



**SURVEY ON SEXUAL VIOLENCE, 2007**

**- Incident Form (Adult)**

U.S. DEPARTMENT OF JUSTICE  
BUREAU OF JUSTICE STATISTICS  
and ACTING AS COLLECTION AGENT  
U.S. DEPT. OF COMMERCE  
Economics and Statistics Admin.  
U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_

**1. On what date did the incident occur?**  
*(If more than one date, report the most recent.)*

Month	Day	Year
<input type="text"/>	<input type="text"/>	<input type="text"/>

**2. In what facility did the incident occur?**

Name

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City/Place

**3. Where did the incident occur?** *(Mark (X) all that apply.)*

- 01  In the victim's cell/room (if the victim and perpetrator share a cell/room, count as the victim's cell)
- 02  In the perpetrator's cell/room
- 03  In a dormitory or other multiple housing unit
- 04  In a common area within a cell block (shower, dayroom)
- 05  In a temporary holding cell within the facility
- 06  In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
- 07  Outside the facility
- 08  While in transit
- 09  Other - *Specify*

**4. What time did the incident occur?** *(Mark (X) all that apply.)*

- 01  Morning (6 a.m. to noon)
- 02  Afternoon (noon to 6 p.m.)
- 03  Evening (6 p.m. to midnight)
- 04  Overnight (midnight to 6 a.m.)

**5. How many victims were involved in the incident?**

Number of victims . . .

→ **If more than two victims were reported, report these characteristics in Notes.**

**6. Victim #1: What was the victim's gender?**

- 01  Male
- 02  Female

**7. Victim #1: What was the victim's age at the time of the incident?**

- 01  Under age 18
- 02  18 - 24
- 03  25 - 29
- 04  30 - 34
- 05  35 - 39
- 06  40 - 44
- 07  45 or older

**8. Victim #1: What was the victim's race/ethnic origin?**  
*(Mark (X) all that apply.)*

- 01  White *(not of Hispanic origin)*
- 02  Black *(not of Hispanic origin)*
- 03  Hispanic or Latino
- 04  American Indian/Alaska Native *(not of Hispanic origin)*
- 05  Asian *(not of Hispanic origin)*
- 06  Native Hawaiian or Other Pacific Islander *(not of Hispanic origin)*
- 07  Other racial category in your information system - *Specify*

**9. Victim #2: What was the victim's gender?**

- 01  Male
- 02  Female

**10. Victim #2: What was the victim's age at the time of the incident?**

- 01  Under age 18
- 02  18 - 24
- 03  25 - 29
- 04  30 - 34
- 05  35 - 39
- 06  40 - 44
- 07  45 or older

**11. Victim #2: What was the victim's race/ethnic origin?**  
*(Mark (X) all that apply.)*

- 01  White *(not of Hispanic origin)*
- 02  Black *(not of Hispanic origin)*
- 03  Hispanic or Latino
- 04  American Indian/Alaska Native *(not of Hispanic origin)*
- 05  Asian *(not of Hispanic origin)*
- 06  Native Hawaiian or Other Pacific Islander *(not of Hispanic origin)*
- 07  Other racial category in your information system - *Specify*

**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

**12. Did the victim(s) sustain any physical injury during the incident?**

01  Yes → a. **What injuries occurred?**  
(Mark (X) all that apply for all victims.)

- 01  Knife or stab wounds
- 02  Broken bones
- 03  Anal or vaginal tearing
- 04  Teeth chipped or knocked out
- 05  Internal injuries
- 06  Knocked unconscious
- 07  Bruises, black eye, sprains, cuts, scratches, swelling, welts
- 08  Other – Specify ↴

**b. Did the victim(s) receive medical treatment for these injuries?**

- 01  Yes
- 02  No

02  No (No injury sustained)

**13. Who reported the incident?**  
(Mark (X) all that apply.)

- 01  Victim
- 02  Another inmate (non-victim)
- 03  Family of victim
- 04  Correctional officer/front line staff
- 05  Administrative staff
- 06  Medical/healthcare staff
- 07  Instructor/teacher
- 08  Counselor
- 09  Chaplain or other religious official
- 10  Other – Specify ↴

**14. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)**

- 01  Given a medical examination
- 02  Administered a rape kit
- 03  Tested for HIV/AIDS
- 04  Tested for other sexually transmitted diseases
- 05  Provided with counseling or mental health treatment
- 06  None of the above

**15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)**

- 01  Placed in administrative segregation/protective custody
- 02  Placed in a medical unit, ward, or hospital
- 03  Confined to own cell/room
- 04  Given a higher custody level with the facility
- 05  Transferred to another facility
- 06  Other – Specify ↴

07  None of the above

**16. What type of sexual violence was involved in the incident? (See definitions below)**

- 01  Inmate-on-inmate nonconsensual sexual act → Complete Section A
- 02  Inmate-on-inmate abusive sexual contact → Complete Section A
- 03  Staff sexual misconduct → Complete Section B
- 04  Staff sexual harassment → Complete Section B

**Definitions of Sexual Violence**

**Nonconsensual Sexual Acts:** Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vagina, or anus;

**OR**

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

**Abusive Sexual Contact: (less severe)** Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

**EXCLUDES**

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

**Staff Sexual Misconduct:** Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

**Staff Sexual Harassment:** Repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors). Demeaning references to gender or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Section A – INMATE-ON-INMATE SEXUAL VIOLENCE**

**17. How many perpetrators were involved in the incident?**

Number of perpetrators . . .

→ If more than two perpetrators were involved, report these characteristics in Notes.

