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## Climate Change Impacts in the United States

# CHAPTER 10 ENERGY, WATER, AND LAND USE

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### Recommended Citation for Chapter

Hibbard, K., T. Wilson, K. Averyt, R. Harriss, R. Newmark, S. Rose, E. Shevliakova, and V. Tidwell, 2014: Ch. 10: Energy, Water, and Land Use. *Climate Change Impacts in the United States: The Third National Climate Assessment*, J. M. Melillo, Terese (T.C.) Richmond, and G. W. Yohe, Eds., U.S. Global Change Research Program, 257-281. doi:10.7930/J0JW8BSF.

**On the Web:** <http://nca2014.globalchange.gov/report/sectors/energy-water-and-land>

First published May 2014. PDF revised October 2014. See errata (available at <http://nca2014.globalchange.gov/downloads>) for details.



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# 10 ENERGY, WATER, AND LAND USE

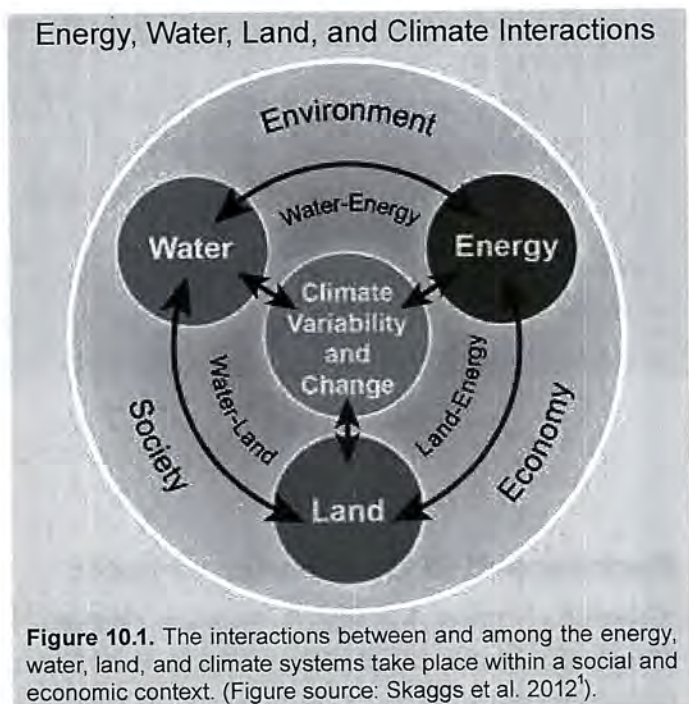
## KEY MESSAGES

1. Energy, water, and land systems interact in many ways. Climate change affects the individual sectors and their interactions; the combination of these factors affects climate change vulnerability as well as adaptation and mitigation options for different regions of the country.
2. The dependence of energy systems on land and water supplies will influence the development of these systems and options for reducing greenhouse gas emissions, as well as their climate change vulnerability.
3. Jointly considering risks, vulnerabilities, and opportunities associated with energy, water, and land use is challenging, but can improve the identification and evaluation of options for reducing climate change impacts.

Energy, water, and land systems interact in many ways. Energy projects (energy production and delivery) require varying amounts of water and land; water projects (water supply and irrigation) require energy and land; and land-based activities (agriculture and forestry) depend upon energy and water. Increasing population and a growing economy intensify these interactions.<sup>1</sup> Each sector is directly impacted by the others and by climate change, and each sector is a target for adaptation and mitigation efforts. Better understanding of the connections between and among energy, water, and land systems can improve our capacity to predict, prepare for, and mitigate climate change.

Challenges from climate change will arise from long-term, gradual changes, such as sea level rise, as well as from projected changes in weather extremes that have more sudden impacts. The independent implications of climate change for the energy, water, and land sectors have been studied extensively (see Ch. 4: Energy, Ch. 3: Water, and Ch. 13: Land Use & Land Cover Change). However, there are few analyses that capture the interactions among and competition for resources within these three sectors.<sup>1</sup> Very little information is available to evaluate the implications for decision-making and planning, including legal, social, political, and other decisions.

Climate change is not the only factor driving changes. Other environmental and socioeconomic stressors interact with climate change and affect vulnerability and response strategies with respect to energy, water, and land systems. The availability and use of energy, water, and land resources and the ways in which they interact vary across the nation. Regions in the United States differ in their 1) energy mix (solar, wind, coal, geothermal, hydropower, nuclear, natural gas, petroleum, ethanol); 2) observed and projected precipitation



and temperature patterns; 3) sources and quality of available water resources (for example, ground, surface, recycled); 4) technologies for storing, transporting, treating and using water; and 5) land use and land cover (see Ch. 13: Land Use & Land Cover Change). Decision-making processes for each sector also differ, and decisions often transcend scales, from local to state to federal, meaning that mitigation and adaptation options differ widely.

Given the many mitigation and adaptation opportunities available through the energy sector, a focus on energy is a useful

way to highlight the interactions among energy, water, and land as well as intersections with climate and other stressors. For example, energy production already competes for water resources with agriculture, direct human uses, and natural systems. Climate-driven changes in land cover and land use are projected to further affect water quality and availability, increasing the competition for water needed for energy produc-

tion. In turn, diminishing water quality and availability means that there will be a need for more energy to purify water and more infrastructure on land to store and distribute water. Stakeholders need to understand the interconnected nature of climate change impacts, and the value of assessments would be improved if risks and vulnerabilities were evaluated from a cross-sector standpoint.<sup>2</sup>

### Key Message 1: Cascading Events

**Energy, water, and land systems interact in many ways. Climate change affects the individual sectors and their interactions; the combination of these factors affects climate change vulnerability as well as adaptation and mitigation options for different regions of the country.**

Energy production, land use, and water resources are linked in increasingly complex ways. In some parts of the country, electric utilities and energy companies compete with farmers and ranchers, other industries, and municipalities for water rights and availability, which are also constrained by interstate and international commitments. Private and public sector decision-makers must consider the impacts of strained water supplies on agricultural, ecological, industrial, urban, and public health needs. Across the country, these intertwined sectors

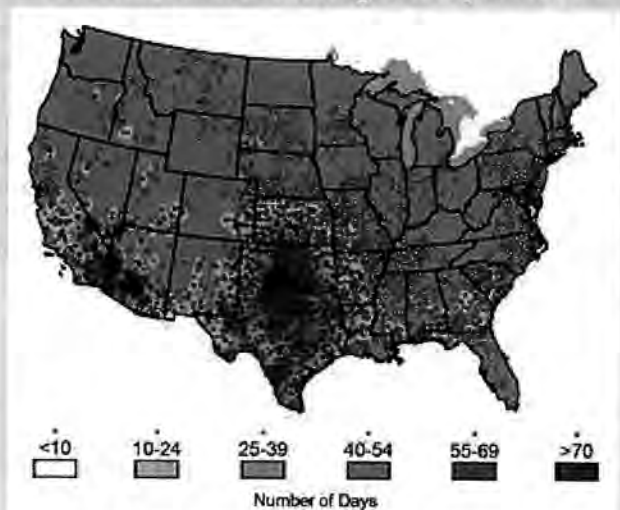
will witness increased stresses due to climate changes that are projected to lower water quality and/or quantity in many regions and change heating and cooling electricity demands.

The links between and among energy, water, and land sectors mean that they are susceptible to cascading effects from one sector to the next. An example is found in the drought and heat waves experienced across much of the U.S. during the summers of 2011 and 2012. In 2011, drought spread across the south-central U.S., causing a series of energy, water, and land impacts that demonstrate the connections among these sectors. Texans, for example, experienced the hottest and driest summer on record. Summer average temperatures were 5.2°F higher than normal, and precipitation was lower than previous records set in 1956. The associated heat wave, with temperatures above 100°F for 40 consecutive days, together with drought, strained the region's energy and water resources.<sup>3,4,5</sup>

These extreme climate events resulted in cascading effects across energy, water, and land systems. High temperatures caused increased demand for electricity for air conditioning, which corresponded to increased water withdrawal and consumption for electricity generation. Heat, increased evaporation, drier soils, and lack of rain led to higher irrigation demands, which added stress on water resources required for energy production. At the same time, low-flowing and warmer rivers threatened to suspend power plant production in several locations, reducing the options for dealing with the concurrent increase in electricity demand.

