

1 SECTOR OPERATIONS PLAN AND AGREEMENT

2 This NORTHEAST FISHERY SECTOR OPERATIONS PLAN AND AGREEMENT (this "Agreement") is entered  
3 into as of March 6, 2014 (the "Effective Date"), by and among IX Northeast Fishery Sector, Inc. ("NEFS  
4 IX") and each of the Sector members identified on the attached **Exhibit A**.

5 **Recitals**

6 A. Pursuant to "Amendment 16" to the Northeast Multispecies Fishery Management Plan and  
7 implementing regulations promulgated by the National Marine Fisheries Service ("NMFS"), a group of  
8 persons holding limited access multispecies vessel permits may form self-selecting voluntary sectors for  
9 fishery management. As a condition to forming a sector under Amendment 16, the persons wishing to  
10 do so must enter into a binding sector operations plan and agreement that contains the required  
11 elements.

12 B. The parties to this Agreement wish to form a self-selecting voluntary sector under Amendment 16  
13 and to do so are entering into this Agreement.

14 **Agreement**

15 Now therefore, for and in consideration of the agreements, covenants, rights and obligations set forth  
16 herein and the mutual benefits anticipated by the Members under this Agreement, the receipt and  
17 sufficiency of which is hereby acknowledged, the Members and Sector hereby agree as follows:

18 1. Sector Name. The organization described under this Agreement shall be called the IX  
19 Northeast Fishery Sector, Inc. This is a non-profit organization incorporated in Massachusetts on May  
20 26, 2009 and therefore may be held liable for violations committed by its members.

21 2. Sector Eligibility and Membership. To be eligible to be a member of the Sector, a person  
22 must hold a Limited Access Northeast multi-species permit and meet all other Sector eligibility  
23 requirements as established from time to time by the Sector's Board of Directors (the "Board"). Any  
24 person wishing to become a Sector member must submit an application no later than sixty (60) days  
25 prior to the annual deadline by which Sector contracts must be submitted to NMFS. Sector membership  
26 shall be effective upon admission of a member by the Board and acceptance by execution by such  
27 member of the Sector's Membership Agreement. Subject to the automatic renewal provisions of  
28 Section 12 below, and the disciplinary expulsion provisions of Section 13 below, Sector membership

1 shall expire at the conclusion of each fishing year, unless renewed by the Board in accordance with the  
2 Sector's Bylaws and this Agreement. The Sector's members (the "Members"), such Members' "Limited  
3 Access Multispecies Permit" (LA MS) as identified by its "Moratorium Rights Identifier" (MRI), and the  
4 vessels that will harvest the Sector's Amendment 16 allocations are identified on the attached **Exhibit A**,  
5 which may be amended from time to time in accordance with this Agreement and the Sector's Bylaws.

6           2.1 Rule of Three Requirement: Amendment 16 to the NE multispecies FMP defines a sector as  
7 a group of three or more persons, none of whom have an ownership interest in the other two persons in  
8 the sector. This criterion has been fulfilled with permit # 330126 under the distinct ownership of  
9 Predator Fisheries, # 330236 under the distinct ownership of Olivia & Rafaela Fishing Corp., and permit #  
10 150370 under the distinct ownership of Alcor Fishing Corp.

11           3. Member and Vessel Permits. The attached **Exhibit B** is provided in accordance with the  
12 requirements of Amendment 16 that all state and federal permits held by Members or assigned to  
13 Members' vessels be disclosed in each sector's annual operations plan.

14           4. Sector Allocation and Exemptions. Each Member shall take all actions and execute all  
15 documents necessary to obtain the Sector's Amendment 16 annual catch entitlement ("ACE"). The  
16 Sector shall request all universal exemptions granted to sectors under Amendment 16 and relating  
17 multispecies implementing regulations; and any special exemptions the Board deems appropriate. The  
18 special exemptions initially requested by the Sector are identified on the "Harvesting Rules" attached  
19 hereto as **Exhibit C**.

20           5. Distribution of Sector ACE. Each Member acknowledges that the Sector's ACE is composed of  
21 allocations for each species of Northeast multispecies groundfish allocated by Amendment 16 (each  
22 such species being an "Allocated Species"), and any subsequent Framework or Amendment. Subject to  
23 the terms and conditions of this Agreement, each Member shall be entitled to harvest or transfer an  
24 amount of the Sector's ACE, as adjusted by the Sector for retainages including but not limited to the  
25 Reserve, for each Allocated Species proportionate to the amount of ACE for such species that the Sector  
26 receives as a result of such Member's membership in the Sector. The amount of Sector ACE a Member  
27 may harvest or transfer, as adjusted by transfers and Sector retainages including but not limited to the  
28 Reserve, made in accordance with this Agreement, is referred to hereafter as a Member's "Harvest  
29 Share." Each Member may harvest or transfer its Harvest Share only under the terms and conditions of  
30 this Agreement and in compliance with the restrictions imposed by the Manager (as defined below), the

1 Enforcement Committee (as defined below) and the Board in accordance with this Agreement. Any  
2 other attempted harvest or transfer of a Member's Harvest Share shall be a breach of this Agreement.

3 6. Sector Manager and Registered Agent. The Board shall from time to time appoint a person to  
4 act as the Sector's authorized agent in all NMFS and New England Fishery Management Council matters  
5 (the "Manager"), and a person to serve as the registered agent for receiving service of process on behalf  
6 of the Sector (the "Registered Agent"). The procedures for appointing the Manager and the Registered  
7 Agent shall be as provided in the Sector's Bylaws, as the same may be amended from time to time. The  
8 Manager and the Registered Agent may be the same person. The Manager shall be responsible for  
9 preparing and filing all reports required of the Sector under Amendment 16 and the related  
10 implementing regulations. Stephanie Rafael-DeMello will serve as the registered agent for receiving  
11 service of process on behalf of the Sector. The Sector will notify NMFS prior to May 1, 2014 who will be  
12 acting as their Manager for FY 2014-2015.

13 6.1 Communication with Sector. The Manager is the primary point of contact for all  
14 communications on behalf of the Sector and all communications regarding NEFS IX should be directed  
15 accordingly. In addition to the Sector Manager, Elizabeth Etrie or the acting program director of  
16 Northeast Sector Service Network, is authorized to act on behalf of the Sector. At the time in which this  
17 Agreement is entered into, the Board of Directors are as follows:

18 President: Carlos Rafael  
19 Vice President: Carlos Alberto  
20 Treasurer: Carlos Alberto  
21 Clerk: Carlos Alberto  
22 Directors: Carlos Rafael, Carlos Alberto, Richard Canastra, Raymond  
23 Canastra  
24

25 In the event that the Board of Directors is modified, the Sector will notify NMFS of such modifications.  
26 Additionally, a list of current Board members can also be located at the following link.

27 <http://corp.sec.state.ma.us/CorpWeb/CorpSearch/CorpSearch.aspx>

28 7. Consolidation Plan. The Sector's ACE shall be harvested in accordance with the terms and  
29 conditions of this Section 7.

1           7.1 Harvest Share Reserve. Each Member acknowledges that under NMFS regulations,  
2 the Members' aggregate harvest of the Sector's ACE may not exceed the Sector's ACE allocation, as  
3 adjusted by transfers of ACE between the Sector and other sectors. Each Member further acknowledges  
4 that under NMFS regulations, each Member may be held jointly liable for fines, penalties and forfeitures  
5 related to the Sector's ACE being overharvested. Each Member therefore authorizes the Manager to  
6 annually establish a reserve of each Allocated Species in the amount the Manager deems necessary to  
7 insure the Sector's ACE is not over-harvested (the "Reserve"). The Reserve for each Allocated Species  
8 shall be established by assessing the Members' Harvest Shares for such Allocated Species on a pro rata  
9 basis, according to their Harvest Share percentages for each such species. The amount of each  
10 Member's Harvest Share available for harvest or transfer shall be net of the amount assessed for the  
11 Reserve. The Reserve shall be managed under a "Deemed Value System" ("DVS") by the Manager in  
12 accordance with the terms and conditions adopted by the Board from time to time. If the Board  
13 requires that Members pay for distributions from the Reserve, the DVS payments received by the Sector  
14 shall be distributed to the Members after the close of fishing for the year on a pro rata basis, such that  
15 each Member receives a share of the total amount paid for distributions of each Allocated Species from  
16 the Reserve proportionate to the amount of such Allocated Species each Member contributed to the  
17 Reserve.

18           7.2 Harvest Share Use. Only Members that notify the Manager in writing on or before  
19 February 28, 2014 (the "Notification Date"), and on or before any succeeding Notification Dates  
20 established by the Board for FY 2014 and thereafter of their intention to harvest Sector ACE ("**Active**  
21 **Members**") may harvest Sector ACE. Each Member's notice that such Member intends to harvest  
22 Sector ACE shall identify the vessels which will be used for such harvest. **Non-Active Members** shall not  
23 harvest Sector ACE, including without limitation, their own Harvest Share. No **Active Member** shall  
24 harvest an amount of Sector ACE in excess of their Harvest Share. Harvest of each **Active Member's**  
25 Harvest Share shall be subject to all NMFS and other management regulations generally applicable to  
26 the Sector's ACE (including but not limited to seasonal apportionments and area harvest restrictions) on  
27 a discreet, individual basis; i.e., no greater percentage of each Member's Harvest Share may be  
28 harvested in any season or area than the percentage of the related Sector ACE allocation permitted to  
29 be harvested in such season or area. The Manager may impose and enforce additional restrictions on  
30 each **Active Member's** harvest of Sector ACE authorized by this Agreement or adopted by the Board.

1                   7.2.1 Non-Active Members. The Members acknowledge that **Active Members**  
2 are assuming certain costs and risks associated with harvesting the Sector's ACE on behalf of the **Non-**  
3 **Active Members**, and that the **Active Members** are making certain financial contributions to the Sector  
4 that are not made by **Non-Active Members**. Obligations assumed by **Active Members** that are not  
5 imposed on **Non-Active Members include**, but are not limited to payments of the initial Membership  
6 Fee, and paying Network and Sector fees in connection with landings of catch harvested under the  
7 Sector's ACE. In consideration for the **Active Members** assuming these costs, risks and fee obligations,  
8 each **Non-Active Member** shall:

9                   (a) Not fish in any ACE-accountable fisheries;

10                   (b) Participate only as a transferor with regards to transfer of such member's Harvest  
11 Share within the sector. However, a **Non-Active Member** may act as a transferee through an Inter-  
12 Sector transfer of ACE provided the transaction results in a net increase of this Sector's ACE.

13                   (c) Not have access to information on FishTrax, or other Sector specific tools, other than  
14 the information necessary to track the balance of such Member's Harvest Share, and specifically, shall  
15 not have access to Harvest Share Offers or Permit Offers posted on behalf of Members;

16                   (d) Not have the Rights of First Offer on Sector Harvest Share and the related Sector ACE  
17 extended to **Active Members**, which may be adopted or amended from time to time by the Board under  
18 Section 7.3, and shall not have a right of first refusal on Permits extended to **Active Members**, which  
19 may be adopted or amended from time to time by the Board under Section 15;

20                   (e) Pay Sector Operating Fees on the net amount of Sector ACE transferred out of the  
21 Sector by such Member, and pay the Network Fee on the net amount of Sector ACE transferred out of  
22 the NEFS Sectors by such Member.

23                   7.3 Harvest Share Transfer. Subject to the terms and conditions of this Agreement,  
24 each Member may transfer some or all of such Member's Harvest Share to one or more **Active**  
25 **Member(s)** on such terms and conditions as the transferor Member and the transferee **Active**  
26 **Member(s)** may agree. No transfer of a Member's Harvest Share shall become effective until the  
27 Manager has received actual notice of such transfer. No Member may transfer any portion of such  
28 Member's Harvest Share, or interest in the Sector's ACE, to any person other than an **Active Member**  
29 unless the Board first authorizes such transfer in writing. Any such transfer shall be subject to such

1 terms and conditions as the Board may adopt from time to time, including but not limited to  
2 establishment of procedures to implement a Right of First Offer (the “ROFO”) that is extended to **Active**  
3 **Members** of the Sector, **Active Members** of other Northeast Fishery Sectors, and certain other parties in  
4 accordance with the terms and conditions established by the Board. Without limiting the foregoing, the  
5 Sector Board of Directors may condition, review, approve and restrict transfers of Harvest Shares to  
6 non-Members as it deems necessary to promote the harvest of the Sector’s entire ACE allocation and  
7 ensure that the Sector’s management and administrative costs can be recouped through reasonable  
8 Sector membership fees established by the Board.

9           7.4 Harvesting Rules and Fishing Plan. The Board may from time to time adopt such  
10 restrictions on harvest of the Sector’s ACE as the Board deems necessary to ensure the Sector’s  
11 compliance with Amendment 16 and related implementing regulations (such restrictions referred to  
12 hereafter as “Harvesting Rules”). The Harvesting Rules are set forth on Exhibit C. Each **Active Member**  
13 shall conduct their harvest of the Sector’s ACE in strict compliance with the Harvesting Rules. Each  
14 Member shall exercise their best efforts to ensure such Member’s Harvest Share is harvested in  
15 accordance with the Harvesting Rules. The Manager shall annually develop a Sector fishing plan that  
16 promotes harvest of the Sector’s ACE in accordance with the Harvesting Rules and shall make the Fishing  
17 Plan available to Active Members prior to the commencement of the fishing season.

18           7.5 Re-direction Of Effort. During FY 2012, NEFS IX vessels switched fishing efforts into  
19 the following fisheries:

- 20           • Fishery: Scallop (Gear: Trawl)
- 21           • Fishery: Monkfish(Gear: Trawl)
- 22           • Fishery: Skate (Gear: Trawl)

23  
24 During the first quarter of FY 2013, NEFS IX vessels switched effort into the following fisheries:

- 25           • Fishery: Scallop (Gear: Trawl)

26  
27 During FY 2014, NEFS IX anticipates that a similar redirection of effort to the fisheries listed above, or

28  
29           7.6 Sector Vessel Interactions with Allocated Species in Non-Amendment 16 Fisheries.  
30 NMFS will account for Member harvests of Allocated Species as harvests of the Sector’s ACE, regardless  
31 of whether such harvests are made during directed groundfish fishing trips or during other fishing trips,  
32 unless the Allocated Species harvest is managed under another management plan or as a sub-  
33

1 component annual catch limit (“ACL”) of Amendment 16 Allocated Species. Each **Active Member** shall  
2 report every fishing trip by such Member to the Manager prior to sailing, for determination whether the  
3 related Allocated Species harvest must be accounted for to NMFS as harvest of the Sector’s ACE.

4           7.7 Consolidation and Redistribution of ACE: Scientific recommendations and new  
5 Magnuson requirements will influence the level of consolidation that will take place in the groundfish  
6 fishery. The Sector members intend to utilize sector management to mitigate excessive consolidation  
7 that may occur in the effort controlled system where overall management measures are based upon the  
8 weakest stocks.

9           In FY 2013, 38% of the permits enrolled in the NEFS IX for FY 2014 are attached to  
10 vessels actively fishing for NE multispecies. For FY 2014, the NEFS IX sector 60 permits currently  
11 enrolled. Of those permits 23 are anticipated to actively fish for NE multispecies in FY 2014. While these  
12 numbers may change, the NEFS IX expects that, compared to FY 2013, there would be no change from  
13 the consolidation that previously occurred among the members during FY 2013. The member permits  
14 that are not attached to active NE multispecies vessels in FY 2014 are the same permits that leased out  
15 their ACE in FY 2013. In most cases, a member who owns multiple permits fished the ACE (or DAS if in  
16 the common pool) of all those permits on a single hull and will now continue to fish the ACE contributed  
17 by all those permits on the same single hull, resulting in little additional consolidation.

18           8. Release of Catch Data. Pursuant to section 402(b)(1)(F) of the Magnuson-Stevens Fishery  
19 Conservation and Management Act, 16 U.S.C. §1881a(b)(1)(F), the undersigned hereby authorizes the  
20 release to the undersigned hereby authorizes the release to the Manager of IX Northeast Fishery Sector,  
21 Inc.; Program Director of Northeast Sector Service Network, and the FishTrax Programmer, of  
22 information that may be or is considered to be confidential or privileged by the Magnuson-Stevens Act  
23 or other federal law regarding the catch of various species of fish associated with the limited access  
24 Northeast multispecies permit with the Moratorium Right Identifiers (MRIs) enrolled in the sector  
25 submitted to the National Marine Fisheries Service that the undersigned has authority to access. This  
26 information includes data required to be submitted or collected by NMFS, including but not limited to  
27 days-at-sea allocation and usage, vessel trip reports, dealer reports, Northeast Fishery Observer  
28 Program data, information collected for conservation and management purposes, catch and landings  
29 history data, at-sea monitoring data, VMS information, and all other information associated with the  
30 vessel, MRI #, and/or permit records. Additionally, the Manager may be provided access to the

1 Member's Fish Online Account or alternatively access to specific data such as Vessel Track data,  
2 contained within the Member's Fish Online Account as made available to the Manager by the Member.

3

4 9. Catch Monitoring and Reporting. Each **Active Member** shall comply with all catch  
5 monitoring and reporting requirements established by the Manager, which may include but are not  
6 limited to maintaining and filing copies of accurate catch logs, carrying fishery observers, installing and  
7 operating electronic vessel and catch monitoring equipment, delivering fish only at pre-approved  
8 landing stations at pre-approved times, and completing and filing accurate delivery reports on a timely  
9 basis. Without limiting the foregoing, each **Active Member** shall submit on a timely basis all catch  
10 information as required by and necessary for the Manager to complete and file the Sector's weekly  
11 reports. Each **Active Member's** harvest of Sector ACE shall be calculated and tabulated in accordance  
12 with the catch accounting measures established by NMFS with respect to the Sector's ACE. Absent  
13 manifest error, the catch and delivery information produced by the Manager shall be presumed  
14 accurate, and absent manifest error, each Member's obligations under this Agreement and all related  
15 documents may be enforced to their fullest extent on the basis of such information.

16 10. Breach and Remedies for Breach. The benefits associated with Sector membership will only  
17 accrue to the Members if each of them strictly complies with this Agreement. Each Member will make  
18 significant operational and financial commitments based on this Agreement, and any Member's failure  
19 to fulfill any of its obligations under this Agreement could have significant adverse consequences for  
20 some or all other Members. Any failure by a Member to fulfill any of its obligations under this  
21 Agreement shall constitute a breach of this Agreement. Each Member shall be bound by the procedures  
22 set forth in this Section for determining whether a Member has breached this Agreement. The Sector  
23 shall be entitled to the remedies set forth in this Section if a Member is determined by the Sector to  
24 have breached this Agreement. Each Member shall take all actions and execute all documents the  
25 Manager deems necessary or convenient to give effect to the provisions of this Section.

26 10.1 Liquidated Damages Schedule and Schedule Amendments. The loss, costs and  
27 damages which may be suffered or incurred by Members as the result of any Member harvesting Sector  
28 ACE in excess of the amount such Member is authorized to harvest under this Agreement, or otherwise  
29 breaching this Agreement, will be difficult to calculate. The loss, costs and damages the Members and  
30 the Sector could suffer as the result of a Member harvesting more Sector ACE than its Harvest Share, or



1 otherwise breaching this Agreement, are likely to substantially exceed the market value of the excess  
2 harvest. Consequently, the Sector may impose and assess upon any breaching Member the liquidated  
3 damages amounts as established under Section 10.3, below.

4           10.2 Enforcement Committee. Not less than one hundred twenty (120) days prior to  
5 each annual Northeast multispecies groundfish season opening date (the “Season Opening Date”), the  
6 Manager shall call a meeting of the Board to appoint the Enforcement Committee for the upcoming  
7 year, and to address any other matters of Sector business properly before the Board. The Board shall  
8 meet for those purposes not less than ninety (90) days prior to the Season Opening Date, and at such  
9 meeting shall appoint an Enforcement Committee composed of five (5) persons. If the Board fails to do  
10 so, the Manager shall appoint the Enforcement Committee. The Enforcement Committee shall assist  
11 the Manager in setting and updating the liquidated damages amounts for breaches of this Agreement  
12 and shall hear and decide Members’ appeals of the Manager’s contract breach determinations and  
13 liquidated damages assessments.

