

DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

Federal Funds

IMPROVING ELEMENTARY AND SECONDARY EDUCATION

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-0014-2-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Elementary and secondary education for the disadvantaged block grant			6,841
0900 Total new obligations, unexpired accounts (object class 41.0)			6,841
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			6,841
1900 Budget authority (total)			6,841
1930 Total budgetary resources available			6,841
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6,841
3020 Outlays (gross)			-274
3050 Unpaid obligations, end of year			6,567
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			6,567
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			6,841
Outlays, gross:			
4010 Outlays from new discretionary authority			274
4180 Budget authority, net (total)			6,841
4190 Outlays, net (total)			274

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
New Budget Authority	0	0	\$6,841
Advance appropriation	0	0	12,523
Total program level	0	0	19,363
Change in advance appropriation from the previous year	0	0	+12,523

Elementary and secondary education for the disadvantaged block grant.—Funds are allocated via formula for use by State educational agencies and local educational agencies (LEAs) in carrying out any purpose of the consolidated programs authorized by the Elementary and Secondary Education Act and related laws and consistent with State-determined accountability plans. LEAs are generally required to prioritize their highest poverty schools when allocating funds. States assess annually all students in certain grades in at least English language arts, mathematics, and science; develop systems to differentiate among schools on the basis of performance on those assessments and other indicators; provide parents with information on the performance of their child's school; and ensure the development and implementation of support and improvement plans for the lowest-performing schools.

EDUCATION FOR THE DISADVANTAGED

For carrying out title I and subpart 2 of part B of title II of the Elementary and Secondary Education Act of 1965 (referred to in this Act as "ESEA") and section 418A of the Higher Education Act of 1965 (referred to in this Act as "HEA"), \$16,996,790,000, of which \$6,077,990,000 shall become available on July 1, 2020, and shall remain available through September 30, 2021, and of which \$10,841,177,000 shall become available on October 1, 2020, and shall remain

available through September 30, 2021, for academic year 2020-2021: *Provided*, That \$6,459,401,000 shall be for basic grants under section 1124 of the ESEA: *Provided further*, That up to \$5,000,000 of these funds shall be available to the Secretary of Education (referred to in this title as "Secretary") on October 1, 2019, to obtain annually updated local educational agency-level census poverty data from the Bureau of the Census: *Provided further*, That \$1,362,301,000 shall be for concentration grants under section 1124A of the ESEA: *Provided further*, That \$4,244,050,000 shall be for targeted grants under section 1125 of the ESEA: *Provided further*, That \$4,244,050,000 shall be for education finance incentive grants under section 1125A of the ESEA: *Provided further*, That \$219,000,000 shall be for carrying out subpart 2 of part B of title II: *Provided further*, That \$45,623,000 shall be for carrying out section 418A of the HEA. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-0900-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to local educational agencies	15,857	16,341	10,841
0002 State agency programs: Migrants	375	375	7
0003 State agency programs: Neglected and delinquent	48	48
0004 Special programs for migrant students	45	45
0006 Comprehensive literacy development grants	189	190	192
0007 Innovative approaches to literacy	27
0799 Total direct obligations	16,541	16,999	11,040
0801 Reimbursable program activity	5
0900 Total new obligations, unexpired accounts	16,546	16,999	11,040
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	198	201	199
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,703	6,156
Advance appropriations, discretionary:			
1170 Advance appropriation	10,841	10,841	10,841
Spending authority from offsetting collections, discretionary:			
1700 Collected	5
1900 Budget authority (total)	16,549	16,997	10,841
1930 Total budgetary resources available	16,747	17,198	11,040
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	201	199
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11,148	11,417	10,738
3010 New obligations, unexpired accounts	16,546	16,999	11,040
3020 Outlays (gross)	-16,268	-17,678	-16,569
3041 Recoveries of prior year unpaid obligations, expired	-9
3050 Unpaid obligations, end of year	11,417	10,738	5,209
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11,148	11,417	10,738
3200 Obligated balance, end of year	11,417	10,738	5,209
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16,549	16,997	10,841
Outlays, gross:			
4010 Outlays from new discretionary authority	6,661	7,834	7,589
4011 Outlays from discretionary balances	9,607	9,844	8,980
4020 Outlays, gross (total)	16,268	17,678	16,569
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-5
4180 Budget authority, net (total)	16,544	16,997	10,841
4190 Outlays, net (total)	16,263	17,678	16,569

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
New Budget Authority	\$5,703	\$6,156	0
Advance appropriation	10,841	10,841	0
Total program level	15,860	16,997	0

EDUCATION FOR THE DISADVANTAGED—Continued
SUMMARY OF PROGRAM LEVEL—Continued

	2019–2020 Academic Year	2020–2021 Academic Year	2021–2022 Academic Year
Change in advance appropriation from the previous year	0	0	–\$10,841

Object Classification (in millions of dollars)

Identification code 091–0900–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	6	10
25.2 Other services from non-Federal sources	9	5
25.3 Other goods and services from Federal sources	5
25.5 Research and development contracts	4
25.7 Operation and maintenance of equipment	5	5
41.0 Grants, subsidies, and contributions	16,516	16,974	11,040
99.0 Direct obligations	16,540	16,999	11,040
99.0 Reimbursable obligations	5
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	16,546	16,999	11,040

IMPACT AID

For carrying out programs of financial assistance to federally affected schools authorized by title VII of the ESEA, **[\$1,486,112,000]** \$1,410,799,000, of which \$1,340,242,000 shall be for basic support payments under section 7003(b), \$48,316,000 shall be for payments for children with disabilities under section 7003(d), \$17,406,000, to remain available through September 30, 2022, shall be for construction under section **[7007(a), \$75,313,000]** shall be for Federal property payments under section **7002** **[7007(b), and \$4,835,000,** to remain available until expended, shall be for facilities maintenance under section 7008: *Provided*, That for purposes of computing the amount of a payment for an eligible local educational agency under section 7003(a) for school year **[2019–2020]** 2020–2021, children enrolled in a school of such agency that would otherwise be eligible for payment under section 7003(a)(1)(B) of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section 7003(a)(1)(B)), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0102–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Basic support payments	1,302	1,340	1,340
0002 Payments for children with disabilities	48	48	48
0091 Direct program activities, subtotal	1,350	1,388	1,388
0101 Facilities maintenance	12	5
0201 Construction	34	17
0301 Payments for Federal property	74	75
0900 Total new obligations, unexpired accounts (object class 41.0)	1,424	1,509	1,410
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	26	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,446	1,486	1,411
1930 Total budgetary resources available	1,450	1,512	1,414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	3	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	198	201	330
3010 New obligations, unexpired accounts	1,424	1,509	1,410
3011 Obligations ("upward adjustments"), expired accounts	176
3020 Outlays (gross)	–1,421	–1,380	–1,445

3041 Recoveries of prior year unpaid obligations, expired	–176
3050 Unpaid obligations, end of year	201	330	295
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	198	201	330
3200 Obligated balance, end of year	201	330	295

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,446	1,486	1,411
Outlays, gross:			
4010 Outlays from new discretionary authority	1,242	1,320	1,252
4011 Outlays from discretionary balances	179	60	193
4020 Outlays, gross (total)	1,421	1,380	1,445
4180 Budget authority, net (total)	1,446	1,486	1,411
4190 Outlays, net (total)	1,421	1,380	1,445

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, limiting LEAs access a central source of revenue used by most communities to finance education.

Basic support payments.—Payments will be made on behalf of approximately 800,000 federally connected students enrolled in over 1,000 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,730.

Payments for children with disabilities.—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 50,000 federally connected students with disabilities in over 800 LEAs. Average per-student payments will be approximately \$950.

Facilities Maintenance.—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

Construction.—Approximately 7 to 8 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

SCHOOL IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by part B of title II, part A of title II, subpart 1 of part A of title IV, part B of title IV, part B of title V, and parts B and C of title VI of the ESEA; **the McKinney-Vento Homeless Assistance Act; section 203 of the Educational Technical Assistance Act of 2002;** the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, **[\$5,404,967,000]** \$392,374,000, of which **[\$3,575,402,000]** \$378,000,000 shall be for part B of title I of the ESEA and shall become available on July 1, **[2020]** 2021, and remain available through September 30, **[2021]**, and of which \$1,681,441,000 shall become available on October 1, 2020, and shall remain available through September 30, 2021, for academic year 2020–2021: *Provided*, That \$378,000,000 shall be for part B of title I: *Provided further*, That \$1,249,673,000 shall be for part B of title IV: *Provided further*, That \$36,897,000 shall be for part B of title VI, which may be used for construction, renovation, and modernization of any public elementary school, secondary school, or structure related to a public elementary school or secondary school that serves a predominantly Native Hawaiian student body, and that the 5 percent limitation in section 6205(b) of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That \$35,953,000 shall be for part C of title VI, which shall be awarded on a competitive basis, and may be used for construction, and that the 5 percent limitation in section 6305 of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That \$52,000,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002 and the Secretary shall make such arrangements as determined to be necessary to ensure that the Bureau of Indian Education has access to services provided under this section **[2022: Provided further]**, That \$16,699,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: *Provided further*,

That the Secretary may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of these grants: *Provided further*, That \$185,840,000 shall be for part B of title V: *Provided further*, That \$1,210,000,000 shall be available for grants under subpart 1 of part A of title IV. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–1000–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Supporting effective instruction State grants	2,046	2,138	1,681
0002 21st century community learning centers	1,224	1,255
0003 State assessments	383	381	369
0004 Education for homeless children and youths	93	102
0005 Native Hawaiians education	36	37
0006 Alaska Native education	35	35
0007 Training and advisory services	7	7	7
0008 Rural education	180	187
0009 Supplemental education grants	17	17	17
0010 Comprehensive centers	52	52
0011 Pooled evaluation	15	11
0012 Student support and academic enrichment	1,164	1,234
0900 Total new obligations, unexpired accounts	5,252	5,456	2,074
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	57	52	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,566	3,724	392
Advance appropriations, discretionary:			
1170 Advance appropriation	1,681	1,681	1,681
1900 Budget authority (total)	5,247	5,405	2,073
1930 Total budgetary resources available	5,304	5,457	2,074
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	52	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,710	6,201	6,377
3010 New obligations, unexpired accounts	5,252	5,456	2,074
3020 Outlays (gross)	-4,747	-5,280	-5,332
3041 Recoveries of prior year unpaid obligations, expired	-14
3050 Unpaid obligations, end of year	6,201	6,377	3,119
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,710	6,201	6,377
3200 Obligated balance, end of year	6,201	6,377	3,119
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,247	5,405	2,073
Outlays, gross:			
4010 Outlays from new discretionary authority	898	1,083	1,017
4011 Outlays from discretionary balances	3,849	4,197	4,315
4020 Outlays, gross (total)	4,747	5,280	5,332
4180 Budget authority, net (total)	5,247	5,405	2,073
4190 Outlays, net (total)	4,747	5,280	5,332

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019–2020 Academic Year	2020–2021 Academic Year	2021–2022 Academic Year
New Budget Authority	\$3,566	\$3,724	\$392
Advance Appropriation	1,681	1,681	0
Total program level	5,247	5,405	392
Change in advance appropriation over previous year	0	0	-1,681

State assessments.—Funds support formula grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards. Funds could also support audits of State and local assessment systems.

Training and advisory services.—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, sex, national origin, and religion.

Supplemental education grants.—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

Object Classification (in millions of dollars)

Identification code 091–1000–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	15	16
25.2 Other services from non-Federal sources	24	25
25.3 Other goods and services from Federal sources	7	8
25.7 Operation and maintenance of equipment	2	3
41.0 Grants, subsidies, and contributions	5,204	5,404	2,074
99.9 Total new obligations, unexpired accounts	5,252	5,456	2,074

SAFE SCHOOLS AND CITIZENSHIP EDUCATION

For carrying out activities authorized by subparts 2 and 3 of part F of title IV of the ESEA, \$210,000,000: *Provided*, That \$105,000,000 shall be available for section 4631, of which up to \$5,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence (Project SERV) program: *Provided further*, That \$25,000,000 shall be available for section 4625: *Provided further*, That \$80,000,000 shall be available through December 31, 2020, for section 4624, of which \$6,000,000 shall be for additional two-year extension awards to grantees that received such awards in fiscal year 2018. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0203–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 School safety national activities	94	116
0002 Full-service community schools	17	25
0003 Promise neighborhoods	49	84	72
0500 Direct program activities, subtotal	160	225	72
0900 Total new obligations, unexpired accounts	160	225	72
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	87	72
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	191	210
1121 Appropriations transferred from other acct [091–0013]	2
1160 Appropriation, discretionary (total)	193	210
1930 Total budgetary resources available	247	297	72
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	87	72
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	273	269	295
3010 New obligations, unexpired accounts	160	225	72
3020 Outlays (gross)	-160	-199	-185
3041 Recoveries of prior year unpaid obligations, expired	-4
3050 Unpaid obligations, end of year	269	295	182
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	273	269	295
3200 Obligated balance, end of year	269	295	182
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	193	210
Outlays, gross:			
4010 Outlays from new discretionary authority	1	4
4011 Outlays from discretionary balances	159	195	185
4020 Outlays, gross (total)	160	199	185
4180 Budget authority, net (total)	193	210
4190 Outlays, net (total)	160	199	185

SAFE SCHOOLS AND CITIZENSHIP EDUCATION—Continued

School safety national activities.—Funds would support activities to improve students' safety and well-being. These have funds been consolidated in the Elementary and Secondary Education for the Disadvantaged Block Grant, with funds reserved for continuation awards to existing grantees. This provides States with flexible resources to carry out school safety-related activities.

Object Classification (in millions of dollars)

Identification code 091-0203-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	8	8
41.0 Grants, subsidies, and contributions	151	217	72
99.0 Direct obligations	159	225	72
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	160	225	72

INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title VI, part A of the ESEA, \$180,739,000, of which \$67,993,000 shall be for subpart 2 of part A of title VI and \$7,365,000 shall be for subpart 3 of part A of title VI: *Provided*, That the 5 percent limitation in sections 6115(d), 6121(e), and 6133(g) of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That the Secretary may make awards under subpart 3 of Part A of title VI without regard to the funding limitation in section 6133(b)(1) of the ESEA: *Provided further*, That notwithstanding sections 6132(c)(2) and 6133(d)(1) of such Act, the Secretary may make such awards for a period of up to 5 years. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-0101-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to local educational agencies	105	106	106
0002 Special programs for Indian children	68	68	68
0003 National activities	7	7	7
0900 Total new obligations, unexpired accounts	180	181	181
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	180	181	181
1930 Total budgetary resources available	180	181	181
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	212	223	181
3010 New obligations, unexpired accounts	180	181	181
3020 Outlays (gross)	-166	-223	-181
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	223	181	181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	212	223	181
3200 Obligated balance, end of year	223	181	181
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	180	181	181
Outlays, gross:			
4010 Outlays from new discretionary authority	3	9	9
4011 Outlays from discretionary balances	163	214	172
4020 Outlays, gross (total)	166	223	181
4180 Budget authority, net (total)	180	181	181
4190 Outlays, net (total)	166	223	181

The Indian Education programs support the efforts of local educational agencies (LEA), tribes, and Indian organizations to improve teaching and learning for the Nation's American Indian and Alaska Native children.

Grants to local educational agencies.—Formula grants support LEAs in their efforts to enhance and supplement elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

Special programs for Indian children.—Funds support awards under the Demonstration Grants authority including for projects expanding educational choice, as well as professional development grants for training Native American teachers and administrators for employment in school districts that serve a high proportion of Indian students.

National activities.—Funds support research, evaluation, data collection, and related activities, grants for Native language immersion schools and programs, and grants to Tribes to create Tribal educational agencies and to expand the capacity of existing Tribal educational agencies through education administrative planning, development, and coordination.

Object Classification (in millions of dollars)

Identification code 091-0101-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	2	2	2
25.7 Operation and maintenance of equipment	1	1	1
41.0 Grants, subsidies, and contributions	177	178	178
99.9 Total new obligations, unexpired accounts	180	181	181

OFFICE OF INNOVATION AND IMPROVEMENT

Federal Funds

INNOVATION AND IMPROVEMENT

For carrying out activities authorized by subparts 1, 3 and 4 of part B of title II, and parts C, D, and E and subparts 1 and 4 of part F of title IV of the ESEA, \$1,103,815,000: *Provided*, That \$284,815,000 shall be for subparts 1, 3 and 4 of part B of title II and shall be made available without regard to sections 2201, 2231(b) and 2241: *Provided further*, That \$629,000,000 shall be for parts C, D, and E and subpart 4 of part F of title IV, and shall be made available without regard to sections 4311, 4409(a), and 4601 of the ESEA: *Provided further*, That section 4303(d)(3)(A)(i) shall not apply to the funds available for part C of title IV: *Provided further*, That of the funds available for part C of title IV, the Secretary shall use \$60,000,000 to carry out section 4304, of which not more than \$10,000,000 shall be available to carry out section 4304(k), \$140,000,000, to remain available through March 31, 2021, to carry out section 4305(b), and not more than \$15,000,000 to carry out the activities in section 4305(a)(3): *Provided further*, That notwithstanding section 4601(b), \$190,000,000 shall be available through December 31, 2020 for subpart 1 of part F of title IV. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-0204-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Education, innovation and research	124	190
0002 Teacher and school leader incentive fund	200	200
0003 American history and civics	5	5
0004 Supporting effective educator development (SEED)	75	80
0005 Charter schools	462	461
0006 Magnet schools	114	107
0007 Ready to learn programming	28	29
0008 Arts in education	29	30
0009 Javits gifted and talented education	12	13
0010 Statewide family engagement centers	15	10
0799 Total direct obligations	1,064	1,125
0801 DC schools/SOAR Act	68	53	90
0900 Total new obligations, unexpired accounts	1,132	1,178	90
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	117	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,036	1,104

Spending authority from offsetting collections, discretionary:			
1700	Collected	53	90
1900	Budget authority (total)	1,036	1,157
1930	Total budgetary resources available	1,153	1,178
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2,464	2,518
3010	New obligations, unexpired accounts	1,132	1,178
3020	Outlays (gross)	-1,004	-1,498
3041	Recoveries of prior year unpaid obligations, expired	-74	
3050	Unpaid obligations, end of year	2,518	2,198
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2,464	2,518
3200	Obligated balance, end of year	2,518	2,198

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,036	1,157
Outlays, gross:			
4010	Outlays from new discretionary authority	6	23
4011	Outlays from discretionary balances	998	1,475
4020	Outlays, gross (total)	1,004	1,498
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources		-53
4180	Budget authority, net (total)	1,036	1,104
4190	Outlays, net (total)	1,004	1,445

Funds for Charter schools, Magnet schools, education innovation research, JAVITs Gifted and Talented, Arts Education, Ready to Learn Television, History Teaching Academies, Statewide Family Engagement Centers, and Teacher professional development programs will be consolidated into the Elementary and Secondary Education for the Disadvantaged Block Grant. This consolidation provides States with flexible resources to allow them to determine how best to serve their students.