The impacts on land resources and land use were dramatic. Drought reduced crop yields and affected livestock, costing Texas farmers and ranchers more than \$5 billion, a 28% loss compared to average revenues of the previous four years.<sup>6</sup> With increased feed costs, ranchers were forced to sell livestock at lower profit. Drought increased tree mortality,<sup>7</sup> providing more fuel for record wildfires that burned 3.8 million acres (an area about the size of Connecticut) and destroyed 2,763 homes.<sup>8</sup>

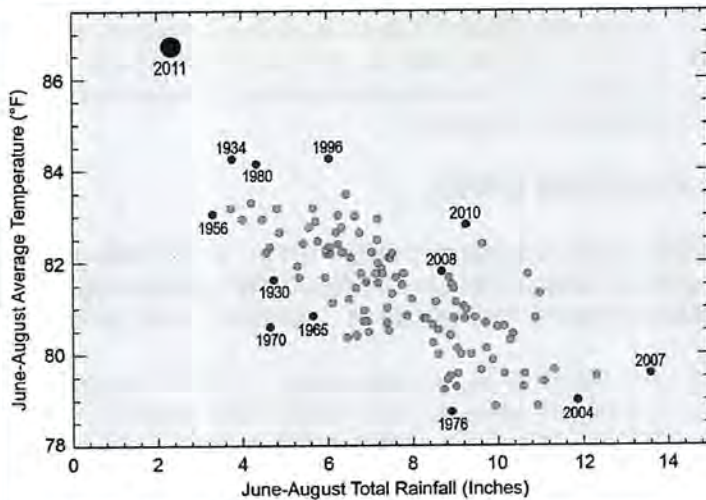
Coast-to-Coast 100-degree Days in 2011



**Figure 10.2.** Map shows numbers of days with temperatures above 100°F during 2011. The black circles denote the location of observing stations recording 100°F days. The number of days with temperatures exceeding 100°F is expected to increase. The record temperatures and drought during the summer of 2011 represent conditions that will be more likely in the U.S. as climate change continues. When outdoor temperatures increase, electricity demands for cooling increase, water availability decreases, and water temperatures increase. Alternative energy technologies may require little water (for example, solar and wind) and can enhance resilience of the electricity sector, but still face land-use and habitat considerations. The projected increases in drought and heat waves provide an example of the ways climate changes will challenge energy, water, and land systems. (Figure source: NOAA NCEP, 2012).

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### Texas Summer 2011: Record Heat and Drought



**Figure 10.3.** Graph shows average summer temperature and total rainfall in Texas from 1895 through 2012. The red dots illustrate the range of temperatures and rainfall observed over time. The record temperatures and drought during the summer of 2011 (large red dot) represent conditions far outside those that have occurred since the instrumental record began.<sup>4</sup> An analysis has shown that the probability of such an event has more than doubled as a result of human-induced climate change<sup>3</sup>. (Figure source: NOAA NCDC / CICS-NC).

Energy, water, and land interactions complicated and amplified the direct impacts on the electric sector. With electricity demands at all-time highs, water shortages threatened more than 3,000 megawatts of generating capacity – enough power to supply more than one million homes.<sup>9</sup> As a result of the record demand and reduced supply, marginal electricity prices repeatedly hit \$3,000 a megawatt hour, which is three times the maximum amount that generators can charge in deregulated electricity markets in the eastern United States.<sup>10</sup>

Competition for water also intensified. More than 16% of electricity production relied on cooling water from sources that shrank to historically low levels,<sup>9</sup> and demands for water used to generate electricity competed with simultaneous demands for agriculture and other human activities. City and

regional managers rationed water to farms and urban areas, and in some instances, water was trucked to communities that lacked sufficient supplies.<sup>11</sup> As late as January 2012, customers of 1,010 Texas water systems were being asked to restrict water use; mandatory water restrictions were in place in 647 water systems.<sup>12</sup> At the same time, changing vegetation attributes, grazing, cropping, and wildfire compromised water quality and availability, increasing the amount of power required for water pumping and purification.

The Texas example shows how energy, land, water, and weather interacted in one region. Extreme weather events may affect other regions differently, because of the relative vulnerability of energy, water, and land resources, linkages, and infrastructure. For example, sustained droughts in the Northwest will affect how water managers release water from reservoirs, which in turn will affect water deliveries for ecosystem services, irrigation, recreation, and hydropower. Further complicating matters, hydropower is increasingly being used to balance variable wind generation in the Northwest, and seasonal hydroelectric restrictions have already created challenges to fulfilling this role. In the Midwest, drought poses challenges to meeting

electricity demands because diminished water availability and elevated water temperatures reduce the efficiency of electricity generation by thermoelectric power plants. To protect water quality, federal and state regulations can require suspension of operations of thermoelectric power plants if water used to cool the power plants exceeds established temperature thresholds as it is returned to streams.

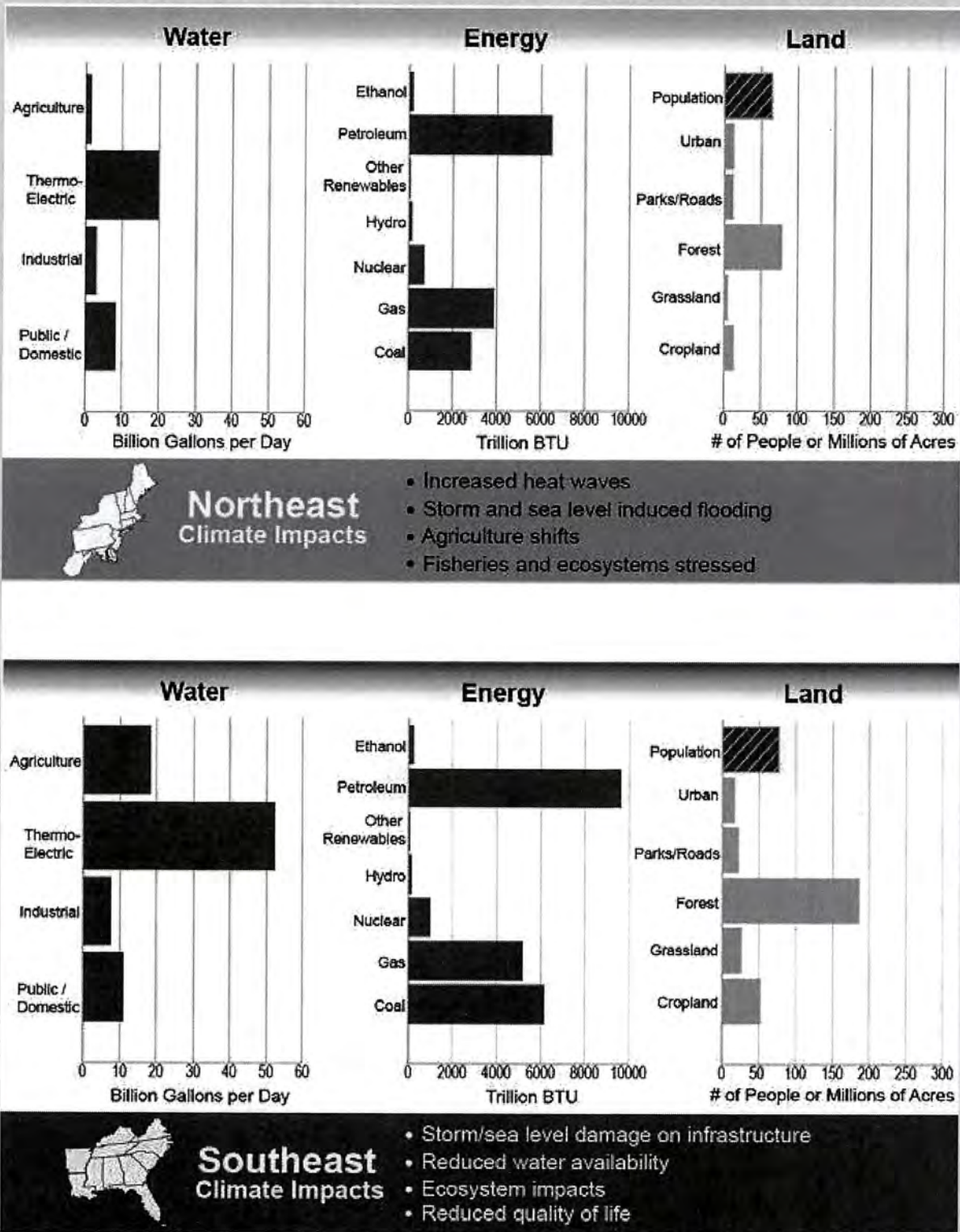
Energy, land, water, and weather interactions are not limited to drought. For instance, 2011 also saw record flooding in the Mississippi basin. Floodwaters surrounded the Fort Calhoun nuclear power plant in Nebraska, shut down substations, and caused a wide range of energy, land, and water impacts (Ch. 3: Water).

