
Nationally Defined Values for Enforcement Type

- 110 Verbal Informal**
Oral notification by an agency representative informing a RCRA hazardous waste site that they violated applicable laws or requirements. No further action is taken if the site achieves compliance in a timely manner.
- 120 Written Informal**
A written notification by an agency representative informing and notifying a RCRA hazardous waste site that they violated applicable laws or requirements, advising the site of what to correct and by what date the correction should take place.
- 130 Notice of Determination**
A written notification by an agency representative to a RCRA site in response to the specific site's self-disclosure of specific RCRA violations or requirements.
- 140 Letter of Intent to Initiate Enforcement Action**
A written notification by an agency representative notifying a RCRA hazardous waste site of further follow-up enforcement action by the responsible agency. In some instances, these actions may be considered Notices of Intent or Show Cause letters.
- 210 Initial 3008(a) Compliance**
Initial formal administrative enforcement action issued by the implementing agency asserting the agency's position that violations have occurred. The respondent/defendant is afforded the opportunity to appeal the agency's determination of violations to a trier of fact. These orders often impose penalties or proposed penalties.
- 220 Initial Imminent and Substantial Endangerment Order**
Initial formal administrative order issued by the implementing agency addressing conditions which may present an imminent and substantial endangerment to public health or the environment.
- 230 Initial Monitoring, Analysis, Test Order**
Initial formal administrative order issued by the implementing agency addressing situations that require monitoring, testing and/or analysis.
- 240 Initial 3008(h) I.S. CA Order**
Initial formal administrative order issued by the implementing agency addressing corrective action.
- 250 Field Citation**
An expedited initial formal administrative enforcement action addressing violations observed. These actions are often issued directly to a site in the field and assess penalties. In some instances, these actions may be considered "tickets."
- 310 Final 3008(a) Compliance Order**
Final formal administrative order issued by the implementing agency asserting the agency's position that remedial action is required. The respondent/defendant is afforded the opportunity to appeal the agency's determination to a trier of fact.
- 320 Final Imminent Hazard Order**
Final formal administrative order issued by the implementing agency addressing conditions which may present an imminent and substantial endangerment to public health or the environment.
- 330 Final Monitoring, Analysis, Test Order**
Final formal administrative order issued by the implementing agency addressing situations that require monitoring, testing and/or analysis.
- 340 Final 3008(h) I.S. CA Order**
Final formal administrative enforcement action issued by the implementing agency addressing corrective action remediation needs.

Nationally Defined Values for Enforcement Type

380	<p>Multi Site Super CA/FO Final formal enforcement code to be used when a super CA/FO is issued pursuant to Part 22.13(b). These Super CA/FOs require a CA/FO sequence number which will be used to tie together all sites involved in this case. (Note: These actions MUST have a CA/FO sequence number, since it always has multiple sites.)</p>
385	<p>Single Site Super CA/FO Final formal enforcement code to be used when a super CA/FO is issued pursuant to Part 22.13(b). (Note: These actions CAN NOT have a CA/FO sequence number. The enforcement involves only one site.)</p>
410	<p>Referral to Attorney General A formal written request to Attorney General to proceed with judicial enforcement.</p>
420	<p>Referral to Department of Justice A formal written request to the Department of Justice to proceed with judicial enforcement.</p>
425	<p>Referral to DOJ to Collect Penalties A formal written request to the Department of Justice to collect penalties.</p>
430	<p>Referral to District Attorney/City Attorney/County Attorney/State Attorney A formal written request to a District Attorney, City Attorney, County Attorney, or State Attorney to proceed with judicial enforcement (all judicial referrals levels lower than DOJ and AG levels).</p>
510	<p>Initial Civil/Judicial Action for Compliance and/or Monetary Penalty Initial formal legal actions taken (formally filed) for violation(s) that are not criminal actions which require compliance and/or assessment of monetary penalties.</p>
520	<p>Initial Civil Action for Imminent and Substantial Endangerment Initial formal legal action filed in court to address conditions which may present an imminent and substantial endangerment.</p>
530	<p>Initial Civil/Judicial Action for Corrective Action Initial formal legal action filed in court to address situations where violations require corrective action remediation response.</p>
610	<p>Final Civil/Judicial Action for Compliance and/or Monetary Penalty Final formal legal actions taken (formally filed) for violation(s) that are not criminal actions, which require compliance and/or assessment of monetary penalties.</p>
620	<p>Final Civil/Judicial Action for Imminent and Substantial Endangerment Final formal legal action filed in court to address conditions which may present an imminent and substantial endangerment to public health or the environment.</p>
630	<p>Final Civil/Judicial Action for Interim Corrective Action Final formal legal action filed in court to address situations where violations require corrective action remediation response.</p>
710	<p>Referral to Criminal A formal request to another agency or unit of government to proceed with criminal enforcement.</p>
720	<p>Criminal Indictment A written notification advising a hazardous waste site they have been charged with a criminal offense.</p>
730	<p>Criminal Conviction A court ruling which finds a hazardous waste site guilty of a criminal offense.</p>
740	<p>Criminal Acquittal A court ruling which finds a hazardous waste site not guilty and set free from the charge of an offense by verdict, sentence, or other legal process.</p>

Nationally Defined Values for Enforcement Type

- 810 State to EPA Administrative Referral**
A formal written request to EPA from a State to proceed with enforcement.
- 820 EPA to State Administrative Referral**
A formal written request to a State from EPA to proceed with enforcement.
- 830 RCRA to CERCLA Administrative Referral**
A formal written request from a State or EPA RCRA program to a State or EPA CERCLA program.
- 840 EPA Regions to EPA HQ Administrative Referral**
A formal written request from an EPA Region(s) to EPA Headquarters (HQ) that includes Federal Facilities and/or other cases to be handled at the HQ level.
- 850 Administrative Referrals to Other RCRA Programs**
A formal written request from a RCRA regulatory program that is referred to another RCRA regulatory program, including UST, Corrective Action, and Municipal Solid Waste.
- 860 Administrative Referrals to Other Programs**
A formal written request from a RCRA regulatory program that is referred to other regulatory programs such as Air, Water, OSHA, etc.
- 865 Referral to U.S. Treasury**
A formal written request to the U.S. Treasury Department to collect penalties.

* End of Report *