

Appendix

Limitation on Permissible Use of Dun & Bradstreet, Inc. (D&B) Data:
This website contains data supplied by third party information suppliers, one of which is D&B. Each entity DUNS Number and its associated business information are referred to herein as "D&B Data."

D&B hereby grants you, the user, a license for a limited, non-commercial use of D&B data within the limitations set forth herein. By using this website you agree that you shall not use D&B data without giving written attribution to the source of such data (i.e., D&B) and shall not access, use or disseminate D&B data in bulk, (i.e., in amounts sufficient for use as an original source or as a substitute for the product and/or service being licensed hereunder).

Under no circumstances are you authorized to use the D&B data for commercial, resale or marketing purposes (e.g., identifying, quantifying, segmenting and/or analyzing customers and prospective customers). Systematic access (electronic harvesting) or extraction of content from the website, including the use of "bots" or "spiders", is prohibited. Federal government entities are authorized to use the D&B data for purposes of acquisition as defined in FAR 2.101 and for the purpose of managing Federal awards, including sub-awardees, or reporting Federal award information.

GSA assumes no liability for the use of the D&B data once it is downloaded or accessed. The D&B data is provided "as is" without warranty of any kind. The D&B data is the intellectual property of D&B. In no event will D&B or any third party information supplier be liable in any way with regard to the use of the D&B DATA. For more information about the scope of permissible use of D&B data licensed hereunder, please contact D&B at dataseuse_gov@dnb.com.

Competition values/label names may have changed. Please refer to the Data Dictionary for further details.

CLOD is an acronym for Competition Line of Demarcation. The official CLOD date is 10/31/2009. Pre CLOD is any action signed earlier than the CLOD date. Post CLOD is any action signed on or later than the CLOD date.

This is a Department level report that displays Small Business data for a specified date range by Funding Agency. Please note if the Funding Agency is empty, the Contracting Agency is the Funding Agency and will be used for this report.

Data for this report is calculated as follows:

Total Small Business Eligible Actions: This column is an aggregation of Number of Actions, after exclusions are applied.

Total Small Business Eligible Dollars: This column is an aggregation of Dollars Obligated, after exclusions are applied.

Small Business Actions: This column is an aggregation of Number of Actions when the 'Contracting Officer's Business Size Selection' is equal to "Small Business."

Small Business Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Business Size Selection' is equal to "Small Business."

Small Business Percentage of Dollars Obligated: This column is computed as follows: Small Business Dollars/Total Small Business Eligible Dollars.

Small Disadvantaged Business Actions: This column is an aggregation of Number of Actions when the 'Contracting Officer's Business Size Selection' is equal to "Small Business" AND the vendor's business type is SBA Certified Small Disadvantaged Business or Self Certified Small Disadvantaged Business or 8(a) Program Participant or 8(a) Joint Venture. For DoD (department ID: 9700), NASA (department ID: 8000) and United States Coast Guard (contracting agency: 6950 or 7008), the following additional logic shall apply:

Vendor flag is either "Historically Black Colleges or Universities" or "Minority Institution". Effective 10/14/2014, this shall no longer apply to new actions awarded by DoD and USCG.

Small Disadvantaged Business Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Business Size Selection' is equal to "Small Business" AND the vendor's business type is SBA Certified Small Disadvantaged Business or Self Certified Small Disadvantaged Business or 8(a) Program Participant or 8(a) Joint Venture. For DoD (department ID: 9700), NASA (department ID: 8000) and United States Coast Guard (contracting agency: 6950 or 7008), the following additional logic shall apply:

Vendor flag is either "Historically Black Colleges or Universities" or "Minority Institution". Effective 10/14/2014, this shall no longer apply to new actions awarded by DoD and USCG.

Small Disadvantaged Business Percentage of Dollars Obligated: This column is computed as follows: Small Disadvantaged Business Dollars/Total Small Business Eligible Dollars.

8(a) Procedure Actions: This column is an aggregation of Number of Action when the 'Contracting Officer's Business Size Selection' is equal to "Small Business" and the 'Type of Set Aside' is one of the following values: 8(a) Sole Source, 8(a) with HUB Zone, 8(a) Competed

8(a) Procedure Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Business Size Selection' is equal to "Small Business" and the 'Type of Set Aside' is one of the following values: 8(a) Sole Source, 8(a) with HUB Zone, 8(a) Competed

8(a) Procedure Percentage: This column is computed as follows: 8(a) Procedure Dollars/Total Small Business Eligible Dollars.