### Interactions of Energy, Water, and Land Uses

Figure 10.4 depicts the current mix of energy, water, and land use within each U.S. region. The mixes reflect competition for water and land resources, but more importantly for the purposes here, the mixes reflect linkages across the energy, water, and land sectors as well as linkages to climate. For example, higher water withdrawal for thermoelectric power (power plants that use a steam cycle to generate electricity) generally reflects electric generation technology choices (often coal-, gas-, or nuclear-fired generation with open loop cooling) that assume the availability of large quantities of

water. Therefore, the choice of energy technology varies based on the available resources in a region. Similarly, land-water linkages are evident in cropland and agricultural water use. The potential growth in renewable energy may strengthen the linkage between energy and land (see “Examples of Energy, Water, and Land Linkages”). Climate change affects each sector directly and indirectly. For instance, climate change affects water supplies, energy demand, and land productivity, all of which can affect sector-wide decisions.

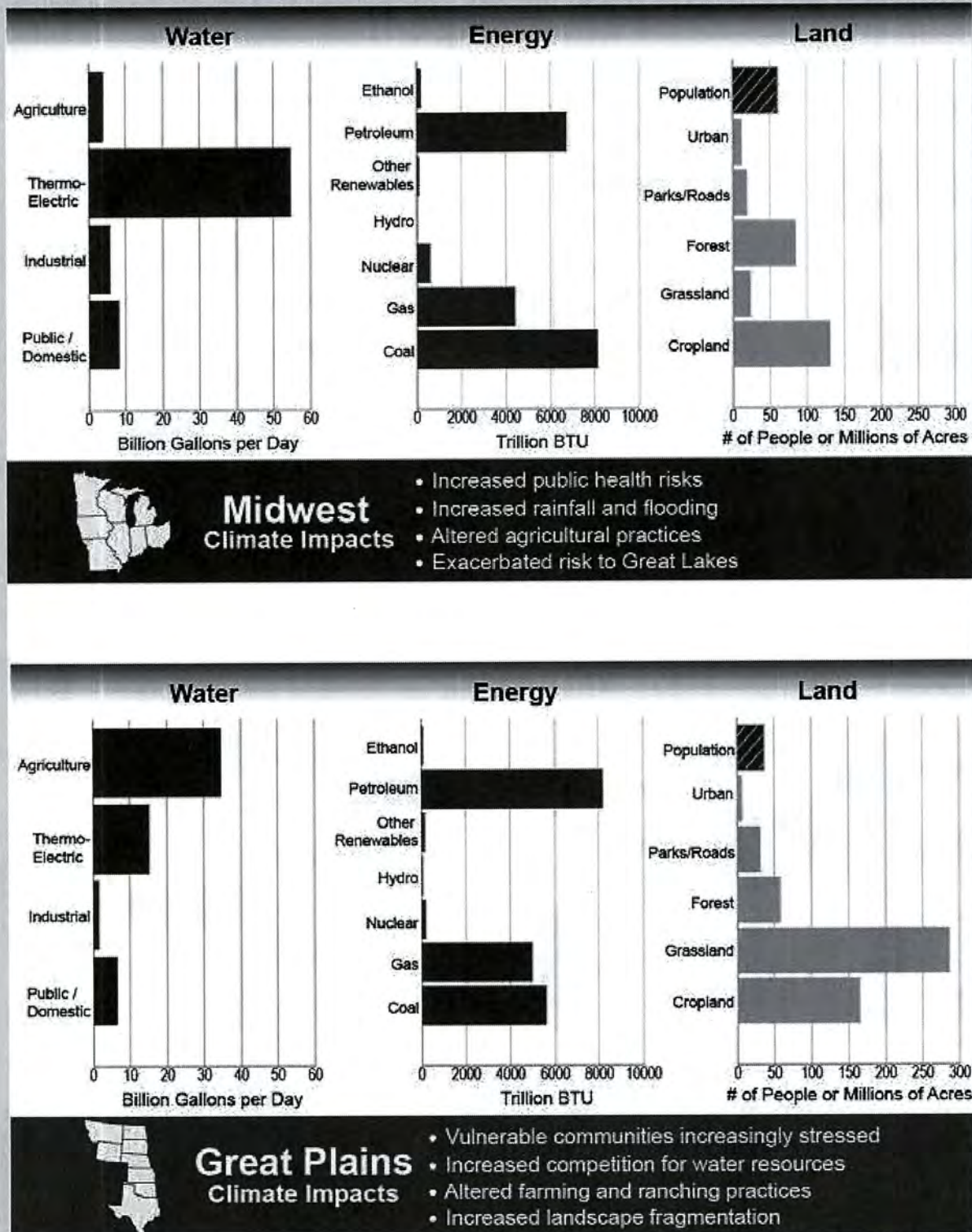
Regional Water, Energy, and Land Use, with Projected Climate Change Impacts



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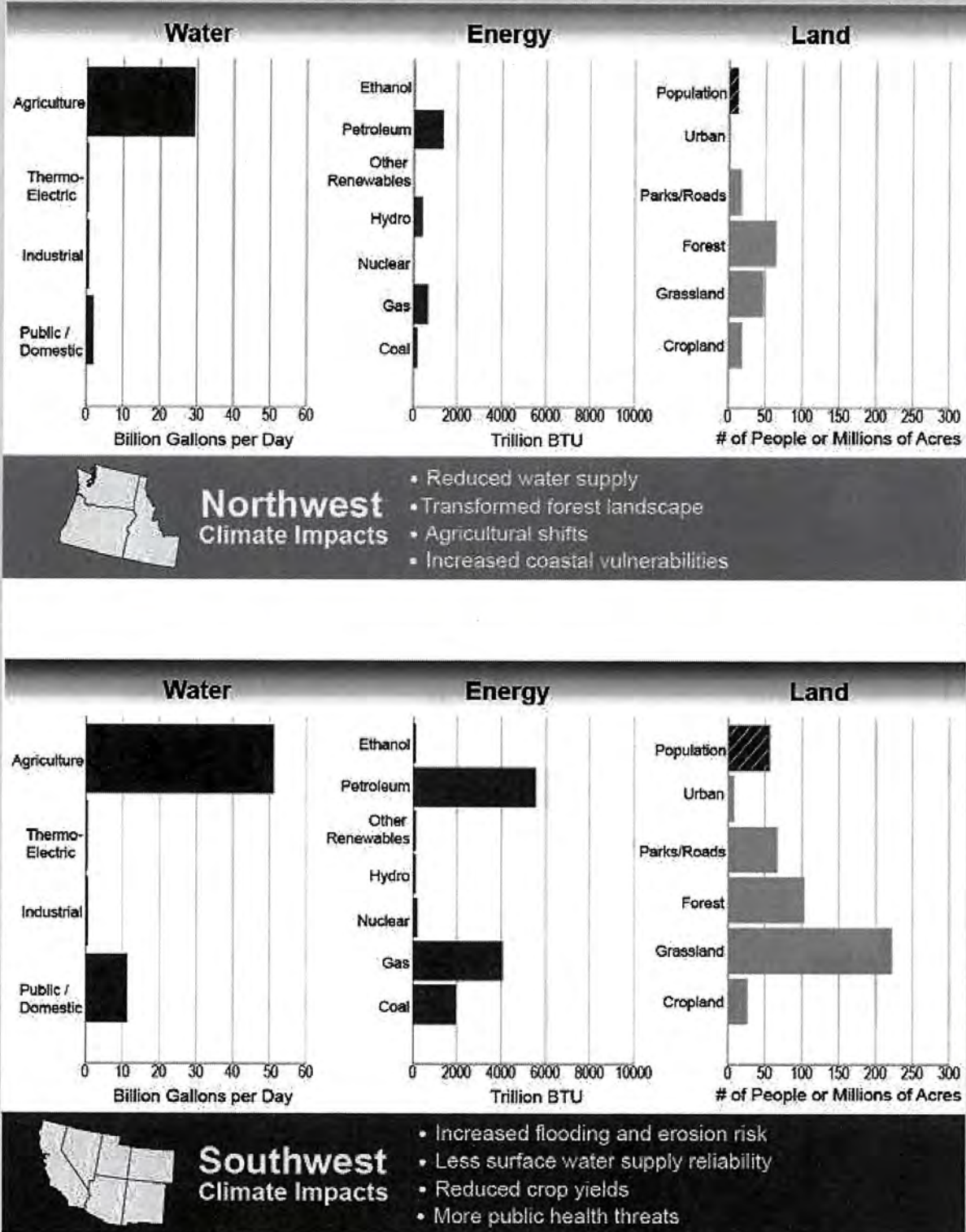
**Figure 10.4.** U.S. regions differ in the manner and intensity with which they use, or have available, energy, water, and land. Water bars represent total water withdrawals in billions of gallons per day (except Alaska and Hawai'i, which are in millions of gallons per day); energy bars represent energy production for the region in 2012; and land represents land cover by type (green bars) or number of people (black and green bars). Only water withdrawals, not consumption, are shown (see Ch. 3: Water). Agricultural water withdrawals include irrigation, livestock, and aquaculture uses. (Data from EIA 2012<sup>13</sup> [energy], Kenny et al. 2009<sup>14</sup> [water], and USDA ERS 2007<sup>15</sup> [land]).

