

SECTOR OPERATIONS PLAN AND AGREEMENT

This NORTHEAST FISHERY SECTOR OPERATIONS PLAN AND AGREEMENT (this “Agreement”) is entered into as of December 1, 2011 (the “Effective Date”), by and among VII, Northeast Fishery Sector Inc. (“NEFS VII”) and each of the Sector members identified on the attached Exhibit A.

Recitals

A. Pursuant to “Amendment 16” to the Northeast Multispecies Fishery Management Plan and implementing regulations promulgated by the National Marine Fisheries Service (“NMFS”), a group of persons holding limited access multispecies vessel permits may form self-selecting voluntary sectors for fishery management. As a condition to forming a sector under Amendment 16, the persons wishing to do so must enter into a binding sector operations plan and agreement that contains the required elements.

B. The parties to this Agreement wish to form a self-selecting voluntary sector under Amendment 16, and to do so are entering into this Agreement.

Agreement

Now therefore, for and in consideration of the agreements, covenants, rights and obligations set forth herein and the mutual benefits anticipated by the Members under this Agreement, the receipt and sufficiency of which is hereby acknowledged, the Members and Sector hereby agree as follows:

1. Sector Name. The organization described under this Agreement shall be called the VII, Northeast Fishery Sector Inc. This is a non-profit organization incorporated in Massachusetts on May 26, 2009 and therefore may be held liable for violations committed by its members.

2. Sector Eligibility and Membership. To be eligible to be a member of the Sector, a person must hold a limited access Northeast multi-species permit and meet all other Sector eligibility requirements established from time to time by the Sector’s Board of Directors (the “Board”). Any person wishing to become a Sector member must submit an application no later than sixty (60) days prior to the annual deadline by which Sector applications must be submitted to NMFS. Sector membership shall be effective upon admission of a member by the Board and acceptance by execution by such member of the Sector’s Membership Agreement. Subject to the automatic renewal provisions of Section 12 below, and the disciplinary expulsion provisions of Section 13 below, Sector membership

shall expire at the conclusion of each fishing year, unless renewed by the Board in accordance with the Sector's Bylaws and this Agreement. The Sector's members (the "Members"), such Members "Limited Access Multispecies Permit" (LA MS) as identified by its "Moratorium Rights Identifier" (MRI), and the vessels that will harvest the Sector's Amendment 16 allocations are identified on the attached **Exhibit A**, which may be amended from time to time in accordance with this Agreement and the Sector's Bylaws.

2.1 **Rule of Three Requirement:** Amendment 16 to the NE multispecies FMP defines a sector as a group of three or more persons, none of whom have an ownership interest in the other two persons in the sector. This criterion has been fulfilled with permit # 320113 under the distinct ownership of Captain WP McCann, Inc., permit # 410186 under the distinct ownership of M&P Fishing Co., and permit # 330283 under the distinct ownership of Sea Siren Fisheries, Inc.

3. **Member and Vessel Permits.** The attached **Exhibit B** is provided in accordance with the requirements of Amendment 16 that all state and federal permits held by Members or assigned to Members' vessels be disclosed in each sector's annual operations plan.

4. **Sector Allocation and Exemptions.** Each Member shall take all actions and execute all documents necessary to obtain the Sector's Amendment 16 annual catch entitlement ("ACE"). The Sector shall request all universal exemptions granted to sectors under Amendment 16 and relating multispecies implementing regulations; and any special exemptions the Board deems appropriate. The special exemptions initially requested by the Sector are identified on the "Harvesting Rules" attached hereto as **Exhibit C.**

5. **Distribution of Sector ACE.** Each Member acknowledges that the Sector's ACE is composed of allocations for each species of Northeast multispecies groundfish allocated by Amendment 16 (each such species being an "Allocated Species"). Subject to the terms and conditions of this Agreement, each Member shall be entitled to harvest or transfer an amount of the Sector's ACE for each Allocated Species proportionate to the amount of ACE for such species that the Sector receives as a result of such Member's membership in the Sector. The amount of Sector ACE a Member may harvest or transfer, as adjusted by transfers and Sector retain ages including but not limited to the Reserve, made in accordance with this Agreement, is referred to hereafter as a Member's "Harvest Share". Each Member may harvest or transfer its Harvest Share only under the terms and conditions of this Agreement and in compliance with the restrictions imposed by the Manager (as defined below), the Enforcement

Committee (as defined below) and the Board in accordance with this Agreement. Any other attempted harvest or transfer of a Member's Harvest Share shall be a breach of this Agreement.

6. Sector Manager and Registered Agent. The Board shall from time to time appoint a person to act as the Sector's authorized agent in all NMFS and New England Fishery Management Council matters (the "Manager"), and a person to serve as the registered agent for receiving service of process on behalf of the Sector (the "Registered Agent"). The procedures for appointing the Manager and the Registered Agent shall be as provided in the Sector's Bylaws, as the same may be amended from time to time. The Manager and the Registered Agent may be the same person. The Manager shall be responsible for preparing and filing all reports required of the Sector under Amendment 16 and the related implementing regulations. William P. McCann will serve as the registered agent for receiving service of process on behalf of the Sector. The Sector will notify NMFS prior to May 1, 2012 who will be acting as their Manager for FY 2012-2013.

6.1 Communication with Sector. The Manager is the primary point of contact for all communications on behalf of the Sector and all communications regarding NEFS VII should be directed accordingly. In addition to the Sector Manager, William P. McCann is authorized to act on behalf of the Sector. At the time in which this Agreement is entered into, the Board of Directors are as follows:

President: William McCann

Treasurer: Manuel Vinagre

Clerk: Pedro Cura

Directors: Richard Canastra, Raymond Canastra, William McCann,
Armando Estudante, Antonio Borges, Manuel Vinagre, Pedro Cura.

In the event that the Board of Directors is modified, the Sector will notify NMFS of such modifications. Additionally, a list of current Board members can also be located at the following link.

<http://corp.sec.state.ma.us/corp/corpsearch/corpsearchinput.asp>

7. Consolidation Plan. The Sector's ACE shall be harvested in accordance with the terms and conditions of this Section 7.

7.1 Harvest Share Reserve. Each Member acknowledges that under NMFS regulations, the Members' aggregate harvest of the Sector's ACE may not exceed the Sector's ACE allocation, as adjusted by transfers of ACE between the Sector and other sectors. Each Member further acknowledges that under NMFS regulations, each Member may be held jointly liable for fines, penalties and forfeitures related to the Sector's ACE being overharvested. Each Member therefore authorizes the Manager to annually establish a reserve of each Allocated Species in the amount the Manager deems necessary to insure the Sector's ACE is not over-harvested (the "Reserve"). The Reserve for each Allocated Species shall be established by assessing the Members' Harvest Shares for such Allocated Species on a pro rata basis, according to their Harvest Share percentages for each such species. The amount of each Member's Harvest Share available for harvest or transfer shall be net of the amount assessed for the Reserve. The Reserve shall be managed under a "Deemed Value System" ("DVS") by the Manager in accordance with the terms and conditions adopted by the Board from time to time. If the Board requires that Members pay for distributions from the Reserve, the DVS payments received by the Sector shall be distributed to the Members after the close of fishing for the year on a pro rata basis, such that each Member receives a share of the total amount paid for distributions of each Allocated Species from the Reserve proportionate to the amount of such Allocated Species each Member contributed to the Reserve.

7.2 Harvest Share Use. Only Members that notify the Manager in writing on or before December 1, 2012 (the "Notification Date"), and on or before succeeding Notification Dates established by the Board for FY 2012 and thereafter of their intention to harvest Sector ACE ("Active Members") may harvest Sector ACE. Each Member's notice that such Member intends to harvest Sector ACE shall identify the vessels which will be used for such harvest. Non-active Members shall not harvest Sector ACE, including without limitation, their own Harvest Share. No Active Member shall harvest an amount of Sector ACE in excess of their Harvest Share. Harvest of each Member's Harvest Share shall be subject to all NMFS and other management regulations generally applicable to the Sector's ACE (including but not limited to seasonal apportionments and area harvest restrictions) on a discreet, individual basis; i.e., no greater percentage of each Member's Harvest Share may be harvested in any season or area than the percentage of the related Sector ACE allocation permitted to be harvested in such season or area. The Manager may impose and enforce additional restrictions on each Active Member's harvest of Sector ACE authorized by this Agreement or adopted by the Board.

7.2.1 Non-Active Members. The Members acknowledge that Active Members are assuming certain costs and risks associated with harvesting the Sector's ACE on behalf of the non-Active Members, and that the Active Members are making certain financial contributions to the Sector that are not made by non-Active Members. Obligations assumed by Active Members that are not imposed on non-Active Members include, but are not limited to payments of the initial Membership Fee, and paying Network and Sector fees in connection with landings of catch harvested under the Sector's ACE. In consideration for the Active Members assuming these costs, risks and fee obligations, each non-Active Member shall:

(a) Not fishing in ACE-accountable fisheries;

(b) Participate only as a transferor with regards to transfer of such member's Harvest Share within the sector. However, a Non-Active member may act as a transferee through an Inter-Sector transfer of ACE provided the transaction results in a net increase of this Sector's ACE.

(c) Not have access to information on Fishtrax, or other Sector specific tools, other than the information necessary to track the balance of such Member's Harvest Share, and specifically, shall not have access to Harvest Share Offers or Permit Offers posted on behalf of Members;

(d) Not have the rights of first offer on Sector Harvest Share and the related Sector ACE extended to Active Members, which may be adopted or amended from time to time by the Board under Section 7.3, and shall not have a right of first refusal on Permits extended to Active Members, which may be adopted or amended from time to time by the Board under Section 15;

(e) Pay Sector Operating Fees on the net amount of Sector ACE transferred out of the Sector by such Member, and pay the Network Fee on the net amount of Sector ACE transferred out of the NEFS Sectors by such Member.

7.3 Harvest Share Transfer. Subject to the terms and conditions of this Agreement, each Member may transfer some or all of such Member's Harvest Share to one or more Active Member(s) on such terms and conditions as the transferor Member and the transferee Active Member(s) may agree. No transfer of a Member's Harvest Share shall become effective until the Manager has received actual notice of such transfer. No Member may transfer any portion of such Member's Harvest Share, or interest in the Sector's ACE to any person other than an Active Member unless the Board first authorizes such transfer in writing. Any such transfer shall be subject to such

terms and conditions as the Board may adopt from time to time, including but not limited to establishment of procedures to implement a Right of First Offer (the “ROFO”) that is extended to Active Members of the Sector, Active Members of other Northeast Fishery Sectors, and certain other parties in accordance with the terms and conditions established by the Board. Without limiting the foregoing, the Sector Board of Directors may condition, review, approve and restrict transfers of Harvest Shares to non-Members as it deems necessary to promote the harvest of the Sector’s entire ACE allocation and ensure that the Sector’s management and administrative costs can be recouped through reasonable Sector membership fees established by the Board.

7.4 Harvesting Rules and Fishing Plan. The Board may from time to time adopt such restrictions on harvest of the Sector’s ACE as the Board deems necessary to ensure the Sector’s compliance with Amendment 16 and related implementing regulations (such restrictions referred to hereafter as “Harvesting Rules”). The Harvesting Rules are set forth on Exhibit C. Each Active Member shall conduct their harvest of the Sector’s ACE in strict compliance with the Harvesting Rules. Each Member shall exercise their best efforts to ensure such Member’s Harvest Share is harvested in accordance with the Harvesting Rules. The Manager shall annually develop a Sector fishing plan that promotes harvest of the Sector’s ACE in accordance with the Harvesting Rules and shall make the Fishing Plan available to Active Members prior to the commencement of the fishing season.

7.5 Re-direction Of Effort. During FY 2010, NEFS VII vessels switched fishing efforts into the following fisheries to a greater extent than in previous years due to decreased opportunities to participate in the groundfish fishery, however these are fisheries that NEFS VII vessels have historically participated in:

- Fishery: Scallop (Gear: trawl, dredge)
- Fishery: Monkfish (Gear: trawl, gillnet)
- Fishery: Skate (winter & bait) (Gear: trawl, gillnet)
- Fishery: Fluke (Gear: trawl)
- Fishery Dogfish (Gear: trawl, gillnet)

During the first quarter of FY 2011, NEFS VII vessels switched effort into the following fisheries:

- Fishery: Scallop (Gear: trawl, dredge)
- Fishery: Monkfish (Gear: trawl, gillnet)
- Fishery: Fluke (Gear: trawl)

During FY 2012, NEFS VII anticipates that no additional redirection of effort will take place.

7.6 Sector Vessel Interactions with Allocated Species in Non-Amendment 16 Fisheries.

NMFS will account for Member harvests of Allocated Species as harvests of the Sector's ACE, regardless of whether such harvests are made during directed groundfish fishing trips or during other fishing trips, unless the Allocated Species harvest is managed under another management plan or as a sub-component annual catch limit ("ACL") of Amendment 16 Allocated Species. Each Active Member shall report every fishing trip by such Member to the Manager prior to sailing, for determination whether the related Allocated Species harvest must be accounted for to NMFS as harvest of the Sector's ACE.

7.7 Consolidation and Redistribution of ACE: Scientific recommendations and new Magnuson requirements will influence the level of consolidation that will take place in the groundfish fishery. The Sector members intend to utilize sector management to mitigate excessive consolidation that may occur in the effort controlled system where overall management measures are based upon the weakest stocks.

In FY 2011, 50% of the permits which are enrolled in the NEFS VII Sector for FY 2012 are attached to vessels actively fishing for NE Multispecies. For FY 2012, the NEFS VII Sector has 21 permits currently enrolled. Of those 21 permits, 18 are anticipated to actively fish for NE multispecies in FY 2012. While these numbers may change, the NEFS VII Sector expects that compared to FY 2011 there would be a net consolidation previously occurred among the NEFS VII as the share of ACE contributed by member permits is fished by fewer active vessels than in FY 2011. It can be anticipated that fewer active vessels would result in job losses for fishing crews and the associated negative impacts could spread to fishing communities and industries reliant on commercial fishing. While fishers who remain in the fishery may experience a positive benefit, there would be fewer active fishers in the NE multispecies fishery.

8. Release of Catch Data. Pursuant to section 402(b)(1)(F) of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C §1881a(b)(1)(F), the undersigned hereby authorizes the release to the manager of VII, Northeast Fishery Sector Inc., and the Program Director of Northeast Sector Service Network, and FishTrax Programmer, of information that may be or is considered to be confidential or privileged by the Magnuson-Stevens Act or other federal law regarding the catch of various species of fish associated with the limited access Northeast multispecies permit with the Moratorium Right Identifiers (MRIs) enrolled in the sector to the National Marine Fisheries Service in compliance with 50 CFR 648.7 and §648.87 that the undersigned has authority to access. This information includes data required to be submitted or collected by NMFS, including but not limited to

days-at-sea allocation and usage, vessel trip reports, dealer reports, Northeast Fishery Observer Program data, catch and landings history data, Sector dockside and at-sea monitoring data, enforcement data, and all other information associated with the vessel, MRI #, and/or permit records.

