



**Federal Energy Regulatory Commission
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18 C.F.R. Cite	Statute / Section	Filing Requirement	Can be eFiled in v7.0?	Menu Choice (Col. 1)	Menu Choice (Col. 2): Modify Default Description as Necessary to Accurately describe Filing	New Dkt	New Sub Dkt
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REVISED April 22, 2014

GENERAL CLASSES OF DOCUMENTS THAT CANNOT BE eFILED (See Column D for Specific Filings)

- 1) No Action Request Letters Directed to the General Counsel
- 2) Data Responses and Self Reports Pursuant to an FERC Investigation
- 3) Discovery Responses
- 4) Dispute Resolution Service Material
- 5) Writs of Certiorari or Mandamus
- 6) Documents Containing One or More Files Larger than 50 MB or file names and extensions longer than 60 characters

The Menu Choice in eFiling (Col. 2) for "eTariff" follows automated docketing rules.

PART 2: GENERAL POLICY AND INTERPRETATIONS

2.19	State and Federal Comprehensive Plans Under Federal Power Act Section 10(a)(1)		Yes	Hyd: DC	Rpt/Form for Exist. Proj. (Always use Dkt. ZZ09-5, regardless of year filed)		
2.55(b)(3)	30-day Advance Notification		Yes	Gas	Application (other than etariff)	CP	Yes: 2 complete (OEP Room 62-46)
2.55(b)(4)	Form No. 577: Annual Report of Replacement of Certificated Facilities. Instructions: http://www.ferc.gov/docs-filing/forms.asp#577		Yes	Gas	Report/Form for Exist. Dkt	CP	

PART 4: LICENSES, PERMITS, EXEMPTIONS, AND DETERMINATION OF PROJECT COSTS

4.1	FPA / 4(b)	Initial Cost Statement After Project Completion	Yes	Hyd: DC	Rpt/Form for Exist. Proj		
4.10	FPA / 23(a)	Determination of Fair Value of Constructed Projects	Yes	Hyd: DC	Rpt/Form for Exist. Proj		
4.20	FPA / 4(b)	Determination of Cost of Constructed Projects Not Subject to FPA 23(a)	Yes	Hyd: DC	Rpt/Form for Exist. Proj		

4.35	FPA Part I	Amendment of Application Application in Competition with an Initial Preliminary	Yes	Hyd: DC	Amendment to Application			
4.36(a)	FPA Part I	Permit Application (whether filed pursuant to a Notice of Application in Competition with an Initial Development	Yes	Hyd: DC	Applic. for License/Relic			
4.36(b)	FPA Part I	Application (whether filed pursuant to a Notice of Intent Notice of Intent to file an Application in Competition with	Yes	Hyd: DC	Applic. for License/Relic			
4.36(c)	FPA Part I	an Initial Preliminary Permit or an Initial Development Application	Yes	Hyd: DC	Applic. for License/Relic			
4.41	FPA Part I	Application for License for Major (>5MW) Unconstructed Project or Major Modified Project	Yes	Hyd: DC	Applic. for License/Relic	1/	2/	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.51	FPA Part I	Application for License for Major (>5MW) Project - Existing Dam	Yes	Hyd: DC	Applic. for License/Relic	1/	2/	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.61	FPA Part I	Application for License for Minor Water Power Projects and Major Water Power Projects 5 MW or Less	Yes	Hyd: DC	Applic. for License/Relic	1/	2/	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.71	FPA Part I	Application for License for Transmission Line Only	Yes	Hyd: DC	Application - Other	3/	4/	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.81	FPA Part I	Application for Preliminary Permit	Yes	Hyd: DC	Application - Other	Yes		
4.81(b)(5)	FPA Part I	Form No. 587: Federal Land Description. Instructions: http://www.ferc.gov/docs-filing/forms.asp#587	Yes	Hyd: DC	Application - Other (Part of Prel. Permit Appl. Involving Federal lands)			
4.82	FPA Part I	Application for Amendment of Preliminary Permit	Yes	Hyd: DC	Amendment to Application			
4.84	FPA Part I	Surrender of Preliminary Permit	Yes	Hyd: DC	Surrender of License, Exemption, or Prelim. Permit		Yes	
4.92	FPA / 30	Application for Exemption of Small Conduit Hydroelectric Facilities	Yes	Hyd: DC	Application - Other	1/	2/	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.95		Surrender of Exemption for Small Conduit Hydroelectric Facility	Yes	Hyd: DC	Surrender of License, Exemption, or Prelim. Permit		Yes	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.96		Application to Amend Exemption for Small Conduit Hydro Facility	Yes	Hyd: DC	Amendment to Application		Yes	
4.102		Surrender of Exemption for Small Hydro Projects of 5 MW or Less	Yes	Hyd: DC	Surrender of License, Exemption, or Prelim. Permit		Yes	
4.104		Amendment of Exemption	Yes	Hyd: DC	Amendment to Application		Yes	
4.107		Application for Exemption of Small Hydroelectric Project From Licensing	Yes	Hyd: DC	Application - Other	1/	2/	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56
4.108		Application for Exemption From Provisions Other Than Licensing	Yes	Hyd: DC	Application - Other			
4.201		Application for Amendment of License	Yes	Hyd: DC	Amendment to Application		Yes	

4.202	Application for Extension of Time for Commencement or Completion of Construction (Request for Delay of Action or Extension of Time)	Yes	Hyd: DC	Application - Other		
4.303(a)	Cost Statement	Yes	General	Office of the Executive Director Material		

PART 5: INTEGRATED LICENSE APPLICATION PROCESS (ILP)

5.3(c)(1)	Request to Use Traditional Licensing Process (TLP)	Yes	Hyd: DC	Supp. Info or Request		
5.3(c)(2)	Request to Use Alternative Licensing Process (ALP)	Yes	Hyd: DC	Supp. Info or Request		
5.4	Request for Acceleration of Expiration Date for Existing License	Yes	General	Motion (other than Interv)		
5.5	Notification of Intent (and Request to Use Traditional or Alternative Licensing Procedures) - if filed by Existing Licensee without a Pre-Application Document	Yes	Hyd: DC	Application - Other (Existing Project)	Yes	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56)
5.6	Pre-Application Document / Notice of Intent: Filed by a) the Existing Licensee for an Existing Project or b) by a Preliminary Permit Holder	Yes	Hyd: DC	Application - Other (Existing Project)	Yes	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56)
5.6	Pre-Application Document / Notice of Intent: Filed by a) a New Applicant for an Existing Project or b) Any Applicant for a New Project	Yes	Hyd: DC	Application - Other (No Project No.)	Yes	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56)
5.9	ILP Comments and/or Study Request	Yes	Hyd: DC	ILP Submission		
5.11	Proposed Study Plan	Yes	Hyd: DC	ILP Submission		
5.12	ILP Comments on Proposed Study Plan	Yes	Hyd: DC	ILP Submission		
5.13	ILP Revised Study Plan and Study Plan Determination	Yes	Hyd: DC	ILP Submission		
5.14	Notice of Study Dispute	Yes	Hyd: DC	ILP Submission		
5.15(b)	Progress Reports	Yes	Hyd: DC	ILP Submission		
5.15(c)	Initial Study Report	Yes	Hyd: DC	ILP Submission		
5.15(d)	ILP Request to Modify Study Plan	Yes	Hyd: DC	ILP Submission		
5.15(e)	Proposal for New Information Gathering or Study	Yes	Hyd: DC	ILP Submission		
5.15(f)	ILP Updated Study Report	Yes	Hyd: DC	ILP Submission		
5.16	Preliminary Licensing Proposal	Yes	Hyd: DC	ILP Submission		
5.17-5.18	Application for New or Subsequent License	Yes	Hyd: DC	Applic. for License/Relicense		Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56)
5.18(d)	FPA / 10(a)(1) Compliance with Comprehensive Plan under FPA Section 10(a)(1)	Yes	Hyd: DC	ILP Submission		
5.21	Submittal of Additional Information	Yes	Hyd: DC	ILP Submission		
5.23(a)	Comments, Protests, Recommendations, Preliminary Terms and Conditions, or Preliminary Fishway Prescriptions	Yes	Hyd: DC	ILP Submission		
5.23(a)	Reply Comments	Yes	Hyd: DC	ILP Submission		

5.23(a) / 385.214		Interventions	Yes	General	Intervention	
5.23(b)		Water Quality Certification	Yes	Hyd: DC	ILP Submission	
5.24(c); 5.25(c)		Comments on Environmental Assessment or Draft/Final Environmental Impact Statement	Yes	General	Comment (on Filing, Env. Rpt, or Conf)	
5.26	FPA / 10(j)	Recommendations Pursuant to the Fish and Wildlife Coord. Act	Yes	Hyd: DC	ILP Submission	
5.27		Amendment of Application	Yes	Hyd: DC	Amendment to Application	Yes - 2 (OEP Room 61-02; OGC-EP Room 101-56)

PART 6: SURRENDER OR TERMINATION OF LICENSE

6.1		Application for Surrender of License	Yes	Hyd: DC	Surrender of License, Exemption, or Prelim. Permit	Yes
6.3		Application for Termination of License	Yes	Hyd: DC	Application - Other	

PART 8: RECREATIONAL OPPORTUNITIES AND DEVELOPMENT AT LICENSED PROJECTS

8.11; 141.14		FERC Form No. 80: Licensed Hydropower Development Recreation Report (format and instructions at: http://www.ferc.gov/docs-filing/eforms.asp#80)	Yes	Hyd: DC	Rpt/Form for Exist. Proj (Select Form 80 in Col. 3)	No
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PART 9: TRANSFER OF LICENSE OR LEASE OF PROJECT PROPERTY

