### **COVID-19** Surveillance Webinar Series - June 8, 2020

# **Electronic Data Tools for COVID-19 Surveillance**

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cdc.gov/coronavirus

#### **Using Electronic Tools for Health Systems Strengthening**

- Understand the surveillance goals and objectives
- Encourage use of electronic tools currently available in country
- Consider resources and skills in country
- Leverage available partnerships for implementation and resource sharing
- Pick the right tool for the job
- Focus on sustainability



#### **Benefits of Electronic Data Tools**

- Timely reporting and communication
- Reliable and concise record keeping
- Availability of data at multiple health system levels
- Completeness of data
- Standardized data collection
- Faster and improved analysis
- Hypothesis generation



#### **Choose a Tool that Meets the Surveillance Objectives**









**Note**: These are examples of tools that can be used for surveillance. There are many others not included in this presentation, and CDC does not endorse one tool over another.



Use of trade names is for identification only and does not imply endorsement by the Centers for Disease Control and Prevention or the U.S. Department of Health and Human Services.

# **District Health Information Software (DHIS2)**

COVID-19 Package



Carl Kinkade Epidemiology, Informatics, Surveillance, and Lab Branch Division of Global Health Protection

- World's largest health management information system (HMIS) platform, used by 72 low and middle-income countries covering 2.3 billion people
- Built and maintained by the University of Oslo's Department of Informatics
- Used across CDC Division work for President's Malaria Initiative (PMI), President's Emergency Plan for AIDS Relief (PEPFAR), Global Health Security Agenda (GHSA) for Integrated Disease Surveillance and Response (IDSR) and electronic IDSR, Global Immunization Division/GAVI/WHO for Vaccine Preventable Diseases (VPD), and routine surveillance via the HMIS across the globe





- Free and open source
- Real-time analysis and visualization
- Integrated SMS and Android data collection
- Established partner network in both the Health Information System Partners (HISP), implementing partners, and expert community of practice to provide support to countries
- Provides an online and in-person DHIS2 Academy to build capacity





- Aggregate reporting from the facility
- Case-based reporting using tracker
- Multilingual
- Android collection tool
- Integrated SMS











- GIS
- Analysis and data management
- OpenHIE compliant and interoperable with many other health Information systems (HIS)
- On premise or in the cloud
- Scorecards









#### **DHIS2 COVID-19 Package**

- Operational in 27 countries
- In development in 23 countries



The packages support surveillance workflows and automated analysis for key components of routine and active surveillance:

- COVID-19 Case-based surveillance [tracker]: enrolls & tracks suspected cases; captures symptoms, demographics, risk factors & exposures; creates lab requests; links confirmed cases with contacts; and monitors patient outcomes. This package can be installed as a standalone COVID-19 package or can be integrated into a country's existing integrated disease surveillance & response tracker.
- Contact registration & follow-up program [tracker]: strengthens active case detection through contact tracing activities, such as identification and follow-up of contacts of a suspected or confirmed COVID-19 case.
- Ports of Entry screening & follow-up program [tracker]: enrolls travelers who have visited high-risk locations at Ports of Entry for 14-day monitoring and follow-up.
- COVID-19 Surveillance Event Program [event]: a simplified line-list that captures a subset of minimum critical data points to facilitate rapid analysis & response, particularly useful when caseloads or burden of reporting exceeds capacity for case-based surveillance tracker
- COVID-19 Aggregate Surveillance [aggregate]: an aggregate reporting dataset that captures minimum necessary data points for daily or weekly reporting

All digital data packages are optimized for Android data collection with the DHIS2 Capture App, which is free to download on the Google Play store.

#### **COVID-19 Package: Case-based and Aggregate Surveillance**

- Supports local data flows and international OpenHIE standards for integration in other health information systems
- Multilingual
- Aggregate and case-based case surveillance tools
- Real-time field data collection into surveillance system
- Data entry guides for end users

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	Shortness of breach	Yes		× •					



#### **COVID-19 Package: Mobile Data Collection**

- Android case management and contact tracing tool
- Android tools link to cases, contacts, commodities, ports of entry, aggregate reports, and weekly reports
- Real-time synchronization with Android data collection
- DHIS2 Android app in Google Play Store





### **COVID-19** Package

- Contact tracing visualization
- Integrated SMS
- GIS
- Analysis and data management

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#### **COVID-19** Package

- Ports of entry case-based instance, dashboard, and collection tools
- Specimen tracking
- Case management
- Interoperable with HMIS and other HIS platforms



