141 149
12.1	Civilian personnel benefits	34	38 42
12.2	Military personnel benefits	2	3 3
21.0	Travel and transportation of persons	2	2 2
22.0	Transportation of things	2	3 3
23.1	Rental payments to GSA	21	18 18
23.3	Communications, utilities, and miscellaneous charges	9	9 9
24.0	Printing and reproduction	5	6 6
25.1	Advisory and assistance services	136	197 197
25.2	Other services from non-Federal sources	933	1,194 782
25.3	Other goods and services from Federal sources	65	21 21
25.4	Operation and maintenance of facilities	22	14 14
25.6	Medical care	20	32 32
25.7	Operation and maintenance of equipment	71	51 51
26.0	Supplies and materials	54	50 50
31.0	Equipment	1	6 6
99.9	Total new obligations, unexpired accounts	1,502	1,785 1,385

Employment Summary

Identification code 075-9941-0-4-551	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	934	1,191 1,191
2101	Reimbursable military average strength employment	55	71 71
3101	Allocation account military average strength employment	1,455	1,472 1,572

Trust Funds

RETIREMENT PAY AND SURVIVOR BENEFITS PAYMENTS TO COMMISSIONED CORPS OFFICERS

(Legislative proposal, not subject to PAYGO)

Beginning FY 2022, this account would fund the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit payments.

RETIREMENT PAY AND SURVIVOR BENEFITS PAYMENTS TO COMMISSIONED CORPS OFFICERS

(Legislative proposal, subject to PAYGO)

Beginning FY 2022, this account would fund the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit payments.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
0100	Balance, start of year		2
Receipts:			
Current law:			
1130	Contributions, Indian Health Facilities	3	3 3
1130	Contributions, N.I.H., Unconditional Gift Fund	2	3 3
1130	Centers for Disease Control, Gifts and Donations	26	26 26
1130	Contributions, N.I.H., Conditional Gift Fund	40	40 40
1130	Contributions to the Indian Health Service Gift Fund		1 1
1140	Interest, Miscellaneous Trust Funds	1	1 1
1199	Total current law receipts	72	74 74
1999	Total receipts	72	74 74
2000	Total: Balances and receipts	72	74 76
Appropriations:			
Current law:			
2101	Miscellaneous Trust Funds	-72	-72 -72
5099	Balance, end of year		2 4

Program and Financing (in millions of dollars)

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Gifts	97	62 62
0003	Contributions, Indian Health Facilities		36 36
0900	Total new obligations, unexpired accounts	97	98 98
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	188	172 154
1021	Recoveries of prior year unpaid obligations	9	8 8
1050	Unobligated balance (total)	197	180 162
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	72	72 72
1930	Total budgetary resources available	269	252 234
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	172	154 136
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	100	110 40
3010	New obligations, unexpired accounts	97	98 98
3020	Outlays (gross)	-78	-160 -85
3040	Recoveries of prior year unpaid obligations, unexpired	-9	-8 -8
3050	Unpaid obligations, end of year	110	40 45
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	100	110 40
3200	Obligated balance, end of year	110	40 45
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	72	72 72
Outlays, gross:			
4100	Outlays from new mandatory authority	20	26 26
4101	Outlays from mandatory balances	58	134 59
4110	Outlays, gross (total)	78	160 85
4180	Budget authority, net (total)	72	72 72
4190	Outlays, net (total)	78	160 85
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	37	35 35
5001	Total investments, EOY: Federal securities: Par value	35	35 35

MISCELLANEOUS TRUST FUNDS—Continued

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.3 Other than full-time permanent	1	1	1
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	7	7	7
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	3	3	3
25.1 Advisory and assistance services	5	5	5
25.2 Other services from non-Federal sources	12	12	12
25.3 Other goods and services from Federal sources	1	1	1
25.5 Research and development contracts	3	3	3
25.6 Medical care	1	1	1
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	6	6	6
31.0 Equipment	3	3	3
41.0 Grants, subsidies, and contributions	53	54	54
99.9 Total new obligations, unexpired accounts	97	98	98