9.2; 131.20		Application for Transfer of License	Yes	Hyd: DC	Application - Other	
9.10		Proposal to Lease Project Property	Yes	Hyd: DC	Application - Other	

PART 11: ANNUAL CHARGES UNDER PART I OF THE FEDERAL POWER ACT

11.1(d)(4)		Annual Generation Report (for Annual Charges Determination)	Yes	Annual Charges	FERC Report Req. No. 583 / Annual Generation	
11.1	FPA Part I	Charges for Costs of Administration, Use of Tribal Lands and Other Government Lands, and Use of Government Dams: Instructions: http://www.ferc.gov/docs-filing/forms.asp#afa	Yes	Annual Charges	OFA (Other Federal Agency)	
11.3(c); 11.4(b)	FPA Part I	Report of Gross Energy Generated During Preceding Fiscal Year	Yes	Annual Charges	FERC Report Req. No. 583 / Annual Generation	
11.6(i)	FPA Part I	Application for Exemption from Annual Charges	Yes	Annual Charges	FERC Report Req. No. 583 / Annual Generation	
11.14(a)	FPA Part I	Settlement for Headwater Benefits Charges	Yes	General	Motion (other than Intervention)	
11.15(c)	FPA Part I	Objections to Assessment of a Headwater Benefits Charge	Yes	General	Comment (on Filing, Env. Rpt, or Conf)	

11.16	FPA Part I	Filing of Project-Specific Data Pursuant to a Headwater Benefits Determination	Yes	Hyd: DC	Rpt/Form for Exist. Project	
11.17(b)(3)	FPA Part I	Response to Preliminary Assessment of Headwater Benefits Charge	Yes	General	Comment (on Filing, Env. Rpt, or Conf)	
11.20		Appeal of Annual Charges Assessment	Yes	Annual Charges	Appeals (select Oil, Gas, Electric, or Hydro)	

PART 12: SAFETY OF WATER POWER PROJECTS AND PROJECT WORKS

12.10(a)(2)	FPA Part I	Report of Condition Affecting Project Safety	Yes	Hyd: RO	Regional Office w/Juris.	
12.10(b)	FPA Part I	Report of Death or Serious Injury at Project	Yes	Hyd: RO	Regional Office w/Juris.	
12.11	FPA Part I	Report of Modifications to Project or Project Works	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Annual Certification	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Annual Report and/or Update	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Dambreak Study	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Emergency Action Plan	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Exemption	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Functional Exercise Report	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Activation Report	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.20 - 12.25	FPA Part I	Emergency Action Plan - Annual Exemption Certification	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.33	FPA Part I	Request for Waiver of Inspection Requirement	Yes	Hyd: DC	Waiver Request	
12.34	FPA Part I	Request for Approval of Independent Consultant	Yes	Hyd: DC	Suppl. Info or Request	
12.37	FPA Part I	Report of the Independent Consultant	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
12.39(a)	FPA Part I	Plan for Corrective Measures	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 1 to Regional Office
12.39(b)	FPA Part I	Request for Extension of Time to File Corrective Action Plan and Schedule	Yes	Hyd: RO	Regional Office w/Juris.	
12.44	FPA Part I	Annual Spillway Gate Test Report	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 1 to Regional Office

PART 16: PROCEDURES RELATING TO TAKEOVER AND RELICEN. OF LICENSED PROJECTS

16.4(a)	FPA / 14 & 15	Request for Acceleration of Expiration Date for Existing License	Yes	General	Motion (other than Interv)	
16.6(b)	FPA / 14 & 15	Notification of Intent	Yes	Hyd: DC	For New Proj. Nos: Notice of Intent / Pre-Appl. Doc.; For Exist. Proj. No.: Appl. - Other (Exist. Project)	
16.7(c)	FPA / 14 & 15	Supplemental Information	Yes	Hyd: DC	Suppl. Info or Request	

16.7(d); 16.10(a)(11)	FPA / 10(2)	Comprehensive Plan under FPA Section 10(2)	Yes	Hyd: DC	Suppl. Info or Request
16.9 / 16.10	FPA / 14 & 15	Application for New License	Yes	Hyd: DC	Applic. for License/Relicense
16.9 / 16.11	FPA / 14 & 15	Application for Nonpower License	Yes	Hyd: DC	Applic. for License/Relicense
16.12	FPA / 14 & 15	Application for Exemption from Licensing	Yes	Hyd: DC	Applic. for License/Relicense
16.14	FPA / 14 & 15	Departmental Recommendations for Takeover	Yes	General	Comment (on Filing, Env. Rpt, or Conf) For New Proj. Nos: Notice of Intent / Pre-Appl. Doc.; For Exist. Proj. No.: Appl. - Other (Exist. Project)
16.19(b)		Notification of Intent	Yes	Hyd: DC	
16.20		Application for Subsequent License	Yes	Hyd: DC	Applic. for License/Relicense
16.22		Application for Exemption	Yes	Hyd: DC	Applic. for License/Relicense

PART 20: AUTHORIZATION OF THE ISSUANCE OF SECURITIES BY LICENSEES AND COMPANIES SUBJECT TO SECTIONS 19 AND 20 OF THE FEDERAL POWER ACT

20.1	FPA / 19 & 20	Form No. 525: Authorization of the Issuance of Securities by Licensees and Companies Subject to FPA Sections 19 and 20 (http://www.ferc.gov/docs-filing/forms.asp#525)	Yes	Hyd: DC	Application - Other
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PART 24: DECLARATION OF INTENTION

24.1 / 385.207(b)	FPA / 23(b)	Declaration of Intention (treated as a Petition for Declaratory Order - no fee)	Yes	Hyd: DC	Petition for Declaratory Order under FPA Part 1	DI
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PART 25: APPLICATION FOR VACATION OF WITHDRAWAL AND FOR DETERMINATION PERMITTING RESTORATION TO ENTRY

25.1	FPA / 24	Application for Vacation of Withdrawal and for Determination Permitting Restoration to Entry	Yes	Hyd: DC	Application - Other
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PART 32: INTERCONNECTION OF FACILITIES

32.1	FPA / 202(b)	Application for Interconnection of Facilities	Yes	Elec.	Applic. (other than eTariff)
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PART 33: APPLICATIONS UNDER FEDERAL POWER ACT SECTION 203

33.1(c)(4)		Copy of SEC Schedule 13D, Schedule 13G, and Form 13F	Yes	Elec.	Report/Form for Exist. Dkt (HCYY-5) Where YY = Current Year
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33.1(c)(5)		One Time Verification by Holding Company	Yes	Elec.	Report/Form for Exist. Dkt (HCYY-4) Where YY = Current Year		
33.1(c)(9) and (c)(10)		Quarterly Report by Holding Company	Yes	Elec.	Report/Form for Exist. Dkt (HCYY-6) Where YY = Current Year		
33.2	FPA / 203	Application for Sales, Lease, or Disposition, Merger, or Consolidation of Facilities or for Purchase or Acquisition of Securities of a Public Utility - no tariff records	Yes	Elec.	Applic. (other than eTariff)	EC	Yes: 3 complete to OEMR Room 91-13 (public and non-public as applicable)
33.2	FPA / 203	Application for Sales, Lease, or Disposition, Merger, or Consolidation of Facilities or for Purchase or Acquisition of Securities of a Public Utility - tariff records	Yes	Elec.	eTariff - mandatory		Yes: 3 complete to OEMR Room 91-13 (public and non-public as applicable)
33.8	FPA / 203	Application for Sales, Lease, or Disposition, Merger, or Consolidation of Facilities or for Purchase or Acquisition of Securities of a Public Utility - competitive analysis under §§ 33.3 and 33.4	NO				Yes: 3 complete to OEMR Room 91-13

PART 34: APPLICATION FOR AUTHORIZATION OF THE ISSUANCE OF SECURITIES OR THE ASSUMPTION OF LIABILITIES

34.3	FPA / 204	Form No. 523: Application for Authorization of the Issuance of Securities or the Assumption of Liabilities (http://www.ferc.gov/docs-filing/forms.asp#523)	Yes	Elec.	Applic. (other than eTariff)	ES	
34.10 / 131.43	FPA / 204	Report of Securities Issued	Yes	Elec.	Report/Form for Exist. Dkt		
34.10 / 131.50	FPA / 204	Report of Proposals Received	Yes	Elec.	Report/Form for Exist. Dkt		

PART 35: FILING OF RATE SCHEDULES AND TARIFFS

35.8		Protests	Yes	General	Protest		
35.8 / 385.214		Interventions	Yes	General	Intervention		
35.10b		Form No. 516: Electric Quarterly Report	EQR System	N/A	N/A		
35.10b		Request for Extension of Time to File EQR	Yes	General	Motion (other than Interv) Docket ER02-2001		
35.12	FPA / 205(c)	Filing of Initial Rate Schedules	Yes	Elec	eTariff - mandatory		
35.13	FPA / 205(c)	Filing of Changes in Rate Schedules and amendments thereto	Yes	Elec	eTariff - mandatory		
35.13 / By Order		Annual Updates to Transmission Revenue Requirements (for informational purposes)	Yes	Elec	Report/Form for Exist. Dkt; eTariff		
35.12 / 35.13	FPA / 205(c)	Negotiated Rate/Service Agreements (executed/unexecuted)	Yes	Elec	eTariff - mandatory		
35.15	FPA / 205(c)	Notices of Cancellation or Termination of Rate Schedules/Service Agreements in eTariff format	Yes	Elec	eTariff - mandatory		
35.15	FPA / 205(c)	Notices of Cancellation or Termination of Rate Schedules/Service Agreements only in paper format	Yes	Elec	Report / Form - No Docket Number		

