

Form CJ-9A/10A



**DEATHS IN CUSTODY—2013  
ANNUAL SUMMARY ON INMATES  
UNDER JAIL JURISDICTION**

U.S. DEPARTMENT OF JUSTICE  
BUREAU OF JUSTICE STATISTICS  
AND ACTING AS COLLECTION AGENT:  
RTI INTERNATIONAL

## FORM COMPLETED BY:

Name

Title

Official  
Address

Telephone

City

FAX

State

Zip

E-mail

PLEASE COMPLETE A SEPARATE ANNUAL SUMMARY FORM FOR EACH FACILITY IN YOUR JURISDICTION THAT HAS A SEPARATE ADMINISTRATOR, A SEPARATE STAFF, AND A SEPARATE BUDGET.

## Please provide:

Facility Name

Facility Address

Facility City

State

Zip

### Instructions for completion and submission

**FOR EACH ITEM:**

- If the answer to a question is "none" or "zero," write "0" in the space provided.
- When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each figure that is estimated. For example 1,234

Please submit your completed form(s) within 30 days of receipt. You may submit information in one of these ways:

**ONLINE:** Complete this form online at: <https://bjsdcrp.rti.org>

**MAIL:** RTI International, Attn: Data Capture

**E-MAIL:** [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org)

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Raleigh, NC 27690-1652

*If you need assistance, contact Kim Aspinwall of RTI International toll-free at 1-800-344-1387 or [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org).*

### What facilities are eligible to complete an Annual Summary Form?

ELIGIBLE...

- Confinement facilities usually administered by a local or regional law enforcement agency, intended for adults but sometimes holding juveniles.
- All jails and city/county correctional centers that hold inmates beyond arraignment. Report data on all inmates, including those held in separate holding or lockup areas within the facility.
- Special jail facilities operated under the authority of local or regional correctional authorities, such as medical/treatment/release centers, halfway houses, and work farms.
- Private facilities operated under contract to local, regional, or federal correctional authorities.
- Facilities that hold inmates for other jurisdictions, including federal authorities, state prison authorities, and other local jail jurisdictions.

INELIGIBLE...

- Facilities that are exclusively used as temporary holding or lockup facilities, where inmates are generally held for less than 72 hours and not held beyond arraignment.

**BURDEN STATEMENT**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 1 hour and 20 minutes per facility, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Section I — INMATE DEATHS

1. Between January 1, 2013, and December 31, 2013, how many persons died while under the supervision of this facility?

INCLUDE deaths of ALL persons:

- ✓ CONFINED in this facility
- ✓ UNDER THE SUPERVISION of this facility, but out to court or in a special facility not under the jurisdiction of a local or regional correctional authority (e.g., hospital, hospice, or nursing home; treatment facility; residential community center; residential work release or house arrest program; release center)
- ✓ WHILE IN TRANSIT to or from this facility while under its supervision

EXCLUDE:

- X Deaths of persons in the process of arrest by your agency if they have not yet been booked into this facility. Arrest-related deaths should be reported using a CJ-11A form.

Number of inmate deaths

a. Males .....

b. Females .....

REMINDER: IF THIS FACILITY HAD ONE OR MORE DEATHS IN CALENDAR YEAR 2013: Please ensure that you have completed a 2013 CJ-9/CJ-10 (individual death report) form for each death reported. If you need additional CJ-9/CJ-10 forms, please go to the DCRP Web site (<https://bjsdcrp.rti.org>), call 1-800-344-1387, or send an e-mail to [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org).

When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each figure that is estimated. For example 1,234

Section II — SUPERVISED POPULATION

2. On December 31, 2013, how many persons under the supervision of this facility were –

a. CONFINED in this facility?

INCLUDE:

- ✓ Persons on transfer to treatment facilities but who remain under the jurisdiction of this facility
- ✓ Persons held for other jurisdictions
- ✓ Persons in community-based programs (e.g., work release, day release, drug/alcohol treatment) who return to jail at night
- ✓ Persons out to court while under the jurisdiction of this facility

EXCLUDE:

- X Persons under the jurisdiction of this facility who are boarded elsewhere
- X Inmates on AWOL, escape, or long-term transfer to other facilities or jurisdictions

b. Under jail supervision, but NOT CONFINED?

INCLUDE:

- ✓ Persons in community-based programs run by this facility (e.g., electronic monitoring, house arrest, community service, day reporting, and work programs)

EXCLUDE:

- X Persons on pretrial release who are not in a community-based program run by this facility
- X Persons under the supervision of probation, parole, or other agencies
- X Inmates on weekend programs—weekend programs allow offenders to serve their sentences of confinement only on weekends (e.g., Friday–Sunday)
- X Inmates participating in work release programs who return to the facility at night

c. TOTAL (Sum of items 2a and 2b) .....