Employment Summary

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33	33	33

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, **[\$80,000,000] \$90,000,000: Provided,** That of such amount, necessary sums shall be available for providing protective services to the Secretary and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228: *Provided further, That of the amount appropriated under this heading, \$5,300,000 shall be available through September 30, 2022, for activities authorized under section 3022 of the Public Health Service Act relating to information blocking. (Department of Health and Human Services Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 075-0128-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General (Direct)	86	86	90
0801 Office of Inspector General HCFAC Trust Fund	240	212	228
0802 Office of Inspector General (Direct Reimbursable)	14	21	21
0803 Office of Inspector General HCFAC Discretionary	87	93	101
0899 Total reimbursable obligations	341	326	350
0900 Total new obligations, unexpired accounts	427	412	440
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	41	30	31
1001 Discretionary unobligated balance brought fwd, Oct 1	37	30
1021 Recoveries of prior year unpaid obligations	4	2
1050 Unobligated balance (total)	45	32	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	80	80	90
1121 Appropriations transferred from other acct [075-9911]	2	2
1121 Appropriations transferred from other acct [075-0140]	1
1121 Appropriations transferred from other acct [075-9915]	5	5
1121 Appropriations transferred from other acct [075-1503]	5
1160 Appropriation, discretionary (total)	93	87	90

Spending authority from offsetting collections, discretionary:			
1700 Collected	96	111	111
1701 Change in uncollected payments, Federal sources	3
1750 Spending auth from offsetting collections, disc (total)	99	111	111
Spending authority from offsetting collections, mandatory:			
1800 Collected	221	213	228
1801 Change in uncollected payments, Federal sources	-1
1802 Offsetting collections (previously unavailable)	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1
1850 Spending auth from offsetting collections, mand (total)	220	213	229
1900 Budget authority (total)	412	411	430
1930 Total budgetary resources available	457	443	461
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	31	21

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	97	101	56
3010 New obligations, unexpired accounts	427	412	440
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-418	-455	-430
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-2
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	101	56	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-135	-128	-128
3070 Change in uncollected pymts, Fed sources, unexpired	-2
3071 Change in uncollected pymts, Fed sources, expired	9
3090 Uncollected pymts, Fed sources, end of year	-128	-128	-128
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-38	-27	-72
3200 Obligated balance, end of year	-27	-72	-62

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	192	198	201
Outlays, gross:			
4010 Outlays from new discretionary authority	156	179	183
4011 Outlays from discretionary balances	26	19	18
4020 Outlays, gross (total)	182	198	201
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-105	-111	-111
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3
4052 Offsetting collections credited to expired accounts	9
4060 Additional offsets against budget authority only (total)	6
4070 Budget authority, net (discretionary)	93	87	90
4080 Outlays, net (discretionary)	77	87	90
Mandatory:			
4090 Budget authority, gross	220	213	229
Outlays, gross:			
4100 Outlays from new mandatory authority	160	194	208
4101 Outlays from mandatory balances	76	63	21
4110 Outlays, gross (total)	236	257	229
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-211	-213	-216
4123 Non-Federal sources	-10	-12	-12
4130 Offsets against gross budget authority and outlays (total)	-221	-225	-228
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1
4142 Offsetting collections credited to expired accounts	12
4150 Additional offsets against budget authority only (total)	1	12
4160 Budget authority, net (mandatory)	1
4170 Outlays, net (mandatory)	15	32	1
4180 Budget authority, net (total)	93	87	91
4190 Outlays, net (total)	92	119	91

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections	1	1

The mission of the Office of Inspector General (OIG) is to protect the integrity of the U.S. Department of Health and Human Services (HHS) programs and the health and welfare of the people they serve. As established

by the Inspector General Act of 1978, OIG is an independent and objective organization that fights fraud, waste, and abuse and promotes efficiency, economy, and effectiveness in HHS programs and operations. OIG works to ensure that Federal dollars are used appropriately and that HHS programs well serve the people that use them. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996.

Object Classification (in millions of dollars)

Identification code 075-0128-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	40	40	43
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	42	42	45
12.1 Civilian personnel benefits	16	16	17
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	12	12	12
25.3 Other goods and services from Federal sources	2	2	2
25.4 Operation and maintenance of facilities	1	1	1
31.0 Equipment	4	4	4
99.0 Direct obligations	86	86	90
99.0 Reimbursable obligations	341	326	350
99.9 Total new obligations, unexpired accounts	427	412	440

Employment Summary

Identification code 075-0128-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,624	1,640	1,666
2001 Reimbursable civilian full-time equivalent employment	7	10	10