14           10.3 Liquidated Damages Base Value and Multiplier Adoption. Not less than sixty (60)  
15 days prior to each annual Season Opening Date, the Manager shall establish the market value of an  
16 unprocessed pound of each Allocated Species (each such value being a “Base Value”), and transmit such  
17 Base Values to the Enforcement Committee. In establishing such Base Values, the Manager may take  
18 into account both the direct costs and the opportunity costs associated with an over-harvest of the  
19 relevant species. Not less than thirty (30) days prior to each annual Season Opening Date, the  
20 Enforcement Committee, in consultation with the Manager, shall adopt Base Values and Base Value  
21 multipliers, and the liquidated damages amounts for breaches of this Agreement other than over-  
22 harvest of a Member’s Harvest Share, which shall be based on the Enforcement Committee’s estimate  
23 of the losses that the Sector and its Members could be expected to suffer as a result of such breaches.  
24 Because the damages suffered by one or more other Sector Members as the result of a Member  
25 harvesting an amount of Sector ACE in excess of its Harvest Share are likely to substantially exceed the  
26 market value of the excess harvest, the Base Value multipliers shall not be less than three (3). Upon the  
27 Enforcement Committee’s adoption of Base Values and Base Value multipliers, such Base Values and  
28 Base Value multipliers will be the basis for calculation of damages for unreconciled Harvest Share  
29 overages.

30           10.4 Liquidated Damages Calculation. The liquidated damages amount for each pound  
31 by which a Member’s harvest of an Allocated Species exceeds such Member’s Harvest Share for such

1 species shall be the relevant Base Value, multiplied by the relevant liquidated damages multiplier. The  
2 liquidated damages amounts for breaches of this Agreement, other than over-harvest of a Member's  
3 Harvest Share shall be as provided on Exhibit D.

4           10.5 Notice to Vessel Masters; Assumption of Liability. Each vessel harvesting a  
5 Member's Harvest Share, or participating in a fishery that may require utilization of a Member's Harvest  
6 Share (i.e., non-exempt monkfish), will be under the day-to-day command of the vessel's master who  
7 will to a significant degree have control over whether the vessel is operated in compliance with this  
8 Agreement. Each Member shall ensure that the master(s) of the vessel(s) harvesting such Member's  
9 Harvest Share are aware of the terms and conditions of this Agreement governing the harvest of such  
10 Member's Harvest Share, including without limitation the Harvesting Rules, and shall have confirmed  
11 their agreement to abide by such terms in writing. Each Member assumes all liability under this  
12 Agreement arising out of or related to the actions of the master(s) operating such Member's vessel(s).

13           10.6 Liquidated Damages Security. The Board may require that a Member that has two  
14 (2) or more NMFS fishing regulation violations, or which has breached this Agreement or another  
15 sector's operations plan on two (2) or more occasions, post a bond or obtain a letter of credit securing  
16 such Member's payment and performance obligations under this Agreement in such amounts as the  
17 Board deems appropriate, or may require such Member to personally guaranty, and/or have other  
18 Members or third parties personally guaranty, such Member's payment and performance obligations  
19 under this Agreement.

20           10.7 Manager Action in Response to Apparent Breach. The Manager shall monitor the  
21 Members' compliance with the terms and conditions of this Agreement. If the Manager becomes aware  
22 of an apparent breach of this Agreement by a Member, the Manager shall investigate the matter, and if  
23 the Manager concludes that a Member has breached this Agreement, the Manager shall notify such  
24 Member of the apparent breach and (if such breach is reasonably susceptible of cure) provide such  
25 Member with an opportunity to cure the breach. If such Member fails to demonstrate to the Manager,  
26 in the Manager's sole and absolute discretion, that no breach occurred, or to cure the breach within the  
27 time period directed by the Manager, taking into account the magnitude of the breach and the potential  
28 consequences of the breach for the Sector and the other Members, the Manager shall notify the  
29 Member in writing that the Manager is referring the alleged breach to the Enforcement Committee, and  
30 shall notify the Enforcement Committee in writing of the alleged breach and the proposed liquidated  
31 damages. Pursuant to Section 14, below, if during the investigation, notice and cure period described

1 above, the Manager concludes it is necessary for the protection of the interests of the Sector and its  
2 Members, the Manager may issue a “Stop Fishing Order” to the Member in apparent breach, and if such  
3 Member fails to cause the vessels harvesting its Harvest Share to immediately stop fishing, the sector  
4 manager may take any action he/she deems necessary including without limitation, self-help or court  
5 action which may include the seeking of injunctive relief.

6           10.8 Member Appeals. A Member receiving notice of an alleged breach and proposed  
7 liquidated damages shall have five (5) days from the date that the Member receives the notice to  
8 request an appeal hearing before the Enforcement Committee. If a Member fails to request a hearing  
9 within such 5-day appeal period, the Member’s right of appeal shall expire, the Member shall be  
10 deemed to have breached this Agreement in accordance with the Manager’s determination, and the  
11 Member shall be obligated to pay the related liquidated damages. If a Member timely requests an  
12 appeal hearing, the Manager shall consult with the Enforcement Committee and schedule an  
13 Enforcement Committee meeting for that purpose. The Enforcement Committee shall make reasonable  
14 efforts to schedule the meeting at a time and place such that the Member requesting the appeal is able  
15 to attend, and shall provide the Member with at least thirty (30) days advance written notice of the time  
16 and place of the meeting. At such meeting, the Enforcement Committee shall provide the Manager with  
17 an opportunity to present evidence of the apparent breach, and shall provide the Member in apparent  
18 breach with a reasonable opportunity to rebut such evidence. Per Section 9, above, the catch and  
19 delivery data produced by the Manager shall be presumed accurate, and, absent manifest error, each  
20 Member's obligations under this Agreement and all related documents may be enforced to their fullest  
21 extent on the basis of such data. If the Enforcement Committee determines that a Member breached  
22 this Agreement, the Sector shall have the right to collect from such Member the liquidated damages  
23 amount provided for such breach under this Agreement.

24           10.9 Voluntary Compliance. In connection with breaches of this Agreement for which a  
25 Member is liable to the Sector or other Sector Members for liquidated damages, the Sector shall provide  
26 the breaching Member fifteen (15) days prior notice of its intent to exercise its rights of collection,  
27 during which period the Member may propose an alternative method of compensating the Sector and  
28 other Sector Members for the damages suffered as the result of such Member’s breach. The  
29 Enforcement Committee may approve or disapprove any alternative form of compensation in its sole  
30 discretion, provided that if the breach at issue is an overharvest of a Member’s Harvest Share, there  
31 shall be no liquidated damages imposed if the Member in breach obtains sufficient Harvest Share from

1 other Members to offset the overharvest, and tenders conclusive evidence to that effect to the  
2 Enforcement Committee. Such Member shall nevertheless remain liable for the costs and fees incurred  
3 by the Sector in connection with the alleged breach, and the Sector shall be entitled to collect such costs  
4 and fees if such Member fails to pay the same within ten (10) days of receiving the Sector's demand for  
5 payment.

6           10.10 Liquidated Damages Collection and Related Expenses. If a Member fails to  
7 resolve a breach of this Agreement through voluntary compliance measures approved by the  
8 Enforcement Committee and performed by such Member on a timely basis, the Member in breach shall  
9 pay the liquidated damages amount assessed by the Sector within ten (10) days of the end of the  
10 voluntary compliance period described in Section 10.9, above. Liquidated damages amounts not paid  
11 when due shall accrue interest at a rate of interest equal to the prime rate of interest announced by  
12 Bank of America, or such other bank as the Board may select from time to time, as of the last day of the  
13 voluntary compliance period plus twelve percent (12%). If a Member fails to pay the liquidated  
14 damages amount assessed by the Enforcement Committee with interest within thirty (30) days of the  
15 end of the voluntary compliance period described in Section 10.9, above, the Sector may pursue legal  
16 action to collect the liquidated damages. In addition, in connection with Member breaches resulting  
17 from an over-harvest of a Member's Harvest Share, the Sector (acting through the Enforcement  
18 Committee) may take possession of an amount of the Member in breach's Harvest Share for the  
19 overharvested species for the year in which the contract breach occurred and, if necessary, in  
20 subsequent years, in a total amount equal to three (3) times the amount of such over-harvest, provided  
21 that the amount of a Member's liability to the Sector for over-harvest shall be reduced proportionately  
22 to the extent that the Sector does so. In addition to liquidated damages, the Sector shall be entitled to  
23 all fees, costs and expenses, including attorney's fees, actually incurred by the Sector in connection with  
24 any action to collect liquidated damages from a Member in breach of this Agreement, whether or not  
25 the Sector prevails in such action.

26           10.11 Consequential Damages for Gross Negligence or Willful Misconduct. In addition  
27 to the liquidated damages imposed under this Section 10, each Member shall be liable for consequential  
28 damages in connection with a breach of this Agreement resulting from the Member's gross negligence  
29 or willful misconduct. Each Active Member shall be liable for the consequential damages arising out of  
30 or related to the gross negligence or willful misconduct of the captain operating such Active Member's  
31 vessel(s).

1                   10.12 Distribution of Damages. Where a Member’s breach causes one or more other  
2 Members to harvest less than their Harvest Share, damages awarded to the Sector under this provision  
3 shall first be distributed *pro rata* among the Members whose harvest was reduced, with each Member  
4 receiving a fraction of such funds, the numerator of which is the amount by which such Member’s catch  
5 was less than such Member’s allocation or apportionment, and the denominator of which is the sum of  
6 the aggregate amount of by which all Members’ allocations or apportionments were reduced as a result  
7 of the breach, up to the amount of loss suffered by each such Member as the result of the breach. Any  
8 damages awarded to the Sector in excess of those distributed to other Members under this Section, and  
9 any damages awarded in connection with a breach which does not cause any other Member’s allocation  
10 or apportionment to be reduced, shall be retained by the Sector and applied to the costs of Sector  
11 operations.

12                   11. Joint Liability and Indemnification. Each Member acknowledges that the Sector’s Members  
13 may be held jointly liable for ACE overages, discarding of legal-sized fish and misreporting of catch  
14 landings or discards. Further, each Member acknowledges that should a hard total allowable catch  
15 (“TAC”) allocated to the Sector be exceeded in a given fishing year, the Sector’s allocation will be  
16 reduced by the overage in the following fishing year, and the Sector, each vessel participating in the  
17 Sector and each vessel operator and/or vessel owner participating in the Sector may be charged, as a  
18 result of said overages, jointly and severally for civil penalties and permit sanctions pursuant to 15 C.F.R.  
19 Part 904, and that if the Sector exceeds its TAC in more than one (1) fishing year, the Sector’s ACE may  
20 be permanently reduced or the Sector’s authorization to operate may be withdrawn.

21 In consideration of the foregoing, each Active Member agrees to indemnify, defend and hold the Sector  
22 and all other Members harmless from and against all liabilities, claims, fines, penalties and forfeitures of  
23 any nature whatsoever arising out of or related to any breach of this Agreement related to such Active  
24 Member’s harvest of Sector ACE, and each Member agrees to indemnify, defend and hold the Sector  
25 and the other Members harmless from and against all liabilities, claims, fines, penalties and forfeitures  
26 of any nature whatsoever arising out of or related to such Member’s breach of this Agreement. Each  
27 Member’s indemnification obligation under this Section 11 is separate from and in addition to each  
28 Member’s liquidated damages and consequential damages obligations under Section 10, above. Each  
29 Member authorizes the Board to require that a Member’s obligations under this Section 11 be secured  
30 by a surety.

1           12. Membership Termination. No Member may terminate its membership in the Sector other  
2 than in accordance with this Section 12. A Member that has agreed to join the Sector prior to the  
3 Effective Date may withdraw from Sector membership prior to the Effective Date without penalty or  
4 prejudice. Thereafter, only a Member that is not in breach of this Agreement and that has no  
5 outstanding Sector performance or payment obligations may terminate its membership in the Sector,  
6 and may do so only in compliance with the terms and conditions of this Section 12. Notwithstanding the  
7 foregoing, the Board may terminate the membership of a Member in breach of its payment or  
8 performance obligations under this Agreement, as the Board deems appropriate in its sole discretion.

9           Subject to the provisions of this Section 12 regarding withdrawal prior to the Effective Date, above, a  
10 Member that is eligible to terminate such Member's Sector membership may do so only by providing  
11 written notice to that effect to all other Members on or before February 28, 2014 or such date as the  
12 Board may from time to time establish for that purpose (the "Termination Date") each year. A Member  
13 that fails to provide such notice by the Termination Date shall be deemed to have automatically  
14 renewed its Sector membership for the following year, and all other Members shall be entitled to act in  
15 reliance on such renewal accordingly. If any Member provides a membership termination notice by the  
16 Termination Date, each of the other Members shall have ten (10) days from the date they receive such  
17 notice to terminate their membership as well, notwithstanding the Termination Date notice deadline.  
18 Termination of membership in the Sector shall be effective as of the final day of the current fishing year.

19           If a Member is in breach of this Agreement or has outstanding Sector payment or performance  
20 obligations as of the Termination Date, unless the Board takes action to terminate such Member's  
21 membership, such Member's membership shall be deemed renewed for the following year,  
22 notwithstanding any notice of withdrawal such Member may give, and the Sector shall have the  
23 authority to file an application for a Sector allocation including such Member as a Member of the Sector.  
24 Each Member hereby grants the Sector a power-of-attorney, coupled with an interest, for such  
25 purposes, and authorizes each of the Sector's officers to take any and all actions and execute any and all  
26 documents necessary or convenient to give effect to this provision.

27           Termination of membership shall not relieve a person or entity of any obligations under this Agreement  
28 related to the period during which such person or entity was a Member, including but not limited to  
29 liquidated damages obligations for breach of this Agreement, consequential damages obligations for  
30 breaches resulting from acts of gross negligence or willful misconduct, or indemnification obligations  
31 related to such person or entity's actions as a Member.

1           13. Expulsion. A Member may be expelled from the Sector at any time for: (i) a knowing, willful  
2 breach of this Agreement; (ii) any alleged breach of this Agreement that is either not appealed pursuant  
3 to Section 10.8, or is upheld by the Enforcement Committee after being appealed, and which such  
4 Member fails to cure through voluntary compliance approved by the Enforcement Committee pursuant  
5 to Section 10.9, or by paying liquidated damages in accordance with Section 10.10; (iii) perpetrating a  
6 fishery regulation violation that exposes Sector Members to joint liability for such violation. A Member  
7 shall be immediately and automatically expelled from the Sector if such Member ceases to be eligible to  
8 participate in the Sector or if such Member engages in conduct that exposes the Sector or other Sector  
9 Members to antitrust or unfair trade practice liability. As of the date of expulsion, the expelled Member  
10 shall lose all rights to harvest any portion of the Sector's ACE unless the expelled Member is re-  
11 admitted. Expulsion shall not relieve a Member of the obligation to pay fees that were levied prior to  
12 the date of expulsion, or to pay liquidated damages and costs and fees related to an action or omission  
13 by the expelled Member that preceded the date of expulsion. The Sector shall notify NMFS  
14 immediately upon a Sector Member's expulsion; by electronic email, followed by posted mail.

15           14. Stop Fishing Order; Injunctive Relief. Sector members may be held jointly and severally  
16 liable if (a) a Sector exceeds its ACE, (b) a Sector member discards legal-sized fish, or (c) a Sector  
17 member misreports landings or discards. If a Sector exceeds its ACE in a given fishing year, the Sector's  
18 allocation may be reduced by the overage in the following fishing year, and the Sector, each vessel, and  
19 vessel operator and/or vessel owner participating in the Sector may be jointly and severally liable for  
20 civil penalties and permit sanctions pursuant to 15 C.F.R. Part 904 in connection with such overage. In  
21 addition, if a Sector exceeds its ACE in more than one (1) fishing year, NMFS may permanently reduce  
22 the Sector's ACE or withdraw the Sector's authorization to operate.

23           The Sector will exceed its ACE only if one or more members overharvest their Harvest Share, as (subject  
24 to the provisions of Section 7.1, above) the Sector's ACE, less the Reserve, is fully distributed to the  
25 Members as their Harvest Shares. A Member's overharvest of its Harvest Share would be a breach of  
26 this Agreement for which a Member would be liable for damages. Because each incident of ACE  
27 overharvest would constitute a separate violation of the Amendment 16 regulations, and because each  
28 such incident would be treated as a prior violation by NMFS for purposes of determining appropriate  
29 fines, penalties and forfeitures in connection with a subsequent violation, the damages suffered by the  
30 Sector as a result of an overharvest by one or more Members that resulted in the Sector overharvesting  
31 its ACE would be consequential and irreparable.

1 In consideration of these circumstances, and in consideration for the Sector waiving its right to require  
2 each Member to obtain a security bond or pledge collateral to secure its obligation to the Sector to limit  
3 its harvest of Sector ACE to such Member's Harvest Share, which consideration each Member agrees it  
4 has received and is sufficient, the Members hereby agree as follows.

5 14.1 The Sector, acting through the Manager, has the authority to issue to any Member  
6 that the Manager determines is in breach a Stop Fishing Order, and upon such issuance, such Member  
7 shall immediately cause all vessels harvesting its Harvest Share to cease doing so, and such Member  
8 shall not permit the vessels harvesting its Harvest Share to resume doing so unless and until the  
9 Manager rescinds the Stop Fishing Order. Each Member hereby releases the Sector, all other Members  
10 and the Manager from any and all liability of any nature whatsoever, including but not limited to both  
11 contractual and tort liability, for any direct or indirect, incidental or consequential losses or damages  
12 that a Member may suffer as a result of complying with a Stop Fishing Order.

13 14.2 If any vessel(s) harvesting a Members' Harvest Share does not immediately comply  
14 with a Stop Fishing Order in accordance with its terms, the Sector may exercise remedies of self help  
15 and take any and all other action as the Sector determines necessary to enforce the Stop Fishing Order  
16 and this Agreement, including injunctive relief. In seeking injunctive relief, the Sector Manager's burden  
17 of proof (if any) shall be satisfied by A. (production of a copy of the Stop Fishing Order) and B.( evidence  
18 that the vessel continued to fish thereafter).

19 The Member shall be liable to the Sector for all losses, costs, damages, fees and expenses incurred by  
20 the Sector in connection with enforcement, including but not limited to, the costs of obtaining any bond  
21 the Sector may be required to post, whether or not the Sector prevails.

22 15. Permit Transfer/Sale. A Member may transfer a Permit to a party other than a Member,  
23 subject to a Right of First Refusal (the "ROFR"), which may be adopted or amended from time to time by  
24 the Board, in favor of **Active Members** of the Sector, **Active Members** of other Northeast Fishery  
25 Sectors, and certain other parties. No Member may transfer such Member's "LA MS" permit or "MRI"  
26 permit to a person who is not an **Active Member** unless such person assumes all of the transferring  
27 Member's obligations under this Agreement as of the effective date of such transfer. A person other  
28 than a Member who receives a Member's "LA MS" permit or "MRI" permit from a Member in  
29 accordance with this Section 15 (a "Transferee") shall only be eligible to participate in the Sector for the  
30 balance of the fishing year during which the transfer occurs, and thereafter may only remain a Sector



1 Member if such Transferee applies for and is admitted to Sector membership in accordance with Section  
2 2, above.

3 15.1 The Transferee shall be deemed a **Non-Active Member** of the Sector, with no  
4 rights to harvest any Sector ACE, including but not limited to the ACE allocated to the Sector in  
5 connection with the assets acquired under the Permit Offer. A Transferee wishing to acquire **Active**  
6 **Member** status during the fishing year in which the permit transfer occurred must submit a written  
7 request to the Board for consideration. The Board will have the authority to approve, conditionally  
8 approve or deny such request.

9 16. Release and Waiver of All Claims Against Sector Manager; Indemnification and Hold  
10 Harmless. Each Member acknowledges that the effectiveness of this Agreement depends on the  
11 Manager exercising reasonable independent business judgment in good faith in reviewing and approving  
12 or disapproving Members' fishing plans, monitoring harvest of the Sector's ACE, and enforcing the terms  
13 and conditions of this Agreement. Each Member hereby waives and releases any and all claims against  
14 the Manager arising out of or relating to Manager's performance under this Agreement, other than  
15 those arising solely from the gross negligence or willful misconduct by the Manager, as conclusively  
16 determined by a court of final and competent jurisdiction. The Sector and the Members agree to jointly  
17 and severally indemnify, defend and hold the Manager harmless from and against any third party claims,  
18 damages, fines, penalties and liabilities of any kind whatsoever asserted against the Manager in  
19 connection with the Manager's performance under this Agreement, other than those arising out of gross  
20 negligence or willful misconduct by the Manager.

21 17. Sector Membership Fees. At least thirty (30) days prior to the Effective Date, and at least  
22 thirty (30) days prior to each annual Termination Date thereafter, the Board shall notify the Members in  
23 writing of the amount of Sector membership fees that the Board has adopted for the upcoming year of  
24 Sector operations.