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# SORMAS<sup>®</sup> - Surveillance Outbreak Response Management and Analysis System



Oluwasegun Adegoke (Joel) Strategic Information and Workforce Branch Global Immunization Division

#### What is SORMAS?

- Digital implementation of Integrated Disease Surveillance and Response (IDSR)
- Outbreak response management and case based surveillance
- Event-base surveillance
- Real-time data processing
- Interactive task management (including contact tracing, laboratory)
- Fully digital
- Fully mobile in offline conditions
- Free and open source
- Mobile device management integrated into SORMAS



#### Integrated Disease Surveillance and Response System Conventional Information Flow



#### Integrated Disease Surveillance and Response System Information Flow with SORMAS



#### **Personas / Users of SORMAS**



#### **User-Centered Design**





#### **Prototype Development and Acceptability**







#### **Culture-Sensitive Design**

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#### **Process Models for Disease-Specific Control Measures**



#### **Coronavirus Module (mobile offline/ web online)**

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## **SORMAS Analytics**

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#### **SORMAS National Dashboard on Lassa Fever**

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SORMAS dashboard on Lassa fever 2018 at incident command center of the Nigerian Center for Disease Control





# Countries expected to Use SORMAS by End of 2020 (or doing so already)



## Acknowledgements to all Partners, Sponsors, Advisors and

#### **Contractors**

#### Partners

African Field Epidemiology Network (AFENET) Centers for Disease Control and Prevention (CDC) Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) **Digital Square** Ghana Community Network Services Limited (GCNET) Ghana Health Service (GHS) Helmholtz Center for Infection Research (HZI) [lead] Nigerian Centre for Disease Control (NCDC) University College London (UCL) University of Maryland Baltimore, Nigeria (UMB)

#### **Sponsors**

Basic Healthcare Provision Fund Nigeria (BHCF) Bill and Melinda Gates Foundation (BMG) Centers for Disease Control and Prevention (CDC) Centre for Infection Research (DZIF) European Union (EU) German Federal Ministry for Economic Cooperation and Development (BMZ) German Federal Ministry for Education and Research (BMBF) Helmholtz Center for Infection Research (HZI) Helmholtz Association (HGF) WHO-Country Office Nigeria World Bank



#### **Advisors**

Africa Centers for Disease Control (Africa CDC) Centers for Disease Control and Prevention DHIS2 Design Lab, University of Oslo Hasso Plattner Institute (HPI) Kreditanstalt für Wiederaufbau (KfW) **Robert Koch Institute (RKI)** University Braunschweig (TU) West African Health Organization (WAHO) World Health Organization (WHO-HQ)

#### Contractors

Scigraphix Crowdcode Elektro- & Datentechnik

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# Epi Info<sup>™</sup> - Statistical Software for Public Health



José Aponte Informatics Services Branch Division of Health Informatics and Surveillance CSELS

#### WHAT IS EPI INFO™?



- A suite of free data management, analysis, and visualization tools designed specifically for the public health community
- Features include:
  - Rapid electronic form creation and data entry
  - Statistical analysis
  - Mapping and visualization
- Used extensively throughout CDC, domestically and internationally



#### EPI INFO<sup>™</sup> (circa 1990s)



#### **EPI INFO™ TODAY**

- Public Health Impact 2019
  - Directly supported 25+ public health conditions (from AIDS to Zika)
  - Responded to 18 training requests
  - Supported 42 domestic projects
  - Supported 14 CDC Centers and 18 State, Tribal, Local, or Territorial Jurisdictions (STLTs)





#### **EPI INFO™ FOR WINDOWS**

- Lightweight application that enables rapid data collection and analysis from your PC
- Ideal for small to medium size surveillance and response activities and special epidemiologic studies
- Download from <u>https://www.cdc.gov/epiinfo/pc.html</u>
- No admin rights required to download or install



#### **EPI INFO™ FOR WINDOWS**





#### **EPI INFO™ FOR WEB & CLOUD**

- Web-based and cloud-optimized components for data collection, analysis and visualization
  - Web Survey
  - Cloud Data Capture
- Ideal for large-scale surveillance and response activities in locations with reliable network connectivity
- CDC-hosted environment is already live and being used extensively by the foodborne program



#### **EPI INFO™ WEB SURVEY**

- Enable Epi Info<sup>™</sup> forms on web
- Faster deployment of Epi Info<sup>™</sup> forms and faster data collection
- Collect data from participants geographically dispersed
- Allow access to forms on web using variety of electronic modes
- Participants to provide data without administrators involvement
- Centralized data management of all the surveys for an organization