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
075-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	120	90	90
075-275830 Downward Reestimates of Subsidies, Health Centers	1		
075-310700 Federal Share of Child Support Collections	526	634	562
075-310700 Federal Share of Child Support Collections: Legislative proposal, subject to PAYGO			4
075-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	511	34	34
General Fund Offsetting receipts from the public	1,158	758	690

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level **II**: *Provided*, That none of the funds appropriated in this title shall be used to prevent the NIH from paying up to 100 percent of the salary of an individual at this rate **V**, *except that this section shall not apply to the Head Start program.*

SEC. 203. None of the funds appropriated in this Act may be expended pursuant to section 241 of the PHS Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in HHS, prior to the preparation and submission of a report by the Secretary to the Committees on Ap-

propriations of the House of Representatives and the Senate detailing the planned uses of such funds. **]**

SEC. **[204]**203. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than **[2.5]**2.9 percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) and the implementation and effectiveness of programs funded in this title.

(TRANSFER OF FUNDS)

SEC. **[205]**204. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. **[206]**205. In lieu of the timeframe specified in section 338E(c)(2) of the PHS Act, terminations described in such section may occur up to 60 days after the effective date of a contract awarded in fiscal year **[2020]**2021 under section 338B of such Act, or at any time if the individual who has been awarded such contract has not received funds due under the contract.

SEC. **[207]**206. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. **[208]**207. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. **[209]**208. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. **[210]**209. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

SEC. 211. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization. **]**

SEC. **[212]**210. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year **[2020]** 2021:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, lease, alter, or renovate facilities in those countries as necessary to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

(TRANSFER OF FUNDS)

SEC. [213] 211. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

(TRANSFER OF FUNDS)

SEC. [214] 212. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. [215] 213. (a) **AUTHORITY.**—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds authorized under section 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to or research and activities described in such section 402(b)(12).

(b) **PEER REVIEW.**—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would otherwise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

(TRANSFER OF FUNDS)

SEC. [216] 214. Not to exceed **[\$45,000,000]** 1 percent of funds appropriated by this Act to the offices, institutes, and centers of the National Institutes of Health may be **used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein, at not to exceed \$3,500,000 per project** transferred to and merged with funds appropriated under the heading "National Institutes of Health—Buildings and Facilities": *Provided*, That the use of such transferred funds shall be subject to a centralized prioritization and governance process: *Provided further*, That the Director of the National Institutes of Health shall notify the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any such transfer: *Provided further*, That this transfer authority is in addition to any other transfer authority provided by law.

(TRANSFER OF FUNDS)

SEC. [217] 215. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under sections 736, 739, or 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the [Agency for Healthcare] National Institute for Research on Safety and Quality to make NRSA awards for health service research.

SEC. [218] 216. (a) The Biomedical Advanced Research and Development Authority ("BARDA") may enter into a contract, for more than one but no more than 10 program years, for purchase of research services or of security countermeasures, as that term is defined in section 319F-2(c)(1)(B) of the PHS Act (42 U.S.C. 247d-6b(c)(1)(B)), if—

(1) funds are available and obligated—

(A) for the full period of the contract or for the first fiscal year in which the contract is in effect; and

(B) for the estimated costs associated with a necessary termination of the contract; and

(2) the Secretary determines that a multi-year contract will serve the best interests of the Federal Government by encouraging full and open competition or

promoting economy in administration, performance, and operation of BARDA's programs.

(b) A contract entered into under this section—

(1) shall include a termination clause as described by subsection (c) of section 3903 of title 41, United States Code; and

(2) shall be subject to the congressional notice requirement stated in subsection (d) of such section.

SEC. 219. (a) The Secretary shall publish in the fiscal year 2021 budget justification and on Departmental Web sites information concerning the employment of full-time equivalent Federal employees or contractors for the purposes of implementing, administering, enforcing, or otherwise carrying out the provisions of the ACA, and the amendments made by that Act, in the proposed fiscal year and each fiscal year since the enactment of the ACA.

(b) With respect to employees or contractors supported by all funds appropriated for purposes of carrying out the ACA (and the amendments made by that Act), the Secretary shall include, at a minimum, the following information:

(1) For each such fiscal year, the section of such Act under which such funds were appropriated, a statement indicating the program, project, or activity receiving such funds, the Federal operating division or office that administers such program, and the amount of funding received in discretionary or mandatory appropriations.

(2) For each such fiscal year, the number of full-time equivalent employees or contracted employees assigned to each authorized and funded provision detailed in accordance with paragraph (1).