3. On the weekend prior to December 31, 2013, did this facility have a weekend program?

Weekend programs allow offenders to serve their sentences of confinement **only on weekends** (e.g., Friday–Sunday).

1  Yes – How many inmates participated? .....

2  No

4. Of all the persons CONFINED in this facility (as reported in item 2a), how many were **not** U.S. citizens?

Non-U.S. citizens

**Section III — INMATE COUNTS AND MOVEMENTS OF THE CONFINED POPULATION**

5. On December 31, 2013, how many persons CONFINED in this facility were –
- a. Adult males (age 18 or older) .....
  - b. Adult females (age 18 or older) .....
  - c. Males under age 18 .....
  - d. Females under age 18 .....
  - e. TOTAL (Sum of items 5a through 5d should equal item 2a) .....

6. Of all the persons under the age of 18 CONFINED in this facility on December 31, 2013 (sum of 5c and 5d), how many were tried, or awaiting trial, in adult court?
- Number of persons under age 18 held as adults .....

7. Of all persons CONFINED in this facility on December 31, 2013, how many were –
- For persons with more than one status, report the status associated with the most serious offense.
  - For convicted inmates include probation and parole violators with no new sentence.
- a. Convicted .....
  - Of these, how many were –
    - 1. Unsentenced inmates or awaiting sentencing .....
    - 2. Sentenced inmates .....
  - b. Unconvicted .....
  - Of these, how many were –
    - 1. Awaiting trial/arrest .....
    - 2. Awaiting transfer/hold for other authorities .....
    - 3. Other .....
  - c. TOTAL (Sum of items 7a and 7b should equal item 2a) .....

8. On December 31, 2013, how many persons CONFINED in this facility, regardless of conviction status, had an offense type of –
- For persons with more than one offense, report the most serious type of offense.
- a. Felony .....
  - b. Misdemeanor .....
  - c. Other – Specify
  - d. TOTAL (Sum of items 8a to 8c should equal item 2a) .....

When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each figure that is estimated. For example 1,234

9. On December 31, 2013, how many persons CONFINED in this facility were –
- a. White, not of Hispanic origin .....
  - b. Black or African American, not of Hispanic origin .....
  - c. Hispanic or Latino .....
  - d. American Indian/Alaska Native, not of Hispanic origin .....
  - e. Asian, not of Hispanic origin .....
  - f. Native Hawaiian or Other Pacific Islander, not of Hispanic origin .....
  - g. Two or more races, not of Hispanic origin ..
  - h. Additional categories in your information system – Specify
  - i. Not known .....
  - j. TOTAL (Sum of items 9a to 9i should equal item 2a) .....

10. On December 31, 2013, how many persons CONFINED in this facility were held for –
- For persons with multiple holds, count them only once with priority being federal, state, tribal, and local.
- INCLUDE contractual, temporary, courtesy, or *ad hoc* holds for other agencies
  - a. Federal authorities
    - 1. U.S. Marshals Service .....
    - 2. Federal Bureau of Prisons .....
    - 3. U.S. Immigration and Customs Enforcement (ICE) .....
    - 4. Bureau of Indian Affairs (BIA) .....
    - X EXCLUDE inmates being housed for tribal governments in item 10c, below
    - 5. Other – Specify
  - b. State prison authorities
    - 1. For your state .....
    - 2. For other states .....
  - c. American Indian/Alaska Native Tribal governments
    - X EXCLUDE inmates being housed for the BIA in item 10a4
  - d. Other local jail jurisdictions
    - X EXCLUDE inmates being housed for your own jurisdiction (i.e., your own county/city inmates)
    - X EXCLUDE inmates being housed for tribal governments in item 10c
      - 1. Within your state .....
      - 2. Outside your state .....
  - e. TOTAL (Sum of items 10a to 10d) .....

**11. a. During the 31-DAY period from December 1 to December 31, 2013, on what day did this facility hold the greatest number of inmates?**

Peak population should be equal to or greater than the confined inmate population reported in item 2a.

December , 2013

**b. How many persons were CONFINED on that day?**

Number that day

**12. Between January 1, 2013, and December 31, 2013, what was the average daily population of this facility?**

- Include inmates who participated in weekend programs that allow offenders to serve their sentences of confinement only on weekends (e.g., Friday–Sunday).
- To calculate the average daily population, add the number of persons for each day during the period January 1, 2013, through December 31, 2013, and divide the result by 365.
- If daily counts are not available, estimate the average daily population by adding the number of persons held on the same day of each month and divide the result by 12.
- If average daily population cannot be calculated as directed above, then estimate the typical number of persons held in this facility each day.

