

3. INDEX TO FORMS

As a filer, you will first need to determine whether you must meet your filing obligation via EDGAR. The SEC Rules, particularly Regulation S-T, General Rules and Regulations for Electronic Filings, outline which filings are mandated as electronic. This means that they must be filed on EDGAR unless there is a case for Temporary or Permanent Hardship. (The rules for each form and hardship exemptions may differ, so please see the regulation for specific information.) Once you determine the form you need to file is electronic, then you need to identify the submission type to use. For each submission type, there are specific tools that you can use.

As the EDGAR system has evolved using new technologies, tools have been added, changed, and sometimes updated. There is no longer a single tool for preparing an EDGAR submission. Your guide to the tools is this index. The first index allows you to lookup EDGAR electronic submission types easily if all you know is the submission form type. Included in the last two columns is detailed information about the tools that are used to create and/or assemble the filing. The second index is easiest to use if you are more comfortable looking up your submission type by Act.

Before using the indexes, it is important to understand the difference between the Code of Federal Regulations (CFR) Form/Schedule/Rule column reference and the EDGAR Submission Type column. The index entries under the CFR Form/Schedule/Rule column refer to the titles of the actual Form, Schedule or Rule named in the CFR. The Submission Type refers to Form variations filers use on the electronic filing system to fulfill their filing obligation. For example, the paper Form 15 is implemented on EDGAR as a number of different submission types including: 15-12B, 15-12G, and 15-15D. The last three digits represent the section of the 34 Act under which the filer is obligated to file periodic reports.

Using either Index, find the submission type, check that the description of the form matches your understanding of the purpose of the form, and identify the tool that should be used to create the form. The options in this column are EDGARLink Online, EDGARLite and the corresponding templates, and OnlineForms. There is a section in this manual describing in detail each of these tools. Chapter 7, “Preparing and Transmitting EDGARLink Online Submissions,” describes EDGARLink Online. Chapter 8, “Preparing and Transmitting EDGARLite Submissions,” describes EDGARLite. Chapter 9, “Preparing and Transmitting Online Submissions,” describes online submissions using the EDGAR OnlineForms/XML Website and EDGAR Filing Website. The column entitled Filer-Constructed Technical Specifications should only be used by filers who want to create their submissions without the use of EDGAR tools. These Technical Specifications are available on the SEC’s Public Website. The Technical Specifications are delivered separately and are not part of the Filer Manual.

Note: In Section 3.1, “Alpha/Numeric” for simplicity we include both the initial form and the associated amendment in the Submission Type column.