(c) In carrying out this section, the Secretary may exclude from the report employees or contractors who—

(1) are supported through appropriations enacted in laws other than the ACA and work on programs that existed prior to the passage of the ACA;

(2) spend less than 50 percent of their time on activities funded by or newly authorized in the ACA; or

(3) work on contracts for which FTE reporting is not a requirement of their contract, such as fixed-price contracts.]

SEC. 220. The Secretary shall publish, as part of the fiscal year 2021 budget of the President submitted under section 1105(a) of title 31, United States Code, information that details the uses of all funds used by the Centers for Medicare & Medicaid Services specifically for Health Insurance Exchanges for each fiscal year since the enactment of the ACA and the proposed uses for such funds for fiscal year 2021. Such information shall include, for each such fiscal year, the amount of funds used for each activity specified under the heading "Health Insurance Exchange Transparency" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).]

SEC. 221. None of the funds made available by this Act from the Federal Hospital Insurance Trust Fund or the Federal Supplemental Medical Insurance Trust Fund, or transferred from other accounts funded by this Act to the "Centers for Medicare & Medicaid Services-Program Management" account, may be used for payments under section 1342(b)(1) of Public Law 111-148 (relating to risk corridors).]

(TRANSFER OF FUNDS)

SEC. 222. (a) Within 45 days of enactment of this Act, the Secretary shall transfer funds appropriated under section 4002 of the ACA to the accounts specified, in the amounts specified, and for the activities specified under the heading "Prevention and Public Health Fund" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(b) Notwithstanding section 4002(c) of the ACA, the Secretary may not further transfer these amounts.

(c) Funds transferred for activities authorized under section 2821 of the PHS Act shall be made available without reference to section 2821(b) of such Act.]

SEC. [223] 217. Effective during the period beginning on November 1, 2015 and ending January 1, 2022, any provision of law that refers (including through cross-reference to another provision of law) to the current recommendations of the United States Preventive Services Task Force with respect to breast cancer screening, mammography, and prevention shall be administered by the Secretary involved as if—

(1) such reference to such current recommendations were a reference to the recommendations of such Task Force with respect to breast cancer screening, mammography, and prevention last issued before 2009; and

(2) such recommendations last issued before 2009 applied to any screening mammography modality under section 1861(jj) of the Social Security Act (42 U.S.C. 1395x(jj)).

SEC. 224. In making Federal financial assistance, the provisions relating to indirect costs in part 75 of title 45, Code of Federal Regulations, including with respect to the approval of deviations from negotiated rates, shall continue to apply to the National Institutes of Health to the same extent and in the same manner as such provi-

sions were applied in the third quarter of fiscal year 2017. None of the funds appropriated in this or prior Acts or otherwise made available to the Department of Health and Human Services or to any department or agency may be used to develop or implement a modified approach to such provisions, or to intentionally or substantially expand the fiscal effect of the approval of such deviations from negotiated rates beyond the proportional effect of such approvals in such quarter.]

(TRANSFER OF FUNDS)

SEC. [225]218. The NIH Director may transfer [funds specifically appropriated] *discretionary amounts identified by the Director as funding for opioid addiction, opioid alternatives, pain management, and addiction treatment* [to other] among Institutes and Centers of the NIH to be used for the same purpose 15 days after notifying the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That the transfer authority provided in the previous proviso is in addition to any other transfer authority provided by law.

[SEC. 226. (a) The Secretary shall provide to the Committees on Appropriations of the House of Representatives and the Senate:

(1) Detailed monthly enrollment figures from the Exchanges established under the Patient Protection and Affordable Care Act of 2010 pertaining to enrollments during the open enrollment period; and

(2) Notification of any new or competitive grant awards, including supplements, authorized under section 330 of the Public Health Service Act.

(b) The Committees on Appropriations of the House and Senate must be notified at least 2 business days in advance of any public release of enrollment information or the award of such grants.]

[SEC. 227. In addition to the amounts otherwise available for "Centers for Medicare & Medicaid Services, Program Management", the Secretary of Health and Human Services may transfer up to \$305,000,000 to such account from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to support program management activity related to the Medicare Program: *Provided*, That except for the foregoing purpose, such funds may not be used to support any provision of Public Law 111-148 or Public Law 111-152 (or any amendment made by either such Public Law) or to supplant any other amounts within such account.]