Object Classification (in millions of dollars)

Identification code 091-0204-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	Advisory and assistance services	1	1
25.2	Other services from non-Federal sources	15	15
25.3	Other goods and services from Federal sources	1	1
25.5	Research and development contracts		1
25.7	Operation and maintenance of equipment	1	1
41.0	Grants, subsidies, and contributions	1,046	1,106
99.0	Direct obligations	1,064	1,125
99.0	Reimbursable obligations	68	53
99.9	Total new obligations, unexpired accounts	1,132	1,178

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	737	787
1930	Total budgetary resources available	744	792
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,101	1,090
3010	New obligations, unexpired accounts	739	792
3020	Outlays (gross)	-749	-800
3041	Recoveries of prior year unpaid obligations, expired	-1	
3050	Unpaid obligations, end of year	1,090	1,082
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,101	1,090
3200	Obligated balance, end of year	1,090	1,082

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	737	787
Outlays, gross:			
4010	Outlays from new discretionary authority	3	8
4011	Outlays from discretionary balances	746	792
4020	Outlays, gross (total)	749	800
4180	Budget authority, net (total)	737	787
4190	Outlays, net (total)	749	800

English language acquisition grants.—This program supports formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development to increase the supply of high-quality teachers of English Learners and a national information clearinghouse on English language acquisition.

Object Classification (in millions of dollars)

Identification code 091-1300-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	2	2
25.5	Research and development contracts	3	3
41.0	Grants, subsidies, and contributions	734	787
99.9	Total new obligations, unexpired accounts	739	792

**OFFICE OF SPECIAL EDUCATION AND
REHABILITATIVE SERVICES**

Federal Funds

SPECIAL EDUCATION

OFFICE OF ENGLISH LANGUAGE ACQUISITION

Federal Funds

ENGLISH LANGUAGE ACQUISITION

For carrying out part A of title III of the ESEA, \$787,400,000, which shall become available on July 1, 2020, and shall remain available through September 30, 2021, except that 6.5 percent of such amount shall be available on October 1, 2019, and shall remain available through September 30, 2021, to carry out activities under section 3111(c)(1)(C). (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-1300-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	English language acquisition grants	739	792
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7	5

For carrying out the Individuals with Disabilities Education Act (IDEA) and the Special Olympics Sport and Empowerment Act of 2004, \$13,885,228,000 \$13,985,228,000, of which \$4,352,129,000 \$4,452,129,000 shall become available on July 1, 2020 2021, and shall remain available through September 30, 2021 2022, and of which \$9,283,383,000 shall become available on October 1, 2020 2021, and shall remain available through September 30, 2021 2022, for academic year 2020-2021 2021-2022: Provided, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available for that activity during fiscal year 2019 2020, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year 2019 2020: Provided further, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611, from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: Provided further, That the Secretary may not distribute any funds

SPECIAL EDUCATION—Continued

under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: *Provided further*, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): *Provided further*, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: *Provided further*, That, notwithstanding the provision in section 612(a)(18)(B) regarding the fiscal year in which a State's allocation under section 611(d) is reduced for failure to comply with the requirement of section 612(a)(18)(A), the Secretary may apply the reduction specified in section 612(a)(18)(B) over a period of consecutive fiscal years, not to exceed five, until the entire reduction is applied: *Provided further*, That the Secretary may, in any fiscal year in which a State's allocation under section 611 is reduced in accordance with section 612(a)(18)(B), reduce the amount a State may reserve under section 611(e)(1) by an amount that bears the same relation to the maximum amount described in that paragraph as the reduction under section 612(a)(18)(B) bears to the total allocation the State would have received in that fiscal year under section 611(d) in the absence of the reduction: *Provided further*, That the Secretary shall either reduce the allocation of funds under section 611 for any fiscal year following the fiscal year for which the State fails to comply with the requirement of section 612(a)(18)(A) as authorized by section 612(a)(18)(B), or seek to recover funds under section 452 of the General Education Provisions Act (20 U.S.C. 1234a): *Provided further*, That the funds reserved under 611(c) of the IDEA may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under parts B and C of the IDEA: *Provided further*, That the Secretary may use funds made available for the State Personnel Development Grants program under part D, subpart 1 of IDEA to evaluate program performance under such subpart: *Provided further*, That States may use funds reserved for other State-level activities under sections 611(e)(2) and 619(f) of the IDEA to make subgrants to local educational agencies, institutions of higher education, other public agencies, and private non-profit organizations to carry out activities authorized by those sections: *Provided further*, That, notwithstanding section 643(e)(2)(A) of the IDEA, if 5 or fewer States apply for grants pursuant to section 643(e) of such Act, the Secretary shall provide a grant to each State in an amount equal to the maximum amount described in section 643(e)(2)(B) of such Act: *Provided further*, That if more than 5 States apply for grants pursuant to section 643(e) of the IDEA, the Secretary shall award funds to those States on the basis of the States' relative populations of infants and toddlers except that no such State shall receive a grant in excess of the amount described in section 643(e)(2)(B) of such Act. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0300-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States	12,360	12,774	12,874
0002 Preschool grants	391	394	394
0003 Grants for infants and families	470	477	477
0091 Subtotal, State grants	13,221	13,645	13,745
0101 State personnel development	39	39	39
0102 Technical assistance and dissemination	43	44	44
0103 Personnel preparation	87	90	90
0104 Parent information centers	27	27	27
0105 Educational technology, media, and materials	28	30	30
0191 Subtotal, National activities	224	230	230
0201 Special Olympics education program	17	20	20
0900 Total new obligations, unexpired accounts	13,462	13,895	13,995
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	20	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,185	4,602	4,702
Advance appropriations, discretionary:			
1170 Advance appropriation	9,283	9,283	9,283
1900 Budget authority (total)	13,468	13,885	13,985
1930 Total budgetary resources available	13,484	13,905	13,995
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		

1941	Unexpired unobligated balance, end of year	20	10	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	7,925	8,202	8,354
3010	New obligations, unexpired accounts	13,462	13,895	13,995
3011	Obligations ("upward adjustments"), expired accounts	2		
3020	Outlays (gross)	-13,180	-13,743	-13,846
3041	Recoveries of prior year unpaid obligations, expired	-7		
3050	Unpaid obligations, end of year	8,202	8,354	8,503
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	7,925	8,202	8,354
3200	Obligated balance, end of year	8,202	8,354	8,503
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	13,468	13,885	13,985
Outlays, gross:				
4010	Outlays from new discretionary authority	6,086	6,347	6,352
4011	Outlays from discretionary balances	7,094	7,396	7,494
4020	Outlays, gross (total)	13,180	13,743	13,846
4180	Budget authority, net (total)	13,468	13,885	13,985
4190	Outlays, net (total)	13,180	13,743	13,846

SUMMARY OF IDEA FORMULA GRANTS PROGRAM LEVELS

	(in millions of dollars)		
	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
Current Budget Authority	4,185	4,602	4,702
Advance appropriation	9,283	9,283	9,283
Total program level	13,469	13,885	13,985
Change in advance appropriation from the previous year	0	0	0

Grants to States.—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

Preschool grants.—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program. The goal of both of the Grants to States and the Preschool grants programs is to improve results for children with disabilities by assisting State and local educational agencies (LEAs) to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living. LEAs may reserve up to 15 percent of the funds they receive under Part B of the Individuals with Disabilities Education Act to provide comprehensive coordinated early intervening services to children age 3 through grade 12.

Grants for infants and families.—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age two, and their families. The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

National activities.—Funds are provided for personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs. The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

Special Olympics education programs.—Funds are provided to promote the expansion of the Special Olympics and the design and implementation of Special Olympics education programs.

Performance data related to program goals include:

Basis for Leaving Special Education for Youth with Disabilities Ages 14 and Older

Status of Exiting Students Percent / number of students with disabilities aged 14–21 exiting special education:	2015–2016	2016–2017	2017–2018
	Actual	Actual	Actual
Graduated with a diploma	44.9% / 269,246	45.7% / 278,704	47.5% / 300,447
Graduated through certification	7.1% / 42,590	7.0% / 42,682	6.4% / 40,312
Transferred to regular education	9.3% / 56,113	10.3% / 62,465	9.5% / 60,189
Dropped out of school/not known to continue	11.2% / 67,305	11.1% / 67,753	40.4% / 66,113
Moved, but known to have continued in education	26.5% / 158,889	24.9% / 151,589	25.2% / 159,302
Reached maximum age for services/other	1.0% / 6,284	1.0% / 6,173	1.0% / 6,383
Total	100% / 600,427	100% / 609,366	100% / 632,746

Object Classification (in millions of dollars)

Identification code 091–0300–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	13,461	13,894	13,994
99.9 Total new obligations, unexpired accounts	13,462	13,895	13,995

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,039	2,257	1,955
3010	New obligations, unexpired accounts	3,439	3,535	3,783
3011	Obligations ("upward adjustments"), expired accounts	6		
3020	Outlays (gross)	-3,176	-3,837	-3,670
3041	Recoveries of prior year unpaid obligations, expired	-51		
3050	Unpaid obligations, end of year	2,257	1,955	2,068
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2,039	2,257	1,955
3200	Obligated balance, end of year	2,257	1,955	2,068

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	135	138	115
Outlays, gross:				
4010	Outlays from new discretionary authority	64	69	58
4011	Outlays from discretionary balances	129	85	69
4020	Outlays, gross (total)	193	154	127
Mandatory:				
4090	Budget authority, gross	3,304	3,397	3,668
Outlays, gross:				
4100	Outlays from new mandatory authority	1,502	1,698	1,834
4101	Outlays from mandatory balances	1,481	1,985	1,709
4110	Outlays, gross (total)	2,983	3,683	3,543
4180	Budget authority, net (total)	3,439	3,535	3,783
4190	Outlays, net (total)	3,176	3,837	3,670

REHABILITATION SERVICES

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973 and the Helen Keller National Center Act, **[\$3,747,739,000] \$3,782,952,000**, of which **[\$3,610,040,000] \$3,667,801,000** shall be for grants for vocational rehabilitation services under title I of the Rehabilitation Act: *Provided*, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income ("SSI") and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: *Provided further*, That States may award subgrants for a portion of the funds to other public and private, nonprofit entities: *Provided further*, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, 2021. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091–0301–0–1–506	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Vocational rehabilitation State grants	3,304	3,397	3,668
0002	Client assistance State grants	13	13	13
0003	Supported employment State grants	22	23	
0004	Training	30	29	29
0005	Demonstration and Training Programs	5	6	6
0006	Independent living services for older blind individuals	33	33	33
0007	Protection and advocacy of individual rights	18	18	18
0008	Helen Keller National Center	14	16	16
0100	Total direct program	3,439	3,535	3,783
0900	Total new obligations, unexpired accounts	3,439	3,535	3,783
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	135	138	115
Appropriations, mandatory:				
1200	Appropriation	3,522	3,610	3,668
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-218	-213	
1260	Appropriations, mandatory (total)	3,304	3,397	3,668
1900	Budget authority (total)	3,439	3,535	3,783
1930	Total budgetary resources available	3,439	3,535	3,783

Vocational rehabilitation State grants.—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. In 2019, State VR agencies assisted an estimated 143,523 individuals with disabilities to obtain an employment outcome, about 94 percent of whom were individuals with significant disabilities. VR State Grants is a core program of the workforce development system under the Workforce Innovation and Opportunity Act (WIOA) and a required partner in the one-stop service delivery system for accessing employment and training services. Amendments made by WIOA require State VR agencies to reserve and use at least 15 percent of their Federal grant allotment to support pre-employment transition services for students with disabilities provided in accordance with section 113 of the Rehabilitation Act. Between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program must be set aside for Grants for Indians. The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute.

Client assistance State grants.—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

Training.—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

Demonstration and training programs.—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services.

Independent living services for older individuals who are blind.—Grants are awarded to States to assist individuals over the age of 55 with severe visual disabilities to adjust to their disability and increase their ability to care for their own needs.

REHABILITATION SERVICES—Continued

Protection and advocacy of individual rights.—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

Helen Keller National Center for Deaf-Blind Youths and Adults.—The Center provides services to deaf-blind youths and adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

Object Classification (in millions of dollars)

Identification code 091-0301-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
41.0 Grants, subsidies, and contributions	3,438	3,534	3,782
99.9 Total new obligations, unexpired accounts	3,439	3,535	3,783

AMERICAN PRINTING HOUSE FOR THE BLIND

For carrying out the Act to Promote the Education of the Blind of March 3, 1879, \$32,431,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0600-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 American printing house for the blind	30	32	32
0900 Total new obligations, unexpired accounts (object class 41.0)	30	32	32
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	32	32
1930 Total budgetary resources available	30	32	32
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	10	8
3010 New obligations, unexpired accounts	30	32	32
3020 Outlays (gross)	-30	-34	-32
3050 Unpaid obligations, end of year	10	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	10	8
3200 Obligated balance, end of year	10	8	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	32	32
Outlays, gross:			
4010 Outlays from new discretionary authority	20	24	24
4011 Outlays from discretionary balances	10	10	8
4020 Outlays, gross (total)	30	34	32
4180 Budget authority, net (total)	30	32	32
4190 Outlays, net (total)	30	34	32

The 2021 request supports: the production and distribution of free educational materials for students below the college level who are blind; research related to developing and improving products; and advisory services to consumer organizations on the availability and use of materials. In 2019, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2019 appropriation represented approximately 61 percent of the Printing House's total budget. The 2021 request is expected to be allocated in a similar manner.

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, \$79,500,000: *Provided*, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0601-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	78	80	80
0900 Total new obligations, unexpired accounts (object class 41.0)	78	80	80
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	78	80	80
1930 Total budgetary resources available	78	80	80
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	7	2
3010 New obligations, unexpired accounts	78	80	80
3020 Outlays (gross)	-75	-85	-80
3050 Unpaid obligations, end of year	7	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	7	2
3200 Obligated balance, end of year	7	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	78	80	80
Outlays, gross:			
4010 Outlays from new discretionary authority	72	79	79
4011 Outlays from discretionary balances	3	6	1
4020 Outlays, gross (total)	75	85	80
4180 Budget authority, net (total)	78	80	80
4190 Outlays, net (total)	75	85	80

This program provides postsecondary technical and professional education for individuals who are deaf or hard of hearing, provides training, and conducts applied research into employment-related aspects of deafness. In 2019, the Federal appropriation represented approximately 76 percent of the Institute's operating budget. The 2021 request includes funds that may be used for the Endowment Grant program.

GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, \$137,361,000: *Provided*, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0602-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	134	137	137
0900 Total new obligations, unexpired accounts (object class 41.0)	134	137	137
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	134	137	137
1930 Total budgetary resources available	134	137	137
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		13	1
3010 New obligations, unexpired accounts	134	137	137

3020	Outlays (gross)	-121	-149	-137
3050	Unpaid obligations, end of year	13	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		13	1
3200	Obligated balance, end of year	13	1	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	134	137	137
Outlays, gross:				
4010	Outlays from new discretionary authority	121	136	136
4011	Outlays from discretionary balances		13	1
4020	Outlays, gross (total)	121	149	137
4180	Budget authority, net (total)	134	137	137
4190	Outlays, net (total)	121	149	137

This institution provides undergraduate, continuing education, and graduate programs for students who are deaf, hard of hearing, and hearing. The University also conducts basic and applied research and provides public service programs for persons with hearing loss and persons who work with them.

The University operates the Laurent Clerc National Deaf Education Center, which includes elementary and secondary education programs on the main campus of the University serving students who are deaf or hard of hearing. The Kendall Demonstration Elementary School serves students from birth through grade 8, and the Model Secondary School for the Deaf serves high school students in grades 9 through 12. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2019, the appropriation for Gallaudet represented approximately 68 percent of total revenue for the University. Approximately 25 percent of the Federal appropriation was used to support activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2021 request includes funds that may be used for the Endowment Grant program.

OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION

Federal Funds

CAREER, TECHNICAL, AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, the Carl D. Perkins Career and Technical Education Act of 2006 ("Perkins Act") and the Adult Education and Family Literacy Act ("AEFLA"), **[\$1,169,686,000]** \$1,932,265,000 shall become available on July 1, **[2020]**2021, and shall remain available through September 30, **[2021]**2022, and of which \$791,000,000 shall become available on October 1, **[2020]**2021, and shall remain available through September 30, **[2021]**2022: *Provided, That notwithstanding section 114(e)(1) of the Perkins Act, \$82,579,000 shall be available for innovation and modernization grants under section 114(e) of such Act and for activities to support such grants: Provided further, That of the amounts made available for AEFLA, \$13,712,000 shall be for national leadership activities under section 242. (Department of Education Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 091-0400-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Career and Technical Education State Grants	1,262	1,283	1,963
0002 Career and Technical Education National Activities	9	7	90
0091 Subtotal, Career and Technical	1,271	1,290	2,053
0101 Adult Basic and Literacy Education State Grants	656	657	657
0102 Adult Education National Leadership Activities	14	14	14
0191 Subtotal, Adult Education	670	671	671

0900	Total new obligations, unexpired accounts	1,941	1,961	2,724
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	22	20	20
1021	Recoveries of prior year unpaid obligations	13		
1050	Unobligated balance (total)	35	20	20
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,135	1,170	1,932
Advance appropriations, discretionary:				
1170	Advance appropriation	791	791	791
1900	Budget authority (total)	1,926	1,961	2,723
1930	Total budgetary resources available	1,961	1,981	2,743
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	20	20	19

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,601	1,730	1,781
3010	New obligations, unexpired accounts	1,941	1,961	2,724
3011	Obligations ("upward adjustments"), expired accounts	7		
3020	Outlays (gross)	-1,798	-1,910	-1,983
3040	Recoveries of prior year unpaid obligations, unexpired	-13		
3041	Recoveries of prior year unpaid obligations, expired	-8		
3050	Unpaid obligations, end of year	1,730	1,781	2,522
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,601	1,730	1,781
3200	Obligated balance, end of year	1,730	1,781	2,522

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,926	1,961	2,723
Outlays, gross:				
4010	Outlays from new discretionary authority	523	612	651
4011	Outlays from discretionary balances	1,275	1,298	1,332
4020	Outlays, gross (total)	1,798	1,910	1,983
4180	Budget authority, net (total)	1,926	1,961	2,723
4190	Outlays, net (total)	1,798	1,910	1,983

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
New Budget Authority	1,135	1,170	1,932
Advance Appropriation	791	791	791
Total program level	1,926	1,961	2,723
Change in advance appropriation over previous year	0	0	0

Career and Technical Education:

Career and technical education State grants.—Funds support formula grants to States to expand and improve career and technical education (CTE) in high schools, technical schools, and community colleges under the Carl D. Perkins Career and Technical Education Act of 2006, as amended. The request aligns with the Administration's agenda to expand and reform America's workforce preparation system.

Career and technical education national programs.—Funds support research, development, dissemination, evaluation, assessment, capacity building, and technical assistance activities aimed at improving the quality and effectiveness of CTE programs under the Carl D. Perkins Career and Technical Education Act of 2006, as amended. Funds would also support a significant expansion of the Innovation and Modernization grants program with a focus on science, technology, engineering, and mathematics (STEM) fields, including computer science.