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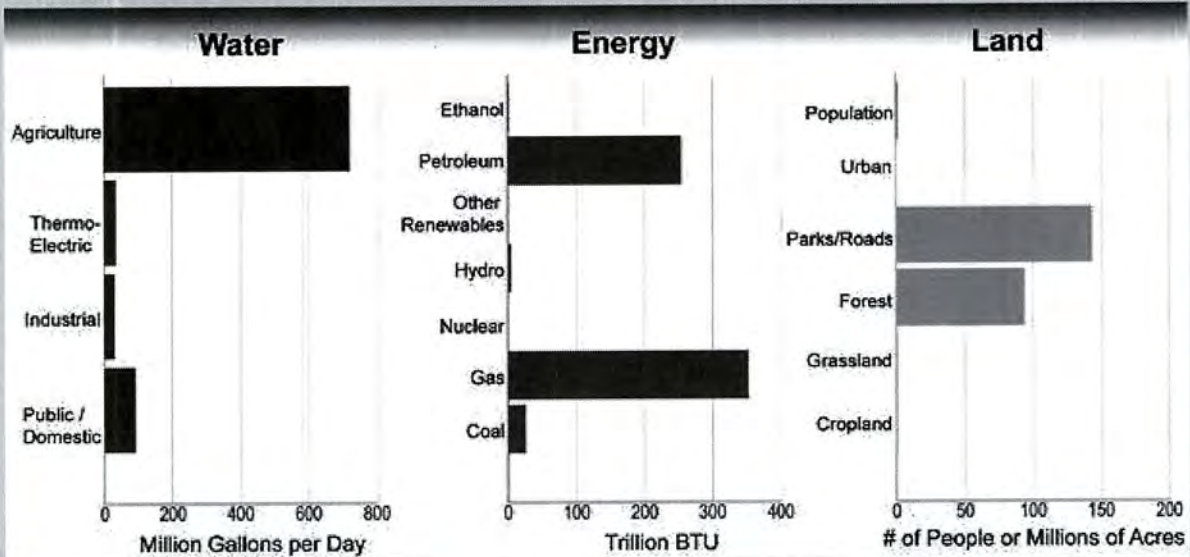
Regional Water, Energy, and Land Use, with Projected Climate Change Impacts



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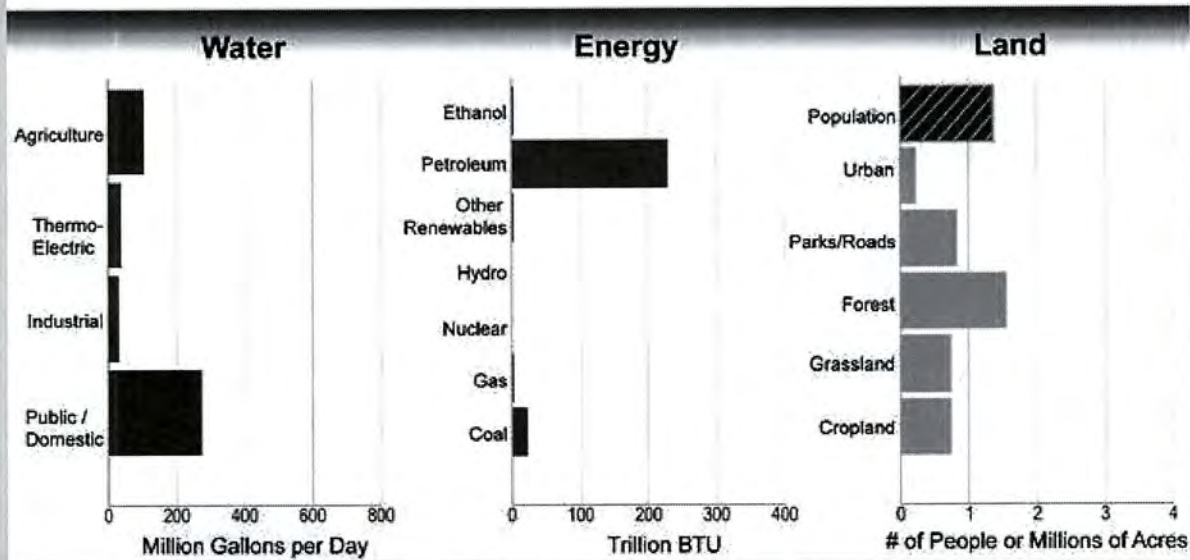
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Regional Water, Energy, and Land Use, with Projected Climate Change Impacts



**Alaska** Climate Impacts

- Thawing permafrost damage to infrastructure
- Sea ice and glaciers receding
- Growing season lengthens
- Native communities at increased risk



**Hawai'i** Climate Impacts

- Storm/sea level damage
- Increased stress on native plants and animals
- Reduced freshwater availability
- Coastal and fisheries ecosystem threatened

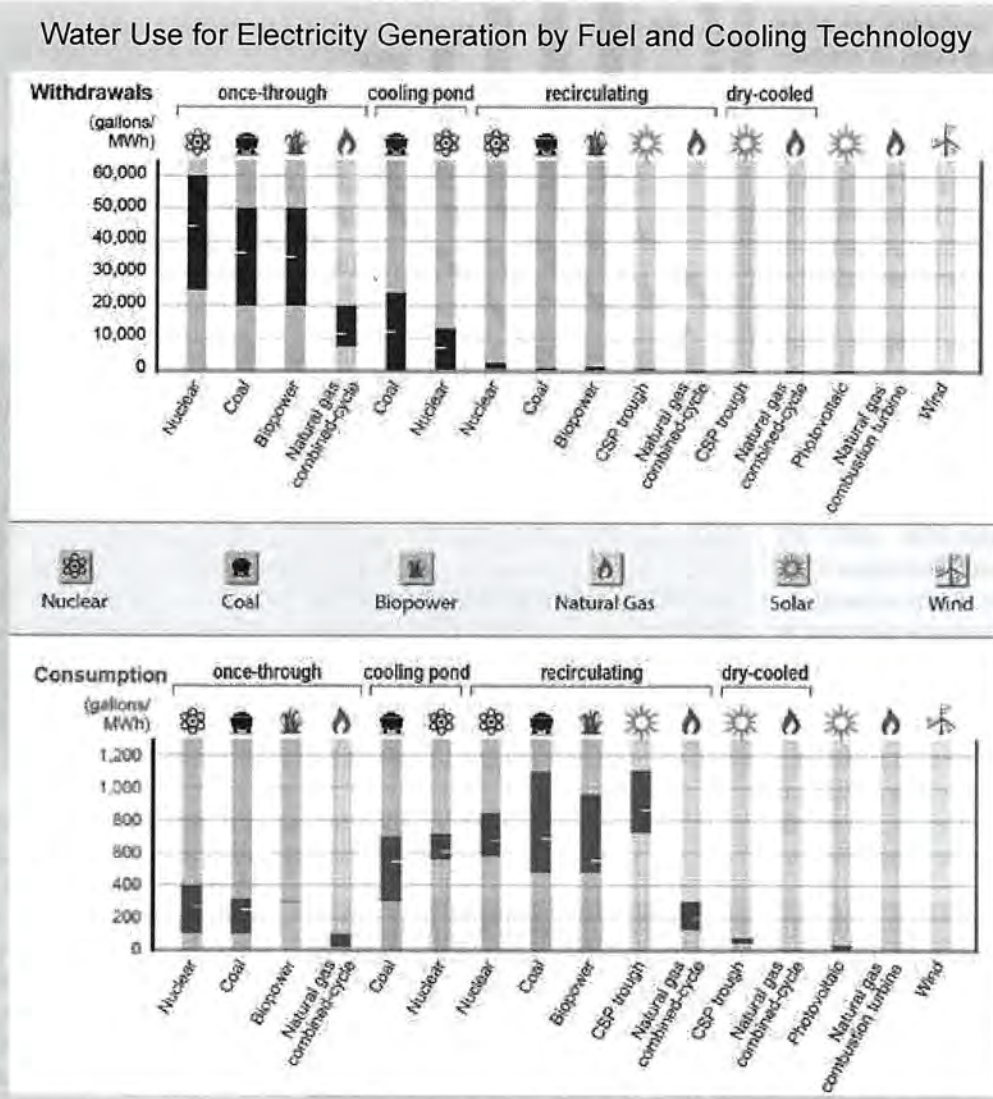
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### Key Message 2: Options for Reducing Emissions and Climate Vulnerability

The dependence of energy systems on land and water supplies will influence the development of these systems and options for reducing greenhouse gas emissions, as well as their climate change vulnerability.