[SEC. 228. The Department of Health and Human Services shall provide the Committees on Appropriations of the House of Representatives and Senate a biannual report 30 days after enactment of this Act on staffing described in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).]

SEC. [229]219. Funds appropriated in this Act that are available for salaries and expenses of employees of the Department of Health and Human Services shall also be available to pay travel and related expenses of such an employee or of a member of his or her family, when such employee is assigned to duty, in the United States or in a U.S. territory, during a period and in a location that are the subject of a determination of a public health emergency under section 319 of the Public Health Service Act and such travel is necessary to obtain medical care for an illness, injury, or medical condition that cannot be adequately addressed in that location at that time. For purposes of this section, the term "U.S. territory" means Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, or the Trust Territory of the Pacific Islands.

SEC. [230]220. The Department of Health and Human Services may accept donations from the private sector, nongovernmental organizations, and other groups independent of the Federal Government for the care of unaccompanied alien children (as defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))) in the care of the Office of Refugee Resettlement of the Administration for Children and Families, including *monetary donations and* medical goods and services, which may include early childhood developmental screenings, school supplies, toys, clothing, and any other items *and services* intended to promote the wellbeing of such children.

[SEC. 231. (a) None of the funds provided by this or any prior appropriations Act may be used to reverse changes in procedures made by operational directives issued to providers by the Office of Refugee Resettlement on December 18, 2018, March 23, 2019, and June 10, 2019 regarding the Memorandum of Agreement on Information Sharing executed April 13, 2018.

(b) Notwithstanding subsection (a), the Secretary may make changes to such operational directives upon making a determination that such changes are necessary to prevent unaccompanied alien children from being placed in danger, and the Secretary shall provide a written justification to Congress and the Inspector General of the Department of Health and Human Services in advance of implementing such changes.

(c) Within 15 days of the Secretary's communication of the justification, the Inspector General of the Department of Health and Human Services shall provide an assessment, in writing, to the Secretary and to Committees on Appropriations of the House of Representatives and the Senate of whether such changes to opera-

tional directives are necessary to prevent unaccompanied children from being placed in danger.]

[SEC. 232. None of the funds made available in this Act under the heading "Department of Health and Human Services-Administration for Children and Families-Refugee and Entrant Assistance" may be obligated to a grantee or contractor to house unaccompanied alien children (as such term is defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))) in any facility that is not State-licensed for the care of unaccompanied alien children, except in the case that the Secretary determines that housing unaccompanied alien children in such a facility is necessary on a temporary basis due to an influx of such children or an emergency, provided that—

(1) the terms of the grant or contract for the operations of any such facility that remains in operation for more than six consecutive months shall require compliance with—

(A) the same requirements as licensed placements, as listed in Exhibit 1 of the Flores Settlement Agreement that the Secretary determines are applicable to non-State licensed facilities; and

(B) staffing ratios of one (1) on-duty Youth Care Worker for every eight (8) children or youth during waking hours, one (1) on-duty Youth Care Worker for every sixteen (16) children or youth during sleeping hours, and clinician ratios to children (including mental health providers) as required in grantee cooperative agreements;

(2) the Secretary may grant a 60-day waiver for a contractor's or grantee's non-compliance with paragraph (1) if the Secretary certifies and provides a report to Congress on the contractor's or grantee's good-faith efforts and progress towards compliance;

(3) not more than four consecutive waivers under paragraph (2) may be granted to a contractor or grantee with respect to a specific facility;

(4) ORR shall ensure full adherence to the monitoring requirements set forth in section 5.5 of its Policies and Procedures Guide as of May 15, 2019;

(5) for any such unlicensed facility in operation for more than three consecutive months, ORR shall conduct a minimum of one comprehensive monitoring visit during the first three months of operation, with quarterly monitoring visits thereafter; and

(6) not later than 60 days after the date of enactment of this Act, ORR shall brief the Committees on Appropriations of the House of Representatives and the Senate outlining the requirements of ORR for influx facilities including any requirement listed in paragraph (1)(A) that the Secretary has determined are not applicable to non-State licensed facilities.]

