

**Quarterly Status Report of Rate Complaint Cases Before the STB -  
4TH QUARTER 2019**

**There were no pending rate cases during the fourth quarter of 2019.**

## Rail Rate Cases at the STB

(1996 to Present) - Last Reviewed 12/31/2019

| Docket No                     | Case Name                 | Commodity | Guidelines Used        | Date of Decision | Decision           |
|-------------------------------|---------------------------|-----------|------------------------|------------------|--------------------|
| 41191                         | West Texas v. BNSF        | Coal      | SAC                    | 5/3/1996         | Rates Unreasonable |
| 37809                         | McCarty Farms v. BN       | Grain     | SAC                    | 8/20/1997        | Rates Reasonable   |
| 41185                         | APS v. ATSF               | Coal      | SAC                    | 4/17/1998        | Rates Unreasonable |
| 41989                         | Pepco v. CSX              | Coal      | SAC                    | 6/18/1998        | Settlement         |
| 42012                         | Sierra Pacific v. UP      | Coal      | SAC                    | 7/17/1998        | Settlement         |
| 41670                         | Shell Chemical v. NS      | Chemical  | Simplified             | 3/12/1999        | Settlement         |
| 41295                         | PPL v. Conrail            | Coal      | SAC                    | 5/13/1999        | Settlement         |
| 42034                         | PSI Energy v. Soo         | Coal      | SAC                    | 5/13/1999        | Settlement         |
| 42022                         | FMC v. UP                 | Minerals  | SAC                    | 5/12/2000        | Rates Unreasonable |
| 42038                         | MN Power v. DMIR          | Coal      | Stipulated R/VC        | 1/5/2001         | Settlement         |
| 42051                         | WPL v. UP                 | Coal      | SAC                    | 5/14/2002        | Rates Unreasonable |
| 42054                         | PPL v. BNSF               | Coal      | SAC                    | 8/20/2002        | Rates Reasonable   |
| 42059                         | Northern States v. UP     | Coal      | Stipulated R/VC        | 8/7/2003         | Settlement         |
| 42077                         | APS v. BNSF               | Coal      | SAC                    | 12/31/2003       | Withdrawn          |
| 42056                         | TMPA v. BNSF              | Coal      | SAC                    | 9/27/2004        | Rates Unreasonable |
| 42069                         | Duke v. NS                | Coal      | SAC                    | 10/20/2004       | Rates Reasonable   |
| 42070                         | Duke v. CSXT              | Coal      | SAC                    | 10/20/2004       | Rates Reasonable   |
| 42072                         | Carolina Power v. NS      | Coal      | SAC                    | 10/20/2004       | Rates Reasonable   |
| 42057                         | Xcel v. BNSF              | Coal      | SAC                    | 12/14/2004       | Rates Unreasonable |
| 42058                         | AEP v. BNSF               | Coal      | SAC                    | 3/15/2005        | Rates Reasonable   |
| 42093                         | BP Amoco v. NS            | Chemical  | Simplified             | 6/28/2005        | Settlement         |
| 42071                         | Otter Tail v. BNSF        | Coal      | SAC                    | 1/27/2006        | Rates Reasonable   |
| 42091                         | APS v. BNSF               | Coal      | SAC                    | 2/10/2006        | Settlement         |
| 42097                         | Albemarle v. LNW          | Chemical  | SAC                    | 11/14/2006       | Settlement         |
| 42098                         | Williams Olefins v. GTC   | Chemical  | Simplified             | 2/15/2007        | Settlement         |
| 42095                         | KCPL v. UP                | Coal      | Stipulated R/VC        | 5/19/2008        | Rates Unreasonable |
| 42088                         | Western Fuels v. BNSF     | Coal      | SAC                    | 2/18/2009        | Rates Unreasonable |
| 42112                         | E.I. Dupont v. CSX        | Chemical  | SAC                    | 5/11/2009        | Settlement         |