35.16	FPA / 205(c)	Notice of Succession	Yes	Elec	eTariff - mandatory	
35.23		Abbreviated Rate Filing for Recovery of Emissions Allowance Costs	Yes	Elec	eTariff - mandatory	
		Compliance Electric Rate Filing	Yes	Elec	eTariff - mandatory	
375.307(a)(1)(v)		Response to a Deficiency Letter	Yes	Elec	eTariff - mandatory	
35.19a		Compliance Electric Refund Reports	Yes	Elec.	eTariff - mandatory	
35.28		Non-Discriminatory Open-Access Transmission Tariff	Yes	Elec.	eTariff - mandatory	
	Order 890-A	FPA Section 205 OATT-related filings proposing variations from the non-rate terms and conditions contained in the pro forma OATT adopted in Order No. 890.	Yes	Elec	eTariff - mandatory	
35.30(c)		Filing of Average System Cost (ACS) for Sales of Electric Power to Bonneville Power Administration	Yes	Elec	Report / Form - No Docket Number	
35.33		Financial Report on Nuclear Plant Decommissioning Trust Funds	Yes	Elec.	Rpt/Form for Exist. Dkt. No. (RM94-14 & RM05-15)	
35.35(h)		FERC-730: Report of Transmission Investment Activity. Instructions: http://www.ferc.gov/docs-filing/forms.asp#730	Yes	Elec.	Report/Form for Exist. Dkt (ZZYY-3) Where YY = Current Year	
	Order 697	Market Power Analysis / Triennial Market Power Updates	Yes	Elec	eTariff if includes tariff sheets / Report/Form for Exist. Dkt if no tariff sheets	
35.42	FPA / 205(c)	Notice of Change in Status for Market-Based Rates	Yes	Elec	eTariff if includes tariff sheets / Report/Form for Exist. Dkt if no tariff sheets	
35.42(d)	Order 697-C	Quarterly Report on the Acquisition of Control of a Site or Sites for New Generation Capacity Development	Yes	Elec	Report/Form for Exist. Dkt (Select only LA12-4 for Oct-Dec, 2012 Qtr; LA13-1 for Jan-Mar, 2013 Qtr; LA13-2 for Apr-Jun, 2013 Qtr, LA13-3 for Jul-Sep, 2013 Qtr). Do not select any ER dockets	
Other-Part 35		Request for Waiver of Tariff, Rate Schedule or Agreement Provision	Yes	Elec.	eTariff if own tariff; otherwise Suppl. Info or Request	
35.17		Withdrawal of Tariff Filing	Yes	Elec	eTariff - mandatory	

PART 36: RULES CONCERNING APPLICATIONS FOR TRANSMISSION SERVICES UNDER SECTION 211 OF THE FEDERAL POWER ACT

36.1	FPA / 211	Application for Transmission Services Under FPA 211	Yes	Elec.	Applic. (other than eTariff)	TX
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PART 39: RULES CONCERNING CERTIFICATION OF ELECTRIC RELIABILITY ORGANIZATION; AND PROCEDURES FOR ESTABLISHMENT, APPROVAL, AND ENFORCEMENT OF ELECTRIC RELIABILITY STANDARDS

39.3(a)	Order 672	Application for Certification as an Electric Reliability Organization (ERO)	Yes	Elec.	Applic. (other than eTariff)	RR
39.3(c)	Order 672	ERO's Assessment of its Performance	Yes	Elec.	Applic. (other than eTariff)	RR
39.4(a)	Order 672	request to modify the formula or method for the Allocation and Assessment of ERO Dues, Fees and Charges	Yes	Elec.	Applic. (other than eTariff)	RR
39.4(b)	Order 672	ERO's Proposed Annual Budget, Including the Annual Budget for Each Regional Entity	Yes	Elec.	Applic. (other than eTariff)	RR
39.4(d)	Order 672	Request for Authorization to Collect a Special Assessment	Yes	Elec.	Applic. (other than eTariff)	RR
39.5(a)	Order 672	Proposed Reliability Standard or Modification	Yes	Elec.	Applic. (other than eTariff)	RR RD
39.6(a)	Order 672	Notice of Conflict of Reliability Standard with Tariffs, Rate Schedules et al Approved by the Commission	Yes	Elec.	Applic. (other than eTariff)	RD
39.7(b)	Order 672	Report of Any Self-Reported Violation or Investigation of a Violation or an Alleged Violation of a Reliability Standard and its Eventual Disposition	NO	N/A	N/A	N/A
39.7(b)(5)	Order 672	Periodic Summary Reports as the Commission May Direct on Violations of Reliability Standards	NO	N/A	N/A	N/A
39.7(c)(2)	Order 672	Notice of Penalty for Violation of a Reliability Standard	Yes	Elec.	Applic. (other than eTariff)	NP
39.7(e)(1)	Order 672	Application for Review of a Penalty	Yes	Elec.	Applic. (other than eTariff)	NP
39.7(g)(2)	Order 672	request for approval of Penalty Guidelines that set Forth a Range of Penalties for Violation of Reliability Standards	Yes	Elec.	Applic. (other than eTariff)	RR
39.8(c)	Order 672	Agreement to Delegate Authority to a Regional Entity for the Purpose of Proposing Reliability Standards to the ERO and Enforcing Such Standards	Yes	Elec.	Applic. (other than eTariff)	RR
39.8(f)	Order 672	Application for Assignment to an Entity of the ERO's Authority to Enforce Reliability Standards Within the Region	Yes	Elec.	Applic. (other than eTariff)	RR
39.10(a)	Order 672	Request for Approval of Any Proposed ERO Rule Change or Proposed Regional Entity Rule or Rule Change	Yes	Elec.	Applic. (other than eTariff)	RR
39.11(a)	Order 672	Report on the ERO's Assessment of the Reliability of the Bulk Power System in North America	Yes	Elec.	Applic. (other than eTariff)	RC
39.11(b)	Order 672	Report on the ERO's Assessment of the Adequacy of the Bulk Power System in North America	Yes	Elec.	Applic. (other than eTariff)	RC
39.12(b)	Order 672	Application for Commission Determination of Consistency of a State Action with a Reliability Standard	Yes	Elec.	Applic. (other than eTariff)	RD
39.13(a)	Order 672	Petition to Establish a Regional Advisory Body	Yes	Elec.	Applic. (other than eTariff)	RR

Other-Part 39	By Order	RTO / ISO MMU State of the Markets Report	Yes	Elec.	Report/Form for Exist. Dkt (ZZYY-4) Where YY = Current Year	
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PART 41: ACCOUNTS, RECORDS, MEMORANDA AND DISPOSITION OF CONTESTED AUDIT FINDINGS AND PROPOSED REMEDIES

41.11		Report of CPA Certification	Yes	Elec.	Report/Form for Exist. Dkt (ZZYY-1) Where YY = Current Year	
		Request for Extension of Time to File CPA Certification	Yes	Elec.	Accounting Filing or Req	AC

PART 45: APPLICATION FOR AUTHORITY TO HOLD INTERLOCKING POSITIONS

45.1 - 45.4, 45.8	FPA / 305(b)	Form No. 520: Application for Authority to Hold Interlocking Positions. Instructions: http://www.ferc.gov/docs-filing/forms.asp#520	Yes	Elec.	Applic. (other than eTariff)	ID
45.5		Notice of Changes	Yes	Elec.	Suppl. Info or Request	
45.9		Informational Report	Yes	Elec.	Report/Form for Exist. Dkt	

PART 46: PUBLIC UTILITY FILING REQUIREMENTS AND FILING REQUIREMENTS FOR PERSONS HOLDING INTERLOCKING POSITIONS

46.3	FPA / 305(c); PURPA78 / 211	FERC-566 - Report of Utility's 20 Largest Purchasers. Instructions: http://www.ferc.gov/docs-filing/forms.asp#566	Yes	Elec.	Report/Form - No Dkt. No.	
46.4; 131.31	FPA / 305(c)	Form No.. 561: Annual Report of Interlocking Positions. Instructions: http://www.ferc.gov/docs-filing/forms.asp#561	Yes	Elec.	Report/Form for Exist. Dkt for those with ID Dkt. Nos; Report/Form - No Dkt. No. for those without an ID Dkt.	

