

ANNUAL FINANCIAL REPORT

of the

**UNIVERSITY OF NORTH TEXAS
HEALTH SCIENCE CENTER**

FORT WORTH, TEXAS

Michael R. Williams, DO, MD, MBA, President

For the Year Ended August 31, 2018



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November 19, 2018

Dr. Michael R. Williams
President
University of North Texas Health Science Center
Fort Worth, Texas

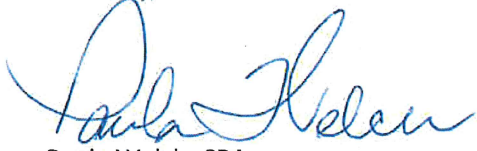
Dear Dr. Williams:

We are pleased to submit the annual financial report of the University of North Texas Health Science Center for the year ended August 31, 2018, in compliance with Texas Government Code Annotated, Section 2101.011, and in accordance with the requirements established by the Texas Comptroller of Public Accounts.

Due to the statewide requirements embedded in Governmental Accounting Standards Board (GASB) Statement No. 34, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all requirements in this statement. The financial report will be considered for audit by the state auditor as part of the audit of the State of Texas Comprehensive Annual Financial Report (CAFR); therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

If you have any questions, please contact Paula Welch at (817) 735-2536.

Sincerely,

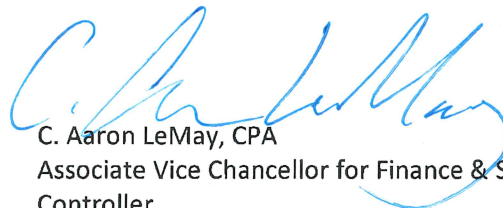


Paula Welch, CPA
Senior Associate Controller

Approved:



Gregory R. Anderson, CPA
Executive Vice President
Finance and Operations



C. Aaron LeMay, CPA
Associate Vice Chancellor for Finance & System
Controller



UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER

ORGANIZATIONAL DATA

August 31, 2018

BOARD OF REGENTS

Rusty Reid (Term expires 5-22-19) Ft. Worth
Gwyn Shea (Term expires 5-22-19) Irving
B. Glen Whitley (Term expires 5-22-19) Hurst

Brint Ryan (Term expires 5-22-21) Dallas
A.K. Mago (Term expires 5-22-21) Dallas
Laura Wright (Term expires 5-22-21) Dallas

Mary Denny (Term expires 5-22-23) Aubrey
Milton B. Lee (Term expires 5-22-23) San Antonio
Carlos Munguia (Term expires 5-22-23) University Park

STUDENT REGENT

Amanda Pajares (Term expires 5-31-19) Bartlett

OFFICERS OF THE BOARD

Brint Ryan Chairman
Laura Wright Vice Chairman
Rosemary R. Haggett Secretary

ADMINISTRATIVE OFFICERS

Lesa Roe Chancellor
Michael R. Williams President
Gregory R. Anderson Executive Vice President, Finance & Operations

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
Statement of Net Position
As of August 31, 2018

| | August 31, 2018 |
|--|----------------------------|
| ASSETS | |
| Current Assets | |
| Cash and Cash Equivalents: | |
| Cash on Hand | \$ 5,889.35 |
| Cash in Bank | 953,254.95 |
| Cash in State Treasury | 8,357,009.42 |
| Cash Equivalents | 62,672,383.86 |
| Restricted Cash and Cash Equivalents: | |
| Cash on Hand | 50.00 |
| Cash in Bank | 1,426,890.96 |
| Legislative Appropriations | 33,481,329.94 |
| Receivables From: | |
| Accounts Receivable | 1,126,377.24 |
| Federal | 7,072,979.63 |
| Other Intergovernmental | 1,061,188.72 |
| Clinical Practice | 7,112,514.26 |
| Interest and Dividends | 111,169.92 |
| Other Receivables | 910,858.37 |
| Due From Other Agencies | 8,660,490.45 |
| Due from Other Components | 30,251,371.34 |
| Consumable Inventories | 393,724.80 |
| Prepaid Items | 2,149,178.43 |
| Loans and Contracts | 2,529,147.23 |
| Other Current Assets | 76,025.00 |
| Total Current Assets | \$ 168,351,833.87 |
| Non-Current Assets | |
| Restricted Investments | \$ 35,347,701.95 |
| Loans and Contracts | 4,159,309.47 |
| Investments | 48,338,299.82 |
| Capital Assets: | |
| Non-Depreciable or Non-Amortizable | 135,255,361.79 |
| Depreciable or Amortizable, Net | 107,551,559.62 |
| Total Non-Current Assets | \$ 330,652,232.65 |
| Total Assets | \$ 499,004,066.52 |
| DEFERRED OUTFLOWS OF RESOURCES | |
| Deferred Outflows of Resources | \$ - |
| Total Deferred Outflows of Resources | \$ - |
| TOTAL ASSETS AND DEFERRED OUTFLOWS OF RESOURCES | \$ 499,004,066.52 |

Continued on Next Page

UNAUDITED

August 31,
2018

LIABILITIES

Current Liabilities

Payables From:

| | | |
|------------------------------|----|---------------|
| Accounts Payable | \$ | 18,553,144.73 |
| Payroll Payable | | 12,802,037.68 |
| Other Payables | | 314,402.24 |
| Interest | | 15,361.98 |
| Due To Other Agencies | | 108,073.62 |
| Due to Other Components | | 17,219,867.49 |
| Unearned Revenue | | 14,339,556.72 |
| Claims and Judgments | | 608,602.00 |
| Employees' Compensable Leave | | 1,212,449.38 |
| Funds Held for Others | | 503,374.90 |