9. Catch Monitoring and Reporting. Each Active Member shall comply with all catch monitoring and reporting requirements established by the Manager, which may include but are not limited to maintaining and filing copies of accurate catch logs, carrying fishery observers, installing and operating electronic vessel and catch monitoring equipment, delivering fish only at pre-approved dockside landing stations at pre-approved times, and completing and filing accurate delivery reports on a timely basis. Without limiting the foregoing, each Active Member shall submit on a timely basis all catch information as required by and necessary for the Manager to complete and file the Sector's weekly reports. Each Active Member's harvest of Sector ACE shall be calculated and tabulated in accordance with the catch accounting measures established by NMFS with respect to the Sector's ACE. Absent manifest error, the catch and delivery information produced by the Manager shall be presumed accurate, and absent manifest error, each Member's obligations under this Agreement and all related documents may be enforced to their fullest extent on the basis of such information.

10. Breach and Remedies for Breach. The benefits associated with Sector membership will only accrue to the Members if each of them strictly complies with this Agreement. Each Member will make significant operational and financial commitments based on this Agreement, and any Member's failure to fulfill any of its obligations under this Agreement could have significant adverse consequences for some or all other Members. Any failure by a Member to fulfill any of its obligations under this Agreement shall constitute a breach of this Agreement. Each Member shall be bound by the procedures set forth in this Section for determining whether a Member has breached this Agreement. The Sector shall be entitled to the remedies set forth in this Section if a Member is determined by the Sector to have breached this Agreement. Each Member shall take all actions and execute all documents the Manager deems necessary or convenient to give effect to the provisions of this Section.

10.10 Liquidated Damages Schedule and Schedule Amendments. The loss, costs and damages which may be suffered or incurred by Members as the result of any Member harvesting Sector ACE in excess of the amount such Member is authorized to harvest under this Agreement, or otherwise breaching this Agreement, will be difficult to calculate. The loss, costs and damages the Members and the Sector could suffer as the result of a Member harvesting more Sector ACE than its Harvest Share, or otherwise breaching this Agreement, are likely to substantially exceed the market value of the excess

harvest. Consequently, the Sector may impose and assess upon any breaching Member the liquidated damages amounts as established under Section 10.3, below.

10.2 Enforcement Committee. Not less than one hundred twenty (120) days prior to each annual Northeast multispecies groundfish season opening date (the “Season Opening Date”), the Manager shall call a meeting of the Board to appoint the Enforcement Committee for the upcoming year, and to address any other matters of Sector business properly before the Board. The Board shall meet for those purposes not less than ninety (90) days prior to the Season Opening Date, and at such meeting shall appoint an Enforcement Committee composed of five (5) persons. If the Board fails to do so, the Manager shall appoint the Enforcement Committee. The Enforcement Committee shall assist the Manager in setting and updating the liquidated damages amounts for breaches of this Agreement and shall hear and decide Members’ appeals of the Manager’s contract breach determinations and liquidated damages assessments.

10.3 Liquidated Damages Base Value and Multiplier Adoption. Not less than sixty (60) days prior to each annual Season Opening Date, the Manager shall establish the market value of an unprocessed pound of each Allocated Species (each such value being a “Base Value”), and transmit such Base Values to the Enforcement Committee. In establishing such Base Values, the Manager may take into account both the direct costs and the opportunity costs associated with an over-harvest of the relevant species. Not less than thirty (30) days prior to each annual Season Opening Date, the Enforcement Committee, in consultation with the Manager, shall adopt Base Values and Base Value multipliers, and the liquidated damages amounts for breaches of this Agreement other than over-harvest of a Member’s Harvest Share, which shall be based on the Enforcement Committee’s estimate of the losses that the Sector and its Members could be expected to suffer as a result of such breaches. Because the damages suffered by one or more other Sector Members as the result of a Member harvesting an amount of Sector ACE in excess of its Harvest Share are likely to substantially exceed the market value of the excess harvest, the Base Value multipliers shall not be less than three (3). Upon the Enforcement Committee’s adoption of Base Values and Base Value multipliers, such Base Values and Base Value multipliers will be the basis for calculation of damages for unreconciled Harvest Share overages.

10.4 Liquidated Damages Calculation. The liquidated damages amount for each pound by which a Member’s harvest of an Allocated Species exceeds such Member’s Harvest Share for such species shall be the relevant Base Value, multiplied by the relevant liquidated damages multiplier. The

liquidated damages amounts for breaches of this Agreement, other than over-harvest of a Member's Harvest Share shall be as provided on Exhibit D.

10.5 Notice to Vessel Masters; Assumption of Liability. Each vessel harvesting a Member's Harvest Share, or participating in a fishery that may require utilization of a Member's Harvest Share (i.e., non-exempt monkfish), will be under the day-to-day command of the vessel's master who will to a significant degree have control over whether the vessel is operated in compliance with this Agreement. Each Member shall ensure that the master(s) of the vessel(s) harvesting such Member's Harvest Share are aware of the terms and conditions of this Agreement governing the harvest of such Member's Harvest Share, including without limitation the Harvesting Rules, and shall have confirmed their agreement to abide by such terms in writing. Each Member assumes all liability under this Agreement arising out of or related to the actions of the master(s) operating such Member's vessel(s).

10.6 Liquidated Damages Security. The Board may require that a Member that has two (2) or more NMFS fishing regulation violations, or which has breached this Agreement or another sector's operations plan on two (2) or more occasions, post a bond or obtain a letter of credit securing such Member's payment and performance obligations under this Agreement in such amounts as the Board deems appropriate, or may require such Member to personally guaranty, and/or have other Members or third parties personally guaranty, such Member's payment and performance obligations under this Agreement.

10.7 Manager Action in Response to Apparent Breach. The Manager shall monitor the Members' compliance with the terms and conditions of this Agreement. If the Manager becomes aware of an apparent breach of this Agreement by a Member, the Manager shall investigate the matter, and if the Manager concludes that a Member has breached this Agreement, the Manager shall notify such Member of the apparent breach and (if such breach is reasonably susceptible of cure) provide such Member with an opportunity to cure the breach. If such Member fails to demonstrate to the Manager, in the Manager's sole and absolute discretion, that no breach occurred, or to cure the breach within the time period directed by the Manager, taking into account the magnitude of the breach and the potential consequences of the breach for the Sector and the other Members, the Manager shall notify the Member in writing that the Manager is referring the alleged breach to the Enforcement Committee, and shall notify the Enforcement Committee in writing of the alleged breach and the proposed liquidated damages. Pursuant to Section 14, below, if during the investigation, notice and cure period described above, the Manager concludes it is necessary for the protection of the interests of the Sector and its

Members, the Manager may issue a “Stop Fishing Order” to the Member in apparent breach, and if such Member fails to cause the vessels harvesting its Harvest Share to immediately stop fishing , the sector manager may take any action he/she deems necessary including without limitation, self help or court action which may include the seeking of injunctive relief.

10.8 Member Appeals. A Member receiving notice of an alleged breach and proposed liquidated damages shall have five (5) days from the date that the Member receives the notice to request an appeal hearing before the Enforcement Committee. If a Member fails to request a hearing within such 5-day appeal period, the Member’s right of appeal shall expire, the Member shall be deemed to have breached this Agreement in accordance with the Manager’s determination, and the Member shall be obligated to pay the related liquidated damages. If a Member timely requests an appeal hearing, the Manager shall consult with the Enforcement Committee and schedule an Enforcement Committee meeting for that purpose. The Enforcement Committee shall make reasonable efforts to schedule the meeting at a time and place such that the Member requesting the appeal is able to attend, and shall provide the Member with at least thirty (30) days advance written notice of the time and place of the meeting. At such meeting, the Enforcement Committee shall provide the Manager with an opportunity to present evidence of the apparent breach, and shall provide the Member in apparent breach with a reasonable opportunity to rebut such evidence. Per Section 9, above, the catch and delivery data produced by the Manager shall be presumed accurate, and, absent manifest error, each Member's obligations under this Agreement and all related documents may be enforced to their fullest extent on the basis of such data. If the Enforcement Committee determines that a Member breached this Agreement, the Sector shall have the right to collect from such Member the liquidated damages amount provided for such breach under this Agreement.

10.9 Voluntary Compliance. In connection with breaches of this Agreement for which a Member is liable to the Sector or other Sector Members for liquidated damages, the Sector shall provide the breaching Member fifteen (15) days prior notice of its intent to exercise its rights of collection, during which period the Member may propose an alternative method of compensating the Sector and other Sector Members for the damages suffered as the result of such Member’s breach. The Enforcement Committee may approve or disapprove any alternative form of compensation in its sole discretion, provided that if the breach at issue is an overharvest of a Member’s Harvest Share, there shall be no liquidated damages imposed if the Member in breach obtains sufficient Harvest Share from other Members to offset the overharvest, and tenders conclusive evidence to that effect to the

Enforcement Committee. Such Member shall nevertheless remain liable for the costs and fees incurred by the Sector in connection with the alleged breach, and the Sector shall be entitled to collect such costs and fees if such Member fails to pay the same within ten (10) days of receiving the Sector's demand for payment.

10.10 Liquidated Damages Collection and Related Expenses. If a Member fails to resolve a breach of this Agreement through voluntary compliance measures approved by the Enforcement Committee and performed by such Member on a timely basis, the Member in breach shall pay the liquidated damages amount assessed by the Sector within ten (10) days of the end of the voluntary compliance period described in Section 10.9, above. Liquidated damages amounts not paid when due shall accrue interest at a rate of interest equal to the prime rate of interest announced by Bank of America, or such other bank as the Board may select from time to time, as of the last day of the voluntary compliance period plus twelve percent (12%). If a Member fails to pay the liquidated damages amount assessed by the Enforcement Committee with interest within thirty (30) days of the end of the voluntary compliance period described in Section 10.9, above, the Sector may pursue legal action to collect the liquidated damages. In addition, in connection with Member breaches resulting from an over-harvest of a Member's Harvest Share, the Sector (acting through the Enforcement Committee) may take possession of an amount of the Member in breach's Harvest Share for the overharvested species for the year in which the contract breach occurred and, if necessary, in subsequent years, in a total amount equal to three (3) times the amount of such over-harvest, provided that the amount of a Member's liability to the Sector for over-harvest shall be reduced proportionately to the extent that the Sector does so. In addition to liquidated damages, the Sector shall be entitled to all fees, costs and expenses, including attorney's fees, actually incurred by the Sector in connection with any action to collect liquidated damages from a Member in breach of this Agreement, whether or not the Sector prevails in such action.

10.11 Consequential Damages for Gross Negligence or Willful Misconduct. In addition to the liquidated damages imposed under this Section 10, each Member shall be liable for consequential damages in connection with a breach of this Agreement resulting from the Member's gross negligence or willful misconduct. Each Active Member shall be liable for the consequential damages arising out of or related to the gross negligence or willful misconduct of the captain operating such Active Member's vessel(s).

10.12 Distribution of Damages. Where a Member's breach causes one or more other Members to harvest less than their Harvest Share, damages awarded to the Sector under this provision shall first be distributed *pro rata* among the Members whose harvest was reduced, with each Member receiving a fraction of such funds, the numerator of which is the amount by which such Member's catch was less than such Member's allocation or apportionment, and the denominator of which is the sum of the aggregate amount of by which all Members' allocations or apportionments were reduced as a result of the breach, up to the amount of loss suffered by each such Member as the result of the breach. Any damages awarded to the Sector in excess of those distributed to other Members under this Section, and any damages awarded in connection with a breach which does not cause any other Member's allocation or apportionment to be reduced, shall be retained by the Sector and applied to the costs of Sector operations.

11. Joint Liability and Indemnification. Each Member acknowledges that the Sector's Members may be held jointly liable for ACE overages, discarding of legal-sized fish and misreporting of catch landings or discards. Further, each Member acknowledges that should a hard total allowable catch ("TAC") allocated to the Sector be exceeded in a given fishing year, the Sector's allocation will be reduced by the overage in the following fishing year, and the Sector, each vessel participating in the Sector and each vessel operator and/or vessel owner participating in the Sector may be charged, as a result of said overages, jointly and severally for civil penalties and permit sanctions pursuant to 15 C.F.R. Part 904, and that if the Sector exceeds its TAC in more than one (1) fishing year, the Sector's ACE may be permanently reduced or the Sector's authorization to operate may be withdrawn.

In consideration of the foregoing, each Active Member agrees to indemnify, defend and hold the Sector and all other Members harmless from and against all liabilities, claims, fines, penalties and forfeitures of any nature whatsoever arising out of or related to any breach of this Agreement related to such Active Member's harvest of Sector ACE, and each Member agrees to indemnify, defend and hold the Sector and the other Members harmless from and against all liabilities, claims, fines, penalties and forfeitures of any nature whatsoever arising out of or related to such Member's breach of this Agreement. Each Member's indemnification obligation under this Section 11 is separate from and in addition to each Member's liquidated damages and consequential damages obligations under Section 10, above. Each Member authorizes the Board to require that a Member's obligations under this Section 11 be secured by a surety.

12. Membership Termination. No Member may terminate its membership in the Sector other than in accordance with this Section 12. A Member that has agreed to join the Sector prior to the Effective Date may withdraw from Sector membership prior to the Effective Date without penalty or prejudice. Thereafter, only a Member that is not in breach of this Agreement and that has no outstanding Sector performance or payment obligations may terminate its membership in the Sector, and may do so only in compliance with the terms and conditions of this Section 12. Notwithstanding the foregoing, the Board may terminate the membership of a Member in breach of its payment or performance obligations under this Agreement, as the Board deems appropriate in its sole discretion.

Subject to the provisions of this Section 12 regarding withdrawal prior to the Effective Date, above, a Member that is eligible to terminate such Member's Sector membership may do so only by providing written notice to that effect to all other Members on or before February 28, 2012, or such date as the Board may from time to time establish for that purpose (the "Termination Date") each year. A Member that fails to provide such notice by the Termination Date shall be deemed to have automatically renewed its Sector membership for the following year, and all other Members shall be entitled to act in reliance on such renewal accordingly. If any Member provides a membership termination notice by the Termination Date, each of the other Members shall have ten (10) days from the date they receive such notice to terminate their membership as well, notwithstanding the Termination Date notice deadline. Termination of membership in the Sector shall be effective as of the final day of the current fishing year.

If a Member is in breach of this Agreement or has outstanding Sector payment or performance obligations as of the Termination Date, unless the Board takes action to terminate such Member's membership, such Member's membership shall be deemed renewed for the following year, notwithstanding any notice of withdrawal such Member may give, and the Sector shall have the authority to file an application for a Sector allocation including such Member as a Member of the Sector. Each Member hereby grants the Sector a power-of-attorney, coupled with an interest, for such purposes, and authorizes each of the Sector's officers to take any and all actions and execute any and all documents necessary or convenient to give effect to this provision.