3.1 Alpha/Numeric

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
1-A, 1-A/A	Offering statement under Regulation A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-A POS	Post-qualification amendment to a 1-A offering statement	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-A-W, 1-A-W/A	Withdrawal of offering statement under Regulation A	EDGARLink Online	EDGARLink Online XML Technical Specification
1-E, 1-E/A	Notification under Regulation E by small business investment companies and business development companies.	EDGARLink Online	EDGARLink Online XML Technical Specification
1-E AD, 1-E AD/A	Sales material filed pursuant to Rule 607 under Regulation E.	EDGARLink Online	EDGARLink Online XML Technical Specification
1-K, 1-K/A	Annual Report Pursuant to Regulation A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-SA, 1-SA/A	Semiannual Report Pursuant to Regulation A	EDGARLink Online	EDGARLink Online XML Technical Specification
1-U, 1-U/A	Current Report pursuant to Regulation A	EDGARLink Online	EDGARLink Online XML Technical Specification
1-Z, 1-Z/A	Exit Report under Regulation A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-Z-W, 1-Z-W/A	Withdrawal of Exit Report under Regulation A	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
253G1	Reflects information previously omitted from the offering circular in reliance on Rule 253(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
253G2	Reflects information that constitutes a substantive change from or addition to the information set forth in the last offering circular	EDGARLink Online	EDGARLink Online XML Technical Specification
253G3	Reflects information covered in both 253G1 and 253G2	EDGARLink Online	EDGARLink Online XML Technical Specification
253G4	Reflects information covered in 253G1, 253G2 or 253G3 that is not being timely filed	EDGARLink Online	EDGARLink Online XML Technical Specification
2-E, 2-E/A	Report of sales of securities pursuant to Rule 609 under Regulation E.	EDGARLink Online	EDGARLink Online XML Technical Specification
10-12B, 10-12B/A	Initial general form for registration of a class of securities pursuant to Section 12(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
10-12G, 10-12G/A	Initial general form for registration of a class of securities pursuant to Section 12(g)	EDGARLink Online	EDGARLink Online XML Technical Specification
10-D, 10-D/A	Periodic distribution reports by asset-backed issuers pursuant to Rule 13a-17 or 15d-17	EDGARLink Online	EDGARLink Online XML Technical Specification
10-K, 10-K/A	Annual report pursuant to Section 13 and 15(d)	EDGARLink Online	EDGARLink Online XML Technical Specification
10-KT, 10-KT/A	Transition report pursuant to Rule 13a-10 or 15d-10	EDGARLink Online	EDGARLink Online XML Technical Specification
10-Q, 10-Q/A	Quarterly report pursuant to Section 13 or 15(d)	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
10-QT, 10-QT/A	Transition report pursuant to Rule 13a-10 or 15d-10	EDGARLink Online	EDGARLink Online XML Technical Specification
11-K, 11-K/A	Annual report of employee stock purchase, savings and similar plans	EDGARLink Online	EDGARLink Online XML Technical Specification
11-KT, 11-KT/A	Transition report pursuant to rule 13a-10 or 15d-10	EDGARLink Online	EDGARLink Online XML Technical Specification
13F-HR, 13F-HR/A	Initial Quarterly Form 13F Holdings Report filed by institutional managers Initial Quarterly Form 13F Combination Report filed by institutional managers	EDGAR Filing Website Online Forms	EDGAR Form 13F XML Technical Specification
13F-NT, 13F-NT/A	Initial Quarterly Form 13F Notice Report filed by institutional managers	EDGAR Filing Website Online Forms	EDGAR Form 13F XML Technical Specification
13H, 13H-Q, 13H-A, 13H-I, 13H-R, 13H-T	Large Trader Registration Information Required of Large Traders Pursuant to the Securities Exchange Act of 1934 and Rule 13h-1 Thereunder. Initial Filing (13H), Amended Filing (13H-Q), Annual Filing (13H-A), Inactive Status (13H-I), Reactivated Status (13H-R), and Termination Filing (13H-T)	EDGAR Filing Website Online Forms	N/A
144, 144/A	Filing for proposed sale of securities under Rule 144	EDGARLink Online	EDGARLink Online XML Technical Specification
15-12B, 15-12B/A	Notice of termination of registration of a class of securities under Section 12(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
15-12G, 15-12G/A	Notice of termination of registration of a class of securities under Section 12(g)	EDGARLink Online	EDGARLink Online XML Technical Specification
15-15D, 15-15D/A	Notice of suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
15F-12G, 15F-12G/A	Notice of termination of a foreign private issuer's registration of a class of securities under Section 12(g).	EDGARLink Online	EDGARLink Online XML Technical Specification
15F-15D, 15F-15D/A	Notice of a foreign private issuer's suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act	EDGARLink Online	EDGARLink Online XML Technical Specification
18-12B, 18-12B/A	Form for initial registration of securities of foreign governments or political subdivisions pursuant to Section 12(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
18-12G, 18-12G/A	Form for initial registration of securities of foreign governments or political subdivisions thereof pursuant to Section 12(g)	EDGARLink Online	EDGARLink Online XML Technical Specification
18-K, 18-K/A	Annual report for foreign governments and political subdivisions	EDGARLink Online	EDGARLink Online XML Technical Specification
20-F, 20-F/A	Annual and transition report of foreign private issuers pursuant to Section 13 or 15(d)	EDGARLink Online	EDGARLink Online XML Technical Specification
20FR12B, 20FR12B/A	Form for initial registration of a class of securities of foreign private issuers pursuant to Section 12(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
20FR12G, 20FR12G/A	Form for initial registration of a class of securities of foreign private issuers pursuant to Section 12(g)	EDGARLink Online	EDGARLink Online XML Technical Specification
24F-2NT, 24F-2NT/A	Rule 24F-2 notice filed on Form 24F-2	EDGARLink Online	EDGARLink Online XML Technical Specification
25, 25/A	Notification filed by issuer to voluntarily withdraw a class of securities from listing and registration on a national securities exchange	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
25-NSE, 25-NSE/A	Notification filed by national security exchange to report the removal from listing and registration of matured, redeemed or retired securities	Distributed to National Securities Exchanges only	Distributed to National Securities Exchanges only
3, 3/A	Initial statement of beneficial ownership of securities	OnlineForms	EDGAR Ownership XML Technical Specification
305B2, 305B2/A	Application for designation of a new trustee under the Trust Indenture Act	EDGARLink Online	EDGARLink Online XML Technical Specification
4, 4/A	Statement of changes in beneficial ownership of securities	OnlineForms	EDGAR Ownership XML Technical Specification
40-6B, 40-6B/A	Application under the Investment Company Act by an employees' securities company	EDGARLink Online	EDGARLink Online XML Technical Specification
40-17F1, 40-17F1/A	Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-1 of the Investment Company Act of 1940 filed on Form N-17F-1	EDGARLink Online	EDGARLink Online XML Technical Specification
40-17F2, 40-17F2/A	Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-2 of the Investment Company Act of 1940 filed on Form N-17F-2	EDGARLink Online	EDGARLink Online XML Technical Specification
40-17G, 40-17G/A	Fidelity bond filed pursuant to Rule 17g1(g)(1) of the Investment Company Act of 1940	EDGARLink Online	EDGARLink Online XML Technical Specification
40-17GCS, 4017GCS/A	Filings of claim or settlement pursuant to rule 17g-1(g)(1)(2) or (3) of the Investment Company Act of 1940	EDGARLink Online	EDGARLink Online XML Technical Specification
40-24B2, 40-24B2/A	Filing of sales literature pursuant to Rule 24b2 under the Investment Company Act of 1940	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
40-33, 40-33/A	Copies of all stockholder derivative actions filed with a court against an investment company or an affiliate thereof pursuant to Section 33 of the Investment Company Act of 1940	EDGARLink Online	EDGARLink Online XML Technical Specification
40-8B25	Document or report	EDGARLink Online	EDGARLink Online XML Technical Specification
40-8F-2, 40-8F-2/A	Initial application for de-registration pursuant to Investment Company Act Rule 0-2	EDGARLink Online	EDGARLink Online XML Technical Specification
40-APP, 40-APP/A	Applications under the Investment Company Act other than those reviewed by Office of Insurance Products	EDGARLink Online	EDGARLink Online XML Technical Specification
40-F, 40-F/A	Annual reports filed by certain Canadian issuers pursuant to Section 15(d) and Rule 15d-4	EDGARLink Online	EDGARLink Online XML Technical Specification
40FR12B, 40FR12B/A	Registration of a class of securities of certain Canadian issuers pursuant to Section 12(b) of the 1934 Act	EDGARLink Online	EDGARLink Online XML Technical Specification
40FR12G, 40FR12G/A	Registration of a class of securities of certain Canadian issuers pursuant to Section 12(g) of the 1934 Act	EDGARLink Online	EDGARLink Online XML Technical Specification
40-OIP, 40-OIP/A	Applications under the Investment Company Act reviewed by Office of Insurance Products	EDGARLink Online	EDGARLink Online XML Technical Specification
424A	Prospectus filed pursuant to Rule 424(a)	EDGARLink Online	EDGARLink Online XML Technical Specification
424B1	Prospectus filed pursuant to Rule 424(b)(1)	EDGARLink Online	EDGARLink Online XML Technical Specification
424B2	Prospectus filed pursuant to Rule 424(b)(2)	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
424B3	Prospectus filed pursuant to Rule 424(b)(3)	EDGARLink Online	EDGARLink Online XML Technical Specification
424B4	Prospectus filed pursuant to Rule 424(b)(4)	EDGARLink Online	EDGARLink Online XML Technical Specification
424B5	Prospectus filed pursuant to Rule 424(b)(5)	EDGARLink Online	EDGARLink Online XML Technical Specification
424B7	Prospectus filed pursuant to Rule 424(b)(7)	EDGARLink Online	EDGARLink Online XML Technical Specification
424B8	Prospectus filed pursuant to Rule 424(b)(8)	EDGARLink Online	EDGARLink Online XML Technical Specification
424H, 424H/A	Preliminary Prospectus filed pursuant to Rule 424(h)	EDGARLink Online	EDGARLink Online XML Technical Specification
425	Filing under Securities Act Rule 425 of certain prospectuses and communications in connection with business combination transactions	EDGARLink Online	EDGARLink Online XML Technical Specification
485APOS	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	EDGARLink Online	EDGARLink Online XML Technical Specification
485BPOS	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	EDGARLink Online	EDGARLink Online XML Technical Specification
485BXT	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
486APOS	Post-effective amendment to filing filed pursuant to Securities Act Rule 486(a)	EDGARLink Online	EDGARLink Online XML Technical Specification
486BPOS	Post-effective amendment to filing filed pursuant to Securities Act Rule 486(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
487	Pre-effective pricing amendment filed pursuant to Securities Act Rule 487	EDGARLink Online	EDGARLink Online XML Technical Specification
497	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	EDGARLink Online	EDGARLink Online XML Technical Specification
497AD	Filing by certain investment companies of Securities Act Rule 482 advertising in accordance with Securities Act Rule 497	EDGARLink Online	EDGARLink Online XML Technical Specification
497H2	Filings made pursuant to Rule 497(h)(2)	EDGARLink Online	EDGARLink Online XML Technical Specification
497J	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	EDGARLink Online	EDGARLink Online XML Technical Specification
497K	Summary Prospectus for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k) Cannot be used until March 31, 2009.	EDGARLink Online	EDGARLink Online XML Technical Specification
497K1	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(i) Will no longer be accepted after close of business, (5:30 PM EST) on March 30, 2009.	EDGARLink Online	EDGARLink Online XML Technical Specification
497K2	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(ii) Will no longer be accepted after close of business, (5:30 PM EST) on March 30, 2009.	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
497K3A	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(iii)(A) Will no longer be accepted after close of business (5:30 PM EST) on March 30, 2009.	EDGARLink Online	EDGARLink Online XML Technical Specification
497K3B	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(iii)(B) Will no longer be accepted after close of business (5:30 PM EST) on March 30, 2009.	EDGARLink Online	EDGARLink Online XML Technical Specification
5, 5/A	Annual statement of changes in beneficial ownership of securities	OnlineForms	EDGAR Ownership XML Technical Specification
6-K, 6-K/A	Current report of foreign issuer pursuant to Rules 13a-16 and 15d-16 Amendments	EDGARLink Online	EDGARLink Online XML Technical Specification
8-A12B, 8-A12B/A	Form for the registration / listing of a class of securities on a national securities exchange pursuant to Section 12(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
8-A12G, 8-A12G/A	Form for registration of a class of securities pursuant to Section 12(g)	EDGARLink Online	EDGARLink Online XML Technical Specification
8-K, 8-K/A	Current report filing	EDGARLink Online	EDGARLink Online XML Technical Specification
8-K12B, 8-K12B/A	Notification that a class of securities of successor issuer is deemed to be registered pursuant to Section 12(b)	EDGARLink Online	EDGARLink Online XML Technical Specification
8-K12G3, 8-K12G3/A	Notification that a class of securities of successor issuer is deemed to be registered pursuant to Section 12(g)	EDGARLink Online	EDGARLink Online XML Technical Specification
8-K15D5, 8-K15D5/A	Notification of assumption of duty to report by successor issue under Section 15(d)	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
ABS-15G, ABS-15G/A	Asset-backed securities report pursuant to Section 15G	EDGARLink Online	EDGARLink Online XML Technical Specification
ABS-EE, ABS-EE/A	Form for Submission of Electronic Exhibits in asset-backed securities offerings	EDGARLink Online	EDGARLink Online XML Technical Specification, EDGAR ABS XML Technical Specification
ANNLRPT, ANNLRPT/A	Periodic Development Bank filing, submitted annually	EDGARLink Online	EDGARLink Online XML Technical Specification
APP WD, APP WD/A	Withdrawal of an application for exemptive or other relief from the federal securities laws	EDGARLink Online	EDGARLink Online XML Technical Specification
ARS, ARS/A	Annual report to security holders	EDGARLink Online	EDGARLink Online XML Technical Specification
AW	Withdrawal of amendment to a registration statement filed under the Securities Act	EDGARLink Online	EDGARLink Online XML Technical Specification
AW WD	Withdrawal of a request for withdrawal of an amendment to a registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
CB, CB/A	Notification form filed in connection with certain tender offers, business combinations and rights offerings, in which the subject company is a foreign private issuer of which less than 10% of its securities are held by U.S. persons	EDGARLink Online	EDGARLink Online XML Technical Specification
CORRESP	A correspondence can be sent as a document with another submission type or can be sent as a separate submission.	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
D, D/A	Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(a)(5) under the Act.	Online Forms	EDGAR Form D XML Technical Specification
DEF 14A	Definitive proxy statements	EDGARLink Online	EDGARLink Online XML Technical Specification
DEF 14C	Definitive information statements	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFA14C	Definitive additional information statement materials including Rule 14(a)(12) material	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFC14A	Definitive proxy statement in connection with contested solicitations	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFC14C	Definitive information statement - contested solicitations	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFM14A	Definitive proxy statement relating to a merger, acquisition, or disposition	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFM14C	Definitive information statement relating to merger or acquisition	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFN14A	Definitive proxy statement filed by non-management	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFR14A	Definitive revised proxy soliciting materials	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFR14C	Definitive revised information statement materials	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
DEL AM	Separately filed delaying amendment under Securities Act Rule 473 to delay effectiveness of a 1933 Act registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
DFAN14A	Definitive additional proxy soliciting materials filed by non-management including Rule 14(a)(12) material	EDGARLink Online	EDGARLink Online XML Technical Specification
DFRN14A	Revised definitive proxy statement filed by non-management	EDGARLink Online	EDGARLink Online XML Technical Specification
DOS, DOS/A	Non-public draft offering statement under Regulation A	EDGAR Filing Website	N/A
DOSLTR	Draft Offering Statement Letter	EDGARLink Online	N/A
DRS, DRS/A	Draft registration statement submitted by Emerging Growth Company under Securities Act Section 6(e) or by Foreign Private Issuer under Division of Corporation Finance policy	EDGARLink Online	N/A
DRSLTR	Correspondence Related to Draft Registration Statement	EDGARLink Online	N/A
DSTRBRPT, DSTRBRPT/A	Distribution of primary obligations Development Bank report	EDGARLink Online	EDGARLink Online XML Technical Specification
F-1, F-1/A	Registration statement for securities of certain foreign private issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
F-10, F-10/A	Registration statement for securities of certain Canadian issuers under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-10EF	Auto effective registration statement for securities of certain Canadian issuers under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-10POS	Post-effective amendment to a F-10EF registration	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
F-1MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-1	EDGARLink Online	EDGARLink Online XML Technical Specification
F-3, F-3/A	Registration statement for specified transactions by certain foreign private issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
F-3ASR	Automatic shelf registration statement of securities of well-known seasoned issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
F-3DPOS	Post-Effective amendment to a F-3D registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F 3	EDGARLink Online	EDGARLink Online XML Technical Specification
F-4 POS	Post-effective amendment to a F-4EF registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-4, F-4/A	Registration statement for securities issued by foreign private issuers in certain business combination transactions	EDGARLink Online	EDGARLink Online XML Technical Specification
F-4EF	Auto effective registration statement for securities by certain foreign private issuers in connection with certain business combination transactions	EDGARLink Online	EDGARLink Online XML Technical Specification
F-4MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F 4	EDGARLink Online	EDGARLink Online XML Technical Specification
F-6 POS	Post-effective amendment to a F-6EF registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-6, F-6/A	Registration statement for American Depositary Receipts representing securities of certain foreign private issuers	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
F-6EF	Auto effective registration statement for American Depositary Receipts representing securities of certain foreign private issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
F-7 POS	Post-effective amendment to a F-7 registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-7, F-7/A	Registration statement for securities of certain Canadian issuers offered for cash upon the exercise of rights granted to existing security holders under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-8 POS	Post-effective amendment to a F-8 registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-8, F-8/A	Registration statement for securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-80, F-80/A	Registration of securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-80POS	Post-effective amendment to a F-80 registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-9 POS	Post-effective amendment to a F-9EF registration	EDGARLink Online	EDGARLink Online XML Technical Specification
F-9, F-9/A	Registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-9EF	Auto effective registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933	EDGARLink Online	EDGARLink Online XML Technical Specification
F-N, F-N/A	Notification of the appointment of an agent for service by certain foreign institutions	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
FWP	Filing under Securities Act Rules 163/433 of free writing prospectuses	EDGARLink Online	EDGARLink Online XML Technical Specification
F-X, F-X/A	For appointment of agent for service of process by issuers registering securities	EDGARLink Online	EDGARLink Online XML Technical Specification
IRANNOTICE	Notice of disclosure filed in Exchange Act quarterly and annual reports pursuant to Section 219 of the Iran Threat Reduction and Syria Human Rights Act of 2012 and Section 13(r) of the Exchange Act	EDGARLink Online	EDGARLink Online XML Technical Specification
MA, MA-A, MA/A	Municipal Advisor registration required pursuant to Section 15B(a)(2) of the Securities Exchange Act of 1934 and the Rules Thereunder. Application for Municipal Advisor Registration (MA), Annual Update of Municipal Advisor Registration (MA-A), and Amendment of a Prior Application for Registration (MA/A)	EDGAR Filing Website Online Forms	N/A
MA-I, MA-I/A	Information Regarding Natural Persons Who Engage in Municipal Advisory Activities, and Amendment of a Previously Submitted Form MA-I	EDGAR Filing Website Online Forms	N/A
MA-W	Notice of Withdrawal from Registration as a Municipal Advisor	EDGAR Filing Website Online Forms	N/A
N-1, N-1/A	Initial registration statement filed on Form N-1 for open-end management investment companies	EDGARLink Online	EDGARLink Online XML Technical Specification
N-14 8C, N-14 8C/A	Initial registration statement filed on Form N14 by closed-end investment company (business combinations)	EDGARLink Online	EDGARLink Online XML Technical Specification
N-14, N-14/A	Initial registration statement filed on Form N14 for open-end investment company, including those filed with automatic effectiveness under Rule 488 (business combinations)	EDGARLink Online	EDGARLink Online XML Technical Specification
N-14MEF	A new registration statement filed on Form N14 by closed end investment companies filed under Securities Act Rule 462(b) of up to an additional 20% of securities for an offering that was registered on Form N-14	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
N-18F1, N-18F1/A	Initial notification of election pursuant to Rule 18f-1 filed on Form N-18F-1	EDGARLink Online	EDGARLink Online XML Technical Specification
N-1A, N-1A/A	Initial registration statement filed on Form N1A for open-end management investment companies	EDGARLink Online	EDGARLink Online XML Technical Specification
N-2, N-2/A	Initial filing of a registration statement on Form N-2 for closed-end investment companies	EDGARLink Online	EDGARLink Online XML Technical Specification
N-23C-2, N-23C-2/A	Notice by closed-end investment companies of intention to call or redeem their own securities under Investment Company Act Rule 23c-2	EDGARLink Online	EDGARLink Online XML Technical Specification
N-23C3A, N23C3A/A	Notification of periodic repurchase offer Filed pursuant to Rule 23c-3(b) only	EDGARLink Online	EDGARLink Online XML Technical Specification
N-23C3B, N23C3B/A	Filing pursuant to Rule 23c-3(c) only on Form N-23C-3	EDGARLink Online	EDGARLink Online XML Technical Specification
N-23C3C, N23C3C/A	Filing pursuant to Rule 23c-3(b) and (c) on Form N-23C-3	EDGARLink Online	EDGARLink Online XML Technical Specification
N-27D-1, N-27D-1/A	Accounting for segregated trust accounts on Form N-27D-1	EDGARLink Online	EDGARLink Online XML Technical Specification
N-2MEF	A new registration statement on Form N-2 filed under Securities Act Rule 462(b) by closed-end investment companies of up to an additional 20% of securities for an offering that was registered on Form N-2	EDGARLink Online	EDGARLink Online XML Technical Specification
N-3, N-3/A	Initial registration statement on Form N-3 for separate accounts (management investment companies)	EDGARLink Online	EDGARLink Online XML Technical Specification
N-30B-2	Periodic and interim reports mailed to investment company shareholders (other than annual and semi-annual reports mailed to shareholders pursuant to Rule 30e-1)	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
N-30D, N-30D/A	Initial annual and semi-annual reports mailed to investment company shareholders pursuant to Rule 30e-1 (other than those required to be submitted as part of Form NCSR.)	EDGARLink Online	EDGARLink Online XML Technical Specification
N-4, N-4/A	Initial registration statement on Form N-4 for separate accounts (unit investment trusts)	EDGARLink Online	EDGARLink Online XML Technical Specification
N-5, N-5/A	Registration statement for small business investment companies	EDGARLink Online	EDGARLink Online XML Technical Specification
N-54A, N-54A/A	Notification of election by business development companies filed on Form N-54A	EDGARLink Online	EDGARLink Online XML Technical Specification
N-54C, N-54C/A	Notification of withdrawal by business development companies filed on Form N-54C	EDGARLink Online	EDGARLink Online XML Technical Specification
N-6, N-6/A	Registration statement for separate accounts (unit investment trusts)	EDGARLink Online	EDGARLink Online XML Technical Specification
N-6F, N-6F/A	Notice of intent by business development companies to elect to be subject to Sections 55 through 65 of the 1940 Act filed on Form N-6F	EDGARLink Online	EDGARLink Online XML Technical Specification
N-8A, N-8A/A	Initial notification of registration under Section 8(a) filed on Form N-8A	EDGARLink Online	EDGARLink Online XML Technical Specification
N-8B-2, N-8B-2/A	Initial registration statement for unit investment trusts filed on Form N-8B-2	EDGARLink Online	EDGARLink Online XML Technical Specification
N-8B-3, N-8B-3/A	Initial registration statement for periodic payment plans filed on Form N-8B-3	EDGARLink Online	EDGARLink Online XML Technical Specification
N-8B-4, N-8B-4/A	Initial registration statement for face-amount certificate companies filed on Form N-8B-4	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
N-8F, N-8F/A	Application for deregistration made on Form N-8F	EDGARLink Online	EDGARLink Online XML Technical Specification
N-CR, N-CR/A	Current Report of Money Market Fund Material Events	EDGARLink Online	EDGARLink Online XML Technical Specification
N-CSR, N-CSR/A	Certified annual shareholder report of registered management investment companies filed on Form N-CSR	EDGARLink Online	EDGARLink Online XML Technical Specification
N-CSRS, N-CSRS/A	Certified semi-annual shareholder report of registered management investment companies filed on Form N-CSR	EDGARLink Online	EDGARLink Online XML Technical Specification
N-MFP, N-MFP/A	Monthly Schedule of Portfolio Holdings of Money Market Funds	N/A	EDGAR Form N-MFP XML Technical Specification
N-PX, N-PX/A	Annual Report of Proxy Voting Record of Registered Management Investment Companies filed on Form N-PX	EDGARLink Online	EDGARLink Online XML Technical Specification
N-PX-CR, N-PX-CR/A	Annual Form N-PX Combination Report filed by institutional managers	EDGARLink Online	EDGARLink Online XML Technical Specification
N-PX-FM, N-PX-FM/A	Annual Report of Proxy Voting Record of Registered Management Investment Companies that includes proxy votes institutional managers	EDGARLink Online	EDGARLink Online XML Technical Specification
N-PX-NT, N-PX-NT/A	Annual Form N-PX Notice filed by institutional managers	EDGARLink Online	EDGARLink Online XML Technical Specification
N-PX-VR, N-PX-VR/A	Annual Form N-PX Voting Report filed by institutional managers	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
N-Q, N-Q/A	Quarterly Schedule of Portfolio Holdings of Registered Management Investment Company filed on Form N-Q	EDGARLink Online	EDGARLink Online XML Technical Specification
NRSRO-UPD	Update of Registration for Nationally Recognized Statistical Rating Organizations	EDGARLink Online	EDGARLink Online XML Technical Specification
NRSRO-CE, NRSRO-CE/A	Annual Certification for Nationally Recognized Statistical Rating Organizations	EDGARLink Online	EDGARLink Online XML Technical Specification
NRSRO-FR, NRSRO-FR/A	Annual Financial/Other Reports for Nationally Recognized Statistical Rating Organizations, as required by Rule 17g-3 (Non-Public)	EDGARLink Online	EDGARLink Online XML Technical Specification
NRSRO-WCLS	Withdrawal from Credit Rating Class for Nationally Recognized Statistical Rating Organizations	EDGARLink Online	EDGARLink Online XML Technical Specification
NRSRO-WREG	Withdrawal from Registration as Nationally Recognized Statistical Rating Organization	EDGARLink Online	EDGARLink Online XML Technical Specification
NSAR-A, NSAR-A/A	Semi-annual report for management companies filed on Form N-SAR	EDGARLink Online	EDGARLink Online XML Technical Specification
NSAR-AT, NSAR-AT/A	Transitional semi-annual report filed on Form N-SAR	EDGARLink Online	EDGARLink Online XML Technical Specification
NSAR-B, NSAR-B/A	Annual report for management companies filed on Form N-SAR	EDGARLink Online	EDGARLink Online XML Technical Specification
NSAR-BT, NSAR-BT/A	Transitional annual report filed on Form N-SAR	EDGARLink Online	EDGARLink Online XML Technical Specification
NSAR-U, NSAR-U/A	Annual report for unit investment trusts filed on Form N-SAR	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
NT 10-K, NT 10-K/A	Notice under Rule 12b25 of inability to timely file all or part of a Form 10-K, 10-KSB, or 10-KT	EDGARLink Online	EDGARLink Online XML Technical Specification
NT 10-D, NT 10-D/A	Notice under Rule 12b25 of inability to timely file all or part of a Form 10-D	EDGARLink Online	EDGARLink Online XML Technical Specification
NT 10-Q, NT 10-Q/A	Notice under Rule 12b25 of inability to timely file all or part of a form 10-Q or 10-QSB	EDGARLink Online	EDGARLink Online XML Technical Specification
NT 11-K, NT 11-K/A	Notice under Rule 12b25 of inability to timely file all or part of a form 11-K	EDGARLink Online	EDGARLink Online XML Technical Specification
NT 15D2, NT 15D2/A	Notice under Rule 12b25 of inability to timely file a special report pursuant to Section 15d-2	EDGARLink Online	EDGARLink Online XML Technical Specification
NT 20-F, NT 20-F/A	Notice under Rule 12b25 of inability to timely file all or part of an annual report of form 20-F	EDGARLink Online	EDGARLink Online XML Technical Specification
NT-NCSR, NTNCSR/A	Notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR (annual or semi-annual report)	EDGARLink Online	EDGARLink Online XML Technical Specification
NT-NSAR, NTNSAR/A	Notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR	EDGARLink Online	EDGARLink Online XML Technical Specification
POS 8C	Post-effective amendment filed under the 1933 Act only or under both the 1933 and 1940 Acts pursuant to Section 8(c) of the 1933 Act by closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)	EDGARLink Online	EDGARLink Online XML Technical Specification
POS AM	Post-effective amendment to a registration statement that is not immediately effective upon filing	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
POS AMI	Post-effective amendment (for filings made under the 1940 Act only)	EDGARLink Online	EDGARLink Online XML Technical Specification
POSASR	Post-effective Amendment to an automatic shelf registration statement on Form S-3ASR or Form F-3ASR	EDGARLink Online	EDGARLink Online XML Technical Specification
POS EX	Post-effective amendment filed solely to add exhibits to a registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
POS462B	Post-effective amendment to Securities Act Rule 462(b) registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
POS462C	Post-effective amendment to a registration statement filed under Rule 462(c)	EDGARLink Online	EDGARLink Online XML Technical Specification
PRE 14A	Preliminary proxy statement not related to a contested matter or merger/acquisition	EDGARLink Online	EDGARLink Online XML Technical Specification
PRE 14C	Preliminary information statement not related to a contested matter or merger/acquisition	EDGARLink Online	EDGARLink Online XML Technical Specification
PREC14A	Preliminary proxy statement in connection with contested solicitations	EDGARLink Online	EDGARLink Online XML Technical Specification
PREC14C	Preliminary information statements - contested solicitations	EDGARLink Online	EDGARLink Online XML Technical Specification
PREM14A	Preliminary proxy statement relating to a merger, acquisition, or disposition	EDGARLink Online	EDGARLink Online XML Technical Specification
PREM14C	Preliminary information statements relating to merger or acquisition	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
PREN14A	Preliminary proxy statement filed by non-management	EDGARLink Online	EDGARLink Online XML Technical Specification
PRER14A	Preliminary revised proxy soliciting materials	EDGARLink Online	EDGARLink Online XML Technical Specification
PRER14C	Preliminary revised information statements	EDGARLink Online	EDGARLink Online XML Technical Specification
PRRN14A	Revised preliminary proxy statement filed by non-management	EDGARLink Online	EDGARLink Online XML Technical Specification
PX14A6G	Notice of exempt solicitation	EDGARLink Online	EDGARLink Online XML Technical Specification
PX14A6N	Notice of exempt solicitation for the purpose of determining whether to solicit proxies, consents, or authorizations in opposition to a proposed roll-up transaction filed pursuant to Rule 14a6(g) of the Securities Exchange Act of 1934	EDGARLink Online	EDGARLink Online XML Technical Specification
RTLYRPT, QRTLYRPT/A	Periodic Development Bank filing, submitted quarterly	EDGARLink Online	EDGARLink Online XML Technical Specification
RW	Registration Withdrawal Request	EDGARLink Online	EDGARLink Online XML Technical Specification
RW WD	Withdrawal of a Registration Withdrawal Request	EDGARLink Online	EDGARLink Online XML Technical Specification
S-1, S-1/A	General form of registration statement for all companies including face-amount certificate companies	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
S-11, S-11/A	Registration statement for securities to be issued by real estate companies	EDGARLink Online	EDGARLink Online XML Technical Specification
S-11MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S 11	EDGARLink Online	EDGARLink Online XML Technical Specification
S-1MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S 1	EDGARLink Online	EDGARLink Online XML Technical Specification
S-20, S-20/A	Registration statement for standardized options	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3, S-3/A	Registration statement for specified transactions by certain issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3ASR	Automatic shelf registration statement of securities of well-known seasoned issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3D	Automatically effective registration statement for securities issued pursuant to dividend or interest reinvestment plans	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3DPOS	Post-effective amendment to a S-3D registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S 3	EDGARLink Online	EDGARLink Online XML Technical Specification
S-4 POS	Post-effective amendment to a S-4EF registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
S-4, S-4/A	Registration of securities issued in business combination transactions	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
S-4EF	Auto effective registration statement for securities issued in connection with the formation of a bank or savings and loan holding company in compliance with General Instruction G	EDGARLink Online	EDGARLink Online XML Technical Specification
S-4MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-4	EDGARLink Online	EDGARLink Online XML Technical Specification
S-6, S-6/A	Initial registration statement filed on Form S-6 for unit investment trusts	EDGARLink Online	EDGARLink Online XML Technical Specification
S-8	Initial registration statement for securities to be offered to employees pursuant to employee benefit plans	EDGARLink Online	EDGARLink Online XML Technical Specification
S-8 POS	Post-effective amendment to a S-8 registration statement	EDGARLink Online	EDGARLink Online XML Technical Specification
S-B, S-B/A	Registration statement for securities of foreign governments and subdivisions thereof under the Securities Act of 1933 (Schedule B)	EDGARLink Online	EDGARLink Online XML Technical Specification
S-BMEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-B	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 13D, SC 13D/A	Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 13E1, SC 13E1/A	Schedule 13-E1 statement of issuer required by Rule 13e-1	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 13E3, SC 13E3/A	Schedule filed to report going private transactions	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 13G, SC 13G/A	Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities by passive investors and certain institutions	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
SC 14D9, SC 14D9/A	Tender offer solicitation/recommendation statements filed under Rule 14d-9	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 14F1, SC 14F1/A	Statement regarding change in majority of directors pursuant to Rule 14f-1	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 14N, SC 14N/A	Information filed by certain nominating shareholders (pursuant to Section 240 14n-1)	EDGARLink Online	EDGARLink Online XML Technical Specification
SC 14N-S, SC 14N-S/A	Solicitation in connection with the formation or attempted formation of a Rule 14a-11 nominating shareholder group or solicitation in connection with a Rule 14a-11 nomination	EDGARLink Online	EDGARLink Online XML Technical Specification
SC TO-C	Written communication relating to an issuer or third party tender offer	EDGARLink Online	EDGARLink Online XML Technical Specification
SC TO-I, SC TO-I/A	Issuer tender offer statement	EDGARLink Online	EDGARLink Online XML Technical Specification
SC TO-T, SC TO-T/A	Third party tender offer statement	EDGARLink Online	EDGARLink Online XML Technical Specification
SC13E4F, SC13E4F/A	Issuer tender offer statement filed pursuant to Rule 13(e)(4) by foreign issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
SC14D1F, SC14D1F/A	Third party tender offer statement filed pursuant to Rule 14d-1(b) by foreign issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
SC14D9C	Written communication by the subject company relating to a third party tender offer	EDGARLink Online	EDGARLink Online XML Technical Specification
SC14D9F, SC14D9F/A	Solicitation/recommendation statement pursuant to Section 14(d)(4) of the Securities Exchange Act of 1934 and Rules 14d-1(b) and 14e-2(c) by foreign issuers	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
SD, SD/A	Specialized Disclosure Report filed pursuant to Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act relating to the use of conflict minerals (Rule 13p-1)	EDGARLink Online	EDGARLink Online XML Technical Specification
SDR, SDR/A, SDR-A	Security-based swap data repository registration pursuant to the Securities Exchange Act of 1934. Application for Registration as Security-based Swap Data Repository (SDR), Interim Amendment to Application for Registration as Security-based Swap Data Repository (SDR/A), and Annual Amendment to Application for Registration as Security-based Swap Data Repository (SDR-A)	EDGAR Filing Website Online Forms	EDGAR SDR XML Technical Specification
SDR-W	Application for Withdrawal from Registration as Security-based Swap Data Repository	EDGAR Filing Website Online Forms	EDGAR SDR XML Technical Specification
SF-1, SF-1/A	General form of registration statement for all issuers of asset-backed securities	EDGARLink Online	EDGARLink Online XML Technical Specification
SF-3, SF-3/A	Shelf registration statement for qualified offerings of asset-backed securities	EDGARLink Online	EDGARLink Online XML Technical Specification
SF-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form SF-3	EDGARLink Online	EDGARLink Online XML Technical Specification
SH-ER, SH-ER/A	Weekly Form SH Entries Report Filed by Institutional Investment Managers	EDGARLink Online	SH-ER Information Table XML Technical Specification
SH-NT, SH-NT/A	Weekly Form SH Notice Report Filed by Institutional Investment Managers	EDGARLink Online	EDGARLink Online XML Technical Specification
SP 15D2, SP 15D2/A	Special Financial Report filed under Rule 15d-2	EDGARLink Online	EDGARLink Online XML Technical Specification