**18. Perpetrator # 1: What was the perpetrator's gender?**

- 01  Male                      02  Female

**19. Perpetrator # 1: What was the perpetrator's age at the time of the incident?**

- 01  Under age 18    04  30-34    07  45 or older  
02  18-24            05  35-39  
03  25-29            06  40-44

**20. Perpetrator # 1: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)**

- 01  White (not of Hispanic origin)  
02  Black (not of Hispanic origin)  
03  Hispanic or Latino  
04  American Indian/Alaska Native (not of Hispanic origin)  
05  Asian (not of Hispanic origin)  
06  Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)  
07  Other racial category in your information system – Specify ↴

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**21. Perpetrator #2: What was the perpetrator's gender?**

- 01  Male                      02  Female

**22. Perpetrator #2: What was the perpetrator's age at the time of the incident?**

- 01  Under age 18    04  30-34    07  45 or older  
02  18-24            05  35-39  
03  25-29            06  40-44

**23. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)**

- 01  White (not of Hispanic origin)  
02  Black (not of Hispanic origin)  
03  Hispanic or Latino  
04  American Indian/Alaska Native (not of Hispanic origin)  
05  Asian (not of Hispanic origin)  
06  Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)  
07  Other racial category in your information system – Specify ↴

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**24. What was the nature of the incident? (Mark (X) all that apply.)**

- 01  Voluntary sexual contact between adults  
02  Unwanted touching for sexual gratification  
03  Pressure/coercion (without force) resulting in a nonconsensual sexual act  
04  Physical force (or the threat of force) resulting in a nonconsensual sexual act  
05  Other – Specify ↴

\_\_\_\_\_

**25. What type of pressure or physical force was used by the perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)**

- 01  Persuasion or talked into sexual activity  
02  Bribery or black mail  
03  Gave victim drugs or alcohol  
04  Offered protection from other inmates  
05  Threatened with physical harm  
06  Physically held victim down or restrained in some way  
07  Physically harmed or injured  
08  Threatened with a weapon  
09  Other – Specify ↴

\_\_\_\_\_

- 10  None

**26. What sanction was imposed on the perpetrator? (Mark (X) all that apply for all perpetrators.)**

- 01  Placed in solitary confinement or disciplinary segregation  
02  Confined to own cell/room  
03  Placed in higher custody level within same facility  
04  Transferred to another facility  
05  Loss of "good/gain" time or increase in "bad" time  
06  Given extra work  
07  Loss of privileges  
08  Arrested  
09  Referred for prosecution  
10  Given new sentence  
11  Other – Specify ↴

\_\_\_\_\_

**Section B – STAFF SEXUAL MISCONDUCT AND HARASSMENT**

**27. What was the nature of the incident? (Mark (X) all that apply.)**

- 01  Physical force resulting in a nonconsensual sexual act  
02  Pressure or abuse of power resulting in a nonconsensual sexual act  
03  Indecent exposure, invasion of privacy, or voyeurism for sexual gratification  
04  Unwanted touching for sexual gratification  
05  Sexual harassment or repeated verbal statements of a sexual nature by staff  
06  Sexual relationship between inmate and staff that appeared to be willing  
07  Other – Specify ↴

\_\_\_\_\_

- 08  Level of coercion unknown

**28. How many staff were involved in the incident?**

Number of staff. . . \_\_\_\_\_

→ If more than two staff were involved, report these characteristics in Notes.

**29. Staff #1: What was the gender of the staff?**

- 01  Male                      02  Female

**30. Staff #1: What was the age of the staff at the time of the incident?**

- 01  24 or younger                      05  40 – 44  
02  25 – 29                              06  45 – 54  
03  30 – 34                              07  55 or older  
04  35 – 39

**31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (X)) all that apply.)**

- 01  White (not of Hispanic origin)  
02  Black (not of Hispanic origin)  
03  Hispanic or Latino  
04  American Indian/Alaska Native (not of Hispanic origin)  
05  Asian (not of Hispanic origin)  
06  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
07  Other racial category in your information system – Specify ↴

**32. Staff #2: What was the gender of the staff?**

- 01  Male                      02  Female

**33. Staff #2: What was the age of the staff at the time of the incident?**

- 01  24 or younger                      05  40 – 44  
02  25 – 29                              06  45 – 54  
03  30 – 34                              07  55 or older  
04  35 – 39

**34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (X)) all that apply.)**

- 01  White (not of Hispanic origin)  
02  Black (not of Hispanic origin)  
03  Hispanic or Latino  
04  American Indian/Alaska Native (not of Hispanic origin)  
05  Asian (not of Hispanic origin)  
06  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
07  Other racial category in your information system – Specify ↴

**35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (X)) all that apply for all staff involved.)**

- 01  Full or part-time paid employee  
02  Contract employee or vendor  
03  Volunteer or intern  
04  Other – Specify ↴

**36. What was the primary position description of the staff involved in the incident? (Mark (X)) all that apply for all staff involved.)**

- 01  Administrator, including wardens, superintendents, assistants and others in administrative positions  
02  Correctional officer/supervision staff  
03  Clerical including secretaries, clerks, receptionists, and other administrative support  
04  Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  
05  Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  
06  Education staff, including instructors, teachers, librarians, and education assistants  
07  Other program staff  
08  Other staff – Specify ↴

**37. What sanction was imposed on the staff? (Mark (X)) all that apply for all staff involved.)**

- 01  Reprimanded or disciplined  
02  Demoted or diminished responsibilities  
03  Transferred to another facility  
04  Arrested  
05  Referred for prosecution  
06  Discharged  
07  Staff resigned (prior to completion of investigation)  
08  Staff resigned (after investigation was completed)  
09  Other – Specify ↴

**NOTES**