[SEC. 233. In addition to the existing Congressional notification for formal site assessments of potential influx facilities, the Secretary shall notify the Committees on Appropriations of the House of Representatives and the Senate at least 15 days before operationalizing an unlicensed facility, and shall (1) specify whether the facility is hard-sided or soft-sided, and (2) provide analysis that indicates that, in the absence of the influx facility, the likely outcome is that unaccompanied alien children will remain in the custody of the Department of Homeland Security for longer than 72 hours or that unaccompanied alien children will be otherwise placed in danger. Within 60 days of bringing such a facility online, and monthly thereafter, the Secretary shall provide to the Committees on Appropriations of the House of Representatives and the Senate a report detailing the total number of children in care at the facility, the average length of stay and average length of care of children at the facility, and, for any child that has been at the facility for more than 60 days, their length of stay and reason for delay in release.]

[SEC. 234. None of the funds made available in this Act may be used to prevent a United States Senator or Member of the House of Representatives from entering, for the purpose of conducting oversight, any facility in the United States used for the purpose of maintaining custody of, or otherwise housing, unaccompanied alien children (as defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))), provided that such Senator or Member has coordinated the oversight visit with the Office of Refugee Resettlement not less than two business days in advance to ensure that such visit would not interfere with the operations (including child welfare and child safety operations) of such facility.]

[SEC. 235. Not later than 14 days after the date of enactment of this Act, and monthly thereafter, the Secretary shall submit to the Committees on Appropriations of the House of Representatives and the Senate, and make publicly available online, a report with respect to children who were separated from their parents or legal guardians by the Department of Homeland Security (DHS) (regardless of whether or not such separation was pursuant to an option selected by the children, parents, or guardians), subsequently classified as unaccompanied alien children, and transferred to the care and custody of ORR during the previous month. Each report shall contain the following information:

(1) the number and ages of children so separated subsequent to apprehension at or between ports of entry, to be reported by sector where separation occurred; and

(2) the documented cause of separation, as reported by DHS when each child was referred.】

SEC. [236] 221. Funds appropriated in this Act that are available for salaries and expenses of employees of the Centers for Disease Control and Prevention shall also be available for the primary and secondary schooling of eligible dependents of personnel stationed in a U.S. territory as defined in section 229 of this Act at costs not in excess of those paid for or reimbursed by the Department of Defense.

【SEC. 237. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110–161, \$225,000,000, in addition to any funds otherwise made available for such purpose in this or subsequent fiscal years, shall be available for buildings and facilities at the National Institutes of Health.】

【SEC. 238. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110–161, \$225,000,000, shall be available for acquisition of real property, equipment, construction, demolition, installation, renovation of facilities, and related infrastructure improvements for the Centers for Disease Control and Prevention's Chamblee Campus.】

SEC. 222. Amounts made available in section 238 of division A of Public Law 116–94 shall remain available until September 30, 2024 for installation expenses, including moving expenses, related to the Centers for Disease Control and Prevention's Chamblee Campus.

SEC. [239] 223. Of the funds provided under the heading "CDC-Wide Activities and Program Support", 【\$85,000,000】 \$50,000,000, to remain available until expended, shall be available to the Director of the CDC for deposit in the Infectious Diseases Rapid Response Reserve Fund established by section 231 of division B of Public Law 115–245: *Provided*, That such amount may be available for Ebola preparedness and response activities without regard to the limitations in the third proviso in such section 231.

(CANCELLATION)

SEC. [240] 224. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110–161, 【\$350,000,000】 \$500,000,000 are hereby 【rescinded not later than September 30, 2020】 permanently cancelled.

SEC. 225. (a) *IN GENERAL*. Under the conditions listed in subsection (b), the Secretary or the head of a major organizational unit within the Department may in this fiscal year enter into a reimbursable agreement with the head of another major organizational unit within the Department or of another agency under which —

(1) the head of the ordering agency or unit delegates to the head of the servicing agency or unit the authority to issue a grant or cooperative agreement on behalf of the ordering agency or unit;

(2) the servicing agency or unit will execute or manage a grant or cooperative agreement on behalf of the ordering agency or unit; and

(3) the ordering agency or unit will reimburse the servicing unit or agency for the amount of the grant or cooperative agreement and for the service of executing or managing the grant or cooperative agreement.

(b) *CONDITIONS*. The conditions for making an agreement described in subsection (a) are that —

(1) amounts are available;

(2) the head of the ordering agency or unit decides the agreement is in the best interest of the United States Government; and

(3) the agency or unit to execute or manage the grant or cooperative agreement is able to provide that service.