Interactions among energy, water, and land resources have influenced and will continue to influence selection and operation of energy technologies. In some situations, land and water constraints also pose challenges to technology options for reducing

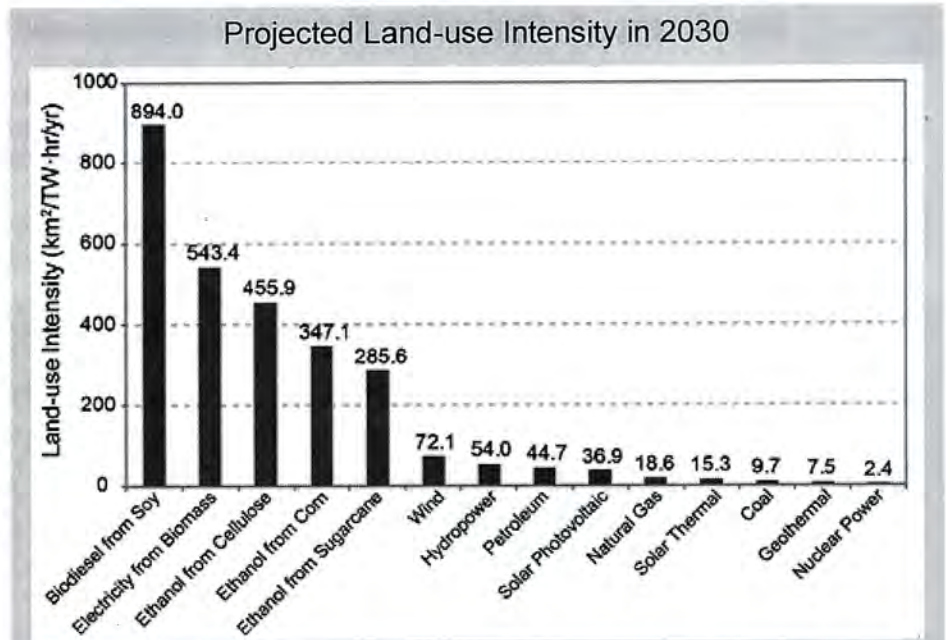


**Figure 10.5.** Technology choices can significantly affect water and land use. These two panels show a selection of technologies. Ranges in water withdrawal/consumption reflect minimum and maximum amounts of water used for selected technologies. Carbon dioxide capture and storage (CCS) is not included in the figures, but is discussed in the text. The top panel shows water withdrawals for various electricity production methods. Some methods, like most conventional nuclear power plants that use “once-through” cooling systems, require large water withdrawals but return most of that water to the source (usually rivers and streams). For nuclear plants, utilizing cooling ponds can dramatically reduce water withdrawal from streams and rivers, but increases the total amount of water consumed. Beyond large withdrawals, once-through cooling systems also affect the environment by trapping aquatic life in intake structures and by increasing the temperature of streams.<sup>18</sup> Alternatively, once-through systems tend to operate at slightly better efficiencies than plants using other cooling systems. The bottom panel shows water consumption for various electricity production methods. Coal-powered plants using recirculating water systems have relatively low requirements for water withdrawals, but consume much more of that water, as it is turned into steam. Water consumption is much smaller for various dry-cooled electricity generation technologies, including for coal, which is not shown. Although small in relation to cooling water needs, water consumption also occurs throughout the fuel and power cycle.<sup>19</sup> (Figure source: Averyt et al. 2011<sup>20</sup>).

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greenhouse gas emissions. For example, with the Southwest having most of the potential for deployment of concentrating solar technologies, facilities will need to be extremely water-efficient in order to compete for limited water resources. While wind farms avoid impacts on water resources, issues concerning land use, wildlife impacts, the environment, and aesthetics are often encountered. Raising crops to produce biofuels uses arable land and water that might otherwise be available for food production. This fact came into stark focus during the summer of 2012, when drought caused poor corn harvests, intensifying concerns about allocation of the harvest for food versus ethanol.<sup>16</sup>

Competition for water supplies is encouraging deployment of technologies that are less water-intensive than coal or nuclear power with once-through cooling. For example, wind, natural gas, photovoltaic (solar electric), and even thermoelectric generation with dry cooling use less water. Challenges in siting land- and water-intensive energy facilities are likely to intensify over time as competition for these resources grows. Considering the interactions among energy, water, and land systems presents opportunities for further identification and implementation of energy options that can reduce emissions, promote resilience, and improve sustainability.



**Figure 10.6.** The figure shows illustrative projections for 2030 of the total land-use intensity associated with various electricity production methods. Estimates consider both the footprint of the power plant as well as land affected by energy extraction. There is a relatively large range in impacts across technologies. For example, a change from nuclear to wind power could mean a significant change in associated land use. For each electricity production method, the figure shows the average of a most-compact and least-compact estimate for how much land will be needed per unit of energy. The figure uses projections from the Energy Information Administration Reference scenario for the year 2030, based on energy consumption by fuel type and power plant “capacity factors” (the ratio of total power generation to maximum possible power generation). The most-compact and least-compact estimates of biofuel land-use intensities reflect differences between current yield and production efficiency levels and those that are projected for 2030 assuming technology improvements.<sup>21</sup> (Figure source: adapted from McDonald et al. 2009<sup>21</sup>).

Every option for reducing greenhouse gas emissions involves tradeoffs that affect natural resources, socioeconomic systems, and the built environment. Energy system technologies vary widely in their carbon emissions and their use of water and land. As such, there are energy-water-land tradeoffs and synergies with respect to adaptation and mitigation. Each choice involves assessing the relative importance of the tradeoffs related to these resources in the context of both short- and long-term risks (see “Examples of Energy, Water, and Land Linkages” that describes four technologies that could play key roles). Figure 10.5 provides a systematic comparison of water withdrawals and consumptive use, illustrating the wide variation across both electric generation technologies and the accompanying cooling technologies. Carbon dioxide capture and storage (CCS) is not included in the chart, but coal-fired



**Table 10.1.** Energy, water, and land sectoral impacts associated with a sample of climate mitigation and adaptation measures. Plus sign means a positive effect (reduced stress) on sector, minus sign means a negative effect (increased stress) on sector. Blank means effect not noted. Blue means consideration of energy extraction and power plant processes. It is important to keep in mind that this table only reflects physical synergies and tradeoffs. There are, of course, economic tradeoffs as well in the form of technology costs and societal concerns, such as energy security, food security, and water quality. Expansion of hybrid or dry-cooled solar technologies, versus wet, could help reduce water risks. For a more detailed description of the entries in the table, see Skaggs et al. 2012.<sup>1</sup> Additional considerations regarding energy extraction, power plant processes, and energy use associated with irrigation were added to those reflected in Skaggs et al. 2012<sup>1</sup> (Adapted from Skaggs et al. 2012<sup>1</sup>).

Mitigation measures	Water	Land	Energy
Switch from coal to natural gas fueled power plants	+ and –	+ and –	
Expand CCS to fossil-fueled power plant	–	–	
Expansion of nuclear power	–		
Expansion of wind	+	–	
Expansion of solar thermal technologies (wet cooled)	–	–	
Expansion of commercial scale photovoltaic	+	–	
Expansion of hydropower	+ and –	–	+
Expansion of biomass production for energy	+ and –	+ and –	
Adaptation measures	Water	Land	Energy
Switch from once-through to recirculating cooling in thermoelectric power plants	+ and –		–
Switch from wet to dry cooling at thermoelectric power plants	+		–
Desalinization	+ and –	+	+ and –
New storage and conveyance of water	+ and –	–	–
Switch to drought-tolerant crops in drought vulnerable regions	+	–	+
Increase transmission capacity to urban areas to reduce power outages during high demand periods		–	+

power plants (both evaporative cooling and dry cooling) fitted with CCS would consume twice as much water per unit of electricity generated as similar coal-fired facilities without CCS.<sup>27</sup> Figure 10.6 shows projected land-use intensity in 2030 for various electricity production methods. Describing land use with a single number is valuable, but must be considered with care. For example, while wind generation can require significant amounts of land, it can co-exist with other activities such as farming and grazing, while other technologies may not be compatible with other land uses. Land and water influences on energy production capacity are expected to get stronger in the future, and greater resource scarcity will shape investment decisions.

Every adaptation and mitigation option involves tradeoffs in how it increases or decreases stress on energy systems and water and land resources. For a selected set of mitigation and adaptation measures, Table 10.1 provides a summary illustrating qualitatively how different technologies relate to energy, water, and land.<sup>1</sup>

Particularly relevant to climate change mitigation are the energy, water, and land risks associated with low-carbon electricity generation. For example, expansion of nuclear power and coal power with CCS are two measures that have been discussed as a

potential part of a future decarbonized energy system.<sup>22,23</sup> Both are also potentially water intensive and therefore have vulnerabilities related to climate impacts and competing water uses. Alternatively, renewable generation and combined cycle gas and coal have relatively modest water withdrawals (see also EPRI 2011<sup>24</sup>). Overall, energy, water, and land sector vulnerabilities are important factors to weigh in considering alternative electricity generation options and cooling systems.