Submission Type	Description	Tool/ Template Number	Filer- Constructed Form Spec.
SUPPL	Voluntary supplemental material filed pursuant to Section 11(a) of the Securities Act of 1933 by foreign issuers	EDGARLink Online	EDGARLink Online XML Technical Specification
T-1, T-2	Note - 'Submission types' T-1 and T-2 are not used as stand-alone submission types on the EDGAR system. They are filed as EX-25 to registration statements.		
T-3, T-3/A	Initial application for qualification of trust indentures	EDGARLink Online	EDGARLink Online XML Technical Specification
T-6, T-6/A	Application for determination of eligibility of a foreign person to act as institutional trustee filed pursuant to Section 310(a)(1) of the Trust Indenture Act of 1939	EDGARLink Online	EDGARLink Online XML Technical Specification
TA-1, TA-1/A	Application for registration as a transfer agent filed pursuant to the Securities Exchange Act of 1934	EDGARLite TA-1 Template	EDGAR Form TA-1 XML Technical Specification
TA-2, TA-2/A	Annual report of transfer agent activities filed pursuant to the Securities Exchange Act of 1934	EDGARLite TA-2 Template	EDGAR Form TA-2 XML Technical Specification
TA-W	Notice of withdrawal from registration as transfer agent filed pursuant to the Securities Exchange Act of 1934	EDGARLite TA-W Template	EDGAR Form TA-W XML Technical Specification