Termination of membership shall not relieve a person or entity of any obligations under this Agreement related to the period during which such person or entity was a Member, including but not limited to liquidated damages obligations for breach of this Agreement, consequential damages obligations for breaches resulting from acts of gross negligence or willful misconduct, or indemnification obligations related to such person or entity's actions as a Member.

13. Expulsion. A Member may be expelled from the Sector at any time for: (i) a knowing, willful breach of this Agreement; (ii) any alleged breach of this Agreement that is either not appealed pursuant to Section 10.8, or is upheld by the Enforcement Committee after being appealed, and which such Member fails to cure through voluntary compliance approved by the Enforcement Committee pursuant to Section 10.9, or by paying liquidated damages in accordance with Section 10.10; (iii) perpetrating a fishery regulation violation that exposes Sector Members to joint liability for such violation. A Member shall be immediately and automatically expelled from the Sector if such Member ceases to be eligible to participate in the Sector or if such Member engages in conduct that exposes the Sector or other Sector Members to antitrust or unfair trade practice liability. As of the date of expulsion, the expelled Member shall lose all rights to harvest any portion of the Sector's ACE unless the expelled Member is re-admitted. Expulsion shall not relieve a Member of the obligation to pay fees that were levied prior to the date of expulsion, or to pay liquidated damages and costs and fees related to an action or omission by the expelled Member that preceded the date of expulsion. The Sector shall notify NMFS immediately upon a Sector Member's expulsion; by electronic email, followed by posted mail.

14. Stop Fishing Order; Injunctive Relief. Sector members may be held jointly and severally liable if (a) a Sector exceeds its ACE, (b) a Sector member discards legal-sized fish, or (c) a Sector member misreports landings or discards. If a Sector exceeds its ACE in a given fishing year, the Sector's allocation may be reduced by the overage in the following fishing year, and the Sector, each vessel, and vessel operator and/or vessel owner participating in the Sector may be jointly and severally liable for civil penalties and permit sanctions pursuant to 15 C.F.R. Part 904 in connection with such overage. In addition, if a Sector exceeds its ACE in more than one (1) fishing year, NMFS may permanently reduce the Sector's ACE or withdraw the Sector's authorization to operate.

The Sector will exceed its ACE only if one or more members overharvest their Harvest Share, as (subject to the provisions of Section 7.1, above) the Sector's ACE, less the Reserve, is fully distributed to the Members as their Harvest Shares. A Member's overharvest of its Harvest Share would be a breach of this Agreement for which a Member would be liable for damages. Because each incident of ACE overharvest would constitute a separate violation of the Amendment 16 regulations, and because each such incident would be treated as a prior violation by NMFS for purposes of determining appropriate fines, penalties and forfeitures in connection with a subsequent violation, the damages suffered by the Sector as a result of an overharvest by one or more Members that resulted in the Sector overharvesting its ACE would be consequential and irreparable.

In consideration of these circumstances, and in consideration for the Sector waiving its right to require each Member to obtain a security bond or pledge collateral to secure its obligation to the Sector to limit its harvest of Sector ACE to such Member's Harvest Share, which consideration each Member agrees it has received and is sufficient, the Members hereby agree as follows.

14.1 The Sector, acting through the Manager, has the authority to issue to any Member that the Manager determines is in breach a Stop Fishing Order, and upon such issuance, such Member shall immediately cause all vessels harvesting its Harvest Share to cease doing so, and such Member shall not permit the vessels harvesting its Harvest Share to resume doing so unless and until the Manager rescinds the Stop Fishing Order. Each Member hereby releases the Sector, all other Members and the Manager from any and all liability of any nature whatsoever, including but not limited to both contractual and tort liability, for any direct or indirect, incidental or consequential losses or damages that a Member may suffer as a result of complying with a Stop Fishing Order.

14.2 If any vessel(s) harvesting a Members' Harvest Share does not immediately comply with a Stop Fishing Order in accordance with its terms, the Sector may exercise remedies of self help and take any and all other action as the Sector determines necessary to enforce the Stop Fishing Order and this Agreement, including injunctive relief. In seeking injunctive relief, the Sector Manager's burden of proof (if any) shall be satisfied by A. (production of a copy of the Stop Fishing Order) and B.(evidence that the vessel continued to fish thereafter).

The Member shall be liable to the Sector for all losses, costs, damages, fees and expenses incurred by the Sector in connection with enforcement, including but not limited to, the costs of obtaining any bond the Sector may be required to post, whether or not the Sector prevails.

15. Permit Transfer/Sale. A Member may transfer a Permit to a party other than a Member, subject to a Right of First Refusal (the "ROFR"), which may be adopted or amended from time to time by the Board, in favor of Active Members of the Sector, Active Members of other Northeast Fishery Sectors, and certain other parties. No Member may transfer such Member's "LA MS" permit or "MRI" permit to a person who is not an Active Member unless such person assumes all of the transferring Member's obligations under this Agreement as of the effective date of such transfer. A person other than a Member who receives a Member's "LA MS" permit or "MRI" permit from a Member in accordance with this Section 15 (a "Transferee") shall only be eligible to participate in the Sector for the balance of the

fishing year during which the transfer occurs, and thereafter may only remain a Sector Member if such Transferee applies for and is admitted to Sector membership in accordance with Section 2, above.

15.1 The Transferee shall be deemed a Non-Active Member of the Sector, with no rights to harvest any Sector ACE, including but not limited to the ACE allocated to the Sector in connection with the assets acquired under the Permit Offer. A Transferee wishing to acquire Active Member status during the fishing year in which the permit transfer occurred, must submit a written request to the Board for consideration. The Board will have the authority to approve, conditionally approve or deny such request.

16. Release and Waiver of All Claims Against Sector Manager; Indemnification and Hold Harmless. Each Member acknowledges that the effectiveness of this Agreement depends on the Manager exercising reasonable independent business judgment in good faith in reviewing and approving or disapproving Members' fishing plans, monitoring harvest of the Sector's ACE, and enforcing the terms and conditions of this Agreement. Each Member hereby waives and releases any and all claims against the Manager arising out of or relating to Manager's performance under this Agreement, other than those arising solely from the gross negligence or willful misconduct by the Manager, as conclusively determined by a court of final and competent jurisdiction. The Sector and the Members agree to jointly and severally indemnify, defend and hold the Manager harmless from and against any third party claims, damages, fines, penalties and liabilities of any kind whatsoever asserted against the Manager in connection with the Manager's performance under this Agreement, other than those arising out of gross negligence or willful misconduct by the Manager.

17. Sector Membership Fees. At least thirty (30) days prior to the Effective Date, and at least thirty (30) days prior to each annual Termination Date thereafter, the Board shall notify the Members in writing of the amount of Sector membership fees that the Board has adopted for the upcoming year of Sector operations.

18. Binding Arbitration. Each Member and the Sector agree to exercise their best good faith commercially reasonable efforts to resolve any disputes arising under this Agreement through direct negotiations. Breaches of this Agreement which are not resolved through direct negotiation shall be submitted to binding arbitration upon the request of any party at interest. Any person nominated as an arbitrator hereunder by any person shall be a person of mature, sound and reasonable business

judgment and experience and either have (a) held a federal fishing master license for at least ten (10) years, or (b) been an attorney at law practicing in the area of fisheries for at least ten (10) years.

The party's written request for arbitration shall include the name of the arbitrator selected by the party requesting arbitration. The respondent party shall have ten (10) days to provide written notice of the name of the arbitrator it has selected, if any. If the other party timely selects a second arbitrator, the two arbitrators will jointly select a third arbitrator within ten (10) days. If the other party does not timely select the second arbitrator, there shall be only the one arbitrator. The single arbitrator or the three (3) arbitrators so selected will schedule the arbitration hearing as soon as possible thereafter. Any arbitrator must have no material ties to the Sector or any Member. The decision of the arbitrator (or in the case of a three (3) arbitrator panel, the decision of the majority) will be final and binding. The arbitration will be conducted under the rules of (but not by) the American Arbitration Association. The parties will be entitled to limited discovery as determined by the arbitrator(s) in his, her or their sole discretion. All costs of arbitration shall be borne by the party requesting the same. Each party shall bear its own costs of preparation and presentation, unless, in the case of the Sector, the Board determines to assess such costs to the applicable Member, which costs shall be immediately due and payable. In no event will arbitration be available pursuant to this paragraph after the date when commencement of such legal or equitable proceedings based on such claim, dispute, or other matter in question would be barred by an applicable statute of limitations.

The final decision of the Arbitrators shall not be subject to review or appeal by any other person, including any court, with the exception of NMFS in its oversight role for the purposes of statutory and regulatory compliance and consistency. Any right to any such appeal is hereby irrevocably waived and relinquished. Such final decision shall bind the parties and shall not require any further action of enforcement or collection once docketed with the records of the Sector. In breach by any Member of performance thereof, the Manager may *sua sponte* and without any notice or hearing issue a Stop Fishing Order or an Order of Expulsion respecting such Member in breach.

The Sector shall, without limiting the foregoing rights and procedure, also have the right to enforce any decision against any Member in breach by an action for specific performance, declaratory relief, *lis pendens* or any other action in a court of law having jurisdiction of the parties, it being understood and agreed that the Federal court for the District of Massachusetts and the Massachusetts Superior Court

for the County where the registered office of the Sector is located shall be deemed to have such jurisdiction

19. No Collective Marketing. The Members acknowledge that the Sector has not been formed or qualified as a collective marketing association. The Members therefore agree that nothing in this Agreement shall be construed as permitting or obligating Members to collaborate regarding the processing, marketing or sales of the product produced from catch harvested under their Harvest Shares. Each Member shall conduct all sales of such catch in competition with the other Members, and shall hold ex-vessel price information as confidential from other Members until such information becomes public or until such price information is six months old, unless and until the Sector is properly qualified under State and Federal law as a collective marketing association

20. Amendment and Incorporation by Reference. The Exhibits hereto and the collateral documents referred to herein are and shall all be as the same may be amended from time to time. Any amendments thereto or hereto which are approved by the Board shall, as a condition of further membership of any Member in the Sector be deemed without any requirement of acceptance, consent or execution by any such Member to have been adopted, ratified and confirmed by such Member.

1
2
3
4
5

6
7
8
9

EXHIBIT A

Sector Membership Fishing Year 2012 (May 1, 2012 to April 30, 2013)

SECTOR MEMBERS: The following table identifies The NEFS VII Members:

Owner/Entity	MRI	Permit Number
Expedition Fishing Co	1231	410074
Boat Immigrante, Inc	758	151346
RJR Fisheries, Inc	550	320112
Cockeast Fisheries, Inc	1080	223692
Cockeast Fisheries, Inc	1764	150936
SAI Fisheries, Inc	1082	410323
M & P Fishing Corp	1005	410186
Fish Tails Gloucester LLC	420	148172
William Borges	2034	231511
John & Nicholas, Inc	832	330865
Lucimar, Inc	1036	410234
FV Majestic, Inc	1119	410392
FAT Fishing Corp	815	330359
Captain WP McCann. Inc	521	310433
Captain WP McCann. Inc	1697	320113
Willis E Blount Com Fishing Co	809	330351
Cura & Borges Fishing Co	748	330198
Lucinda Fishing Corp	1000	410180
Sea Siren Fisheries, Inc	778	330283
Shelby Ann	792	330313
Collin & Warren, Inc	829	330828

1 ACTIVE MEMBERS: The following table identifies the Vessels that are authorized to harvest Sector ACE:

Owner/Entity	Vessel Name	Documentation/ Registration Number
Cockeast Fisheries, Inc	DRAKE	1197107
Cockeast Fisheries, Inc	MANDRAKE	R19831U
SAI Fisheries, Inc	ENDURANCE	657549
M & P Fishing Corp	FISHERMAN	605059
Fish Tails Gloucester LLC	FISH TAILS	MS3155AM
William Borges	HOLLY JEAN	914805
	JOHN &	
John & Nicholas, Inc	NICHOLAS	1102517
Lucimar, Inc	LUCIMAR	615081
FV Majestic, Inc	MAJESTIC	689673
FAT Fishing Corp	MAYFLOWER	609985
Captain WP McCann. Inc	PILGRIM	654389
Captain WP McCann. Inc	SHAMROCK	503492
Willis E Blount Com Fishing Co	RUTHIE B	610395
Cura & Borges Fishing Co	SAO PAULO	581723
Lucinda Fishing Corp	SAO MARCOS II	603986
Sea Siren Fisheries, Inc	SEA SIREN	600188
Shelby Ann	SHELBY ANN	602895
	COLLIN &	
Collin & Warren, Inc	WARREN	1098473

2

3

4

5

6

7

EXHIBIT B

Sector Member and Vessel Permits Amendment 16 Disclosure Requirements Fishing Year 2012 (May 1, 2012 to April 30, 2013)

To be provided on December 1, 2011 Roster/Contract Deadline

All Limited Access Multispecies Permits held by Sector Members that are eligible to be enrolled in the Sector are enrolled for FY 2012.

