



DEPARTMENT OF VETERANS AFFAIRS
INSPECTOR GENERAL
WASHINGTON DC 20420

July 14, 2014

The Honorable Gregory H. Friedman
Inspector General
U.S. Department of Energy
1000 Independence Avenue, S.W.
Washington, DC 20585

Subject: Report on the Quality Assessment Review of the Investigative Operations of the Office of Inspector General for the U.S. Department of Energy (DOE OIG)

Dear Mr. Friedman,

We have reviewed the system of internal safeguards and management procedures for the investigative function of DOE OIG in effect for the year ending December 2013. Our review was conducted in conformity with the Quality Standards for Investigations and the Quality Assessment Review Guidelines established by the Council of Inspectors General on Integrity and Efficiency (CIGIE), and the Attorney General's Guidelines for Offices of Inspectors General with Statutory Law Enforcement Authority, as applicable.


We reviewed compliance with DOE OIG's system of internal policies and procedures to the extent we considered appropriate. The review was conducted at headquarters in Washington, DC, and your field offices in Washington, DC, and Albuquerque, NM. Additionally, we sampled 33 case files for investigations closed between October 1, 2012, and December 31, 2013.

In performing our review, we have given consideration to the prerequisites of Section 6(e) of the Inspector General Act of 1978 (IGA), as amended, and Section 812 of the Homeland Security Act of 2002 (Pub.L. 107-296). Those documents authorize law enforcement powers for eligible personnel of each of the various offices of presidentially appointed Inspectors General. Those powers may be exercised only for activities authorized by the IGA, other statutes, or as expressly authorized by the Attorney General.

In our opinion, the system of internal safeguards and management procedures for the investigative function of DOE OIG in effect for the year ending 2013 is in compliance with the quality standards established by the CIGIE and the applicable Attorney General Guidelines. These safeguards and procedures

provide reasonable assurance of conforming with professional standards in the conduct of its investigations.

Sincerely,



RICHARD J. GRIFFIN
Acting Inspector General

Attachment 1:

Listing of Visited Offices

<u>Location</u>	<u>Number of Personnel Interviewed</u>
Washington, DC (Headquarters)	4
Washington, DC (Field Office)	4
Albuquerque, NM (Field Office)	4

Attachment 2:

Listing of Sampled Closed Investigative Case Files

Case File Number	Case Closing Date
I12HQ005	02/20/2013
I10PT004	11/07/2013
I10PT010	12/03/2012
I10CH006	02/01/2013
I12CH007	06/10/2013
I04SR002	12/27/2012
I10SR013	Not recorded
I10SR008	11/07/2013
I11SR006	02/22/2013
I11SR017	05/03/2013
I11SR026	11/07/2013
I10DN002	05/31/2013
I12DN005	05/30/2013
I12DN009	06/18/2013
I07OR006	10/23/2013
I08OR001	05/09/2013
I08OR002	10/30/2012
I09OR009	05/07/2013
I10OR011	06/12/2013
I10OR017	03/18/2013
I10OR018	10/03/2012
I12OR001	10/22/2013
I12OR004	03/11/2013
I13OR002	11/06/2013
I03LL004	04/01/2013
I11AL022	Not recorded
I11AL021	11/20/2013
I11LL010	11/29/2012
I11IF005	10/02/2013
I12IF005	05/06/2013
I11RL008	07/02/2013
I13RL001	12/09/2013
I06TC012	01/11/2013

Listing of Sampled Closed Investigative Case Files Related to the Computer Forensics

I09OR009	05/07/2013
I10PT010	12/03/2012
I10DN002	05/31/2013
I10CH006	02/01/2013
I06TC012	01/11/2013
I12TC001	10/01/2012
I12TC003	10/01/2012