Bioenergy also presents opportunities for mitigation, but some potential bioenergy feedstocks are land and water intensive. Where land and water resources are limited, bioenergy may therefore be at risk of competing with other uses of land and water, and climate changes present additional challenges. Other mitigation options, such as afforestation (re-establishment of forests), forest management, agricultural soil management, and fertilizer management are also tied intimately into the interfaces among land availability, land management, and water resource quantity and quality.<sup>25</sup>

Some sector-specific mitigation and adaptation measures can provide opportunities to enhance climate mitigation or adaptation objectives in the other sectors. However, other measures may have negative impacts on mitigation or adaptation

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potential in other sectors. If such cross-sector impacts are not considered, they can diminish the effectiveness of climate mitigation and adaptation actions.

For example, switching from coal- to natural-gas-fired electricity generation reduces the emissions associated with power generation. Depending on the situation, the switch to natural gas in the energy sector can either improve or reduce adaptive capacity in the water sector. Natural gas can reduce water use for thermoelectric cooling (gas-fired plants require less cooling water), but natural gas extraction techniques consume water, so water availability must be considered. In addition, gas production has the potential to affect land-based ecosystems by, for example, fragmenting habitat and inhibiting wildlife migration. Future improvements in natural gas technologies and water reuse may reduce the possibility of negative impacts on water supplies and enhance the synergies across the energy, water, and land interface. Incorporating consideration of such cross-sector interactions in planning and policy could affect sectoral decisions and decisions related to climate mitigation and adaptation.

Changes in the availability of water and land due to climate change and other effects of human activities will affect location, design, choice, and operations of energy technologies in the future and, in some cases, constrain their deployment.



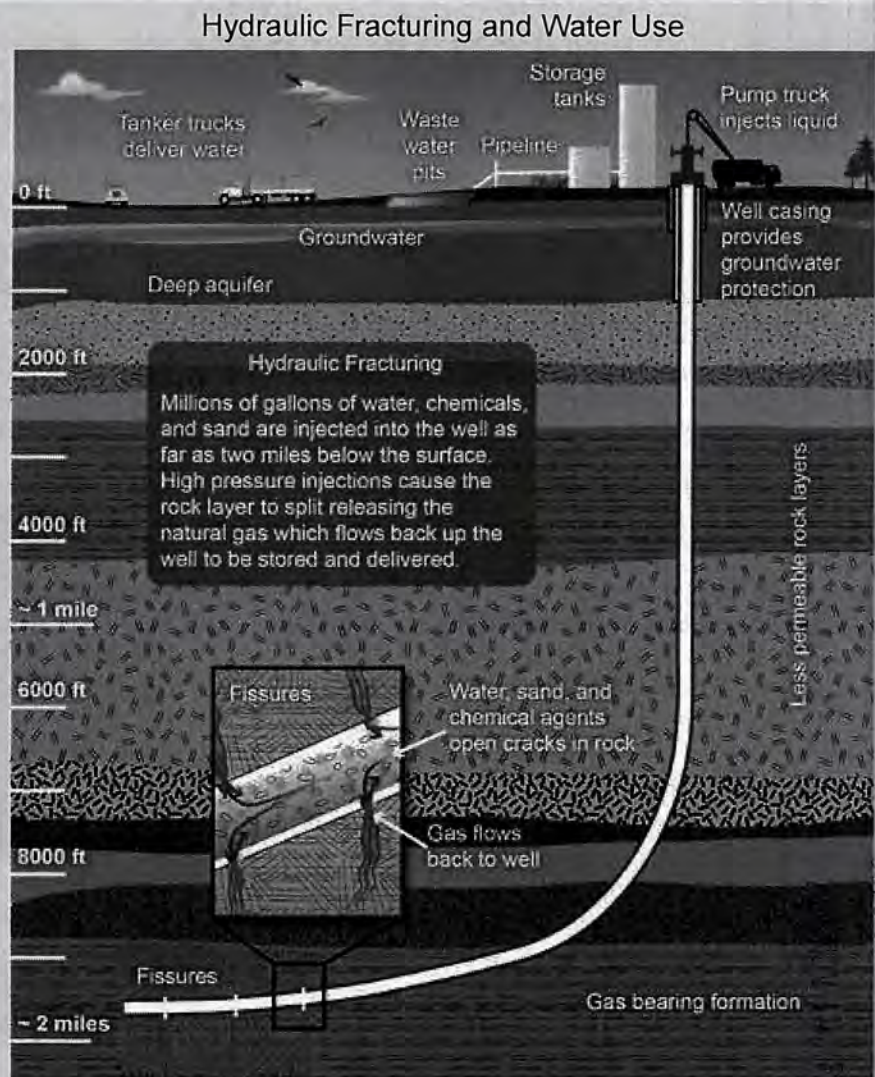
Energy, water, and land linkages represent constraints, risks, and opportunities for private/public planning and investment decisions. “Examples of Energy, Water, and Land Linkages” below discusses four energy sector technologies that could contribute to reducing U.S. emissions of greenhouse gases and increasing energy security – natural gas from shale, solar power, biofuels, and CCS. These technologies were chosen to illustrate energy, water, and land linkages and other complexities for the design, planning, and deployment of our energy future.

## EXAMPLES OF ENERGY, WATER, AND LAND LINKAGES

### Shale Natural Gas and Hydraulic Fracturing

The U.S. Energy Information Administration projects a 29% increase in U.S. natural gas production by 2035, driven primarily by the economics of shale gas.<sup>13</sup> As an energy source, natural gas (methane) can have a major advantage over coal and oil: when combusted, it emits less carbon dioxide per unit energy than other fossil fuels, and fewer pollutants like black carbon (soot) and mercury (see Ch. 27: Mitigation). An increase in natural gas consumption could lead to a reduction in U.S. greenhouse gas emissions compared to continued use of other fossil fuels. Disadvantages include the possibility that low-cost gas could supplant deployment of low-carbon generation technologies, such as nuclear power and renewable energy. In addition, the U.S. Environmental Protection Agency estimates that 6.9 million megatons of methane – with a global warming potential equivalent to 144.7 million megatons of CO<sub>2</sub> – is emitted from the U.S. natural gas system through uncontrolled venting and leaks from drilling operations, pipelines, and storage tanks (see Ch. 15: Biogeochemical Cycles; Ch. 27: Mitigation).<sup>26</sup> There is considerable uncertainty about these estimates, and it is an active area of research. While technological improvements may reduce this leakage rate,<sup>26</sup> leakage makes the comparison between natural gas and coal more complex from a climate perspective.<sup>27</sup> For example, methane is a stronger greenhouse gas than carbon dioxide but has a much shorter atmospheric lifetime (see Ch. 15: Biogeochemical Cycles; Ch. 27: Mitigation; Appendix 3: Climate Science; Appendix 4: FAQs).

Recent reductions in natural gas prices are largely due to advances in hydraulic fracturing, which is a drilling method used to retrieve deep reservoirs of natural gas. Hydraulic fracturing injects large quantities of water, sand, and chemicals at high pressure into horizontally-drilled wells as deep as 10,000 feet below the surface in order to break the shale and extract natural gas.<sup>28</sup> Questions about the water quantity necessary and the potential to affect water quality have produced national



**Figure 10.7.** Hydraulic fracturing, a drilling method used to retrieve deep reservoirs of natural gas, uses large quantities of water, sand, and chemicals that are injected at high pressure into horizontally-drilled wells as deep as 10,000 feet below Earth's surface. The pressurized mixture causes the rock layer to crack. Sand particles hold the fissures open so that natural gas from the shale can flow into the well. Questions about the water quantity necessary for this extraction method as well as the potential to affect water quality have produced national debate. (Figure source: NOAA NCDC).

Continued

## EXAMPLES OF ENERGY, WATER, AND LAND LINKAGES (CONTINUED)

debate about this method. Federal government and state-led efforts are underway to identify, characterize, and if necessary, find approaches to address these issues (for example, EPA 2011; FracFocus 2012<sup>29</sup>).

A typical shale gas well requires from two to four million gallons of water to drill and fracture (equivalent to the annual water use of 20 to 40 people in the U.S., or three to six Olympic-size swimming pools).<sup>28</sup> The gas extraction industry has begun reusing water in order to lower this demand. However, with current technology, recycling water can require energy-intensive treatment, and becomes more difficult as salts and other contaminants build up in the water with each reuse.<sup>30</sup> In regions where climate change leads to drier conditions, hydraulic fracturing could be vulnerable to climate change related reductions in water supply.

Shale gas development also requires land. To support the drilling and hydraulic fracturing process, a pad, which may be greater than five acres in size, is constructed.<sup>31</sup> Land for new roads, compressor stations, pipelines, and water storage ponds are also required.