25 18. Binding Arbitration. Each Member and the Sector agree to exercise their best good faith  
26 commercially reasonable efforts to resolve any disputes arising under this Agreement through direct  
27 negotiations. Breaches of this Agreement which are not resolved through direct negotiation shall be  
28 submitted to binding arbitration upon the request of any party at interest. Any person nominated as an  
29 arbitrator hereunder by any person shall be a person of mature, sound and reasonable business

1 judgment and experience and either have (a) held a federal fishing master license for at least ten (10)  
2 years, or (b) been an attorney at law practicing in the area of fisheries for at least ten (10) years.

3 The party's written request for arbitration shall include the name of the arbitrator selected by the party  
4 requesting arbitration. The respondent party shall have ten (10) days to provide written notice of the  
5 name of the arbitrator it has selected, if any. If the other party timely selects a second arbitrator, the  
6 two arbitrators will jointly select a third arbitrator within ten (10) days. If the other party does not  
7 timely select the second arbitrator, there shall be only the one arbitrator. The single arbitrator or the  
8 three (3) arbitrators so selected will schedule the arbitration hearing as soon as possible thereafter. Any  
9 arbitrator must have no material ties to the Sector or any Member. The decision of the arbitrator (or in  
10 the case of a three (3) arbitrator panel, the decision of the majority) will be final and binding. The  
11 arbitration will be conducted under the rules of (but not by) the American Arbitration Association. The  
12 parties will be entitled to limited discovery as determined by the arbitrator(s) in his, her or their sole  
13 discretion. All costs of arbitration shall be borne by the party requesting the same. Each party shall bear  
14 its own costs of preparation and presentation, unless, in the case of the Sector, the Board determines to  
15 assess such costs to the applicable Member, which costs shall be immediately due and payable. In no  
16 event will arbitration be available pursuant to this paragraph after the date when commencement of  
17 such legal or equitable proceedings based on such claim, dispute, or other matter in question would be  
18 barred by an applicable statute of limitations.

19 The final decision of the Arbitrators shall not be subject to review or appeal by any other person,  
20 including any court, with the exception of NMFS in its oversight role for the purposes of statutory and  
21 regulatory compliance and consistency. Any right to any such appeal is hereby irrevocably waived and  
22 relinquished. Such final decision shall bind the parties and shall not require any further action of  
23 enforcement or collection once docketed with the records of the Sector. In breach by any Member of  
24 performance thereof, the Manager may *sua* sponte and without any notice or hearing issue a Stop  
25 Fishing Order or an Order of Expulsion respecting such Member in breach.

26 The Sector shall, without limiting the foregoing rights and procedure, also have the right to enforce  
27 any decision against any Member in breach by an action for specific performance, declaratory relief, *lis*  
28 *pendens* or any other action in a court of law having jurisdiction of the parties, it being understood and  
29 agreed that the Federal court for the District of Massachusetts and the Massachusetts Superior Court

1 for the County where the registered office of the Sector is located shall be deemed to have such  
2 jurisdiction

3 19. No Collective Marketing. The Members acknowledge that the Sector has not been formed  
4 or qualified as a collective marketing association. The Members therefore agree that nothing in this  
5 Agreement shall be construed as permitting or obligating Members to collaborate regarding the  
6 processing, marketing or sales of the product produced from catch harvested under their Harvest  
7 Shares. Each Member shall conduct all sales of such catch in competition with the other Members, and  
8 shall hold ex-vessel price information as confidential from other Members until such information  
9 becomes public or until such price information is six months old, unless and until the Sector is properly  
10 qualified under State and Federal law as a collective marketing association

11 20. Amendment and Incorporation by Reference. The Exhibits hereto and the collateral  
12 documents referred to herein are and shall all be as the same may be amended from time to time. Any  
13 amendments thereto or hereto which are approved by the Board shall, as a condition of further  
14 membership of any Member in the Sector be deemed without any requirement of acceptance, consent  
15 or execution by any such Member to have been adopted, ratified and confirmed by such Member.

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**EXHIBIT A**

**Sector Membership Fishing Year 2014 (May 1, 2014 to April 30, 2015)**

**SECTOR MEMBERS:** The following table identifies The NEFS IX Members:

<b>Owner/Entity</b>	<b>Permit #</b>	<b>MRI #</b>
S & K Lobstering LLC	310523	535
Keith Laudeman	147595	546
LeeAnne & Noah Fisheries LLC	320244	579
Karen Maria Trawling Corp.	151083	597
S & S Fishing LLC	320375	608
Tyler Fishing LLC	150656	609
AJ & C Fishing Corp.	150913	644
Vila Fishing Corp.	410590	700
R & P Fishing Corp.	150842	702
Predator Fisheries Inc	330126	708
C & D Fishing Corp.	320311	711
C & R Fishing Corp.	151200	729
Oliveira & Silva Fishing Co.	150933	731
Neves & Son Fishing Corp.	330172	732
Lady Patricia Inc	151053	733
R & P Fishing Corp.	150895	736
ACCA Fishing Corp.	150715	744
Lady Patricia Inc	330200	750
C & C Fishing Corp.	410564	754
Olivia & Rafaela Fishing LLC	330236	760
R & P Fishing Corp.	150790	762
Bay Fuels Inc	215016	770
Tyler Fishing LLC	330276	775
Nordick Viking Inc	330303	789
Brava Fishing Corp.	330309	791
Laura J Fisheries Inc	330314	793
Sasha Lee Inc	330795	800
Hera Fishing LLC	330379	823
Tyler Fishing LLC	330193	827
AJ Fishing Corp.	330386	828
C & B Fishing Corp.	330764	845
R & P Fishing Corp.	150830	847

Joao Carlos Fishing Corp.	330904	856
F/V Susan Marie Inc	330535	887
Sanchez Brothers	330810	891
P & R Fishing Corp.	330557	897
P & A Fishing Corp.	151115	900
Long Island Fisheries Corp	150090	961
C & V Fishing Corp.	410104	964
Coral Fishing Corp.	410126	967
Chrismar Inc	410129	969
Tyler Fishing LLC	410161	984
Alcor Fishing Corp.	150370	986
Rafaella LLC	410194	1007
C. Rafael LLC	410206	1016
Orion Venture LLC	410220	1017
P & S Fishing Corp.	410501	1026
Athena Fishing Corp.	410604	1029
F & C Fishing Corp.	150108	1041
Apollo Fishing Corp.	410275	1063
Cove Fishing Corp.	410418	1081
R & P Fishing Corp.	410335	1088
R & P Fishing Corp.	150829	1125
S & S Fishing LLC	410612	1143
B & D Fishing Corp.	410471	1154
New Freedom Fishing Corp.	330781	1325
F & C Fishing Corp.	150372	2182
F/V Dewey Inc	240197	2272
Rafaella LLC	410246	2295
Blanca Casa Fishing Corp.	410190	2371

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1 ACTIVE MEMBERS: The following table identifies the Vessels that are authorized to harvest Sector ACE:

<b>Owner/Entity</b>	<b>Vessel Name</b>	<b>Doc/Reg #</b>
Vila Fishing Corp.	VILA NOVA DO CORVO I	1110463
C & D Fishing Corp.	GREEN ACRES	521305
Neves & Son Fishing Corp.	NEVES	570715
Lady Patricia Inc	LADY PATRICIA	581831
Olivia & Rafaela Fishing LLC	OLIVIA & RAFAELA	553777
R & P Fishing Corp.	HERCULES	MS5236AU
Tyler Fishing LLC	TRIUNFO	596397
Brava Fishing Corp.	MY WAY	603431
Sasha Lee Inc	SASHA LEE	909149
Hera Fishing LLC	POSEIDON	613018
C & B Fishing Corp.	DESTINY	1031278
Joao Carlos Fishing Corp.	SOUTHERN CRUSADER II	1038917
P & A Fishing Corp.	BLUE SEAS II	515716
C & V Fishing Corp.	ILHA DO CORVO	578725
Coral Fishing Corp.	LUSO AMERICAN I	585853
Tyler Fishing LLC	RESOLUTE	597484
Rafaella LLC	EDWARD MOORE	597172
P & S Fishing Corp.	HERA	954600
Athena Fishing Corp.	ATHENA	1122803
Apollo Fishing Corp.	APOLLO	614462
R & P Fishing Corp.	COWBOY	602436
S & S Fishing LLC	HERA II	113613
B & D Fishing Corp.	BULLDOG	937040
Rafaella LLC	DRAKE	618310

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**EXHIBIT B**

**Sector Member and Vessel Permits Amendment 16 Disclosure Requirements Fishing Year 2014 (May 1, 2014 to April 30, 2015)**

Sector Members have enrolled all eligible Limited Access Multispecies Permits into a Sector.

Additional Information on federal permits associated with Sector Vessels and Sector Members:

PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
150 715	PEE WEE	MS13 66AW	ACCA FISHING CORP	1	1	1	1		D		1	C	A		A		1		1	1, 3, 4	1	
150 913	CORVO II SKIFF	MS56 28AV	AJ AND C FISHING CORP	1	1	1	1		D	A	1	C	A		A		1		1	1, 3, 4	1	
150 370	WATER TENDER	MS87 18AS	ALCOR FISHING CORP	1		1	1		D		1	C	A		A				1	3, 4	1	
410 275	APOLLO	61446 2	APOLLO FISHING LLC	1	1	1	1		D	C	1	D	E		A	2	1		1	1, 3, 4	1	MA Lobster



PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
410 604	ATHENA	11228 03	ATHENA FISHING CORP	1		1	1		A	C	1	C	E	6	A	2		1	1	3, 4	1	MA Lobster
410 471	BULLDOG	93704 0	B & D FISHING CORP	1	1	1	1	1	D	C	1	C	A	6	A		1	1	1	1, 4, 5	1	MA Lobster
215 016	CAN-DO	90693 9	BAY FUELS INC	1		1			D		1,A2,A OC	E	A		A		1		1	1, 4	1	
410 190	CASA BLANCA	60016 1	BLANCA CASA FISHING CORP	1		1			D	C	1	E	A	6	A			1	1	3, 4	1	MA Lobster
330 309	MY WAY	60343 1	BRAVA FISHING CORP	1		1	1		D	A	1	C	A	6	A			1	1	3, 4	1	MA Lobster
410 564	ILHA BRAVA	97126 2	C & C FISHING CORP	1		1			D	C	1	E	E	6	A	2		1	1	3, 4	1	MA Lobster
320 311	GREEN ACRES	52130 5	C & D FISHING CORP	1	1	1	1		D	A	1	C	A	6	A		1	1	1	3, 4	1	MA Lobster
151 200	JOKO SKIFF NORTHERN CRUSA	MS42 96AX	C & R FISHING CORP	1		1	1		D	A	1	D	A	6	A			1	1	3, 4	1	
410 129	CHRISMAR	58586 3	CHRISMAR INC	1	1	1	1				1	D	E	6	A	2	1	1	1	3, 4	1	
410 126	LUSO AMERICAN I	58585 3	CORAL FISHING CORP	1		1	1		D	C	1	E	A	6	A			1	1	3, 4	1	MA Lobster

PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
410 501	HERA	94560 0	CORVO LLC	1		1	1		D	A	1	D	A		A				1	3, 4	1	MA Lobster
410 418	CANYON EXPRESS	91997 3	COVE FISHING CORP	1	1	1	1	1	C		1,A3	D	E	6	A	5	1	1	1	1, 4, 5	1	
150 108	BEIRA LITORAL SKIFF	MS44 14AM	F & C FISHING CORP	1		1	1		D		1	E	A		A				1	3, 4	1	
150 372	2006 WATER TENDER	MS26 20AR	F & C FISHING CORP	1	1	1	1		D		1	E	A		A		1		1	1, 4	1	
330 535	SUSAN MARIE II	63031 3	F/V SUSAN MARIE INC	1	1	1	1	1	C	A	1	C	E	6	A	2	1	1	1	1, 4, 5	1	
151 083	TWO KIDS	MS49 21AW	KAREN MARIA TRAWLING CO	1	1	1	1		D	A	1	D	A	6	A		1	1	1	1, 3, 4	1	
147 595	ROSIE-L	NJ867 4FK	KEITH N LAUDEMAN	1		1	1		D		1	D	A		A				1	3, 4	1	
151 053	LADY PATRICIA PERMIT	MS19 23AW	LADY PATRICIA INC	1		1	1		D		1	D	A		A				1	3, 4	1	
330 200	LADY PATRICIA	58183 1	LADY PATRICIA INC	1	1	1	1		D	A	1	D	A	6	A		1	1	1	1, 3, 4	1	MA Lobster

PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
320 244	DINAH JANE	53807 1	LEEANNE & NOAH FISHING LLC	1		1	1		D	A	1	C	A	6	A		1	1	1	3, 4	1	MA Lobster
150 090	SPIRIT OF COLUMBUS	MS42 79AM	LONG ISLAND FISHERIES	1	1	1	1				1	D	A				1			1, 4, 5	1	
330 379	VOYAGER I	61301 8	MARQUES FISHING CORP	1		1	1		D	A	1	C	A	6	A			1	1	3, 4	1	
330 172	NEVES	57071 5	NEVES & SON FISHING CORP	1		1	1		D	A	1	C	A	6	A			1	1	3, 4	1	
330 781	FREEDOM	11004 39	NEW FREEDOM FISHING CORP	1		1					1	E	E	6	A	2		1	1	3, 4	1	
330 764	DESTINY	10312 78	NOAH LLC	1		1	1		D	A	1	D	A	6	A			1	1	3, 4	1	
330 303	NORDIC VIKING	54733 1	NORDIC VIKING INC	1	1	1	1			A	1,A1,A 2,AOC	D	A	6	A		1	1	1	1, 4	1	
150 933	SANDRA	MS96 91AV	OLIVEIRA AND SILVA FISHING CORP	1		1	1		D	A	1	C	A	6	A			1	1	3, 4	1	
330 236	OLIVIA & RAFAELA	55377 7	OLIVIA & RAFAELA FISHING LLC	1	1	1	1		D	A	1	D	A	6	A		1	1	1	1, 3, 4	1	MA Lobster

PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
410 220	ORION	61124 8	ORION VENTURE LLC	1		1	1		D		1	C	E	6	A	2		1	1	3, 4	1	
330 561	BLUE SEAS II	51571 6	P & A FISHING CORP	1	1	1	1		D	A	1	C	A	6	A		1	1	1	1, 3, 4	1	
330 557	STARS OF THE SEA	67101 1	P & R FISHING CORP	1		1	1		D	C	1	D	A	6	A			1	1	3	1	MA Lobster
330 126	PREDATOR	52459 2	PREDATOR FISHERIES INC	1		1	1		D	B	1	C	E	6	A	2		1	1	3, 4	1	
150 829	SKIFF / VIOLA	MS96 54AX	R & P FISHING CORP	1		1			D		1,A1	D	A		A					3, 4	1	
150 830	COSTA & CORVO I	MS73 22AU	R & P FISHING CORP	1	1	1	1		D		1	C	A		A		1			1, 4	1	
150 842	COSTA & CORVO II	MS73 24AU	R & P FISHING CORP	1	1	1	1		D		1	D	A		A		1			1, 4	1	
150 895	2009 JOKO JAMIE LEIGH	MS80 37AV	R & P FISHING CORP	1		1	1		D		1	C	A		A		1			3, 4	1	
410 335	COWBOY	60243 6	R & P FISHING CORP	1		1	1		D	A	1	D	A		A					3, 4	1	
150 790	2007 JOHNSON OUTDOORS	MS52 36AU	R & P FISHING CORPORATION	1		1	1		D	A	1	D	A		A					3, 4	1	
330	SOUTHERN	10389	R AND C FISHING	1	1	1	1		D	A	1	D	A	6	A		1	1	1	1,	1	

PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
904	CRUSADER II	17	CORP																	3, 4		
410 194	EDWARD L MOORE	59717 2	RAFAELLA LLC	1		1	1		D	C	1	C	A	6	A			1	1	1, 4	1	MA Lobster
410 246	DRAKE	61831 0	RAFAELLA LLC	1		1	1		D	C	1	C	A	6	A			1	1	3, 4	1	
310 523	SALLY & KATHERINE	69816 9	S & K LOBSTERING LLC	1		1			D	C	A3	E	A	6	A			1	1	3, 4	1	
320 375	LEEANNE N ZACHARY	50770 8	S & S FISHING LLC	1		1	1		D	A	1	C	A	6	A			1	1	3, 4	1	
410 444	TYLER N NOAH	93072 8	S & S FISHING LLC	1		1	1		D		1	C	E	6	A	2		1	1	3, 4	1	MA Lobster
330 810	MS MARIA	65159 4	SANCHEZ BROS INC	1	1	1	1		D	A	1	C	A	6	A		1	1	1	1, 3, 4	1	MA Lobster
330 215	PEROLA DO CORVO	58469 2	SASHA FISHING CORP	1	1	1	1		D	C	1	E	J, K	6	A	2	1	1	1	1, 4	1	MA Lobster
330 795	SASHA LEE	90914 9	SASHA LEE INC	1		1	1		D	A	1	C	A	6	A			1	1	3, 4	1	MA Lobster
410 226	ZEUS	61308 2	STEPHANIE FISHING CORP	1		1	1		D	C	1	E	J	6	A	2		1	1	3, 4	1	MA Lobster
150	2007 JOHNSON	MS38	TYLER FISHING	1	1	1	1		D		1	D	A		A		1		1	1, 1	1	MA

PERMIT	VESSEL NAME	HULL ID	OWNER NAME	BLUEFISH	BLACK SEA BASS	SPINY DOGFISH	SUMMER FLOUNDER	INCIDENTAL HMS SQUID TRAWL	HERRING	GENERAL CATEGORY SCALLOP	AMERICAN LOBSTER	MONKFISH	NORTHEAST MULTI-SPECIES	OCEAN QUAHOG	ATLANTIC DEEP SEA RED CRAB	SCALLOP - LIMITED ACCESS	SCUP	SURF CLAM	SKATE	SQUID/MACKEREL/BUTTERFISH	TILEFISH	State Permit
656	JOKO0929H707	77AT	LLC																	3, 4		Lobster
330 193	SEA EXPLORER	57948 4	TYLER FISHING LLC	1	1	1	1		C	C	1	D	A	6	A		1	1		1, 3, 4	1	MA Lobster
330 276	TRIUNFO	59639 7	TYLER FISHING LLC	1		1	1		D	A	1	D	A	6	A			1	1	3, 4	1	
410 161	RESOLUTE	59748 4	TYLER FISHING LLC	1		1	1		D	C	1	C	E	6	A	2		1	1	3, 4	1	
410 590	VILA NOVA DO CORVO I	11104 63	VILA FISHING CORP	1		1	1		D	C	1	D	E	6	A	2		1	1	3, 4	1	MA Lobster
410 056	VILA NOVA DO CORVO	50206 4	VILA NOVA FISHING INC	1		1	1		D	C	1	C	J, K	6	A	2		1	1	3, 4	1	MA Lobster

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4

1 **EXHIBIT C**

2 **HARVESTING RULES**

3 **Fishing Year 2014 (May 1, 2014 to April 30, 2015)**

4 *The Members and the Participating Vessels of **IX Northeast Fishery Sector, Inc.**, agree to be legally bound*  
5 *to follow the Harvesting Rules for the Fishing Year 2014 as described herein, in accordance with all*  
6 *provisions of the Sectors Operations Plans and Agreement (herein "Agreement"), notwithstanding those*  
7 *rules and regulations applicable to the common pool Multispecies vessels. Members and the*  
8 *Participating Vessels of NEFS IX will fish in primarily in the Inshore Georges Bank, Offshore Georges Bank,*  
9 *Southern New England/Mid Atlantic (SNE/MA), though fishing may also occur to a lesser extent in Gulf of*  
10 *Maine.*

11 **ANNUAL CATCH ENTITLEMENT:** (as determined by NMFS)

<b>Stock</b>	<b>Sector ACE:</b>
GB Cod	
GB Cod East	46477.2471
GB Cod West	509051.4703
GOM Cod	31746.5501
GB Haddock	
GB Haddock East	2559538.1644
GB Haddock West	1833687.5274
GOM Haddock	23256.9008
GB Yellowtail Flounder	150294.7053
SNE/MA Yellowtail Flounder	99606.1898
CC/GOM Yellowtail Flounder	109965.2544
Plaice	252094.1591
Witch Flounder	111308.7603
GB Winter Flounder	2948174.1221
GOM Winter Flounder	38365.9501
SNE/MA Winter Flounder	497919.8163
Redfish	1358192.2745
White Hake	391706.0185
Pollock	1232219.0773