Average daily population

- a. Males.....
- b. Females .....
- c. TOTAL (Sum of items 12a and 12b) ....

**13. On December 31, 2013, what was the total rated capacity of this facility, excluding separate temporary holding areas?**

- Rated capacity is the maximum number of beds or inmates assigned by a rating official to this facility.
- If rated capacity is not available, estimate by using the design capacity and mark the checkbox.

Rated capacity

*When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each figure that is estimated. For example 1,234*

**14. Between January 1, 2013, and December 31, 2013, how many persons were –**

**a. New admissions to this facility?**

INCLUDE:

- ✓ Persons officially booked into and housed in this facility by formal legal document and by the authority of the courts or some other official agency
- ✓ Repeat offenders booked on new charges
- ✓ Those persons serving a weekend sentence coming into the facility for the first time

EXCLUDE:

- X Returns from escape, work release, medical appointments/treatment facilities, furloughs, bail/bond releases, and court appearances

New admissions

1. Males .....
2. Females .....
3. TOTAL (Sum of items 14a1 and 14a2)

**b. Final discharges from this facility?**

INCLUDE:

- ✓ All persons released after a period of confinement (e.g., sentence completion, bail/bond releases, other pretrial releases, transfers to other jurisdictions, and deaths)
- ✓ Those persons completing their weekend sentence leaving the facility for the last time

EXCLUDE:

- X Temporary discharges (e.g., work releases, medical appointments/treatment, to courts, furloughs, day reporters, and transfers to other facilities within your jurisdiction)

Final discharges

1. Males .....
2. Females .....
3. TOTAL (Sum of items 14b1 and 14b2)

**Section IV — POPULATION SUPERVISED IN THE COMMUNITY**

*If item 2b equals 0 (zero), SKIP to item 16.*

**15. On December 31, 2013, how many persons under the supervision of this facility who were NOT CONFINED participated in –**

- X EXCLUDE inmates on weekend programs
- a. **Electronic monitoring** .....
- b. **Home detention without electronic monitoring** .....
- c. **Community service** .....
- d. **Day reporting** .....
- e. **Other pretrial supervision** .....
- f. **Other alternative work programs** .....  
  - X EXCLUDE inmates participating in work release programs who return to the facility at night
- g. **Alcohol/drug treatment programs** .....  
  - X EXCLUDE inmates participating in alcohol/drug treatment programs who are confined in the facility
- h. **Other programs outside of jail facilities – Specify**
- i. **TOTAL** (Sum of items 15a to 15h should equal item 2b) .....

**17. On December 31, 2013, how many staff employed in this facility were –**

Count each employee only once. Classify employees with multiple functions by the function performed most frequently.

- INCLUDE only payroll and non-payroll staff
- X EXCLUDE staff paid through contractual agreements and community volunteers

- a. **Correctional officers**
  
(Deputies, monitors, and other custody staff who spend more than 50% of their time with the incarcerated population) .....  
  
Of these, how many were –
  - 1. **Males** .....
  - 2. **Females** .....
- b. **All other staff**
  
(Administrators, clerical and maintenance staff, educational staff, professional and technical staff, and other staff unspecified) .  
  
Of these, how many were –
  - 1. **Males** .....
  - 2. **Females** .....
- c. **TOTAL** (Sum of items 17a and 17b) .....

**18. Of all correctional officers reported in item 17a, how many were –**

- a. **White**, not of Hispanic origin .....
- b. **Black or African American**, not of Hispanic origin .....
- c. **Hispanic or Latino** .....
- d. **American Indian/Alaska Native**, not of Hispanic origin .....
- e. **Asian**, not of Hispanic origin .....
- f. **Native Hawaiian or Other Pacific Islander**, not of Hispanic origin .....
- g. **Two or more races, not of Hispanic origin** .....
- h. **Additional categories in your information system – Specify**
- i. **Not known** .....
- j. **TOTAL** (Sum of items 18a to 18i should equal item 17a).....

**Section V — COST OF INCARCERATION AND STAFF**

**16. Between January 1, 2013, and December 31, 2013, what were the operating expenditures for this facility?**

- INCLUDE:
- Total salaries and wages, including employer contributions to employee benefits
  - Other operating expenditures, such as the purchase of food, supplies, medical expenses, and utilities
- EXCLUDE:
- X Capital expenditures such as total construction costs for new buildings, major repairs, and improvements; equipment, furnishings, vehicles, and installations; and purchase of land, rights-of-way, and title searches
- Total operating expenditures

*When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each figure that is estimated. For example 1,234*