Additional Information on federal and state permits associated with Sector Vessels and Sector Members:

Permit	F/V Name	Registration	Federal Permits
410074	DONNY C	516305	American Lobster, Atlantic Mackerel, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Scallop, Spiny Dogfish, Squid/butterfish, Summer Flounder, Surf Clam
223692	DRAKE	1197107	American Lobster Non Trap, American Lobster Trap Area 1 & 2, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Loligo/Butterfish, Monkfish NE Mults, Red Crab, Scup, Spiny Dogfish, Skate, Summer Flounder, Tilefish
150936	MANDRAK E	R19831U	CPH
410323	ENDURAN CE	657549	American Lobster Non Trap, American Lobster Trap Area 2, American Lobster Outer Cape, Atlantic Mackerel, Bluefish, herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop - LAGC, Scallop Ltd

Permit	F/V Name	Registration	Federal Permits
			Acess, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish
410186	FISHERMAN	605059	American Lobster Non Trap, Atlantic Mackerel, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish
148172	FISH TAILS	MS3155AM	American Lobster Non Trap, American Lobster Trap Area 2, Atlantic Mackerel, Bluefish, Monkfish, NE Mults, Ocean Quahog, Red Crab, Skate, Spiny dogfish, Squid/Butterfish, Surf Clam, Tile Fish, Atlantic Tunas
231511	HOLLY JEAN	914805	American Lobster Trap Area 2, Bluefish, Herring, Monkfish, NE Mults, Red Crab, Scup, Skate, Spiny Dogfish, Tilefish
151346	IMMIGRANTE	MS8178AY	American Lobster Non Trap, Atlantic Mackerel, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish
330865	JOHN & NICHOLAS	1102517	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop -LAGC, Scallop, Scup, Skate, Spiny dogfish, Summer Flounder, Surf Clam, Tilefish
410234	LUCIMAR	615081	American Lobster Non Trap, Atlantic Mackerel, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop, skate, Spiny dogfish, Squid/Butterfish, summer Flounder, Surf Clam, Tilefish

Permit	F/V Name	Registration	Federal Permits
410392	MAJESTIC	689673	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop - LAGC, Scallop, Scup, Skate, Spiny dogfish, Squid Butterfish, Summer Flounder, Surf Clam, Tilefish
330359	MAYFLOWER	609985	American Lobster Non Trap, Atlantic Mackerel, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop LAGC, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish
310433	PILGRIM	654389	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scup, Skate, Spiny Dogfish, Summer Flounder, Surf Clam, Tilefish
320113	SHAMROCK	503492	Atlantic Mackerel, Bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scup, Skate, Spiny Dogfish, Surf Clam, Tilefish
330351	RUTHIE B	610395	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop LAGC, Scup, Skate, Spiny dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish, Atlantic Tunas
330198	SAO PAULO	581723	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, ocean Quahog, Red Crab, Scallop - LAGC, Scup, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish, Atlantic Tunas
410180	SAO	603986	American Lobster Non Trap, Atlantic Mackerel,

Permit	F/V Name	Registration	Federal Permits
	MARCOS II		Black Sea Bass, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop-LAGC, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish
330283	SEA SIREN	600188	American Lobster Non Trap, Atlantic Mackerel, Bluefish, Herring, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop - LAGC, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Surf Clam, Tilefish
320112	SEVEN SEAS	501873	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, Red Crab, Scup, Skate, Spiny Dogfish, Squid/Butterfish, Summer Flounder, Tilefish
330313	SHELBY ANN	602895	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herringk, Loligo/Butterfish, Monkfish, NE Mults, Red Crab, Scallop-LAGC, Scup, Skate, Spiny Dogfish, Squid Butterfish, Summer Flounder, Surf Clam, Tilefish
330828	COLLIN & WARREN	1098473	American Lobster Non Trap, Atlantic Mackerel, Black Sea Bass, Bluefish, Herring, Loligo/Butterfish, Monkfish, NE Mults, Ocean Quahog, Red Crab, Scallop -LAGC, Scallop, Scup, Skate, Spiny dogfish, Summer Flounder, Surf Clam, Tilefish

1

2

Permit	F/V Name	Registration	MASSACHUSETTS	VIRGINIA	N CAROLINA	RHODE ISLAND
410074	DONNY C	516305	Offshore Non Trap LMA 3			
223692	DRAKE	1197107	Offshore Lobster LMA 2, Stripe Bass			Mult Purpose Lic
150936	MANDRAKE	R19831U				
410323	ENDURANCE	657549	Offshore Non Trap LMA 3, Dogfish, Fluke, Sea Scallop Shucking			
			Sea Urchin Dredge			
410186	FISHERMAN	605059	Offshore Non Trap LMA 2 & 3, Fluke, Sea Scallop Shuck			
148172	FISH TAILS	MS3155AM	Gillnet			
231511	HOLLY JEAN	914805	Costal Lobster LMA 2, Dogfish, Fluke, Scup, Striped Bass			Mult Purpose Lic
151346	IMMIGRANTE	MS8178AY	Offshore Non trap LMA 3, Fluke, Scup, Sea Bass, Sea Scallop			
			Shuck,			
330865	JOHN & NICHOLAS	1102517	Offshore Non trap LMA 2, Dogfish, Scup Sea Bass, Sea Herring,	Fluke, Blk Sea Bass	Fluke	
			Sea Scallop Shuck			
410234	LUCIMAR	615081	Offshore Lobster Non Trap LMA 2 & 3, Fluke, Sea Scallop Shuck			
410392	MAJESTIC	689673	Offshore Lobster, Fluke Sea Scallop Shuck			

Permit	F/V Name	Registration	MASSACHUSETTS	VIRGINIA	N CAROLINA	RHODE ISLAND
330359	MAYFLOWER	609985	Offshore Non Trap LMA 3, Fluke, Scup, Sea Bass, Sea Scallop			
			Shuck			
310433	PILGRIM	654389	Offshore Non Trap LMA 2 & 3			
320113	SHAMROCK	503492	Gillnet Handlines			
330351	RUTHIE B	610395	Offshore Non Trap LMA 3, Fluke, Northern Shrimp, Scup			
			Sea Bass, Sea Herring, Sea Scallop Shuck, Sea Urchin Dredge			
330198	SAO PAULO	581723	Offshore Non Trap LMA 2 & 3, Fluke, Sea Bass, Scup			Fluke
			Sea Scallop Shuck			
410180	SAO MARCOS II	603986	Offshore Non trap LMA 3, Dogfish, Fluke, Sea Bass, Sea Herring			
			Sea Scallop Shuck			
330283	SEA SIREN	600188	Offshore Non Trap LMA 3, Fluke, Sea Scallop Shuck			
320112	SEVEN SEAS	501873	Offshore Non Trap LMA 2 & 3, Fluke, Scup, Sea Scallop Shuckl	Fluke		
330313	SHELBY ANN	602895	Offshore Non Trap LMA 3, Scup			
330828	COLLIN & WARREN	1098473	Offshore Non trap LMA 2 & 3, Dogfish, Scup Sea Bass, Sea Herring,	Fluke, Blk Sea Bass	Fluke	

Sector	Owner/Entity	MRI	Permit Number	Vessel Name	Documentation/ Registration Number	Active Member (Y/N)	LAMS Grounfish Permits Member is not enrolling in the Sector. (if enrolling in another Sector please indicate which one)
7	Expedition Fishing Co	1231	410074	DONNY C	516305	N	
7	Boat Immigrante, Inc	758	151346	IMMIGRANTE	MS8178AY	N	
7	RJR Fisheries, Inc	550	320112	SEVEN SEAS	501873	N	
7	Cockeast Fisheries, Inc	1080	223692	DRAKE	1197107	Y	
7	Cockeast Fisheries, Inc	1764	150936	MANDRAKE	R19831U	Y	
7	SAI Fisheries, Inc	1082	410323	ENDURANCE	657549	Y	
7	M & P Fishing Corp	1005	410186	FISHERMAN	605059	Y	
7	Fish Tails Gloucester LLC	420	148172	FISH TAILS	MS3155AM	Y	
7	William Borges	2034	231511	HOLLY JEAN	914805	Y	
7	John & Nicholas, Inc	832	330865	JOHN & NICHOLAS	1102517	Y	
7	Lucimar, Inc	1036	410234	LUCIMAR	615081	Y	
7	FV Majestic, Inc	1119	410392	MAJESTIC	689673	Y	
7	FAT Fishing Corp	815	330359	MAYFLOWER	609985	Y	
7	Captain WP McCann. Inc	521	310433	PILGRIM	654389	Y	
7	Captain WP McCann. Inc	1697	320113	SHAMROCK	503492	Y	
7	Willis E Blount Com Fishing Co	809	330351	RUTHIE B	610395	Y	
7	Cura & Borges Fishing Co	748	330198	SAO PAULO	581723	Y	
7	Lucinda Fishing Corp	1000	410180	SAO MARCOS II	603986	Y	
7	Sea Siren Fisheries, Inc	778	330283	SEA SIREN	600188	Y	
7	Shelby Ann	792	330313	SHELBY ANN	602895	Y	
7	Collin & Warren, Inc	829	330828	COLLIN & WARREN	1098473	Y	

1 **EXHIBIT C**

2 **HARVESTING RULES**

3 **Fishing Year 2012 (May 1, 2012 to April 30, 2013)**

4 *The Members and the Participating Vessels of **VII, Northeast Fishery Sector Inc.** agree to be legally*
5 *bound to follow the Harvesting Rules for the Fishing Year 2012 as described herein, in accordance with*
6 *all provisions of the Sectors Operations Plans and Agreement (herein "Agreement"), notwithstanding*
7 *those rules and regulations applicable to the common pool Multispecies vessels. Members and the*
8 *Participating Vessels of NEFS VII will fish in primarily in the entire EEZ.*

9 **ANNUAL CATCH ENTITLEMENT:** (as determined by NMFS)

GOM Cod	GB Cod	GOM Haddock	GB Haddock	CC/GOM Yellowtail Flounder	GB Yellowtail Flounder:	SNE/MA Yellowtail Flounder
	Eastern:		Eastern:			
	Western:		Western:			
Pollock	Redfish	White Hake	American Plaice	GOM Winter Flounder	GB Winter Flounder	Witch Flounder

10
11 **1. ANNUAL CATCH ENTITLEMENT:** The members agree that they will not collectively harvest more
12 than the Sector ACE, as adjusted by transfers, for any allocated groundfish stocks. Furthermore, the
13 members agree that once an annual ACE is reached no member will fish commercially with any
14 fishing gear capable of catching any of the allocated groundfish stocks or other species managed
15 under plan within the applicable area(s): except in those situations where a member is participating
16 in an exempted fishery. The Sector members may resume fishing activities if additional ACE is
17 secured through inter-sector ACE transfer.

18 **2. QUOTA MANAGEMENT:** Sector vessels, the dealers to which they are delivering fish and dockside
19 monitors will use a PC based software for collecting data, reporting catch, landings and discards, and
20 reporting catch area information for logbook and stock attribution purposes. The Sector will utilize a
21 quota release program that sets forth overall sector quota (ACE) release targets by species and
22 individual member Harvest Share targets as they relate to the Sector targets. Interim and annual
23 targets will be considered in the development of the Sector's Fishing Plan. The Sector Manager will
24 monitor the trajectories to interim and annual targets for the Sectors ACE's as well as for the
25 individual members Harvest Shares. The Sector expects to utilize ACE Transfers to balance the
26 Sector's ACE during the fishing year to prevent exceeding Sector ACE and to assist Members Harvest
27 Share management.

- 1 **3. RESERVE:** For each stock held by the Sector, the quota release program will utilize an initial target
2 trajectory that is not to exceed 90% of the current quota held by the Sector as adjusted by ACE
3 transfers. The remaining 10% is the minimum aggregate total of the RESERVE buffer system. The
4 Sector, through their Board, may alter the RESERVE holdback percentages for any or all stocks held
5 by the Sector to prevent under harvest of the Sector’s ACE.
- 6 **4. SLOWING CATCH:** The quota release program will incorporate a list of thresholds for both Sector
7 ACE and member Harvest Shares, for the purposes of alerting the Sector Manager and members.
8 Thresholds to “Slow Catch”, “Initiate Trading” and “Cease Fishing” will be incorporated into the
9 Sector quota monitoring system. Members Harvest Shares are net from the Reserve. Therefore,
10 Harvest Shares trajectories will be set to the Harvest Share. Once 90% of any Sector ACE is
11 attained, slowing mechanisms such as tiered landing limits that apply differential counting of quota
12 or service fees to each tier in excess of agreed landing limits may be utilized. When such slowing
13 mechanisms are triggered or at any time during the fishing year, the Board may direct the Sector
14 Manager to seek additional ACE through an ACE transfer with other sectors.
- 15 **5. FULL RETENTION OF LEGAL SIZED FISH:** All legal sized fish of allocated stocks harvested during the
16 fishing operations must be retained and counted against the Sector’s ACE allocation, unless
17 otherwise exempted.
- 18 **6. DAYS AT SEA:** Each participating permit and participating vessel will be allocated Days-At-Sea (DAS)
19 by the Regional Administrator. Sector Member permits will not be subject to the DAS reduction
20 proposed in Amendment 16 for common pool vessels. Members will be required to use an “A DAS
21 when conducting fishing operations that are not exempted from DAS usage, for example, when
22 fishing under a monkfish DAS.
- 23
- 24 **7. STOCK AREA DECLARATION:** Prior to leaving port, sector vessels will declare one or more than one
25 of the four stock delineation areas as identified in Amendment 16 and relating implementing
26 regulations.
- 27
- 28 **8. TRIP END HAIL:** Sector vessels will comply with any Trip End Hail requirements established by the
29 Agency.
- 30
- 31 **9. VESSELS FISHING MULTIPLE STOCK AREAS:** If a vessel declares into multiple stock areas the vessel
32 will complete a catch report each time the vessel changes areas.
- 33
- 34 **10. FISHING IN US/CA AREAS:** When fishing in the US/CA area, a sector vessel that fishes in more than
35 one US/CA area or more than one of the four stock areas will complete a catch report each time the
36 vessel changes areas. Sector vessels will track their Eastern US/CA sub-ACE for Cod and Haddock
37 separately while fishing in the Eastern Area. Sector vessels may fish in all US/CA areas as well as
38 Open areas in the same trip. In addition to VMS declaration requirements, the vessel will declare
39 the stock areas (of the Four A16 reporting areas) intended to be fished prior to starting a trip.
- 40
- 41 **11. CLOSED AREAS:** Participating vessels may fish in closed areas to the extent authorized by NMFS.
- 42
- 43 **12. CATCH REPORTS:** All Active Member vessels fishing groundfish will be required to submit complete
44 catch records to the Sector Manager electronically via VMS email or other electronic means prior to

1 entering port to End a Trip. Catch reports will include, at a minimum, all data elements of fully
2 compliant VTR logbook record/s and the Dockside Monitoring Program. The Sector Manager may
3 modify, at his/her discretion, the frequency of reporting transmissions to meet programmatic (SAP)
4 or internal quota management requirements. In the event a Member vessel is unable to submit his
5 catch records electronically, the Member, will have no more than twenty-four (24) hours to provide
6 such reports to the Sector Manager, upon completion of vessel offload.
7

8 **13. VESSEL LOGBOOKS (VTRs/e-VTR):** All sector members will comply with applicable reporting
9 requirements including submission of Vessel Trip Reports (VTRs). If Electronic Vessel Trip Reports (e-
10 VTRs) are approved by the Regional Administrator, Sector Members will submit e-VTRs in the format
11 required by NERO. Sector Members will execute all documents necessary to meet legal
12 requirements for the purpose of facilitating e-VTR service.
13

14 **14. WEEKLY/DAILY REPORTS:** The Sector Manager, or his/her designated representative, will submit
15 weekly or daily Sector Reports of all landings and discards by sector vessels, to NMFS. The Sector
16 will submit required reports, using the format and procedures prescribed by NMFS. The reports
17 required by NMFS are the *Sector Manager ACE Status Report*, *Sector Manager Detailed Report*, and
18 *Sector Manager Trip Issue Report* as codified in §648.87(b)(1)(vi)(B). Specifically, the *Sector Manager*
19 *Detailed Report* provides information down to the sub-trip level about each sector trip for a given
20 week, regardless of completeness of the data. The information includes stock, gear, mesh
21 categories, landing amounts, discards and total catch. The *Sector Manager Trip Issue Report*
22 provides information about the sector trips for a given week that have enforcement or other issues.
23 One *Issue Report* is submitted per reporting period. The *Sector Manager ACE Status Report*
24 documents the ACE status calculations, which allows NMFS to cross check totals as stipulated in
25 Amendment 16. In the event that the Sector triggers daily reporting for a specific allocated stock,
26 the *Sector Manager Daily ACE Status Report* provides the mean for a sector manager to report their
27 ACE status calculations on a daily basis if either of the two thresholds specified in 14.1 below are
28 reached in the current FY. These reports are cumulative in nature from the start of the fishing year
29 until the current reporting week and are adjusted retroactively as data becomes available or issues
30 documented in JIRA are resolved. JIRA is an issue tracking application implemented by NMFS, which
31 should be used to report all data quality issues to the appropriate people for research and
32 correction process.
33

34 **14.1 REPORTING THRESHOLDS THAT TRIGGER DAILY REPORTING:** The reporting due date for
35 the sector manager's weekly report will be increased to daily when either 80% of any of the
36 sector's ACEs is reached, or when, for two consecutive weekly reporting periods 20% or
37 more of the remaining portion any ACE is harvested, whichever occurs first. Thus, for the
38 latter trigger point, if a sector in one week harvests 25% of the remaining ACE for Gulf of
39 Maine cod for that sector, and the following week harvests 22% of that ACE, the trigger will
40 have been reached and sector reporting will be changed to daily. The Sector Manager, or a
41 designated representative, will notify NMFS immediately by email, or mail if this, or an
42 agreed upon alternative threshold has been meet that triggers daily reporting.
43

44 An alternative threshold for increasing reporting frequency may be implemented during FY
45 2012 if agreed upon by the sector and NMFS.