NOTE:

Some legacy data does not carry over the Type of Set Aside value of the reference IDVs, in order to capture these contract values, the special handling below must be applied:

- 1) For DOs and BPA Calls that reference a V1.4 BOA and a V1.4 Part 13 BPA the 8(a) procedures shall consider the "Type of Set Aside" on the DO and BPA Call.
 - 2) For Multiple Award Delivery/Task Orders (MDO), Part 8 BPA and Part 8 BPA Calls, when the "Fair Opportunity/Limited Sources" value on these documents is either "Competitive Set Aside" or "Sole Source", 8(a) procedures shall consider the "Type of Set Aside" on the DO and BPA Call.
 - 3) For DOs and BPA Calls that reference IDVs other than those in above cases, the 8(a) procedures shall consider the "Type of Set Aside" on the referenced IDV.
 - 4) For Standalone contracts (PO/DCA, IDC, FSS, GWAC, BGA, Part 13 BPA), 8(a) procedures shall consider the "Type of Set Aside" on the contract.
- * Delivery/Task Orders referencing Multiple Award IDVs - FSS, GWAC or Multiple Award IDC.

Veteran Owned Small Business Actions: This column is an aggregation of Number of Actions when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Veteran Owned.

Veteran Owned Small Business Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Veteran Owned.

Veteran Owned Small Business Percentage of Dollars Obligated: This column is computed as follows: Veteran Owned Small Business Dollars/Total Small Business Eligible Dollars.

Service Disabled Veteran Owned Small Business Actions: This column is an aggregation of Number of Actions when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Service Disabled Veteran Owned.

Service Disabled Veteran Owned Small Business Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Service Disabled Veteran Owned.

Service Disabled Veteran Owned Small Business Percentage of Dollars Obligated: This column is computed as follows: Service Disabled Veteran Owned Small Business Dollars/Total Small Business Eligible Dollars.

Women Owned Small Business Actions: This column is an aggregation of Number of Actions when 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Women Owned, Women Owned Small Business, Joint Venture Women Owned Small Business, Economically Disadvantaged Women Owned Small Business or Economically Disadvantaged Joint Venture Women Owned Small Business.

Women Owned Small Business Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Women Owned, Women Owned Small Business, Joint Venture Women Owned Small Business, Economically Disadvantaged Women Owned Small Business or Economically Disadvantaged Joint Venture Women Owned Small Business.

Women Owned Small Business Percentage of Dollars Obligated: This column is computed as follows: Women Owned Small Business Dollars/Total Small Business Eligible Dollars.

Certified HUBZone Small Business Actions: This column is an aggregation of Number of Actions when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is HUBZone Certified.

Certified HUBZone Small Business Dollars: This column is an aggregation of Dollars Obligated when the 'Contracting Officer's Selection of Business Size' is equal to "Small Business" AND the vendor's business type is Certified HUBZone.

Certified HUBZone Small Business Percentage of Dollars Obligated: This column is computed as follows: Certified HUBZone Small Business Dollars/Total Small Business Eligible Dollars.

The following (goaling) exclusions apply to the Small Business Goaling Report.

Note: The exclusions that appear on the Small Business Goaling Report are the same goaling exclusions that apply to the Small Business Achievements by Awarding Organization Report and the Simplified Acquisition Threshold (SAT) Report.

- Davis-Wagner-OrDay Program (JWOD, Sheltered Workshop): Awards that have a contractor with a SAM based Sheltered Workshop designation Flag equal to "Y" are excluded.

- UNICOR: Exclude the UNICOR DUNS numbers specified in APPENDIX A.

- American Institute in Taiwan: Awards with the American Institute in Taiwan designated as the contractor for the award and determined by a DUNS number of '161174503'.

- Contracts performed outside of the United States: Awards performed outside of the US are designated when the country in the place of performance is NOT the United States. United States and its territories are included.

- Acquisitions by agencies on behalf of foreign governments or entities or international organizations: Actions that are funded by foreign entities ("Funded by Foreign Entity" = "Yes" OR

"Foreign Funding" = "Foreign Funds - FMS" or "Foreign Funds - non-FMS") are excluded from the report.