12

13

14

- 1 1. **ANNUAL CATCH ENTITLEMENT:** The members agree that they will not collectively harvest more  
2 than the Sector ACE, as adjusted by transfers, for any allocated groundfish stocks. Furthermore, the  
3 members agree that once an annual ACE is reached no member will fish commercially with any  
4 fishing gear capable of catching any of the allocated groundfish stocks or other species managed  
5 under plan within the applicable area(s): except in those situations where a member is participating  
6 in an exempted fishery, or if a plan submitted by the Sector under §648.87(b)(2)(xiv) in this  
7 document has been approved by NMFS. The Sector members may resume fishing activities if  
8 additional ACE is secured through inter-sector ACE transfer.
- 9 2. **QUOTA MANAGEMENT:** Sector vessels, the dealers to which they are delivering fish and monitors  
10 will use a PC based software for collecting data, reporting catch, landings and discards, and  
11 reporting catch area information for logbook and stock attribution purposes. The Sector will utilize a  
12 quota release program that sets forth overall sector quota (ACE) release targets by species and  
13 individual member Harvest Share targets as they relate to the Sector targets. Interim and annual  
14 targets will be considered in the development of the Sector’s Fishing Plan. The Sector Manager will  
15 monitor the trajectories to interim and annual targets for the Sectors ACE’s as well as for the  
16 individual members Harvest Shares. The Sector expects to utilize ACE Transfers to balance the  
17 Sector’s ACE during the fishing year to prevent exceeding Sector ACE and to assist Members Harvest  
18 Share management.
- 19 3. **RESERVE:** For each stock held by the Sector, the quota release program will utilize an initial target  
20 trajectory that is not to exceed 95% of the current quota held by the Sector as adjusted by ACE  
21 transfers. The remaining 5% is the minimum aggregate total of the RESERVE buffer system. The  
22 Sector, through their Board, may modify the RESERVE holdback percentages for any or all stocks  
23 held by the Sector to prevent under or over harvest of the Sector’s ACE.
- 24 4. **SLOWING CATCH:** The quota release program will incorporate a list of thresholds for both Sector  
25 ACE and member Harvest Shares, for the purposes of alerting the Sector Manager and members.  
26 Thresholds to “Slow Catch”, “Initiate Trading” and “Cease Fishing” will be incorporated into the  
27 Sector quota monitoring system. Members Harvest Shares are net from the Reserve. Therefore,  
28 Harvest Shares trajectories will be set to the Harvest Share. Once 80 % of any Sector ACE is attained,  
29 slowing mechanisms such as tiered landing limits that apply differential counting of quota or service  
30 fees to each tier in excess of agreed landing limits may be utilized. When such slowing mechanisms  
31 are triggered or at any time during the fishing year, the Board may direct the Sector Manager to  
32 seek additional ACE through an ACE transfer with other sectors.
- 33 5. **FULL RETENTION OF LEGAL SIZED FISH:** All legal sized fish of allocated stocks harvested during the  
34 fishing operations must be retained and counted against the Sector’s ACE allocation, unless  
35 otherwise exempted.
- 36 6. **DAYS AT SEA:** Each participating permit and participating vessel will be allocated Days-At-Sea (DAS)  
37 by the Regional Administrator. Sector Member permits will not be subject to the DAS reduction in  
38 Amendment 16 for common pool vessels. Members will be required to use a DAS, as specified in  
39 controlling FMPs, when conducting fishing operations that are not exempted from DAS usage, for  
40 example, when fishing under a monkfish DAS.
- 41



1 **7. STOCK AREA DECLARATION:** Prior to leaving port, sector vessels will declare one or more than one  
2 of the four Broad Stock Areas (BSA) as identified in Amendment 16 and relating implementing  
3 regulations.

4 **7.1 Inshore Gulf of Maine Declaration:** For the purpose of providing the Sector and its  
5 Manager with a greater understanding of the fishing patterns conducted by their  
6 members, the following reporting requirements have been crafted and adopted by the  
7 Sector in collaboration with all Northeast Groundfish Sectors in the region. These  
8 provisions afford Sectors an administrative tool to track fishing activity west of the 70:15.  
9 The implementation of the following requirements is conditioned on the adoption by all  
10 Northeast Groundfish Sectors in their FY 2014 Operations Plans. In the event this provision  
11 is not adopted by all Northeast Groundfish Sectors the specifications below will not be  
12 implemented by this Sector.

13 **7.1.1** For the purpose of Section 7.1 of the Harvesting Rules, the portion of BSA 1  
14 West of 70:15 to the shoreline north to the Maine Coast and South to Cape  
15 Cod would be defined as **Inshore GOM**.

16 **7.1.2** When an Observer/Monitor is onboard. The Sector Vessel may declare and  
17 fish in all Broad Stock Areas, including the portion of BSA 1 defined as the  
18 Inshore GOM defined in this section.

19 **7.1.3** When an Observer/Monitor is NOT onboard.

- 20 a. If the Sector Vessel intends to fish West of the 70:15 in the area  
21 described in Section 7.1.1 of these Harvesting Rules as the Inshore  
22 GOM, at any time during a trip, the vessel must declare BSA 1 only and  
23 the Sector Vessel may not conduct any fishing activity outside of the  
24 area defined as BSA 1 for the entire trip.
- 25 b. If the vessel declares more than one Broad Stock Area on a trip, the  
26 vessel is prohibited from conducting fishing activity West of the 70:15 in  
27 the area described in Section 7.1.1 of these Harvesting Rules as the  
28 Inshore GOM.
- 29 c. If the Member declares more than one BSA on the trip, the Member is  
30 prohibited from conducting fishing activity West of the 70:15 in the area  
31 described above as the Inshore GOM and the Member must indicate  
32 acknowledgement of this restriction by transmitting a Trip Start Hail,  
33 through their VMS unit or third party software, and check the “b.  
34 Inshore Gulf of Maine” from the list of Sector Ops Plan Provisions in the  
35 Trip Start Hail.

36  
37  
38 **7.1.4** IX, Northeast Fishery Sector Board of Directors reserves the right to remove  
39 and/or modify this Inshore GOM Declaration provision at any time during the  
40 2014-2015 Fishing Year.

41  
42  
43 **8. TRIP HAIL:** Sector vessels will comply with any Hail requirements established by the Sector and/or  
44 Agency.

45 **8.1 METHOD OF TRANSMISSION HAILS:** The sector vessels will be transmitting HAILS (Trip  
46 Start and Trip End) electronically via the email messaging component of their VMS units.

1 All data necessary to the requirements will be sent in compressed formats to minimize  
2 characters and maximize message capacity directly to the Sector's Server which will  
3 collect, store, convert and relay all data elements necessary to meet various requirements.  
4 The Sector will relay required HAILS (Trip State and Trip End) to NMFS, immediately upon  
5 receipt. In the event that the primary system is unavailable, Sector Vessels will utilize a  
6 backup system, including but not limited to, direct cell phone or radio transmission  
7 between the vessel and NMFS OR a relay through the Sector Manager.

8 **8.2** **TRIP START HAIL:** Prior to leaving port on a trip in which the catch of allocated stocks will  
9 count against the Sectors ACE i.e. a sector trip, each Active Vessel must notify their Sector  
10 Manager that the vessel is departing on a sector trip by completing a Trip Start Hail. The  
11 Trip Start Hail ("TSH") must include:

- 12 • Operator's Permit Number
- 13 • Vessel Trip Report (VTR) serial number
- 14 • Whether an Observer (NEFOP) or At-Sea Monitor (ASM) is onboard
- 15 • Usage of specific sector exemptions
- 16 • Usage of specific operations plan provisions
- 17 • Landing Port City
- 18 • Landing State (abbreviation)
- 19 • Estimated time and Date of arrival in port
- 20 • Estimated time and date of offloading (required only for trips less than 6  
21 hours in duration or if fishing within 6 hours of the offload port)
- 22 • Comments (as directed by the Sector Manager or Regional Administrator)

23  
24  
25  
26 **8.3** **TSH FOR TRIPS LESS THAN SIX HOURS OR OCCURRING WITHIN SIX HOURS OF PORT:** For  
27 trips less than six hours in length or occurring within six hours of port, the estimated time  
28 of arrival to port, offload location and estimated offload time will be provided in the Trip  
29 Start Hail (TSH). The Trip End Hail (TEH) will be sent upon completion of the last tow with  
30 required updated information. An alternative timing for the TEH may be implemented  
31 during FY 2014 if agreed upon by the Sector, and NMFS.

32 **8.4** **TSH FOR TRIPS LESS THAN SIX HOURS OR OCCURRING WITHIN SIX HOURS OF PORT:** For  
33 trips less than six hours in length or occurring within six hours of port, the estimated time  
34 of arrival to port, offload location and estimated offload time will be provided in the Trip  
35 Start Hail (TSH). The Trip End Hail (TEH) will be sent upon completion of the last tow with  
36 required updated information. An alternative timing for the TEH may be implemented  
37 during FY 2014 if agreed upon by the Sector, and NMFS.

38 **8.5** **TRIP END HAIL:** The trip-end hail report must be submitted at least 6 hours in advance of  
39 landing for all trips at least 6 hours in duration or occurring more than 6 hours from port.  
40 For shorter trips, the trip-end hail reports must be submitted within sufficient in  
41 consultation with NMFS Office of Law Enforcement. An alternative timing for the trip end  
42 hail may be implemented during FY 2014 if agreed upon by the sector, the monitoring  
43 provider, and NMFS. The trip end hail must include the following:

- 44 • Operator's Permit Number

- 1 • Vessel Trip Report (VTR) serial number
- 2 • First landing port city
- 3 • First landing state (abbreviation)
- 4 • Dealer/Offload Location
- 5 • Estimated time and date of arrival
- 6 • Estimated time and date of offload
- 7 • Second offload port city
- 8 • Second offload state (abbreviation)
- 9 • Total groundfish kept in pounds
- 10 • Total non-groundfish kept in pounds
- 11 • Comments (as directed by the Sector Manager or Regional Administrator)

12  
13 **9. VESSELS FISHING MULTIPLE STOCK AREAS:** If a vessel declares into multiple stock areas the vessel  
14 will complete a catch report each time the vessel changes areas.

15  
16 **10. FISHING IN US/CA AREAS:** When fishing in the US/CA area, a sector vessel that fishes in more than  
17 one US/CA area or more than one of the four stock areas will complete a catch report each time the  
18 vessel changes areas. Sector vessels will track their Eastern US/CA sub-ACE for Cod and Haddock  
19 separately while fishing in the Eastern Area. Sector vessels may fish in all US/CA areas as well as  
20 Open areas in the same trip. In addition to VMS declaration requirements, the vessel will declare  
21 the stock areas (of the Four A16 reporting areas) intended to be fished prior to starting a trip.  
22

23 **11. CLOSED AREAS:** Participating vessels may fish in closed areas to the extent authorized by NMFS.  
24

25 **12. CATCH REPORTS:** All Active Member vessels fishing groundfish will be required to submit complete  
26 catch records to the Sector Manager electronically via VMS email or other electronic means prior to  
27 entering port to end a trip. Catch reports will include, at a minimum, all data elements of a fully  
28 compliant VTR logbook record/s. The Sector Manager may modify, at his/her discretion, the  
29 frequency of reporting transmissions to meet programmatic needs, such as, but not limited to,  
30 participation in a SAP, or internal quota management requirements. In the event a Member vessel is  
31 unable to submit his catch records electronically, the Member, will have no more than twenty-four  
32 (24) hours to provide such reports to the Sector Manager, upon completion of vessel offload.  
33

34 **13. VESSEL LOGBOOKS (VTRs/e-VTR):** All sector members will comply with applicable reporting  
35 requirements including submission of Vessel Trip Reports (VTRs). If Electronic Vessel Trip Reports (e-  
36 VTRs) are approved by the Regional Administrator, Sector Members will submit e-VTRs in the format  
37 required by NERO. Sector Members will execute all documents necessary to meet legal  
38 requirements for the purpose of facilitating e-VTR service.  
39

40 **14. WEEKLY/DAILY REPORTS:** The Sector Manager, or his/her designated representative, will submit  
41 weekly or daily Sector Reports of all landings and discards by sector vessels, to NMFS. The Sector

1 will submit required reports, using the format and procedures prescribed by NMFS. The reports  
2 required by NMFS are the *Sector Manager ACE Status Report*, *Sector Manager Detailed Report*, and  
3 *Sector Manager Trip Issue Report* as codified in §648.87(b)(1)(vi)(B). Specifically, the *Sector Manager*  
4 *Detailed Report* provides information down to the sub-trip level about each sector trip for a given  
5 week, regardless of completeness of the data. The information includes stock, gear, mesh  
6 categories, landing amounts, discards and total catch. The *Sector Manager Trip Issue Report*  
7 provides information about the sector trips for a given week that have enforcement or other issues.  
8 The *Trip Issue Report* allows the sector to briefly describe to NMFS any enforcement or reporting  
9 compliance issues, violations of the Sectors operations plan and regulation, and general problems  
10 with monitoring or sector operations during the reporting period. One *Trip Issue Report* is  
11 submitted per reporting period. The *Sector Manager ACE Status Report* documents the ACE status  
12 calculations, which allows NMFS to cross check totals as stipulated in Amendment 16. In the event  
13 that the Sector triggers daily reporting for a specific allocated stock, the *Sector Manager Daily ACE*  
14 *Status Report* provides the mean for a sector manager to report their Sectors ACE status calculations  
15 on a daily basis if the “trigger point” i.e. thresholds specified in 14.1 have been reached during the  
16 current Fishing Year. These reports are cumulative in nature from the start of the fishing year until  
17 the current reporting week and are adjusted retroactively as data becomes available or issues  
18 documented in JIRA are resolved. JIRA is an issue tracking application implemented by NMFS, which  
19 should be used to report all data quality issues to the appropriate people for research and  
20 correction process.

21  
22 **14.1 REPORTING THRESHOLDS THAT TRIGGER DAILY REPORTING:** The reporting frequency for  
23 the sector manager’s ACE Status Report will be increased to daily when 90% of any of the  
24 sector’s ACEs is reached. The Sector Manager, or a designated representative, must notify  
25 NMFS immediately by email if the threshold that triggers daily reporting has been  
26 reached. During the period when a sector has reached or exceeded 90% of any of its ACEs,  
27 a daily ACE Status Report must be submitted only on a day when a member vessel lands,  
28 or when the sector engages in an ACE transfer of a stock that is exceeding the 90%  
29 threshold.

30  
31 An alternative threshold for triggering daily reporting may be implemented during FY 2014  
32 if agreed upon by the sector and NMFS.

33  
34 **14.2 ENFORCEMENT ISSUES:** The Members acknowledge that the Sector Manager *must* include  
35 any enforcement or reporting compliance issues, including violations of Operations Plan  
36 (excluding those sections identified as administrative provisions in this document);  
37 violations of regulations, or general problems with monitoring or sectors operations in  
38 their *Trip Issue Report* which is submitted to NMFS weekly.

39  
40 **15. ANNUAL REPORT:** Within sixty (60) days of the end of the fishing year the Sector Manager will  
41 submit an annual report to NMFS and the Council that summarizes: fishing activities of Members,  
42 including harvest levels of all species by sector vessels (landings and discards by gear types);  
43 enforcement actions; and any other relevant information required to evaluate the performance of  
44 the Sector. In addition, the Annual Report will report the number of sector vessels that fished for  
45 regulated groundfish and their permit numbers (when such disclosure does not violate protection of  
46 confidentiality); number of vessels that fished for other species; method used to estimate discards;

1 landing port used by sector vessels while landing groundfish; and any other additional information  
2 requested by the Regional Administrator for inclusion in the Annual Report. The Sector will submit  
3 required reports using the format and procedures prescribed by NMFS.  
4

5 **16. STOCK ATTRIBUTION:** The Sector Manager will utilize landings information from each trip and apply  
6 logbook area information to calculate stock attribution ratios for all applicable species.  
7

8 **17. DISCARD RATES AND IN-SEASON DISCARD ESTIMATES:** The Sector manager (or his/her designated  
9 representative) will derive stock specific discards for each trip. If the trip is observed by either an at-  
10 sea monitor or a Northeast Fisheries Observer Program (NEFOP) observer, discards will be derived  
11 based on data collected during that trip and will account for all hauls (observed and unobserved) on  
12 that trip. If the trip is not observed, discards will be derived using the NMFS-provided discard rate  
13 resulting from the NMFS method to estimate 'in-season' discard rates, which may not include data  
14 from research trips or sector trips using certain exemptions.  
15

16 **18. RESERVED FOR FUTURE USE:**  
17

18 **19. DATA MANAGEMENT:** The sector vessels will be transmitting catch data electronically via the email  
19 messaging component of their VMS units. All data necessary for sector ACE management, including  
20 all elements of VTR logbook and daily / weekly reporting requirements will be sent in compressed  
21 formats to minimize characters and maximize message capacity. Notwithstanding reporting  
22 requirements that cannot be altered by a sectors operations plan, the Sector's server will be  
23 capable of collecting, storing, converting and relay all data elements necessary to meet all reporting  
24 requirements in the formats required by the recipients  
25

26 The Sector, acting through its Manager, will maintain database(s) of vessel trip reports (VTR), dealer,  
27 At Sea (ASM), and NEFOP Observer reports. In addition, the Sector will maintain any other database  
28 it determines necessary for its operations. NMFS will maintain a NEFOP/ASM database and will  
29 provide the Sector with data from NEFOP and the ASM program.  
30

31 **20. PROOF OF SECTOR MEMBERSHIP:** Upon approval of the Sector, each sector vessel will be issued a  
32 Letter of Authorization ("LOA"), which will specify the exemptions that have been approved for the  
33 Sector. Each Member agrees that its sector vessels **must** comply with all requirements stipulated in  
34 the LOA and all applicable federal regulations and laws not specifically exempted in the LOA.  
35

36 Furthermore, Member agrees that its sector vessels shall maintain the LOA, and a copy of the  
37 Sector Agreement and Harvesting Rules on-board at all times while fishing on a 'sector-trip'.  
38

39 **21. SECTOR SPECIFIC EXEMPTIONS:** As referenced in §4.0 of this Agreement all Sectors are granted the  
40 following **Universal Exemptions**.

41 **21.1** Trip limits on NE multispecies stocks, except Atlantic Halibut, windowpane flounder,  
42 ocean pout and Atlantic wolfish.

43 **21.2** All Groundfish DAS restrictions NE multispecies days-at-sea (DAS) restrictions other than  
44 those required to comply with the monkfish and skate fisheries, as specified in §§  
45 648.92 and 648.322;

- 1           **21.3**    Seasonal Closed Areas (currently Georges Bank seasonal closure in May)
- 2           **21.4**    Gulf of Maine Rolling Closures, except for:
- 3                     April – Blocks 124, 125, 132, 133
- 4                     May – Blocks 132, 133, 138, 138,139,140
- 5                     June – Blocks 139, 140, 145, 146, 147, 152
- 6                     Unless authorized as a sector specific exemption.
- 7           **21.5**    The 6.5” minimum mesh size restriction for trawl gear specified at § 648.80(a)(4)(i)
- 8                     when using a haddock separator or Ruhle trawl within the GB Regulated Mesh Area
- 9                     (RMA), provided the vessel uses a codend with at least 6” minimum mesh size.
- 10          **21.6**    Additional mortality controls adopted by Amendment 16, including additional seasonal
- 11                     or year-round closures, gear requirements, DAS reductions, differential DAS counting,
- 12                     and/or restricted gear areas.