1 **14.2 ENFORCEMENT ISSUES:** The Members acknowledge that the Sector Manager will include
2 any enforcement or reporting compliance issues, including violations of Operations Plan
3 (excluding those sections identified as administrative provisions); regulations; or general
4 problems with monitoring or sectors operations in their *Trip Issue Report* which is
5 submitted to NMFS.
6

7 **15. ANNUAL REPORT:** Within sixty (60) days of the end of the fishing year the Sector Manager will
8 submit an annual report to NMFS and the Council that summarizes: fishing activities of Members,
9 including harvest levels of all species by sector vessels (landings and discards by gear types);
10 enforcement actions; and any other relevant information required to evaluate the performance of
11 the Sector. In addition, the Annual Report will report the number of sector vessels that fished for
12 regulated groundfish and their permit numbers (when such disclosure does not violate protection of
13 confidentiality); number of vessels that fished for other species; method used to estimate discards;
14 landing port used by sector vessels while landing groundfish; and any other additional information
15 requested by the Regional Administrator for inclusion in the Annual Report. The Sector will submit
16 required reports using the format and procedures prescribed by NMFS.
17

18 **16. DATA RECONCILIATION:** The Sector Manager will verify that Dealer and Dockside Monitor (if the trip
19 is monitored) are consistent. The Sector will receive the data electronically to expedite and
20 automate data reconciliation. If a discrepancy is detected, the Sector Manager will notify the DM
21 vendor and the Dealer of the discrepancy and will note discrepancies on the weekly report until
22 resolved. For the purpose of monitoring Sector ACE and preventing ACE overages, the Sector
23 Manager will consider the higher value(s) of landings from each report (Dealer Weigh Out Slip and
24 DSM) until the discrepancy is reconciled. The Sector Manager will work with the DSM and Dealer
25 and keep a reconciliation record and/or document signed by the dealer and the DSM vendor once
26 reconciled.
27

28 **17. STOCK ATTRIBUTION:** The Sector Manager will utilize landings information from each trip and apply
29 logbook area information to calculate stock attribution ratios for all applicable species.
30

31 **18. DISCARD RATES AND IN-SEASON DISCARD ESTIMATES:** The Sector manager (or his/her designated
32 representative) will derive stock specific discards for each trip. If the trip is observed by either an at-
33 sea monitor or a Northeast Fisheries Observer Program (NEFOP) observer, discards will be derived
34 based on data collected during that trip and will account for all hauls (observed and unobserved) on
35 that trip. If the trip is not observed, discards will be derived using the NMFS-provided discard rate
36 resulting from the NMFS (peer-reviewed and approved) method to estimate 'in-season' discard
37 rates.
38

39 **19. ADMINISTRATIVE EXEMPTION:** In order to facilitate electronic data transmission from the sector's
40 vessels to a data collection and distribution web portal, an administrative exemption may or may
41 not be required to allow the server to relay catch reports and logbook data on behalf of sector
42 member vessels.
43

44 **20. DATA MANAGEMENT:** The sector vessels will be transmitting catch data electronically via the email
45 messaging component of their VMS units. All data necessary for sector ACE management, including
46 all elements of VTR logbook and daily / weekly reporting requirements will be sent in compressed
47 formats to minimize characters and maximize message capacity. Notwithstanding reporting
48 requirements that cannot be altered by a sectors operations plan, the **Sector's server** will be

1 capable of collecting, storing, converting and relay all data elements necessary to meet all reporting
2 requirements in the formats required by the recipients.
3

4 The Sector, acting through its Manager, will maintain database(s) of vessel trip reports (VTR), dealer,
5 At Sea (ASM), and NEFOP Observer reports. In addition, the Sector will maintain any other database
6 it determines necessary for its operations.

7
8 **21. PROOF OF SECTOR MEMBERSHIP:** Upon approval of the Sector, each sector vessel will be issued a
9 Letter of Authorization (“LOA”). Each Member agrees that its sector vessels shall maintain the LOA
10 on-board at all times while fishing for groundfish, as well as a copy of the Sector Agreement and
11 Harvesting Rules. Furthermore, Members acknowledge that the Vessels must comply with all
12 applicable Federal regulations not specifically exempted in the LOA.
13

14 **22. SECTOR SPECIFIC EXEMPTIONS:** As referenced in §4.0 of this Agreement all Sectors are granted the
15 following Universal Exemptions.

- 16 • Trip limits on allocated stocks
- 17 • Seasonal Closed Areas (currently Georges Bank seasonal closure in May)
- 18 • All Groundfish DAS restrictions
- 19 • Gulf of Maine Rolling Closures, **except for:**
 - 20 ○ April – Blocks 124, 125, 132, 133
 - 21 ○ May – Blocks 132, 133, 138, 138,139,140
 - 22 ○ June – Blocks 139, 140, 145, 146, 147, 152
 - 23 ○ *Unless authorized as a sector specific exemption.*

24
25 In addition to the Universal Exemptions granted to all Sectors, as referenced above and in §4.0 of
26 this Agreement, Members agree to abide by the following obligations as Authorized in their LOA, in
27 order to utilize these Sector Specific Exemptions:
28

- 29 **22.1** 6.5 inch codend (16.5 cm) minimum mesh size requirement for trawl gear utilizing a codend
30 mesh size of 6.0 inch (15.2 cm) or greater by vessels on a targeted redfish trip when a
31 NEFOP or ASM is onboard.
- 32 **22.2** 120 Day Gillnet Block Out of the fishery.
- 33 **22.3** 20 Day Spawning Block.
- 34 **22.4** Prohibition on a vessel’s hauling another vessel’s gillnet gear (Community Fixed Gear)
- 35 **22.5** Limitation on the number of Gillnets that may be hauled on GB when fishing on a
36 Groundfish/Monkfish DAS.
- 37 **22.6** Limitation on the number of gillnets for day gillnet vessels.
- 38 **22.7** Limitation on the number of hooks.
- 39 **22.8** Length and horsepower restrictions of the DAS leasing program.
- 40 **22.9** Access to GOM Haddock Sink Gillnet program.
- 41 **22.10** Access to the GOM Haddock Sink Gillnet program in May (continuation of the exemption
42 from minimum mesh size to target haddock).
- 43 **22.11** Daily Catch reporting by Sector Managers for Sector vessels that fish in the Closed Area 1
44 Hook Gear Haddock Special Access Program.
- 45 **22.12** Gear Requirements in US/CA Management Area.

- 1 **22.13** Requirement to maintain VMS powered while at dock.
- 2 **22.14** Prohibition on fishing inside and outside of the CA I Hook Gear Haddock SAP while on the
- 3 same trip.
- 4 **22.15** Prohibition on a vessel hauling another vessel's hook gear
- 5 **22.16** Requirement to declare intent to fish in the Eastern U.S./Canada SAP and CA II Yellowtail
- 6 Flounder/Haddock SAP prior to leaving the dock.
- 7

8 **23. AT SEA MONITORING PROGRAM:** The Sector will be participating in the NMFS funded at-sea-

9 monitoring program for FY2012. In the event, the Sector chooses to increase at-sea coverage

10 beyond that which is funded and managed by NMFS, the sector will secure a service arrangement

11 with one or more of the certified ASM vendors and assume all costs and responsibilities associated

12 with this private contractual arrangement. Any additional at-sea monitoring coverage beyond the

13 NMFS-funded level will be provided pursuant to an independent contract between the Sector and a

14 NMFS approved provider. This additional at-sea monitoring coverage will have vessel and trip

15 selection coordinated through NMFS, will be conducted in the same manner with the same

16 protocols as the NMFS-funded ASM program, and will use approved at-sea monitors. Also, this

17 additional coverage will not be allowed to replace or interfere with either the coverage of NEFOP or

18 the NMFS-funded at-sea monitoring program.

19 **24. DOCKSIDE MONITORING PROGRAM:** In the event DSM is funded by NMFS, the sector will modify

20 its operations plan in accordance with applicable NMFS Dockside Monitoring Standards as codified

21 in 50 CFR 648.7(b)(1)(v)(B), as well as any internal needs that the Sector deems necessary.

22 Additionally, the Sector will contract one or more providers from the list that has been approved by

23 NMFS to provide dockside monitoring and notify NMFS of its selection.

24 **24.1** In the event DSM is funded, the Sector will utilize the following exemptions that were

25 reapproved in FY 2012.

26 **24.1. 1** Dockside monitoring requirements for vessels fishing west of 72-30'.

27 **24.1. 2** DSM requirements for Hand gear A permitted sector vessels.

28 **24.1. 3** DSM requirements for monkfish trips in the monkfish southern fishery management

29 area.

30 **25. OFFLOADING PORTS:** The following list represents those ports where sector vessels are authorized

31 to offload. Additionally, sector vessels are authorized to land fish to trucks within these same

32 locations.

33

Primary Port(s) of Landing	Secondary Port(s) of Landing
Massachusetts: New Bedford	Massachusetts: Fall River, Gloucester, Provincetown, Chatham, Hyannis, Nantucket. Maine: Portland New York: Montauk Rhode Island: Point Judith

	Virginia, North Carolina
--	--------------------------

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37

26. SAFE HARBOR PROTOCOL: To promote safety at sea, the Sector sets forth the following protocol for variance from the landing ports listed. If for reasons beyond a vessel operators control such as severe weather, mechanical failures, compromised hull integrity, instances of pump failures and danger of sinking, crew injury or life threatening illness and any other emergency situations that may arise, a sector vessel may enter a port other than those listed as “Landing Ports” to ensure the safety of the vessel and its crew. In the event that a Sector Vessel must utilize this safe harbor protocol, they must notify their Manager of when and where they had to seek safe harbor within 6 hours of this entering the port.

27. SECTOR UNDERSTANDING AND ACKNOWLEDGMENTS: Sector Members understand and acknowledge that the following provisions have been interpreted by NMFS as applicable to all operating sectors. Sector Members acknowledge this applicability and where appropriate utilize these universal interpretations within their sector management and operations:

27.1 INTRA-SECTOR DAYS AT SEA (DAS) LEASING: Days at Sea may be leased intra-sector (between members) within the guidelines and procedures contained in the FMP and as amended by Amendment 16. The Sector would accept any future relief in the length and horsepower constraints of the program that may be authorized by the RA in the future.

27.2 INTER-SECTOR DAYS AT SEA (DAS) LEASING: Members who wish to lease Days-at-Sea (DAS) outside of the Sector are authorized under this provision to do so, only with Members of other Sectors whom are similarly exempt. Members acknowledge that such DAS leasing would not be exempted from existing length and horsepower constraints as currently contained in applicable regulations.

27.3 PAPER VTR: Members acknowledge that they are bound to all applicable reporting requirements. Sector vessels shall continue using paper VTRs for FY 2012, as required by regulations. However, electronic vessel trip reporting (e-VTR) systems for transmission and submission of required VTR reports have been authorized by the Regional Administrator using a phased implementation process. The Sector and its Members may utilize e-VTR in accordance with the phased implementation process.

27.4 ADDITIONAL EXEMPTIONS: Members note that NMFS is generating one Environmental Assessment for all sectors seeking authorization for Fishing Year 2011, and that NMFS communication has stated that if an exemption is approved for one Sector, all other authorized Sectors can be similarly approved for that specific exemption based on the terms and conditions of the originally requesting sector. In light of this understanding, NEFS VII will request authorization for such exemptions it deems beneficial for its operations, prior to the publication of the final authorizing rule.

1
2
3
4
5
6
7
8
9
10

1

EXHIBIT D

NEFS VII Penalty Schedule			
VIOLATION REGARDING REPORTING, DOCUMENTATION REQUIREMENTS:			
VIOLATION	FIRST OFFENSE	SECOND OFFENSE	THIRD OFFENSE
All violations including but not limited to: providing false statements or supporting documentation on applications or reports to the Sector; late reporting or non-reporting; unreasonable interference with onboard and dockside data collectors; failing to participate in Sector Catch Monitoring Programs; (technical and minor violations may result in a letter of warning).	Written Warning or up to \$5000.00	Written Warning and up to \$7,500.00.	Written Warning and up to \$10,000.00 and/or stop fishing order.
VIOLATION REGARDING EXEMPTION PERMIT REQUIREMENTS			
All violations including but not limited to: failure to comply with a permit condition/restriction/letter of authorization issued to Sector Vessels by the Regional Administrator; or failure to comply with VMS/DAS requirements. (technical and minor violations may result in a letter of warning).	Written Warning or up to \$10,000.00	Written Warning and \$10,000.00-\$50,000.00.	Written Warning and up to \$100,000.00 and/or stop fishing order.
VIOLATION REGARDING TIME/AREA/GEAR RESTRICTIONS			
All violations including but not limited to: exemption areas, closed fisheries, closed season, restricted gear/management areas. (technical and minor violations may result in a letter of warning).	Written Warning or up to \$20,000.00	Written Warning and \$20,000.00-\$50,000.00.	Written Warning and up to \$100,000.00 and/or expulsion.
VIOLATIONS THAT PLACE THE SECTOR AGREEMENT AT RISK			
All violations including but not limited to a violation of a stop fishing order, fishing in a closed area, transfer of fish from non-sector vessel to a sector vessel, transfer of fish from sector vessel to a non-sector vessel; subverting the reporting requirements or any other action so egregious that it would severely jeopardize the Sectors existing and future authorization(s).	Written Warning and up to \$50,000.00 or stop fishing order.	Stop fishing order or Expulsion.	Expulsion.