PART 50: APPLICATIONS FOR PERMITS TO SITE INTERSTATE TRANSMISSION FACILITIES

50.5(c)	EPAAct2005 /1221	Pre-Filing for Permit to Site New Electric Transmission Facilities or Modify Existing Facilities	Yes	Elec.	Applic. (other than eTariff)	PT	Yes: 1; OGC-EP Room 101-56
50.6; 50.7	EPAAct2005 /1221	Application and Exhibits for Permit to Site New Electric Transmission Facilities or Modify Existing Facilities	Yes	Elec.	Applic. (other than eTariff)	ET	Yes: 1; OGC-EP Room 101-56

50.10 / 385.214	Interventions	Yes	General	Intervention
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PART 131: FORMS

131.31; 46.4	FPA / 305(c)	Form No.. 561: Annual Report of Interlocking Positions. Instructions: http://www.ferc.gov/docs-filing/forms.asp#561	Yes	Elec.	Report/Form for Exist. Dkt for those with ID Dkt. Nos; Report/Form - No Dkt. No. for those without an ID Dkt.
131.43; 34.10	FPA / 204	Report of Securities Issued (Select Applicable ES Docket)	Yes	Elec.	Report/Form for Exist. Dkt
131.50; 34.10	FPA / 204	Report of Proposals Received	Yes	Elec.	Report/Form for Exist. Dkt
131.80; 292.207(a)		Form No. 556: Certification of Qualifying Facility Status for an Existing or Proposed Small Power Production or Cogeneration Facility. Instructions: http://www.ferc.gov/docs-filing/forms.asp#556	Yes	Elec.	Self-Certification Notice (QF, EG, FC) QF

PART 141: STATEMENTS AND REPORTS (SCHEDULES)

141.1		Form No. 1: Annual Report of Major Electric Utilities, Licensees and Others. Instructions: http://www.ferc.gov/docs-filing/forms.asp#1	eForms	N/A	N/A
141.1		Annual Shareholders Report (Supplement to Form 1 Filing)	Yes	Elec.	Report/Form for Exist. Dkt (ZZYY-2) Where YY = Current Year
141.2		Form No. 1-F: Annual Report for Nonmajor Public Utilities and Licensees. Instructions: http://www.ferc.gov/docs-filing/forms.asp#1F	Yes	Elec.	Report / Form - No Docket No.
8.11; 141.14		Form No. 80: Licensed Hydropower Development Recreation Report. Instructions: http://www.ferc.gov/docs-filing/forms.asp#80	Yes	Hyd: DC	Rpt/Form for Exist. Proj (Select Form 80 in Col. 3) No
141.15		Annual Conveyance Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj No
141.51		Form No. 114: Annual Electric Control and Planning Area Report (if no PIN assigned, contact Form714@ferc.gov) Instructions: http://www.ferc.gov/docs-filing/forms.asp#714	eForms	N/A	N/A
141.100		Original Cost Statement of Utility Property	Yes	Elec. / Hyd: DC	Report/Form - No Dkt/ Proj
141.300		Form No. 715: Annual Transmission Planning and Evaluation Report. Instructions: http://www.ferc.gov/docs-filing/forms.asp#715	Yes	Elec.	Report / Form - No Docket No.
141.400		Form No. 3-Q: Quarterly Financial Report of Electric Utilities, Licenses, and Natural Gas Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#3Q-elec	eForms	N/A	N/A
141.500		Cash Management Agreements and Amendments	Yes	Elec. / Hydro	Report / Form with Dkt No. (RM02-14)

141.1, 141.2, 141.400		Request for Extension of Time to File FERC Form 1, 1-F, or 3-Q	Yes	Elec.	Accounting Filing or Req	AC
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PART 152: APPLICATION FOR EXEMPTION FROM THE PROVISIONS OF THE NATURAL GAS ACT PURSUANT TO SECTION 1(C) THEREOF AND ISSUANCE OF BLANKET CERTIFICATES AUTHORIZING CERTAIN SALES FOR RESALE

152.1	NGA / 1(c)	Applications for Exemption From the Provisions of Natural Gas Act Section 1(c) and Issuance of Blanket Certificates Authorizing Certain Sales for Resale	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56
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PART 153: APPLICATION FOR AUTHORIZATION TO CONSTRUCT, OPERATE, OR MODIFY FACILITIES USED FOR THE EXPORT OR IMPORT OF NATURAL GAS

Applic. (other than eTariff)

153.7	NGA / 3	Applications for Authorization to Construct, Operate or Modify Facilities Used for Export or Import of Natural Gas	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56. For LNG-related filings, one additional copy of Resource Report 13 for "PF" dockets and one additional copy of Resource Reports 11 and 13 for "CP" dockets (including the Geotechnical Site Investigation and Seismic Hazard Assessment, for both PF and CP to OEP Room 62-46.
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153.23	NGA / 3	Notices of Start of Construction or Modification of Import/Export Facilities, Completion of Construction or Modification, and Commencement of Service	Yes	Gas	Suppl. Info or Request	
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PART 154: RATE SCHEDULES AND TARIFFS

154.7	NGA / 4	Tariff Filing or Executed Service Agreement Submission	Yes	Gas	eTariff - mandatory	
154.7	NGA / 4	Negotiated Rate/Service Agreements (executed/unexecuted)	Yes	Gas	eTariff - mandatory	
154.8	NGA / 4	Informal Submission of Proposed Tariff for Staff Review	Yes	Gas	Report/Form - No Dkt. No.	
154.111	NGA / 4	Form No. 549B: Index of Customers. Instructions: http://www.ferc.gov/docs-filing/forms.asp#549b	Yes	Gas	Report/Form - No Dkt. No. / Index of Customers	
154.202	NGA / 4	Filings to Initiate a New Rate Schedule	Yes	Gas	eTariff - mandatory	
154.203	NGA / 4	Compliance Filings	Yes	Gas	eTariff - mandatory	

154.205	NGA / 4	Requests for Changes Suspended Tariffs, Executed Service Agreements, or Parts Thereof	Yes	Gas	eTariff - mandatory
154.206	NGA / 4	Motion to Place Suspended Rates into Effect	Yes	Gas	eTariff - mandatory
154.311	NGA / 4	Supplements or Amendments to Statements, Schedules, Workpapers and Supporting Data	Yes	Gas	Suppl. Info or Request; eTariff
154.312	NGA / 4	Major Rate Case	Yes	Gas	eTariff - mandatory
154.313	NGA / 4	Minor Rate Case	Yes	Gas	eTariff - mandatory
154.314	NGA / 4	Other Support for a Filing or Updates Thereof	Yes	Gas	Suppl. Info or Request; eTariff
154.315	NGA / 4	Application to Recover Asset Retirement Obligations	Yes	Gas	eTariff - mandatory
154.401	NGA / 4	Recovery of Research, Development and Demonstration (RD&D) Expenditures	Yes	Gas	eTariff - mandatory
154.402(b)	NGA / 4	Application for Rate Treatment Authorization to Recover Annual Charge Adjustment (ACA) Expenditures	Yes	Gas	eTariff - mandatory
154.403(d)	NGA / 4	Periodic Rate Adjustment Filings	Yes	Gas	eTariff - mandatory
154.501	NGA / 4	Report of Refunds	Yes	Gas	eTariff - mandatory
154.502(a) and (b)	NGA / 4	Placing Reports in the Tariff	Yes	Gas	eTariff - mandatory
154.502(c)	NGA / 4	Required Reports	Yes	Gas	Report/Form for Exist. Dkt; eTariff
154.601	NGA / 4	Change in Executed Service Agreement	Yes	Gas	eTariff - mandatory
154.602 / 250.2, 250.3	NGA / 4	Cancellation or Termination of a Tariff, Executed Service Agreement or Part Thereof in eTariff format	Yes	Gas	eTariff - mandatory
154.602 / 250.2, 250.3	NGA / 4	Cancellation or Termination of a Tariff, Executed Service Agreement or Part Thereof in Paper format	Yes	Gas	Report / Form - No Docket Number
154.603 / 250.4	NGA / 4	Adoption of the Tariff by a Successor	Yes	Gas	eTariff - mandatory
Other-Part 154		Request for Waiver of Tariff Provision	Yes	Gas	eTariff - mandatory
154.205		Withdrawal of Tariff Filing	Yes	Gas	eTariff - mandatory

PART 156: APPLICATIONS FOR ORDERS UNDER SECTION 7(a) OF THE NATURAL GAS ACT

156.3 / 250.6	NGA / 7(a)	Applications for Orders Under Natural Gas Act Section 7(a)	Yes	Gas	Applic. (other than eTariff)	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56
156.5	NGA / 7(a)	Exhibits or Revisions Pursuant to Application Under 156.3	Yes	Gas	If not filed with Application: use Suppl. Info or Req.	

PART 157: APPLICATIONS FOR CERTIFICATES OF PUBLIC CONVENIENCE AND NECESSITY AND FOR ORDERS PERMITTING AND APPROVING ABANDONMENT UNDER SECTION 7 OF THE NATURAL GAS ACT

157.6 / 157.13 / 157.14	Application for Certificates of Public Convenience and Necessity	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56. For LNG-related filings, one additional copy of Resource Report 13 for "PF" dockets and one additional copy of Resource Reports 11 and 13 for "CP" dockets (including the Geotechnical Site Investigation and Seismic Hazard Assessment, for both PF and CP to OEP Room 62-46.
157.6(c)	Request for Shortened Procedure (if not filed with application)	Yes	Gas	Suppl. Info or Request		
157.7	Abbreviated Application	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56
157.10 / 385.214	Interventions	Yes	General	Intervention		
157.10 / 385.214	Protests	Yes	General	Protest		
157.15-16	Application for Acquisition of Facilities	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56
157.17	Application for Temporary Certificates in Case of Emergency	Yes	Gas	Applic. (other than eTariff)	CP	
157.18	Application to Abandon Facilities and Service	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56
157.18	Application to Abandon Service only with tariff sheets - Use Compliance Type of Filing Code	Yes	Gas	eTariff - mandatory	RP	
157.18	Notice of Abandonment Report	Yes	Gas	Suppl. Info or Request		
157.20(a)	Acceptance of Certificate	Yes	Gas	Suppl. Info or Request		
157.20	Requests for Construction Variances, Clearances	Yes	Gas	Suppl. Info or Request		
157.20(b)	Notice of Delay in Commencement of Service	Yes	Gas	Suppl. Info or Request		
157.20(c)(1)	Notice of Commencement of Construction	Yes	Gas	Suppl. Info or Request		
157.20(c)(2)	Notice of Commencement of Service	Yes	Gas	Suppl. Info or Request		