Total Current Liabilities \$ 65,676,870.74

Non-Current Liabilities

| | | |
|------------------------------|----|--------------|
| Claims and Judgments | \$ | 856,375.00 |
| Employees' Compensable Leave | | 7,041,688.72 |

Total Non-Current Liabilities \$ 7,898,063.72

Total Liabilities \$ 73,574,934.46

DEFERRED INFLOWS OF RESOURCES

| | | |
|-------------------------------|----|---|
| Deferred Inflows of Resources | \$ | - |
|-------------------------------|----|---|

Total Deferred Inflows of Resources \$ -

TOTAL LIABILITIES AND DEFERRED INFLOWS OF RESOURCES \$ 73,574,934.46

NET POSITION

| | | |
|-------------------------------------|----|----------------|
| Net Investment in Capital Assets | \$ | 225,326,295.65 |
| Restricted For: | | |
| Funds Held as Permanent Investments | | |
| Non-Expendable | | 30,330,344.53 |
| Expendable | | 7,442,933.90 |
| Other Restricted | | 15,237,307.36 |
| Unrestricted | | 147,092,250.62 |

Total Net Position \$ 425,429,132.06

UNAUDITED

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
Statement of Revenues, Expenses and Changes in Net Position
For the Year Ended August 31, 2018

| | August 31, 2018 |
|---|----------------------------|
| OPERATING REVENUES | |
| Tuition and Fees | \$ 32,547,317.04 |
| Discounts and Allowances | (2,736,435.29) |
| Professional Fees | 33,718,715.80 |
| Discounts and Allowances | (18,339,992.58) |
| Auxiliary Enterprises | 625,242.91 |
| Sales of Goods and Services | 59,689,998.96 |
| Federal Grant Revenue | 36,706,644.20 |
| Federal Pass-Through Revenue | 294,025.48 |
| State Grant Revenue | 1,053,734.14 |
| State Grant Pass-Through Revenue | 2,104,792.66 |
| Other Contracts and Grants | 6,886,321.81 |
| Other Operating Revenues | 385,646.39 |
| Total Operating Revenues | \$ 152,936,011.52 |
| OPERATING EXPENSES (1) | |
| Instruction | \$ 70,535,325.38 |
| Research | 36,080,019.00 |
| Public Service | 45,182,938.40 |
| Academic Support | 19,200,650.13 |
| Student Services | 5,516,916.76 |
| Institutional Support | 17,955,893.53 |
| Operation and Maintenance of Plant | 13,375,729.51 |
| Scholarships and Fellowships | 546,507.20 |
| Auxiliary Enterprises | 484,952.38 |
| Depreciation and Amortization | 10,707,976.52 |
| Total Operating Expenses | \$ 219,586,908.81 |
| Operating Loss | \$ (66,650,897.29) |
| NONOPERATING REVENUES (EXPENSES) | |
| Legislative Appropriations (GR) | \$ 88,550,583.00 |
| Additional Appropriations (GR) | 14,198,283.47 |
| Gifts | 2,918,363.68 |
| Investment Income | 8,254,806.47 |
| Interest Expense and Fiscal Charges | (3,110,649.93) |
| Loss on Sale of Capital Assets | (200,397.85) |
| Net Increase in Fair Value of Investments | 366,463.90 |
| Other Nonoperating Revenues | 379,622.78 |
| Other Nonoperating Expenses | (102,395.96) |
| Total Nonoperating Revenues (Expenses) | \$ 111,254,679.56 |
| Income Before Other Revenues, Expenses and Transfers | \$ 44,603,782.27 |
| OTHER REVENUES, EXPENSES AND TRANSFERS | |
| Capital Contributions | \$ 127,566.90 |
| Capital Appropriations (HEF) | 17,091,856.00 |
| Contributions To Permanent and Term Endowments | 4,000,000.00 |
| Interagency Transfers of Capital Assets-Increase | 2,178,401.94 |
| Interagency Transfers of Capital Assets-Decrease | (759,201.77) |
| Transfers From Other State Agencies | 11,643.00 |
| Legislative Transfers In | 20,200.00 |
| Legislative Transfers Out | (7,889,981.00) |
| Transfers From Other Components | 126,157,904.79 |
| Transfers To Other Components | (3,309,186.68) |
| Total Other Revenues, Expenses and Transfers | \$ 137,629,203.18 |
| CHANGE IN NET POSITION | \$ 182,232,985.45 |
| Beginning Net Position | \$ 247,546,483.75 |
| Restatement | (4,350,337.14) |
| Beginning Net Position, as Restated | \$ 243,196,146.61 |
| ENDING NET POSITION | \$ 425,429,132.06 |

(1) See Matrix of Operating Expenses Reported by Function.