2

3

4

1 **Administrative Provisions Addendum:**

2 Notwithstanding regulatory authority granted in other regulations the following provisions represent
3 those sections of **NEFS VII** Agreement and related Exhibits that are Administrative in nature and
4 therefore not subject to enforcement by the National Marine Fisheries Service, as required to be
5 specified by sector regulations 50 CFR 648.87(b)(2)(x).

6 **SECTOR OPERATIONS PLAN AND AGREEMENT**

7 1. Sector Name.

8 2. Sector Eligibility and Membership.

9 4. Sector Allocation and Exemptions.

10 5. Distribution of Sector ACE.

11 6. Sector Manager and Registered Agent.

12 6.1 Communication with Sector.

13 7. Consolidation Plan.

14 7.1 Harvest Share Reserve.

15 7.2 Harvest Share Use. Section 7.2 is administrative except to the extent that it applies to the
16 Sector managers ability to impose and utilize legal means to recover Liquated damages as
17 authorized in section §10.10 of this agreement, in which case NMFS enforcement procedures
18 may apply.

19 7.2.1 Non-Active Members. Section 7.2.1 is administrative except to the extent that it
20 applies to the Sector managers' ability to impose and utilize legal means to recover damages as
21 authorized in section §10.10 of this agreement, in which case NMFS enforcement procedures
22 may apply.

23 7.3 Harvest Share Transfer.

24 7.4 Harvesting Rules and Fishing Plan. Section 7.4 is administrative except to the extent that it
25 applies to Harvesting Rules Sections 1, 5, 6, 7, 11, 17, and 18, which are enforceable and
26 therefore not considered administrative under this section.

- 1 7.5 Re-direction Of Effort.
- 2 7.6 Sector Vessel Interactions with Allocated Species in Non-Amendment 16 Fisheries.
- 3 7.7 Consolidation and Redistribution of ACE:
- 4 8. Release of Catch Data.
- 5 9. Catch Monitoring and Reporting. Section 9 is administrative except to the extent that it applies to
- 6 Harvesting Rules Sections 13, 14, and 15, which are enforceable and therefore not considered
- 7 administrative under this section.
- 8 10. Breach and Remedies for Breach.
- 9 10.1 Liquidated Damages Schedule and Schedule Amendments.
- 10 10.2 Enforcement Committee.
- 11 10.3 Liquidated Damages Base Value and Multiplier Adoption.
- 12 10.4 Liquidated Damages Calculation.
- 13 10.5 Notice to Vessel Masters; Assumption of Liability.
- 14 10.6 Liquidated Damages Security.
- 15 10.7 Manager Action in Response to Apparent Breach.
- 16 10.8 Member Appeals.
- 17 10.9 Voluntary Compliance.
- 18 10.11 Consequential Damages for Gross Negligence or Willful Misconduct.
- 19 10.12 Distribution of Damages.
- 20 11. Joint Liability and Indemnification.
- 21 12. Membership Termination
- 22 15. Permit Transfer/Sale.

- 1 16. Release and Waiver of All Claims Against Sector Manager; Indemnification and Hold Harmless.
- 2 17. Sector Membership Fees.
- 3 18. Binding Arbitration.
- 4 19. No Collective Marketing.
- 5 20. Amendment and Incorporation by Reference.

7 EXHIBIT C

8 HARVESTING RULES

- 9 2. QUOTA MANAGEMENT:
- 10 3. RESERVE:
- 11 4. SLOWING CATCH:
- 12 12. CATCH REPORTS:
- 13 16. DATA RECONCILIATION:
- 14 19. ADMINISTRATIVE EXEMPTION:
- 15 20. DATA MANAGEMENT:
- 16 23. AT-SEA MONITORING PROGRAM: In the event that the Sector chooses to increase at-sea monitoring
- 17 coverage, above that which is funded and managed by NMFS, the additional sector funded monitoring
- 18 will be administrative, except in those specific situations where NMFS enforcement would apply.
- 19 24. DOCKSIDE MONITORING PROGRAM: In the event that DSM is funded by NMFS the Sector will
- 20 modify its operations plan and this addendum accordingly to reflect those provisions of DSM that are
- 21 administrative in nature.
- 22 26: SAFE HARBOR PROTOCOL:
- 23 27. SECTOR UNDERSTANDING AND ACKNOWLEDGEMENTS
- 24 Exhibit D is administrative.

EXPLANATORY ADDENDUM

Per request by NMFS this **explanatory text** is being provided to identify in one location Right of First Offer (“ROFO”) and Right of First Refusal (“ROFR”). ROFO and ROFR are two separate and distinct provisions that deal with harvest share transfers and permit sales, respectively; it is inaccurate to construe them as meaning the same thing. Nothing within this explanatory addendum should be considered as part of the Sector governing documents which the Members have agreed to follow, all questions regarding these provisions should be directed to their respective sections in the governing documents:

§ 7.3 Harvest Share Transfers: Right of First Offer i.e. ROFO will be used for intra and inter sector harvest share transfers.

§ 15 Permit Transfer/Sale: Right of First Refusal i.e. ROFR will be used for permit sales or transfers.

INFORMATIONAL ADDENDUM

Per request by NMFS the table below identifies specific points of contacts and their responsibilities, which the Agency may utilize to determine appropriate communication stream for inquiries.

Sector Communications Contacts					Mailing Address			
Name	Title	Responsibility	Email	Phone	Street 1	City	State	Zip
Linda McCann	Manager	Day-to-Day Sector Operations	Nbsector07@comcast.net	(508) 984-0900	114 MacArthur Dr.	New Bedford	MA	02740
Elizabeth Etrie	Program Director, Northeast Sector Service Network	Data Management i.e. questions regarding software systems utilized by Sector for Weekly Report Computation	Libby.Etrie@gmail.com	(978) 491-1838	85 Eastern Ave. Suite 104	Gloucester	MA	01930
Linda McCann	Manager	Reporting i.e. vessel reporting requirements involving sector trips.	Nbsector07@comcast.net	(508) 984-0900	114 MacArthur Dr.	New Bedford	MA	02740
Jackie Odell	Executive Director, Northeast Seafood Coalition	Policy Issue	jackie_odell@yahoo.com	(978) 283-9992	4 Parker Street	Gloucester	MA	01930
Linda McCann & Elizabeth Etrie	Sector Manager, Program Director NESSN	Sector Specific Research & Outreach	Nbsector07@comcast.net Libby.Etrie@gmail.com	(508) 984-0900 (978) 491-1848	114 MacArthur Dr. 85 Eastern Ave. Suite 104	New Bedford Gloucester	MA	02740 01930

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 23 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Donny C Doc/Reg # 516305
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Jon R Wright*

Owner/Entity Name:

EXPEDITION FISHING Co., Inc

Name of Authorized Representative (print):

JONATHAN R WRIGHT

Title: OWNER

List all permits including those listed in 4.

Permit Number: 410074 MRI: 1231

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 18 day of NOV, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>DRAKE</u>	Doc/Reg #	<u>1197107</u>
Fishing Vessel Name	<u>MANDRAKE</u>	Doc/Reg #	<u>B19831 U</u>
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

COCKEAST FISHERIES, INC

Name of Authorized Representative (print):

Charles Borden

Title: President

List all permits including those listed in 4.

Permit Number: 223692 MRI 1080

Permit Number: 132109-MA MRI -

Permit Number: 150936 MRI 1764
MPURP

Permit Number: 000661-RI MRI

Permit Number: MRI

Permit Number: MRI

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 8 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>ENDURANCE</u>	Doc/Reg #	<u>657549</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

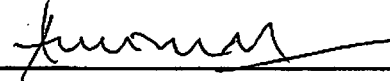
5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

SAI FISHERIES, INC

Name of Authorized Representative (print):

ERMANDO ESTUDANTE

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 410323 MRI 1082

Permit Number: 142508-MA MRI _____

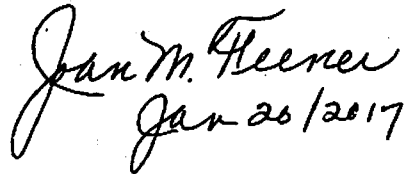
Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:


Jan 26 / 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 15 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name FISHERMAN Doc/Reg # 605059
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Pedro R Cura

Owner/Entity Name:

M+P FISHING CORP

Name of Authorized Representative (print):

Pedro R CURA

Title: owner

List all permits including those listed in 4.

Permit Number: 410186 MRI 1005

Permit Number: 006692-MA MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jun 20 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 16 day of November 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Fish Tails Doc/Reg # MS 3155 AM
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

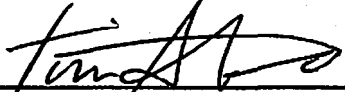
5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

TIM STEVENS / FISH TAILS Gloucester LLC

Name of Authorized Representative (print):

TIM STEVENS

Title: OWNER

List all permits including those listed in 4.

Permit Number: 148172 MRI 420

Permit Number: 639298-MA MRI -

Permit Number: 10104949 MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:



KATHLEEN SEATON
NOTARY PUBLIC-STATE OF NEW YORK
No. 01SE6067286
Qualified in Suffolk County
Commission Expires December 03, 2013

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 9 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>Holly Jean</u>	Doc/Reg #	<u>914805</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. William Borges

Owner/Entity Name:

William Borges

Name of Authorized Representative (print):

WILLIAM BORGES

Title: OWNER

List all permits including those listed in 4.

Permit Number: 231511 MRI 2034

Permit Number: 006192-MA MRI -
MPL-

Permit Number: 00031-RI MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20/2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 21 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>IMMIGRANTE</u>	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Manuel F. Catulo*

Owner/Entity Name:

BOAT IMMIGRANTE, INC

Name of Authorized Representative (print):

MANUEL F. CATULO

Title: Pres.

List all permits including those listed in 4.

Permit Number: 330221 MRI 758

Permit Number: 005642-MA MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 18 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name John + Nicholas Inc Doc/Reg # 1102517
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Warren Alexander

Owner/Entity Name:

John & Nicholas, Inc.

Name of Authorized Representative (print):

WARREN ALEXANDER

Title: Pres

List all permits including those listed in 4.

Permit Number: 330865 MRI 832

Permit Number: 152699-MA MRI _____

Permit Number: F-1148600-NC MRI _____

Permit Number: 381350-NC MRI _____

Blk Sea Bass 64-VA
Flyke
Permit Number: 39-VA MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20 2017
JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 16 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name LUCIMAR Doc/Reg # 615081
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Mario Ribeiro

Owner/Entity Name:

LUCIMAR, INC

Name of Authorized Representative (print):

MARIO RIBEIRO

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 410234 MRI 1036

Permit Number: 010154-MA MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017
JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 18 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>MAJESTIC</u>	Doc/Reg #	<u>689673</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT:

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Michael Smith

Owner/Entity Name:

F/V MAJESTIC, INC

Name of Authorized Representative (print):

MICHAEL SMITH

Title: OWNER

List all permits including those listed in 4.

Permit Number: 410392 MRI 1119

Permit Number: 069617-MA MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 22 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>MAYFLOWER</u>	Doc/Reg #	<u>609985</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. 

Owner/Entity Name:

F.A.T. FISHING CORP

Name of Authorized Representative (print):

Antonio Afonso

Title: owner

List all permits including those listed in 4.

Permit Number: 330359 MRI 815

Permit Number: 000658-MA MRI —

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 15 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name SHAMROCK Doc/Reg # 503492
Fishing Vessel Name PILGRIM Doc/Reg # 654389
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. William P. McCann

Owner/Entity Name:

CAPTAIN WP McCANN, INC

Name of Authorized Representative (print):

William P. McCann

Title: Pres.

List all permits including those listed in 4.

Permit Number: 320113 MRI 1697

Permit Number: 141861-MA MRI -

Permit Number: 310433 MRI 521

Permit Number: 153896-MA MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20 2017
JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 10 day of Nov 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Ruthie B Doc/Reg # 610395
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Willis E Blount, pres.

Owner/Entity Name:

Willis E Blount Com Fishing Co

Name of Authorized Representative (print):

WILLIS E. BLOUNT

Title: PRES.

List all permits including those listed in 4.

Permit Number: 330351 MRI 809

Permit Number: 001698-MA MRI -

Permit Number: 10020333 MRI -

Permit Number: SFW-27 MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 10 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>Sao Marcos II</u>	Doc/Reg #	<u>603986</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Antonio L. Sao Marcos

Owner/Entity Name:

LUCINDA FISHING CORP

Name of Authorized Representative (print):

ANTONIO L. SAO MARCOS

Title: OWNER

List all permits including those listed in 4.

Permit Number: 410180 MRI 1000

Permit Number: 116930-MA MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 9 day of Nov. 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Sao Paulo Doc/Reg # 581723
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Antonio J.R. Borges

Owner/Entity Name:

Cura & Borges Fishing Corp

Name of Authorized Representative (print):

ANTONIO J.R. BORGES

Title: Vice President & Clerk + Treasurer.

List all permits including those listed in 4.

Permit Number: 330198 MRI 748

Permit Number: 002704-MA MRI -

RI-
Permit Number: 345458 MRI -

Permit Number: 10020220 MRI -

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 21 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Sea Siren Doc/Reg # 600188
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT:

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Maime S. Luago*

Owner/Entity Name:

Sea Siren Fisheries, Inc

Name of Authorized Representative (print):

MSU

Title: *Owner*

List all permits including those listed in 4.

Permit Number: *330283* MRI *778*

Permit Number: *004081-MA* MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 18 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Seven Seas Doc/Reg # 501873
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. *Rodney M. Avika*

Owner/Entity Name:

BJR Fisheries, Inc

Name of Authorized Representative (print):

Rodney M Avika

Title: *President*

List all permits including those listed in 4.

Permit Number: *320112* MRI *550*

Permit Number: *009225-MA* MRI *-*

Permit Number: *18233-RI* MRI *-*

Permit Number: *VA-21033481-001* MRI *-*

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 17 day of November 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name	<u>Shelby Ann</u>	Doc/Reg #	<u>602895</u>
Fishing Vessel Name	_____	Doc/Reg #	_____
Fishing Vessel Name	_____	Doc/Reg #	_____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Tim Hauser

Owner/Entity Name:

Shelby Ann - Hardsbottom Fisheries Inc.

Name of Authorized Representative (print):

Tim Hauser

Title: President

List all permits including those listed in 4.