(c) *PAYMENT*. Payment shall be made promptly through the Intra-governmental Payment and Collection system at the request of the agency or unit providing the service. Payment may be in advance or on providing all or part of the service, and shall be for any part of the estimated or actual cost as determined by the agency or unit providing the service. A bill submitted or a request for payment is not subject to audit or certification in advance of payment. Proper adjustment of amounts paid in advance shall be made as agreed to by the heads of the agencies or units on the basis of the amount of the grant or cooperative agreement and the actual cost of service provided.

(d) *LIMITATIONS ON FUNDS*. A condition or limitation applicable to amounts for grant or cooperative agreements of the ordering agency or unit applies to an agreement made under this section and to a grant or cooperative agreement made under such agreement.

(e) *OBLIGATION OF APPROPRIATIONS*. An agreement made under this section obligates an appropriation of the ordering agency or unit. The amount obligated is deobligated to the extent that the agency or unit providing the service

has not incurred obligations, before the end of the period of availability of the appropriation, in—

(1) awarding the grant or cooperative agreement; or

(2) providing the agreed-on services.

(f) *NO EFFECT ON OTHER LAWS*. This section does not affect other laws about reimbursable agreements.

SEC. 226. (a) *IN GENERAL*. A State or tribal organization which receives grant funds attributable to appropriations under the heading "Department of Health and Human Services, Administration for Community Living, Aging and Disability Services Programs" to carry out programs under parts B, C, D, or E of title III (with respect to States) or under title VI (with respect to tribal organizations) of the Older Americans Act of 1965 (OAA) may elect to transfer up to 100 percent of such received funds among such title III or title VI programs (respectively), subject to OAA sections 306(a)(9) and 307(a)(9) but notwithstanding any otherwise-applicable limitations on such transfers under the OAA or such heading.

(b) *NOTIFICATION OF PROPOSED TRANSFER; SECRETARIAL APPROVAL*.

A State or tribal organization which elects to make a transfer under subsection (a) shall notify the Secretary of Health and Human Services of such proposed transfer, including a description of the amount to be transferred, the purposes of the transfer, the need for the transfer, and the impact of the transfer on the provision of services from which the funding would be transferred. The Secretary shall approve any such transfer unless the Secretary determines that such transfer is not consistent with the objectives of the OAA.

(c) *RULES OF CONSTRUCTION*. No transfer of grant funds by a State or tribal organization under this section shall be construed—

(1) as inconsistent with the authorized use of such funds under the OAA, including for purposes of OAA administration and oversight by the Secretary; or

(2) to relieve the State or tribal organization from applicable reporting requirements under the OAA regarding the use of such funds.

SEC. 227. Funds appropriated in this Act to accounts that received appropriations in title II of division A of Public Law 116–94 for the administrative expenses of programs or activities for which appropriations are not provided in this Act shall be available for necessary expenses to carry out the closure of such programs or activities.

SEC. 228. Section 340B of the Public Health Service Act (42 U.S.C. 256b) is amended —

(a) in subsection (a)(5)(C) —

(1) by striking "A covered entity shall permit" and inserting "(i) DUPLICATE DISCOUNTS AND DRUG RESALE. A covered entity shall permit"; and

(2) by inserting at the end the following:

"(ii) *USE OF SAVINGS*. — A covered entity shall permit the Secretary to audit, at the Secretary's expense, the records of the entity to determine how net income from purchases under this section are used by the covered entity.

"(iii) *RECORDS RETENTION*. — Covered entities shall retain such records and provide such records and reports as deemed necessary by the Secretary for carrying out this subparagraph."

(b) by adding at the end the following new subsection:

"(f) *REGULATIONS*. — The Secretary may promulgate such regulations as the Secretary determines appropriate to carry out the provisions of this section."

SEC. 229. For fiscal year 2021, the notification requirements described in sections 1804(a) and 1851(d) of the Social Security Act may be fulfilled by the Secretary in a manner similar to that described in paragraphs (1) and (2) of section 1806(c) of such Act.

SEC. 230. Any assessment or user fee charged pursuant to section 1311(d)(5)(A) of the Patient Protection and Affordable Care Act credited to the "Centers for Medicare and Medicaid Services, Program Management" account shall be available for any other Federal administrative expenses the Secretary incurs for activities related to the Exchange program, in addition to any other purposes authorized by law, and shall remain available until expended for the purposes described in this section.