- Contracts funded predominantly with agency generated sources. Accordingly, the following Federal Government agencies are excluded:

- FDIC, 5100

- Postal Service, 1800

- Bureau Of Engraving And Printing, 2041 (data are included when the signed date is equal to or greater than October 1, 2013)

- United States Mint, 2044

- Office Of The Comptroller Of The Currency, 2046 (data are included when the signed date is equal to or greater than October 1, 2013)

- Office Of Thrift Supervision, 2047 (data are included when the signed date is equal to or greater than October 1, 2013)

- Transportation Security Administration, 6965, 7013, (data are included when the signed date is equal to or greater than July 1, 2008)

- Federal Aviation Administration, 6920

Tennessee Valley Authority, 6400
 - Administrative Office of the US Courts, 1027
 - Architect of the Capitol, 0100
 - Bankruptcy Courts, 1021
 - Central Intelligence Agency, 5000
 - Congressional Budget Office, 0900
 - Court Services and Offender Supervision Agency, 9594 (data are included when the signed date is equal to or greater than October 1, 2013)
 - Pretrial Services Agency, 959P
 - Federal Judicial Center, 1028
 - Overseas Private Investment Corporation, 7100 (data are included when the signed date is equal to or greater than October 1, 2013)
 - Supreme Court of US, 1001
 - US Courts of Appeals-Judicial Circuits, 1002
 - US District and Territorial Courts, 1012
 - Acquisitions with a Reason Not Completed value of "RES" for Resale
 - Product Service codes for Leases in the format "X***" (data are included when the signed date is equal to or greater than October 1, 2013)
 - Product Service codes for Utilities S112 (Electric)
 - Tricare DODAAC - H94002 (based on Contracting Office Code)
 - Tricare DODAAC - HT9402 (based on Contracting Office Code)
 - Centers for Medicare & Medicaid Services (CMS) non-appropriated funded contracts - OONAF (based on Contracting Office Code)
 - Department of Education's Not-for-Profit(NFP) Loan Servicing contracts listed below (Base and any Modification), plus any Award (Base or Modification) which references one of these contract numbers should be excluded. (data are excluded when the signed date is equal to or greater than October 1, 2013):

Contract Number Servicer
 EDFSA1D0012 MISSOURI HIGHER EDUCATION LOAN AUTHORITY
 EDFSA1ZD0003 UTAH HIGHER EDUCATION ASSISTANCE AUTHORITY
 EDFSA1ZD0005 EDUCATIONAL SERVICES OF AMERICA, INC.
 EDFSA1ZD0006 ASPIRE RESOURCES INC.
 EDFSA1ZD0007 NEW HAMPSHIRE HIGHER EDUCATION LOAN CORP
 EDFSA1ZD0012 OKLAHOMA STUDENT LOAN AUTHORITY
 EDFSA13D0001 VERMONT STUDENT ASSISTANCE CORPORATION

* US Territories:

AMERICAN SAMOA [UNITED STATES]
 UNITED STATES MINOR OUTLYING ISLANDS
 MIDWAY ISLANDS [UNITED STATES]
 NORTHERN MARIANA ISLANDS [UNITED STATES]
 GUAM [UNITED STATES]
 PUERTO RICO [UNITED STATES]
 NAVASSA ISLAND [UNITED STATES]
 JARVIS ISLAND [UNITED STATES]
 BAKER ISLAND [UNITED STATES]
 HOWLAND ISLAND [UNITED STATES]
 JOHNSTON ATOLL [UNITED STATES]
 KINGMAN REEF [UNITED STATES]
 PALMYRA ATOLL [UNITED STATES]
 VIRGIN ISLANDS [UNITED STATES]
 WAKE ISLAND [UNITED STATES]
 UNITED STATES MISCELLANEOUS PACIFIC ISLANDS
 APPENDIX A - List of DUNS - UNICOR/Federal Prison Industries

014723167 177021870
 025666133 178845053
 027435366 183006490
 042811430 185501798
 054435984 185930542
 057272486 187651752
 060771920 198234480
 068636951 618873423
 072724859 622178747
 075398977 624770475
 085119121 626627459
 086654069 626979314
 086685218 794034553
 096356964 801970971
 098736294 801977273
 103385519 801983800
 118882161 801984964
 126924018 801991969
 127300429 883387995
 127607732 932169972
 129794269 932793250
 14374714 933631806
 148033947 933631814
 148071236 933631939
 152002988 942195132
 161114251 198221480
 The following UNICOR DUNS shall be excluded effective 10/1/2013:
 11857529 198069173
 198353984 806788407
 878435213 139397371
 621502773 801972068
 170419167 139611631
 782184956

Report Drill Down capabilities include MACOM, SUBCOM and Functional Dimensions as selection criteria and are relevant to DOD data only.

The Federal Procurement Data System - Next Generation (FPDS-NG) is a dynamic, real-time database. Updates to data, including new actions, modifications, and corrections are made on a regular basis. Such updates could result in changes to the FPDS-NG data used to compile reports on actions for current and/or prior fiscal years. Accordingly, all reports need to be viewed with this in mind. It should also be noted that availability of DoD data entered into FPDS-NG is subject to a 90-day delay for non DoD users.