UNAUDITED

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
 Matrix of Operating Expenses Reported by Function
 For the Year Ended August 31, 2018

| Operating Expenses | Operation and | | | | | | | | | | Depreciation and Amortization | Total Expenditures |
|---------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|------------------------|--------------------------|-------------------------|---------------------------------|--------------------------|-------------------------|-------------------------------------|-----------------------|
| | Instruction | Research | Public Service | Academic Support | Student Services | Institutional Support | Maintenance of Plant | Scholarships and Fellowships | Auxiliary Enterprises | | | |
| Salaries and Wages | \$ 46,653,327.31 | \$ 17,921,611.00 | \$ 12,344,835.89 | \$ 10,176,354.11 | \$ 3,332,816.56 | \$ 10,059,320.63 | \$ 5,488,589.36 | \$ 109,421.18 | \$ 230,846.26 | \$ - | \$ 106,317,122.30 | |
| Payroll Related Costs | 10,478,510.91 | 4,271,589.90 | 3,003,403.54 | 2,283,407.14 | 961,397.76 | 4,259,859.03 | 1,824,864.65 | 2,546.90 | 73,444.72 | - | 27,159,024.55 | |
| Professional Fees and Services | 3,315,216.74 | 6,102,013.24 | 25,778,007.94 | 983,324.22 | 118,914.88 | 1,167,991.51 | 756,491.14 | 152.44 | 23,504.30 | - | 38,245,616.41 | |
| Federal Pass-Through Expenses | - | 1,002,142.04 | 11,710.34 | - | - | - | - | - | - | - | 1,013,852.38 | |
| State Pass-Through Expenses | - | 87,745.20 | - | - | - | - | - | - | - | - | 87,745.20 | |
| Travel | 594,432.14 | 619,718.01 | 268,933.81 | 138,894.66 | 188,974.60 | 104,150.67 | 28,104.28 | 1,502.72 | 7,759.45 | - | 1,952,470.34 | |
| Materials and Supplies | 2,072,059.93 | 4,406,636.41 | 1,815,462.52 | 3,302,237.19 | 295,928.67 | 377,521.26 | 1,162,653.68 | 2,881.02 | 76,911.01 | - | 13,512,291.69 | |
| Communications and Utilities | 203,470.55 | 76,246.39 | 115,814.76 | 104,061.46 | 32,923.85 | 40,028.10 | 2,507,075.06 | 0.17 | 5,771.50 | - | 3,085,391.84 | |
| Repairs and Maintenance | 145,795.69 | 139,910.63 | 544,467.90 | 940,489.28 | 10,429.56 | 128,247.96 | 1,520,362.88 | 65.00 | 12,748.74 | - | 3,442,517.64 | |
| Rentals and Leases | 180,561.41 | 111,298.52 | 782,982.97 | 603,158.31 | 123,741.49 | 844,197.91 | 17,986.41 | - | 19,008.00 | - | 2,682,935.02 | |
| Printing and Reproduction | 88,207.58 | 83,837.25 | 186,883.35 | 9,270.72 | 23,496.36 | 78,457.64 | 3,450.85 | - | 221.78 | - | 473,825.53 | |
| Depreciation and Amortization | - | - | - | - | - | - | - | - | - | 10,707,976.52 | 10,707,976.52 | |
| Scholarships | 191,837.78 | 142,686.46 | 26,456.40 | 16,190.00 | 60,985.33 | - | - | 417,580.97 | - | - | 855,736.94 | |
| Claims and Losses | (205,070.88) | - | - | - | - | - | - | - | - | - | (205,070.88) | |
| Other Operating Expenses | 6,816,976.22 | 1,114,583.95 | 303,978.98 | 643,263.04 | 367,307.70 | 896,118.82 | 66,151.20 | 12,356.80 | 34,736.62 | - | 10,255,473.33 | |
| Total Operating Expenses | \$ 70,535,325.38 | \$ 36,080,019.00 | \$ 45,182,938.40 | \$ 19,200,650.13 | \$ 5,516,916.76 | \$ 17,955,893.53 | \$ 13,375,729.51 | \$ 546,507.20 | \$ 484,952.38 | \$ 10,707,976.52 | \$ 219,586,908.81 | |

UNAUDITED

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
Statement of Cash Flows
For the Year Ended August 31, 2018

| | August 31, 2018 |
|---|----------------------------|
| CASH FLOWS FROM OPERATING ACTIVITIES | |
| Proceeds from Customers | \$ 73,210,868.30 |
| Proceeds from Tuition and Fees | 31,947,909.54 |
| Proceeds from Research Grants and Contracts | 42,041,944.51 |
| Proceeds from Auxiliaries | 625,242.91 |
| Proceeds from Other Revenues | 385,646.39 |
| Payments to Suppliers for Goods and Services | (88,074,892.49) |
| Payments to Employees | (121,042,236.39) |
| Payments for Loans Provided | (1,591,395.51) |
| Payments for Other Expenses | (797,666.71) |
| Net Cash Provided (Used) by Operating Activities | \$ (63,294,579.45) |
| CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES | |
| Proceeds from State Appropriations | \$ 91,393,873.37 |
| Proceeds from Gifts | 2,918,363.68 |
| Proceeds from Endowments | 4,000,000.00 |
| Proceeds from Transfers from Other Agencies | 11,643.00 |
| Proceeds from Transfers from Other Components | 94,728.93 |
| Proceeds from Legislative Transfers | 20,200.00 |
| Proceeds from Other Revenues | 379,622.78 |
| Payments for Legislative Transfers | (7,889,981.00) |
| Payments for Transfers to Other Components | (1,203,168.56) |
| Payments for Other Uses | (2,208,414.08) |
| Net Cash Provided (Used) by Noncapital Financing Activities | \$ 87,516,868.12 |
| CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES | |
| Proceeds from Sale of Capital Assets | \$ 245,408.42 |
| Proceeds from State Appropriations | 17,091,856.00 |
| Proceeds from Debt Issuance | 27,328,987.54 |
| Proceeds of Transfers from Other Components for Debt Issuance | 62,533,977.65 |
| Proceeds from Capital Contributions | 714,749.79 |
| Payments for Additions to Capital Assets | (69,792,543.19) |
| Payments of Principal on Debt Issuance | (48,600,808.00) |
| Net Cash Provided (Used) by Capital and Related Financing Activities | \$ (10,478,371.79) |
| CASH FLOWS FROM INVESTING ACTIVITIES | |
| Proceeds from Sale of Investments | \$ 193,774,378.10 |
| Proceeds from Interest and Investment Income | 8,143,636.55 |
| Payments to Acquire Investments | (194,863,967.04) |
| Net Cash Provided (Used) by Investing Activities | \$ 7,054,047.61 |
| Net Increase/(Decrease) in Cash and Cash Equivalents | \$ 20,797,964.49 |
| Cash and Cash Equivalents, September 1, 2017 | \$ 52,617,514.05 |
| Cash and Cash Equivalents, August 31, 2018 | \$ 73,415,478.54 |