The competition for water is expected to increase in the future. State and local water managers will need to assess how gas extraction competes with other priorities for water use, including electricity generation, irrigation, municipal supply, industry use, and livestock production. Collectively, such interactions between the energy and water resource sectors increase vulnerability to climate change, particularly in water-limited regions that are projected to, or become, significantly drier.

### Solar Power Generation

Solar energy technologies have the potential to satisfy a significant portion of U.S. electricity demand and reduce greenhouse gas emissions. The land and water requirements for solar power generation depend on the mix of solar technologies deployed. Small-scale (such as rooftop) installations are integrated into current land use and have minimal water requirements. In contrast, utility-scale solar technologies have significant land requirements and can – depending upon the specific generation and cooling technologies – also require significant water resources. For instance, utility-scale photovoltaic systems can require three to ten acres per megawatt (MW) of generating capacity<sup>32</sup> and consume as much as five gallons of water per megawatt hour (MWh) of electricity production. Utility-scale concentrating solar systems can require up to 15 acres per MW<sup>33</sup> and consume 1,040 gallons of water per MWh<sup>34</sup> using wet cooling (and 97% less water with dry cooling). A recent U.S. Department of Energy study concluded that 14% of the U.S. demand for electricity could be met with solar power by 2030.<sup>34</sup> To generate that amount of solar power would require rooftop installations plus about 0.9 million to 2.7 million acres, equivalent to about 1% to 4% of the land area of Arizona, for utility-scale solar power systems and concentrating solar power (CSP).<sup>34</sup>

Recognizing water limitations, most large-scale solar power systems now in planning or development are designed with dry cooling that relies on molten salt or other materials for heat transfer. However, while dry cooling systems reduce the need for water, they have lower plant thermal efficiencies, and therefore reduced production on hot days.<sup>35</sup> Overall, as with other generation technologies, plant designs will have to carefully balance cost, operating issues, and water availability.

### Biofuels

Biomass-based energy is currently the largest renewable energy source in the U.S., and biofuels from crops, grass, and trees are the fastest growing renewable domestic bioenergy sector.<sup>13</sup> In 2011, approximately 40 million acres of cropland in the U.S. were used for ethanol production, roughly 16% of the land planted for the eight major field crops.<sup>37</sup> The long-term environmental and social effects of biofuel production and use depend on many factors: the type of feedstock, manage-

### Renewable Energy and Land Use



**Figure 10.8.** Photovoltaic panels convert sunlight directly into electricity. Utility-sized solar power plants require large tracts of land. Photo shows Duke Energy's 113-acre Blue Wing Solar Project in San Antonio, Texas, one of the largest photovoltaic solar farms in the country. (Photo credit: Duke Energy 2010<sup>36</sup>).

Continued

## EXAMPLES OF ENERGY, WATER, AND LAND LINKAGES (CONTINUED)

ment practices used to produce them, fuel production and conversion technologies, prior land use, and land- and water-use changes caused by their production and use.<sup>38,39</sup> Biofuels potentially can reduce greenhouse gas emissions by displacing fossil fuel consumption. Biofuels that comply with the Energy Independence and Security Act of 2007 are required to reduce greenhouse gas emissions relative to fossil fuels. In addition, biofuels also have the potential to provide net environmental benefits compared to fossil fuels. For example, ethanol is used as a gasoline additive to meet air quality standards, replacing a previous additive that leaked from storage tanks and contaminated groundwater.<sup>40</sup> However, increases in corn production for biofuel has been cited as contributing to harmful algal blooms.<sup>38</sup>

Currently, most U.S. biofuels, primarily ethanol (from corn) and biodiesel (mainly from soy), are produced from edible parts of crops grown on rain-fed land. Consumptive water use over the life cycle of corn-grain ethanol varies widely, from 15 gallons of water per gallon of gasoline equivalent for rain-fed corn-based ethanol in Ohio, to 1,500 gallons of water per gallon of gasoline equivalent for irrigated corn-based ethanol in New Mexico. In comparison, producing and refining petroleum-based fuels uses 1.9 to 6.6 gallons of water per gallon of gasoline.<sup>38,41</sup>

The U.S. Renewable Fuels Standard (RFS) aims to expand production of cellulosic ethanol to at least 16 billion gallons per year by 2022. Cellulosic biofuels, derived from the entire plant rather than just the food portions, potentially have several advantages, such as fewer water quality impacts,<sup>42</sup> less water consumption, and the use of forest-derived feedstocks.<sup>38</sup> Cellulosic biofuels have not yet been produced in large volumes in the United States. The RFS target could require up to an additional 30 to 60 million acres of land, or alternatively be sourced from other feedstocks, such as forest and agricultural residues and municipal solid waste, but such supplies are projected to be inadequate for meeting the full cellulosic biofuel standard.<sup>38</sup>

Conversion of land not in cropland to crops for biofuel production may increase water consumption and runoff of fertilizers, herbicides, and sediment.<sup>43</sup> The impacts of climate change, particularly in areas where water availability may decrease (see Ch. 2: Our Changing Climate, Ch. 3: Water, and Ch. 6: Agriculture), however, may make it increasingly difficult to raise crops in arid regions of the country. The use of crops that are better suited to arid conditions and are efficient in recycling nutrients, such as switchgrass for cellulosic ethanol, could lower the vulnerability of biofuel production to climate change.<sup>44</sup> Another potential source of biomass for biofuel production is microalgae, but the existing technologies are still not carbon neutral, nor commercially viable.<sup>45</sup>

### Carbon Capture and Storage

Carbon capture and storage (CCS) technologies have the potential to capture 90% of CO<sub>2</sub> emissions from coal and natural gas combustion by industrial and electric sector facilities and thus allow continued use of low-cost fossil fuels in a carbon-constrained future.<sup>46</sup> CCS captures CO<sub>2</sub> post- or pre-fuel combustion and injects the CO<sub>2</sub> into geologic formations for long-term storage. In addition, combining CCS with bioenergy applications represents one of a few potential options for actually removing CO<sub>2</sub> from the atmosphere<sup>47</sup> because carbon that was recently in the atmosphere and accumulated by growing plants can be captured and stored.

CCS substantially increases the cost of building and operating a power plant, both through up-front costs and additional energy use during operation (referred to as “parasitic loads” or an energy penalty).<sup>46</sup> Substantial amounts of water are also used to separate CO<sub>2</sub> from emissions and to generate the required parasitic energy. With current technologies, CCS can increase water consumption 30% to 100%.<sup>48</sup> Gasification technologies, where coal or biomass are converted to gases and CO<sub>2</sub> is separated before combustion, reduce the energy penalty and water requirements, but currently at higher capital costs.<sup>49</sup> As with other technologies, technology and design choices for CCS need to be balanced with water requirements and water availability. Climate change will influence the former via effects on energy demand and the latter via precipitation changes. CCS facilities themselves have relatively modest land demands compared to some other generation options. However, bioenergy use with CCS would imply a much stronger land linkage.

CCS facilities for electric power plants are currently operating at pilot scale, and a commercial scale demonstration project is under construction.<sup>50</sup> Although the potential opportunities are large, many uncertainties remain, including cost, demonstration at scale, environmental impacts, and what constitutes a safe, long-term geologic repository for sequestering carbon dioxide.<sup>51</sup>