3.2 Electronic Form Types by Act

This index will allow you to use the filing type to identify the EDGAR submission type and finally the tool or template you can use to either create or assemble the filing. Each of the tools and templates are covered in detail in separate sections of this document. The final column should only be used by filers or software developers who construct filings outside of the SEC supplied tools. EDGARLite templates can be downloaded from the OnlineForms/XML Website. Filer-Constructed Technical Specifications are available on the SEC's Public Website. Please refer to these sites to determine if your version of the tool, template, or document is correct.

Note: Tools, templates, and documents will no longer be marked with a version number relating to a software release. Some tools may be specific to submission types that remain the same through several releases, instead of being updated with each new release. Therefore each tool, template and manual will now be marked with its own version number, specific to that particular item. You can find the most current versions on the website from which the tools, templates, and manuals are downloaded. Be sure to verify that you have the current version before filing.

Issuers are advised that filings with the submission types listed below will receive a "Filing Date" identical to the EDGAR "Received Date" even if received after 5:30 P.M. Eastern time, which is different than normal filings. In addition these filings will be disseminated until 10:00 P.M. Eastern time. Normally filings received after 5:30 P.M. Eastern time will receive a filing date of the next business day and will not be disseminated until the next business day.

The following submission types will receive a filing date identical to the EDGAR "Received Date" and will be disseminated until 10:00 P.M. Eastern time:

Submission form types:

3	5/A	N-2MEF	S-4MEF
3/A	F-1MEF	POS 462B	S-BMEF
4	F-3MEF	S-11MEF	
4/A	F-4MEF	S-1MEF	
5	N-14MEF	S-3MEF	

You should send any fee payment made for the above registration statements to the Commission's lockbox. Additionally, you should submit any fee payment certification that you are making for the above-listed submission types, pursuant to Rule 111(b), using the "CORRESP" submission type.