UNAUDITED

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
Statement of Cash Flows
For the Year Ended August 31, 2018

| | August 31, 2018 |
|---|----------------------------|
| RECONCILIATION OF OPERATING LOSS TO NET CASH PROVIDED (USED) | |
| BY OPERATING ACTIVITIES | |
| Operating Loss | \$ (66,650,897.29) |
| Adjustments to Reconcile Operating Loss to Net Cash Provided (Used) by Operating Activities: | |
| Depreciation and Amortization | \$ 10,707,976.52 |
| Employee Benefits Paid by State | 9,046,544.32 |
| Changes in Assets and Liabilities: | |
| (Increase) Decrease in Receivables | (7,197,864.13) |
| (Increase) Decrease in Inventories | (151,124.01) |
| (Increase) Decrease in Prepaid Expenses | (370,987.42) |
| (Increase) Decrease in Loans and Contracts | (1,591,395.51) |
| (Increase) Decrease in Other Assets | (76,025.00) |
| Increase (Decrease) in Payables | (8,910,868.03) |
| Increase (Decrease) in Unearned Revenue | 2,473,464.26 |
| Increase (Decrease) in Other Liabilities | (573,403.16) |
| Total Adjustments | \$ 3,356,317.84 |
| Net Cash Provided (Used) by Operating Activities | \$ (63,294,579.45) |
| NON-CASH TRANSACTIONS | |
| Net Change in Fair Value of Investments | \$ 366,463.90 |
| Donation of Capital Assets | 57,566.90 |
| Gain (Loss) on Sales/Disposals of Capital Assets | (200,397.85) |
| Amortization of Bond Premiums (Discounts) | 138,790.52 |
| Amortization of Deferred Inflows/Outflows from Refunding Bonds | (154,992.37) |
| Capital Assets Acquired with Payables | 6,247,826.00 |
| Interagency Transfer of Capital Assets | 1,419,200.17 |

University of North Texas Health Science Center at Fort Worth (763)
 Schedule 1A - Schedule of Expenditures of Federal Awards
 For the Fiscal Year Ended August 31, 2018