Adult Education:

Adult education State programs.—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

Adult education national leadership activities.—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult

CAREER, TECHNICAL, AND ADULT EDUCATION—Continued
education programs, to test and demonstrate methods of improving program quality, and to provide technical assistance to States.

Object Classification (in millions of dollars)

Identification code 091-0400-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	18	18	18
25.2 Other services from non-Federal sources	2	2	2
25.5 Research and development contracts	1	1	1
41.0 Grants, subsidies, and contributions	1,920	1,940	2,703
99.9 Total new obligations, unexpired accounts	1,941	1,961	2,724

CAREER AND TECHNICAL EDUCATION STATE GRANTS, H-1B FUNDED
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-5660-4-2-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Career and Technical Education State Grants, H-1B Funded			117
0900 Total new obligations, unexpired accounts (object class 41.0)			117
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			117
1930 Total budgetary resources available			117
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			117
3020 Outlays (gross)			-6
3050 Unpaid obligations, end of year			111
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			111
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			117
Outlays, gross:			
4100 Outlays from new mandatory authority			6
4180 Budget authority, net (total)			117
4190 Outlays, net (total)			6

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the AWCIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for Department of Labor (DOL) job training grants to support apprenticeship and continue to support other job training and related expenses at DOL, Department of Homeland Security, and the National Science Foundation. In addition, this proposal would initiate a new set-aside for the Department of Education's Career and Technical Education State Grants.

OFFICE OF POSTSECONDARY EDUCATION

Federal Funds

HIGHER EDUCATION

For carrying out, to the extent not otherwise provided, titles [II,] III, IV, V, [VI,] and VII [, and VIII] of the HEA, [the Mutual Educational and Cultural Exchange Act of 1961,] and section 117 of the Perkins Act, [\$2,475,792,000, of which \$24,500,000 shall remain available through December 31, 2020: *Provided*, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries

by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: *Provided further*, That of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: *Provided further*, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV of the HEA may be used for evaluation] \$1,788,634,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0201-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Strengthening institutions	100	108	
0002 Strengthening tribally controlled colleges and universities	60	65	67
0003 Strengthening Alaska Native and Native Hawaiian-serving institutions	19	32	
0004 Strengthening historically Black colleges and universities (HBCUs)	362	405	410
0005 Strengthening historically Black graduate institutions	73	84	84
0007 Strengthening predominantly Black institutions	25	27	
0008 Strengthening Asian American and Native American Pacific Islander-serving institutions	8	9	
0009 Strengthening Native American-serving nontribal institutions	8	9	
0010 Minority science and engineering improvement	11	13	150
0011 Strengthening historically Black masters programs	9	10	10
0091 Subtotal, aid for institutional development	675	762	721
0101 Developing Hispanic-serving institutions	124	143	
0102 Developing Hispanic-serving institution STEM and articulation programs	93	94	
0103 Promoting baccalaureate opportunities for Hispanic Americans	11	13	
0104 International education and foreign language studies	72	76	
0105 Model transition programs for students with intellectual disabilities	11	12	12
0106 Tribally controlled postsecondary career and technical institutions	10	10	10
0191 Subtotal, other aid for institutions	321	348	22
0201 Federal TRIO programs	1,060	1,090	950
0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP)	360	365	
0203 Graduate assistance in areas of national need	23	23	
0204 Child care access means parents in school	50	53	15
0291 Subtotal, assistance for students	1,493	1,531	965
0301 Fund for the improvement of postsecondary education (FIPSE)	5	25	
0302 Teacher quality partnerships	43	50	
0391 Assistance for students, subtotal	48	75	
0401 Consolidated MSI Grant			336
0900 Total new obligations, unexpired accounts	2,537	2,716	2,044
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1001 Discretionary unobligated balance brought fwd, Oct 1	1		
1012 Unobligated balance transfers between expired and unexpired accounts	117		
1050 Unobligated balance (total)	118		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,312	2,476	1,789
Appropriations, mandatory:			
1200 Appropriation	255	255	255
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-16	-15	
1260 Appropriations, mandatory (total)	239	240	255
1900 Budget authority (total)	2,551	2,716	2,044
1930 Total budgetary resources available	2,669	2,716	2,044
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-132		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,495	3,544	3,722
3010 New obligations, unexpired accounts	2,537	2,716	2,044
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-2,451	-2,538	-2,628

3041	Recoveries of prior year unpaid obligations, expired	-38		
3050	Unpaid obligations, end of year	3,544	3,722	3,138
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3,495	3,544	3,722
3200	Obligated balance, end of year	3,544	3,722	3,138
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,312	2,476	1,789
Outlays, gross:				
4010	Outlays from new discretionary authority	30	74	54
4011	Outlays from discretionary balances	2,168	2,177	2,324
4020	Outlays, gross (total)	2,198	2,251	2,378
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	2,312	2,476	1,789
4080	Outlays, net (discretionary)	2,197	2,251	2,378
Mandatory:				
4090	Budget authority, gross	239	240	255
Outlays, gross:				
4100	Outlays from new mandatory authority		7	8
4101	Outlays from mandatory balances	253	280	242
4110	Outlays, gross (total)	253	287	250
4180	Budget authority, net (total)	2,551	2,716	2,044
4190	Outlays, net (total)	2,450	2,538	2,628

Notes.— The Budget incorporates the effects of the Fostering Undergraduate Talent by Unlocking Resources for Education Act (Public Law 116–91) except for the effects on student loans.

Aid for institutional development:

Strengthening tribally controlled colleges and universities.—Funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

Strengthening historically Black colleges and universities.—Funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

Strengthening historically Black graduate institutions.—Funds support grants to historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

Strengthening HBCU Masters program.—Funds support grants to historically Black institutions to improve graduate education opportunities at the Masters level in scientific disciplines in which African Americans are underrepresented.

Minority science and engineering improvement.—Funds support grants to predominantly minority institutions in Opportunity Zones for projects that help prepare the future generation of professionals in science, technology, engineering, and mathematics careers, including computer science, while also serving as engines of innovation and economic development in distressed communities.

Other aid for institutions:

Model transition programs for students with intellectual disabilities into higher education.—Funds support grants to institutions of higher education or consortia of such institutions to create or expand high quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities.

Tribally controlled postsecondary career and technical institutions.—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

Assistance for students:

Federal TRIO programs.—Funds would support the transition to a single State formula grant program that would support all existing TRIO activities, as well as those authorized under Gaining Early Awareness and Readiness

for Undergraduate Programs (GEAR UP) and the College Assistance Migrant Program (CAMP), to help low-income and other disadvantaged students progress through the academic pipeline from middle school through college.

Child care access means parents in school.—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

Consolidated MSI Grant.—Discretionary and mandatory funds would support grants allocated by institutional formula to minority-serving institutions that are currently eligible to receive competitive grants under certain programs currently authorized in Titles III and V of the Higher Education Act of 1965, as amended. These include Strengthening Alaska Native and Native Hawaiian-serving Institutions program, Strengthening Predominantly Black Institutions program, Strengthening Asian American and Native American Pacific Islander-serving Institutions program, Strengthening Native American-serving Nontribal Institutions program, Developing Hispanic-Serving Institutions program, Developing Hispanic-serving institutions Science, Technology, Engineering, and Math and articulation programs, and Promoting Postbaccalaureate Opportunities for Hispanic Americans program.

Pooled Evaluation.—Requested authority would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data.

Object Classification (in millions of dollars)

Identification code 091–0201–0–1–502	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	2	4	2
25.3	Other goods and services from Federal sources	1	1	
25.7	Operation and maintenance of equipment	2	4	2
41.0	Grants, subsidies, and contributions	2,532	2,707	2,040
99.9	Total new obligations, unexpired accounts	2,537	2,716	2,044

HOWARD UNIVERSITY

For partial support of Howard University, \$240,018,000, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0603–0–1–502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	General support	210	213	213
0002	Howard University Hospital	27	27	27
0900	Total new obligations, unexpired accounts (object class 41.0)	237	240	240
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	237	240	240
1930	Total budgetary resources available	237	240	240
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	4
3010	New obligations, unexpired accounts	237	240	240
3020	Outlays (gross)	-236	-240	-240
3050	Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	4
3200	Obligated balance, end of year	4	4	4
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	237	240	240

HOWARD UNIVERSITY—Continued
Program and Financing—Continued

Identification code 091-0603-0-1-502	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	233	238	238
4011 Outlays from discretionary balances	3	2	2
4020 Outlays, gross (total)	236	240	240
4180 Budget authority, net (total)	237	240	240
4190 Outlays, net (total)	236	240	240

Howard University is a private, nonprofit institution of higher education consisting of 13 schools and colleges. Federal funds are used to provide partial support for University programs as well as for the Howard University Hospital, a teaching facility. In 2020, the Federal appropriation represented approximately 30 percent of the University's revenue and 10 percent of the Hospital's revenue. The 2021 request is expected to be allocated in a similar manner.

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$435,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0241-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	18	32	18
0703 Subsidy for modifications of direct loans	13	26	20
0705 Reestimates of direct loan subsidy	12
0706 Interest on reestimates of direct loan subsidy	21	10
0709 Administrative expenses	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0)	65	69	39
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	8
1001 Discretionary unobligated balance brought fwd, Oct 1	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	47	41
Appropriations, mandatory:			
1200 Appropriation	32	10
1900 Budget authority (total)	73	57	41
1930 Total budgetary resources available	93	77	49
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8
1941 Unexpired unobligated balance, end of year	20	8	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	29	12
3010 New obligations, unexpired accounts	65	69	39
3020 Outlays (gross)	-54	-59	-39
3041 Recoveries of prior year unpaid obligations, expired	-27
3050 Unpaid obligations, end of year	29	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	29	12
3200 Obligated balance, end of year	29	12	12
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	41	47	41
Outlays, gross:			
4010 Outlays from new discretionary authority	15	47	39
4011 Outlays from discretionary balances	7	2
4020 Outlays, gross (total)	22	49	39
Mandatory:			
4090 Budget authority, gross	32	10
Outlays, gross:			
4100 Outlays from new mandatory authority	32	10
4180 Budget authority, net (total)	73	57	41

4190 Outlays, net (total)	54	59	39
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Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0241-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Historically Black Colleges and Universities	221	341	220
115999 Total direct loan levels	221	341	220
Direct loan subsidy (in percent):			
132002 Historically Black Colleges and Universities	8.08	9.50	7.96
132999 Weighted average subsidy rate	8.08	9.50	7.96
Direct loan subsidy budget authority:			
133002 Historically Black Colleges and Universities	18	32	18
133999 Total subsidy budget authority	18	32	18
Direct loan subsidy outlays:			
134002 Historically Black Colleges and Universities	20	48	38
134999 Total subsidy outlays	20	48	38
Direct loan reestimates:			
135002 Historically Black Colleges and Universities	27	-38
135999 Total direct loan reestimates	27	-38
Administrative expense data:			
3510 Budget authority	1	1	1
3590 Outlays from new authority	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans (CHAFL) Program and the Historically Black College and University (HBCU) Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

College housing and academic facilities loans program.—Funds for this activity pay the Federal costs of administering CHAFL, College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

Historically black college and university (HBCU) capital financing program.—The HBCU Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which five percent of each institution's principal is deposited.

Employment Summary

Identification code 091-0241-0-1-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4252-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	1	1
0900 Total new obligations, unexpired accounts	1	1

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	2
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	1	
Spending authority from offsetting collections, mandatory:			
1800	Collected	1	1
1900	Budget authority (total)	1	2
1930	Total budgetary resources available	1	3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	2

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1	-1

Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	1	2
Financing disbursements:			
4110	Outlays, gross (total)	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123	Interest repayments	-1	-1
4180	Budget authority, net (total)		1
4190	Outlays, net (total)	-1	

Status of Direct Loans (in millions of dollars)

Identification code 091-4252-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	4	4
1290	Outstanding, end of year	4	4

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the College Housing and Academic Facilities loan program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4252-0-3-502	2018 actual	2019 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	4
1405	Allowance for subsidy cost (-)	-1
1499	Net present value of assets related to direct loans	3
1999	Total assets	3
LIABILITIES:		
2103	Federal liabilities: Debt	3
4999	Total liabilities and net position	3

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0242-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713	Payment of interest to Treasury	2	2
0900	Total new obligations, unexpired accounts (object class 43.0)	2	2

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7	5
1022	Capital transfer of unobligated balances to general fund	-7	-5
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	1	1
Spending authority from offsetting collections, mandatory:			
1800	Collected	9	11

1820	Capital transfer of spending authority from offsetting collections to general fund	-8	-8
1825	Spending authority from offsetting collections applied to repay debt	-3	-2
1850	Spending auth from offsetting collections, mand (total)	6	1
1900	Budget authority (total)	7	2
1930	Total budgetary resources available	7	2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1
3010	New obligations, unexpired accounts	2	2
3020	Outlays (gross)	-2	-2
3050	Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1
3200	Obligated balance, end of year	1	1

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	7	2
Outlays, gross:			
4100	Outlays from new mandatory authority	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-9	-11
4180	Budget authority, net (total)	-2	-9
4190	Outlays, net (total)	-7	-9

Status of Direct Loans (in millions of dollars)

Identification code 091-0242-0-1-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	35	28
1251	Repayments: Repayments and prepayments	-3	-2
1264	Other adjustments, net (+ or -)	-4	
1290	Outstanding, end of year	28	24

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

Balance Sheet (in millions of dollars)

Identification code 091-0242-0-1-502	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	8
1601	Direct loans, gross	35
1602	Interest receivable	4
1603	Allowance for estimated uncollectible loans and interest (-)	-11
1699	Value of assets related to direct loans	28
1999	Total assets	36
LIABILITIES:		
Federal liabilities:		
2103	Debt	16
2104	Resources payable to Treasury	8
2999	Total liabilities	24
NET POSITION:		
3100	Unexpended appropriations	2
3300	Cumulative results of operations	10
3999	Total net position	12
4999	Total liabilities and net position	36

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT

For the cost of guaranteed loans, \$20,150,000, as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, [2021] 2022: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed [\$212,100,000] \$220,000,000: *Provided further*, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.

In addition, [\$16,000,000] \$20,000,000 shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are private Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment by having a score of 2.6 or less on the Department of Education's financial responsibility test: *Provided*, That the loan has not been paid in full and is not paid in full during the period of deferment: *Provided further*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years: *Provided further*, That funds available under this paragraph shall be used to fund eligible deferment requests submitted for this purpose in fiscal year 2018: *Provided further*, That the Secretary shall create and execute an outreach plan to work with States and the Capital Financing Advisory Board to improve outreach to States and help additional public Historically Black Colleges and Universities participate in the program.

[In addition, \$10,000,000 shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are public Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment, which shall be determined by the Secretary of Education based on factors including, but not limited to, equal to or greater than 5 percent of the school's operating revenue relative to its annual debt service payment: *Provided*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years.]

In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$334,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-4255-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Interest paid to Treasury (FFB)	50	50	56
0006 Deferment Mod expenses	34		
0091 Direct program activities, subtotal	84	50	56
Credit program obligations:			
0710 Direct loan obligations	221	341	220
0742 Downward reestimates paid to receipt accounts	4	42	
0743 Interest on downward reestimates	2	6	
0791 Direct program activities, subtotal	227	389	220
0900 Total new obligations, unexpired accounts	311	439	276
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	169	182	187
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	221	331	220
Spending authority from offsetting collections, mandatory:			
1800 Collected	164	190	192
1820 Capital transfer of spending authority from offsetting collections to general fund	-1		
1825 Spending authority from offsetting collections applied to repay debt	-60	-77	-93
1850 Spending auth from offsetting collections, mand (total)	103	113	99
1900 Budget authority (total)	324	444	319
1930 Total budgetary resources available	493	626	506
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	182	187	230
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	230	360	458

3010 New obligations, unexpired accounts	311	439	276
3020 Outlays (gross)	-181	-341	-332
3050 Unpaid obligations, end of year	360	458	402
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	230	360	458
3200 Obligated balance, end of year	360	458	402

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	324	444	319
Financing disbursements:			
4110 Outlays, gross (total)	181	341	332
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-53	-58	-38
4122 Interest on uninvested funds	-7	-5	-5
4123 Interest repayments	-44	-50	-56
4123 Principal repayments	-60	-77	-93
4130 Offsets against gross budget authority and outlays (total)	-164	-190	-192
4160 Budget authority, net (mandatory)	160	254	127
4170 Outlays, net (mandatory)	17	151	140
4180 Budget authority, net (total)	160	254	127
4190 Outlays, net (total)	17	151	140

Status of Direct Loans (in millions of dollars)

Identification code 091-4255-0-3-502	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	221	341	220
1150 Total direct loan obligations	221	341	220
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,452	1,482	1,741
1231 Disbursements: Direct loan disbursements	90	336	223
1251 Repayments: Repayments and prepayments	-60	-77	-93
1290 Outstanding, end of year	1,482	1,741	1,871

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the Historically Black College and University (HBCU) Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4255-0-3-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	143	155
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,452	1,482
1402 Interest receivable	13	29
1405 Allowance for subsidy cost (-)	-143	-191
1499 Net present value of assets related to direct loans	1,322	1,320
1901 Other Federal assets: Other assets		20
1999 Total assets	1,465	1,495
LIABILITIES:		
Federal liabilities:		
2102 Interest payable	13	13
2103 Debt	1,452	1,482
2999 Total liabilities	1,465	1,495
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,465	1,495

OFFICE OF FEDERAL STUDENT AID

Federal Funds

STUDENT FINANCIAL ASSISTANCE

For carrying out [subparts 1, 3, and 10] *subpart 1* of part A, and part C of title IV of the HEA, [\$24,520,352,000] \$22,975,352,000, which shall remain available through September 30, [2021] 2022.

