

Quality Assurance/Quality Improvement Project Worksheet

Quality assurance or quality improvement (QA/QI) projects can often seem like the type of human subjects research that the IRB needs to review. By their nature, QA/QI projects often include activities such as conducting surveys, reviewing identifiable data, drawing conclusions about problems, and suggesting methods for improvement.

Typically, QA/QI projects are particularly focused on improving the performance of an institutional practice in comparison with an established standard or goal. They are focused on a local practice and consequently limit their scope to the specific institution. The results of the project are not *intended* to apply to anyone beyond the scope of the project, and conclusions are drawn only in relation to the particular practice at the institution. In other words, if the results of the project are shared outside the institution (i.e. published or presented), then it would only be for the purposes of sharing a successful improvement in practice; other institutions could then interpret the results and draw their own conclusions, but the key is that the institution conducting the QA/QI project is not drawing broad conclusions and is not using their participants as a representative sample.

N	2	m	Δ	•
14	а		_	

Project Title:

Instructions: Please answer the following questions to determine if you need to submit an IRB.		
The focus is local and specific, aiming to improve	Aims to explore a hypothesis or theory in order to	
a particular institutional practice.	draw general conclusions beyond the scope of	
	the institution.	
Yes No	Yes No	
Does not use participants as a representative	Results or data from participants may be	
sample of a broader population.	generalized as being representative of the	
	population at large.	
Yes No	Yes No	
Conclusions are intended to only be directly	Conclusions are meant to be disseminated and	
applicable to the particular institution; no claim	applicable to people and institutions beyond the	
that the results apply outside the institution.	site where the project took place.	
Yes No	Yes No	
Any publication or presentation on the project	Publications and presentations aim to be	
would still focus on the specific practice and	applicable to the field more broadly. For	
improvement at the particular institution; it is	example, if a particular intervention in the	
only relevant to external institutions to the	research study led to better outcomes, a	
extent that they can draw their own conclusions	publication would generalize those findings and	
about applicability at their institution.	suggest that they are applicable to other	
	institutions as well.	
Yes No	Yes No	

Sign	Date