Electronic Form Types by Act

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Table 3-1: Securities Act Submission Types Accepted by EDGAR

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
1-A	Offering statement under Regulation A	1-A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
	Pre-qualification amendment for offering statement under Regulation A	1-A/A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-A POS	Post-qualification amendment to a 1-A offering statement	1-A POS	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-A-W	Withdrawal of offering statement under Regulation A	1-A-W	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to a withdrawal of offering statement under Regulation A	1-A-W/A	EDGARLink Online	EDGARLink Online XML Technical Specification
1-K	Annual Report Pursuant to Regulation A	1-K	EDGAR Filing Website	EDGAR REG A XML Technical Specification
	Amendment to Annual Report Pursuant to Regulation A	1-K/A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-SA	Semiannual Report Pursuant to Regulation A	1-SA	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Semiannual Report Pursuant to Regulation A	1-SA/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
1-U	Current Report pursuant to Regulation A	1-U	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Current Report pursuant to Regulation A	1-U/A	EDGARLink Online	EDGARLink Online XML Technical Specification
1-Z	Exit Report under Regulation A	1-Z	EDGAR Filing Website	EDGAR REG A XML Technical Specification
	Amendment to Exit Report under Regulation A	1-Z/A	EDGAR Filing Website	EDGAR REG A XML Technical Specification
1-Z-W	Withdrawal of Exit Report under Regulation A	1-Z-W	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to a withdrawal of Exit Report under Regulation A	1-Z-W/A	EDGARLink Online	EDGARLink Online XML Technical Specification
253G1	Reflects information previously omitted from the offering circular in reliance on Rule 253(b)	253G1	EDGARLink Online	EDGARLink Online XML Technical Specification
253G2	Reflects information that constitutes a substantive change from or addition to the information set forth in the last offering circular	253G2	EDGARLink Online	EDGARLink Online XML Technical Specification
253G3	Reflects information covered in both 253G1 and 253G2	253G3	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
253G4	Reflects information covered in 253G1, 253G2 or 253G3 that is not being timely filed	253G4	EDGARLink Online	EDGARLink Online XML Technical Specification
D	Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(a)(5) under the Act	D	OnlineForms	EDGAR Form D XML Technical Specification
	Amendment	D/A	OnlineForms	EDGAR Form D XML Technical Specification
DOS	Non-public draft offering statement under Regulation A	DOS	EDGAR Filing Website	N/A
	Non-public draft pre-qualification amendment for offering statement under Regulation A	DOS/A	EDGAR Filing Website	N/A
	Draft Offering Statement Letter	DOSLTR	EDGARLink Online	N/A
DRS	Draft registration statement submitted by Emerging Growth Company under Securities Act Section 6(e) or by Foreign Private Issuer under Division of Corporation Finance policy	DRS	EDGARLink Online	N/A
	Amended Draft Registration Statement	DRS/A	EDGARLink Online	N/A
	Correspondence Related to Draft Registration Statement	DRSLTR	EDGARLink Online	N/A

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
F-1	Registration statement for securities of certain foreign private issuers	F-1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification
F-1MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-1	F-1MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
F-3	Registration statement for specified transactions by certain foreign private issuers	F-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-Effective amendment	F-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
F-3	Automatic shelf registration statement of securities of well-known seasoned issuers	F-3ASR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective Amendment to an automatic shelf registration statement on Form S-3ASR or Form F-3ASR	POSASR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Registration statement for dividend or interest reinvestment plan securities of foreign private issuers	F-3D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-Effective amendment to a F-3D registration	F-3DPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
F-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-3	F-3MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
F-4	Registration statement for securities issued by foreign private issuers in certain business combination transactions	F-4	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-4/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
F-4	Auto effective registration statement for securities by certain foreign private issuers in connection with certain business combination transactions	F-4EF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a F-4EF registration	F-4 POS	EDGARLink Online	EDGARLink Online XML Technical Specification
F-4MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-4	F-4MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
F-6	Registration statement for American Depositary Receipts representing securities of certain foreign private issuers	F-6	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-6/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification
	Auto effective registration statement for American Depositary Receipts representing securities of certain foreign private issuers	F 6EF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a F-6EF registration	F-6 POS	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
F-7	Registration statement for securities of certain Canadian issuers offered for cash upon the exercise of rights granted to existing security holders under the Securities Act of 1933	F-7	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-7/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a F-7 registration	F-7 POS	EDGARLink Online	EDGARLink Online XML Technical Specification
F-8	Registration statement for securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933	F-8	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-8/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a F-8 registration	F-8 POS	EDGARLink Online	EDGARLink Online XML Technical Specification
F-9	Registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933	F-9	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-9/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
F-9	Auto effective registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933	F-9EF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a F-9EF registration	F-9 POS	EDGARLink Online	EDGARLink Online XML Technical Specification
F-10	Registration statement for securities of certain Canadian issuers under the Securities Act of 1933	F-10	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-10/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Auto effective registration statement for securities of certain Canadian issuers under the Securities Act of 1933	F-10EF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a F10EF registration	F-10POS	EDGARLink Online	EDGARLink Online XML Technical Specification
F-80	Registration of securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933	F-80	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	F-80/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
S-1	General form of registration statement for all companies including face-amount certificate companies	S-1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification
S-1MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-1	S-1MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3	Registration statement for specified transactions by certain issuers	S-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
S-3	Automatic shelf registration statement of securities of well-known seasoned issuers	S-3ASR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective Amendment to an automatic shelf registration statement on Form S-3ASR or Form F-3ASR	POSASR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Automatically effective registration statement for securities issued pursuant to dividend or interest reinvestment plans	S-3D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a S-3D registration statement	S-3DPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
S-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-3	S-3MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
S-4	Registration of securities issued in business combination transactions	S-4	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-4/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
S-4	Auto effective registration statement for securities issued in connection with the formation of a bank or savings and loan holding company in compliance with General Instruction G	S-4EF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a S-4EF registration statement	S-4 POS	EDGARLink Online	EDGARLink Online XML Technical Specification
S-4MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-4	S-4MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
S-8	Initial registration statement for securities to be offered to employees pursuant to employee benefit plans	S-8	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a S-8 registration statement	S-8 POS	EDGARLink Online	EDGARLink Online XML Technical Specification
S-11	Registration statement for securities to be issued by real estate companies	S-11	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-11/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
S-11MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-11	S-11MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
S-20	Registration statement for standardized options	S-20	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-20/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification
S-B	Registration statement for securities of foreign governments and subdivisions thereof under the Securities Act of 1933 (Schedule B)	S-B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
S-BMEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-B	S-BMEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
POS462B	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
POS462C	Post-effective amendment to a registration statement filed under Rule 462(c)	POS462C	EDGARLink Online	EDGARLink Online XML Technical Specification
POS AM	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification
POS EX	Post-effective amendment filed solely to add exhibits to a registration statement	POS EX	EDGARLink Online	EDGARLink Online XML Technical Specification
SF-1	General form of registration statement for all issuers of asset-backed securities	SF-1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to an SF-1 registration statement	SF-1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
SF-3	Shelf registration statement for qualified offerings of asset-backed securities	SF-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to an SF-3 registration statement	SF-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification
SF-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form SF-3	SF-3MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
PROSPECTUSES FILED PURSUANT TO RULE 424				
	Prospectus filed pursuant to Rule 424(a)	424A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Prospectus filed pursuant to Rule 424(b)(1)	424B1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Prospectus filed pursuant to Rule 424(b)(2)	424B2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Prospectus filed pursuant to Rule 424(b)(3)	424B3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Prospectus filed pursuant to Rule 424(b)(4)	424B4	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
	Prospectus filed pursuant to Rule 424(b)(5)	424B5	EDGARLink Online	EDGARLink Online XML Technical Specification
	Prospectus filed pursuant to Rule 424(b)(7)	424B7	EDGARLink Online	EDGARLink Online XML Technical Specification
	Prospectus filed pursuant to Rule 424(b)(8)	424B8	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary Prospectus filed pursuant to Rule 424(h)	424H	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Preliminary Prospectus filed pursuant to Rule 424(h)	424H/A	EDGARLink Online	EDGARLink Online XML Technical Specification
FREE WRITING PROSPECTUS				
	Filing under Securities Act Rules 163/433 of free writing prospectuses	FWP	EDGARLink Online	EDGARLink Online XML Technical Specification
FILINGS PURSUANT TO THE TRUST INDENTURE ACT				
305B2	Application for designation of a new trustee under the Trust Indenture Act	305B2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	305B2/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
T-3	Initial application for qualification of trust indentures	T-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	T-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
T-6	Application for determination of eligibility of a foreign person to act as institutional trustee filed pursuant to Section 310(a)(1) of the Trust Indenture Act of 1939	T-6	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	T-6/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Note: "Submission types" T-1 and T-2 are not used as stand-alone submission types on the EDGAR system. They are filed as EX-25 to registration statements.				
FILINGS PURSUANT TO RULE 144				
144	Filing for proposed sale of securities under Rule 144	144	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Form 144 Note: Form 144 may be filed electronically on a voluntary basis if the issuer of the securities is a public company.	144/A	EDGARLink Online	EDGARLink Online XML Technical Specification
MISCELLANEOUS FILINGS				
APP WD	Withdrawal of an application for exemptive or other relief from the federal securities laws	APP WD	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Form APP WD	APP WD/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
DEL AM	Separately filed delaying amendment under Securities Act Rule 473 to delay effectiveness of a 1933 Act registration statement	DEL AM	EDGARLink Online	EDGARLink Online XML Technical Specification
UNDER	Initial undertaking to file reports	UNDER	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	UNDER/A	EDGARLink Online	EDGARLink Online XML Technical Specification
SUPPL	Voluntary supplemental material filed pursuant to Section 11(a) of the Securities Act of 1933 by foreign issuers	SUPPL	EDGARLink Online	EDGARLink Online XML Technical Specification
RW	Registration Withdrawal Request	RW	EDGARLink Online	EDGARLink Online XML Technical Specification
RW WD	Withdrawal of a Registration Withdrawal Request	RW WD	EDGARLink Online	EDGARLink Online XML Technical Specification
AW	Withdrawal of amendment to a registration statement filed under the Securities Act	AW	EDGARLink Online	Specification EDGARLink Online XML Technical Specification
AW WD	Withdrawal of a request for withdrawal of an amendment to a registration statement	AW WD	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
425	Filing under Securities Act Rule 425 of certain prospectuses and communications in connection with business combination transactions Note: Form 425 can be filed as part of Form 8-K. For filers subject to 8K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms	425	EDGARLink Online	EDGARLink Online XML Technical Specification
F-X	For appointment of agent for service of process by issuers registering securities (if filed on Form F-8, F-9, F-10 or F-80, or registering securities or filing periodic reports on Form 40-F, or by any person filing certain tender offer documents, or by any person acting as trustee with respect to securities registered on form F-7, F-8, F-9 or F-10	F-X	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	F-X/A	EDGARLink Online	EDGARLink Online XML Technical Specification
F-N	Notification of the appointment of an agent for service by certain foreign institutions	F-N	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	F-N/A	EDGARLink Online	EDGARLink Online XML Technical Specification

Table 3-2: Securities Exchange Act - Proxy Materials and Information Statements Filed Pursuant to Section 14 Submission Types Accepted by EDGAR

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
PRELIMINARY PROXY SOLICITING MATERIALS				
	Preliminary proxy statement relating to a merger, acquisition, or disposition	PREM14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary proxy statement in connection with contested solicitations	PREC14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary proxy statement filed by non-management	PREN14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary proxy statement not related to a contested matter or merger / acquisition	PRE 14A	EDGARLink Online	EDGARLink Online XML Technical Specification
PRELIMINARY INFORMATION STATEMENTS				
	Preliminary information statements relating to merger or acquisition	PREM14C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary information statements – contested solicitations	PREC14C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary information statement not related to a contested matter or merger/ acquisition	PRE 14C	EDGARLink Online	EDGARLink Online XML Technical Specification
REVISED PRELIMINARY MATERIALS				
	Preliminary revised proxy soliciting materials	PRER14A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
	Revised preliminary proxy statement filed by non-management	PRRN14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Preliminary revised information statements	PRER14C	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFINITIVE MATERIALS (PROXY MATERIALS)				
	Definitive proxy statement relating to a merger, acquisition, or disposition	DEFM14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive proxy statement in connection with contested solicitations	DEFC14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive proxy statement filed by non-management	DEFN14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Revised definitive proxy statement filed by non-management	DFRN14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive revised proxy soliciting materials	DEFR14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive additional proxy soliciting materials including Rule 14(a)(12) material Note: Submission type DEFA14A can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms	DEFA14A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
	Definitive additional proxy soliciting materials filed by non-management including Rule 14(a)(12) material Note: Submission type DFAN14A can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms	DFAN14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive proxy statements	DEF 14A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice of exempt solicitation	PX14A6G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice of exempt solicitation for the purpose of determining whether to solicit proxies, consents, or authorizations in opposition to a proposed roll-up transaction filed pursuant to Rule 14a6(g) of the Securities Exchange Act of 1934	PX14A6N	EDGARLink Online	EDGARLink Online XML Technical Specification
DEFINITIVE MATERIALS (INFORMATION STATEMENTS)				
	Definitive information statement relating to merger or acquisition	DEFM14C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive information statement – contested solicitations	DEFC14C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive information statements	DEF 14C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive additional information statement materials including Rule 14(a)(12) material	DEFA14C	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
	Definitive revised information statement materials	DEFR14C	EDGARLink Online	EDGARLink Online XML Technical Specification