The maximum Pell Grant for which a student shall be eligible during award year [2020–2021] 2021–2022 shall be \$5,285. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0200–0–1–502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Federal Pell grants	29,666	29,631	30,154
0201 Federal supplemental educational opportunity grants (SEOG)	840	865
0202 Federal work-study	1,130	1,180	500
0291 Campus-based activities - Subtotal	1,970	2,045	500
0900 Total new obligations, unexpired accounts (object class 41.0)	31,636	31,676	30,654
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12,038	11,071	10,559
1001 Discretionary unobligated balance brought fwd, Oct 1	12,038	11,071
1021 Recoveries of prior year unpaid obligations	65
1050 Unobligated balance (total)	12,103	11,071	10,559
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24,445	24,520	22,975
1131 Unobligated balance of appropriations permanently reduced	-600	-500
1160 Appropriation, discretionary (total)	23,845	24,020	22,975
Appropriations, mandatory:			
1200 Appropriation	6,759	7,144	7,014
1900 Budget authority (total)	30,604	31,164	29,989
1930 Total budgetary resources available	42,707	42,235	40,548
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11,071	10,559	9,894
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19,107	19,728	20,519
3010 New obligations, unexpired accounts	31,636	31,676	30,654
3011 Obligations ("upward adjustments"), expired accounts	348
3020 Outlays (gross)	-30,907	-30,885	-31,588
3040 Recoveries of prior year unpaid obligations, unexpired	-65
3041 Recoveries of prior year unpaid obligations, expired	-391
3050 Unpaid obligations, end of year	19,728	20,519	19,585
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19,107	19,728	20,519
3200 Obligated balance, end of year	19,728	20,519	19,585
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23,845	24,020	22,975
Outlays, gross:			
4010 Outlays from new discretionary authority	7,304	4,478	4,481
4011 Outlays from discretionary balances	15,703	19,125	19,914
4020 Outlays, gross (total)	23,007	23,603	24,395
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Non-Federal sources			
4033 Additional offsets against gross budget authority only:	-1
Offsetting collections credited to expired accounts			
4052	1
4070 Budget authority, net (discretionary)	23,845	24,020	22,975
4080 Outlays, net (discretionary)	23,006	23,603	24,395
Mandatory:			
4090 Budget authority, gross	6,759	7,144	7,014
Outlays, gross:			
4100 Outlays from new mandatory authority	2,401	2,639	2,688
4101 Outlays from mandatory balances	5,499	4,643	4,505
4110 Outlays, gross (total)	7,900	7,282	7,193
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Non-Federal sources			
4123	-2

Additional offsets against gross budget authority only:			
4142	Offsetting collections credited to expired accounts	2
4160	Budget authority, net (mandatory)	6,759	7,144
4170	Outlays, net (mandatory)	7,898	7,282
4180	Budget authority, net (total)	30,604	31,164
4190	Outlays, net (total)	30,904	30,885

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	30,604	31,164	29,989
Outlays	30,904	30,885	31,588
Legislative proposal, subject to PAYGO:			
Budget Authority	-4
Outlays	-2
Total:			
Budget Authority	30,604	31,164	29,985
Outlays	30,904	30,885	31,586

Status of Direct Loans (in millions of dollars)

Identification code 091–0200–0–1–502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	472	532	925
1251 Repayments: Repayments and prepayments	-82	-199	-188
1264 Other adjustments, net (+ or -)	142	592	85
1290 Outstanding, end of year	532	925	822

Notes.—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department. The Budget incorporates the effects of the Fostering Undergraduate Talent by Unlocking Resources for Education Act (Public Law 116–91) except for the effects on student loans.

Funding from the Student Financial Assistance account and related matching funds would provide 7.4 million awards totaling more than \$30.9 billion in available aid in award year 2021–2022.

Federal Pell grants.—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, and changes to the Higher Education Act of 1965 made in the Department of Education Appropriations Act of 2019.

In 2021, over 7.0 million undergraduates will receive up to \$5,285 from the discretionary award and an additional \$1,060 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act.

The 2021 Budget request includes \$22.5 billion in discretionary funding for Pell Grants in 2021, which, when combined with mandatory funding, will support a projected maximum award of \$6,345.

Federal work-study.—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs.

The 2021 Budget includes \$500.0 million for Work-Study and proposes to reform the Federal Work-Study program to support workforce and career-oriented opportunities for low-income undergraduate students who can benefit the most, as opposed to subsidized employment for campus-based jobs through federal aid. The Budget also proposes to reform the institutional allocation formula in order to focus scarce funds, in part, on enrollment of Pell recipients.

Iraq and Afghanistan service grants.—This program provides non-need-based grants to students whose parent or guardian was a member of the

STUDENT FINANCIAL ASSISTANCE—Continued

Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001.

Pooled Evaluation.—Requested authority in the General Provisions would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data. The authority would not allow the Department to reserve funds appropriated for Pell Grants, but would allow for evaluation of the program with the reserved funds.

Funding tables.—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2021 data in these tables reflect the Administration's Budget proposals.

Aid Funds Available for Postsecondary Education and Training

	(in thousands of dollars)		
	2019	2020	2021
Pell grants	28,246,635	29,596,830	30,348,169
Student loans:			
Subsidized Stafford loans	19,419,464	19,685,923	15,732,853
Unsubsidized Stafford loans (Undergraduates)	20,813,818	21,426,417	26,168,414
Unsubsidized Stafford loans (Graduate students)	27,009,624	27,434,179	19,962,036
Unsubsidized Stafford loans (total)	47,823,442	48,860,596	46,130,450
Parent PLUS loans	12,513,926	12,893,243	13,024,683
Grad PLUS loans	10,904,062	11,268,253	18,872,246
PLUS loans (total)	23,417,988	24,161,496	31,896,929
Consolidation	39,892,538	39,829,366	39,994,376
Student loans, subtotal	130,553,432	132,537,382	133,754,607
Work-study	1,152,402	1,203,831	504,393
Supplemental educational opportunity grants	1,154,667	1,189,032	0
Iraq and Afghanistan service grants ¹	445	523	0
TEACH grants	88,545	92,030	93,524
Total aid available	161,193,126	164,619,628	164,700,693

¹ Value in 2021 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Number of Aid Awards

	(in thousands)		
	2019	2020	2021
Pell grants	6,673	6,834	7,086
Subsidized Stafford loans	5,536	5,623	4,479
Unsubsidized Stafford loans (Undergraduates)	5,659	5,771	6,068
Unsubsidized Stafford loans (Graduate students)	1,805	1,810	1,380
Parent PLUS loans	875	882	903
Grad PLUS loans	595	602	882
Consolidation loans	653	647	645
Work-study	700	731	315
Supplemental educational opportunity grants	1,718	1,769	0
Iraq and Afghanistan service grants ^{1,2}	0	0	0
TEACH grants	31	32	32
Total awards	24,245	24,701	21,791

¹ Number of recipients is fewer than 1,000.

² Value in 2021 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Note: Numbers may not add due to rounding.

Average Aid Awards

	(in whole dollars)		
	2019	2020	2021
Pell grants	4,233	4,331	4,283
Subsidized Stafford loans	3,508	3,501	3,513
Unsubsidized Stafford loans (Undergraduates)	3,678	3,713	4,313
Unsubsidized Stafford loans (Graduate students)	14,960	15,157	14,462
Parent PLUS loans	14,305	14,610	14,417
Grad PLUS loans	18,312	18,730	21,394
Consolidation loans	61,131	61,521	62,018
Work-study	1,647	1,647	1,599
Supplemental educational opportunity grants	672	672	0
Iraq and Afghanistan service grants ¹	5,300	5,626	0
TEACH grants	2,727	2,896	2,905

¹ Value in 2021 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Number of Students Aided

	(in thousands)		
	2019	2020	2021
Unduplicated student count	10,235	10,428	10,499

Administrative Payments to Institutions

	(in thousands of dollars)		
	2019	2020	2021
Pell grants	33,365	34,170	35,430
Work-study	50,988	53,244	22,561
Supplemental educational opportunity grants	14,727	15,165	0

STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-0200-4-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Federal Pell grants			229
0900 Total new obligations, unexpired accounts (object class 41.0)			229
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-4
1930 Total budgetary resources available			-4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-233
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			229
3020 Outlays (gross)			2
3050 Unpaid obligations, end of year			231
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			231
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-4
Outlays, gross:			
4100 Outlays from new mandatory authority			-2
4180 Budget authority, net (total)			-4
4190 Outlays, net (total)			-2

Federal Pell grants.—The 2021 Budget proposes to expand Pell Grant eligibility to students enrolled in high-quality short-term programs that provide students with a credential, certification, or license in a high-demand field as well as to certain incarcerated students. The Budget also proposes to bar someone from receiving another Pell Grant if they have been awarded three consecutive Pell Grants without earning any credits.

Iraq and Afghanistan service grants.—The 2021 Budget proposes to move the Iraq and Afghanistan Service Grant program into the Pell Grant program and ensure the children of our fallen service members receive a full aid award, exempt from cuts due to sequestration, and without any other changes to program's benefits or eligibility.

STUDENT AID ADMINISTRATION

For Federal administrative expenses to carry out part D of title I, and subparts 1 [, 3,] and 9 [, and 10] of part A, and parts B, C, D, and E of title IV of the HEA, and subpart 1 of part A of title VII of the Public Health Service Act, [\$1,768,943,000] \$1,883,309,000, to remain available through September 30, [2021: Provided, That the Secretary shall allocate new student loan borrower accounts to eligible student loan servicers on the basis of their past performance compared to all loan servicers utilizing established common metrics, and on the basis of the capacity of each servicer to process new and existing accounts: *Provided further*, That for student loan contracts awarded prior to October 1, 2017, the Secretary shall allow student loan borrowers who are consolidating Federal student loans to select from any student loan servicer to service their new consolidated student loan: *Provided further*, That in order to promote accountability and high-quality service to borrowers, the Secretary shall not award funding for any contract solicitation for a new Federal student loan

servicing environment, including the solicitation for the Federal Student Aid (FSA) Next Generation Processing and Servicing Environment, unless such an environment provides for the participation of multiple student loan servicers that contract directly with the Department of Education to manage a unique portfolio of borrower accounts and the full life-cycle of loans from disbursement to pay-off with certain limited exceptions, and allocates student loan borrower accounts to eligible student loan servicers based on performance: *Provided further*, That the Department shall re-allocate accounts from servicers for recurring non-compliance with FSA guidelines, contractual requirements, and applicable laws, including for failure to sufficiently inform borrowers of available repayment options: *Provided further*, That such servicers shall be evaluated based on their ability to meet contract requirements (including an understanding of Federal and State law), future performance on the contracts, and history of compliance with applicable consumer protections laws: *Provided further*, That to the extent FSA permits student loan servicing subcontracting, FSA shall hold prime contractors accountable for meeting the requirements of the contract, and the performance and expectations of subcontractors shall be accounted for in the prime contract and in the overall performance of the prime contractor: *Provided further*, That FSA shall ensure that the Next Generation Processing and Servicing Environment, or any new Federal loan servicing environment, incentivize more support to borrowers at risk of delinquency or default: *Provided further*, That FSA shall ensure that in such environment contractors have the capacity to meet and are held accountable for performance on service levels; are held accountable for and have a history of compliance with applicable consumer protection laws; and have relevant experience and demonstrated effectiveness: *Provided further*, That the Secretary shall provide quarterly briefings to the Committees on Appropriations and Education and Labor of the House of Representatives and the Committees on Appropriations and Health, Education, Labor, and Pensions of the Senate on general progress related to solicitations for Federal student loan servicing contracts: *Provided further*, That FSA shall strengthen transparency through expanded publication of aggregate data on student loan and servicer performance **2022**. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0202-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Student aid administration	857	879	735
0002 Servicing activities	830	890	1,149
0900 Total new obligations, unexpired accounts	1,687	1,769	1,884
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	3
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	11	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,679	1,769	1,883
1930 Total budgetary resources available	1,690	1,772	1,886
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	810	756	1,035
3010 New obligations, unexpired accounts	1,687	1,769	1,884
3020 Outlays (gross)	-1,723	-1,490	-1,742
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	756	1,035	1,177
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	810	756	1,035
3200 Obligated balance, end of year	756	1,035	1,177
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,679	1,769	1,883
Outlays, gross:			
4010 Outlays from new discretionary authority	1,010	963	1,029
4011 Outlays from discretionary balances	713	527	713
4020 Outlays, gross (total)	1,723	1,490	1,742
4180 Budget authority, net (total)	1,679	1,769	1,883
4190 Outlays, net (total)	1,723	1,490	1,742

The Department of Education manages Federal student aid programs that will provide nearly \$122 billion in new Federal student aid grants and loans (excluding Direct Consolidation Loans) to 12 million students and parents in 2021. The Offices of Postsecondary Education, the Under Secretary, and Federal Student Aid (FSA) are primarily responsible for administering the Federal student financial assistance programs. FSA was created by the Congress in 1998 as a partially independent Performance Based Organization (PBO) with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

Object Classification (in millions of dollars)

Identification code 091-0202-0-1-502	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	160	178	192
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	3	3	4
11.9 Total personnel compensation	164	181	196
12.1 Civilian personnel benefits	52	57	63
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	20	20	20
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	908	995	953
25.3 Other goods and services from Federal sources	42	42	37
25.7 Operation and maintenance of equipment	493	468	608
32.0 Land and structures	1		
99.0 Direct obligations	1,684	1,768	1,882
99.5 Adjustment for rounding	3	1	2
99.9 Total new obligations, unexpired accounts	1,687	1,769	1,884

Employment Summary

Identification code 091-0202-0-1-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,343	1,451	1,584

TEACH GRANT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0206-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	29	29	28
0705 Reestimates of direct loan subsidy	5	9	
0706 Interest on reestimates of direct loan subsidy		2	
0900 Total new obligations, unexpired accounts (object class 41.0)	34	40	28
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) - Loan subsidy	31	31	28
1200 Appropriation (indefinite) - Upward reestimate	5	11	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-2	
1260 Appropriations, mandatory (total)	34	40	28
1930 Total budgetary resources available	34	40	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	9	10
3010 New obligations, unexpired accounts	34	40	28
3020 Outlays (gross)	-31	-38	-26
3041 Recoveries of prior year unpaid obligations, expired	-9	-1	-2
3050 Unpaid obligations, end of year	9	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	9	10
3200 Obligated balance, end of year	9	10	10

TEACH GRANT PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-0206-0-1-502	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	34	40	28
Outlays, gross:			
4100 Outlays from new mandatory authority	25	30	19
4101 Outlays from mandatory balances	6	8	7
4110 Outlays, gross (total)	31	38	26
4180 Budget authority, net (total)	34	40	28
4190 Outlays, net (total)	31	38	26

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0206-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TEACH Grants	102	99	101
Direct loan subsidy (in percent):			
132001 TEACH Grants	28.37	28.93	27.44
132999 Weighted average subsidy rate	28.37	28.93	27.44
Direct loan subsidy budget authority:			
133001 TEACH Grants	29	29	28
Direct loan subsidy outlays:			
134001 TEACH Grants	26	27	26
Direct loan reestimates:			
135001 TEACH Grants	4	-25

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduation. The program began awarding grants in the 2008-2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects the cost of grant awards net of expected future repayments for grants that are converted to loans.

TEACH GRANT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4290-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	102	99	101
0713 Payment of interest to Treasury	20	14	14
0742 Downward reestimates paid to receipt accounts	1	29
0743 Interest on downward reestimates	7
0791 Direct program activities, subtotal	123	149	115
0900 Total new obligations, unexpired accounts	123	149	115

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2
1021 Recoveries of prior year unpaid obligations	37	18	7
1023 Unobligated balances applied to repay debt	-5	-2
1024 Unobligated balance of borrowing authority withdrawn	-34	-18	-7
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	79	109	75

Spending authority from offsetting collections, mandatory:			
1800 Collected	69	125	113
1801 Change in uncollected payments, Federal sources	1
1825 Spending authority from offsetting collections applied to repay debt	-24	-85	-73
1850 Spending auth from offsetting collections, mand (total)	46	40	40
1900 Budget authority (total)	125	149	115
1930 Total budgetary resources available	125	149	115
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	100	85	75
3010 New obligations, unexpired accounts	123	149	115
3020 Outlays (gross)	-101	-141	-107
3040 Recoveries of prior year unpaid obligations, unexpired	-37	-18	-7
3050 Unpaid obligations, end of year	85	75	76
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-1
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	94	78	68
3200 Obligated balance, end of year	78	68	69

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	125	149	115
Financing disbursements:			
4110 Outlays, gross (total)	101	141	107
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Reestimate	-5	-11
4120 Subsidy from Program Account	-26	-27	-26
4122 Interest on uninvested funds	-2
4123 Payment of Principal	-28	-78	-78
4123 Interest Received	-8	-9	-9
4130 Offsets against gross budget authority and outlays (total)	-69	-125	-113
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-1
4160 Budget authority, net (mandatory)	55	24	2
4170 Outlays, net (mandatory)	32	16	-6
4180 Budget authority, net (total)	55	24	2
4190 Outlays, net (total)	32	16	-6

Status of Direct Loans (in millions of dollars)

Identification code 091-4290-0-3-502	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	102	99	101
1150 Total direct loan obligations	102	99	101
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	746	764	776
1231 Disbursements: Direct loan disbursements	78	90	94
1251 Repayments: Repayments and prepayments	-28	-78	-78
1264 Other adjustments, net (+ or -)	-32
1290 Outstanding, end of year	764	776	792

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4290-0-3-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	25	36
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	746	764
1402 Interest receivable	91	99
1405 Allowance for subsidy cost (-)	-253	-247
1499 Net present value of assets related to direct loans	584	616
1999 Total assets	609	652

LIABILITIES:
Federal liabilities:

2101	Accounts payable		
2103	Debt	609	652
2999	Total liabilities	609	652

NET POSITION:

3300	Cumulative results of operations		
4999	Total liabilities and net position	609	652

STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-5557-0-2-502	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1	1	1
	Receipts:			
	Current law:			
1130	Student Financial Assistance Debt Collection	15	16	16
2000	Total: Balances and receipts	16	17	17
	Appropriations:			
	Current law:			
2101	Student Financial Assistance Debt Collection	-15	-16	-16
2103	Student Financial Assistance Debt Collection	-1	-1	-1
2132	Student Financial Assistance Debt Collection	1	1	
2199	Total current law appropriations	-15	-16	-17
2999	Total appropriations	-15	-16	-17
5099	Balance, end of year	1	1	

Program and Financing (in millions of dollars)

Identification code 091-5557-0-2-502	2019 actual	2020 est.	2021 est.	
	Obligations by program activity:			
0001	Student Financial Assistance Debt Collection	3	4	4
0900	Total new obligations, unexpired accounts (object class 25.2)	3	4	4
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	15	17	21
1022	Capital transfer of unobligated balances to general fund	-9	-7	-8
1050	Unobligated balance (total)	6	10	13
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	15	16	16
1203	Appropriation (previously unavailable)(special or trust)	1	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1240	Capital transfer of appropriations to general fund	-1	-1	-1
1260	Appropriations, mandatory (total)	14	15	16
1930	Total budgetary resources available	20	25	29
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	17	21	25
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	3	4	4
3020	Outlays (gross)	-3	-4	-4
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	14	15	16
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	4	4
4180	Budget authority, net (total)	14	15	16
4190	Outlays, net (total)	3	4	4

FEDERAL STUDENT LOAN RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 091-4257-0-3-502	2019 actual	2020 est.	2021 est.	
	Obligations by program activity:			
0102	Obligations, non-Federal	7,553	7,738	7,122
0900	Total new obligations, unexpired accounts (object class 42.0)	7,553	7,738	7,122
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,176	1,956	1,956
1022	Capital transfer of unobligated balances to general fund	-137		
1050	Unobligated balance (total)	2,039	1,956	1,956
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	7,470	7,738	7,122
1930	Total budgetary resources available	9,509	9,694	9,078
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,956	1,956	1,956
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	7,553	7,738	7,122
3020	Outlays (gross)	-7,553	-7,738	-7,122
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	7,470	7,738	7,122
	Outlays, gross:			
4100	Outlays from new mandatory authority	7,341	7,738	7,122
4101	Outlays from mandatory balances	212		
4110	Outlays, gross (total)	7,553	7,738	7,122
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-7,470	-7,738	-7,122
4180	Budget authority, net (total)			
4190	Outlays, net (total)	83		

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The Consolidated Appropriations Act, 2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent. The following schedule reflects the balances in these guaranty agency funds.