#### **EPI INFO™ WEB SURVEY – How does it work?**





#### **EPI INFO™ WEB SURVEY**





#### **EPI INFO™ WEB SURVEY**

CDC

Survey	× +			-		×
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	Treatment Practices: Curative Treatment Protocols					
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	b. Flow sheets (chemotherapy road maps)	Almost Always . 5				
	c. List of possible toxicities	Frequently . 3				
	d. Suggestions for dose modifications	Sometimes 🛛 💌 . 1				
	e. Suggestions for management of toxicity	Frequently I				
	f. Suggestions for follow up after completion of treatment	Frequently I				
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	b. The protocol name or number to be followed is clearly documented in the patient's medical chart	Almost Always				
	c. The protocol schema (timeline) is clearly documented in the patient's medical chart	Frequently . 3				
	d. The treatment plan is kept up to date if a change in therapy occurs	Infrequently I				
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- Companion to the Epi Info<sup>™</sup> 7 suite of tools
- Allows the survey designer to collect information from authorized users over the internet
- Meets a different business need than Epi Info<sup>™</sup> Web Survey tool



- Epi Info version upgrades IT dependency
- Only authorized person should be able to edit form design
- Only authorized users should be entering data
- Only members of organization should be able to access data
- Data should be secure behind firewall
- Data should be available instantaneously
- Data consolidation should not involve import/export files



- Authentication and authorization
- Organization and user management
- Multi-user data entry environment
- Only authorized users enter data in the system
- Concurrency management at time of data entry
- Option to sort and search data
- Data maintained in secure database server behind firewall
- Data collection form can be designed using Epi Info 7
- Enterprise implementation using SQL Server















#### **EPI INFO™ FOR MOBILE**

- Appropriate for distributed data collection in locations lacking IT infrastructure
- Load forms on to multiple devices as email attachments
- Collect and store data within the mobile device and copy them back to Epi Info<sup>™</sup> for Windows using sync files
- Automatically sync data to cloud services such as Box or to CDC's secure SFTP site
- Supports relational forms and most Epi Info Windows form logic



#### **EPI INFO™ FOR MOBILE**



#### **Epi Info™ Team Functions**

- The Epi Info Team supports three editions of Epi Info<sup>™</sup> software:
  - Epi Info<sup>™</sup> for Windows
  - Epi Info<sup>™</sup> for Mobile
  - Epi Info<sup>™</sup> for Web & Cloud
- And provides other services such as:
  - Training and support in multiple languages
  - Build custom solutions for CDC programs



## **COLLABORATIONS OVERVIEW**

- COVID-19 Domestically
  - West Virginia
    - Reporting of COVID-19 testing for Long Term Care and Assisted Living facilities
  - Nebraska
    - Traveler's history surveys
  - Puerto Rico & Guam
    - Contact Tracing
    - COPA



## **COLLABORATIONS OVERVIEW**

- E-cigarette outbreak (DDNID/NCCDPHP)
- COPA (NCEZID) Puerto Rico
- Child anthropometry (ONDIEH/NCCDPHP) Guatemala
- Economic evaluation project (NCIRD/DBD) Zimbabwe
- Seroprevalence and Risk Factors for Leptospirosis (NCEZID) USVI
- Micronutrient Household and Biomarker Study (MRMHHS) Tanzania
- Community Assessment for Public Health Emergency Response (CASPER)
- Opioids Grady Health / New England High Intensity Drug Trafficking Area
- St. Jude's Research Children's Hospital Pediatric Oncology Facility Evaluation





# James A. Fuller, PhD, MSPH

Epidemiologist (Contractor) GDD Operations Center, CGH <u>kux9@cdc.gov</u> <u>godata@who.int</u>



GOARN Global Outbreak Alert and Response Network

# Go.Data – project goal



Design, develop and deploy comprehensive Go.Data software to be used globally by WHO, Member states and Partners to:

Support and facilitate outbreak investigation including field data collection, contact tracing and visualization of chains of transmission.



# Go.Data - In 30 seconds



- Collaborative project coordinated by WHO and conducted in cooperation with GOARN partners.
- Building on previous WHO and partners experience in design, development and rollout of the field data collection tools.
- Focus on individual-level data: case (including lab, hospitalization and other variables though case investigation form) and contact data (including contact follow-up).