Table 3-3: Securities Exchange Act - Registration and Report Submission Types Accepted by EDGAR

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
REGISTRATION STATEMENTS				
8-A	Form for the registration / listing of a class of securities on a national securities exchange pursuant to Section 12(b)	8-A12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	8-A12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Form for registration of a class of securities pursuant to Section 12(g)	8-A12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	8-A12G/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
10	Initial general form for registration of a class of securities pursuant to Section 12(b)	10-12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	10-12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Initial general form for registration of a class of securities pursuant to Section 12(g)	10-12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	10-12G/A	EDGARLink Online	EDGARLink Online XML Technical Specification
13H	Large Trader Registration Information Required of Large Traders Pursuant to the Securities Exchange Act of 1934 and Rule 13h-1 Thereunder	13H	EDGAR Filing Website Online Forms	N/A
	Amended Filing	13H-Q	EDGAR Filing Website Online Forms	N/A
	Annual Filing	13H-A	EDGAR Filing Website Online Forms	N/A
	Inactive Status	13H-I	EDGAR Filing Website Online Forms	N/A
	Reactivated Status	13H-R	EDGAR Filing Website Online Forms	N/A
	Termination Filing	13H-T	EDGAR Filing Website Online Forms	N/A

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
20-F	Form for initial registration of a class of securities of foreign private issuers pursuant to Section 12(b)	20FR12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	20FR12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Form for initial registration of a class of securities of foreign private issuers pursuant to Section 12(g)	20FR12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	20FR12G/A	EDGARLink Online	EDGARLink Online XML Technical Specification
40-F	Registration of a class of securities of certain Canadian issuers pursuant to Section 12(b) of the 1934 Act	40FR12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40FR12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Registration of a class of securities of certain Canadian issuers pursuant to Section 12(g) of the 1934 Act	40FR12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40FR12G/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
18	Form for initial registration of securities of foreign governments or political subdivisions pursuant to Section 12(b)	18-12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	18-12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Form for initial registration of securities of foreign governments or political subdivisions thereof pursuant to Section 12(g)	18-12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	18-12G/A	EDGARLink Online	EDGARLink Online XML Technical Specification
MA	Application for Municipal Advisor Registration	MA	EDGAR Filing Website Online Forms	N/A
	Annual Update of Municipal Advisor Registration	MA-A	EDGAR Filing Website Online Forms	N/A
	Amendment of a Prior Application for Registration	MA/A	EDGAR Filing Website Online Forms	N/A
MA-I	Information Regarding Natural Persons Who Engage in Municipal Advisory Activities	MA-I	EDGAR Filing Website Online Forms	N/A
	Amendment of a previously submitted Form MA-I	MA-I/A	EDGAR Filing Website Online Forms	N/A
MA-W	Notice of Withdrawal from Registration as a Municipal Advisor	MA-W	EDGAR Filing Website Online Forms	N/A

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
SDR	Application for Registration as Security-based Swap Data Repository	SDR	EDGAR Filing Website Online Forms	EDGAR SDR XML Technical Specification
	Interim Amendment to Application for Registration as Security-based Swap Data Repository	SDR/A	EDGAR Filing Website Online Forms	EDGAR SDR XML Technical Specification
	Annual Amendment to Application for Registration as Security-based Swap Data Repository	SDR-A	EDGAR Filing Website Online Forms	EDGAR SDR XML Technical Specification
SDR-W	Application for Withdrawal from Registration as Security-based Swap Data Repository	SDR-W	EDGAR Filing Website Online Forms	EDGAR SDR XML Technical Specification
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
ABS-EE	Form for Submission of Electronic Exhibits in asset-backed securities offerings	ABS-EE	EDGARLink Online	EDGARLink Online XML Technical Specification, EDGAR ABS XML Technical Specification
	Amendment to Form for Submission of Electronic Exhibits in asset-backed securities offerings	ABS-EE/A	EDGARLink Online	EDGARLink Online XML Technical Specification, EDGAR ABS XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
ARS	Annual report to security holders	ARS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment Note: Use this submission type when furnishing the annual report to security holders for the information of the Commission pursuant to Rule 14a-3(c) or Rule 14c-3(b). Portions of the annual report to security holders filed with the Commission as part of a registration statement or periodic report should be filed as Exhibit 13, as provided by Item 601 of Regulation S-K and S-B.	ARS/A	EDGARLink Online	EDGARLink Online XML Technical Specification
SD	Specialized Disclosure Report filed pursuant to Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act relating to the use of conflict minerals (Rule 13p-1)	SD	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SD/A	EDGARLink Online	EDGARLink Online XML Technical Specification
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
IRANNOTICE	Notice of disclosure filed in Exchange Act quarterly and annual reports pursuant to Section 219 of the Iran Threat Reduction and Syria Human Rights Act of 2012 and Section 13(r) of the Exchange Act	IRANNOTICE	EDGARLink Online	EDGARLink Online XML Technical Specification
6-K	Current report of foreign issuer pursuant to Rules 13a-16 and 15d-16	6-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendments	6-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
10-Q	Quarterly report pursuant to Section 13 or 15(d)	10-Q	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	10-Q/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Transition report pursuant to Rule 13a-10 or 15d-10	10-QT	EDGARLink Online	EDGARLink Online XML Technical Specification
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
10-K	Annual report pursuant to Section 13 and 15(d)	10-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	10-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Transition report pursuant to Rule 13a-10 or 15d-10	10-KT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	10-KT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
10-D	Periodic distribution reports by asset-backed issuers pursuant to Rule 13a-17 or 15d-17	10-D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	10-D/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
8-K	Current report filing	8-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	8-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notification that a class of securities of successor issuer is deemed to be registered pursuant to Section 12(b)	8-K12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	8-K12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
8-K	Notification that a class of securities of successor issuer is deemed to be registered pursuant to Section 12(g)	8-K12G3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	8-K12G3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notification of assumption of duty to report by successor issue under Section 15(d)	8-K15D5	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	8-K15D5/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
11-K	Annual report of employee stock purchase, savings and similar plans	11-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	11-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Transition report pursuant to rule 13a-10 or 15d-10	11-KT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	11-KT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
18-K	Annual report for foreign governments and political subdivisions	18-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	18-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification
20-F	Annual and transition report of foreign private issuers pursuant to Section 13 or 15(d)	20-F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	20-F/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
40-F	Annual report filed by certain Canadian issuers pursuant to Section 15(d) and Rule 15d-4	40-F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-F/A	EDGARLink Online	EDGARLink Online XML Technical Specification
12b-25	Notice under Rule 12b25 of inability to timely file all or part of a form 10-Q or 10-QSB	NT 10-Q	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT 10-Q/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
12b-25	Notice under Rule 12b25 of inability to timely file all or part of a form 10-K, 10-KSB, or 10-KT	NT 10-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT 10-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice under Rule 12b25 of inability to timely file all or part of a Form 10-D	NT 10-D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT 10-D/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice under Rule 12b25 of inability to timely file all or part of a form 11-K	NT 11-K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT 11-K/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice under Rule 12b25 of inability to timely file a special report pursuant to Section 15d-2	NT 15D2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT 15D2/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice under Rule 12b25 of inability to timely file all or part of an annual report of form 20-F	NT 20-F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT 20-F/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
15	Notice of termination of registration of a class of securities under Section 12(b)	15-12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	15-12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice of termination of registration of a class of securities under Section 12(g)	15-12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice of suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act	15-15D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	15-15D/A	EDGARLink Online	EDGARLink Online XML Technical Specification
See Rule 15d-2	Special Financial Report filed under Rule 15d-2	SP 15D2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SP 15D2/A	EDGARLink Online	EDGARLink Online XML Technical Specification
15F	Notice of termination of a foreign private issuer's registration of a class of securities under Section 12(b)	15F-12B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	15F-12B/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
ANNUAL, QUARTERLY, AND PERIODIC REPORTS				
15F	Notice of termination of a foreign private issuer's registration of a class of securities under Section 12(g)	15F-12G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	15F-12G/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice of a foreign private issuer's suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act	15F-15D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	15-15D/A	EDGARLink Online	EDGARLink Online XML Technical Specification
DODD-FRANK SUBMISSION TYPES/FILINGS				
ABS-15G	Asset-backed securities report pursuant to Section 15G	ABS-15G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	ABS-15G/A	EDGARLink Online	EDGARLink Online XML Technical Specification
NATIONALLY RECOGNIZED STATISTICAL RATING ORGANIZATION SUBMISSION TYPES/FILINGS				
NRSRO	Update of Registration for Nationally Recognized Statistical Rating Organizations	NRSRO-UPD	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual Certification for Nationally Recognized Statistical Rating Organizations	NRSRO-CE	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Annual Certification for Nationally Recognized Statistical Rating Organizations	NRSRO-CE/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
NRSRO	Annual Financial/Other Reports for Nationally Recognized Statistical Rating Organizations, as required by Rule 17g-3 (Non-Public)	NRSRO-FR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to Annual Financial/Other Reports for Nationally Recognized Statistical Rating Organizations, as required by Rule 17g-3 (Non-Public)	NRSRO- FR/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal from Credit Rating Class for Nationally Recognized Statistical Rating Organizations	NRSRO- WCLS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal from Registration as Nationally Recognized Statistical Rating Organization	NRSRO- WREG	EDGARLink Online	EDGARLink Online XML Technical Specification
MISCELLANEOUS SUBMISSION TYPES/FILINGS				
Form 13F	Initial Quarterly Form 13F Holdings Report filed by institutional managers Initial Quarterly Form 13F Combination Report filed by institutional managers	13F-HR	EDGAR Filing Website Online Forms	EDGAR Form 13F XML Technical Specification
	Amendment	13F-HR/A	EDGAR Filing Website Online Forms	EDGAR Form 13F XML Technical Specification
	Initial Quarterly Form 13F Notice Report filed by institutional managers	13F-NT	EDGAR Filing Website Online Forms	EDGAR Form 13F XML Technical Specification
	Amendment	13F-NT/A	EDGAR Filing Website Online Forms	EDGAR Form 13F XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
MISCELLANEOUS SUBMISSION TYPES/FILINGS				
Form APP WD	Withdrawal of an application for exemptive or other relief from the federal securities laws	APP WD APP WD/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-MFP	Monthly Schedule of Portfolio Holdings of Money Market Funds	N-MFP N-MFP/A	N/A	EDGAR Form N-MFP XML Technical Specification
Form SH	Weekly Form SH Entries Report Filed by Institutional Investment Managers	SH-ER	EDGARLink Online	SH-ER Information table only: SH-ER Information Table XML Technical Specification
	Amendment	SH-ER/A	EDGARLink Online	SH-ER/A Information table only: SH-ER Information Table XML Technical Specification
	Weekly Form SH Notice Report Filed by Institutional Investment Managers	SH-NT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SH-NT/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
MISCELLANEOUS SUBMISSION TYPES/FILINGS				
Form RW	Registration Withdrawal Request	RW	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal of a registration withdrawal statement	RW WD	EDGARLink Online	EDGARLink Online XML Technical Specification
Form AW	Withdrawal of an amendment to a registration statement filed under the Securities Act	AW	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal of an amendment registration withdrawal statement	AW WD	EDGARLink Online	EDGARLink Online XML Technical Specification
Form 425	Filing under Securities Act Rule 425 of certain prospectuses and communications in connection with business combination transactions Note: Form 425 can be filed as part of Form 8-K. For filers subject to 8K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms.	425	EDGARLink Online	EDGARLink Online XML Technical Specification
Form 25	Notification filed by issuer to voluntarily withdraw a class of securities from listing and registration on a national securities exchange	25	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	25/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
MISCELLANEOUS SUBMISSION TYPES/FILINGS				
Form 25	Notification filed by national security exchange to report the removal from listing and registration of matured, redeemed or retired securities	25-NSE	Distributed to National Securities Exchanges only	Distributed to National Securities Exchanges only
	Amendment	25-NSE/A	Distributed to National Securities Exchanges only	Distributed to National Securities Exchanges only
SECTION 16 OWNERSHIP FORMS/FILINGS				
Form 3	Initial statement of beneficial ownership of securities	3	OnlineForms	EDGAR Ownership XML Technical Specification
	Amendment	3/A	OnlineForms	EDGAR Ownership XML Technical Specification
Form 4	Statement of changes in beneficial ownership of securities	4	OnlineForms	EDGAR Ownership XML Technical Specification
	Amendment	4/A	OnlineForms	EDGAR Ownership XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
SECTION 16 OWNERSHIP FORMS/FILINGS				
Form 5	Annual statement of changes in beneficial ownership of securities	5	OnlineForms	EDGAR Ownership XML Technical Specification
	Amendment	5/A	OnlineForms	EDGAR Ownership XML Technical Specification
SECTION 17A TRANSFER AGENT FORMS/FILINGS				
TA-1	Application for registration as a transfer agent filed pursuant to the Securities Exchange Act of 1934	TA-1	EDGARLite TA-1 Template	EDGAR Form TA-1 XML Technical Specification
	Amendment	TA-1/A	EDGARLite TA-1 Template	EDGAR Form TA-1 XML Technical Specification
TA-2	Annual report of transfer agent activities filed pursuant to the Securities Exchange Act of 1934	TA-2	EDGARLite TA-2 Template	EDGAR Form TA-2 XML Technical Specification
	Amendment	TA-2/A	EDGARLite TA-2 Template	EDGAR Form TA-2 XML Technical Specification
TA-W	Notice of withdrawal from registration as transfer agent filed pursuant to the Securities Exchange Act of 1934	TA-W	EDGARLite TA-W Template	EDGAR Form TA-W XML Technical Specification