Balance Sheet (in millions of dollars)

Identification code 091-4257-0-3-502	2018 actual	2019 actual	
	ASSETS:		
1101	Federal assets: Fund balances with Treasury	2,176	1,956
1999	Total assets	2,176	1,956
	LIABILITIES:		
2104	Federal liabilities: Resources payable to Treasury		
	NET POSITION:		
3300	Cumulative results of operations	2,176	1,956
4999	Total liabilities and net position	2,176	1,956

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0243-0-1-502	2019 actual	2020 est.	2021 est.	
	Obligations by program activity:			
	Credit program obligations:			
0701	Direct loan subsidy	4,842	11,829	8,035
0703	Subsidy for modifications of direct loans	350	485	
0705	Reestimates of direct loan subsidy	23,125	47,798	
0706	Interest on reestimates of direct loan subsidy	5,495	16,845	

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-0243-0-1-502	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)	33,812	76,957	8,035
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite)	33,812	76,957	8,035
1930 Total budgetary resources available	33,812	76,957	8,035
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,069	1,400	1,402
3010 New obligations, unexpired accounts	33,812	76,957	8,035
3020 Outlays (gross)	-33,235	-76,713	-8,419
3041 Recoveries of prior year unpaid obligations, expired	-246	-242
3050 Unpaid obligations, end of year	1,400	1,402	1,018
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,069	1,400	1,402
3200 Obligated balance, end of year	1,400	1,402	1,018
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	33,812	76,957	8,035
Outlays, gross:			
4100 Outlays from new mandatory authority	32,692	75,555	7,457
4101 Outlays from mandatory balances	543	1,158	962
4110 Outlays, gross (total)	33,235	76,713	8,419
4180 Budget authority, net (total)	33,812	76,957	8,035
4190 Outlays, net (total)	33,235	76,713	8,419

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	33,812	76,957	8,035
Outlays	33,235	76,713	8,419
Legislative proposal, subject to PAYGO:			
Budget Authority	-1,626
Outlays	-1,331
Total:			
Budget Authority	33,812	76,957	6,409
Outlays	33,235	76,713	7,088

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0243-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford	22,926	22,426	22,670
115002 Unsubsidized Stafford	56,196	56,190	56,605
115003 PLUS	24,577	25,330	26,055
115004 Consolidation	40,050	39,834	39,999
115999 Total direct loan levels	143,749	143,780	145,329
Direct loan subsidy (in percent):			
132001 Stafford	8.65	14.03	6.33
132002 Unsubsidized Stafford	-3.22	0.75	-4.00
132003 PLUS	-19.04	-13.25	-20.57
132004 Consolidation	7.14	20.74	16.50
132999 Weighted average subsidy rate	-1.15	5.89	0.28
Direct loan subsidy budget authority:			
133001 Stafford	1,983	3,146	1,435
133002 Unsubsidized Stafford	-1,810	421	-2,264
133003 PLUS	-4,679	-3,356	-5,360
133004 Consolidation	2,860	8,262	6,600
133999 Total subsidy budget authority	-1,646	8,473	411
Direct loan subsidy outlays:			
134001 Stafford	1,739	2,464	1,701
134002 Unsubsidized Stafford	-2,485	-217	-1,263
134003 PLUS	-5,112	-3,635	-4,457
134004 Consolidation	2,876	8,235	6,607
134005 Federal Direct Student Loans	1,110
134999 Total subsidy outlays	-2,982	7,957	2,588
Direct loan reestimates:			
135005 Federal Direct Student Loans	26,311	63,206

135999 Total direct loan reestimates	26,311	63,206
Administrative expense data:			
3580 Outlays from balances	5

The Federal Government manages two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Student Aid and Fiscal Responsibility Act eliminated the authorization to originate new FFEL loans; as of July 1, 2010, the Direct Loan program originates all new loans. This narrative outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program guaranteed almost \$899 billion in loans made to postsecondary students and their parents. Although no new FFEL loans have been originated since July 1, 2010, \$174 billion of outstanding FFEL loans continue to be serviced by lenders, the Department of Education, and guaranty agencies. The 2021 Budget proposes to eliminate the payment of Account Maintenance Fees to guaranty agencies.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while the Department of Education loan origination and servicing is handled by private and not-for-profit loan servicers under performance-based contracts with the Department. The Direct Loan program began operation in award year 1994-1995, originating 7 percent of overall loan volume. In 2021, excluding Consolidation Loans, the Direct Loan program will make \$93.8 billion in new loans.

The Direct Loan program currently offers four types of loans: Subsidized Stafford; Unsubsidized Stafford; PLUS; and Consolidation. Loans can be used for qualified educational expenses. Undergraduates with financial need may receive a Subsidized Stafford loan (graduate and professional students are not eligible). The other three loan programs are available to borrowers at all income levels. Interest rates are set annually for loans originated in the upcoming award year based on the 10-year Treasury note; those rates will remain fixed for the life of the loan. For Subsidized Stafford loans available to undergraduates, the interest rate will be equal to the 10-year Treasury note plus 2.05 percent and capped at 8.25 percent. Loans originated in award year 2019-2020 have an interest rate of 4.53 percent. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during grace and deferment periods. The interest rate on new Unsubsidized Stafford loans for undergraduate borrowers is the same as that on Subsidized Stafford loans for undergraduates. The Unsubsidized Stafford loan interest rate for graduate and professional students is equal to the 10-year Treasury note plus 3.6 percent and capped at 9.5 percent. Loans originated in award year 2019-2020 have an interest rate of 6.08 percent. The borrower interest rate on PLUS loans to graduate and professional students and parents of undergraduate borrowers is equal to the 10-year Treasury note plus 4.6 percent and capped at 10.5 percent. PLUS loans originated in award year 2019-2020 have an interest rate of 7.08 percent.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of a percent. For most types of Direct Loans, the origination fee is a base rate of 1 percent, but an additional surcharge for sequestration was added in years 2013 to 2020. The base origination fee for PLUS loans is 4 percent, but has included an additional surcharge in years 2013 to 2020. Borrowers may choose from four basic types of repayment plans: standard; graduated; extended (available for qualified borrowers who have outstanding loans of more than \$30,000); and income-driven. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time. The maximum repayment period is 10 years for standard and graduated plans, as well as the income-sensitive repayment plan that is available only for

FFEL loans. Under the current income-driven administrative Pay As You Earn (PAYE) and statutory Income-Based-Repayment (IBR) plans, for new borrowers after 2014, the repayment period is 20 years. Under the current income-driven administrative REPAYE plan, the repayment period is 20 or 25 years depending on whether the borrower has any graduate school loans. And, under the extended, former IBR (for borrowers prior to 2014), and income-contingent repayment plans, the maximum time is 25 years. PAYE and IBR require partial financial hardship in order to qualify for reduced payments and borrowers in those plans have their monthly payments capped at the monthly payment of the 10-year Standard plan. At the end of the repayment term, the borrower's remaining balance is forgiven.

Federal student loans have other benefits. For example, Federal student loans can be discharged when borrowers die, become totally and permanently disabled, or, under some circumstances, declare bankruptcy. In addition, there are several loan forgiveness programs. For example, new borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for 5 consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act. In addition, under the Public Service Loan Forgiveness Program, qualifying borrowers who have worked for 10 years full-time for an eligible public service employer, and made 120 qualifying monthly payments after October 1, 2007 in the standard or income-driven plans can have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all Direct Loan borrowers, regardless of when they took out their loans. The 2021 Budget institutes sensible annual and lifetime loan limits for graduate and parent borrowers.

The 2021 Budget proposes to set an aggregate limit on Parent PLUS loans for undergraduate students of \$26,500, the difference between the dependent undergraduate aggregate limit of \$31,000 and the independent undergraduate aggregate limit of \$57,500. In addition, dependent undergraduate students would be eligible to borrow an additional amount (up to the independent undergraduate limit) depending on the parents eligibility for additional borrowing. The Budget also proposes to set annual and aggregate limits of \$50,000 and \$100,000 respectively for graduate students, exclusive of any undergraduate borrowing. Graduate student borrowing would additionally be simplified by consolidating all graduate borrowing under one graduate loan program with the same corresponding loan terms and conditions as current Graduate PLUS loans.

In addition, the 2021 Budget provides higher education institutions greater flexibility to ensure their students avoid excessive student loan debt and are able to repay their loans. As such, the Request proposes to provide financial aid administrators greater latitude to limit loan borrowing and proposes schools be allowed to impose mandatory annual financial literacy training (i.e., loan counseling) in order for students to receive loan disbursements.

The 2021 Budget would replace the five current Income Driven repayment (IDR) plans with one new Single IDR plan to make choosing a repayment plan less complex. The new IDR plan would become the only income-driven repayment plan for borrowers who originate their first loan on or after July 1, 2021, with an exception for students who borrowed their first loans prior to July 1, 2021 and who are borrowing to complete their current course of study. The Single IDR plan would: cap payments at 12.5 percent of discretionary monthly income while eliminating the standard repayment cap; limit loan payments to 15 years for borrowers with undergraduate debt only and 30 years for borrowers with any graduate debt—any remaining amounts owed after these repayment periods would be forgiven; calculate payments for married borrowers filing separately on the combined household Adjusted Gross Income; and eliminate Public Service Loan Forgiveness. As with the Single IDR plan, these policies would apply to loans

originated on or after July 1, 2021, with an exception for students continuing to borrow to complete their current course of study.

To further improve the implementation and effectiveness of IDR, the Budget proposes auto-enrolling severely delinquent borrowers into the Single IDR plan. The 2021 Budget would also eliminate Subsidized Stafford loans. As with the Single IDR plan, this policy would apply to loans originated on or after July 1, 2021, with an exception for students continuing to borrow to complete their current course of study.

The 2021 Budget incorporates the effects of the Fostering Undergraduate Talent by Unlocking Resources for Education Act (Public Law 116–91) except for the effects on student loans.

The following tables display performance indicators and program data, including projected overall Direct Loan and FFEL costs.

Federal Budget Authority and Outlays

	(in thousands of dollars)		
	2019 actual	2020 est.	2021 est.
PROGRAM COST:			
FFEL:			
Liquidating ¹	0	(182,492)	(146,836)
Program:			
Net Reestimate of Prior Year Costs	1,562,603	6,285,591	0
Net Modification ²	0	108,773	(466,318)
Subtotal, Program	1,562,603	6,394,364	(466,318)
Total, FFEL	1,562,603	6,211,871	(613,154)
Direct Loans:			
Program:			
New Net Loan Subsidies	(1,646,411)	8,473,200	(8,327,040)
Net Reestimate of Prior Year Costs	(26,310,433)	63,205,948	0
Net Modification ³	350,000	484,996	0
Total, Direct Loans	25,014,022	72,164,143	(8,327,040)
Total, FFEL and Direct Loans	26,576,625	78,376,015	(8,940,194)
PROGRAM COST OUTLAYS:			
FFEL:			
Liquidating ¹	(165,654)	(122,056)	(96,085)
Program:			
Net Reestimate of Prior Year Costs	1,562,603	6,285,591	0
Net Modification ²	0	108,773	(466,318)
Subtotal, Program	1,592,603	6,394,364	(466,318)
Total, FFEL	1,396,950	6,272,307	(562,403)
Direct Loans:			
Program:			
Regular	(2,981,631)	6,846,731	(2,881,587)
Net Reestimate of Prior Year Costs	26,310,433	63,205,948	0
Net Modification ³	0	1,110,463	0
Total, Direct Loans	23,328,802	71,163,142	(2,881,587)
Total, FFEL and Direct Loans	24,725,752	77,435,449	(3,443,989)

¹ Liquidating account reflects loans made prior to 1992.

² Reflects costs in FY 2020 associated with the regulatory action to provide proactive discharges to borrowers for whom the Department of Veteran Affairs provides information showing the borrower has a total and permanent disability and proposed savings in FY 2021 from eliminating Account Maintenance Fees paid to guaranty agencies.

³ Reflects costs in FY 2019 for Temporary Expansion of Public Service Loan Forgiveness (TEPSLF). Reflects costs in FY 2020 associated with the regulatory action to provide proactive discharges to borrowers for whom the Department of Veteran Affairs provides information showing the borrower has a total and permanent disability and TEPSLF.

Summary of Default Rates¹

	(expressed as percentages)		
	2019 est.	2020 est.	2021 est.
Direct Loans:			
Stafford	27.35	29.22	18.79
Unsubsidized Stafford			
Undergraduate	33.22	34.97	22.88
Graduate/Professional	10.40	14.91	9.01
PLUS			
Parent PLUS	13.24	12.47	9.85
Grad PLUS	8.03	10.98	8.05
Consolidation	23.45	20.13	21.58
Weighted Average, Direct Loans	20.62	21.28	16.58

¹ Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued
Selected Program Costs and Offsets

	(in thousands of dollars)		
	2019 actual	2020 est.	2021 est.
FFEL:			
Payments to lenders:			
Interest benefits	446,599	45,207	21,719
Special allowance payments ¹	(163,821)	(341,290)	(243,700)
Default claims	5,918,324	2,542,526	1,654,223
Loan discharges	1,478,278	711,839	21,719
Teacher loan forgiveness	57,552	45,002	35,099
Administrative payments to guaranty agencies	104,165	93,806	63,353
Fees paid to the Department of Education:			
Loan holder fees	(1,122,786)	(316,923)	(211,162)
Other Major Transactions:			
Net default collections	(7,641,421)	(6,989,506)	(5,561,580)
Contract collection costs	59,656	41,408	42,918
Federal administrative costs	33,155	34,284	29,388
Net Cash Flow, FFEL	(830,300)	(4,133,647)	(3,809,801)
Ensuring Continued Access to Student Loans (ECASLA):			
Inflows	(10,587,659)	(17,909,953)	(10,567,776)
Outflows	9,881,120	17,909,953	10,567,776
Federal administrative costs	99,465	102,852	88,165
Net Cash Flow, ECASLA	(607,073)	102,852	88,165
Direct Loans:			
Loan disbursements to borrowers	130,661,915	131,969,685	133,457,946
Borrower interest payments	(19,894,143)	(24,681,171)	(26,396,615)
Borrower principal payments	(62,912,148)	(68,190,564)	(70,861,716)
Borrower origination fees	(1,692,572)	(1,736,022)	(1,815,366)
Net default collections	(6,838,597)	(11,409,993)	(12,424,729)
Contract collection costs	786,603	924,883	974,888
Federal administrative costs	717,574	744,217	641,506
Net operating cash flows	40,828,632	27,621,036	23,575,913
Loan capital borrowings from Treasury	(130,661,915)	(131,969,685)	(133,457,946)
Net interest payments to Treasury	29,734,217	35,366,142	36,193,418
Principal payments to Treasury	84,189,834	135,514,293	74,305,767
Subtotal, Treasury activity	(16,737,864)	38,910,751	(22,958,761)
Net Cash Flow, Direct Loans	24,090,768	66,531,787	617,152

¹ Includes Negative Special Allowance Payments.

Student Loan Program Costs: Analysis of Direct Loans Including Program and Administrative Expenses

	(expressed as percentages)		
	2019 actual ¹	2020 est.	2021 est.
Direct Loans:			
New Loans:			
Stafford	10.84	14.03	4.19
Unsubsidized Stafford			
Undergraduate	-3.22	2.33	-7.47
Graduate/Professional	-1.06	-0.48	-12.07
PLUS			
Parent PLUS	-34.87	-27.88	-37.49
Grad PLUS	2.19	3.48	-22.87
Subtotal, new loan subsidy	-2.89	.30	-13.30
Federal administrative costs	1.70	1.70	1.70
Subtotal, new loans	-1.19	2.00	-11.60
Consolidation Loans			
Loan subsidy	18.15	20.74	14.15
Federal administrative costs	0.38	0.38	0.38
Subtotal, consolidation loans	18.53	21.12	14.53
New and Consolidation Loans			
Loan subsidy	3.04	5.89	-5.76
Federal administrative costs	1.45	1.45	1.45
Total, Direct Loans	4.49	7.34	-4.31

¹ 2019 rates are current; these include actual executed rates for 2019 and the effect of re-estimates on those rates.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower

behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

Loan Disbursement and Subsidy Costs

	(in billions of dollars)	
	FFEL	Direct Loans
Original Subsidy Costs	+77.1	-119.7
Cumulative Reestimates	-50.7	+108.3
Net Subsidy Costs	+26.3	-11.5
Total Disbursements	+898.7	+1,624.1

For Direct Loans, the net upward reestimate reflects several assumption updates, including changes to the income-driven repayment plan model. Model assumptions affecting the 2019 cohort were also updated. The Direct Loan upward net reestimate for 2020 is primarily due to updated IDR income assumptions using newly available IDR application data.

Direct Loan Repayment Options

	(expressed as percentages)		
	2019 actual ¹	2020 est.	2021 est.
Subsidies by Repayment Option			
Stafford:			
Standard	5.66	11.77	0.64
Extended	-2.60	6.15	-6.16
Graduated	1.07	4.29	-3.24
IDR ²	26.98	23.65	12.62
Unsubsidized Stafford:			
Standard	-14.46	-8.61	-16.07
Extended	-29.70	-19.77	-28.92
Graduated	-24.79	-28.74	-24.39
IDR	24.42	22.00	2.67
PLUS:			
Standard	-31.01	-22.24	-34.13
Extended	-49.76	-32.42	-50.96
Graduated	-53.13	-57.93	-54.77
IDR	27.52	25.11	-12.07
Consolidated:			
Standard	-4.32	6.84	-8.70
Extended	-24.38	-8.74	-30.70
Graduated	-29.81	-20.36	-35.30
IDR	29.30	28.98	24.94

Direct Loan Repayment Options

	(gross volumes in millions of dollars)		
	2019 actual ¹	2020 est.	2021 est.
Volumes by Repayment Option			
Stafford:			
Standard	12,788	12,959	9,206
Extended	451	457	362
Graduated	2,751	2,787	2,114
IDR ²	6,128	6,223	6,207
Unsubsidized Stafford:			
Standard	25,016	25,593	22,910
Extended	2,041	2,081	1,760
Graduated	6,281	6,420	5,849
IDR	21,649	22,095	22,494
PLUS:			
Standard	13,578	13,987	16,172
Extended	1,140	1,180	1,505
Graduated	2,710	2,802	3,369
IDR	7,124	7,361	12,662
Consolidated:			
Standard	41	41	38
Extended	6,091	6,095	5,704
Graduated	1,970	1,972	1,874
IDR	31,796	31,726	32,383

¹ 2019 rates are current; these include actual executed rates for 2019 and the effect of re-estimates on those rates.

² All income-driven plans are included in the IDR category.