| Federal Grantor/Pass-through Grantor/Program Title | CFDA Number | NSE Name/Identifying Number | Agy/Univ No | Pass-Through From Agencies or Universities Amount | Pass-Through From Non-State Entities Amount | Direct Program Amount | Total PT From and Direct Prog. Amount | Agy/Univ No. | Pass-Through To Agencies or Universities Amount | Pass-Through To Non-State Entities Amount | Expenditures Amount | Total PT To and Expenditures Amount |
|---|-------------|-----------------------------|-------------|---|---|-----------------------|---------------------------------------|--------------|---|---|---------------------|-------------------------------------|
| Institute of Museum and Library Services | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| Laura Bush 21st Century Librarian Program | 45.313 | | | | | 536.41 | 536.41 | | | | | 536.41 |
| <i>Pass-Through to:</i> | | | | | | | | | | | | |
| University of Texas Rio Grande Valley | | | | | | | | 746 | 536.41 | | | |
| Totals - Institute of Museum and Library Services | | | | 0.00 | 0.00 | 536.41 | 536.41 | | 536.41 | 0.00 | 0.00 | 536.41 |
| U.S. Department of Health and Human Services | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| Drug Abuses and Addiction Research Programs | 93.279 | | | | | 736,531.74 | 736,531.74 | | | | 736,531.74 | 736,531.74 |
| Aging Research | 93.866 | | | | | 1,531,304.28 | 1,531,304.28 | | | | 1,531,304.28 | 1,531,304.28 |
| Medical Library Assistance | 93.879 | | | | | 658,051.66 | 658,051.66 | | | | 658,051.66 | 658,051.66 |
| Medical Library Assistance | 93.879 | | | | | 19,085.00 | 19,085.00 | | | | 19,085.00 | 19,085.00 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| University of Texas Southwestern Medical Center | | | | | | | | 729 | 19,085.00 | | | |
| Medical Library Assistance | 93.879 | | | | | 8,437.70 | 8,437.70 | | | | | 8,437.40 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| Texas A&M University - Central Texas | | | | | | | | 770 | 8,437.70 | | | |
| Grants for Primary Care Training and Enhancement | 93.884 | | | | | 28,523.16 | 28,523.16 | | | | 28,523.16 | 28,523.16 |
| PPHF Geriatric Education Centers | 93.969 | | | | | 962,135.09 | 962,135.09 | | | | 962,135.09 | 962,135.09 |
| Maternal and Child Health Services Block Grant to the States | 93.994 | | | | | 129,760.97 | 129,760.97 | | | | 129,760.97 | 129,760.97 |
| <i>Pass-Through From:</i> | | | | | | | | | | | | |
| Maternal and Child Health Services Block Grant to the States | 93.994 | | | | | | 149,760.90 | | | | 149,760.90 | 149,760.90 |
| <i>Pass-Through From:</i> | | | | | | | | | | | | |
| Department of State Health Services | | | 537 | 149,760.90 | | | | | | | | 0.00 |
| Totals - U.S. Department of Health and Human Services | | | | 149,760.90 | 0.00 | 4,073,829.60 | 4,223,590.50 | | 27,522.70 | 0.00 | 4,196,067.80 | 4,223,590.20 |
| U.S. Department of Justice | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| National Institute of Justice Research, Evaluation, and Development Project Grants | 16.560 | | | | | 66,538.51 | 66,538.51 | | | | 66,538.51 | 66,538.51 |
| National Institute of Justice Research, Evaluation, and Development Project Grants | 16.560 | | | | | 16,129.29 | 16,129.29 | | | | 16,129.29 | 16,129.29 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| University of Texas Health Science Center at Houston | | | | | | | | 744 | 16,129.29 | | | |
| Totals - U.S. Department of Justice | | | | 0.00 | 0.00 | 82,667.80 | 82,667.80 | | 16,129.29 | 0.00 | 66,538.51 | 82,667.80 |
| Research & Development Cluster | | | | | | | | | | | | |
| National Aeronautics and Space Administration | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| Education | 43.008 | | | | | 46,710.34 | 46,710.34 | | | | 46,710.34 | 46,710.34 |
| Totals - National Aeronautics and Space Administration | | | | 0.00 | 0.00 | 46,710.34 | 46,710.34 | | 0.00 | 0.00 | 46,710.34 | 46,710.34 |
| National Science Foundation | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| Engineering Grants | 47.041 | | | | | 192,250.01 | 192,250.01 | | | | 192,250.01 | 192,250.01 |
| Engineering Grants | 47.041 | | | | | 53,143.93 | 53,143.93 | | | | 53,143.93 | 53,143.93 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| University of Texas at Arlington | | | | | | | | 714 | 53,143.93 | | | |
| Computer and Information Science and Engineering, Social, Behavioral, and Economic Sciences | 47.070 | | | | | 7,571.06 | 7,571.06 | | | | 7,571.06 | 7,571.06 |
| | 47.075 | | | | | 86,492.69 | 86,492.69 | | | | 86,492.69 | 86,492.69 |
| Totals - National Science Foundation | | | | 0.00 | 0.00 | 339,457.69 | 339,457.69 | | 53,143.93 | 0.00 | 286,313.76 | 339,457.69 |
| U.S. Department of the Interior | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| SECURE Water Act – Research Agreements | 15.560 | | | | | 10,608.99 | 10,608.99 | | | | 10,608.99 | 10,608.99 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| Sam Houston State University | | | | | | | | 753 | 10,608.99 | | | |
| Totals - U.S. Department of the Interior | | | | 0.00 | 0.00 | 10,608.99 | 10,608.99 | | 10,608.99 | 0.00 | 0.00 | 10,608.99 |
| U.S. Department of the Agriculture | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| Agriculture and Food Research Initiative (AFRI) | 10.310 | | | | | 20,367.66 | 20,367.66 | | | | 20,367.66 | 20,367.66 |
| Totals - U.S. Department of Agriculture | | | | 0.00 | 0.00 | 20,367.66 | 20,367.66 | | 0.00 | 0.00 | 20,367.66 | 20,367.66 |
| U.S. Department of Defense | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |
| U.S. Department of Defense | 12.000 | MS101103 | | | | 72,817.37 | 72,817.37 | | | | 72,817.37 | 72,817.37 |
| | | 487 NCE | | | | | | | | | | |
| | | 20160926- | | | | | | | | | | |
| | | 0085-01 | | | | 213,080.98 | 213,080.98 | | | | 213,080.98 | 213,080.98 |
| U.S. Department of Defense | 12.000 | | | | | 116,205.45 | 116,205.45 | | | | 116,205.45 | 116,205.45 |
| Military Medical Research and Development | 12.420 | | | | | 33,648.01 | 33,648.01 | | | | 33,648.01 | 33,648.01 |
| Air Force Defense Research Sciences Program | 12.800 | | | | | | | | | | | |
| Totals - U.S. Department of Defense | | | | 0.00 | 0.00 | 435,751.81 | 435,751.81 | | 0.00 | 0.00 | 435,751.81 | 435,751.81 |
| U.S. Department of Health and Human Services | | | | | | | | | | | | |
| Direct Programs: | | | | | | | | | | | | |