13

14           In addition to the Universal Exemptions granted to all Sectors, as referenced above and in §4.0 of

15           this Agreement, **Members agree to abide by the following obligations as specified and**

16           **authorized in their LOA, in order to utilize these Sector Specific Exemptions:**

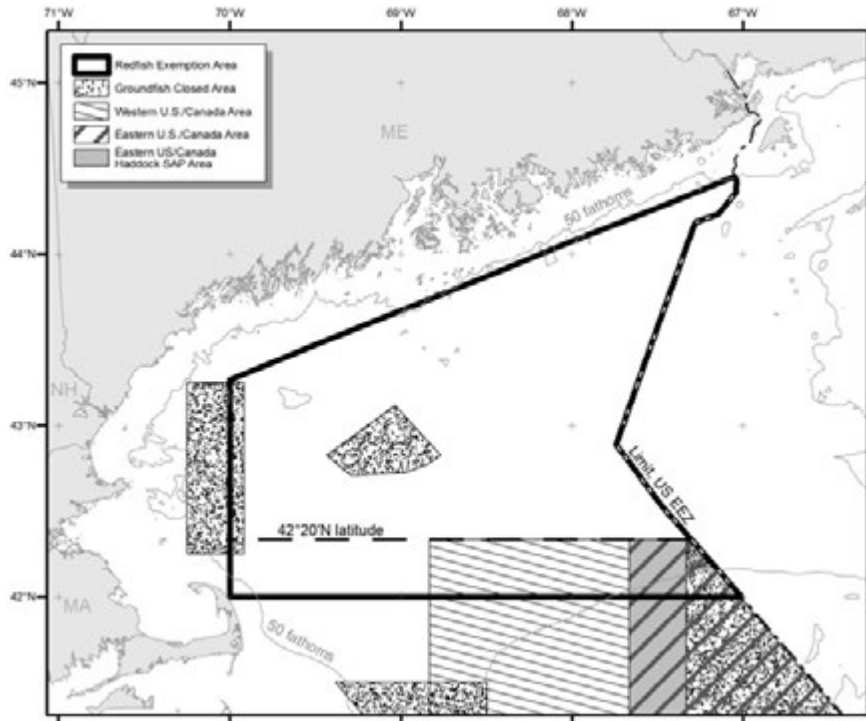
17

- 18          **21.7**    20 Day Spawning Block:
- 19          **21.8**    Length and horsepower restrictions of the DAS leasing program.
- 20          **21.9**    Trawl Gear requirements in the U.S./Canada Management Area
- 21          **21.10**   Requirement to maintain VMS powered while at dock. Vessel acknowledges that this
- 22                     exemption only applies to NE Multispecies requirements. A vessel with other permits
- 23                     requiring constant reporting **may not** use this exemption
- 24          **21.11**   Seasonal restrictions of the E. US/Canada Haddock SAP
- 25          **21.12**   Seasonal restrictions of the CA II Yellowtail Flounder/Haddock SAP.
- 26          **21.13**   Requirement to declare intent to fish in Eastern US/CA Area prior to leaving dock
- 27          **21.14**   6.5-inch minimum mesh size requirement to allow 6-inch mesh for targeted redfish trips

28          This exemption allows a sector vessel to fish for Acadian redfish in the designated Redfish

29          Exemption Area, described below, using nets with codend mesh as small as 6.0 inches on any

30          approved trawl gear.



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The Redfish Exemption Area is bounded on the east by the U.S.-Canada Maritime Boundary, and bounded on the north, west, and south by the following coordinates, connected by straight lines in the order listed:

Point	N. Lat.	W. Long.	Note
A	44°27.25'	67°02.75'	
B	44°16.25'	67°30.00'	
C	44°04.50'	68°00.00'	
D	43°52.25'	68°30.00'	
E	43°40.25'	69°00.00'	
F	43°28.25'	69°30.00'	
G	43°16.00'	70°00.00'	
H	42°00.00'	70°00.00'	
I	42°00.00'	(67°00.63')	(1)

7  
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<sup>1</sup>The intersection of 42°00' N. latitude and the U.S.-Canada Maritime Boundary, approximate longitude in parentheses.

Prior to leaving the dock, any vessel that intends to use the redfish exemption on a trip must declare so through the VMS trip start hail by checking the box next to “Redfish Trip” under sector exemptions. For all trips that declare this exemption (including those that do not use the exemption), NMFS will monitor two monthly catch thresholds:

- 1) For every trip that declares the exemption, at least 80-percent of the total groundfish landed must be Acadian redbfish; and
- 2) For observed trips that declare the exemption, total groundfish discards (including redbfish), may not exceed 5 percent.

**21.15** Exemption from current mortality closures in order to have year round or partial access to areas including but not limited to  
**21.15.1** Nantucket Lightship Closed Area

This exemption allows sector vessels to access portions of the Nantucket Lightship Closed Area to provide additional opportunities to target monkfish, dogfish, and skates.

A trawl vessel may fish in the Western Exemption Area with any legal trawl gear. A trawl vessel fishing in the Eastern Exemption Area is restricted to approved selective trawl gear, including the separator trawl, the Ruhle trawl, or the rope trawl. Flounder nets are prohibited in the Eastern Exemption Area. A hook vessel is permitted in both areas. A gillnet vessel is restricted to fishing 10-inch (25.4-cm), or larger, diamond mesh in both areas. A gillnet vessel must use pingers when fishing in the Western Exemption Area from December 1 – May 31, because this area lies within the existing Southern New England Management Area of the Harbor Porpoise Take Reduction Plan.

The Western and Eastern Nantucket Lightship Closed Areas are defined by lines connecting the points listed below in the order stated.

**Western Nantucket Lightship Closed Area**

POINT	LATITUDE	LONGITUDE
A	40°50'N	70°20'W
B	40°50'N	70°00'W
C	40°20'N	70°00'W
D	40°20'N	70°20'W
A	40°50'N	70°20'W

**Eastern Nantucket Lightship Closed Area**

POINT	LATITUDE	LONGITUDE
A	40°50'N	69°30'W
B	40°50'N	69°00'W
C	40°20'N	69°00'W
D	40°20'N	69°30'W
A	40°50'N	69°30'W

Any vessel that intends to access the Western or Eastern Nantucket Lightship Closed Areas must declare a closed area trip through the VMS trip start hail by checking the box next to “Closed Area Trip” under sector exemptions. Trip Start Hails must be submitted prior to departure. The sector must follow any additional trip declaration or reporting requirements that NMFS implements during the fishing year.



1 **22. MONITORING.** NEFS IX will participate in the Northeast Fisheries Observer Program (NEFOP) and  
 2 the NMFS-funded at-sea monitoring (ASM) program. Any additional ASM coverage beyond the  
 3 NMFS-funded level will be provided pursuant to an independent contract between the Sector and a  
 4 NMFS approved provider. This additional ASM coverage will have vessel and trip selection  
 5 coordinated through NMFS, will be conducted in the same manner with the same protocols as the  
 6 NMFS-funded ASM program, and will use approved at-sea monitors, unless otherwise instructed.  
 7 Also, this additional coverage will not be allowed to replace or interfere with either the coverage of  
 8 NEFOP or the NMFS-funded ASM program. The Sector manager will maintain a database of all catch  
 9 data, including but not limited to VTR, dealer, monitor, and observer data. NMFS will provide the  
 10 Sector with data from NEFOP and ASM program.

11  
 12  
 13 **23.1 ELECTRONIC MONITORING** The Sector reserves the right to modify/update their At-Sea  
 14 Monitoring Program in the event that Electronic Monitoring (EM) is approved by the  
 15 Agency during the fishing year upon the discretion of the Sectors Board of Directors. Upon  
 16 approval by the Agency and subsequently the Sectors Board of Directors, EM may be  
 17 adopted by some or all Sector Vessels in accordance with EM standards.

18 **24. RESERVED FOR FUTURE USE:**

19 **25. RESERVED FOR FUTURE USE:**

20 **26. OFFLOADING PORTS:** The following list represents those ports where sector vessels are authorized  
 21 to offload. Additionally, sector vessels are authorized to land fish to trucks within these same  
 22 locations.  
 23

Primary Port(s) of Landing	Secondary Port(s) of Landing
Massachusetts: New Bedford	Massachusetts: Gloucester, Provincetown, Nantucket. Maine: Portland Rhode Island: Point Judith

24  
 25 **27. SAFE HARBOR PROTOCOL:** To promote safety at sea, the Sector sets forth the following protocol for  
 26 variance from the landing ports listed. If for reasons beyond a vessel operators control such as  
 27 severe weather, mechanical failures, compromised hull integrity, instances of pump failures and  
 28 danger of sinking, crew injury or life threatening illness and any other emergency situations that  
 29 may arise, a sector vessel may enter a port other than those listed as “Landing Ports” to ensure the  
 30 safety of the vessel and its crew. In the event that a Sector Vessel must utilize this safe harbor  
 31 protocol, they must notify their Manager and NMFS OLE of when and where they had to seek safe  
 32 harbor within 6 hours of this entering the port.

1 **28. SECTOR UNDERSTANDING AND ACKNOWLEDGMENTS:** Sector Members understand and  
2 acknowledge that the following provisions have been interpreted by NMFS as applicable to all  
3 operating sectors. Sector Members acknowledge this applicability and where appropriate utilize  
4 these universal interpretations within their sector management and operations:

5 **28.1 INTRA-SECTOR DAYS AT SEA (DAS) LEASING:** Days at Sea may be leased intra-sector  
6 (between members) within the guidelines and procedures contained in the FMP and as  
7 amended by Amendment 16. The Sector would accept any future relief in the length and  
8 horsepower constraints of the program that may be authorized by the RA in the future.

9 **28.2 INTER-SECTOR DAYS AT SEA (DAS) LEASING:** Members who wish to lease Days-at-Sea  
10 (DAS) outside of the Sector are authorized under this provision to do so, only with  
11 Members of other Sectors whom are similarly exempt. Members acknowledge that such  
12 DAS leasing would not be exempted from existing length and horsepower constraints as  
13 currently contained in applicable regulations.

14 **28.3 PAPER VTR:** Members acknowledge that they are bound to all applicable reporting  
15 requirements. Sector vessels shall continue using paper VTRs for FY 2014, as required by  
16 regulations. However, electronic vessel trip reporting (e-VTR) systems for transmission  
17 and submission of required VTR reports have been authorized by the Regional  
18 Administrator using a phased implementation process. The Sector and its Members may  
19 utilize e-VTR in accordance with the phased implementation process.

20 **28.4 ADDITIONAL EXEMPTIONS:** Members note that NMFS is generating one Environmental  
21 Assessment for all sectors seeking authorization for Fishing Year 2014, and that NMFS  
22 communication has stated that if an exemption is approved for one Sector, all other  
23 authorized Sectors can be similarly approved for that specific exemption based on the  
24 terms and conditions of the originally requesting sector. In light of this understanding,  
25 NEFS IX will request authorization for such exemptions it deems beneficial for its  
26 operations, prior to the publication of the final authorizing rule.

27 **29. MODIFICATION OF HARVESTING RULES:** Members acknowledge that from time to time, the Sector  
28 Manager in collaboration with Board of Directors, and at times Membership, may adopt additional  
29 requirements or restrictions on the internal reporting requirements or fishing activities of all  
30 members in order to ensure effective utilization and management of the Sector's ACE. These  
31 modifications may include, but are not limited to, additional notification of planned fishing activity  
32 to the Manager, additional internal reporting requirements, gear requirements, and restrictions on  
33 locations where fishing may occur during specific times of the year or with specific gear. When such  
34 modifications are implemented, all Members will be notified in writing.

1

**EXHIBIT D**

<b>NEFS IX Penalty Schedule</b>			
<b>VIOLATION REGARDING REPORTING, DOCUMENTATION REQUIREMENTS:</b>			
<b>VIOLATION</b>	<b>FIRST OFFENSE</b>	<b>SECOND OFFENSE</b>	<b>THIRD OFFENSE</b>
All violations including but not limited to: providing false statements or supporting documentation on applications or reports to the Sector; late reporting or non-reporting; unreasonable interference with onboard data collectors; failing to participate in Sector Catch Monitoring Programs; (technical and minor violations may result in a letter of warning).	Written Warning <b>or</b> up to \$5000.00	Written Warning <b>and</b> up to \$7,500.00.	Written Warning <b>and</b> up to \$10,000.00 <b>and/or</b> stop fishing order.
<b>VIOLATION REGARDING EXEMPTION PERMIT REQUIREMENTS</b>			
All violations including but not limited to: failure to comply with a permit condition/restriction/letter of authorization issued to Sector Vessels by the Regional Administrator; or failure to comply with VMS/DAS requirements. (Technical and minor violations may result in a letter of warning).	Written Warning <b>or</b> up to \$10,000.00	Written Warning <b>and</b> \$10,000.00-\$50,000.00.	Written Warning <b>and</b> up to \$100,000.00 <b>and/or</b> stop fishing order.
<b>VIOLATION REGARDING TIME/AREA/GEAR RESTRICTIONS</b>			
All violations including but not limited to: exemption areas, closed fisheries, closed season, restricted gear/management areas. (Technical and minor violations may result in a letter of warning).	Written Warning <b>or</b> up to \$20,000.00	Written Warning <b>and</b> \$20,000.00-\$50,000.00.	Written Warning <b>and</b> up to \$100,000.00 <b>and/or</b> expulsion.
<b>VIOLATIONS THAT PLACE THE SECTOR AGREEMENT AT RISK</b>			
All violations including but not limited to a violation of a stop fishing order, fishing in a closed area, transfer of fish from non-sector vessel to a sector vessel, transfer of fish from sector vessel to a non-sector vessel; subverting the reporting requirements or any other action so egregious that it would severely jeopardize the Sectors existing and future authorization(s).	Written Warning <b>and</b> up to \$50,000.00 <b>or</b> stop fishing order.	Stop fishing order <b>or</b> Expulsion.	Expulsion.

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1 **Administrative Provisions Addendum:**

2 Notwithstanding regulatory authority granted in other regulations the following provisions represent  
3 those sections of NEFS IX Agreement and related Exhibits & Addendums that are Administrative in  
4 nature and therefore not subject to enforcement by the National Marine Fisheries Service, as required  
5 to be specified by sector regulations 50 CFR 648.87(b)(2)(x).

6 **SECTOR OPERATIONS PLAN AND AGREEMENT**

7 1. Sector Name.

8 2. Sector Eligibility and Membership.

9 4. Sector Allocation and Exemptions.

10 5. Distribution of Sector ACE.

11 6. Sector Manager and Registered Agent.

12 6.1 Communication with Sector.

13 7. Consolidation Plan.

14 7.1 Harvest Share Reserve.

15 7.2 Harvest Share Use. Section 7.2 is administrative except to the extent that it applies to the  
16 Sector managers ability to impose and utilize legal means to recover Liquefied damages as  
17 authorized in section §10.10 of this agreement, in which case NMFS enforcement procedures  
18 may apply.

19 7.2.1 Non-Active Members. Section 7.2.1 is administrative except to the extent that it  
20 applies to the Sector managers' ability to impose and utilize legal means to recover damages as  
21 authorized in section §10.10 of this agreement, in which case NMFS enforcement procedures  
22 may apply.

23 7.3 Harvest Share Transfer.

24 7.4 Harvesting Rules and Fishing Plan. Section 7.4 is administrative except to the extent that it  
25 applies to Harvesting Rules Sections 1, 5, 6, 7, 8, 11, 16, and 17, which are enforceable and  
26 therefore not considered administrative under this section.

- 1           7.5 Re-direction Of Effort.
- 2           7.6 Sector Vessel Interactions with Allocated Species in Non-Amendment 16 Fisheries.
- 3           7.7 Consolidation and Redistribution of ACE:
- 4   8. Release of Catch Data.
- 5   9. Catch Monitoring and Reporting. Section 9 is administrative except to the extent that it applies to
- 6   Harvesting Rules Sections 13, 14, and 15, which are enforceable and therefore not considered
- 7   administrative under this section.
- 8   10. Breach and Remedies for Breach.
- 9           10.1 Liquidated Damages Schedule and Schedule Amendments.
- 10           10.2 Enforcement Committee.
- 11           10.3 Liquidated Damages Base Value and Multiplier Adoption.
- 12           10.4 Liquidated Damages Calculation.
- 13           10.5 Notice to Vessel Masters; Assumption of Liability.
- 14           10.6 Liquidated Damages Security.
- 15           10.7 Manager Action in Response to Apparent Breach.
- 16           10.8 Member Appeals.
- 17           10.9 Voluntary Compliance.
- 18           10.11 Consequential Damages for Gross Negligence or Willful Misconduct.
- 19           10.12 Distribution of Damages.
- 20   11. Joint Liability and Indemnification.
- 21   12. Membership Termination
- 22   15. Permit Transfer/Sale.

- 1 16. Release and Waiver of All Claims Against Sector Manager; Indemnification and Hold Harmless.
- 2 17. Sector Membership Fees.
- 3 18. Binding Arbitration.
- 4 19. No Collective Marketing.
- 5 20. Amendment and Incorporation by Reference.

7 EXHIBIT C

8 HARVESTING RULES

- 9 2. QUOTA MANAGEMENT:
- 10 3. RESERVE:
- 11 4. SLOWING CATCH:
- 12 7.1 INSHORE GULF OF MAINE DECLARATION
- 13 12. CATCH REPORTS:
- 14 18. RESERVED FOR FUTURE USE:
- 15 19. DATA MANAGEMENT:
- 16 22. MONITORING: In the event that ASM is funded by NMFS, any additional coverage, above that which
- 17 is funded and managed by NMFS, the additional sector funded monitoring will be administrative, except
- 18 in those specific situations where NMFS enforcement would apply.
- 19 24. RESERVED FOR FUTURE USE
- 20 25. RESERVED FOR FUTURE USE
- 21 27. SAFE HARBOR PROTOCOL:
- 22 28. SECTOR UNDERSTANDING AND ACKNOWLEDGEMENTS
- 23 29. MODIFICATION OF HARVESTING RULES
- 24 Exhibit D is administrative.

- 1 Explanatory Addendum is administrative
- 2 Informational Addendum is administrative

EXPLANATORY ADDENDUM

1

2 Per request by NMFS this **explanatory text** is being provided to identify in one location Right of  
3 First Offer (“ROFO”) and Right of First Refusal (“ROFR”). ROFO and ROFR are two separate and  
4 distinct provisions that deal with harvest share transfers and permit sales, respectively; it is  
5 inaccurate to construe them as meaning the same thing. Nothing within this explanatory  
6 addendum should be considered as part of the Sector governing documents which the Members  
7 have agreed to follow, all questions regarding these provisions should be directed to their  
8 respective sections in the governing documents:

9 § 7.3 Harvest Share Transfers: Right of First Offer i.e. ROFO will be used for intra and  
10 inter sector harvest share transfers.

11 § 15 Permit Transfer/Sale: Right of First Refusal i.e. ROFR will be used for permit sales or  
12 transfers.



1

### INFORMATIONAL ADDENDUM

2 Per request by NMFS the table below identifies specific points of contacts and their responsibilities, which the Agency may utilize to determine  
3 appropriate communication stream for inquiries.

4

Sector Communications Contacts					Mailing Address			
Name	Title	Responsibility	Email	Phone	Street 1	City	State	Zip
Stephanie Rafael-DeMello	Manager	Day-to-Day Sector Operations	nbsector9@hotmail.com	(508) 990-2800	350 South Front Street	New Bedford	MA	02740
Elizabeth Etrie	Program Director, Northeast Sector Service Network	Data Management i.e. questions regarding software systems utilized by Sector for Weekly Report Computation						
Stephanie Rafael-DeMello	Manager	Reporting i.e. vessel reporting requirements involving sector trips.	nbsector9@hotmail.com	(508) 990-2800	350 South Front Street	New Bedford	MA	02740
Jackie Odell	Executive Director, Northeast Seafood Coalition	Policy						
Stephanie Rafael-DeMello OR Owner of F/V	Manager OR Owner of F/V	Sector Specific Research; Fishing Vessel specific research is vessel specific, contact vessel	nbsector9@hotmail.com	(508) 990-2800	350 South Front Street	New Bedford	MA	02740

5

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 6 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

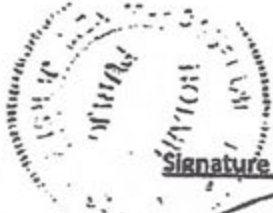
Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.



Signature of Authorized Representative:

x. [Signature]

Owner/Entity Name:

F/V Susan Marie Inc.

Name of Authorized Representative (print):

Richard Hoff

Title: President

List all permits including those listed in 4.

Permit Number: 330535 MRI 887

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

[Signature]  
JACQUELINE T. STAUFFER  
ID # 2341020  
NOTARY PUBLIC  
STATE OF NEW JERSEY  
COUNTY OF CAPE MAY  
Commission Expires 2/25/2016

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4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
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EXECUTED as of the day and year first set forth above.



Signature of Authorized Representative: \_\_\_\_\_

Owner/Entity Name: \_\_\_\_\_

F/V Dewey Inc.

Name of Authorized Representative (print): \_\_\_\_\_

Richard Hoff

Title: President

List all permits including those listed in 4.

Permit Number: 240197 MRI 2272

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public: \_\_\_\_\_

JACQUELINE T. STAUFFER  
ID # 2341020  
NOTARY PUBLIC  
STATE OF NEW JERSEY  
COUNTY OF CAPE MAY  
Commission Expires 2/26/2018

NORTHEAST FISHERY SECTOR IX  
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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

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Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

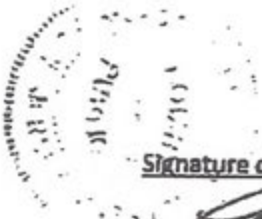
Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.



Signature of Authorized Representative:

x. [Signature]

Owner/Entity Name:

Nordick Viking Inc.

Name of Authorized Representative (print):

Richard Hoff

Title: President

List all permits including those listed in 4.

Permit Number: 330303 MRI 789

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

[Signature]  
JACQUELINE T. STAUFFER  
ID # 2341020  
NOTARY PUBLIC  
STATE OF NEW JERSEY  
COUNTY OF CAPE MAY  
Commission Expires 2/25/2016

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 6 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

Laura J Fisheries Inc.

Name of Authorized Representative (print):

Laura Jayne

Title: Secretary

List all permits including those listed in 4.