157.20(c)(3)	Construction Cost Report	Yes	Gas	Report/Form for Exist. Dkt		
157.20(d)(1)	Notice of Dates of Acquisition and Beginning of Operations	Yes	Gas	Suppl. Info or Request		
157.20(d)(2)	Notice of Completion, Placement, and Commencement	Yes	Gas	Suppl. Info or Request		
157.21	Request to Conduct Pre-Filing Activity (and Material Included in Request)	Yes	Gas	Applic. (other than eTariff)	PF	Yes: 2 complete to OEP Room 62-46
157.21	Pre-Filing Activity: Supplemental Material	Yes	Gas	Suppl. Info or Request		Yes: 2 complete to OEP Room 62-46
157.33	Application for Certificate or Other Authorization for a Proposed Alaska Natural Gas Transportation System	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 3 complete; two to OEP Room 62-46; one to OGC-EP Room 101-56
157.204	Application for Blanket Certificate Under Part 157	Yes	Gas	Applic. (other than eTariff)	CP	
157.205-206; 157.208-218	Prior Notice of Activity Under Blanket Certificate	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 2 complete to OEP Room 62-46
157.207	Form No. 537: Annual Certificate Report. Instructions: http://www.ferc.gov/docs-filing/forms.asp#537-acr	Yes	Gas	Report/Form - No Dkt. No.	CP	
157.214(c)	Semi-Annual Storage Report Under Part 157	Yes	Gas	Report/Form for Exist. Dkt		
157.215(b)(1)	Annual Reports on Underground Storage Testing and Development	Yes	Gas	Report/Form for Exist. Dkt		
157.215(b)(2)	Quarterly Reports For Aquifer-Type Storage Reservoir	Yes	Gas	Report/Form for Exist. Dkt		
Certificate	Construction Progress/Status Reports (Weekly/Biweekly/Monthly)	Yes	Gas	Report/Form for Exist. Dkt		
Order	Cost and Revenue Study	Yes	Gas	Report/Form for Exist. Dkt		

PART 158: ACCOUNTS, RECORDS, MEMORANDA AND DISPOSITION OF CONTESTED AUDIT FINDINGS AND PROPOSED REMEDIES

158.11	Report of CPA Certification	Yes	Gas	Report/Form for Exist. Dkt (ZZYY-1) Where YY = Current Year		
158.11	Request for Extension of Time to File CPA Certification	Yes	Gas	Accounting Filing or Req	AC	

PART 260: STATEMENTS AND REPORTS (SCHEDULES)

260.1	Form No. 2: Annual Report of Major Natural Gas Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#2	eForms	N/A	N/A		
260.1	Annual Shareholders Report (Supplement to Form 2 Filing)	Yes	Gas	Report/Form for Exist. Dkt (ZZYY-2) Where YY = Current Year		
260.2	Form No. 2-A: Annual Report of Nonmajor Natural Gas Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#2	eForms	N/A	N/A		

260.3		(Discontinued) FERC Form No. 11: Natural Gas Pipeline Company Quarterly Statement of Monthly Data	N/A	N/A	Discontinued Feb. 28, 2009 per Orders 710 and 710-A, in Docket No. RM07-9), with similar data now part of Forms 2 and 3Q.	
260.8		Form No. 567: System Flow Diagrams (CEII)	Yes	Gas		
260.9		FERC-576: Reports by Natural Gas Pipelines on Service Interruptions and Damage to Facilities (these non-public reports must be emailed to pipelineoutage@ferc.gov or FAD'd to 202-208-2853).	NO	N/A	N/A	
260.200		Original Cost Statement of Utility Property	Yes	Gas	Report/Form for Exist. Dkt	
260.300		Form 3-Q: Quarterly Financial Report of Electric Utilities, Licenses, and Natural Gas Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#3Q-gas	eForms	N/A	N/A	
260.1, 260.2, 260.300		Request for Extension of Time to File FERC Form 2, 2-A, or 3-Q	Yes	Gas	Accounting Filing or Req	AC
260.400		Cash Management Agreements and Amendments	Yes	Gas	Report / Form with Dkt No. (RM02-14)	
260.401	Order 704	Form No. 552, Annual Report of Natural Gas Transactions. Instructions: http://www.ferc.gov/docs-filing/forms.asp#552	Yes	Gas	Report/Form - No Dkt. No. (Select Form 552 in Col. 3)	
260.401	Order 704	Request for Extension of Time to File FERC Form 552	Yes	Gas	Report/Form For Existing Docket Number (select RM07-10)	

PART 284: CERTAIN SALES AND TRANSPORTATION OF NATURAL GAS UNDER THE NATURAL GAS ACT OF 1978 AND RELATED AUTHORITIES

284.11(b)	NGPA	30-day Advance Notification of Construction of Facilities	Yes	Gas	Applic. (other than eTariff)	CP	Yes: 2 complete to OEP Room 62-46
284.11(d)	NGPA / 311(a)	Form No. 537: Annual Report 311 Facility Activities. Instructions: http://www.ferc.gov/docs-filing/forms.asp#537-acr	Yes	Gas	Report/Form - No Dkt. No.	CP	
284.13(c)	NGPA	Form No. 549B: Index of Customers. Instructions: http://www.ferc.gov/docs-filing/forms.asp#549b	Yes	Gas	Report/Form - No Dkt. No. / Index of Customers		
284.13(d)(2)	NGPA	FERC-549B: Peak Day Capacity Report. Instructions: http://www.ferc.gov/docs-filing/forms.asp#549b	Yes	Gas	Report/Form - No Dkt. No.		
284.13(e)	NGPA	Form No. 557: Notice of Bypass by an Interstate Pipeline that provides transportation (except storage) to a customer that is located in the service area of a local distribution company.	Yes	Gas	Report / Form with Dkt No.		
284.123(b)(1)(ii)	NGPA	Election of Rate Methodology (No filing fee)	Yes	Gas	eTariff - mandatory		
284.123(b)(2)	NGPA	FERC-557: Notice of Bypass by an Interstate Pipeline (No filing fee required). eFiling links to Pay.gov for online fee payment	Yes	Gas	eTariff - mandatory		

284.123(e); Order 714	NGPA	Statement Required within 30 Days of Commencement of Service (statement includes pipeline's interstate rates, the rate election pursuant to paragraph (b), and a description of how the pipeline will engage in these transportation arrangements, including operating conditions)	Yes	Gas	eTariff - mandatory	
284.123	NGPA	Refund Report	Yes	Gas	eTariff - mandatory	
284.126(a)	NGPA	Form No. 537: Notice of Bypass by an intrastate pipeline that provides transportation (except storage) under §284.122 to a customer that is located in the service area of a local distribution company	Yes	Gas	Report / Form with Dkt No.	
284.126(b)	NGPA	Form No. 549D: Quarterly Transportation and Storage Report of Intrastate Natural Gas and Hinshaw Pipelines. Instructions: http://www.ferc.gov/docs-filing/forms_ser#549d	Yes	Gas	Report/Form - No Dkt. No.	
284.221	NGPA	Application for Blanket Certificate Authorizing Certain Transportation by Interstate Pipelines under Part 284 - no tariff records	Yes	Gas	Applic. (other than eTariff)	CP
284.224	NGPA	Application for Blanket Certificate Authorizing Certain Transportation and Sales by Local Distribution Companies Served by Interstate Pipelines, Including Companies not Subject to Commission Jurisdiction by Reason of Natural Gas Act Section 1(c) (\$1000 fee required). eFiling links to Pay.gov for online fee payment	Yes	Gas	eTariff - mandatory	
284.224	NGPA	Refund Report	Yes	Gas	eTariff - mandatory	
284.264(b)	NGPA	Petition for Waiver of 60-day Limitation for Emergency Transactions	Yes	General	Motion (other than Interv)	
284.270(a)	NGPA	Report of Emergency Natural Gas Sales Transaction	Yes	Gas	Emergency Proced. Notice	EM
284.270(b)	NGPA	Report of Emergency Natural Gas Transportation	Yes	Gas	Emergency Proced. Notice	EM
284.270(c)	NGPA	Report of Emergency Natural Gas Exchange	Yes	Gas	Emergency Proced. Notice	EM
284.270(d)	NGPA	Termination Report for Emergency Natural Gas Transactions	Yes	Gas	Report/Form for Exist. Dkt	
284.288; 284.403	PL03-3	Notice of Change in Transaction Reporting Status	Yes	Gas	Report/Form for Exist. Dkt (PL03-3)	
284.502(b)(1)	NGPA	Application for Market-Based Rates Not Filed as Part of Certificate Applications; Subpart B companies (Petition for Declaratory Order & fee)	Yes	Gas	eTariff - mandatory	
284.502(b)(2)	NGPA	Application for Market-Based Rates Not Filed as Part of Certificate Applications; Subpart C companies	Yes	Gas	eTariff - mandatory	

PART 292: REGULATIONS UNDER SECTIONS 201 AND 210 OF THE PUBLIC UTILITY REGULATORY POLICIES ACT OF 1978 WITH RESPECT TO SMALL POWER PRODUCTION AND COGENERATION