UNAUDITED

| Federal Grantor/Pass-through Grantor/Program Title | CFDA Number | NSE Name/Identifying Number | Agy/Univ No | Pass-Through From Agencies or Universities Amount | Pass-Through From Non-State Entities Amount | Direct Program Amount | Total PT From and Direct Prog. Amount | Agy/Univ No. | Pass-Through To Agencies or Universities Amount | Pass-Through To Non-State Entities Amount | Expenditures Amount | Total PT To and Expenditures Amount |
|---|-------------|-----------------------------|-------------|---|---|-----------------------|---------------------------------------|--------------|---|---|----------------------|-------------------------------------|
| U.S. Department of Health and Human Services | 93.000 | N01DA-13-8908 | | | | 699,766.57 | 699,766.57 | | | | 699,766.57 | 699,766.57 |
| U.S. Department of Health and Human Services | 93.000 | 00-2015-M-63768 | | | | | | | | | | |
| U.S. Department of Health and Human Services | 93.000 | NCE03/18 | | | | 50,767.60 | 50,767.60 | | | | 50,767.60 | 50,767.60 |
| U.S. Department of Health and Human Services | 93.000 | 200-2009-32594 | | | | 530,735.36 | 530,735.36 | | | | 530,735.36 | 530,735.36 |
| U.S. Department of Health and Human Services | 93.000 | 200-2011-41271 | | | | 450,082.85 | 450,082.85 | | | | 450,082.85 | 450,082.85 |
| U.S. Department of Health and Human Services Advancing System Improvements for Key Issues in Women's Health | 93.088 | | | | | 706,311.45 | 706,311.45 | | | | 706,311.45 | 706,311.45 |
| Research and Training in Complementary and Integrative Health | 93.213 | | | | | 32,722.13 | 32,722.13 | | | | 32,722.13 | 32,722.13 |
| Development and Coordination of Rural Health Services | 93.223 | | | | | 3,092,844.64 | 3,092,844.64 | | | | 3,092,844.64 | 3,092,844.64 |
| National Center on Sleep Disorders Research | 93.233 | | | | | 897,697.98 | 897,697.98 | | | | 897,697.98 | 897,697.98 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| <i>University of Texas Health Science Center at San Antonio</i> | | | | | | | | | | | | |
| Mental Health Research Grants | 93.242 | | | | | 457,124.91 | 457,124.91 | 745 | 897,697.98 | | 457,124.91 | 457,124.91 |
| Alcohol Research Programs | 93.273 | | | | | 565,922.76 | 565,922.76 | | | | 565,922.76 | 565,922.76 |
| Drug Abuse and Addiction Research Programs | 93.279 | | | | | 969,132.16 | 969,132.16 | | | | 969,132.16 | 969,132.16 |
| Minority Health and Health Disparities Research | 93.307 | | | | | 1,282,131.74 | 1,282,131.74 | | | | 1,282,131.74 | 1,282,131.74 |
| Trans-NIH Research Support | 93.310 | | | | | 930,813.78 | 930,813.78 | | | | 930,813.78 | 930,813.78 |
| National Center for Advancing Translational Science | 93.350 | | | | | 92,258.27 | 92,258.27 | | | | 92,258.27 | 92,258.27 |
| Nursing Research | 93.361 | | | | | 27,701.60 | 27,701.60 | | | | 27,701.60 | 27,701.60 |
| Cancer Cause and Prevention Research | 93.393 | | | | | 11,400.25 | 11,400.25 | | | | 11,400.25 | 11,400.25 |
| Cancer Detection and Diagnosis Research | 93.394 | | | | | 74,749.82 | 74,749.82 | | | | 74,749.82 | 74,749.82 |
| Cancer Treatment Research | 93.395 | | | | | 269,088.45 | 269,088.45 | | | | 269,088.45 | 269,088.45 |
| Cancer Biology Research | 93.396 | | | | | 284,106.77 | 284,106.77 | | | | 284,106.77 | 284,106.77 |
| Cardiovascular Diseases Research | 93.837 | | | | | 1,031,633.50 | 1,031,633.50 | | | | 1,031,633.50 | 1,031,633.50 |
| Diabetes, Digestive, and Kidney Diseases Extramural Research | 93.847 | | | | | 422,069.30 | 422,069.30 | | | | 422,069.30 | 422,069.30 |
| Extramural Research Programs in the Neurosciences and Neurological Disorders | 93.853 | | | | | 2,328,279.66 | 2,328,279.66 | | | | 2,328,279.66 | 2,328,279.66 |
| Allergy and Infectious Diseases Research | 93.855 | | | | | 417,713.79 | 417,713.79 | | | | 417,713.79 | 417,713.79 |
| Biomedical Research and Research Training | 93.859 | | | | | 92,366.31 | 92,366.31 | | | | 92,366.31 | 92,366.31 |
| Aging Research | 93.866 | | | | | 3,399,971.26 | 3,399,971.26 | | | | 3,399,971.26 | 3,399,971.26 |
| Vision Research | 93.867 | | | | | 2,360,171.12 | 2,360,171.12 | | | | 2,360,171.12 | 2,360,171.12 |
| Medical Library Assistance | 93.879 | | | | | 382,906.72 | 382,906.72 | | | | 382,906.72 | 382,906.72 |
| Medical Library Assistance | 93.879 | | | | | 2,738.93 | 2,738.93 | | | | 2,738.93 | 2,738.93 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| <i>University of Texas Health Science Center at San Antonio</i> | | | | | | | | | | | | |
| Healthy Start Initiative | 93.926 | | | | | 666,398.14 | 666,398.14 | 745 | 2,738.93 | | 666,398.14 | 666,398.14 |
| HIV Demonstration, Research, Public and Professional Education Projects | 93.941 | | | | | 120,229.81 | 120,229.81 | | | | 120,229.81 | 120,229.81 |
| PPHF Geriatric Education Centers | 93.969 | | | | | 315.44 | 315.44 | | | | 315.44 | 315.44 |
| PPHF Geriatric Education Centers | 93.969 | | | | | 5,474.15 | 5,474.15 | | | | 5,474.15 | 5,474.15 |
| <i>Pass-Through To:</i> | | | | | | | | | | | | |
| <i>University of Texas at Arlington</i> | | | | | | | | | | | | |
| Maternal and Child Health Services Block Grant to the States | 93.994 | | | | | 162,300.43 | 162,300.43 | 714 | 5,474.15 | | 162,300.43 | 162,300.43 |
| <i>Pass-Through From:</i> | | | | | | | | | | | | |
| <i>Allergy and Infectious Diseases Research</i> | | | | | | | | | | | | |
| | 93.855 | | | | | | 47,553.55 | | | | 47,553.55 | 47,553.55 |
| <i>Pass-Through From:</i> | | | | | | | | | | | | |
| <i>University of Texas Medical Branch at Galveston</i> | | | | | | | | | | | | |
| | | | 723 | 47,553.55 | | | | | | | | |
| Totals - U.S. Department of Health and Human Services | | | | 47,553.55 | 0.00 | 22,817,927.65 | 22,865,481.20 | | 905,911.06 | 0.00 | 21,959,570.14 | 22,865,481.20 |
| U.S. Department of Justice | | | | | | | | | | | | |
| <i>Direct Programs:</i> | | | | | | | | | | | | |
| National Institute of Justice Research, Evaluation, and Development Project Grants | 16.560 | | | | | 1,818,875.17 | 1,818,875.17 | | | | 1,818,875.17 | 1,818,875.17 |
| Criminal Justice Research and Development Graduate Research Fellowships | 16.562 | | | | | 27,784.26 | 27,784.26 | | | | 27,784.26 | 27,784.26 |
| DNA Backlog Reduction Program | 16.741 | | | | | 6,029,928.62 | 6,029,928.62 | | | | 6,029,928.62 | 6,029,928.62 |
| Second Chance Act Reentry Initiative | 16.812 | | | | | 24,742.14 | 24,742.14 | | | | 24,742.14 | 24,742.14 |
| <i>Pass-Through From:</i> | | | | | | | | | | | | |
| <i>National Institute of Justice Research, Evaluation, and Development Project Grants</i> | | | | | | | | | | | | |
| | 16.560 | | | | | | 96,711.03 | | | | 96,711.03 | 96,711.03 |
| <i>Pass-Through From:</i> | | | | | | | | | | | | |
| <i>Sam Houston State University</i> | | | | | | | | | | | | |
| | | | 753 | 96,711.03 | | | | | | | | |
| Totals - U.S. Department of Justice | | | | 96,711.03 | 0.00 | 7,901,330.19 | 7,998,041.22 | | 0.00 | 0.00 | 7,998,041.22 | 7,998,041.22 |
| U.S. Department of State | | | | | | | | | | | | |
| <i>Direct Programs:</i> | | | | | | | | | | | | |
| Criminal Justice Systems | 19.703 | | | | | 977,456.06 | 977,456.06 | | | | 977,456.06 | 977,456.06 |
| Totals - U.S. Department of State | | | | 0.00 | 0.00 | 977,456.06 | 977,456.06 | | 0.00 | 0.00 | 977,456.06 | 977,456.06 |
| Student Financial Assistance Cluster | | | | | | | | | | | | |
| U.S. Department of Education | | | | | | | | | | | | |
| <i>Direct Programs:</i> | | | | | | | | | | | | |
| Federal Perkins Loan Program_Federal Capital Contributions | 84.038 | | | | | | 0.00 | | | | 0.00 | 0.00 |
| Federal Direct Student Loans | 84.268 | | | | | 48,287,976.00 | 48,287,976.00 | | | | 48,287,976.00 | 48,287,976.00 |
| Totals - U.S. Department of Education | | | | 0.00 | 0.00 | 48,287,976.00 | 48,287,976.00 | | 0.00 | 0.00 | 48,287,976.00 | 48,287,976.00 |
| U.S. Department of Health and Human Services | | | | | | | | | | | | |