Table 3-4: Williams Act Filing Submission Types Accepted by EDGAR

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Schedule 13D	Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities	SC 13D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 13D/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 13-E1	Schedule 13-E1 statement of issuer required by Rule 13e-1	SC 13E1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 13E1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 13E-3	Schedule filed to report going private transactions	SC 13E3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 13E3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 13E4F	Issuer tender offer statement filed pursuant to Rule 13(e)(4) by foreign issuers	SC13E4F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC13E4F/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Schedule 13G	Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities by passive investors and certain institutions	SC 13G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 13G/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 14A-11	Information filed by certain nominating shareholders (pursuant to Section 240 14n-1)	SC 14N	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 14N/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule TO-I	Issuer tender offer statement	SC TO-I	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC TO-I/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule TO-T	Third party tender offer statement	SC TO-T	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC TO-T/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule TO-C	Written communication relating to an issuer or third party tender offer Note: Form Schedule TO-C can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms	SC TO-C	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Schedule 14D1F	Third party tender offer statement filed pursuant to Rule 14d-1(b) by foreign issuers	SC14D1F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC14D1F/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 14D9-C	Written communication by the subject company relating to a third party tender offer	SC14D9C	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 14D9	Tender offer solicitation / recommendation statements filed under Rule 14-d9	SC 14D9	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 14D9/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 14D9F	Solicitation/recommendation statement pursuant to Section 14(d)(4) of the Securities Exchange Act of 1934 and Rules 14d-1(b) and 14e-2(c) by foreign issuers	SC14D9F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC14D9F/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Schedule 14F-1	Statement regarding change in majority of directors pursuant to Rule 14f-1	SC 14F1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	SC 14F1/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
CB	Notification form filed in connection with certain tender offers, business combinations and rights offerings, in which the subject company is a foreign private issuer of which less than 10% of its securities are held by U.S. persons	CB	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	CB/A	EDGARLink Online	EDGARLink Online XML Technical Specification