(INCLUDING TRANSFER OF FUNDS)

SEC. 231. There is hereby established in the Treasury a fund to be known as the "Federal Emergency Response Fund" (the "Fund"). Amounts in the Fund shall be available, in addition to any other amount appropriated for such purposes, to carry out titles II, III, and XVII of the PHS Act, and domestic preparedness activities and global health; to prevent, prepare for, or respond to a chemical, biological, radiological, or nuclear defense threat; or to prevent, prepare for, or respond to an emerging infectious disease; and may be used to purchase or lease, and provide for the insurance of, passenger motor vehicles for official use in foreign countries. Amounts in the Fund may only be used for such threats or emergencies that the Secretary determines have significant potential to occur and potential, on occurrence, to affect national security or the health and security of United States citizens, domestically

or internationally. The Secretary may transfer to the Fund such amounts as are necessary from any discretionary amounts (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) appropriated in this Act, provided that no such appropriation is reduced by more than 1 percent. Such transferred amounts shall remain available until expended. When implementing response activities, amounts in the Fund may be transferred to other accounts of the Department of Health and Human Services for the purposes provided in this section. The Committees on Appropriations of the House of Representatives and the Senate shall be notified promptly of the initiation of response activities under this authority, and of any transfer made under the authority provided in this subsection. The Committees on Appropriations of the House of Representatives and the Senate shall receive a report not later than 45 days after the end of each quarter in the fiscal year on the unobligated balances in the Fund and all actual obligations incurred for the fiscal year, including obligations by program, project, or activity. The transfer authorities in this section are in addition to any other transfer authority otherwise available to the Department of Health and Human Services. Products purchased using amounts in the Fund may, at the discretion of the Secretary of Health and Human Services, be deposited in the Strategic National Stockpile under section 319F-2 of the PHS Act.

(INCLUDING TRANSFER OF FUNDS)

SEC. 232. (a) The Secretary may reserve not more than 0.25 percent from each appropriation made available in this Act to the accounts of the Administration for Children and Families identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any such funds reserved under this section may be transferred to "Children and Families Services Programs" for use by the Assistant Secretary for the Administration for Children and Families and shall remain available until expended: Provided, That such funds shall only be available if such Assistant Secretary submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any such transfer.

(b) The accounts referred to in subsection (a) are: "Low Income Home Energy Assistance", "Refugee and Entrant Assistance", "Payments to States for the Child Care and Development Block Grant", and "Children and Families Services Programs".

SEC. 233. Section 2813 of the Public Health Service Act (42 U.S.C. 300hh-15) is amended —

(1) by redesignating subsection (i) as subsection (j); and

(2) by inserting after subsection (h) the following new subsection:

"(i) TORT CLAIMS AND WORK INJURY COMPENSATION COVERAGE FOR CORPS VOLUNTEERS. —

"(1) IN GENERAL — If under section 223 and regulations pursuant to such section, and through an agreement entered into in accordance with such regulations, the Secretary accepts, from an individual in the Corps, services for a specified period that are volunteer and without compensation other than reasonable reimbursement or allowance for expenses actually incurred, such individual shall, during such period, have the coverages described in paragraphs (2) and (3).

"(2) FEDERAL TORT CLAIMS ACT COVERAGE. — Such individual shall, while performing such services during such period —

"(A) be deemed to be an employee of the Department of Health and Human Services, for purposes of claims under sections 1346(b) and 2672 of title 28, United States Code, for money damages for personal injury, including death, resulting from the performance of functions under such agreement; and

"(B) be deemed to be an employee of the Public Health Service performing medical, surgical, dental, or related functions, for purposes of having the remedy provided by such sections of title 28 be exclusive of any other civil action or proceeding by reason of the same subject matter against such individual or against the estate of such individual.

"(3) COMPENSATION FOR WORK INJURIES. Such individual shall, while performing such services during such period, be deemed to be an employee of the Department of Health and Human Services, and an injury sustained by such an individual shall be deemed 'in the performance of duty' for purposes of chapter 81 of title 5, United States Code, pertaining to compensation for work injuries."

SEC. 234. Funds made available to the Secretary of Health and Human Services (HHS) in this or any other or prior Acts that are available for acquisition of real property or for construction or improvement of facilities shall also be available to make improvements on non-federally owned property located directly adjacent to property owned by HHS or a component thereof, provided that the primary benefit of such improvements accrues to HHS or the component thereof funding the improvements. (Department of Health and Human Services Appropriations Act, 2020.)