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| Federal Grantor/Pass-through Grantor/Program Title | CFDA Number | NSE Name/Identifying Number | Agy/Univ No | Pass-Through From Agencies or Universities Amount | Pass-Through From Non-State Entities Amount | Direct Program Amount | Total PT From and Direct Prog. Amount | Agy/Univ No. | Pass-Through To Agencies or Universities Amount | Pass-Through To Non-State Entities Amount | Expenditures Amount | Total PT To and Expenditures Amount |
|---|-------------|-----------------------------|-------------|---|---|-----------------------|---------------------------------------|--------------|---|---|----------------------|-------------------------------------|
| Direct Programs: | | | | | | | | | | | | |
| Health Professions Student Loan, Including Primary Car/Loans for Disadvantaged Students | 93.342 | | | | | 90,000.00 | 90,000.00 | | | | 90,000.00 | 90,000.00 |
| Totals - U.S. Department of Health and Human Services | | | | 0.00 | 0.00 | 90,000.00 | 90,000.00 | | 0.00 | 0.00 | 90,000.00 | 90,000.00 |
| Total Expenditures of Federal Awards | | | | 294,025.48 | 0.00 | 85,084,620.20 | 85,378,645.68 | | 1,013,852.38 | 0.00 | 84,364,793.30 | 85,378,645.38 |

UNAUDITED

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
Notes to Schedule 1A - Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended August 31, 2018

Note 1: Nonmonetary Assistance
N/A

Note 2: Reconciliation

Below is a reconciliation of the total of federal pass-through and federal expenditures as reported on the Schedule of Federal Financial Assistance to the total of Federal revenues and federal grant pass-through revenues as reported in the general-purpose financial statements. Generally, federal funds are not earned until expended; therefore, federal revenues equal federal expenditures for the reporting period.