292.207(a)(1)	PURPA 1978 / 201 and 210	Form NO. 556. Self Certification of Qualifying Facility Status for Small Power Production and Cogeneration Facilities. Instructions: http://www.ferc.gov/docs-filing/forms_556.pdf	Yes	Elec	Self Certification Notice (QF, EG, FC)	QF
292.207(a)(2)	PURPA 1978 / 201 and 210	Form NO. 556. Self Re-Certification of Qualifying Facility Status for Small Power Production and Cogeneration Facilities. Instructions: http://www.ferc.gov/docs-filing/forms_556.pdf	Yes	Elec	Self Recertification of Qualifying Facility (QF)	Yes
292.207(b)	PURPA 1978 / 201 and 210	Application for Commission Certification as a Qualifying Small Power Production Facility (\$21,380 fee; no fee for Self Certification). eFiling links to Pay.gov for online fee payment.	Yes	Elec	Payment via Pay.Gov: (Fee) Application for Commission Cert. as Small Power QF	QF
					Payment via Check Payable to US Treasury: Applic. (other than eTariff)	QF
292.207(b)	PURPA 1978 / 201 and 210	Application for Commission Certification as a Qualifying Cogeneration Facility (\$24,200 fee; no fee for Self Certification). eFiling links to Pay.gov for online fee payment.	Yes	Elec	Payment via Pay.Gov: (Fee) Application for Commission Cert. as Cogeneration QF	QF
					Payment via Check Payable to US Treasury: Applic. (other than eTariff)	QF
292.310	EPA 2005 / 1253	Application for Relief from the Mandatory Purchase Obligation of 292.303(a)	Yes	Elec	Applic. (other than eTariff)	QM
292.311	EPA 2005 / 1253	Application for Reinstatement of Obligation to Purchase	Yes	Elec	Applic. (other than eTariff)	QM
292.312	EPA 2005 / 1253	Application for Relief from the Mandatory Obligation to Sell of 292.303(b)	Yes	Elec	Applic. (other than eTariff)	QM
292.313	EPA 2005 / 1253	Application for Reinstatement of Obligation to Sell	Yes	Elec	Applic. (other than eTariff)	QM

PART 294: PROCEDURE FOR SHORTAGES OF ELECTRIC ENERGY AND CAPACITY UNDER SECTION 206 OF THE PUBLIC UTILITY REGULATORY POLICIES ACT OF 1978

294.101(b)	PURPA 1978 / 201 and 210	Plan for Accommodation of Shortages	Yes	Elec	Report/Form - No Dkt. No.	
294.101(d)	PURPA 1978 / 201 and 210	Report of Anticipated Capacity or Energy Supply Shortage (this report must be emailed to emergency@ferc.gov)	NO	N/A	N/A	

PART 300: CONFIRMATION AND APPROVAL OF THE RATES OF FEDERAL POWER MARKETING ADMINISTRATIONS

300.10		Application for Confirmation and Approval of Rates of Federal Power Marketing Administrations	Yes	Elec	eTariff - mandatory	
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301.1	Average System Cost Methodolpgy	Yes	Elec	eTariff - mandatory	
PART 341: OIL PIPELINE TARIFFS: OIL PIPELINE COMPANIES SUBJECT TO SECTION 6 OF THE INTERSTATE COMMERCE ACT					
340.1(c)	ICA/6 Oil Refund Report	Yes	Oil	eTariff - mandatory	
341.0 - 341.3	ICA / 6 Oil Pipeline Tariff Filings	Yes	Oil	eTariff - mandatory	
341.4	ICA / 6 Oil Pipeline Tariff Amendments	Yes	Oil	eTariff - mandatory	
341.6	ICA / 6 Change in Name of Carrier or Ownership of Property	Yes	Oil	eTariff - mandatory	
341.13	ICA / 6 Withdrawal of Proposed Tariff Publication	Yes	Oil	eTariff - mandatory	
PART 343: PROCEDURAL RULES APPLICABLE TO OIL PIPELINE PROCEEDINGS					
343.2 / 385.214	Interventions	Yes	General	Intervention	
343.3(a)	Protests	Yes	General	Protest	
343.3(b)	Responses to Protests	Yes	Oil	Response/Answer to Pleading/Motion	
343.4 / 385.206	Complaints	Yes	Oil	Complaint Under 18 CFR 385.206	
343.4	Responses to Complaints	Yes	Oil	Response to Complaint	
PART 344: FILING QUOTATIONS FOR U.S. GOVERNMENT SHIPMENTS AT REDUCED RATES					
		Yes	Oil	eTariff - mandatory	
PART 346: OIL PIPELINE COST-OF-SERVICE FILING REQUIREMENTS					
		Yes	Oil	eTariff - mandatory	
PART 347: OIL PIPELINE DEPRECIATION STUDIES					
		Yes	Oil	eTariff - mandatory	DO
PART 348: OIL PIPELINE APPLICATIONS FOR MARKET POWER DETERMINATIONS					
		Yes	Oil	eTariff - mandatory	OR
PART 349: DISPOSITION OF CONTESTED AUDIT FINDINGS AND PROPOSED REMEDIES					
		Yes	Oil	Suppl. Info or Request	
PART 357: ANNUAL SPECIAL OR PERIODIC REPORTS: CARRIERS SUBJECT TO PART I OF THE INTERSTATE COMMERCE ACT					
357.2	Form No. 6: Annual Report of Oil Pipeline Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#6	eForms	N/A	N/A	
357.3	Form No. 73: Oil Pipeline Service Line Data. Instructions: http://www.ferc.gov/docs-filing/forms.asp#form73	Yes	Oil		DO

357.4		Form No. 6-Q: Quarterly Report of Oil Pipeline Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#6Q Request for Extension of Time to File FERC Form 6 or 6-Q (These filings will be assigned a new AC Docket)	eForms Yes	N/A Oil	N/A Accounting Filing or Req	AC
PART 358: STANDARDS OF CONDUCT						
358.4(a)(2)	Orders 717/888/ 889/2004	Report of Emergency Deviation from Standards of Conduct (These filings will be assigned a new EY Docket)	Yes	Gas / Elec.	Report/Form - No Dkt. No.	EY
Part 358	Orders 717/888/ 889/2004	Request for Waiver of Standards of Conduct (Filings under a new or existing OA, ER, or RP Docket Number that also include a Request for Waiver of Standards should also include TS10-____-000 in the Heading - we will assign a new TS Docket to the filing)	Yes	Gas / Elec.	Applic. (other than eTariff)	TS
358.4(a)(2)	Orders 717/888/ 889/2004	Supplemental Information/Report on Emergency Deviation	Yes	Gas / Elec.	Report/Form for Exist. Dkt	
PART 366: PUBLIC UTILITY HOLDING COMPANY ACT OF 2005						
366.4	PUHCA200 5	FERC-65: Notification of Holding Company Status	Yes	Elec.	Report/Form for Exist. Dkt (HCYY-1) Where YY = Current Year	
366.4	PUHCA200 5	FERC-65A: Exemption Notification	Yes	Elec.	Applic. (other than eTariff)	PH
366.4	PUHCA200 5	FERC-65B: Waiver Notification	Yes		Applic. (other than eTariff)	PH
366.6(b)	PUHCA200 5	SEC Financing Authorization Orders, Letters, Reports or Other Submissions	Yes	Elec.	Report/Form for Exist. Dkt (HC13-2)	
366.7(a)	PUHCA200 5	Exempt Wholesale Generator Self-Certification Notice	Yes	Elec.	Self-Certification Notice (QF, EG, FC)	EG
366.7(a)	PUHCA200 5	Foreign Utility Company Self-Certification Notice	Yes	Elec.	Self-Certification Notice (QF, EG, FC)	FC
366.7(c)	PUHCA200 5	Notification of Material Change in Facts	Yes	Elec.	Report/Form for Exist. Dkt (Use Applicable PH Dkt)	
366.23(a)(1)	PUHCA200 5	Form No. 60: Annual Report of Centralized Service Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#60	eForms	N/A	N/A	N/A
366.23(a)(2)	PUHCA200 5	FERC-61: Narrative Description of Service Company Functions	Yes	Elec.	Report/Form for Exist. Dkt (HCYY-7) Where YY = Current Year	
PART 369: STATEMENTS AND REPORTS (SCHEDULES)						
369.1		FERC Form No. 60: Annual Report of Centralized Service Companies. Instructions: http://www.ferc.gov/docs-filing/forms.asp#60	eForms	N/A	N/A	

PART 380: REGULATIONS IMPLEMENTING THE NATIONAL ENVIRONMENTAL POLICY ACT

Note: Resource Reports may be submitted as part of an application; this section is for those reports submitted separately.