Permit Number: 330313 MRI 792

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

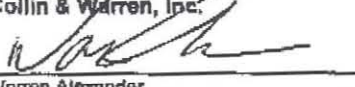
Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E. R. Hauser
My commission expires 01/06/14

OMB APPROVED 1625-0027
EXPIRATION DATE:

U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-1340 (REV. 08/04)	BILL OF SALE	THIS SECTION FOR COAST GUARD USE ONLY
1. VESSEL NAME 148TG	2. OFFICIAL NUMBER OR HULL ID NUMBER MEZK05970080	RECORDED: BOOK: PAGE: PORT (IF NOT FILING PORT) DOCUMENTATION OFFICER:
3. NAME(S) AND ADDRESS(ES) OF SELLERS: Collin & Warren, Inc. 100% 607 Seashore Road Cape May, NJ 08204 3A. TOTAL INTEREST OWNED (IF LESS THAN 100%) _____ %		
4. NAME(S) AND ADDRESS(ES) OF BUYER(S) AND INTEREST TRANSFERRED TO EACH: Teresa Marie III, Inc. 100% Post Office Box 288 Portland, ME 04112 4A. TOTAL INTEREST TRANSFERRED (100% UNLESS OTHERWISE SPECIFIED) _____ % 4B. MANNER OF OWNERSHIP. UNLESS OTHERWISE STATED HEREIN, THIS BILL OF SALE CREATES A TENANCY IN COMMON, WITH EACH TENANT OWNING AN EQUAL UNDIVIDED INTEREST. CHECK ONLY ONE OF THE FOLLOWING BLOCKS TO SHOW ANOTHER FORM OF OWNERSHIP. <input type="checkbox"/> JOINT TENANCY WITH RIGHT OF SURVIVORSHIP <input type="checkbox"/> TENANCY BY THE ENTIRETIES <input type="checkbox"/> COMMUNITY PROPERTY <input type="checkbox"/> OTHER (DESCRIBE)		
5. CONSIDERATION RECEIVED: (ONE DOLLAR AND OTHER VALUABLE CONSIDERATION UNLESS OTHERWISE STATED)		
6. I (WE) DO HEREBY SELL TO THE BUYER(S) NAMED ABOVE, THE RIGHT, TITLE AND INTEREST IDENTIFIED IN BLOCK 4 OF THIS BILL OF SALE. IN THE PROPORTION SPECIFIED HEREIN. VESSEL IS SOLD FREE AND CLEAR OF ALL LIENS, MORTGAGES, AND OTHER ENCUMBRANCES OF ANY KIND AND NATURE, EXCEPT AS STATED ON THE REVERSE HEREOF. VESSEL IS SOLD TOGETHER WITH AN EQUAL INTEREST IN THE MASTS, BOWSPRIT, SAILS, BOATS, ANCHORS, CABLES, TACKLE, FURNITURE, AND ALL OTHER NECESSARIES THERETO APPERTAINING AND BELONGING, EXCEPT AS STATED ON THE REVERSE HEREOF.		
7. SIGNATURES OF SELLER (S) OR PERSON(S) SIGNING ON BEHALF OF SELLER(S). Collin & Warren, Inc. by:  Warren Alexander	8. DATE SIGNED <u>1/5</u> , 2012	
9. NAME(S) OF PERSON(S) SIGNING ABOVE, AND LEGAL CAPACITY IN WHICH SIGNED (E.G., OWNER, AGENT, TRUSTEE, EXECUTOR) Warren Alexander ~ President		
10. ACKNOWLEDGMENT (TO BE COMPLETED BY NOTARY PUBLIC OR OTHER OFFICIAL AUTHORIZED BY A LAW OF A STATE OR THE UNITED STATES TO TAKE OATHS.) ON <u>1/5/12</u> (DATE) THE PERSON(S) NAMED IN SECTION 9 STATE: <u>New Jersey</u> ABOVE ACKNOWLEDGED EXECUTION OF THE FOREGOING INSTRUMENT COUNTY: <u>Cape May</u> IN THEIR STATED CAPACITY(IES) FOR THE PURPOSE THEREIN CONTAINED. NOTARY PUBLIC: <u>Dolores M. Stuard</u> MY COMMISSION EXPIRES: <u>11/29/14</u>		

REVERSE OF CG-1340 (REV. 06/04)

(COMPLETE THIS SECTION ONLY IF VESSEL HAS NEVER BEEN DOCUMENTED AND DOES NOT HAVE A HULL IDENTIFICATION NUMBER.)

VESSEL DATA

A. BUILDER

B. BUILDER'S HULL NUMBER

C. FORMER NAME(S)

D. FORMER MOTORBOAT NUMBERS

E. FORMER ALIEN REGISTRATIONS

F. DIMENSIONS: L=

B=

D=

G. PERSON FROM WHOM SELLER OBTAINED VESSEL

SIGNATURE OF SELLER

WARRANTIES/APPERTENANCES/LIMITATIONS/EXCEPTIONS

Vessel's Name: 148TG

Hull No. MEZK0597008D

Federal Fisheries Permit: 150179

Said Vessel is sold as is and where is with no warranty as to condition or of fitness for any particular use. Seller represents and warrants that said vessel is sold free and clear of all claims, liens, encumbrances of every kind and nature, maritime or otherwise and Seller agrees to indemnify and hold Buyer harmless from any and all such claims, lines and encumbrances and for any cost and expense, including legal fees, which Buyer may incur in connection therewith. Seller hereby does not transfers any rights, title and interest to Federal Fishing Permit No. 150179 including, catch records and fishing history with the right to access information deemed to be confidential pursuant to 16 U.S.C. 1801a(b). WJA Please Initial

INSTRUCTIONS

1. INDICATE CURRENT DOCUMENTED NAME. (IF VESSEL HAS NEVER BEEN DOCUMENTED SELLER MUST COMPLETE AND SIGN DATA SECTION ABOVE.)
2. INDICATE OFFICIAL NUMBER AWARDED TO VESSEL OR HULL IDENTIFICATION NUMBER ASSIGNED BY MANUFACTURER. (IF THE VESSEL HAS NO HULL IDENTIFICATION NUMBER AND HAS NEVER BEEN DOCUMENTED, SELLER MUST COMPLETE AND SIGN THE VESSEL DATA SECTION ABOVE.)
3. INSERT NAMES AND ADDRESSES OF ALL PERSONS SELLING VESSEL, ALONG WITH TOTAL INTEREST OWNED BY THOSE PERSONS. IF MORE ROOM IS NEEDED, AN ATTACHMENT MAY BE MADE SHOWING THE ADDRESSES OF THE SELLERS.
- 3A. SELF-EXPLANATORY.
4. INSERT NAMES AND ADDRESSES OF ALL BUYERS, ALONG WITH THE INTEREST TRANSFERRED TO EACH. IF THERE IS MORE THAN ONE BUYER AND NO DIVISION OF INTEREST IS SHOWN, THIS BILL OF SALE WILL RESULT IN EACH BUYER HOLDING AN EQUAL INTEREST. (IF MORE ROOM IS NEEDED, AN ATTACHMENT MAY BE MADE SHOWING THE ADDRESSES OF THE BUYERS.)
- 4A. SELF-EXPLANATORY.
- 4B. CHECK ONE OF THE BLOCKS TO CREATE A FORM OF OWNERSHIP OTHER THAN A TENANCY IN COMMON. IF "OTHER" IS CHECKED, THE FORM OF OWNERSHIP MUST BE DESCRIBED.
5. OPTIONAL IF THE AMOUNT PAID FOR THE VESSEL IS INSERTED, IT WILL BE NOTED ON THE VESSEL'S GENERAL INDEX.
6. SELF-EXPLANATORY. USE "REMARKS" SECTION ABOVE IF VESSEL IS NOT SOLD FREE AND CLEAR, OR TO LIST VESSEL APPURTENANCES WHICH ARE NOT SOLD WITH THE VESSEL.
7. SELF-EXPLANATORY.
8. SHOW THE DATE ON WHICH THE INSTRUMENT IS SIGNED.
9. IN ADDITION TO THE PRINTED OR TYPED NAME OF THE SIGNER, SHOW WHETHER THAT PERSON WAS ACTING AS AN OWNER, AS AN AGENT FOR AN OWNER, AS TRUSTEE, AS THE PERSONAL REPRESENTATIVE OR EXECUTOR OF AN ESTATE, OR OTHER CAPACITY WHICH ENTITLED THAT PERSON TO SIGN THE BILL OF SALE.
10. ANY ACKNOWLEDGMENT IN SUBSTANTIAL COMPLIANCE WITH THE LAW OF THE STATE WHERE TAKEN MAY BE ATTACHED TO THIS INSTRUMENT IN LIEU OF THE PREPRINTED ACKNOWLEDGMENT.

PRIVACY ACT STATEMENT

IN ACCORDANCE WITH 5 USC 552(A), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. AUTHORITY. SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 USC, CHAPTER 313 AND 46 CFR, PART 87.
2. THE PRINCIPAL PURPOSES FOR WHICH THIS INSTRUMENT IS TO BE USED ARE:
 - (A) TO PROVIDE A RECORD, AVAILABLE FOR PUBLIC INSPECTION AND COPYING, OF THE SALE OR OTHER CHANGE IN OWNERSHIP OF A VESSEL WHICH IS DOCUMENTED, WILL BE DOCUMENTED, OR HAS BEEN DOCUMENTED PURSUANT TO 46 USC, CHAPTER 121.
 - (B) PLACEMENT OF THIS INSTRUMENT IN A BOOK FOR EXAMINATION BY GOVERNMENTAL AUTHORITIES AND MEMBERS OF THE GENERAL PUBLIC.
3. THE ROUTINE USE WHICH MAY BE MADE OF THIS INFORMATION INCLUDES DEVELOPMENT OF STATISTICAL DATA CONCERNING DOCUMENTED VESSELS.
4. DISCLOSURE OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY. HOWEVER, FAILURE TO PROVIDE THE INFORMATION COULD PRECLUDE FILING OF A BILL OF SALE AND DOCUMENTATION OF THE VESSEL NAMED HEREIN PURSUANT TO 46 USC, CHAPTER 121. MOREOVER, BILLS OF SALE WHICH ARE NOT FILED ARE NOT DEEMED TO BE VALID AGAINST ANY PERSON EXCEPT THE GRANTOR OR A PERSON HAVING ACTUAL KNOWLEDGE OF THE SALE. (46 USC 31321(A)).

AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 20 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 702 T.J. JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 26418. OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 18 day of Nov, 2011, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS VII Sector Operations Plan and Agreement dated effective as of December 1, 2011 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS VII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS VII has adopted February 28, 2012 as the final date in which a NEFS VII Member may withdraw from NEFS VII prior to the start of the 2012 fishing year. A Member who wishes to withdraw from NEFS VII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

- Active Member: (LIST ACTIVE VESSELS)
- | | | | |
|---------------------|--------------------------|-----------|----------------|
| Fishing Vessel Name | <u>Collin + Warren 3</u> | Doc/Reg # | <u>1098473</u> |
| Fishing Vessel Name | _____ | Doc/Reg # | _____ |
| Fishing Vessel Name | _____ | Doc/Reg # | _____ |

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

- Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

Continued on next page

NORTHEAST FISHERY SECTOR VII
FY 2012 SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Wa A

Owner/Entity Name:

Collin & Warren, Inc

Name of Authorized Representative (print):

WARREN ALEXANDER

Title: P&CS

List all permits including those listed in 4.

Permit Number: 330828 MRI 829

Permit Number: 160790-MA MRI _____

Permit Number: F-1150606-NC MRI _____

Permit Number: 381459-NC MRI _____
FLUKE 40-VA

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Joan M. Feener
Jan 20, 2017



JOAN M. FEENER
Notary Public
Commonwealth of Massachusetts
My Commission Expires
Jan. 20, 2017



The Commonwealth of Massachusetts
William Francis Galvin

Secretary of the Commonwealth, Corporations Division
 One Ashburton Place, 17th floor
 Boston, MA 02108-1512
 Telephone: (617) 727-9640

M & P FISHING CORP. Summary Screen



Help with this form

Request a Certificate

The exact name of the Domestic Profit Corporation: M & P FISHING CORP.

Entity Type: Domestic Profit Corporation

Identification Number: 042770669

Old Federal Employer Identification Number (Old FEIN): 000183276

Date of Organization in Massachusetts: 06/25/1982

Current Fiscal Month / Day: 09 / 30

Previous Fiscal Month / Day: 00 / 00

The location of its principal office:

No. and Street: 114 MACARTHUR DR.
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

If the business entity is organized wholly to do business outside Massachusetts, the location of that office:

No. and Street:
 City or Town: State: Zip: Country:

Name and address of the Registered Agent:

Name: MARIO S. RIBEIRO
 No. and Street: 114 MACARTHUR DRIVE
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

The officers and all of the directors of the corporation:

Title	Individual Name	Address (no PO Box)	Expiration of Term
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code	
PRESIDENT	MARIO S. RIBEIRO	7 SOUTH JASON DR., SOUTH DARTMOUTH, MA 02748 USA	UNTIL SUCCESSOR IS DULY ELECTED
TREASURER	MARIA C. CURA	16 VALERIE ST., NEW BEDFORD, MA 02740 USA	UNTIL SUCCESSOR IS DULY ELECTED
SECRETARY	LUCINDA R. RIBEIRO	7 SOUTH JASON DR., SOUTH DARTMOUTH, MA 02748 USA	UNTIL SUCCESSOR IS DULY ELECTED
DIRECTOR	MARIA C. CURA	16 VALERIE ST., NEW BEDFORD, MA 02740 USA	UNTIL SUCCESSOR IS DULY ELECTED

DIRECTOR	MARIO S. RIBEIRO	7 SOUTH JASON DR., SOUTH DARTMOUTH, MA 02748 USA	UNTIL SUCCESSOR IS DULY ELECTED
DIRECTOR	LUCINDA R. RIBEIRO	7 SOUTH JASON DR., SOUTH DARTMOUTH, MA 02748 USA	UNTIL SUCCESSOR IS DULY ELECTED

business entity stock is publicly traded:

The total number of shares and par value, if any, of each class of stock which the business entity is authorized to issue:

Class of Stock	Par Value Per Share Enter 0 if no Par	Total Authorized by Articles of Organization or Amendments		Total Issued and Outstanding Num of Shares
		<i>Num of Shares</i>	<i>Total Par Value</i>	
CNP	\$0.00000	1,500	\$0.00	1,000

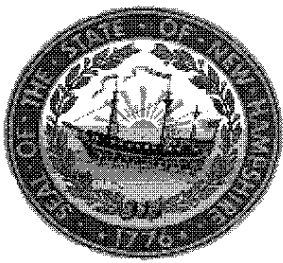
Consent	Manufacturer	Confidential Data	Does Not Require Annual Report
Partnership	<input checked="" type="checkbox"/> Resident Agent	<input checked="" type="checkbox"/> For Profit	Merger Allowed

Note: There is additional information located in the cardfile that is not available on the system.