| | |
|---|-------------------------|
| Federal Revenues - per Statement of Changes in Revenues, Expenses and Net Position | |
| Federal Grants and Contracts | \$ 36,706,644.20 |
| Federal Pass-Through Grants from Other State Agencies/Universities - Operating | 294,025.48 |
| Federal Pass-Through Grants from Other State Agencies/Universities - Nonoperating | - |
| Subtotal | <u>\$ 37,000,669.68</u> |
| Reconciling Items: | |
| Health Professions Student Loans, Including Primary Care Loans/ Loans for Disadvantaged Students - New Loans Processed | |
| Federal Perkins Loan Program | \$ 90,000.00 |
| Direct Student Loans | <u>48,287,976.00</u> |
| Total Pass - Through & Expenditures per Federal Schedule | <u>\$ 85,378,645.68</u> |

Note 3: Student Loans

| Federal Grantor/ CFDA Number/Program Name | Beginning Balance of Outstanding Loans | New Loans Processed | Admin. Costs Recovered | Total Loans Processed & Adm. Costs Recovered | Ending Balances of Previous Year's Loans |
|---|--|-------------------------|---------------------------|--|--|
| U.S. Department of Health and Human Services | | | | | |
| 93.342 Health Professions Student Loans, including Primary Care Loans/Loans for Disadvantaged Students | \$ 821,975.79 | \$ 90,000.00 | \$ - | \$ 171,504.26 | \$ 740,471.53 |
| Total U.S. Department of Health and Human Services | <u>\$ 821,975.79</u> | <u>\$ 90,000.00</u> | <u>\$ -</u> | <u>\$ 171,504.26</u> | <u>\$ 740,471.53</u> |
| U.S. Department of Education | | | | | |
| 84.038 Federal Perkins Loan Program | \$ 2,517,048.88 | \$ - | \$ - | \$ 276,771.13 | \$ 2,240,277.75 |
| 84.268 Federal Direct Student Loans | | 48,287,976.00 | - | 48,287,976.00 | - |
| Total U.S. Department of Education | <u>\$ 2,517,048.88</u> | <u>\$ 48,287,976.00</u> | <u>\$ -</u> | <u>\$ 48,564,747.13</u> | <u>\$ 2,240,277.75</u> |
| Total Loans and Recoveries | <u>\$ 3,339,024.67</u> | <u>\$ 48,377,976.00</u> | <u>\$ -</u> | <u>\$ 48,736,251.39</u> | <u>\$ 2,980,749.28</u> |

Note 4: Depository Libraries for Government Publications
N/A

Note 5: Unemployment Insurance Funds (Agency 320 only)
N/A

Note 6: Rebates from the Special Supplemental Food Program for Women, Infant and Children (WIC)(Agency 537 only)
N/A

Note 7: Federal Deferred Income
N/A

Note 8: Disaster Grants - Public Assistance
N/A

Note 9: Economic Adjustment Assistance
N/A

Note 10: 10% de Minimis Indirect Cost Rate
N/A

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UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (763)
Schedule 1B - Schedule of State Grant Pass-Through From/To State Agencies
For the Year Ended August 31, 2018

Pass-through From State Agencies:
To University of North Texas Health Science Center (763)

| | |
|---|------------------------|
| Texas Department of Public Safety (Agency 405) | |
| Missing Persons DNA Database | \$ 825,000.00 |
| Department of State Health Services (Agency 537) | |
| Child Health and Dental Services | 20,794.76 |
| Cancer Prevention and Research Institute of Texas (Agency 542) | |
| DP150091 - Bridging the Gap: Early Translational Research Award Slate | 102,378.82 |
| PP130074 - Evidence-Based Cancer Prevention Services | 35,756.05 |
| PP170012 - Competitive Continuation/Expansion Award | 173,854.19 |
| RP170301 - Research Training | 72,354.02 |
| University of Texas System (Agency 720) | |
| Joint Admission Medical Program (JAMP) | 136,289.63 |
| Texas Higher Education Coordinating Board (Agency 781) | |
| Family Practice Residency Program | 267,992.72 |
| Minority Health Research and Education | 20,372.47 |
| GME Unfilled Positions | 450,000.00 |
| | |
| Total Pass-Through from State Agencies | |
| (Statement of Revenues, Expenses, and Changes in Net Position) | <u>\$ 2,104,792.66</u> |

Pass-through To State Agencies:
From University of North Texas Health Science Center (763)

| | |
|--|---------------------|
| University of Texas MD Anderson Cancer Center (Agency 506) | |
| DP150091 - Selective Tumor Delivery of Anti- Cancer Agents in Ovarian Cancer Therapy | \$ 87,745.20 |
| | |
| Total Pass-Through to State Agencies | <u>\$ 87,745.20</u> |

UNAUDITED

UNIVERSITY OF NORTH HEALTH SCIENCE CENTER (763)
Schedule 3 - Reconciliation of Cash in State Treasury
For the Year Ended August 31, 2018

| <u>Cash in State Treasury</u> | <u>Unrestricted</u> | <u>Current Year Total</u> |
|---|------------------------|-------------------------------|
| Local Revenue Fund 0280 | \$ 6,918,951.78 | \$ 6,918,951.78 |
| Local Revenue Fund 0819 | 1,438,057.64 | 1,438,057.64 |
| Total Cash in State Treasury (Statement of Net Position) | <u>\$ 8,357,009.42</u> | <u>\$ 8,357,009.42</u> |