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Identification code 091-0243-4-1-502			
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy			-1,626

0900	Total new obligations, unexpired accounts (object class 41.0)			-1,626
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (indefinite)			-1,626
1930	Total budgetary resources available			-1,626
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			-1,626
3020	Outlays (gross)			1,331
3050	Unpaid obligations, end of year			-295
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			-295
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross			-1,626
Outlays, gross:				
4100	Outlays from new mandatory authority			-1,331
4180	Budget authority, net (total)			-1,626
4190	Outlays, net (total)			-1,331

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0243-4-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001	Stafford		-4,781
115002	Unsubsidized Stafford		-3,591
115003	PLUS		7,653
115004	Consolidation		-1
115999	Total direct loan levels		-720
Direct loan subsidy (in percent):			
132001	Stafford		-2.14
132002	Unsubsidized Stafford		-5.46
132003	PLUS		-8.27
132004	Consolidation		-2.35
132999	Weighted average subsidy rate		-6.04
Direct loan subsidy budget authority:			
133001	Stafford		-685
133002	Unsubsidized Stafford		-2,751
133003	PLUS		-4,362
133004	Consolidation		-940
133999	Total subsidy budget authority		-8,738
Direct loan subsidy outlays:			
134001	Stafford		-395
134002	Unsubsidized Stafford		-1,731
134003	PLUS		-2,408
134004	Consolidation		-936
134999	Total subsidy outlays		-5,470
Administrative expense data:			
3580	Outlays from balances		-5,470

This presentation shows the PAYGO effects of the President's student loan reforms as discussed above.

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4253-0-3-502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0301	Consolidation loans—Payment of Orig. Services	21	24	25
0401	Payment of contract collection costs	787	926	975
Credit program obligations:				
0710	Direct loan obligations	143,749	143,780	145,329
0713	Payment of interest to Treasury	33,817	35,366	36,056
0740	Negative subsidy obligations	6,489	3,356	7,624
0742	Downward reestimates paid to receipt accounts	1,079	142	
0743	Interest on downward reestimates	1,230	1,294	
0791	Direct program activities, subtotal	186,364	183,938	189,009
0900	Total new obligations, unexpired accounts	187,172	184,888	190,009

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5,268	5,804	52
1021	Recoveries of prior year unpaid obligations	24,451	19,840	20,137
1023	Unobligated balances applied to repay debt	-11,865	-5,804	
1024	Unobligated balance of borrowing authority withdrawn	-17,486	-19,840	-20,137
1033	Recoveries of prior year paid obligations	6		
1050	Unobligated balance (total)	374		52
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation		59	
Borrowing authority, mandatory:				
1400	Borrowing authority	148,159	137,185	145,094
Spending authority from offsetting collections, mandatory:				
1800	Collected	128,649	182,725	119,800
1801	Change in uncollected payments, Federal sources	-16	434	-560
1820	Capital transfer of spending authority from offsetting collections to general fund		-1	
1825	Spending authority from offsetting collections applied to repay debt	-84,190	-135,462	-74,311
1850	Spending auth from offsetting collections, mand (total)	44,443	47,696	44,929
1900	Budget authority (total)	192,602	184,940	190,023
1930	Total budgetary resources available	192,976	184,940	190,075
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5,804	52	66

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	77,898	65,135	56,302
3010	New obligations, unexpired accounts	187,172	184,888	190,009
3020	Outlays (gross)	-175,484	-173,881	-176,475
3040	Recoveries of prior year unpaid obligations, unexpired	-24,451	-19,840	-20,137
3050	Unpaid obligations, end of year	65,135	56,302	49,699
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-543	-527	-961
3070	Change in uncollected pymts, Fed sources, unexpired	16	-434	560
3090	Uncollected pymts, Fed sources, end of year	-527	-961	-401
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	77,355	64,608	55,341
3200	Obligated balance, end of year	64,608	55,341	49,298

Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	192,602	184,940	190,023
Financing disbursements:				
4110	Outlays, gross (total)	175,484	173,881	176,475
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Upward reestimate	-23,125	-47,798	
4120	Upward reestimate, interest	-5,495	-16,845	
4120	Upward Modification		-1,110	
4120	Program subsidy	-4,615	-10,954	-8,419
4122	Interest on uninvested funds	-4,082		
4123	Repayment of principal, Stafford	-13,917	-16,616	-16,948
4123	Interest received on loans, Stafford	-3,224	-3,352	-3,439
4123	Origination Fees, Stafford	-201	-208	-202
4123	Other fees, Stafford	-63		
4123	Repayment of principal, Unsubsidized Stafford	-28,753	-34,771	-36,483
4123	Interest received on loans, Unsubsidized Stafford	-7,965	-7,815	-8,273
4123	Origination Fees, Unsubsidized Stafford	-494	-515	-500
4123	Other fees, Unsubsidized Stafford	-74		
4123	Repayment of principal, PLUS	-13,091	-15,312	-16,227
4123	Interest received on loans, PLUS	-4,067	-4,180	-4,510
4123	Origination Fees, PLUS	-998	-1,013	-1,005
4123	Other fees, PLUS	-19		
4123	Payment of principal, Consolidation	-11,242	-12,902	-13,615
4123	Interest received on loans, Consolidation	-7,171	-9,334	-10,179
4123	Other fees, Consolidation	-59		
4130	Offsets against gross budget authority and outlays (total)	-128,655	-182,725	-119,800
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	16	-434	560
4143	Recoveries of prior year paid obligations, unexpired accounts	6		
4150	Additional offsets against budget authority only (total)	22	-434	560
4160	Budget authority, net (mandatory)	63,969	1,781	70,783
4170	Outlays, net (mandatory)	46,829	-8,844	56,675
4180	Budget authority, net (total)	63,969	1,781	70,783
4190	Outlays, net (total)	46,829	-8,844	56,675

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)

Identification code 091-4253-0-3-502	2019 actual	2020 est.	2021 est.
STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	22,926	22,426	22,670
1150 Total direct loan obligations	22,926	22,426	22,670
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	225,552	234,874	237,448
1231 Disbursements: Direct loan disbursements	19,529	19,630	19,832
1251 Repayments: Repayments and prepayments	-13,917	-16,616	-16,948
1261 Adjustments: Capitalized interest	4,566	70	73
1264 Other adjustments, net (+ or -)	-856	-510	-504
1290 Outstanding, end of year	234,874	237,448	239,901
UNSUBSIDIZED STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	56,196	56,190	56,605
1150 Total direct loan obligations	56,196	56,190	56,605
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	373,453	398,477	417,040
1231 Disbursements: Direct loan disbursements	47,668	48,622	49,123
1251 Repayments: Repayments and prepayments	-28,753	-34,771	-36,483
1261 Adjustments: Capitalized interest	7,560	5,936	6,414
1264 Other adjustments, net (+ or -)	-1,451	-1,224	-1,228
1290 Outstanding, end of year	398,477	417,040	434,866
PLUS			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	24,577	25,330	26,055
1150 Total direct loan obligations	24,577	25,330	26,055
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	129,050	141,693	151,181
1231 Disbursements: Direct loan disbursements	23,638	23,888	24,625
1251 Repayments: Repayments and prepayments	-13,091	-15,312	-16,227
1261 Adjustments: Capitalized interest	2,612	1,525	1,735
1264 Other adjustments, net (+ or -)	-516	-613	-636
1290 Outstanding, end of year	141,693	151,181	160,678
CONSOLIDATION			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	40,050	39,834	39,999
1150 Total direct loan obligations	40,050	39,834	39,999
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	355,675	389,839	415,494
1231 Disbursements: Direct loan disbursements	39,827	39,830	39,994
1251 Repayments: Repayments and prepayments	-11,242	-12,902	-13,615
1261 Adjustments: Capitalized interest	6,997		
1264 Other adjustments, net (+ or -)	-1,418	-1,273	-1,189
1290 Outstanding, end of year	389,839	415,494	440,684

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4253-0-3-502	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	20,842	15,541
Investments in U.S. securities:		
1106 Receivables, net	20,483	58,897
1206 Non-Federal assets: Receivables, net	80	154
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,083,730	1,164,883
1402 Interest receivable	71,981	83,256
1405 Allowance for subsidy cost (-)	-40,663	-124,438
1499 Net present value of assets related to direct loans	1,115,048	1,123,701
1901 Other Federal assets: Other assets		

1999 Total assets	1,156,453	1,198,293
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	2,484	
2103 Debt	1,150,610	1,192,138
2105 Other		2,718
2201 Non-Federal liabilities: Accounts payable	3,359	3,437
2999 Total liabilities	1,156,453	1,198,293
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,156,453	1,198,293

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4253-4-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations			-720
0713 Payment of interest to Treasury			137
0740 Negative subsidy obligations			7,113
0791 Direct program activities, subtotal			6,530
0900 Total new obligations, unexpired accounts			6,530
Budgetary resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			7,933
Spending authority from offsetting collections, mandatory:			
1800 Collected			-1,214
1801 Change in uncollected payments, Federal sources			-209
1825 Spending authority from offsetting collections applied to repay debt			20
1850 Spending auth from offsetting collections, mand (total)			-1,403
1900 Budget authority (total)			6,530
1930 Total budgetary resources available			6,530
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6,530
3020 Outlays (gross)			-4,160
3050 Unpaid obligations, end of year			2,370
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			209
3090 Uncollected pymts, Fed sources, end of year			209
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			2,579
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross			6,530
Financing disbursements:			
4110 Outlays, gross (total)			4,160
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Program subsidy			1,331
4123 Repayment of principal, Stafford			1
4123 Origination Fees, Stafford			23
4123 Repayment of principal, Unsubsidized Stafford			1
4123 Interest received on loans, Unsubsidized Stafford			1
4123 Origination Fees, Unsubsidized Stafford			15
4123 Repayment of principal, PLUS			2
4123 Origination Fees, PLUS			-147
4123 Payment of principal, Consolidation			-16
4123 Interest received on loans, Consolidation			3
4130 Offsets against gross budget authority and outlays (total)			1,214
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired			209
4160 Budget authority, net (mandatory)			7,953
4170 Outlays, net (mandatory)			5,374
4180 Budget authority, net (total)			7,953
4190 Outlays, net (total)			5,374

Status of Direct Loans (in millions of dollars)

Identification code 091-4253-4-3-502	2019 actual	2020 est.	2021 est.
STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			-4,781
1150 Total direct loan obligations			-4,781
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			-2,262
1251 Repayments: Repayments and prepayments			1
1264 Other adjustments, net (+ or -)			4
1290 Outstanding, end of year			-2,257
UNSUBSIDIZED STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			-3,591
1150 Total direct loan obligations			-3,591
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			-1,527
1251 Repayments: Repayments and prepayments			1
1264 Other adjustments, net (+ or -)			-4
1290 Outstanding, end of year			-1,530
PLUS			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			7,653
1150 Total direct loan obligations			7,653
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			3,674
1251 Repayments: Repayments and prepayments			2
1264 Other adjustments, net (+ or -)			-1
1290 Outstanding, end of year			3,675
CONSOLIDATION			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			-1
1150 Total direct loan obligations			-1
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			-1
1251 Repayments: Repayments and prepayments			-16
1290 Outstanding, end of year			-17

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reform proposals.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0231-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0704 Subsidy for modifications of loan guarantees		109	
0705 Reestimates of direct loan subsidy	2,345	2,893	
0706 Interest on reestimates of direct loan subsidy	841	2,558	
0707 Reestimates of loan guarantee subsidy	238	513	
0708 Interest on reestimates of loan guarantee subsidy	237	7,186	
0900 Total new obligations, unexpired accounts (object class 41.0)	3,661	13,259	
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	3,661	13,259	
1930 Total budgetary resources available	3,661	13,259	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3,661	13,259	
3020 Outlays (gross)	-3,661	-13,259	

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	3,661	13,259	
Outlays, gross:			
4100 Outlays from new mandatory authority	3,661	13,259	
4180 Budget authority, net (total)	3,661	13,259	
4190 Outlays, net (total)	3,661	13,259	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0231-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135010 Direct Participation Agreement Reestimates	1,775	3,172	
135012 Direct Standard Put Reestimates	1,411	2,097	
135999 Total direct loan reestimates	3,186	5,269	
Guaranteed loan subsidy outlays:			
234006 FFEL Guarantees		109	
234999 Total subsidy outlays		109	
Guaranteed loan reestimates:			
235006 FFEL Guarantees	-1,624	1,017	
235999 Total guaranteed loan reestimates	-1,624	1,017	

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans, committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0231-4-1-502	2019 actual	2020 est.	2021 est.
Guaranteed loan subsidy outlays:			
234006 FFEL Guarantees			-467
234999 Total subsidy outlays			-467

This presentation shows the PAYGO effects of the President's student loan reforms, which are described in the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4251-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Default claims	1,099	443	354
0102 Special allowance	16	1	
0103 Interest benefits	205	28	14
0104 Death, disability, and bankruptcy claims	167	87	28
0105 Teacher loan forgiveness, other write-offs	14		
0107 Contract collection costs	13	10	11
0109 Rehab purchase fee		5	5
0110 Guaranty Agency account maintenance fees	13	4	2
0191 Subtotal, Stafford loans	1,527	578	414
0202 Default claims	1,308	527	416
0203 Special allowance	17	1	1
0204 Death, disability, and bankruptcy claims	240	64	34
0205 Teacher loan forgiveness, other write-offs	13		
0207 Contract collection costs	14	9	9
0209 Rehab purchase fee		4	4
0210 Guaranty Agency account maintenance fees	16	3	2
0291 Subtotal, Unsubsidized Stafford loans	1,608	608	466
0301 Default claims	214	48	33
0304 Death, disability, and bankruptcy claims	73	14	10
0307 Contract Collection Costs	2	1	1

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-4251-0-3-502	2019 actual	2020 est.	2021 est.
0309 Rehab purchase fee		1	1
0310 Guaranty Agency account maintenance fees	2		
0391 Subtotal, PLUS loans	291	64	45
0403 Default claims	1		
0405 Death, disability, and bankruptcy claims	1		
0409 Rehab purchase fee		1	1
0491 Subtotal, SLS loans	2	1	1
0501 Default claims	3,284	1,514	842
0502 Special allowance	971	119	71
0503 Interest benefits	238	13	5
0504 Death, disability, and bankruptcy claims	979	522	265
0505 Teacher loan forgiveness, other write-offs	31		
0507 Contract collection costs	25	15	17
0509 Rehab purchase fee		5	5
0510 Guaranty Agency account maintenance fees	73	87	81
0591 Subtotal, Consolidations loans	5,601	2,275	1,286
Credit program obligations:			
0713 Payment of interest to Treasury	1,834	1,566	859
0742 Downward reestimates paid to receipt accounts	1,040	315	
0743 Interest on downward reestimates	1,059	6,367	
0791 Direct program activities, subtotal	3,933	8,248	859
0900 Total new obligations, unexpired accounts	12,962	11,774	3,071
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16,996	11,586	25,717
1021 Recoveries of prior year unpaid obligations	331		
1023 Unobligated balances applied to repay debt	-3,223		
1033 Recoveries of prior year paid obligations	336		
1050 Unobligated balance (total)	14,440	11,586	25,717
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		10,559	
Spending authority from offsetting collections, mandatory:			
1800 Collected	10,534	15,347	5,902
1820 Capital transfer of spending authority from offsetting collections to general fund		-1	
1825 Spending authority from offsetting collections applied to repay debt	-426		
1850 Spending auth from offsetting collections, mand (total)	10,108	15,346	5,902
1900 Budget authority (total)	10,108	25,905	5,902
1930 Total budgetary resources available	24,548	37,491	31,619
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11,586	25,717	28,548
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	979	1,072	1,072
3010 New obligations, unexpired accounts	12,962	11,774	3,071
3020 Outlays (gross)	-12,538	-11,774	-3,071
3040 Recoveries of prior year unpaid obligations, unexpired	-331		
3050 Unpaid obligations, end of year	1,072	1,072	1,072
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	979	1,072	1,072
3200 Obligated balance, end of year	1,072	1,072	1,072
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	10,108	25,905	5,902
Financing disbursements:			
4110 Outlays, gross (total)	12,538	11,774	3,071
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate	-238	-513	
4120 Interest on upward reestimate	-237	-7,186	
4120 Upward modification		-109	
4122 Interest on uninvested funds	-738		
4123 Stafford recoveries on defaults	-1,741	-1,443	-1,169
4123 Stafford other fees	-66		
4123 Stafford special allowance rebate	-262	-56	-28
4123 Unsubsidized Stafford recoveries on default	-1,822	-1,539	-1,239
4123 Unsubsidized Stafford other fees	-69		
4123 Unsubsidized Stafford special allowance rebate	-367	-134	-79
4123 PLUS recoveries on defaults	-277	-205	-157
4123 PLUS other fees	-10		
4123 PLUS special allowance rebate	-119	-19	-10

4123 SLS recoveries on defaults	-7	-3	-3
4123 Consolidation recoveries on defaults	-3,251	-3,571	-2,806
4123 Consolidation loan holders fee	-1,123	-317	-211
4123 Consolidation other fees	-123		
4123 Consolidation special allowance rebate	-420	-252	-200
4130 Offsets against gross budget authority and outlays (total)	-10,870	-15,347	-5,902
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts	336		
4160 Budget authority, net (mandatory)	-426	10,558	
4170 Outlays, net (mandatory)	1,668	-3,573	-2,831
4180 Budget authority, net (total)	-426	10,558	
4190 Outlays, net (total)	1,668	-3,573	-2,831

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4251-0-3-502	2019 actual	2020 est.	2021 est.
STAFFORD			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	19,488	17,053	16,523
2251 Repayments and prepayments	-2,592		
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,099	-443	-354
2263 Terminations for default that result in claim payments	-167	-87	-28
2264 Other adjustments, net	1,423		-1
2290 Outstanding, end of year	17,053	16,523	16,140
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	16,200	15,697	15,333
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	4,262	3,885	3,165
2331 Disbursements for guaranteed loan claims	1,099	443	354
2351 Repayments of loans receivable	-1,487	-1,443	-1,169
2361 Write-offs of loans receivable	-167	-170	-213
2364 Other adjustments, net	178	450	400
2390 Outstanding, end of year	3,885	3,165	2,537
UNSUBSIDIZED STAFFORD			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	23,729	21,053	20,424
2251 Repayments and prepayments	-3,156	-39	
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,308	-527	-416
2263 Terminations for default that result in claim payments	-240	-64	-34
2264 Other adjustments, net	2,028	1	
2290 Outstanding, end of year	21,053	20,424	19,974
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	20,001	19,403	18,975
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	9,645	9,596	8,365
2331 Disbursements for guaranteed loan claims	1,308	527	416
2351 Repayments of loans receivable	-1,556	-1,539	-1,239
2361 Write-offs of loans receivable	-240	-219	-193
2364 Other adjustments, net	439		
2390 Outstanding, end of year	9,596	8,365	7,349
PLUS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3,950	3,405	3,222
2251 Repayments and prepayments	-525	-30	-3
Adjustments:			
2261 Terminations for default that result in loans receivable	-214	-139	-101
2263 Terminations for default that result in claim payments	-73	-14	-10
2264 Other adjustments, net	267		
2290 Outstanding, end of year	3,405	3,222	3,108
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,235	3,061	2,953

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	132	39	58
2331	Disbursements for guaranteed loan claims	214	139	101
2351	Repayments of loans receivable	-236	-205	-157
2361	Write-offs of loans receivable	-73	-116	-18
2364	Other adjustments, net	2	201	73
2390	Outstanding, end of year	39	58	57
SLS				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	46	42	42
2251	Repayments and prepayments	-6		
Adjustments:				
2261	Terminations for default that result in loans receivable	-1		
2263	Terminations for default that result in claim payments			
2264	Other adjustments, net	3		
2290	Outstanding, end of year	42	42	42
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	40	40	40

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	276	283	279
2331	Disbursements for guaranteed loan claims	1		
2351	Repayments of loans receivable	-6	-3	-3
2361	Write-offs of loans receivable			
2364	Other adjustments, net	12	-1	
2390	Outstanding, end of year	283	279	276
CONSOLIDATION				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	109,421	98,817	92,043
2251	Repayments and prepayments	-14,553	-4,738	-3,580
Adjustments:				
2261	Terminations for default that result in loans receivable	-3,284	-1,514	-842
2263	Terminations for default that result in claim payments	-979	-522	-265
2264	Other adjustments, net	8,212		
2290	Outstanding, end of year	98,817	92,043	87,356

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	93,876	87,441	82,988

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	19,534	19,977	17,395
2331	Disbursements for guaranteed loan claims	3,284	1,514	842
2351	Repayments of loans receivable	-2,777	-3,571	-2,806
2361	Write-offs of loans receivable	-979	-875	-754
2364	Other adjustments, net	915	350	300
2390	Outstanding, end of year	19,977	17,395	14,977

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans, formerly guaranteed student loans, committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4251-0-3-502		2018 actual	2019 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	17,601	12,284
Investments in U.S. securities:			
1106	Receivables, net	448	12,042
1206	Non-Federal assets: Receivables, net	2	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	33,849	33,780
1502	Interest receivable	7,803	8,561
1505	Allowance for subsidy cost (-)	-15,186	-20,113
1599	Net present value of assets related to defaulted guaranteed loans	26,466	22,228
1901	Other Federal assets: Other assets		

1999	Total assets	44,517	46,575
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable		
2103	Debt	38,880	35,231
2105	Other		6,125
Non-Federal liabilities:			
2201	Accounts payable	3,046	15
2204	Liabilities for loan guarantees	2,591	5,204
2999	Total liabilities	44,517	46,575
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	44,517	46,575

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4251-4-3-502		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0110	Guaranty Agency account maintenance fees			-1
0191	Subtotal, Stafford loans			-1
0510	Guaranty Agency account maintenance fees			-20
0591	Subtotal, Consolidations loans			-20
Credit program obligations:				
0713	Payment of interest to Treasury			41
0741	Modification savings			467
0791	Direct program activities, subtotal			508
0900	Total new obligations, unexpired accounts			487
Budgetary resources:				
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation			59
1900	Budget authority (total)			59
1930	Total budgetary resources available			59
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			-428
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			487
3020	Outlays (gross)			-487
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross			59
Financing disbursements:				
4110	Outlays, gross (total)			487
4180	Budget authority, net (total)			59
4190	Outlays, net (total)			487

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4251-4-3-502		2019 actual	2020 est.	2021 est.
STAFFORD				
UNSUBSIDIZED STAFFORD				
PLUS				
SLS				
CONSOLIDATION				

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reform proposals.