Select a type of filing from below to view this business entity filings:

- ALL FILINGS
- Administrative Dissolution
- Annual Report
- Application For Revival
- Articles of Amendment
- Articles of Charter Surrender

Comments



State of New Hampshire 2011 ANNUAL REPORT

The following information shall be given as of January 1
preceeding the due date Pursuant to RSA 293-A:16.22.

REPORT DUE BY April 1, 2011

ANNUAL REPORTS RECEIVED AFTER THE DUE DATE
WILL BE ASSESSED A LATE FEE.

Filed
Date Filed: 03/20/2011
Business ID: 125331
William M. Gardner
Secretary of State

CAPTAIN W. P. MCCANN, INC.

% MARY MCGEE, 3 MARKET SQ STE 1
PORTSMOUTH, NH 03801

ADDRESS OF PRINCIPAL OFFICE:
MARY MCGEE , 3 MARKET SQ STE 1
PORTSMOUTH, NH 03801

REGISTERED AGENT AND OFFICE:
CHURBRICH, MICHAEL E.
388 STATE ST
PORTSMOUTH, NH 03801

ENTITY TYPE: CORPORATION
BUSINESS ID: 125331
STATE OF DOMICILE: NEW HAMPSHIRE

COMMERCIAL FISHING

If changing the mailing or principal office address, please check the appropriate box and fill in the necessary information.

- The new mailing address _____
 The new principal office address _____

PO Box is acceptable.

OFFICERS

NAME AND BUSINESS ADDRESS (P.O. BOX ACCEPTABLE).
(MUST LIST AT LEAST ONE OFFICER BELOW)

PRES. **William P McCann**
STREET **20 Oak St**
CITY/STATE/ZIP **Wareham Ma 02571**
SEC'Y. **Linda M McCann**
STREET **20 Oak St**
CITY/STATE/ZIP **Wareham Ma 02571**
OTHE. **Michael E Chubrich**
STREET **230 Lafayett Rd Bldg D**
CITY/STATE/ZIP **Portsmouth Nh 03802**
NAME
STREET
CITY/STATE/ZIP

BOARD OF DIRECTORS

NAME AND BUSINESS ADDRESS (P.O. BOX ACCEPTABLE).
(MUST LIST AT LEAST ONE DIRECTOR BELOW)

DIR. **Linda M McCann**
STREET **20 Oak St**
CITY/STATE/ZIP **Wareham Ma 02571**
NAME
STREET
CITY/STATE/ZIP

NAMES AND ADDRESSES OF ADDITIONAL OFFICERS AND DIRECTORS ARE ATTACHED

To be signed by an officer, director, or any other person authorized by the board of directors.
I, the undersigned, do hereby certify that the statements on this report are true to the best of my information, knowledge and belief.

Sign here: **William P McCann**

Please print name and title of signer: **William P McCann** / **PRESIDENT**
NAME TITLE

FEE DUE: \$100.00

E-MAIL ADDRESS (OPTIONAL): _____



012533120111000

**WHEN THIS FORM IS ACCEPTED BY THE SECRETARY OF STATE, BY LAW IT WILL BECOME A
PUBLIC DOCUMENT AND ALL INFORMATION PROVIDED IS SUBJECT TO PUBLIC DISCLOSURE
REQUIRED INFORMATION MUST BE COMPLETE OR THE REGISTRATION REPORT WILL BE REJECTED**

MAKE CHECK PAYABLE TO SECRETARY OF STATE

RETURN COMPLETED REPORT AND PAYMENT TO:

New Hampshire Department of State, Annual Reports, P.O. Box 9529, Manchester, NH 03108-9529

STATE OF NEW HAMPSHIRE

Filing fee: \$ 25.00
 + License fee: \$ 60.00 (See Section 136 II)
 Total fees \$ 85.00
 Use black print or type.
 Leave 1" margins both sides.

Form No. 11
 RSA 293-A:54

FILED

JAN 22 1988

**NEW HAMPSHIRE
 SECRETARY OF STATE**

ARTICLES OF INCORPORATION
 OF
CAPTAIN W. P. MCCANN, INC.

THE UNDERSIGNED, ACTING AS INCORPORATOR(S) OF A CORPORATION UNDER THE NEW HAMPSHIRE BUSINESS CORPORATION ACT, ADOPT(S) THE FOLLOWING ARTICLES OF INCORPORATION FOR SUCH CORPORATION:

FIRST: The name of the corporation is Captain W. P. McCann, Inc.
 (Note 1)

SECOND: The period of its duration if such period is other than perpetual: indefinite

THIRD: The corporation is empowered to transact any and all lawful business for which corporations may be incorporated under RSA 293-A and the principal purpose or purposes for which the corporation is organized are:

To own and operate commercial fishing vessels.

[if more space is needed, attach additional sheet(s)]

ARTICLES OF INCORPORATION
OF CAPTAIN W. P. MCCANN, INC.

Form No. 11
(cont.)

FOURTH: The aggregate number of shares which the corporation shall have authority to issue is: (Note 2)

300 shares no par value

FIFTH: The capital stock will be sold or offered for sale within the meaning of RSA 421-B. (New Hampshire Securities Act) (Note 3)

SIXTH: Provisions, if any, for the limitation or denial of preemptive rights: (Note 4)

Shareholders shall have no preemptive right to acquire unissued or treasury shares.

SEVENTH: Provisions for the regulation of the internal affairs of the corporation are: (Note 5)

See attached pages 2A and 2B

ARTICLES OF INCORPORATION
OF CAPTAIN W. P. MCCANN, INC.

Form No. 11
(cont.)

EIGHTH: The address of the initial registered office of the corporation is 131 Durham Point Rd., Durham, NH 03824

and the name of its initial registered agent at such address is Constantine Harris

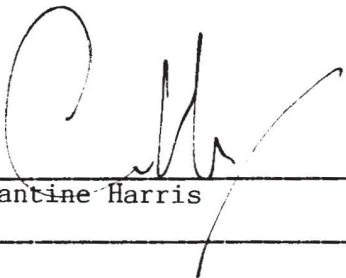
NINTH: The number of directors constituting the initial board of directors of the corporation is three, and the names and addresses of the persons who are to serve as directors until the first annual meeting of shareholders or until their successors are elected and shall qualify are:

<u>Name</u>	<u>Address</u>
<u>Constantine Harris</u>	<u>131 Durham Point Rd., Durham, NH 03824</u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

TENTH: The name and address of each incorporator is:

<u>Name</u>	<u>Address</u>
<u>Constantine Harris</u>	<u>131 Durham Point Rd., Durham, NH 03824</u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

Dated January 11, 19 88


Constantine Harris

 Incorporator(s)
 (Note 6)

Mail fee, DUPLICATE ORIGINALS (ORIGINAL SIGNATURES ON BOTH) AND INSURANCE COMMISSIONER'S CERTIFICATE (Note 3) to:
Secretary of State, Rm. 204, State House, Concord, NH 03301-4989

Page 2A

SEVENTH:

A. In voting for directors, shareholders may not cumulate votes. Each voting share shall entitle a shareholder to cast one vote for each vacancy on the Board of Directors.

B. The Board of Directors is authorized to distribute to its shareholders a portion of the corporation's assets from its capital surplus to the extent not contrary to RSA 293-A:46.

C. Transfer of the shares of the Corporation shall be restricted as follows:

Transfer of the said shares of stock shall be subject to the following restrictions:

In the event that any stockholder wishes to dispose of his or her shares of stock in the corporation, such shares shall first be offered to the remaining stockholders of record, who shall have the irrevocable right, privilege and option to purchase same in the following manner:

The selling stockholder shall notify each remaining stockholder in writing of his or her intent to sell. Such notice shall contain the price at which the stock is offered and the name of one arbitrator. After receiving such notice, the remaining stockholders shall meet to determine whether they will exercise their right of first refusal. Within ninety (90) days of receiving the aforementioned notice, the remaining stockholders shall notify the selling stockholder in writing as to whether they will exercise their purchase rights. Such notice to the selling stockholder shall also indicate whether the remaining stockholders are willing to accept the price set in the original notice. If they are not willing to meet the price set by the selling stockholder, then the remaining stockholders shall by agreement among themselves also choose one arbitrator whose name will be included in the notice of intent to purchase. The two arbitrators chosen by the parties shall then choose a third arbitrator and it shall become their duty to ascertain the value of the stock to be sold. If any arbitrator shall neglect or refuse to appear at any meeting appointed by the arbitrators, a majority may act in the absence of such arbitrator. The decision of the arbitrators shall be binding upon the parties as to the value of the offered stock.

Each remaining stockholder shall have the right to purchase a percentage of the selling stockholder's shares equivalent to the percentage interest that the remaining stockholder has in the corporation. In determining the percentage interest a remaining stockholder has in the corporation, the outstanding shares of the selling stockholder shall not be considered. If

Page 2B

any remaining stockholder chooses not to exercise his or her right of first refusal, then in that event, the remaining stockholders who do wish to purchase shall automatically acquire that unexercised right and be entitled to purchase the offered shares.

The remaining stockholders shall be deemed to have waived their rights of first refusal to purchase the offered stock unless they or some of them are willing to purchase all the stock offered by the selling stockholder. If the remaining stockholders or any of them wish to exercise their purchase rights, they shall not be obligated to take delivery of the offered stock or to pay for it prior to six months after the selling stockholder's original notice of intent or prior to sixty (60) days after the arbitrators' report, whichever is later.

If any stockholder shall die, then in that event, the executor, administrator or personal representative of the deceased shall as soon as practicable offer all shares of stock which the deceased held in this corporation to the remaining stockholders under the same terms and conditions as provided for in the case of a stockholder who wishes to dispose of his or her stock.

No shares of stock shall be sold or transferred on the books of the corporation until these provisions have been complied with.

No stockholder shall pledge, mortgage, hypothecate or in any way encumber his or her shares of stock of this corporation without the written consent of all the other stockholders.

All certificates evidencing shares of stock in this corporation shall bear a legend referring to the transfer restrictions provided for herein.



The Commonwealth of Massachusetts
William Francis Galvin

Secretary of the Commonwealth, Corporations Division
 One Ashburton Place, 17th floor
 Boston, MA 02108-1512
 Telephone: (617) 727-9640

SEA SIREN FISHERIES, INC. Summary Screen



Help with this form

Request a Certificate

The exact name of the Domestic Profit Corporation: SEA SIREN FISHERIES, INC.

Entity Type: Domestic Profit Corporation

Identification Number: 042656574

Old Federal Employer Identification Number (Old FEIN): 000074971

Date of Organization in Massachusetts: 02/02/1978

Current Fiscal Month / Day: 12 / 31

Previous Fiscal Month / Day: 01 / 31

The location of its principal office:

No. and Street: 114 MACARTHUR DR.
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

If the business entity is organized wholly to do business outside Massachusetts, the location of that office:

No. and Street:
 City or Town: State: Zip: Country:

Name and address of the Registered Agent:

Name: ELVIRA VINAGRE
 No. and Street: 114 MCARTHUR DRIVE
 City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

The officers and all of the directors of the corporation:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	ELVIRA VINAGRE	40 NINA ST., NEW BEDFORD, MA 02744 USA	UNTIL SUCCESSOR IS DULY ELECTED
TREASURER	ELVIRA VINAGRE	40 NINA ST., NEW BEDFORD, MA 02744 USA	
SECRETARY	ELVIRA VINAGRE	40 NINA ST., NEW BEDFORD, MA 02744 USA	UNTIL SUCCESSOR IS DULY ELECTED
DIRECTOR	ELVIRA VINAGRE	40 NINA ST., NEW BEDFORD, MA 02744 USA	UNTIL SUCCESSOR IS DULY ELECTED

business entity stock is publicly traded:

The total number of shares and par value, if any, of each class of stock which the business entity is authorized to issue:

Class of Stock	Par Value Per Share Enter 0 if no Par	Total Authorized by Articles of Organization or Amendments		Total Issued and Outstanding
		<i>Num of Shares</i>	<i>Total Par Value</i>	<i>Num of Shares</i>
CNP	\$0.00000	12,500	\$0.00	1,000

Consent Manufacturer Confidential Data Does Not Require Annual Report
 Partnership Resident Agent For Profit Merger Allowed

Note: There is additional information located in the cardfile that is not available on the system.

Select a type of filing from below to view this business entity filings:

- ALL FILINGS
- Administrative Dissolution
- Annual Report
- Application For Revival
- Articles of Amendment
- Articles of Charter Surrender

Comments



**The Commonwealth of Massachusetts
William Francis Galvin**

Secretary of the Commonwealth, Corporations Division
One Ashburton Place, 17th floor
Boston, MA 02108-1512
Telephone: (617) 727-9640

VII NORTHEAST FISHERY SECTOR, INC. Summary Screen



Help with this form

Request a Certificate

The exact name of the Nonprofit Corporation: VII NORTHEAST FISHERY SECTOR, INC.

Entity Type: Nonprofit Corporation

Identification Number: 001003658

Date of Organization in Massachusetts: 05/26/2009

Current Fiscal Month / Day: 12 / 31

Previous Fiscal Month / Day: 12 / 31

The location of its principal office in Massachusetts:

No. and Street: 114 MACARTHUR DR
City or Town: NEW BEDFORD State: MA Zip: 01930 Country: USA

If the business entity is organized wholly to do business outside Massachusetts, the location of that office:

No. and Street:
City or Town: State: Zip: Country:

The name and address of the Resident Agent:

Name: VITO GIACALONE
No. and Street: 10 WITHAM STREET
City or Town: GLOUCESTER State: MA Zip: 01930 Country: USA

The officers and all of the directors of the corporation:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	WILLIAM MCCANN	20 OAK STREET WAREHAM, MA 02571 USA	Until successor is elected and qualified
TREASURER	MANUEL VINAGRO	114 MACARTHUR DRIVE NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
CLERK	PEDRO CURA	114 MACARTHUR DRIVE NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	RICHARD D. CANASTRA	62 HASSEY STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	RAYMOND CANASTRA	62 HASSEY STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified

DIRECTOR	WILLIAM MCCANN	20 OAK STREET WAREHAM, MA 02571 USA	Until successor is elected and qualified
DIRECTOR	ARMANDO ESTUDANTE	114 MACARTHUR DRIVE NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	ANTONIO BORGES	84 FRONT STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	MANUEL VINAGRO	114 MACARTHUR DRIVE NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	PEDRO CURA	114 MACARTHUR DRIVE NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified

Consent Manufacturer Confidential Data Does Not Require Annual Report
Partnership Resident Agent For Profit Merger Allowed

Select a type of filing from below to view this business entity filings:

- ALL FILINGS
- Annual Report
- Application For Revival
- Articles of Amendment
- Articles of Consolidation - Foreign and Domestic
- Articles of Consolidation - Domestic and Domestic

Comments