\_Animal and Plant Health Inspection Service\_\_\_\_\_(agency name)

# **IV. EXEMPTION 3 STATUTES**

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon
Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) 7 U.S.C. § 136	Location where restricted use pesticides are applied		1
Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) 7 U.S.C. § 136i- 1(b)	The identity, and/or any information leading to the identity of users of restricted use pesticides, Location where restricted use pesticides are applied		2
Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) 7 U.S.C. § 1381- 1(b)	The identity, and/or any information leading to the identity of users of restricted use pesticides, Location where restricted use pesticides are applied		1
Bioterrorism Act of 2002, Section 212	Entire record set withheld -Information concerning select agents and toxins		2

### **V. FOIA REQUESTS**

## A. Received, Processed, and Pending FOIA Requests

Number of Requests Pending as of Start of Fiscal Year	uests Pending Start of Fiscal in Fiscal Year		Number of Requests Pending as of End of Fiscal Year	
901 (Reported 895) **	1229	1249	881	

\*\*APHIS uses an Access database to track the FOIA requests. In FY07, the Access database was not fully populated and contained errors. In preparing the FY08 annual report, APHIS corrected all errors and input missing data.

#### **B.** (1) Disposition of FOIA Requests – All Processed Requests

Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in chart below
104	832	14	162	2	99	0	0	12	7	17	

TOTAL \_1249\_\_\_\_

**B** (2) Disposition of FOIA Requests – "Other" Reasons for Full Denials

Description of "Other" Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon	TOTAL
N/A	
N?A	

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.						
1	2	3	4	5	6	7(A)	7(B)	7(C)	7(D)	7(E)	7(F)	8	9
0	17	6	69	67	837	26	0	729	0	2	1	0	0

#### VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

#### A. Received, Processed, and Pending Administrative Appeals

Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
95	25	47	73

**B.** Disposition of Administrative Appeals – All Processed Appeals

Number	Number Partially	Number Completely	Number of	TOTAL
Affirmed on	Affirmed &	<b>Reversed/Remanded</b>	<b>Appeals Closed</b>	
Appeal	Partially	on Appeal	for Other	
	<b>Reversed/Remanded</b>		Reasons	
	on Appeal			
3	2	2	40	47

Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.						
1	2	3	4	5	6	7(A)	7(B)	7(C)	7(D)	7(E)	7(F)	8	9
0	0	0	0	1	6	0	0	4	0	0	0	0	0

C. (1)	Reasons for	Denial on A	Appeal – I	Number of	Times	Exemptions	Applied

# C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
0	0	0	0	0	0	0	0	0	0	40

C. (3) Reasons for denial on Appeal – "Other" Reasons from Section VI, C(2) Chart

Description of "Other" Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Reasons Were Relied upon	TOTAL
Withdrawal	40

#### C. (4) Response time for Administrative Appeals

*Median Number	#Average Number	Lowest Number	Highest Number
of Days	of Days	of Days	of Days
674	674 / 31,678	27	1783

# C. (5) Ten Oldest Pending Administrative Appeals

	10 <sup>th</sup>	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
	Oldest									
Date	8-11-04	6-15-04	5-26-04	1-8-04	10-27-03	9-27-03	8-8-03	8-1-03	7-31-03	3-25-02
Number	1039	1079	1092	1189	1238	1257	1292	1297	1298	1639
	1039	10/9	1092	1109	1230	1257	1292	1297	1298	1039
of Days										
Pending										

# VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

#### A. Processed Requests – Response Time for All Processed Perfected Requests

	SIMPLE			COMPLEX				EXPEDITED PROCESSING			
*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days
49	188 / 196,084	1	1866	298	598 / 104,052	7	2049	55	253 / 8,096	2	1742

<b>B.</b> Processed Requests	– Response Time fo	or Perfected Requests in	Which Information Was Granted
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	SIMPLE			COMPLEX				EXPEDITED PROCESSING			
*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days
	156 /				527 /				184 /		
47	121,836	2	1866	217	67,456	7	2049	48	4,968	2	1742

C. Processed Requests – Response Time in Day Increments

1-20 Days	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
228	238	119	72	35	27	20	20	22	17	51	44	150	1043

Simple Requests

## **Complex Requests**

1-20 Days	21-40				101-120							401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
6	15	18	5	9	2	7	1	2	2	20	8	79	174