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4453-0-3-502		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0006	Contract collection costs	59	149	137

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-4453-0-3-502	2019 actual	2020 est.	2021 est.
Credit program obligations:			
0713	1,298	1,216	979
0742		57	
0743		88	
0791	1,298	1,361	979
0900	1,357	1,510	1,116
Budgetary resources:			
Unobligated balance:			
1000	858	386	386
1021	13		
1023	-858		
1050	13	386	386
Financing authority:			
Borrowing authority, mandatory:			
1400		145	
Spending authority from offsetting collections, mandatory:			
1800	6,300	10,797	6,345
1825	-4,570	-9,432	-5,229
1850	1,730	1,365	1,116
1900	1,730	1,510	1,116
1930	1,743	1,896	1,502
Memorandum (non-add) entries:			
1941	386	386	386
Change in obligated balance:			
Unpaid obligations:			
3000	21	5	5
3010	1,357	1,510	1,116
3020	-1,360	-1,510	-1,116
3040	-13		
3050	5	5	5
Memorandum (non-add) entries:			
3100	21	5	5
3200	5	5	5
Financing authority and disbursements, net:			
Mandatory:			
4090	1,730	1,510	1,116
Financing disbursements:			
4110	1,360	1,510	1,116
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-1,296	-1,815	
4120	-479	-1,502	
4122	-106		
4123	-3,369	-5,891	-4,884
4123	-1,030	-1,589	-1,461
4123	-20		
4130	-6,300	-10,797	-6,345
4160	-4,570	-9,287	-5,229
4170	-4,940	-9,287	-5,229
4180	-4,570	-9,287	-5,229
4190	-4,940	-9,287	-5,229

Status of Direct Loans (in millions of dollars)

Identification code 091-4453-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	36,475	33,696	27,692
1251	-3,369	-5,891	-4,884
1261		24	16
1264	590	-137	-126
1290	33,696	27,692	22,698

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4453-0-3-502	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	879	391
Investments in U.S. securities:		
1106	1,227	3,253
Net value of assets related to post-1991 direct loans receivable:		
1401	36,475	33,696
1402	4,713	4,983
1405	458	-3,842
1499	41,646	34,837
1999	43,752	38,481
LIABILITIES:		
Federal liabilities:		
2101		
2103	43,752	38,324
2105		157
2201		
2999	43,752	38,481
NET POSITION:		
3300		
4999	43,752	38,481

STUDENT LOAN ACQUISITION ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005	39	91	83
Credit program obligations:			
0713	660	821	563
0742		38	
0791	660	859	563
0900	699	950	646
Budgetary resources:			
Unobligated balance:			
1000	297	334	334
1021	8		
1023	-297		
1050	8	334	334
Financing authority:			
Borrowing authority, mandatory:			
1400		38	
Spending authority from offsetting collections, mandatory:			
1800	4,135	7,024	4,155
1825	-3,110	-6,112	-3,509
1850	1,025	912	646
1900	1,025	950	646
1930	1,033	1,284	980
Memorandum (non-add) entries:			
1941	334	334	334

Change in obligated balance:

Unpaid obligations:			
3000	14	5	5
3010	699	950	646
3020	-700	-950	-646
3040	-8		
3050	5	5	5
Memorandum (non-add) entries:			
3100	14	5	5
3200	5	5	5

Financing authority and disbursements, net:

Mandatory:			
4090	1,025	950	646
Financing disbursements:			
4110	700	950	646

Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Upward reestimate	-1,049	-1,078
4120	Upward reestimate interest	-362	-1,057
4122	Interest on uninvested funds	-58	
4123	Principal repayments	-2,042	-3,877
4123	Borrower interest repayments	-610	-1,012
4123	Fees and other refunds	-14	
4130	Offsets against gross budget authority and outlays (total)	-4,135	-7,024
4160	Budget authority, net (mandatory)	-3,110	-6,074
4170	Outlays, net (mandatory)	-3,435	-6,074
4180	Budget authority, net (total)	-3,110	-6,074
4190	Outlays, net (total)	-3,435	-6,074

Status of Direct Loans (in millions of dollars)

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	19,276	17,536
1251	Repayments: Repayments and prepayments	-2,042	-3,877
1261	Adjustments: Capitalized interest		14
1264	Other adjustments, net (+ or -)	302	-84
1290	Outstanding, end of year	17,536	13,589

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4449-0-3-502	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	142
Investments in U.S. securities:		
1106	Receivables, net	1,302
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	19,276
1402	Interest receivable	2,435
1405	Allowance for subsidy cost (-)	-21
1499	Net present value of assets related to direct loans	21,690
1999	Total assets	23,134
LIABILITIES:		
Federal liabilities:		
2101	Accounts payable	
2103	Debt	23,134
2105	Other	63
2201	Non-Federal liabilities: Accounts payable	
2999	Total liabilities	23,134
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	19,790

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4459-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003	Contract collection costs	5	10
Credit program obligations:			
0713	Payment of interest to Treasury	46	42
0900	Total new obligations, unexpired accounts	51	52
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	13	10
1021	Recoveries of prior year unpaid obligations	1	
1023	Unobligated balances applied to repay debt	-13	
1050	Unobligated balance (total)	1	10

Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	153	89
1825	Spending authority from offsetting collections applied to repay debt	-93	-37
1850	Spending auth from offsetting collections, mand (total)	60	52
1930	Total budgetary resources available	61	62
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	10

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	12	11
3010	New obligations, unexpired accounts	51	52
3020	Outlays (gross)	-51	-52
3040	Recoveries of prior year unpaid obligations, unexpired	-1	
3050	Unpaid obligations, end of year	11	11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	12	11
3200	Obligated balance, end of year	11	11

Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross	60	52
Financing disbursements:			
4110	Outlays, gross (total)	51	52
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds	-3	
4123	Direct Conduit Fees	-3	
4123	Principal repayments	-98	-66
4123	Interest repayments	-49	-23
4130	Offsets against gross budget authority and outlays (total)	-153	-89
4160	Budget authority, net (mandatory)	-93	-37
4170	Outlays, net (mandatory)	-102	-37
4180	Budget authority, net (total)	-93	-37
4190	Outlays, net (total)	-102	-37

Status of Direct Loans (in millions of dollars)

Identification code 091-4459-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	1,565	1,477
1251	Repayments: Repayments and prepayments	-98	-66
1264	Other adjustments, net (+ or -)	10	
1290	Outstanding, end of year	1,477	1,411

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4459-0-3-502	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	25
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	1,565
1402	Interest receivable	331
1405	Allowance for subsidy cost (-)	-426
1499	Net present value of assets related to direct loans	1,470
1999	Total assets	1,495
LIABILITIES:		
2103	Federal liabilities: Debt	1,495
2201	Non-Federal liabilities: Accounts payable	
2999	Total liabilities	1,495
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	1,389

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0230-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Interest benefits, net of origination fees	3	4	3
0103 Default claims	11	9	8
0104 Death, disability, and bankruptcy claims	16	18	16
0105 Contract collection costs	5	5	4
0191 Subtotal, Stafford loans	35	36	31
0201 Default claims	2	2	1
0202 Death, disability, and bankruptcy claims	2	7	7
0205 Contract collection costs	1	1	1
0291 Subtotal, PLUS/SLS loans	5	10	9
0900 Total new obligations, unexpired accounts	40	46	40
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	171	245	
1021 Recoveries of prior year unpaid obligations	9		
1022 Capital transfer of unobligated balances to general fund	-171	-245	
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	10		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	275	228	187
1820 Capital transfer of spending authority from offsetting collections to general fund		-182	-147
1850 Spending auth from offsetting collections, mand (total)	275	46	40
1930 Total budgetary resources available	285	46	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	245		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	4	4
3010 New obligations, unexpired accounts	40	46	40
3020 Outlays (gross)	-38	-46	-40
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	275	46	40
Outlays, gross:			
4100 Outlays from new mandatory authority		42	40
4101 Outlays from mandatory balances	38	4	
4110 Outlays, gross (total)	38	46	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Fed collections on defaulted loans, Stafford	-129	-62	-51
4123 Fed collections on bankruptcies, Stafford		-3	-3
4123 Offsets against Federal tax refunds, Stafford		-67	-55
4123 Reimbursements from guaranty agencies, Stafford	-100	-47	-38
4123 Other collections, Stafford	-10	-14	-11
4123 Federal collections on defaulted loans, PLUS/SLS	-21	-19	-16
4123 Federal collections on bankruptcies, PLUS/SLS		-1	-1
4123 Offsets against Federal tax refunds, PLUS/SLS		-5	-4
4123 Reimbursements from guaranty agencies, PLUS/SLS	-16	-10	-8
4130 Offsets against gross budget authority and outlays (total) ...	-276	-228	-187
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)		-182	-147
4170 Outlays, net (mandatory)		-182	-147
4180 Budget authority, net (total)		-182	-147
4190 Outlays, net (total)		-182	-147

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-0230-0-1-502	2019 actual	2020 est.	2021 est.
STAFFORD LOANS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	397	377	341

2251 Repayments and prepayments	-14	-13	-13
Adjustments:			
2261 Terminations for default that result in loans receivable	-11	-5	-4
2263 Terminations for default that result in claim payments	-16	-18	-16
2264 Other adjustments, net	21		
2290 Outstanding, end of year	377	341	308
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	358	324	292
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	3,378	3,219	3,104
2331 Disbursements for guaranteed loan claims	11	5	4
2351 Repayments of loans receivable	-127	-93	-76
2361 Write-offs of loans receivable	-16	-15	-14
2364 Other adjustments, net	-27	-12	-9
2390 Outstanding, end of year	3,219	3,104	3,009
PLUS/SLS LOANS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	45	41	31
2251 Repayments and prepayments	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable	-2	-1	-1
2263 Terminations for default that result in claim payments	-2	-7	-7
2264 Other adjustments, net	2		
2290 Outstanding, end of year	41	31	21
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	39	29	20
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	536	510	491
2331 Disbursements for guaranteed loan claims	2	1	1
2351 Repayments of loans receivable	-21	-16	-13
2361 Write-offs of loans receivable	-2	-2	-2
2364 Other adjustments, net	-5	-2	-2
2390 Outstanding, end of year	510	491	475

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-0230-0-1-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	182	248
1701 Defaulted guaranteed loans, gross	3,914	3,729
1702 Interest receivable	5,839	5,858
1703 Allowance for estimated uncollectible loans and interest (-)	-8,078	-8,775
1799 Value of assets related to loan guarantees	1,675	812
1999 Total assets	1,857	1,060
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	1,856	1,059
Non-Federal liabilities:		
2201 Accounts payable		
2204 Liabilities for loan guarantees	1	1
2999 Total liabilities	1,857	1,060
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,857	1,060

Object Classification (in millions of dollars)

Identification code 091-0230-0-1-502	2019 actual	2020 est.	2021 est.
Direct obligations:			
33.0 Investments and loans	13	6	5

41.0	Grants, subsidies, and contributions	9	15	12
42.0	Insurance claims and indemnities	18	25	23
99.0	Direct obligations	40	46	40
99.9	Total new obligations, unexpired accounts	40	46	40

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0247-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy	2
0708	Interest on reestimates of loan guarantee subsidy	5
0900	Total new obligations, unexpired accounts (object class 41.0)	7
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	7
1930	Total budgetary resources available	7
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	7
3020	Outlays (gross)	-7
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	7
Outlays, gross:			
4100	Outlays from new mandatory authority	7
4180	Budget authority, net (total)	7
4190	Outlays, net (total)	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0247-0-1-502	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001	HEAL Loan Guarantee	-34	7

Consistent with the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Health Education Assistance Loans (HEAL) program was transferred to the Department of Education from the Department of Health and Human Services in 2014. The Department of Education assumed responsibility for the program and the authority to administer, service, collect, and enforce the program.

The HEAL program guarantees loans from private lenders to health professions students to pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program.

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4300-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal	3	4
0713	Payment of interest to Treasury	2
0715	Default Collection Costs	1	2
0742	Downward reestimates paid to receipt accounts	12
0743	Interest on downward reestimates	22
0900	Total new obligations, unexpired accounts	40	6

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	21	22
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	34
Spending authority from offsetting collections, mandatory:			
1800	Collected	7	12
1900	Budget authority (total)	41	12
1930	Total budgetary resources available	62	34
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	22	28

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	40	6
3020	Outlays (gross)	-40	-6

Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	41	12
Financing disbursements:			
4110	Outlays, gross (total)	40	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	-7
4122	Interest on uninvested funds	-1	-2
4123	Non-Federal sources	-6	-3
4130	Offsets against gross budget authority and outlays (total) ...	-7	-12
4160	Budget authority, net (mandatory)	34
4170	Outlays, net (mandatory)	33	-6
4180	Budget authority, net (total)	34
4190	Outlays, net (total)	33	-6

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4300-0-3-502	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2143	Uncommitted limitation carried forward
2150	Total guaranteed loan commitments
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	163	153
2251	Repayments and prepayments	-6	-6
Adjustments:			
2261	Terminations for default that result in loans receivable	-3	-3
2263	Terminations for default that result in claim payments	-1	-1
2264	Other adjustments, net
2290	Outstanding, end of year	153	143

Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	145	143

Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	144	143
2331	Disbursements for guaranteed loan claims	3	3
2351	Repayments and prepayments	-3	-3
2361	Write-offs of loans receivable	-1	-1
2364	Other adjustments, net
2390	Outstanding, end of year	143	142

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4300-0-3-502	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross	144
1502	Interest receivable	19

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—Continued
Balance Sheet—Continued

Identification code 091-4300-0-3-502	2018 actual	2019 actual
1505 Allowance for subsidy cost (-)	12	47
1599 Net present value of assets related to defaulted guaranteed loans	175	210
1999 Total assets	196	233
LIABILITIES:		
Non-Federal liabilities:		
2203 Debt		34
2204 Liabilities for loan guarantees	196	199
2999 Total liabilities	196	233
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	196	233

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4299-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0715 Default Collections Costs	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	
1022 Capital transfer of unobligated balances to general fund	-5	-6	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	7	6	6
1820 Capital transfer of spending authority from offsetting collections to general fund		-5	-5
1850 Spending auth from offsetting collections, mand (total)	7	1	1
1900 Budget authority (total)	7	1	1
1930 Total budgetary resources available	7	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-7	-6	-6
4180 Budget authority, net (total)	-5	-5	-5
4190 Outlays, net (total)	-6	-5	-5

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4299-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	17	13	11
2251 Repayments and prepayments	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable			
2264 Other adjustments, net	-2		
2290 Outstanding, end of year	13	11	9
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	13	11	9

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	253	254	250
2331 Disbursements for guaranteed loan claims			
2351 Repayments of loans receivable	-5	-4	-4
2361 Write-offs of loans receivable			
2364 Other adjustments, net	6		
2390 Outstanding, end of year	254	250	246

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed Health Education Assistance Loans loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-4299-0-3-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	5	6
1701 Defaulted guaranteed loans, gross	253	254
1702 Interest receivable	12	13
1703 Allowance for estimated uncollectible loans and interest (-)	-80	-82
1799 Value of assets related to loan guarantees	185	185
1999 Total assets	190	191
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	173	168
Non-Federal liabilities:		
2204 Liabilities for loan guarantees	25	31
2207 Other		
2999 Total liabilities	198	199
NET POSITION:		
3300 Cumulative results of operations	-8	-8
4999 Total liabilities and net position	190	191

INSTITUTE OF EDUCATION SCIENCES

Federal Funds

INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, [section 208 of the Educational Technical Assistance Act of 2002,] and section 664 of the Individuals with Disabilities Education Act, [\$623,462,000] \$565,440,000, which shall remain available through September 30, [2021: *Provided*, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: *Provided further*, That up to \$6,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels] 2022. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-1100-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research, development, and dissemination	179	199	196
0002 Statistics	112	111	114
0003 Regional educational laboratories	55	55	11
0004 National Assessment	159	154	181
0005 National Assessment Governing Board	9	8	8
0006 Research in special education	56	59	57
0007 Statewide longitudinal data systems	11	33	27
0008 Special education studies and evaluations	10	14	11
0100 Total direct program	591	633	605
0799 Total direct obligations	591	633	605
0801 Reimbursable program activity	1		

0900	Total new obligations, unexpired accounts	592	633	605
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	52	78	69
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	55	78	69
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	615	623	565
Spending authority from offsetting collections, discretionary:				
1700	Collected		1	
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	1	1	
1900	Budget authority (total)	616	624	565
1930	Total budgetary resources available	671	702	634
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	78	69	29

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	639	573	801
3010	New obligations, unexpired accounts	592	633	605
3020	Outlays (gross)	-651	-405	-503
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3041	Recoveries of prior year unpaid obligations, expired	-4		
3050	Unpaid obligations, end of year	573	801	903
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	638	571	799
3200	Obligated balance, end of year	571	799	901

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	616	624	565
Outlays, gross:				
4010	Outlays from new discretionary authority	205	103	89
4011	Outlays from discretionary balances	446	302	414
4020	Outlays, gross (total)	651	405	503
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-1	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4070	Budget authority, net (discretionary)	615	623	565
4080	Outlays, net (discretionary)	651	404	503
4180	Budget authority, net (total)	615	623	565
4190	Outlays, net (total)	651	404	503

Research, Statistics, and Assessment:

Research, development, and dissemination.—Funds support a diverse portfolio of research, development, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices.