Permit Number: 330314 MRI 793

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:



ANTHONY VALLE  
NOTARY PUBLIC, State of New York  
No. 0197010001, Suffolk County  
Commission Expires April 12, 20 15

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Manuel Y. Escobar

Owner/Entity Name:

Oliveira + Silva Fishing Co.

Name of Authorized Representative (print):

Manuel Escobar

Title: Owner

List all permits including those listed in 4.

Permit Number: 150933 MRI 731

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 3<sup>rd</sup> day of March 2014  
before me, the undersigned notary public, personally appeared  
Manuel Y. Escobar  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Veronica Carvalho  
 **VERONICA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

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2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Aurea Costeira

Owner/Entity Name:

Karen Maria Trawling Corp.

Name of Authorized Representative (print):

Aurea Costeira

Title: Owner

List all permits including those listed in 4.

Permit Number: 151083 MRI 597

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 2nd day of March 2014  
before me, the undersigned notary public, personally appeared  
Aurea Costeira  
known to me through satisfactory evidence of identification, which may MA, DC  
be the person whose name is signed on the preceding or attached document who came  
or attempted to me that the contents of the document are truthful and accurate to the best of  
my knowledge and belief.  
Wendell Daniels  
Wendell Daniels, Notary Public  
My Commission Expires July 6, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

Antonio Pacheco

Owner/Entity Name:

Predator Fisheries Inc.

Name of Authorized Representative (print):

ANTONIO M. PACHECO

Title: OWNER

List all permits including those listed in 4.

Permit Number: 330126 MRI 708

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

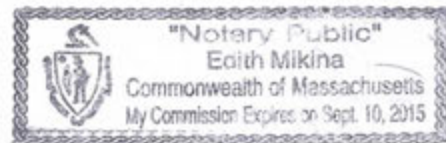
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public: Edith Mikina



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name _____	Doc/Reg # _____
Fishing Vessel Name _____	Doc/Reg # _____
Fishing Vessel Name _____	Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

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*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

List all permits including those listed in 4.

x. K.N. Palmer

Permit Number: 147595 MRI 546

Owner/Entity Name:

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Keith Laudeman

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Name of Authorized Representative (print):

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Keith Laudeman

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Title: owner

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public: Clara Burkhardt  
3/6/14

CLARA BURKHARDT  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 1/17/2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

New Freedom Fishing Corp.

Name of Authorized Representative (print):

Lars Vinjerud

Title: Owner

List all permits including those listed in 4.

Permit Number: 330781 MRI 1325

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

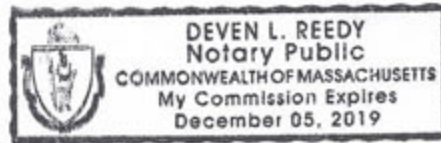
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public: Deven L. Reedy



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *[Signature]*

Owner/Entity Name:

Cove Fishing Corp.

Name of Authorized Representative (print):

Lars Vinjevaldt

Title: Owner

List all permits including those listed in 4.

Permit Number: 410418 MRI 1081

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

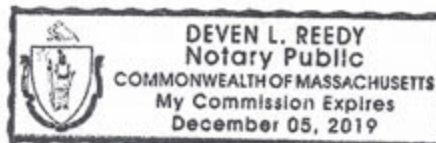
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

Deven L. Reedy



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *[Handwritten Signature]*

Owner/Entity Name:

S + K Lobstering LLC.

Name of Authorized Representative (print):

Lars Hjervold II

Title: Owner

List all permits including those listed in 4.

Permit Number: 310523 MRI 535

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

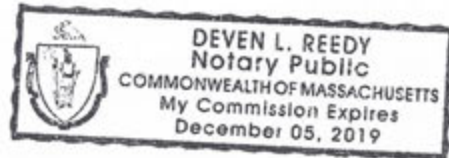
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

Deven L Reedy



NORTHEAST FISHERY SECTOR IX  
 FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
 ADHERENCE AGREEMENT

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Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
 Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
 Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Continued on next page.



NORTHEAST FISHERY SECTOR IN  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERANCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

*Martin Campisano Jr.*

Owner/Entity Name:

Chrismar Inc.

Name of Authorized Representative (Print):

MARTIN CAMPISANO JR.

Title: PRES.

List all permits including those listed in 4.

Permit Number: 410129 MR 969

Permit Number: \_\_\_\_\_ MR: \_\_\_\_\_

Permit Number: \_\_\_\_\_ MR: \_\_\_\_\_

Permit Number: \_\_\_\_\_ MR: \_\_\_\_\_

Permit Number: \_\_\_\_\_ MR: \_\_\_\_\_

Permit Number: \_\_\_\_\_ MR: \_\_\_\_\_

Notary Public. Subscribed before me  
this 5th day of March 2014

Jackie D Tedder  
NOTARY PUBLIC  
197227  
COMMONWEALTH OF VIRGINIA  
My Commission Expires Aug 31, 2017

*Jackie Tedder*  
Notary Public

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

AJ + C. Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 150913 MRI 644

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Anna M. Carvalho  
 **ANNA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

AJ Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330386 MRI 828

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4<sup>th</sup> day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were M.A.D.I.  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carullo  
 **VERA M. CARULLO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>Apollo</u>	Doc/Reg #	<u>614462</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Apollo Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410275 MRI 1063

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned Notary Public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA DL  
to be the person whose name is signed on the preceding or attached document and swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Urena M. Carvalho  
 Urena M. Carvalho, Notary Public  
My Commission Expires July 8, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Athena Doc/Reg # 1122803  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

Athena Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410604 MRI 1029

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carvalho  
**VERA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Bull Dog Doc/Reg # 937040  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

B + D Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410471 MRI 1154

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Wesley M. Carvallo  
 **WESLEY M. CARVALLO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>My Way</u>	Doc/Reg #	<u>603431</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Brava Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330309 MRI 791

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 14 day of March, 2014  
before me the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carvalho  
 **VERA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2018



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)  
Fishing Vessel Name Destiny Doc/Reg # 1031278  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

C<sup>o</sup> B Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330764 MRI 845

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swears  
or affirms to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Uena M Carvalho  
Uena M. Carvalho, Notary Public  
My Commission Expires July 9, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

C + C Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410564 MRI 754

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014,  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swears  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Uma M. Corrado  
 Uma M. Corrado, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)  
Fishing Vessel Name Green Acres Doc/Reg # 521305  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

C + D Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 320311 MRI 711

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Denise Corrallo  
DENISE M. CORRALLO, Notary Public  
My Commission Expires July 8, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

C + R Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 151200 MRI 729

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
In this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Diana M. Carvalho  
Diana M. Carvalho, Notary Public  
My Commission Expires July 6, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Fina de Corvo Doc/Reg # 578725

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

C + V Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410104 MRI 964

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MB DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Emma M. Corvath  
 **EMMA M. CORVATH**, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

C. Rafael LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410206 MRI 1016

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MR DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carvalho  
**VERA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

F & C Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 150108 MRI 1041

Permit Number: 150372 MRI 2182

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA, DL  
to be the person whose name is signed on the preceding or attached document who has  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Guvalino  
VERA M. GUVALINO, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)  
Fishing Vessel Name Poscidon Doc/Reg # 613018  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Hera Fishing LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330379 MRI 823

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who saw  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Anna M. Corallo  
 Anna M. Corallo, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

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2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

LecAnne + Noah Fisheries LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 320244 MRI 579

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4th day of March, 2014,  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA-DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Uma M. Camillo  
**UMA M. CAMILLO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Hercules Doc/Reg # 669933

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Lecanne LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330552 MRI 762

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MS DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Ugo M. Carrotto  
**Ugo M. Carrotto, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Orion Venture LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410613 MRI 1017

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 14 day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carvalho  
**VERA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

P + R Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330557 MRI 897

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 9th day of March, 2014  
before me the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were ME-DK  
to be the person whose name is signed on the preceding or attached document who swears  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carvalho  
VERA M. CARVALHO, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Hera Doc/Reg # 945600

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

P + S Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410507 MRI 1026

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 14th day of March, 2014  
before me, the undersigned Notary Public, personally appeared  
Carlos Rafael  
present to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Carlos M. Carallo  
**CARVALLO, Notary Public**  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Cowboy Doc/Reg # MS6464A  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

R + P Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410335 MRI 1088

Permit Number: 150829 MRI 1125

Permit Number: 150842 MRI 702

Permit Number: 150895 MRI 736

Permit Number: 150830 MRI 847

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4<sup>th</sup> day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA DL  
to be the person whose name is signed on the preceding or attached document, who read  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Vera M. Cavallaro  
**Vera M. Cavallaro, Notary Public**  
My Commission Expires July 9, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March, 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Drake Doc/Reg # 618310

Fishing Vessel Name Edward Moore Doc/Reg # 597172

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

Rafaella LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410194 MRI 1007

Permit Number: 410246 MRI 2295

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Verna M. Carollo  
Verna M. Carollo, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Hera II Doc/Reg # 930728

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

S + S Fishing LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410612 MRI 1143

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 2014  
before me, the undersigned Notary Public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Denia M. Carvalho  
DENIA M. CARVALHO: Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)  
Fishing Vessel Name Sasha Lee Doc/Reg # 909149  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

Sasha Lee Inc.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330795 MRI 800

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Jose M. Carrillo  
 **JOSE M. CARRILLO**, Notary Public  
My Commission Expires July 9, 2015

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)  
Fishing Vessel Name Triunfo Doc/Reg # 596397  
Fishing Vessel Name Resolute Doc/Reg # 597484  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

Tyler Fishing LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330276 MRI 775

Permit Number: 410161 MRI 984

Permit Number: 149999 MRI 827

Permit Number: 150656 MRI 609

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Carla M. Carvalho  
 **CARLA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2015

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Vila Navado Cone I Doc/Reg # 1110463

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Vila Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410590 MRI 700

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_


Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 11<sup>th</sup> day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Sara M. Cavalli  
 **SARA M. CAVALLI, Notary Public**  
My Commission Expires July 9, 2015

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Olivia + Rafaela Doc/Reg # 553777  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Olivia + Rafaela Fishing LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330236 MRI 760

Permit Number: 150090 MRI 961

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MIT DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Diana M. Carvalho  
Notary Public  
My Commission Expires July 9, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *[Signature]*

Owner/Entity Name:

Bay Fuels Inc.

Name of Authorized Representative (print):

VIRGINIA MARTINS

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 215016 MRI 770

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Virginia Martins  
proved to me through satisfactory evidence of identification, which was MIA DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
[Signature]  
\_\_\_\_\_  
Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>Blue Seas II</u>	Doc/Reg #	<u>515716</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Antonio Pereira*

Owner/Entity Name:

P + A Fishing Corp.

Name of Authorized Representative (print):

ANTONIO PEREIRA

Title: President

List all permits including those listed in 4.

Permit Number: 330561 MRI 900

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

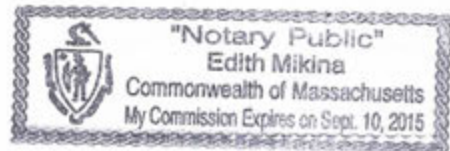
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public: *Edith Mikina*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Neves Doc/Reg # 570715  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_  
Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

João A. Dos Santos

Owner/Entity Name:

Neves + Son Fishing Corp.

Name of Authorized Representative (print):

JOAO A DOS SANTOS

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 330172 MRI 732

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March 202014  
before me, the undersigned notary public, personally appeared  
João A. Dos Santos  
proved to me through satisfactory evidence of identification which were  
to be the person whose name is signed on the preceding attached document who shall  
or affirmed to me that the contents of the document is true and accurate to the best of  
his/her knowledge and belief. M.A. D. License  
Vera M. Carvalho  
VERA M. CARVALHO, Notary Public  
My Commission Expires July 9, 2015



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

List all permits including those listed in 4.

x. 

Permit Number: 150715 MRI 744

Owner/Entity Name:

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

ACCA Fishing Corp.

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Name of Authorized Representative (print):

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

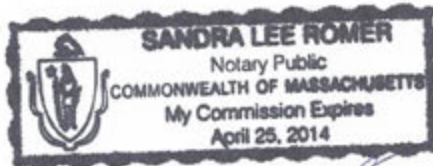
Carlos Alberto

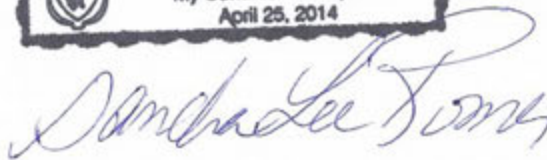
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Title: President

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:





NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name LUSO American I Doc/Reg # 585853

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

List all permits including those listed in 4.

x. 

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Owner/Entity Name:

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Coral Fishing Corp.

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Name of Authorized Representative (print):

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

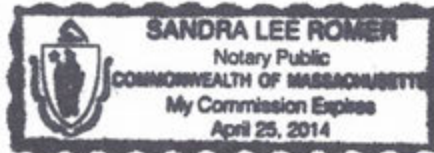
Carlos Alberto

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Title: President

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

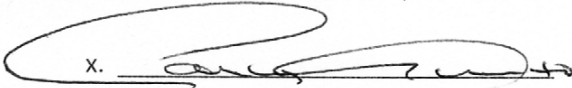


*Sandra Lee Romer*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

Coral Fishing Corp.

Name of Authorized Representative (print):

Carlos Alberto

Title: President

List all permits including those listed in 4.

Permit Number: 410126 MRI 967

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

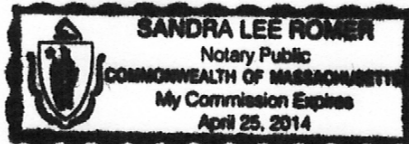
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:



*Sandra Lee Roman*



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

List all permits including those listed in 4.

x. 

Permit Number: 150370 MRI 986

Owner/Entity Name:

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Alcor Fishing Corp.

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Name of Authorized Representative (print):

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

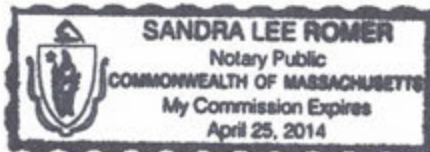
Carlos Alberto

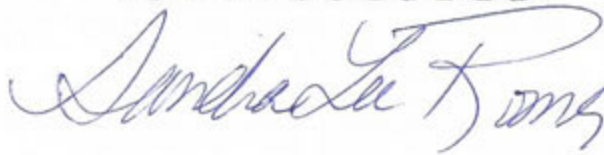
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Title: President

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:





NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Francisco Garcia

Owner/Entity Name:

Sanchez Brothers Corp.

Name of Authorized Representative (print):

Francisco Garcia

Title: Owner

List all permits including those listed in 4.

Permit Number: 330810 MRI 891

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

COMMONWEALTH OF MASSACHUSETTS  
Bristol County S.S. 3-3 2014  
On this 3 day of March, 2014 I certify that the  
attached document is a true, exact, complete and unaltered copy made by  
me of Francisco Garcia (document description/title)  
presented to me by  
Cheryl A. Correia  
CHERYL A. CORREIA, Notary Public  
My Commission expires July 24, 2020

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

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Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Francisco Garcia

Owner/Entity Name:

Blanca Casa <sup>Fishing</sup> Corp.

Name of Authorized Representative (print):

Francisco Garcia

Title: owner

List all permits including those listed in 4.

Permit Number: 410090 MRI 2371

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

COMMONWEALTH OF MASSACHUSETTS  
Bristol County S.S. 3-3, 2014  
On the 3 day of March, 2014, I certify that the  
attached document is a true, exact, complete, and unaltered copy made by  
me of FRANCISCO GARCIA, (document description/title)  
presented to me, by:  
Cheyrl A. Correia  
CHEYRL A. CORREIA, Notary Public  
My Commission Expires July 24, 2020

NORTHEAST FISHERY SECTOR IX  
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4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>Lady Patricia</u>	Doc/Reg #	<u>581831</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

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*Continued on next page*

NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Lady Patricia Inc.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 330200 MRI 750

Permit Number: 151053 MRI 733

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

The Commonwealth of Massachusetts  
On this 4th day of March, 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MP DL  
to be the person whose name is signed on the preceding or attached document who came  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Anna M. Corlito  
Anna M. Corlito, Notary Public  
My Commission Expires July 6, 2015





NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

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1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Southern Crusader II Doc/Reg # 1038917

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

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NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Carlos Rafael

Owner/Entity Name:

Joao Carlos Fishing Corp.

Name of Authorized Representative (print):

Carlos Rafael

Title: owner

List all permits including those listed in 4.

Permit Number: 330904 MRI 856

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4th day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which were MRI DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are true and accurate to the best of  
his/her knowledge and belief.  
Vera M. Carvalho  
**VERA M. CARVALHO, Notary Public**  
My Commission Expires July 9, 2016



NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3 day of March 2014, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS IX Sector Operations Plan and Agreement dated effective as of March 6, 2014 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS IX (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS IX has adopted **February 28, 2014** as the final date in which a NEFS IX Member may withdraw from NEFS IX prior to the start of the 2014 fishing year. A Member who wishes to withdraw from NEFS IX must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Hera II Doc/Reg # 930728

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Fishing Vessel Name \_\_\_\_\_ Doc/Reg # \_\_\_\_\_

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

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NORTHEAST FISHERY SECTOR IX  
FY 2014-2015 SECTOR OPERATIONS PLAN AND AGREEMENT  
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Carlos Rafael*

Owner/Entity Name:

S + S Fishing LLC.

Name of Authorized Representative (print):

Carlos Rafael

Title: President

List all permits including those listed in 4.

Permit Number: 410612 MRI 1143

Permit Number: 320375 MRI 608

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

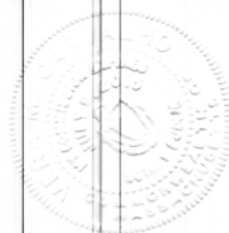
Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Permit Number: \_\_\_\_\_ MRI \_\_\_\_\_

Notary Public:

**The Commonwealth of Massachusetts**  
On this 4<sup>th</sup> day of March 2014  
before me, the undersigned notary public, personally appeared  
Carlos Rafael  
proved to me through satisfactory evidence of identification, which was MRI DL  
to be the person whose name is signed on the preceding or attached document who swore  
or affirmed to me that the contents of the document are truthful and accurate to the best of  
his/her knowledge and belief.  
Yours in Service  
**JOHN M. CARROLL**; Notary Public  
My Commission Expires July 9, 2015



## HARVESTING RULES

### 1.0 QUOTA MANAGEMENT

**ANNUAL CATCH ENTITLEMENT:** The members agree that they will not collectively harvest more than the Sector ACE, as adjusted by transfers, for any allocated groundfish stocks. Furthermore, the members agree that once an annual ACE is reached no member will fish commercially with any fishing gear capable of catching any of the allocated groundfish stocks or other species managed under plan within the applicable area(s): except in those situations where a member is participating in an exempted fishery, or if a plan submitted by the Sector under §648.87(b)(2)(xiv) in this document has been approved by NMFS. The Sector members may resume fishing activities if additional ACE is secured through inter-sector ACE transfer.

**QUOTA MANAGEMENT:** Sector vessels, the dealers to which they are delivering fish and monitors will use a PC based software for collecting data, reporting catch, landings and discards, and reporting catch area information for logbook and stock attribution purposes. The Sector will utilize a quota release program that sets forth overall sector quota (ACE) release targets by species and individual member Harvest Share targets as they relate to the Sector targets. Interim and annual targets will be considered in the development of the Sector's Fishing Plan. The Sector Manager will monitor the trajectories to interim and annual targets for the Sectors ACE's as well as for the individual members Harvest Shares. The Sector expects to utilize ACE Transfers to balance the Sector's ACE during the fishing year to prevent exceeding Sector ACE and to assist Members Harvest Share management.

**RESERVE:** For each stock held by the Sector, the quota release program will utilize an initial target trajectory that is not to exceed 100% of the current quota held by the Sector as adjusted by ACE transfers. The remaining 0 % is the minimum aggregate total of the RESERVE buffer system. The Sector, through their Board, may modify the RESERVE holdback percentages for any or all stocks held by the Sector to prevent under or over harvest of the Sector's ACE.

**SLOWING CATCH:** The quota release program will incorporate a list of thresholds for both Sector ACE and member Harvest Shares, for the purposes of alerting the Sector Manager and members. Thresholds to "Slow Catch", "Initiate Trading" and "Cease Fishing" will be incorporated into the Sector quota monitoring system. Members Harvest Shares are net from the Reserve. Therefore, Harvest Shares trajectories will be set to the Harvest Share. Once 80 % of any Sector ACE is attained, slowing mechanisms such as tiered landing limits that apply differential counting of quota or service fees to each tier in excess of agreed landing limits may be utilized. When such slowing mechanisms are triggered or at any time during the fishing year, the Board may direct the Sector Manager to seek additional ACE through an ACE transfer with other sectors.