| 41191 (S1)                    | AEP Texas v. BNSF         | Coal      | SAC                    | 5/15/2009        | Rates Reasonable   |
| 42111                         | Oklahoma Gas v. UP        | Coal      | Stipulated R/VC        | 7/24/2009        | Rates Unreasonable |
| 42099                         | DuPont v. CSXT            | Chemical  | Three-Benchmark        | 9/1/2009         | Settlement         |
| 42100                         | DuPont v. CSXT            | Chemical  | Three-Benchmark        | 9/1/2009         | Settlement         |
| 42101                         | DuPont v. CSXT            | Chemical  | Three-Benchmark        | 9/1/2009         | Settlement         |
| 42114                         | U.S. Magnesium v. UP      | Chemical  | Three-Benchmark        | 1/28/2010        | Rates Unreasonable |
| 42115                         | U.S. Magnesium v. UP      | Chemical  | Simplified SAC         | 4/2/2010         | Settlement         |
| 42116                         | U.S. Magnesium v. UP      | Chemical  | Simplified SAC         | 4/2/2010         | Settlement         |
| 42122                         | NRG v. CSXT               | Coal      | SAC                    | 7/8/2010         | Settlement         |
| 42110                         | Seminole Electric v. CSXT | Coal      | SAC                    | 9/27/2010        | Settlement         |
| 42113 (S1)                    | AEP v. UP                 | Coal      | SAC                    | 4/15/2011        | Settlement         |
| 42128                         | SMEPA v. NS               | Coal      | SAC                    | 8/31/2011        | Settlement         |
| 41191 (S1)                    | AEP Texas v. BNSF         | Coal      | SAC-Remand             | 10/26/2011       | Settlement         |
| 42113                         | AEP v. BNSF & UP          | Coal      | SAC                    | 11/22/2011       | Rates Unreasonable |
| 42132                         | Canexus v. BNSF           | Chemical  | Three-Benchmark        | 7/20/2012        | Settlement         |
| 42127                         | IPA v. UP                 | Coal      | SAC                    | 11/2/2012        | Withdrawn          |
| 42123                         | M&G Polymers v. CSXT      | Chemical  | SAC                    | 1/7/2013         | Settlement         |
| 42125                         | DuPont v. NS              | Chemical  | SAC                    | 3/24/2014        | Rates Reasonable   |
| 42130                         | SunBelt v. NS             | Chemical  | SAC                    | 6/20/2014        | Rates Reasonable   |
| 42136                         | IPA v. UP                 | Coal      | SAC                    | 10/8/2014        | Settlement         |
| 42088                         | Western Fuels v. BNSF     | Coal      | SAC                    | 6/15/2015        | Settlement         |
| 42121                         | TPI v. CSXT               | Chemical  | SAC                    | 9/14/2016        | Rates Reasonable   |
| 42142                         | Consumers v. CSXT         | Coal      | SAC & Revenue Adequacy | 2/7/2019         | Settlement         |
| <b>Pending before the STB</b> |                           |           |                        |                  |                    |
| Docket No                     | Case Name                 | Commodity | Guidelines Used        | Date of Decision | Decision           |
| NA                            |                           |           |                        |                  |                    |

**Notes to Table:**

1. SAC = Stand-Alone Cost Methodology Applied for a Hypothetical Railroad.
2. Simplified = Using a Simplified, Rather than Full-SAC, Methodology for Determining the Reasonableness of Rates as Set Forth in Coal Rate Guidelines, Nationwide, 1 I.C.C.2d 520 (1985) ([Guidelines](#)).
3. Stipulated R/VC = Parties Agreed to Use Revenue to Variable Cost (R/VC) Ratios @ 180% Level, in Lieu of Using SAC.
4. Three-Benchmark Methodology = Methodology of Seeking Relief Pursuant to the Revised Simplified Procedures as Set Forth in Simplified Standards for Rail Rate Cases, STB Ex Parte No. 646 (Sub-No. 1) (STB served Sept. 5, 2007) and any additional Sub-No. decisions.
5. Revenue Adequacy = Revenue Adequacy Constraint, as Described in [Guidelines](#).