Statistics.—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities.

Assessment.—Funds support the ongoing National Assessment of Educational Progress (NAEP) and the National Assessment Governing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed.

Research in special education.—Funds support research to build the evidence base on improving special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

Special education studies and evaluations.—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

Object Classification (in millions of dollars)

Identification code 091-1100-0-1-503	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	1	1	1
11.3	Other than full-time permanent		1	1
11.9	Total personnel compensation	1	2	2
12.1	Civilian personnel benefits	2	1	1
25.1	Advisory and assistance services	23	23	23
25.2	Other services from non-Federal sources	288	286	304
25.3	Other goods and services from Federal sources	2	2	2
25.5	Research and development contracts	69	70	16
31.0	Equipment		1	1
41.0	Grants, subsidies, and contributions	206	248	256
99.0	Direct obligations	591	633	605
99.5	Adjustment for rounding	1		
99.9	Total new obligations, unexpired accounts	592	633	605

Employment Summary

Identification code 091-1100-0-1-503	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	13	15	15

DEPARTMENTAL MANAGEMENT

Federal Funds

PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, **[\$430,000,000]** \$448,723,000, of which up to \$15,000,000, to remain available until expended, shall be available for relocation expenses, and for the renovation and repair of leased buildings: *Provided*, That, notwithstanding any other provision of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018. (*Department of Education Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			1
Receipts:				
Current law:				
1130	Contributions		1	1
2000	Total: Balances and receipts		1	2
5099	Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Program administration	433	430	444
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	4	5
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	430	430	449

PROGRAM ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.
1120 Appropriations transferred to other acct [091-0249]			-5
1121 Appropriations transferred from other acct [091-0013]	1		
1160 Appropriation, discretionary (total)	431	430	444
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	1	
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	4	1	
1900 Budget authority (total)	435	431	444
1930 Total budgetary resources available	438	435	449
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	4	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	139	144	136
3010 New obligations, unexpired accounts	433	430	444
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-424	-438	-442
3041 Recoveries of prior year unpaid obligations, expired	-12		
3050 Unpaid obligations, end of year	144	136	138
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	139	143	135
3200 Obligated balance, end of year	143	135	137
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	435	431	444
Outlays, gross:			
4010 Outlays from new discretionary authority	323	333	345
4011 Outlays from discretionary balances	101	105	97
4020 Outlays, gross (total)	424	438	442
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-1	
4040 Offsets against gross budget authority and outlays (total)	-3	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4070 Budget authority, net (discretionary)	431	430	444
4080 Outlays, net (discretionary)	421	437	442
4180 Budget authority, net (total)	431	430	444
4190 Outlays, net (total)	421	437	442

The Program Administration account includes the direct Federal costs of providing grants and administering early, elementary, and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services and security; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs. Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

Reimbursable program.—Reimbursements to this account are for providing administrative services to other agencies.

Object Classification (in millions of dollars)

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	171	190	200
11.3 Other than full-time permanent	15	5	4
11.5 Other personnel compensation	3	3	5
11.9 Total personnel compensation	189	198	209
12.1 Civilian personnel benefits	60	62	65
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	33	33	31
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1	3	3
25.2 Other services from non-Federal sources	23	22	26
25.3 Other goods and services from Federal sources	25	24	19
25.7 Operation and maintenance of equipment	88	76	72
26.0 Supplies and materials		1	1
31.0 Equipment	4	1	1
32.0 Land and structures	5	5	12
99.0 Direct obligations	432	430	444
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	433	430	444

Employment Summary

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,500	1,542	1,581

INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 091-0249-0-1-503	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [091-0800]			5
1930 Total budgetary resources available			5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			

The Information Technology System Modernization and Working Capital Fund (IT WCF), authorized by the Modernizing Government Technology (MGT) Act in 2018, may only be used: (A) to improve, retire, or replace existing information technology systems to enhance cybersecurity of existing systems and to improve efficiency and effectiveness; (B) to transition legacy information technology systems to cloud computing and other innovative platforms and technologies, including those serving more than one covered agency with common requirements; (C) to assist and support covered agency efforts to provide adequate, risk-based, and cost-effective information technology capabilities that address evolving threats to information security; and (D) to reimburse funds transferred to the agency from the Technology Modernization Fund. Establishing this account under the MGT authority would enable transfers of expiring administrative funds to this account with a 3 year period of availability for use on IT modernization activities.

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, \$130,000,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0700-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Civil rights	125	130	130
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	125	130	130
1930 Total budgetary resources available	125	130	130
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	31	30
3010 New obligations, unexpired accounts	125	130	130
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-120	-131	-132
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	31	30	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	31	30
3200 Obligated balance, end of year	31	30	28
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	125	130	130
Outlays, gross:			
4010 Outlays from new discretionary authority	100	109	110
4011 Outlays from discretionary balances	20	22	22
4020 Outlays, gross (total)	120	131	132
4180 Budget authority, net (total)	125	130	130
4190 Outlays, net (total)	120	131	132

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

Object Classification (in millions of dollars)

Identification code 091-0700-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	59	70	72
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	62	73	75
12.1 Civilian personnel benefits	20	24	24
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	6	10	9
25.2 Other services from non-Federal sources	7	2	2
25.3 Other goods and services from Federal sources	5	2	2
25.7 Operation and maintenance of equipment	23	18	16
31.0 Equipment			1
32.0 Land and structures	1		
99.9 Total new obligations, unexpired accounts	125	130	130

Employment Summary

Identification code 091-0700-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	508	589	592

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, as authorized by section 212 of the Department of Education Organization Act, \$68,019,000, of which \$2,000,000 shall remain available until expended. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-1400-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Inspector General	62	65	70
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	61	63	68
1121 Appropriations transferred from other acct [091-0013]	2		
1160 Appropriation, discretionary (total)	63	63	68
1930 Total budgetary resources available	67	68	71
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	3	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	15	18
3010 New obligations, unexpired accounts	62	65	70
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-61	-62	-67
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	15	18	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	15	18
3200 Obligated balance, end of year	15	18	21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	63	63	68
Outlays, gross:			
4010 Outlays from new discretionary authority	52	50	55
4011 Outlays from discretionary balances	9	12	12
4020 Outlays, gross (total)	61	62	67
4180 Budget authority, net (total)	63	63	68
4190 Outlays, net (total)	61	62	67

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.

Object Classification (in millions of dollars)

Identification code 091-1400-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	31	34
11.5 Other personnel compensation	2		1
11.9 Total personnel compensation	28	31	35
12.1 Civilian personnel benefits	11	12	13
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA	6	7	7
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2	3	3
25.3 Other goods and services from Federal sources	2	2	2

OFFICE OF INSPECTOR GENERAL—Continued
Object Classification—Continued

Identification code 091-1400-0-1-751		2019 actual	2020 est.	2021 est.
25.7	Operation and maintenance of equipment	9	7	6
31.0	Equipment	1	1	1
99.0	Direct obligations	61	64	69
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	62	65	70

Employment Summary

Identification code 091-1400-0-1-751		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	214	237	258

DISASTER EDUCATION RECOVERY

Federal Funds

DISASTER EDUCATION RECOVERY

Program and Financing (in millions of dollars)

Identification code 091-0013-0-1-500		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Hurricane Education Recovery	195	186	293
0900	Total new obligations, unexpired accounts (object class 41.0)	195	186	293
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,514	1,479	1,293
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	165		
1120	Appropriations transferred to other acct [091-0800]	-1		
1120	Appropriations transferred to other acct [091-1400]	-2		
1120	Appropriations transferred to other acct [091-0203]	-2		
1160	Appropriation, discretionary (total)	160		
1930	Total budgetary resources available	1,674	1,479	1,293
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,479	1,293	1,000
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	947	774	442
3010	New obligations, unexpired accounts	195	186	293
3020	Outlays (gross)	-368	-518	-563
3050	Unpaid obligations, end of year	774	442	172
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	947	774	442
3200	Obligated balance, end of year	774	442	172
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	160		
Outlays, gross:				
4010	Outlays from new discretionary authority	1		
4011	Outlays from discretionary balances	367	518	563
4020	Outlays, gross (total)	368	518	563
4180	Budget authority, net (total)	160		
4190	Outlays, net (total)	368	518	563

Funds support the following six programs authorized under Public Law 115-123: Awards to Eligible Entities for Immediate Aid to Restart School Operations; Temporary Emergency Impact Aid for Displaced Students; Assistance to Local Educational Agencies Serving Homeless Children and Youth enrolled as a result of displacement by a covered disaster or emergency; Project School Emergency Response to Violence activities authorized under section 4631(b) of the Elementary and Secondary Education Act, as amended; Emergency Assistance to Institutions of Higher Education (IHEs) and Students Attending IHEs from an area directly affected by a

covered disaster or emergency; and payments to IHEs to help defray the unexpected expenses associated with enrolling students from IHEs at which operations have been disrupted by a covered disaster or emergency. Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.	
Offsetting receipts from the public:				
091-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified	2	2	2
091-271810	Federal Family Education Loan Program, Negative Subsidies: Legislative proposal, subject to PAYGO			467
091-271830	Federal Family Education Loan Program, Downward Reestimates of Subsidies	2,099	6,865	
091-274130	College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies	5	48	
091-278110	Federal Direct Student Loan Program, Negative Subsidies	7,597	4,107	5,830
091-278110	Federal Direct Student Loan Program, Negative Subsidies: Legislative proposal, subject to PAYGO			4,139
091-278130	Federal Direct Student Loan Program, Downward Reestimates of Subsidies	2,309	1,437	
091-279430	TEACH Grant Program, Downward Reestimates of Subsidies	1	36	
091-279830	Health Education Assistance Loans, Downward Reestimates of Subsidies	34		
091-291500	Repayment of Loans, Capital Contributions, Higher Education Activities	90	1,496	971
091-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	50	47	47
	General Fund Offsetting receipts from the public	12,187	14,038	11,456
Intragovernmental payments:				
091-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	72		
	General Fund Intragovernmental payments	72		

GENERAL PROVISIONS

SEC. 301. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

(TRANSFER OF FUNDS)

SEC. 302. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 303. Funds appropriated in this Act and consolidated for evaluation purposes under section 8601(c) of the ESEA shall be available from July 1, 2020, through September 30, 2021.]

SEC. [304] 303. (a) An institution of higher education that maintains an endowment fund supported with funds appropriated for title III or V of the HEA for fiscal year [2020] 2021 may use the income from that fund to award scholarships to students, subject to the limitation in section 331(c)(3)(B)(i) of the HEA. The use of such income for such purposes, prior to the enactment of this Act, shall be considered to have been an allowable use of that income, subject to that limitation.

(b) Subsection (a) shall be in effect until titles III and V of the HEA are reauthorized.

SEC. [305] 304. Section 114(f) of the HEA (20 U.S.C. 1011c(f)) is amended by striking ["2019"] "2020" and inserting ["2020"] "2021".

SEC. 306. Section 458(a) of the HEA (20 U.S.C. 1087h(a)) is amended in paragraph (4) by striking "2019" and inserting "2020".]

SEC. 307. Funds appropriated in this Act under the heading "Student Aid Administration" may be available for payments for student loan servicing to an institution of higher education that services outstanding Federal Perkins Loans under part E of title IV of the Higher Education Act of 1965 (20 U.S.C. 1087aa et seq.).]

[(RESCISSION)]

[SEC. 308. Of the unobligated balances available under the heading "Student Financial Assistance" for carrying out subpart 1 of part A of title IV of the HEA, \$500,000,000 are hereby rescinded. **]**

[SEC. 309. Of the amounts appropriated under Section 401(b)(7)(A)(iv)(X) of the Higher Education Act of 1965 (20 U.S.C. 1070a(b)(7)(A)(iv)(X)), \$50,000,000 are hereby rescinded. **]**

[SEC. 310. The Elementary and Secondary Education Act of 1965 (20 U.S.C. 6301 et seq) is amended-(1) in the part heading for part B of title IV, by inserting "NITA M. LOWEY" before "21ST"; and (2) in the table of contents of that Act, by striking the part heading for part B of title IV and inserting the following: "PART B-NITA M. LOWEY 21ST CENTURY COMMUNITY LEARNING CENTERS". **]**

[SEC. 311. (a) **IN GENERAL.**—For the purpose of carrying out 34 CFR 668.206(a)(1), the Secretary of Education may waive the requirements under 34 CFR 668.213(b)(1) for an institution of higher education that offers an associate degree, is a public institution, and is located in an economically distressed county, defined as a county with a poverty rate of at least 25 percent based on the U.S. Census Bureau's Small Area Income and Poverty Estimate program data for 2017 that was impacted by Hurricane Matthew.

(b) **APPLICABILITY.**—Subsection (a) shall apply to an institution of higher education that otherwise would be ineligible to participate in a program under part D of title IV of the Higher Education Act of 1965 on or after the date of enactment of this Act due to the application of 34 CFR 668.206(a)(1).

(c) **COVERAGE.**—This section shall be in effect for the period covered by this Act and for the succeeding fiscal year. **]**

[SEC. 312. Of the amounts made available under this title under the heading "Student Aid Administration", \$2,300,000 shall be used by the Secretary of Education to conduct outreach to borrowers of loans made under part D of title IV of the Higher Education Act of 1965 who may intend to qualify for loan cancellation under section 455(m) of such Act (20 U.S.C. 1087e(m)), to ensure that borrowers are meeting the terms and conditions of such loan cancellation: *Provided*, That the Secretary shall specifically conduct outreach to assist borrowers who would qualify for loan cancellation under section 455(m) of such Act except that the borrower has made some, or all, of the 120 required payments under a repayment plan that is not described under section 455(m)(A) of such Act, to encourage borrowers to enroll in a qualifying repayment plan: *Provided further*, That the Secretary shall also communicate to all Direct Loan borrowers the full requirements of section 455(m) of such Act and improve the filing of employment certification by providing improved outreach and information such as outbound calls, electronic communications, ensuring prominent access to program requirements and benefits on each servicer's website, and creating an option for all borrowers to complete the entire payment certification process electronically and on a centralized website. **]**

[SEC. 313. None of the funds made available by this Act may be used in contravention of section 203 of the Department of Education Organization Act (20 U.S.C. 3413). **]**

[SEC. 314. For an additional amount for "Department of Education-Federal Direct Student Loan Program Account", \$50,000,000, to remain available until expended, shall be for the cost, as defined under section 502 of the Congressional Budget Act of 1974, of the Secretary of Education providing loan cancellation in the same manner as under section 455(m) of the Higher Education Act of 1965 (20 U.S.C. 1087e(m)), for borrowers of loans made under part D of title IV of such Act who would qualify for loan cancellation under section 455(m) except some, or all, of the 120 required payments under section 455(m)(1)(A) do not qualify for purposes of the program because they were monthly payments made in accordance with graduated or extended repayment plans as described under subparagraph (B) or (C) of section 455(d)(1) or the corresponding repayment plan for a consolidation loan made under section 455(g) and that were less than the amount calculated under section 455(d)(1)(A), based on a 10-year repayment period: *Provided*, That the monthly payment made 12 months before the borrower applied for loan cancellation as described in the matter preceding this proviso and the most recent monthly payment

made by the borrower at the time of such application were each not less than the monthly amount that would be calculated under, and for which the borrower would otherwise qualify for, clause (i) or (iv) of section 455(m)(1)(A) regarding income-based or income-contingent repayment plans, with exception for a borrower who would have otherwise been eligible under this section but demonstrates an unusual fluctuation of income over the past 5 years: *Provided further*, That the total loan volume, including outstanding principal, fees, capitalized interest, or accrued interest, at application that is eligible for such loan cancellation by such borrowers shall not exceed \$75,000,000: *Provided further*, That the Secretary shall develop and make available a simple method for borrowers to apply for loan cancellation under this section within 60 days of enactment of this Act: *Provided further*, That the Secretary shall provide loan cancellation under this section to eligible borrowers on a first-come, first-serve basis, based on the date of application and subject to both the limitation on total loan volume at application for such loan cancellation specified in the second proviso and the availability of appropriations under this section: *Provided further*, That no borrower may, for the same service, receive a reduction of loan obligations under both this section and section 428J, 428K, 428L, or 460 of such Act. **]**

SEC. 306. Notwithstanding section 302, the Secretary of Education may transfer up to 10 percent of any amounts that are made available to the "Program Administration", "Office for Civil Rights", or "Office of Inspector General" accounts in this Act to the "Information Technology System Modernization and Working Capital Fund" (IT WCF), as authorized by section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018: Provided, That any amounts transferred to the IT WCF shall remain available for 3 fiscal years: Provided further, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 15 days in advance of any such transfer.

SEC. 307. Section 402H(b) of the Higher Education Act of 1965 (20 U.S.C. 1070a-18(b)) is amended —

(a) in paragraph (2) —

(1) by striking "shall" and inserting "may" in the material before clause (i) in subparagraph (A); and

(2) by inserting "the rigorous evaluation of the programs authorized by this chapter, which may also include" after "primary purpose" in subparagraph (B); and

(b) by striking paragraph (3) in its entirety and redesignating paragraph (4) as paragraph (3).

SEC. 308. Notwithstanding any other provision of law, the Secretary may reserve not more than 0.5 percent from any amount made available in this Act for an HEA program, except for any amounts made available for subpart 1 of part A of title IV of the HEA, to carry out rigorous and independent evaluations and to collect and analyze outcome data for any program authorized by the HEA: Provided, That no funds made available in this Act for the "Student Aid Administration" account shall be subject to the reservation under this section: Provided further, That any funds reserved under this section shall be available through September 30, 2022: Provided further, That if, under any other provision of law, funds are authorized to be reserved or used for evaluation activities with respect to a program or project, the Secretary may also reserve funds for such program or project for the purposes described in this section so long as the total reservation of funds for such program or project does not exceed any statutory limits on such reservations: Provided further, That not later than 10 days prior to the initial obligation of funds reserved under this section, the Secretary shall submit to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Health, Education, Labor and Pensions of the Senate, and the Committee on Education and Labor of the House of Representatives a plan that identifies the source and amount of funds reserved under this section, the impact on program grantees if funds are withheld for the purposes of this section, and the activities to be carried out with such funds. (Department of Education Appropriations Act, 2020.)