380.12(c); 380.16(c)	Resource Report 1: General Project Description	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(d); 380.16(d)	Resource Report 2: Water Use and Quality	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(e); 380.16(e)	Resource Report 3: Fish, Wildlife and Vegetation	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(f); 380.16(f)	Resource Report 4: Cultural Resources	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(g); 380.16(g)	Resource Report 5: Socioeconomics	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(h); 380.16(h)	Resource Report 6: Geological Resources	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(i); 380.16(i)	Resource Report 7: Soils	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(j); 380.16(j)	Resource Report 8: Land Use, Recreation and Aesthetics	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380.12(k)	Resource Report 9 (Gas): Air and Noise Quality	Yes	Gas	Rpt/Form for Exist. Dkt
380.12(l)	Resource Report 10 (Gas): Alternatives	Yes	Gas	Rpt/Form for Exist. Dkt
380.12(m)	Resource Report 11 (Gas): Reliability and Safety	Yes	Gas	Rpt/Form for Exist. Dkt
380.12(n)	Resource Report 12 (Gas): PCB Contamination	Yes	Gas	Rpt/Form for Exist. Dkt
380.12(o)	Resource Report 13 (Gas): Engineering and Design Material	Yes	Gas	Rpt/Form for Exist. Dkt
380.16(k)	Resource Report 9 Hydro): Alternatives	Yes	Hydro	Rpt/Form for Exist. Proj
380.16(l)	Resource Report 10 (Hydro): Reliability and Safety	Yes	Hydro	Rpt/Form for Exist. Proj
380.16(m)	Resource Report 11 (Hydro): Design and Safety	Yes	Hydro	Rpt/Form for Exist. Proj
380	Other Environmental Study or Report	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj
380	Responses to Environmental Conditions in FERC Order	Yes	Gas/Hyd	Rpt/Form for Exist. Dkt/Proj

PART 381: FEES

381.106	Petition for Waiver of Filing Fee	Yes		Waiver Request
381.108	Petition for Exemption From Filing Fee	Yes	Elec / Gas	Waiver Request

PART 382: ANNUAL CHARGES

382.105	Petition for Waiver of Annual Charges	Yes	Annual Charges	FERC Report Req. No. 582 (specify "Petition for Waiver" in Desc. of Filing)
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382.201(c)	Annual Charges Report (FERC-582)	Yes	Annual Charges	FERC Reporting Req. No. 582	
PART 385: RULES OF PRACTICE AND PROCEDURE					
None	Comment on Filing, Environmental Document, or Technical Conference	Yes	General	Comment (on Filing, Env. Rpt, or Conf)	
None	Comment on Pre-Filing Activity (PF Docket)	Yes	General	Comment (on Filing, Env. Rpt, or Conf)	
None	Rulemaking Comment (RM, AD, or PL Docket)	Yes	General	Comment on Rulemaking (RM, PL, AD Docket)	
385.206; 385.218	Complaints Alleging Violation of Statute, Rule, Order, or Other Law Administered by the Commission - ISO filing a complaint against its own tariff	Yes	Elec	eTariff - mandatory	
385.206; 385.218	Complaints Alleging Violation of Statute, Rule, Order, or Other Law Administered by the Commission - except for an ISO filing a complaint against its own tariff	Yes	Elec., Gas, Oil; Hyd: DC	Complaint Under 18 CFR 385.206	
385.206(f); 385.218(e)	Answer to Complaint	Yes	General	Response to Complaint	
207(a), except (a)(2)	Petitions (where no docket number applies)	Yes	Elec., Gas, Oil; Hyd: DC	Applic. (other than eTariff)	Yes
207(a), except (a)(2)	Petitions (pertaining to an existing docket number)	Yes	Elec., Gas, Oil; Hyd: DC	Suppl. Info or Request	
385.207(a)(2)	Petition for Declaratory Order (\$24,860 fee). eFiling links to Pay.gov for fee payment.	Yes	General	Payment via Pay.Gov: (Fee) Petition for Declaratory Order (not under FPA Part I) Payment via Check Payable to US Treasury: Applic. (other than eTariff)	
385.207(b) / 24.1	Declaration of Intent Under FPA 23(b) [Declaratory Order - no fee]	Yes	Hyd: DC	Petition for Declaratory Order under FPA Part 1	DI
385.211	Protests	Yes	General	Comment (on Filing, Env. Rpt, or Conf)	
385.212	Motions (Other than Interventions)	Yes	General	Motion (other than Interv)	
385.213	Answer to Pleading (Other than Answers to Complaints)	Yes	General	Response/Answer to Pleading/Motion	
385.214(b)	Motion to Intervene	Yes	General	Intervention	
385.214(d)	Motion to Intervene Out-of-Time	Yes	General	Intervention	
385.214((a)(1), (a)(2)	Notice of Intervention (by Federal Agencies/State Commissions)	Yes	General	Intervention	

385.214(c)	Answer in Opposition to Motion to Intervene	Yes	General	Response/Answer to Pleading/Motion
	Withdrawal of Intervention	Yes	General	Withdrawal of Pleading (other than Application)
385.215	Amendment to Pleading (not including tariff filings)	Yes	General	Suppl. Info or Request
385.215(c)	Motion Opposing an Amendment	Yes	General	Motion (other than Interv)
385.216	Withdrawal of Pleading (Other than Withdrawal of Tariff or Rate Filing)	Yes	Elec., Nat. Gas, Hyd: DC	(Elec): Withdrawal of Applic (other than eTariff); (Gas): Withdrawal of Certificate Application; (Hydro): Withdrawal of Application
385.216	Objection to Withdrawal of Pleading	Yes	General	Motion (other than Interv)
385.216	Withdrawal of Tariff or Rate Filings	NO	N/A	N/A
385.217	Motion for Summary Disposition	Yes	General	Motion (other than Interv)
385.404	Notice of Intent to Depose	Yes	Hearing/A LJ	Motion (other than Interv)
385.410(a)	Notice of Objection to Discovery / Motion to Quash	Yes	Hearing/A LJ	Motion (other than Interv)
385.410(b)	Motion to Compel Production	Yes	General	Motion (other than Interv)
385.507 / 385.508	Testimony and Exhibits; Affirmation to Testimony	Yes	Hearing/A LJ	Testimony / Exhibits
385.510(b)	Transcript Corrections	Yes	Hearing/A LJ	Transcript Correction
385.510(b)	Objection to Transcript Corrections	Yes	Hearing/A LJ	Transcript Correction
385.602(c)	Settlement Offers - in Proceeding Set for Hearing Before an ALJ - no tariff sections	Yes	Hearing/A LJ	Settlement Offer
385.602(c)	Settlement Offers - in Proceeding NOT Set for Hearing Before an ALJ - no tariff sections	Yes	Gas, Elec., Oil, Hydro	Suppl. Info or Request (Revise desc. to state that the filing is a settlement offer)
385.602(c)	Settlement Offers - any Proceeding; with tariff sections	Yes	Gas, Elec., Oil	eTariff - mandatory
385.602(f)	Comment on Settlement	Yes	Hearing/A LJ	Comment on Settlement
	Withdrawal of Settlement (not filed through eTariff)	Yes	Hearing/A LJ	Motion
	Withdrawal of Settlement (filed through eTariff)	Yes	Gas, Elec., Oil	eTariff - mandatory

385.603	Motion for Appointment of a Settlement Judge	Yes	Hearing/A LJ	Motion
385.604(d)	Proposal to Use Alternative Means of Dispute Resolution	Yes	General	Motion (other than Interv)
385.608	Proposal to Use Binding Arbitration	Yes	General	Motion (other than Interv)
385.704-706; 385.711	Briefs / Statements of Position	Yes	Hearing/A LJ	Brief / Statement of Position
385.713	Request for Rehearing	Yes	General	Request for Rehearing
	Withdrawal of Request for Rehearing	Yes	General	Withdrawal of Pleading (other than Application)
385.715(b)	Motion to Permit Interlocutory Appeal	Yes	General	Motion (other than Interv)
385.715(c)	Appeal of Denial of Motion to Permit Interlocutory Appeal	Yes	General	Motion (other than Interv)
385.716	Motion to Reopen the Record	Yes	General	Motion (other than Interv)
385.1907	Reports/Notices of Compliance	Yes	Elec., Nat. Gas, Hyd: DC	Suppl. Info or Request
385.2008	Requests for Extension of Time (other than for Forms)	Yes	General	Motion (other than Interv)
385.2010(c)	Request to Update Service List	Yes	General	Request to Update Service List or Corporate Officials List
385.2010(k)	Request to Update Corporate Officials List	Yes	General	Request to Update Service List or Corporate Officials List (Use Docket RM99-9)
385.2012	Petitions for Review of Commission Orders (copy of date-stamped Petition filed with U.S. Courts of Appeal)	Yes	N/A	N/A
385.2012	Petition for Writ of Certiorari	NO	N/A	N/A
385.2012	Petition for Writ of Mandamus	NO	N/A	N/A

PART 388: INFORMATION AND REQUESTS

	Audits - Data Responses and Related Material	Yes	General	Audit Material
	Audits - Motions	Yes	General	Motion (other than Interv)
388.104(a)	No Action Letters (send to the attention of the Office of General Counsel)	NO	N/A	N/A
388.104(b)	Accounting Filing or Req to Chief Accountant	Yes	Elec., Gas, Oil	Accounting Filing or Req AC Payment via Pay.Gov: (Fee) Req. for Interpret. by Gen. Counsel (not under FPA Part 1)
388.104(c)	Request for Interpretation by the Office of the General Counsel (other than under FPA Part 1 (\$7,130 fee))	Yes	General	

388.104(b)	Counsel, other than under FPA Part 1 (\$1,100 fee). eFiling links to Pay.gov for online fee payment.	Yes	General	Payment via Check Payable to US Treasury: Applic. (other than eTariff)
388.104(c)	Request for Interpretation by the Office of the General Counsel under FPA Part 1 (no fee)	Yes	General	Req. for Interpret. by Gen. Counsel under FPA Part 1
388.108	Requests for Records under the Freedom of Information Act (FOIA Request - directed to Office of External Affairs)	NO	N/A	N/A
388.112	CEII and/or Privileged Material	Yes	N/A	Must be include as part of the applicable filing
388.113	Requests for Access to Critical Energy Infrastructure Information (CEII Request - directed to Office of External Affairs)	NO	N/A	N/A
	Congressional Correspondence (directed to External Affairs)	NO	N/A	N/A