# Key features 1



Selected outbreak Language [Active] TEPHINET Der ▼ 中文

- Different types of operation (online, offline / server, standalone) on multiple platforms (Windows, Linux, Mac).
- Multi-lingual support, with possibility to add additional languages.

Outbreak templates > Generic acute respiratory diseases > Case investigation

G

- Granular user roles and permissions.
- Library of APIs for integration with other systems.

Free for use.

Question Answer typ Variable Category Answers display Inactive? Required? Multi answ A 約据的集者信息 Markup 重要基本信息 Q1 → A1// 較振動集者姓名 Freetext a 1 name of data co... 重要基本信息 Vertical 02 A2. 数据收集者电话号码 Numeric a 2 data collector tel... 重要基本信息 Vertical No 03 A3. 数据收集者单位 Freetext a\_3\_data\_collector\_in... 重要基本信息 Vertical No A4. 表格完成日期 (dd/mm/yyy) Date/Time a\_4\_form\_completion\_. 重要基本信息 Vertical No B. 受访者信息(非患者 Markup 重要基本信息 B. 受访者是患者吗 Single answe 重要基本信息 No Vertical b\_is\_the\_interview\_res.. C. 患者标识信息 Markup 重要基本信息 C9. 唯一病例ID / 聚集性ID (如适用 Freetext c\_9\_unique\_case\_id\_c. 重要基本信息 Vertica Q7 C10.病例状态(确诊、疑似、留观或其他) Single answ c\_10\_case\_status 重要基本信息 Vertical No 0.8 C11 # Freetoxt c\_11\_patients\_family 重要基本信息 Vertical 0.9 C12 2 Freetext c\_12\_patient\_given\_n.. 重要基本信息 Vertical 010 C13 民住地所在国家 Freetext c 13 patients country. 重要基本信息 Vertical 011 C14 件别 Single answe c 14 patients sex 重要基本信息 Vertical 012 C15. 出生日期 (dd/mm/vvv Date/Time c 15 patients date of ... 重要基本信息 Vertical C16. 年龄 (年, 月) Q13 Freetext c\_16\_patients\_age\_ye... 重要基本信息 Vertical C17. 住址 (省/自治区,区/县,村/镇) Q14 Freetext Vertical c 17 patients addres... 重要基本信息 C18. 患者电话号码 Numeric 重要基本信息 c 18 patients telepho ... Vertica D. 患者临床病程 Markup 临床信息

# Key features 2



- One Go.Data installation can be used to collect data for many outbreaks.
- Highly configurable, with configurable reference data, location data and data variables.
- Outbreak templates for easier creation of data collection forms.
- Extensive data import and export features.
- Generates contact follow-up list.
- Data encryption on server/PC and mobile app

# Key features 3

- Has optional mobile app (Android and iOS) focused on contact tracing.
- Provides features to visualize chains of transmission using timelines, integrated data bars, network graphs.





# Chains of Transmission



# Chains of Transmission

Timeline Network - Date d'apparition	
Cr.4         2016/07-05         2016/07-07         2016/07-08         2016/07-28 <th>Afficher la légende Coleur de fond Classification: Contirmé Probable Suspect Pas un cas (rejeté) Couleur de l'étiquete Classification: Conterné- Probable- Suspect- Pas un cas (rejeté)- Couleur Niveau de certitude: I - faible 2 - moyen I - elivé</th>	Afficher la légende Coleur de fond Classification: Contirmé Probable Suspect Pas un cas (rejeté) Couleur de l'étiquete Classification: Conterné- Probable- Suspect- Pas un cas (rejeté)- Couleur Niveau de certitude: I - faible 2 - moyen I - elivé
Afficher la chronologie verticale	