Table 3-5: Investment Company Submission Types Accepted by EDGAR

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Forms S-1 & S-3	Registration statement for face-amount certificate companies			
	General form of registration statement for all companies including face-amount certificate companies	S-1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Registration statement for specified transactions by certain issuers including face-amount certificate companies	S-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement that is not immediately effective upon filing	POS AM	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Forms S-1 & S-3	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
Forms N-1 & N-1A	Registration statement for open-end management investment companies			
	Initial registration statement filed on Form N-1A for open-end management investment companies	N-1A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement filed on Form N-1A for open-end management investment companies (this filing cannot be submitted as a 1940 Act only filing)	N-1A/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Initial registration statement filed on Form N-1 for open-end management investment companies	N-1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement filed on Form N-1 for open-end management investment companies (this filing cannot be submitted as a 1940 Act only filing)	N-1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	485BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment (for filings made under the 1940 Act only)	POS AMI	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Forms N-1 & N-1A	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485BXT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 498	Summary Prospectus for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k) Cannot be used until March 31, 2009.	497K	EDGARLink Online	EDGARLink Online XML Technical Specification
	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(i) Will no longer be accepted after close of business (5:30 PM EST) on March 30, 2009.	497K1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(ii) Will no longer be accepted after close of business (5:30 PM EST) on March 30, 2009.	497K2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(iii)(A) Will no longer be accepted after close of business (5:30 PM EST) on March 30, 2009.	497K3A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Rule 498	Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(iii)(B) Will no longer be accepted after close of business (5:30 PM EST) on March 30, 2009.	497K3B	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-2	Initial filing of a registration statement on Form N-2 for closed-end investment companies	N-2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement on Form N-2 for closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)	N-2/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment (for filings made under the 1940 Act only)	POS AMI	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed under the 1933 Act only or under both the 1933 and 1940 Acts pursuant to Section 8(c) of the 1933 Act by closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)	POS 8C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Filing of a Form N-2 registration statement by closed-end investment companies pursuant to Securities Act Rule 486(a) for the purpose of registering additional shares of common stock	N-2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to filing filed pursuant to Securities Act Rule 486(a)	486APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Filing of a Form N-2 registration statement by closed-end investment companies pursuant to Securities Act Rule 486(b) for the purpose of registering additional shares of common stock	N-2	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-2	Post-effective amendment to filing filed pursuant to Securities Act Rule 486(b)	486BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
	A new registration statement on Form N-2 filed under Securities Act Rule 462(b) by closed-end investment companies of up to an additional 20% of securities for an offering that was registered on Form N-2	N-2MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to a registration statement filed under Securities Act Rule 462(c)	POS462C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed solely to add exhibits to a registration statement	POS EX	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Filings made pursuant to Rule 497(h)(2)	497H2	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-3	Initial registration statement on Form N-3 for separate accounts (management investment companies)	N-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement on Form N-3 for separate accounts (management investment companies) (this filing cannot be submitted as a 1940 Act only filing)	N-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	485BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment (for filings made under the 1940 Act only)	POS AMI	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485BXT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-4	Initial registration statement on Form N-4 for separate accounts (unit investment trusts)	N-4	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement on Form N-4 for separate accounts (unit investment trusts) (this filing cannot be submitted as a 1940 Act only filing)	N-4/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective pricing amendment filed pursuant to Securities Act Rule 487	487	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	485BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485BXT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment (for filings made under the 1940 Act only)	POS AMI	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-5	Registration statement for small business investment companies	N-5	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement on Form N-5 for small business investment companies (this filing cannot be submitted as a 1940 Act only filing)	N-5/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment (for filings made under the 1940 Act only)	POS AMI	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed under the 1933 Act only or under both the 1933 and 1940 Acts pursuant to Section 8(c) of the 1933 Act by closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)	POS 8C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-6	Registration statement for separate accounts (unit investment trusts)	N-6	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment to a registration statement filed on Form N-6 for separate accounts (unit investment trusts)	N-6/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective pricing amendment filed pursuant to Securities Act Rule 487	487	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-6	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	485BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485BXT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment (for filings made under the 1940 Act only)	POS AMI	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
Form S-6	Initial registration statement filed on Form S-6 for unit investment trusts	S-6	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	S-6/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective pricing amendment filed pursuant to Securities Act Rule 487	487	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form S-6	Initial undertaking to file reports	UNDER	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	UNDER/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	485BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485BXT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-14	Initial registration statement filed on Form N-14 for open-end investment company, including those filed with automatic effectiveness under Rule 488 (business combinations)	N-14	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	N-14/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-14	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)	485APOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)	485BPOS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Initial registration statement filed on Form N-14 by closed-end investment company (business combinations)	N-14 8C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Pre-effective amendment	N-14 8C/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment filed under the 1933 Act only or under both the 1933 and 1940 Acts pursuant to Section 8(c) of the 1933 Act by closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)	POS 8C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497	497J	EDGARLink Online	EDGARLink Online XML Technical Specification
	A new registration statement filed on Form N-14 by closed end investment companies filed under Securities Act Rule 462(b) of up to an additional 20% of securities for an offering that was registered on Form N-14	N-14MEF	EDGARLink Online	EDGARLink Online XML Technical Specification
	Post-effective amendment to Securities Act Rule 462(b) registration statement	POS462B	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-14	Post-effective amendment to Securities Act Rule 462(c) registration statement	POS462C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497	497	EDGARLink Online	EDGARLink Online XML Technical Specification
MISCELLANEOUS FILINGS UNDER THE SECURITIES ACT				
Rule 425	Filing under Securities Act Rule 425 of certain prospectuses and communications in connection with business combination transactions Note: Form 425 can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms	425	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 473	Separately filed delaying amendment under Securities Act Rule 473 to delay effectiveness of a 1933 Act registration statement	DEL AM	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 477	Withdrawal of an application for exemptive or other relief from the federal securities laws	APP WD	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal of an amendment to an application for exemptive or other relief from the federal securities laws	APP WD/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Registration Withdrawal Request	RW	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal of a request for withdrawal of a registration statement	RW WD	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Rule 477	Withdrawal of amendment to a registration statement filed under the Securities Act	AW	EDGARLink Online	EDGARLink Online XML Technical Specification
	Withdrawal of a request for withdrawal of an amendment to a registration statement	AW WD	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 482	Filing by certain investment companies of Securities Act Rule 482 advertising in accordance with Securities Act Rule 497	497AD	EDGARLink Online	EDGARLink Online XML Technical Specification
REGISTRATION OF SECURITIES BY CERTAIN INVESTMENT COMPANIES PURSUANT TO RULE 24F-2				
Rule 24F-2	Rule 24f-2 notice filed on Form 24F-2	24F-2NT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	24F-2NT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
OTHER COMPANY ACT REGISTRATION STATEMENTS				
Form N-8A	Initial notification of registration under Section 8(a) filed on Form N-8A	N-8A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-8A/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-8B-2	Initial registration statement for unit investment trusts filed on Form N-8B-2	N-8B-2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-8B-2/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-8B-3	Initial registration statement for periodic payment plans filed on Form N-8B-3	N-8B-3	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-8B-3/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-8B-4	Initial registration statement for face-amount certificate companies filed on Form N-8B-4	N-8B-4	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-8B-4/A	EDGARLink Online	EDGARLink Online XML Technical Specification
SUBMISSION TYPES FOR BUSINESS DEVELOPMENT COMPANIES				
Form N-6F	Notice of intent by business development companies to elect to be subject to Sections 55 through 65 of the 1940 Act filed on Form N-6F	N-6F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-6F/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-54A	Notification of election by business development companies filed on Form N-54A	N-54A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-54A/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-54C	Notification of withdrawal by business development companies filed on Form N-54C	N-54C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-54C/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
OTHER INVESTMENT COMPANY SUBMISSION TYPES				
Rule 8b-25(a)	Filing by investment company of application under Investment Company Act Rule 8b-25(a) requesting extension of time for filing certain information, document or report	40-8B25	EDGARLink Online	EDGARLink Online XML Technical Specification
Form 17F-1	Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-1 of the Investment Company Act of 1940 filed on Form N-17F-1	40-17F1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-17F1/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form 17F-2	Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-2 of the Investment Company Act of 1940 filed on Form N-17F-2	40-17F2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-17F2/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 17g-1(g)(1)	Fidelity bond filed pursuant to Rule 17g-1(g)(1) of the Investment Company Act of 1940	40-17G	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-17G/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Rule 17g-1(g)(2) or (3)	Filings of claim or settlement pursuant to rule 17g-1(g)(1)(2) or (3) of the Investment Company Act of 1940	40-17GCS	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-17GCS/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 24b-2	Filing of sales literature pursuant to Rule 24b-2 under the Investment Company Act of 1940	40-24B2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-24B2/A	EDGARLink Online	EDGARLink Online XML Technical Specification
ICA Section 33	Copies of all stockholder derivative actions filed with a court against an investment company or an affiliate thereof pursuant to Section 33 of the Investment Company Act of 1940	40-33	EDGARLink Online	EDGARLink Online XML Technical Specification
ICA Section 33	Amendment Note: May be filed electronically on a voluntary basis.	40-33/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-18F-1	Initial notification of election pursuant to Rule 18f-1 filed on Form N-18F-1	N-18F1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-18F1/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Rule 23c-2(b)	Notice by closed-end investment companies of intention to call or redeem their own securities under Investment Company Act Rule 23c-2	N-23C-2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-23C-2/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-23C-3	Notification of periodic repurchase offer Filed pursuant to Rule 23c-3(b) only	N-23C-3A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-23C-3A/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Filing pursuant to Rule 23c-3(c) only on Form N-23C-3	N-23C-3B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-23C-3B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Filing pursuant to Rule 23c-3(b) and (c) on Form N-23C-3	N-23C-3C	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-23C-3C/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-27D-1	Accounting for segregated trust accounts on Form N-27D-1	N-27D-1	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-27D-1/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
PERIODIC REPORTS FOR REGISTERED INVESTMENT COMPANIES				
Form N-SAR	Semi-annual report for management companies filed on Form N-SAR	NSAR-A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NSAR-A/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Transitional semi-annual report filed on Form N-SAR	NSAR-AT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NSAR-AT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual report for management companies filed on Form N-SAR	NSAR-B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NSAR-B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Transitional annual report filed on Form N-SAR	NSAR-BT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NSAR-BT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual report for unit investment trusts filed on Form N-SAR	NSAR-U	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NSAR-U/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-CR	Current Report of Money Market Fund Material Events	N-CR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-CR/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-CSR	Certified annual shareholder report of registered management investment companies filed on Form N-CSR	N-CSR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-CSR/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Certified semi-annual shareholder report of registered management investment companies filed on Form NCSR	N-CSR/S	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-CSR/S/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Form N-MFP	Monthly Schedule of Portfolio Holdings of Money Market Funds	N-MFP	N/A	EDGAR Form N-MFP XML Technical Specification
	Amendment	N-MFP/A	N/A	EDGAR Form N-MFP XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-PX	Annual Report of Proxy Voting Record of Registered Management Investment Companies filed on Form N-PX	N-PX	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-PX/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual Form N-PX Combination Report filed by institutional managers	N-PX-CR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-PX-CR/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual Report of Proxy Voting Record of Registered Management Investment Companies that includes proxy votes institutional managers	N-PX-FM	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-PX-FM/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual Form N-PX Notice filed by institutional managers	N-PX-NT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-PX-NT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Annual Form N-PX Voting Report filed by institutional managers	N-PX-VR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-PX-VR/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Form N-Q	Quarterly Schedule of Portfolio Holdings of Registered Management Investment Company filed on Form N-Q	N-Q	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-Q/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 12b-25	Notice under Exchange Act Rule 12b-25 of inability to timely file Form NSAR	NT-NSAR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT-NSAR/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Notice under Exchange Act Rule 12b25 of inability to timely file Form NCSR (annual or semi-annual report)	NT-NCSR	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	NT-NCSR/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Rule 30b2-1	Initial annual and semi-annual reports mailed to investment company shareholders pursuant to Rule 30e-1 (other than those required to be submitted as part of Form N-CSR)	N-30D	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-30D/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Periodic and interim reports mailed to investment company shareholders (other than annual and semi-annual reports mailed to shareholders pursuant to Rule 30e-1)	N-30B-2	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
REGULATION E FILINGS				
Regulation E	Notification under Regulation E by small business investment companies and business development companies filed on Form 1-E.	1-E	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to a notification under Regulation E by small business investment companies and business development companies filed on Form 1-E	1-E/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Sales material filed pursuant to Rule 607 under Regulation E	1-E AD	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to sales material filed pursuant to Rule 607 under Regulation E	1-E AD/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Report of sales of securities pursuant to Rule 609 under Regulation E filed on Form 2-E	2-E	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment of a report of sales of securities pursuant to Rule 609 under Regulation E filed on Form 2-E	2-E/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
APPLICATION FOR AN ORDER UNDER THE INVESTMENT COMPANY ACT				
Rule 0-2	Application under the Investment Company Act submitted pursuant to Investment Company Act Rule 0-2 reviewed by the Office of Insurance Products	40-OIP	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to an application under the Investment Company Act submitted pursuant to Investment Company Act Rule 0-2 reviewed by the Office of Insurance Products	40-OIP/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Application under the Investment Company Act by an employees' securities company submitted pursuant to Investment Company Act Rule 0-2	40-6B	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to an application under the Investment Company Act by an employees' securities company submitted pursuant to Investment Company Act Rule 0-2	40-6B/A	EDGARLink Online	EDGARLink Online XML Technical Specification
	Application under the Investment Company Act submitted pursuant to Investment Company Act Rule 0-2 other than those reviewed by Office of Insurance Products	40-APP	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment to an application under the Investment Company Act submitted pursuant to Investment Company Act Rule 0-2 other than those reviewed by Office of Insurance Products	40-APP/A	EDGARLink Online	EDGARLink Online XML Technical Specification
APPLICATION FOR DEREGISTRATION OF INVESTMENT COMPANIES				
Form N-8F	Application for deregistration made on Form N-8F	N-8F	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	N-8F/A	EDGARLink Online	EDGARLink Online XML Technical Specification

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Rule 0-2	Initial application for deregistration pursuant to Investment Company Act Rule 0-2	40-8F-2	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	40-8F-2/A	EDGARLink Online	EDGARLink Online XML Technical Specification

Table 3-6: Development Bank Submission Types Accepted by EDGAR

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
QRTLYRPT	Periodic Development Bank filing, submitted quarterly	QRTLYRPT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	QRTLYRPT/ A	EDGARLink Online	EDGARLink Online XML Technical Specification
ANNLRPT	Periodic Development Bank filing, submitted annually	ANNLRPT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	ANNLRPT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
DSTRBRPT	Distribution of primary obligations Development Bank report	DSTRBRPT	EDGARLink Online	EDGARLink Online XML Technical Specification
	Amendment	DSTRBRPT/A	EDGARLink Online	EDGARLink Online XML Technical Specification
Note: (1) These submission types are to be filed ONLY by the Development Banks. BW-2 and BW-3 submission types have been rescinded; please use QRTLYRPT, ANNLRPT, and DSTRBRPT Form Types.				

Correspondence Submission Types

CFR Form/ Schedule/ Rule	Description	Submission Type	Tool/ Template Number	Filer- Constructed Form Spec.
Correspondence	A correspondence can be sent as a document with another submission type or can be sent as a separate submission. Correspondence is not publicly disseminated immediately. The SEC staff may release all or portions of these documents electronically if they relate to the staff's review process. See SEC Press Release 2004-89, "SEC Staff to Publicly Release Comment Letters and Responses."	CORRESP	EDGARLink Online	EDGARLink Online XML Technical Specification
Correspondence Related to Draft Registration Statement	Letters to SEC staff regarding draft registration statements can either be included in the DRS submission as a COVER document or must be submitted as a separate DRSLTR submission. Filers should not submit correspondence to the staff using the CORRESP form type until they begin making public filings to complete their offerings. This allows the SEC staff to easily associate correspondence during the draft review with the draft registration statement.	DRSLTR	EDGARLink Online	N/A
Draft Offering Statement Letter	Letters to SEC staff regarding draft offering statements can either be included in the DOS submission as a COVER document or must be submitted as a separate DOSLTR submission. Filers should not submit correspondence to the staff using the CORRESP form type until they begin making public filings to complete their offerings. This allows the SEC staff to easily associate correspondence during the draft review with the draft offering statement.	DOSLTR	EDGARLink Online	N/A