PART 390: ELECTRONIC REGISTRATION

390.3	Request for Waiver of Electronic Registration Requirement	Yes	General	Motion (other than Interv) Use Docket RM02-10
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MISCELLANEOUS FILINGS

None	EPAAct05 1252(e)(3)	Demand Response and Advanced Metering Surveys	NO	N/A	N/A
	None	Financial Audit Refund Report (Privileged)	Yes	Elec. or Gas	Rpt/Form for Exist. Dkt (Use FA / PA Dkt)
None	FPA 205(f)	FERC-DOE Interrogatory on Fuel & Energy Purchase Practices. Instructions: http://www.ferc.gov/docs-filing/forms_205#580	Yes	Elec.	Rpt/Form For Exist. Dkt (IN79-6)
	None	Petition for Waiver of Regulation	Yes	General	Waiver Request
		Request for Waiver of Hydro Regulation or Terms/Conditions	Yes	General	Waiver Request
	None	Presentations at Technical Conferences	Yes	General	Comment (on Filing, Env. Rpt, or Conf); or eTariff
	None	Response to Data Request	Yes	General	Response to Data Request; or eTariff
		Response to Deficiency Letter -not including Part 35 Deficiency Letters	Yes	General	Response to Data Request
		Response to Inquiry	Yes	General	Response to Data Request; or eTariff
		Response to Show Cause Order	Yes	General	Response to Data Request
		Responses to Draft Audit Report	Yes	General	Response to Data Request
		Supplemental Information	Yes	Elec/Gas/ Oil	Suppl. Info or Request; eTariff

Updates on Compliance Activity- Audits	Yes	Elec/Gas/ Oil	Suppl. Info or Request
Updates on Compliance Activity- Investigations	NO	N/A	
Data Responses- Investigations	NO	N/A	
Discovery Request	NO	N/A	
Dispute Resolution Service Material	NO	N/A	
Follow-Up Discovery Request	NO	N/A	
Self Reports	NO	N/A	

MISCELLANEOUS HYDRO FILINGS

None		Request for Lease of Power Privilege Filings by the Bureau of Reclamation	Yes	Hyd: DC	Application - Other (No Project No.)	LP
None		Mining Claim Information filed by Bureau of Land Management	Yes	Hyd: DC	Application - Other (No Project No.)	MC
None	EPAAct05 1301	request for Certification of incremental hydropower Production Pursuant to Renewable Energy Production Tax Credit	Yes	Hyd: DC	Suppl. Info or Request	

MISCELLANEOUS HYDRO REPORTS FILED WITH AN FERC REGIONAL OFFICE

License	Blasting Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Board of Consultant Correspondence	Yes	Hyd: RO	Regional Office w/Juris.	
License	Board of Consultant Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction - Cofferdam Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction - Final Construction Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction - Monthly Progress Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction - Plans and Specs - Maintenance	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Construction - Plans and Specs - Modification or New Construction	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Construction - Quality Control Inspection Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction - Temporary Emergency Action Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction Certification by Licensee's Design Engineer	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction Erosion Control Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction Quality Control Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Construction Start	Yes	Hyd: RO	Regional Office w/Juris.	
License	Dam Break Analysis	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Dam Restoration Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Design Report	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Exploratory Test Program	Yes	Hyd: RO	Regional Office w/Juris.	
License	Extension of Time Request - Hydro Related	Yes	Hyd: RO	Regional Office w/Juris.	
License	Final Construction Progress Report	Yes	Hyd: RO	Regional Office w/Juris.	

License	Foundation Exploration Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Foundation Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Hazard Potential Study	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Inflow Design Flood Study	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Instrumentation Monitoring Plan	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Monthly Construction Progress Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Owner's Dam Safety Programs	Yes	Hyd: RO	Regional Office w/Juris.	
License	Part 12D - Licensee Response to Consultant's Recommendations	Yes	Hyd: RO	Regional Office w/Juris.	
License	Part 12D Consultant Safety Inspection Reports	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Part 12D Exemption Request	Yes	Hyd: RO	Regional Office w/Juris.	
License	Part 12D Plan and Schedule	Yes	Hyd: RO	Regional Office w/Juris.	
License	Part 12D Supplement	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Part 8 Submittal	Yes	Hyd: RO	Regional Office w/Juris.	
License	Penstock Inspection and Maintenance Program	Yes	Hyd: RO	Regional Office w/Juris.	
License	Plan & Schedule	Yes	Hyd: RO	Regional Office w/Juris.	
License	Plan and schedule - standard	Yes	Hyd: RO	Regional Office w/Juris.	
License	Plans & Specifications	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Probable Maximum Flood (PMF) Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Project Maintenance/Outage Notification	Yes	Hyd: RO	Regional Office w/Juris.	
License	Project Operations Manual	Yes	Hyd: RO	Regional Office w/Juris.	
License	Public Safety Device Installation	Yes	Hyd: RO	Regional Office w/Juris.	
License	Public Safety Plans	Yes	Hyd: RO	Regional Office w/Juris.	
License	Public use Facilities Construction	Yes	Hyd: RO	Regional Office w/Juris.	
License	Reclassification of Hazard Potential	Yes	Hyd: RO	Regional Office w/Juris.	
License	Report of Halted Activity Required by Cease and Desist	Yes	Hyd: RO	Regional Office w/Juris.	
License	Reservoir Clearing Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Reservoir Drawdown Notification	Yes	Hyd: RO	Regional Office w/Juris.	
License	Reservoir Operating Plan	Yes	Hyd: RO	Regional Office w/Juris.	
License	Seismic Report	Yes	Hyd: RO	Regional Office w/Juris.	
License	Spillway Gate Operation Certification	Yes	Hyd: RO	Regional Office w/Juris.	
License	Stability Study	Yes	Hyd: RO	Regional Office w/Juris.	
License	Surveillance and Monitoring Report	Yes	Hyd: RO	Regional Office w/Juris.	Yes: 2 to Regional Office
License	Tainter Gate Inspection Review	Yes	Hyd: RO	Regional Office w/Juris.	

MISC. HYDRO REPORTS FILED WITH FERC HQ: HYDRO ADMIN AND COMPLIANCE DIV.

License	Annual Water Quality/Minimum Flow	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes
License	Aperture Cards & Electronic Format	NO	N/A	N/A	No

License	Application for Change in Land & Water Rights	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	As-Built Drawings	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	Yes: 2 to Regional Office
License	Barrier Net Operation Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Board of Consultant's Approval Request	Yes	Hyd: DC	Rpt/Form for Exist. Proj		
License	Critical Habitat Management Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Cultural Resources Management Annual Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Cultural Resources Management Plan (CRMP)	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Dam Dredging Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Determination of Jurisdiction	Yes	Hyd: DC	Rpt/Form for Exist. Proj		
License	Dissolved Oxygen Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Gauging & Flow Plans	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Historic Properties Management Plan (HPMP)	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Hydro Compliance Management Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Impoundment Fluctuations Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Invasive Species Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Littoral Zone Monitoring Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Minimum Flow Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Notification of Hydropower Exemption Transfer	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Operations & Flow Monitoring Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Plans & Specifications	Yes	Hyd: DC	Rpt/Form for Exist. Proj		
License	Preliminary Permit Progress Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Progress Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Project Financing Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Raptor Protection Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Recreation Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Reservoir Sediment Removal/Dredging Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Run of River Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Shoreline Erosion Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Shoreline Management Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Upstream/Downstream Fish Passage Plans	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Visual Resource Mitigation Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Water Quality Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Water Withdrawal Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Wetland Management Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Wetland Management Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Wildlife Mitigation Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	
License	Wildlife Monitoring Report	Yes	Hyd: DC	Rpt/Form for Exist. Proj	No	
License	Wildlife Terrestrial Plan	Yes	Hyd: DC	Rpt/Form for Exist. Proj	Yes	

Ref. Washington, DC Office Addresses:

OEP-61-02 Federal Energy Regulatory Commission
Office of Energy Projects
888 First Street, N.E., RM 61-02
Washington, DC 20426

OEP 62-46 Federal Energy Regulatory Commission
Office of Energy Projects
888 First Street, N.E., RM 62-46
Washington, DC 20426

OEMR 91-13 Federal Energy Regulatory Commission
Office of Energy Markets Regulation
888 First Street, N.E., RM91-13
Washington, DC 20426

OGC-EP 101 -56 Federal Energy Regulatory Commission
Office of General Counsel
888 First Street, N.E., RM 101-56
Washington, DC 20426

Ref. Regional Office Addresses:

ARO Federal Energy Regulatory Commission
Atlanta Regional Office
3700 Crestwood Pkwy NW, 9th Flr
Duluth, GA 30096

CRO Federal Energy Regulatory Commission
Chicago Regional Office
230 South Dearborn St, Rm 3130
Chicago, IL 60604

NYRO Federal Energy Regulatory Commission
New York Regional Office
19 West 34th St, Ste 400

New York, NY 10001-3006

PRO Federal Energy Regulatory Commission
Portland Regional Office
805 SW Broadway, Ste 550
Portland, OR 97205

SFRO Federal Energy Regulatory Commission
San Francisco Regional Office
100 First Street, Ste 2300
San Francisco, CA 94105