**FULL RETENTION OF LEGAL SIZED FISH:** All legal sized fish of allocated stocks harvested during the fishing operations must be retained and counted against the Sector's ACE allocation, unless otherwise exempted.

**DISCARD RATES AND IN-SEASON DISCARD ESTIMATES:** The Sector manager (or his/her designated representative) will derive stock specific discards for each trip. If the trip is

observed by either an at-sea monitor or a Northeast Fisheries Observer Program (NEFOP) observer, discards will be derived based on data collected during that trip and will account for all hauls (observed and unobserved) on that trip. If the trip is not observed, discards will be derived using the NMFS-provided discard rate resulting from the NMFS method to estimate 'in-season' discard rates, which may not include data from research trips or sector trips using certain exemptions. At the time of this submission, a Strawman proposing a different way to derive assumed discards for a sector that takes into account a more accurate representation of the diverse fishing practices of the Sectors has been submitted to the Agency for consideration. If after consultation and collaboration this idea is deemed feasible for the Sector and the Agency, for Fishing Year 2013-2014 this section will be updated accordingly for utilization in FY 2014-2015.

**STOCK AREA DECLARATION:** Prior to leaving port, sector vessels will declare one or more than one of the four Broad Stock Areas (BSA) as identified in Amendment 16 and relating implementing regulations.

**Inshore Gulf of Maine Declaration:** For the purpose of providing the Sector and its Manager with a greater understanding of the fishing patterns conducted by their members, the following reporting requirements have been crafted and adopted by the Sector in collaboration with all Northeast Groundfish Sectors in the region. These provisions afford Sectors an administrative tool to track fishing activity west of the 70:15. The implementation of the following requirements is conditioned on the adoption by all Northeast Groundfish Sectors in their FY 2014 Operations Plans. In the event this provision is not adopted by all Northeast Groundfish Sectors the specifications below will not be implemented by this Sector.

For the purpose of Section 7.1 of the Harvesting Rules, the portion of BSA 1 West of 70:15 to the shoreline north to the Maine Coast and South to Cape Cod would be defined as **Inshore GOM**.

**When an Observer/Monitor is onboard.** The Sector Vessel may declare and fish in all Broad Stock Areas, including the portion of BSA 1 defined as the Inshore GOM defined in this section.

**When an Observer/Monitor is NOT onboard.**

If the Sector Vessel intends to fish West of the 70:15 in the area described in Section 7.1.1 of these Harvesting Rules as the Inshore GOM, at any time during a trip, the vessel must declare BSA 1 only and the Sector Vessel may not conduct any fishing activity outside of the area defined as BSA 1 for the entire trip.

If the vessel declares more than one Broad Stock Area on a trip, the vessel is prohibited from conducting fishing activity West of the 70:15 in the area described in Section 7.1.1 of these Harvesting Rules as the Inshore GOM.

IX, Northeast Fishery Sector Board of Directors reserves the right to remove and/or modify this Inshore GOM Declaration provision at any time during the 2013-2014 Fishing Year.

**CLOSED AREAS:** Participating vessels may fish in closed areas to the extent authorized by NMFS.

**CATCH REPORTS:** All Active Member vessels fishing groundfish will be required to submit complete catch records to the Sector Manager electronically via VMS email or other electronic means prior to entering port to end a trip. Catch reports will include, at a minimum, all data elements of a fully compliant VTR logbook record/s. The Sector Manager may modify, at his/her discretion, the frequency of reporting transmissions to meet programmatic needs, such as, but not limited to, participation in a SAP, or internal quota management requirements. In the event a Member vessel is unable to submit his catch records electronically, the Member, will have no more than twenty-four (24) hours to provide such reports to the Sector Manager, upon completion of vessel offload.

**VESSELS FISHING MULTIPLE STOCK AREAS:** If a vessel declares into multiple stock areas the vessel will complete a catch report each time the vessel changes areas.

**FISHING IN US/CA AREAS:** When fishing in the US/CA area, a sector vessel that fishes in more than one US/CA area or more than one of the four stock areas will complete a catch report each time the vessel changes areas. Sector vessels will track their Eastern US/CA sub-ACE for Cod and Haddock separately while fishing in the Eastern Area. Sector vessels may fish in all US/CA areas as well as Open areas in the same trip. In addition to VMS declaration requirements, the vessel will declare the stock areas (of the Four A16 reporting areas) intended to be fished prior to starting a trip.

**VESSEL LOGBOOKS (VTRs/e-VTR):** All sector members will comply with applicable reporting requirements including submission of Vessel Trip Reports (VTRs). If Electronic Vessel Trip Reports (e-VTRs) are approved by the Regional Administrator, Sector Members will submit e-VTRs in the format required by NERO. Sector Members will execute all documents necessary to meet legal requirements for the purpose of facilitating e-VTR service.

**WEEKLY/DAILY REPORTS:** The Sector Manager, or his/her designated representative, will submit weekly or daily Sector Reports of all landings and discards by sector vessels, to NMFS. The Sector will submit required reports, using the format and procedures prescribed by NMFS. The reports required by NMFS are the *Sector Manager ACE Status Report*, *Sector Manager Detailed Report*, and *Sector Manager Trip Issue Report* as codified in §648.87(b)(1)(vi)(B). Specifically, the *Sector Manager Detailed Report* provides information down to the sub-trip level about each sector trip for a given week, regardless of completeness of the data. The information includes stock, gear, mesh categories, landing amounts, discards and total catch. The *Sector Manager Trip Issue Report* provides information about the sector trips for a given week that have enforcement or other issues. The *Trip Issue Report* allows the sector to briefly describe to NMFS any enforcement or reporting compliance issues, violations of the Sectors operations plan and regulation, and general problems with monitoring or sector operations during the reporting period. One *Trip Issue Report* is submitted per reporting period. The *Sector Manager ACE Status Report* documents the ACE status calculations, which allows NMFS to cross

check totals as stipulated in Amendment 16. In the event that the Sector triggers daily reporting for a specific allocated stock, the *Sector Manager Daily ACE Status Report* provides the mean for a sector manager to report their Sectors ACE status calculations on a daily basis if the “trigger point” i.e. thresholds specified in 14.1 have been reached during the current Fishing Year. These reports are cumulative in nature from the start of the fishing year until the current reporting week and are adjusted retroactively as data becomes available or issues documented in JIRA are resolved. JIRA is an issue tracking application implemented by NMFS, which should be used to report all data quality issues to the appropriate people for research and correction process.

**REPORTING THRESHOLDS THAT TRIGGER DAILY REPORTING:** The reporting frequency for the sector manager’s ACE Status Report will be increased to daily when 90% of any of the sector’s ACEs is reached. The Sector Manager, or a designated representative, must notify NMFS immediately by email if the threshold that triggers daily reporting has been reached. During the period when a sector has reached or exceeded 90% of any of its ACEs, a daily ACE Status Report must be submitted only on a day when a member vessel lands, or when the sector engages in an ACE transfer of a stock that is exceeding the 90% threshold. An alternative threshold for triggering daily reporting may be implemented during FY 2014 if agreed upon by the sector and NMFS.

**ENFORCEMENT ISSUES:** The Members acknowledge that the Sector Manager *must* include any enforcement or reporting compliance issues, including violations of Operations Plan (excluding those sections identified as administrative provisions in this document); violations of regulations, or general problems with monitoring or sectors operations in their *Trip Issue Report* which is submitted to NMFS weekly.

**TRIP HAIL:** Sector vessels will comply with any Hail requirements established by the Sector and/or Agency.

**METHOD OF TRANSMISSION HAILS:** The sector vessels will be transmitting HAILS (Trip Start and Trip End) electronically via the email messaging component of their VMS units. All data necessary to the requirements will be sent in compressed formats to minimize characters and maximize message capacity directly to the Sector’s Server which will collect, store, convert and relay all data elements necessary to meet various requirements. The Sector will relay required HAILS (Trip State and Trip End) to NMFS, immediately upon receipt. In the event that the primary system is unavailable, Sector Vessels will utilize a backup system, including but not limited to, direct cell phone or radio transmission between the vessel and NMFS OR a relay through the Sector Manager.

**TRIP START HAIL:** Prior to leaving port on a trip in which the catch of allocated stocks will count against the Sectors ACE i.e. a sector trip, each Active Vessel must notify their Sector Manager that the vessel is departing on a sector trip by completing a Trip Start Hail. The Trip Start Hail (“TSH”) must include:

- Vessel permit number
- Vessel Trip Report (VTR) serial number
- Whether an Observer (NEFOP) or At-Sea Monitor (ASM) is onboard



- Landing State (abbreviation)
- Landing Port City
- Estimated time and Date of arrival in port
- Estimated time and date of offloading (required only for trips less than 6 hours in duration or if fishing within 6 hours of the offload port)
- Comments (as directed by the Sector Manager)

**TSH FOR TRIPS LESS THAN SIX HOURS OR OCCURRING WITHIN SIX HOURS OF PORT:** For trips less than six hours in length or occurring within six hours of port, the estimated time of arrival to port, offload location and estimated offload time will be provided in the Trip Start Hail (TSH). The Trip End Hail (TEH) will be sent upon completion of the last tow with required updated information. An alternative timing for the TEH may be implemented during FY 2014 if agreed upon by the Sector, and NMFS.

**TSH FOR TRIPS LESS THAN SIX HOURS OR OCCURRING WITHIN SIX HOURS OF PORT:** For trips less than six hours in length or occurring within six hours of port, the estimated time of arrival to port, offload location and estimated offload time will be provided in the Trip Start Hail (TSH). The Trip End Hail (TEH) will be sent upon completion of the last tow with required updated information. An alternative timing for the TEH may be implemented during FY 2014 if agreed upon by the Sector, and NMFS.

**TRIP END HAIL:** The trip-end hail report must be submitted at least 6 hours in advance of landing for all trips at least 6 hours in duration or occurring more than 6 hours from port. For shorter trips, the trip-end hail reports must be submitted within sufficient in consultation with NMFS Office of Law Enforcement. An alternative timing for the trip end hail may be implemented during FY 2014 if agreed upon by the sector, the monitoring provider, and NMFS. The trip end hail must include the following:

- Vessel permit number
- Vessel Trip Report (VTR) serial number
- First landing state (abbreviation)
- First landing port city
- Dealer/Offload Location
- Estimated time and date of arrival
- Estimated time and date of offload
- Second offload state (abbreviation)
- Second offload port city
- Total groundfish kept in pounds
- Total non-groundfish kept in pounds
- Comments (as directed by the Sector Manager)

## 2.0 ADMINISTRATIVE

**DATA MANAGEMENT:** The sector vessels will be transmitting catch data electronically via the email messaging component of their VMS units. All data necessary for sector ACE management, including all elements of VTR logbook and daily / weekly reporting requirements will be sent in compressed formats to minimize characters and maximize message capacity. Notwithstanding reporting requirements that cannot be altered by a sectors operations plan, the **Sector's server** will be capable of collecting, storing, converting and relay all data elements necessary to meet all reporting requirements in the formats required by the recipients

The Sector, acting through its Manager, will maintain database(s) of vessel trip reports (VTR), dealer, At Sea (ASM), and NEFOP Observer reports. In addition, the Sector will maintain any other database it determines necessary for its operations.

1. **PROOF OF SECTOR MEMBERSHIP:** Upon approval of the Sector, each sector vessel will be issued a Letter of Authorization ("LOA"), which will specify the exemptions that have been approved for the Sector. Each Member agrees that its sector vessels **must** comply with all requirements stipulated in the LOA and all applicable federal regulations and laws not specifically exempted in the LOA.

Furthermore, Member agrees that its sector vessels shall maintain the LOA, and a copy of the Sector Agreement and Harvesting Rules on-board at all times while fishing on a 'sector-trip'.

**ANNUAL REPORT:** Within sixty (60) days of the end of the fishing year the Sector Manager will submit an annual report to NMFS and the Council that summarizes: fishing activities of Members, including harvest levels of all species by sector vessels (landings and discards by gear types); enforcement actions; and any other relevant information required to evaluate the performance of the Sector. In addition, the Annual Report will report the number of sector vessels that fished for regulated groundfish and their permit numbers (when such disclosure does not violate protection of confidentiality); number of vessels that fished for other species; method used to estimate discards; landing port used by sector vessels while landing groundfish; and any other additional information requested by the Regional Administrator for inclusion in the Annual Report. The Sector will submit required reports using the format and procedures prescribed by NMFS.

**SECTOR UNDERSTANDING AND ACKNOWLEDGMENTS:** Sector Members understand and acknowledge that the following provisions have been interpreted by NMFS as applicable to all operating sectors. Sector Members acknowledge this applicability and where appropriate utilize these universal interpretations within their sector management and operations:

**INTRA-SECTOR DAYS AT SEA (DAS) LEASING:** Days at Sea may be leased intra-sector (between members) within the guidelines and procedures contained in the FMP and as amended by Amendment 16. The Sector would accept any future relief in the length and horsepower constraints of the program that may be authorized by the RA in the future.

**INTER-SECTOR DAYS AT SEA (DAS) LEASING:** Members who wish to lease Days-at-Sea (DAS) outside of the Sector are authorized under this provision to do so, only with Members of other Sectors whom are similarly exempt. Members acknowledge that such

DAS leasing would not be exempted from existing length and horsepower constraints as currently contained in applicable regulations.

**PAPER VTR:** Members acknowledge that they are bound to all applicable reporting requirements. Sector vessels shall continue using paper VTRs for FY 2014, as required by regulations. However, electronic vessel trip reporting (e-VTR) systems for transmission and submission of required VTR reports have been authorized by the Regional Administrator using a phased implementation process. The Sector and its Members may utilize e-VTR in accordance with the phased implementation process.

**ADDITIONAL EXEMPTIONS:** Members note that NMFS is generating one Environmental Assessment for all sectors seeking authorization for Fishing Year 2014, and that NMFS communication has stated that if an exemption is approved for one Sector, all other authorized Sectors can be similarly approved for that specific exemption based on the terms and conditions of the originally requesting sector. In light of this understanding, NEFS IX will request authorization for such exemptions it deems beneficial for its operations, prior to the publication of the final authorizing rule.

**DAYS-AT-SEA:** Each participating permit and participating vessel will be allocated Days-At-Sea (DAS) by the Regional Administrator. Sector Member permits will not be subject to the DAS reduction in Amendment 16 for common pool vessels. Members will be required to use a DAS, as specified in controlling FMPs, when conducting fishing operations that are not exempted from DAS usage, for example, when fishing under a monkfish DAS.

**SECTOR SPECIFIC EXEMPTIONS:** As referenced in §4.0 of this Agreement all Sectors are granted the following Universal Exemptions.

- All Groundfish DAS restrictions
- Trip limits on allocated stocks
- Seasonal Closed Areas (currently Georges Bank seasonal closure in May)
- Additional mortality controls adopted by Amendment 16, including additional seasonal or year-round closures, gear requirements, DAS reductions, differential DAS counting, and/or restricted gear areas.
- Requirement to use 6.5 inch mesh in the codend in haddock separator trawl/Ruhle tr4awl when targeting haddock in Georges Bank Regulated Mesh Area (i.e. authorization to use 6-inch mesh in the codend).
- Gulf of Maine Rolling Closures, except for:
  - April – Blocks 124, 125, 132, 133
  - May – Blocks 132, 133, 138, 138,139,140
  - June – Blocks 139, 140, 145, 146, 147, 152Unless authorized as a sector specific exemption.

In addition to the Universal Exemptions granted to all Sectors, as referenced above and in §4.0 of this Agreement, Members agree to abide by the following obligations as Authorized in their LOA, in order to utilize these Sector Specific Exemptions:

- (1) 20 Day Spawning Block:

- (2) Length and horsepower restrictions of the DAS leasing program.
- (3) Daily Catch Reporting by Sector Manager for Vessels Participating in the CA I Hook Gear Haddock SAP
- (4) Trawl Gear requirements in the U.S./Canada Management Area
- (5) Requirement to maintain VMS powered while at dock. Vessel acknowledges that this exemption only applies to NE Multispecies requirements. A vessel with other permits requiring constant reporting **may not** use this exemption
- (6) Prohibition on Fishing Inside and Outside the CA I Hook Gear Haddock SAP while on the Same Trip
- (7) The 6.5" minimum mesh size restriction for trawl gear specified at § 648.80(a)(4)(i) when using a codend with at least 6" minimum mesh size on Directed Redfish Trips, as identified during the vessels trip declaration, when either a NEFOP or ASM is onboard.
- (8) Seasonal restrictions of the E. US/Canada Haddock SAP.
- (9) Seasonal restrictions of the CA II Yellowtail Flounder/Haddock SAP.
- (10) Requirement to declare intent to fish in Eastern US/CA Area prior to leaving dock
- (11) Exemption from current mortality closures in order to have year round or partial access to areas including but not limited to
  - 11.1 Fippennies & Cashes Ledge
  - 11.2 Closed Area I
  - 11.3 Closed Area II
  - 11.4 The Western Gulf of Maine Closure.
  - 11.5 Nantucket Lightship

**SAFE HARBOR PROTOCOL:** To promote safety at sea, the Sector sets forth the following protocol for variance from the landing ports listed. If for reasons beyond a vessel operators control such as severe weather, mechanical failures, compromised hull integrity, instances of pump failures and danger of sinking, crew injury or life threatening illness and any other emergency situations that may arise, a sector vessel may enter a port other than those listed as "Landing Ports" to ensure the safety of the vessel and its crew. In the event that a Sector Vessel must utilize this safe harbor protocol, they must notify their Manager and NMFS OLE of when and where they had to seek safe harbor within 6 hours of this entering the port.

### **3.0 GEAR REQUIREMENTS**

- Trawl Gear requirements in the U.S./Canada Management Area
- 6.5-inch minimum mesh size requirement for Trawl nets.

### **4.0 MONITORING (AT-SEA)**

**OFFLOADING PORTS:** The following list represents those ports where sector vessels are authorized to offload. Additionally, sector vessels are authorized to land fish to trucks within these same locations.

Primary Port(s) of Landing	Secondary Port(s) of Landing
Massachusetts: New Bedford	Massachusetts: Gloucester, Provincetown, Nantucket. Maine: Portland Rhode Island: Point Judith

**SAFE HARBOR PROTOCOL:** To promote safety at sea, the Sector sets forth the following protocol for variance from the landing ports listed. If for reasons beyond a vessel operators control such as severe weather, mechanical failures, compromised hull integrity, instances of pump failures and danger of sinking, crew injury or life threatening illness and any other emergency situations that may arise, a sector vessel may enter a port other than those listed as “Landing Ports” to ensure the safety of the vessel and its crew. In the event that a Sector Vessel must utilize this safe harbor protocol, they must notify their Manager and NMFS OLE of when and where they had to seek safe harbor within 6 hours of this entering the port.

**MONITORING:** The Sector is proposing their preferred At-Sea Monitoring (ASM) Program as well as a Secondary Program for consideration by NMFS. It is the Sectors hope that the Agency will work collaboratively with the Sector over the fall and winter to resolve any and all concerns the Agency may have with these programs. In the event that the Sectors designed preferred and secondary ASM program is not approved by NMFS the Sector will use the NMFS designed ASM Program as documented in FY 2014 NMFS ASM Addendum.

**USE OF MONITORING SERVICES.** The Members acknowledge that for the Sector to function efficiently, it is essential that the Active Members conduct their fishing operations such that at-sea monitoring service costs are kept as low as commercially practical amount. The Active Members therefore agree to provide accurate landing time projections, to make landings expeditiously, and to choose landing locations based in part on the efficiency and responsiveness of the buyer receiving catch harvested under the Sector’s ACE. Active Members who fail to comply with the provision of this Section may be assessed the excess cost of monitoring resulting from their failure to do so. Furthermore, the Sector BOD, may opt from time to time to modify provisions such as authorized landing ports in order to ensure that the cost associated with these required programs do not become cost prohibitive.

**COVERAGE RATES:** NEFS IX will deploy at-sea monitors in a way to achieve coverage of X% of trips that is random and representative of the fishing activities of the sector. **The coverage rate for FY 2014 has not been specified by NMFS at this time.** A monitored trip must be a sector trip, including those taken in which a NE multispecies day-at-sea is used to target other fisheries such as monkfish or skates, unless exempted by NMFS.

**ADDITIONAL COVERAGE:** In addition to ensuring that the coverage rates specified by NMFS are met by the ASM program the Sector may from time to time opt to have additional coverage in order to fully utilize specific approved exemptions or to address specific needs of the Sector.