**Requests Granted Expedited Service** 

1-20 Days	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
7	5	4	1	3	1	0	1	0	0	3	0	7	32

	SIMPLE			COMPLI	EX	EXPEDITED			
						PROCESSING			
Number	*Median	#Average	Number	*Median	#Average	Number	*Median	#Average	
Pending	Number	Number	Pending	Number	Number	Pending	Number	Number	
	of Days	of Days		of Days	of Days		of Days	of Days	
264	315	324 /	599	168	288 /	18	277	388 /	
		85,536			172,512			6,984	
		$\checkmark$							

D. Pending Requests – All Pending Perfected Requests

### E. Pending requests – Ten Oldest Pending Perfected Requests

	10 <sup>th</sup> Oldest	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
Date	5-11-04	4/29/04	4/28/04	4/20/04	3/15/04	2/9/04	9/11/03	5/7/03	7/23/02	8/07/01
Number of Days Pending	1103	1111	1112	1118	1144	1168	1269	1357	1555	1795

### VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

A. Requests for Expedited Processing

Number Granted	Number Denied	*Median Number of Days to Adjudicate	#Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
3	4	8	15 / 105	6

# **B.** Requests for Fee Waiver

Number Granted	Number Denied	*Median Number of Days to Adjudicate	#Average Number of Days to Adjudicate
0	0	0	0 / 0

### IX. FOIA PERSONNEL AND COSTS

	PERSONNEL			COSTS	
Number of "Full-	Number of	<b>Total Number of</b>	Processing Costs	Litigation-Related	Total Costs
Time FOIA	"Equivalent Full-	<b>"Full-Time FOIA</b>	(At initial request and	Costs	
Employees"	Time FOIA	Staff" (The sum of	appeal levels)		
	Employees"	Columns 1 & 2)			
21	0	21	1,142,150.00	221,500.92	1,363,651.00

# X. FEES COLLECTED FOR PROCESSING

Total Amount of Fees	Percentage of Total
Collected	Costs
0	0

# XI – Nothing Here

#### XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

#### A. Backlogs of FOIA Requests and Administrative Appeals

Number of Backlogged	Number of Backlogged
Requests as of End of	Appeals as of End of
Fiscal Year	Fiscal Year
778	72

## **B.** Consultations on FOIA Requests – Received, Processed, and Pending Consultations

Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of Start of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Processed</u> by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of End of the Fiscal Year
0	0	0	0

## C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies

	10 <sup>th</sup>	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	<b>4</b> <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
	Oldest									
Date	N/A									
Number										
of Days										
Pending										

D. Comparison of Number of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

NUMBER OF REQUES	TS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED		
Number Received	Number Received	Number Processed	Number Processed	
During Fiscal Year	During Fiscal Year	During Fiscal Year	<b>During Fiscal Year</b>	
from Last Year's	from	from Last Year's	from Current	
Annual Report	Current	Annual Report	Annual Report	
_	Annual Report	_	_	
1047	1229	933	1249	
(Reported 1042)		(Reported 1101)		

\*\*APHIS uses an Access database to track the FOIA requests. In FY07, the Access database was not fully populated and contained errors. In preparing the FY08 annual report, APHIS corrected all errors and input missing data.

Number of Backlogged Requests	Number of Backlogged Requests
as of End of the Fiscal Year from	as of End of the Fiscal Year from
Previous Annual Report	Current Annual Report
N/A	778

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

NUMBER OF APP	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS PROCESSED		
Number Received	Number Received	Number Processed	Number Processed	
During Fiscal Year	<b>During Fiscal Year</b>	During Fiscal Year	During Fiscal Year	
from Last Year's	from	from Last Year's	from Current	
Annual Report	Current	Annual Report	Annual Report	
-	Annual Report	_	-	
33	25	0	47	

Number of Backlogged Appeals as	Number of Backlogged Appeals as of
of End of the Fiscal Year from	End of the Fiscal Year from Current
Previous Annual Report	Annual Report
N/A	72

F. Discussion of Other FOIA Activities (Optional)

\* = 1) enter the median number; 2) provide a separate electronic spreadsheet with the relevant numbers entered and the median calculated electronically

# = 1) provide the average in the top portion of the box; 2) multiply the average days by the number of requests and enter the result in the bottom portion of the box.