# Case Timelines



# Contact Follow-Up

-7



2019-08-2	25															2019-09-09	
<sup>-2019-08-25</sup>															2019.08		
<ul> <li>Seen no</li> </ul>	signs • Seen with	signs • Not seen	<ul> <li>Not perform</li> </ul>	ned												Refresh	
Name		Area	Date of last contact	Date of the end of the follow-up	2019.09.01	2019.09.05	2019.09.03	2079.09.04	2019.09.05	2019.09.06	2019.09.0>	2019.09.08					
A	-29737-399_)	RECO 3 - KAKULE KISA.	2019-08-21	2019-09-11							۵						
A	E-29923-475 )	RECO 7 - KAKULE KALU	2019-08-24	2019-09-14													
Α	BE-29923-474)	RECO 7 - KAKULE KALU	2019-08-24	2019-09-14													
A	GU (BE-29525-387)	RECO 3 - KAVIRA KANY.	2019-08-21	2019-09-11													
A	KA (BE-29504-280)	RECO 4 - MUHINDO KA.	2019-08-20	2019-09-10													
A	BE-29635-580)	RECO 2 - KAHINDO KAT.	2019-08-22	2019-09-12	۵	۵	۵		۵	Δ.	۵						
A	BE-29894-443)	RECO 4 - KAMBALE KA	2019-08-23	2019-09-13													
А	BE-29894-433)	RECO 4 - KAMBALE KA	2019-08-23	2019-09-13													
А	VA (BE-29894-444)	RECO 4 - KAMBALE KA	2019-08-23	2019-09-13													
A	( <u>BE-30118-555</u> )	RECO 2 - KAMBALE NG.	. 2019-08-24	2019-09-14													
А	-29635-584)	RECO 2 - KAHINDO KAT.	2019-08-22	2019-09-12	4	4	۵		4	4	4						
А	UA(BE-29894-425)	RECO 4 - KAMBALE KA	2019-08-23	2019-09-13													
A	BE-29525-342)	RECO 1 - KAHINDO MU	2019-08-21	2019-09-11													
А	<u>E (BE-30118-537</u> )	RECO 1 - KAHINDO MB	2019-08-24	2019-09-14													
А	BE-28813-192_)	RECO 6 - MATUMAINI K.	2019-08-15	2019-09-05													
A	( <u>BE-30118-543</u> )	RECO 1 - KAHINDO MB	2019-08-24	2019-09-14													
А	N <u>(BE-28387-149)</u>	RECO 4 - KIVISE MUSA.	2019-08-11	2019-09-01													
A	E-29525-373)	RECO 5 - PALUKU MAN	2019-08-21	2019-09-11													
A	( <u>BE-30118-559</u> )	RECO 2 - KAMBALE NG.	2019-08-24	2019-09-14													
A	( <u>BE-29894-430</u> )	RECO 4 - KAMBALE KA	2019-08-23	2019-09-13						۵							
Anoania row	A (BE-28387-157)	RECO 3 - KATUNGU VA	2019-08-11	2019-09-01													

# Thank you

Go.Data Contacts and Resources

- ▶ <u>GoData@who.int</u>
- ► James Fuller, <u>kux9@cdc.gov</u>
- ► Larry Hinkle, <u>ndf5@cdc.gov</u>
- ► Amy Lang, <u>uyf7@cdc.gov</u>







### **Considerations for Choosing the Right Tool**

- Conduct appropriate needs assessments
- Understand current functionality and flow of systems
- Existing data tools and systems that could be upgraded or reused
- Skillsets available in country
- Understand the partner landscape
- Consider the technical infrastructure (power, internet, hardware, communications systems)
- Consider training needs
- Sustainability is paramount



#### **Developing a Good Electronic Surveillance System**

- Aligns with the national digital health / eHealth strategy
  - Understand and address user and program needs
  - Strengthen existing data tools and systems
- Interoperability with other systems is ideal, or integration at minimum
  - Epidemiology and lab
  - Supply chain, human resource, finance
- Use open standards, open source, and open innovation
- Assign appropriate user access roles



#### **Best Practices for Data Management**

- Unique IDs
- Secure and protect patient confidentiality
- Build in data quality through validation, skip patterns, choice menus
- Ease of analysis and accessible data output
- Integrity of data through traceability and audit trails
- Ensure comprehensive documentation



#### **Leverage COVID-19 Investments to Improve Data Science**

- Focus on the *why / objective* of the system trying to build
- Improve, strengthen, and reuse systems available in country
- Innovate with caution, consider national capacity and sustainability
- Improve overall data science e.g., data management, data analysis
- Build country capacity
- Align with current investments
- Help countries meet their Joint External Evaluation (JEE) goals
- Sustainability



#### Resources

- https://www.dhis2.org/
- https://sormasorg.helmholtz-hzi.de/
- https://www.cdc.gov/epiinfo/index.html
- https://www.who.int/godata
- <u>https://digitalprinciples.org/</u>
- https://ohie.org/



#### **Questions?**

#### CDC COVID-19 International Task Force: eocevent223@cdc.gov

For more information, contact CDC 1-800-CDC-INFO (232-4636) TTY: 1-888-232-6348 www.cdc.gov

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.