**PREFERRED AT SEA MONITORING PROGRAM:** The Sector acknowledges that they have been informed that the current goals and objectives of At-Sea Monitoring (“ASM”) as codified by NMFS in 50 C.F.R 648.11(1) are:

Goal	Objectives
Improving Documentation of Catch	<ul style="list-style-type: none"> <li>• Determine total catch and effort (for all sectors and the common pool) as accurately as possible. Leads to better understanding of how well the target or regulated species are faring.</li> <li>• Determine how much observer coverage is needed in order to minimize effects of potential "monitoring bias."</li> <li>• Maintain monitoring program flexibility in order to improve fleet viability.</li> </ul>
Reducing Monitoring Costs	<ul style="list-style-type: none"> <li>• Streamline data management operations and eliminate redundancies.</li> <li>• Explore options for cost-sharing with and deferment of cost to industry</li> <li>• Recognize the opportunity costs of insufficient monitoring.</li> </ul>
Reducing Discards	<ul style="list-style-type: none"> <li>• Determine discard rate by using the smallest possible strata while simultaneously maintaining cost-effectiveness.</li> <li>• Collect information by gear type in order to accurately calculate discard rates.</li> </ul>
Getting More Data Sources to Better Assess Stocks	<ul style="list-style-type: none"> <li>• Reduce management and/or biological uncertainty.</li> <li>• Perform biological sampling. That is, perform sampling if it can be used to improve the accuracy of mortality or recruitment calculations.</li> </ul>
Improving Safety of Monitoring Program	<ul style="list-style-type: none"> <li>• Improve the safety of the ASM program as necessary.</li> </ul>
Performing Periodic Review Of Monitoring Program to Assess Effectiveness	<ul style="list-style-type: none"> <li>• Periodically review the performance of the ASM program to ensure it is meeting these goals and objectives.</li> </ul>

For FY 2014 the Sector intends to meet these identified goals and objectives by Implementing the following Program:

**ACE Utilization & Application of Assumed Discard Rate:** The Sector, working with the Agency prior to the start of FY 2014, will determine a fixed assumed discard rate for all allocated stocks based on gear, mesh size, area fished and stock strata. This fixed assumed discard rate will be determined utilizing both the discard rates computed during FY 2010, FY 2011, FY 2012 and FY 2013 for the Sector, as well as any appropriate ASM/NEFOP data collected during these same three years. Additionally, the Sector will work with the Agency to determine an appropriate standard deviation that if exceed will trigger modification to fixed discard rate.

Specification of ASM Coverage Rate: NMFS states that they are required under regulations codified at 50 C.F.R 648.87(b)(1)(v)(B)(3)(ii) to specify coverage levels for At-Sea Monitoring. However, nowhere in this regulation does it require that NMFS establish the same coverage levels for every sector. This Sector believes that NMFS can satisfy this regulatory requirement by specifying sector specific coverage levels based on this proposed program.

Pre-Trip Notification: Sector Vessels will continue to comply with the 48 hour pre-trip notification System (PTNS) for deployment of NEFOP Observers. Acceptable notification methods are internet, phone or email.

In the event that some level of coverage above and beyond the NEFOP level is needed to adequately verify the Sectors Fixed Discard Rate Program, the provisions in HR 24 SECONDARY AT-SEA MONITORING PROGRAM IN THE EVENT NMFS REJECTS THE SECTORS PREFERRED PROGRAM OR IF IT PROVES TO BE INAPPROPRIATE BY THE SECTOR will be utilized

Verification of Fixed Assumed Discard Rate: The Sector, will utilize data collected by the Observers deployed under the NEFOP Program to verify on a quarterly basis that the fixed discard rate being applied parallels with observations being collected under this program. In the event, that the fixed discard rate being applied exceeds the standard deviation established prior to the start of the year, the Sector will:

Modify the subsequent quarter's assumed rate; proportionally, or

Modify the current quarter's assumed fixed rate to that of the NEFOP observed rate, or

Modification based on a method developed and agreed upon NMFS and Sector.

**SECONDARY AT-SEA MONITORING PROGRAM IN THE EVENT NMFS REJECTS THE SECTORS PREFERRED PROGRAM OR IF IT PROVES TO BE INAPPROPRIATE BY THE SECTOR.** The Sector plans on working collaboratively with certified At-Sea Monitoring Provider(s) ("Provider") to ensure that implementation of the at-sea monitoring program adheres to applicable NMFS requirements, as well as any internal needs that the Sector deems necessary.

**RANDOMIZES SELECTION OF COVERAGE PROCESS:** The Sector will work with their ASM Provider(s) to develop and deploy a randomized selection process that is fair and equitable, distributed in a statistically random manner and representative of the fishing activities and operations of all vessels within the Sector throughout the fishing year. The ASM Provider(s) will determine whether a trip is subject to ASM based on this randomized selection process.

**DATA COLLECTION & AT-SEA MONITORS:** The Service Provider must ensure that all At-Sea

Monitors are trained and equipped in accordance with NEFSC/NMFS standards. At-Sea Monitors ("ASM") primary responsibility is to collect accurate actual weights on the discard portion of the catch, as well as accounting for all catch (kept and discarded) on each tow/haul. Data collected by the ASM will be used to quantify the discards that occur on that trip. This data will also be used to estimate the discards that occur by sector vessel trips that were not selected to take an ASM. The ASM will be responsible for describing various aspects of the gear(s) and recording the catch compensation and corresponding weights on a haul by haul basis. The specific data fields to be observed and methods used to collect the data are detailed in the training and published in an At-Sea Monitoring Manual by NMFS. Any additional data collection requests or procedures not directly related to the purpose of this program i.e. catch verification and discard information must be agreed upon by the Sector and the Provider(s) prior to implementation. All data must be reported electronically in a standard acceptable form from the At-Sea Monitor to the Sector and NMFS within 48 hours of completion of the trip. The Sector notes that for FY 2013, NMFS via the NEFSC will be reviewing data submitted by ASM for quality assurance and will be computing and producing both the assumed discard rates and observed discard data for the Sector to use in its reports as accessible on SIMMs. Neither the Sector, nor the Individual Members authorize NMFS to utilize data collected by ASM for any other purpose than as specified in this Section. In the event, NMFS wishes to utilize data they are provided for quality assurance and computation of observed and assumed discards for any other purpose they must obtain written authorization from the Sector and/or Members prior to any additional use occurring. In addition to the specific data fields and data collection methods specified by the NMFS At-Sea Monitoring Manual and training; the Sector may from time to time working with their contracted Service Provider request additional data be collected. This data if collected will be transmitted to the Sector within 48 hours upon completion of the trip in a manner agreed upon between the Sector and their Service Provider.

**VESSEL OPERATIONS:**

**PRE-TRIP NOTIFICATION:** Sector Vessels will continue to comply with the 48 hour pre-trip notification System (PTNS) for deployment of NEFOP Observers. Acceptable notification methods are internet, phone or email.

**AT-SEA MONITOR SELECTION PROCESS:** A determination will be made **immediately** after completing a Pre-Trip Notification whether the trip in question has been preliminarily selected for a NEFOP Observer. As explained by Northeast Fishery Observer Program Staff, the PTNS immediately determines whether a trip has been preliminarily selected for NEFOP coverage. If a trip is preliminarily selected, NEFOP Providers have twelve hours to determine whether they can deploy a NEFOP observer. Therefore, if the PTNS immediately determines that the trip is not preliminarily selected for a NEFOP Observer, the PTNS notification and all corresponding details will **immediately** be forwarded to the Sectors ASM Provider(s). If the trip in question is preliminarily selected for a NEFOP Observer, the NEFOP Program protocol will be followed and the Vessel will be notified in accordance to the process of this program. Additionally, the ASM Provider(s) will be notified **immediately** of this preliminary selection for NEFOP coverage and a waiver from ASM will be provided.

ASM Provider(s) shall be provided with a full list of all pre-trip notifications.



## **NOTIFICATION OF SELECTION/WAIVER FROM ASM:**

### **Trips Not Selected for Coverage:**

1. **Trip Boats:** A Vessel that has completed their pre-trip notification for trips which will be 48 hours or longer will be notified **immediately** upon completing their pre-trip notification, if not preliminarily selected for a NEFOP Observer, whether the trip has been preliminarily selected for an At-Sea Monitor. If the trip has been selected for an ASM the Vessel will work with the Provider(s) on all details pertaining to the trip and may set sail at any time as long as an ASM is onboard or a subsequent waiver has been granted. If the trip has not been preliminarily selected for an ASM the vessel may set sail at any time up to the estimated departure date and time provided in their pre-trip notification.
2. **Day-Boats:** Vessels that have completed their pre-trip notification for trips less than 48 hours, with potential sale dates up to 9 days in advance will be notified 24 hours in advance of sailing if a NEFOP or At-Sea Monitor will be onboard. Upon notification that neither a NEFOP nor At-Sea Monitor will be assigned, the vessel may set sail at any time up to the estimated departure time provided in their pre-trip notification for the trip occurring within 24 hours of notification.

**Trips Selected for Coverage:** If a vessel is selected for coverage, they will be notified by either a NEFOP service provider or their Sectors ASM Provider no later than 24 hours of receiving notification.

1. **NEFOP:** NEFOP Observers take precedence over all other monitors. If the trip is selected for a NEFOP observer, the vessel shall follow all NEFOP protocols and requirements.
2. **At-Sea Monitoring:** If the trip is selected for coverage under the ASM Program, Vessels shall follow all appropriate Sector ASM Program protocols and processes as outlined in this section. Sector Vessels selected for ASM coverage are not allowed to set sail until the ASM arrives and is onboard or a waiver is granted by the ASM Provider(s).
3. **Delays:** If a vessel must delay a multi-day trip sail date, and has been selected for an ASM, the vessel must notify their ASM Provider(s) & Sector Manager immediately. Trips greater than 48 hours, may delay their sail date/time up to 48 hours from the estimated sail date and time provided in their Pre-Trip notification, provided that an ASM is still available to sail on that trip or a waiver is granted.
4. **Cancellations:** If a Sector Vessel is selected for ASM Coverage and must cancel their trip, the Vessel will be automatically selected for ASM Coverage on their next notified trip, or the next time an ASM is available for coverage.
5. **Notification of Delays or Cancellations:** The Sectors ASM Provider(s) will notify the PTNS coordinator & the Sector Manager of any trip delays, cancellations, or waivers within 24 hours via email within 24 hours of such notification.

Cancellations of Trips where an ASM is already present at Port of Sail: In the event a Sector Vessel which was selected for ASM cancels the trip and the ASM is already at the specified location ready to sail the ASM may board another Vessel in this Sector, regardless of Waivers already provided, that is departing from the port on a Sector trip. The ASM will notify its employer immediately, and the Service Provider will notify the PTNS Coordinator and Sector Manager of the change in vessel coverage.

**AT-SEA MONITORING OPERATIONAL STANDARDS:**

Safety Requirements: Prior to setting sail the Operator of the Sector Vessel shall detail and identify any vessel safety operating procedures and other important information to the assigned ASM. The Sector Member acknowledges that an ASM must complete a pre-trip vessel safety checklist as provided by NMFS prior to leaving port. An ASM cannot be deployed on a vessel that has failed to review the safety issues, and such vessel is prohibited from leaving port without the ASM on board (unless a waiver is granted). For the safety of the vessels captain, crew and the ASM; the ASM will not be allowed on deck any time that gear is being deployed.

The Sector and its Members note that each ASM must be provided with all the equipment specified by the NEFOP. It is the responsibility of the individual ASM and its employer to ensure that all equipment is in good working order and brought to the vessel at the agreed upon time prior to sailing.

**WAIVERS:**

Late At-Sea Monitor: In the event that an ASM fails to arrive at the scheduled sail time and/or place; the Vessel Captain must call their Providers Program Manager, prior to setting sail. The Providers Program Manager will verify that proper trip information was reported. Additionally, the Program Manager may issue a verbal waiver to the Captain relieving the vessel of its ASM obligation for the trip in question. Immediately upon issuing a verbal waiver the Program Manager must notify the Sector Manager, PTNS Coordinator and the Vessel (if requested) in writing that the trip was granted a waiver due to a later ASM.

Late NEFOP Observer: In the event that a NEFOP Observer fails to arrive at the scheduled sail time and/or place; the Vessel my contact the PTNS Coordinator to obtain a waiver prior to sailing.

**ELECTRONIC MONITORING** The Sector reserves the right to modify/update their At-Sea Monitoring Program in the event that Electronic Monitoring (EM) is approved by the Agency during the fishing year upon the discretion of the Sectors Board of Directors. Upon approval by the Agency and subsequently the Sectors Board of Directors, EM may be adopted by some or all Sector Vessels in accordance with EM standards.



**The Commonwealth of Massachusetts**  
**William Francis Galvin**

Secretary of the Commonwealth, Corporations Division  
 One Ashburton Place, 17th floor  
 Boston, MA 02108-1512  
 Telephone: (617) 727-9640

**ALCOR FISHING CORP. Summary Screen**



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**The exact name of the Domestic Profit Corporation:** ALCOR FISHING CORP.

**Entity Type:** Domestic Profit Corporation

**Identification Number:** 262121165

**Date of Organization in Massachusetts:** 02/25/2008

**Current Fiscal Month / Day:** 12 / 31

**The location of its principal office:**

No. and Street: 84 FRONT STREET  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**If the business entity is organized wholly to do business outside Massachusetts, the location of that office:**

No. and Street:  
 City or Town: State: Zip: Country:

**Name and address of the Registered Agent:**

Name: JOSE CORDEIRO  
 No. and Street: 84 FRONT STREET  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**The officers and all of the directors of the corporation:**

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	CARLOS ALBERTO	341 BONITO STREET NEW BEDFORD, MA 02744 USA	
TREASURER	KRIS CORDEIRO	37 SUFFOLK DRIVE DARTMOUTH, MA 02747 USA	
SECRETARY	AMY ARAUJO	5 DEANE STREET FAIRHAVEN, MA 02719 USA	
DIRECTOR	CARLOS ALBERTO	341 BONITO STREET NEW BEDFORD, MA 02744 USA	
DIRECTOR	KRIS CORDEIRO	37 SUFFOLK DRIVE	

		DARTMOUTH, MA 02747 USA	
DIRECTOR	AMY ARAUJO	5 DEANE STREET FAIRHAVEN, MA 02719 USA	
DIRECTOR	JOSE CORDEIRO	10 RED MAPLE RUN N. DARTMOUTH, MA 02747 USA	

business entity stock is publicly traded:

The total number of shares and par value, if any, of each class of stock which the business entity is authorized to issue:

Class of Stock	Par Value Per Share Enter 0 if no Par	Total Authorized by Articles of Organization or Amendments		Total Issued and Outstanding Num of Shares
		Num of Shares	Total Par Value	
CNP	\$0.00000	20,000	\$0.00	200

Consent    
  Manufacturer    
  Confidential Data    
  Does Not Require Annual Report  
 Partnership    
 Resident Agent    
 For Profit    
 Merger Allowed

Select a type of filing from below to view this business entity filings:

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- Articles of Amendment
- Articles of Charter Surrender

**Comments**



**The Commonwealth of Massachusetts**  
**William Francis Galvin**

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**OLIVIA & RAFAELA FISHING, LLC Summary Screen**



Help with this form

Request a Certificate

**The exact name of the Domestic Limited Liability Company (LLC):** OLIVIA & RAFAELA FISHING, LLC

**Entity Type:** Domestic Limited Liability Company (LLC)

**Identification Number:** 743213514

**Old Federal Employer Identification Number (Old FEIN):** 000950683

**Date of Organization in Massachusetts:** 05/02/2007

**The location of its principal office:**

No. and Street: 84 FRONT ST.  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**If the business entity is organized wholly to do business outside Massachusetts, the location of that office:**

No. and Street:  
 City or Town: State: Zip: Country:

**The name and address of the Resident Agent:**

Name: CARLOS RAFAEL  
 No. and Street: 84 FRONT ST.  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**The name and business address of each manager:**

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
MANAGER	CARLOS RAFAEL	84 FRONT ST. NEW BEDFORD, MA 02740 USA

**The name and business address of the person in addition to the manager, who is authorized to execute documents to be filed with the Corporations Division.**

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code

**The name and business address of the person(s) authorized to execute, acknowledge, deliver and record any recordable instrument purporting to affect an interest in real property**

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
REAL PROPERTY	CARLOS RAFAEL	84 FRONT ST. NEW BEDFORD, MA 02740 USA

- Consent    
  Manufacturer    
  Confidential Data    
  Does Not Require Annual Report  
 Partnership    
  Resident Agent    
  For Profit    
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**Select a type of filing from below to view this business entity filings:**

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- Annual Report
- Annual Report - Professional
- Articles of Entity Conversion
- Certificate of Amendment
- Certificate of Cancellation

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 Boston, MA 02108-1512  
 Telephone: (617) 727-9640

**PREDATOR FISHERIES, INC. Summary Screen**



Help with this form

Request a Certificate

**The exact name of the Domestic Profit Corporation:** PREDATOR FISHERIES, INC.

**Entity Type:** Domestic Profit Corporation

**Identification Number:** 043255615

**Old Federal Employer Identification Number (Old FEIN):** 000485562

**Date of Organization in Massachusetts:** 01/01/1995

**Current Fiscal Month / Day:** 12 / 31

**Previous Fiscal Month / Day:** 00 / 00

**The location of its principal office:**

No. and Street: 113 MACARTHUR DR.  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**If the business entity is organized wholly to do business outside Massachusetts, the location of that office:**

No. and Street:  
 City or Town: State: Zip: Country:

**Name and address of the Registered Agent:**

Name: ANTONIO M PACHECO  
 No. and Street: 113 MACARTHUR DRIVE  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**The officers and all of the directors of the corporation:**

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	ANTONIO M PACHECO	6 BOXWOOD LANE NO DARTMOUTH, MA 02747 USA	
TREASURER	ANTONIO M PACHECO	6 BOXWOOD LANE NO DARTMOUTH, MA 02747 USA	
SECRETARY	ANTONIO M PACHECO	6 BOXWOOD LANE NO DARTMOUTH, MA 02747 USA	
DIRECTOR	ANTONIO M PACHECO	6 BOXWOOD LANE NO DARTMOUTH, MA 02747 USA	

business entity stock is publicly traded:

The total number of shares and par value, if any, of each class of stock which the business entity is authorized to issue:

Class of Stock	Par Value Per Share Enter 0 if no Par	Total Authorized by Articles of Organization or Amendments		Total Issued and Outstanding Num of Shares
		Num of Shares	Total Par Value	
CNP	\$0.00000	100	\$0.00	100

Consent     
  Manufacturer     
  Confidential Data     
  Does Not Require Annual Report  
 Partnership     
  Resident Agent     
  For Profit     
  Merger Allowed

Select a type of filing from below to view this business entity filings:

- ALL FILINGS
- Administrative Dissolution
- Annual Report
- Application For Revival
- Articles of Amendment
- Articles of Charter Surrender

**Comments**





**The Commonwealth of Massachusetts**  
**William Francis Galvin**

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 One Ashburton Place, 17th floor  
 Boston, MA 02108-1512  
 Telephone: (617) 727-9640

**IX NORTHEAST FISHERY SECTOR, INC. Summary Screen**



Help with this form

Request a Certificate

**The exact name of the Nonprofit Corporation:** IX NORTHEAST FISHERY SECTOR, INC.

**Entity Type:** Nonprofit Corporation

**Identification Number:** 001003692

**Date of Organization in Massachusetts:** 05/26/2009

**Current Fiscal Month / Day:** 12 / 31

**Previous Fiscal Month / Day:** 12 / 31

**The location of its principal office in Massachusetts:**

No. and Street: 350 SOUTH FRONT STREET  
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

**If the business entity is organized wholly to do business outside Massachusetts, the location of that office:**

No. and Street:  
 City or Town: State: Zip: Country:

**The name and address of the Resident Agent:**

Name: VITO GIACALONE  
 No. and Street: 10 WITHAM STREET  
 City or Town: GLOUCESTER State: MA Zip: 01930 Country: USA

**The officers and all of the directors of the corporation:**

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	CARLOS RAFAEL	84 FRONT STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
TREASURER	CARLOS ALBERTO	84 FRONT STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
CLERK	CARLOS ALBERTO	84 FRONT STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	CARLOS ALBERTO	84 FRONT STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	CARLOS RAFAEL	84 FRONT STREET	Until successor is elected and qualified

		NEW BEDFORD, MA 02740 USA	
DIRECTOR	RICHARD CANASTRA	62 HASSEY STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	RAYMOND CANASTRA	62 HASSEY STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified

Consent    
  Manufacturer    
  Confidential Data    
  Does Not Require Annual Report  
 Partnership    
 Resident Agent    
 For Profit    
 Merger Allowed

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- Articles of Consolidation - Foreign and Domestic
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