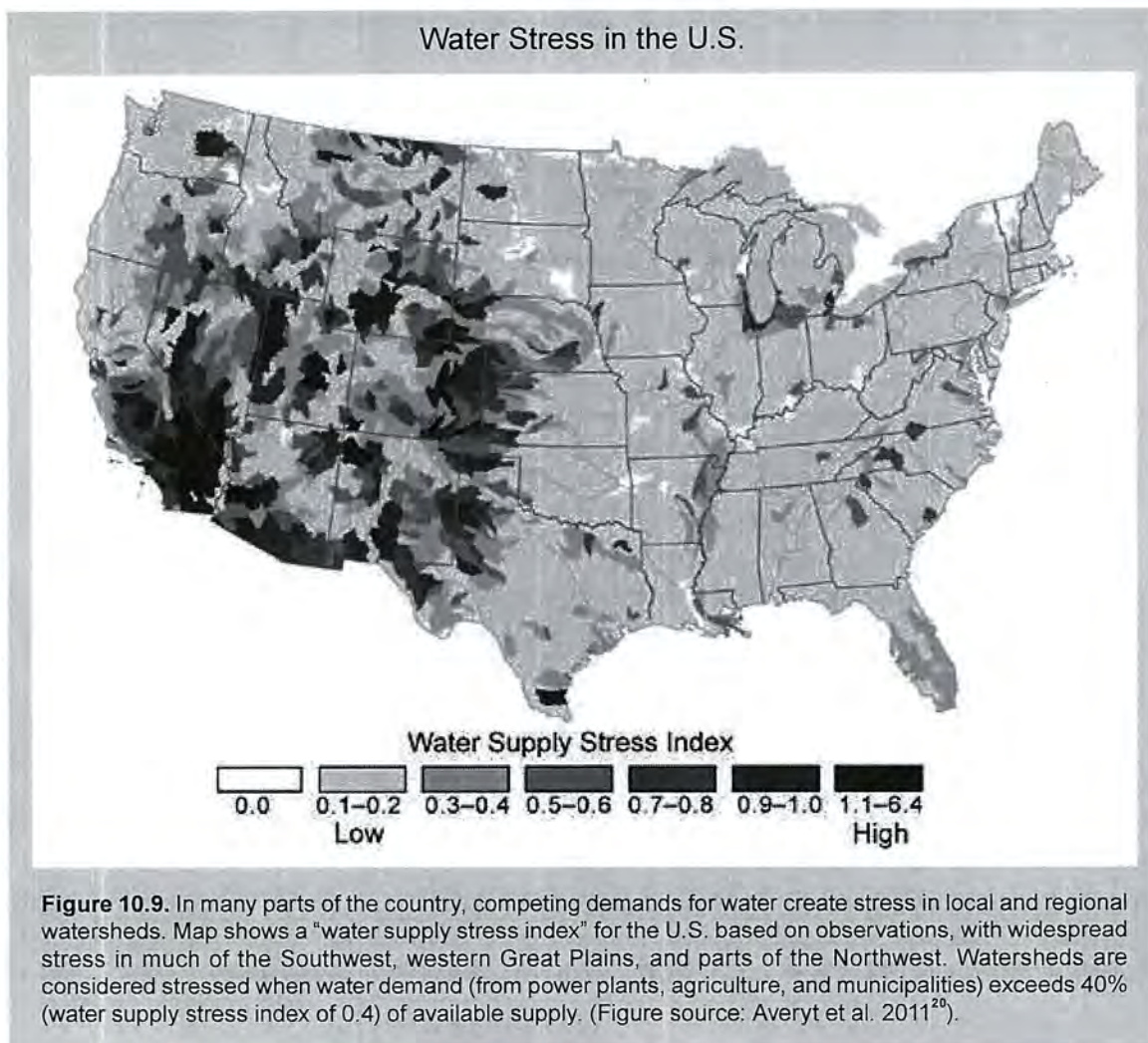
### Key Message 3: Challenges to Reducing Vulnerabilities

Jointly considering risks, vulnerabilities, and opportunities associated with energy, water, and land use is challenging, but can improve the identification and evaluation of options for reducing climate change impacts.

The complex nature of interactions among energy, water, and land systems, particularly in the context of climate change, does not lend itself to simple solutions. The energy, water, and land interactions themselves create vulnerabilities to competing resource demands. Climate change is an additional stressor. However, resource management decisions are often focused on just one of these sectors. Where the three sectors are tightly coupled, options for mitigating or adapting to climate change and consideration of the tradeoffs associated with technological or resource availability may be limited. The complex nature of water and energy systems are also highlighted in Chapter 3 (Water), which discusses water constraints in many areas of the U.S., and in Chapter 4 (Energy), where it is noted that there will be challenges across the nation

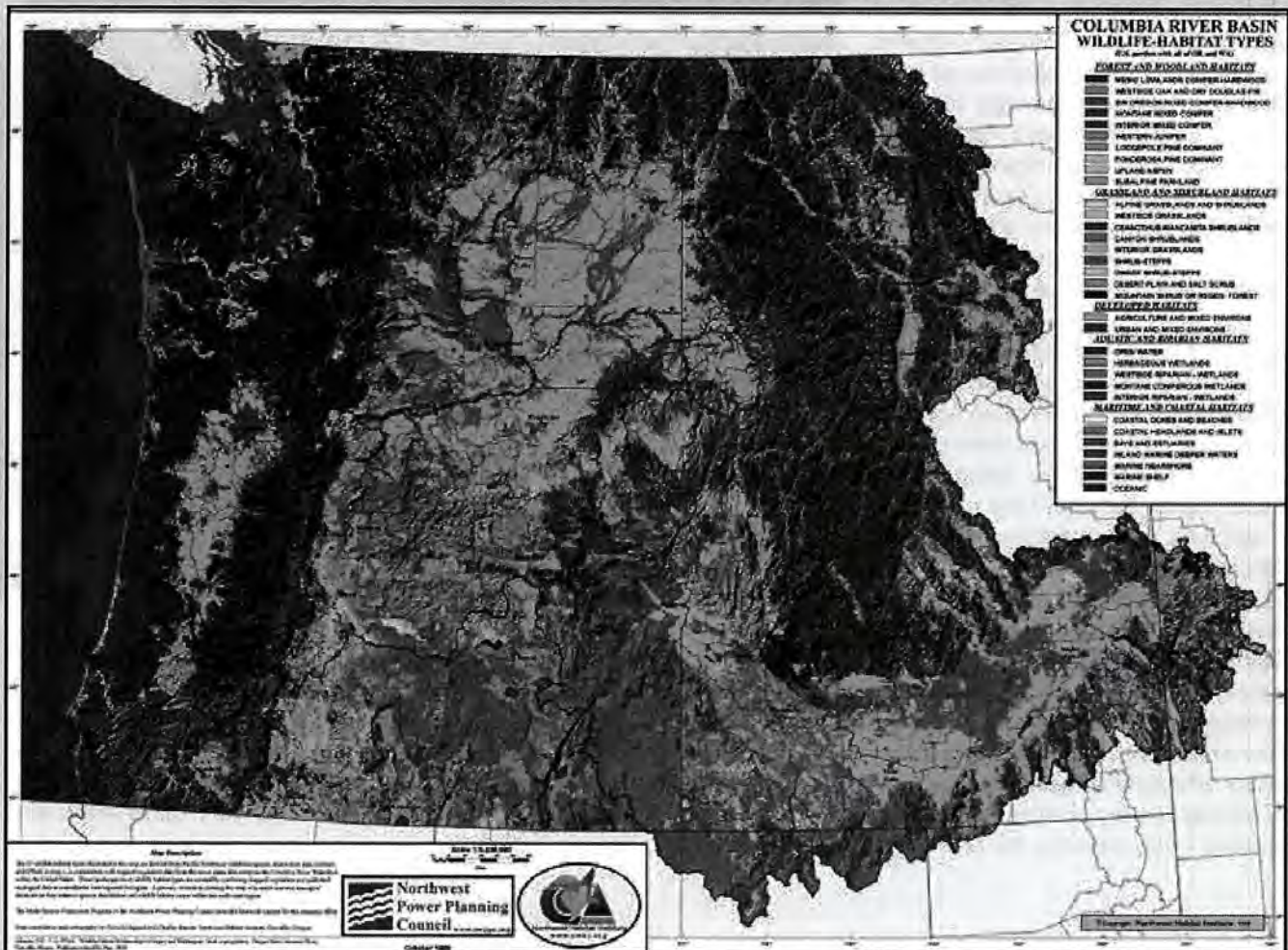
for water quality to comply with thermal regulatory needs for energy production.

A changing climate, particularly in areas projected to be warmer and drier, is expected to lead to drought and stresses on water supply, affecting energy, water, and land sectors in the United States. As the Texas drought of 2011 and 2012 illustrates, impacts to a particular sector, such as energy production, generate consequences for the others, such as water resource availability. Similarly, new energy development and production will require careful consideration of land and water sector resources. As a result, vulnerability to climate change depends on energy, water, and land linkages and on climate risks across all sectors, and decision-making is complex.





The Columbia River Basin Land Use and Land Cover



**Figure 10.10.** Agriculture is in yellow, forests are shades of green, shrublands are gray, and urban areas are in red. The river is used for hydropower generation, flood control, agriculture irrigation, recreation, support of forest and shrubland ecosystems, and fish and wildlife habitat. Climate change may impact the timing and supply of the water resources, affecting the multiple uses of this river system. (Figure source: Northwest Habitat Institute 1999).

The Columbia River Basin is one example of an area where risks, vulnerabilities, and opportunities are being jointly considered by a wide range of stakeholders and decision-makers (see Ch. 28: Adaptation). The Columbia River, which crosses the U.S.-Canada border, is the fourth largest river on the continent by volume, and it drives the production of more electricity than any other river in North America. Approximately 15% of the Columbia River Basin lies within British Columbia (Figure 10.10), but an average of 30% of the total average discharge originates from the Canadian portion of the watershed.<sup>52</sup> To provide flood control for the U.S. and predicted releases for hydropower generation, the Columbia River system is managed through a treaty that established a cooperative agreement between the United States and Canada to regulate the river for these two uses.<sup>53</sup> The basin also supports a range of other uses, such as navigation, tribal uses, irrigation, fish and wildlife habitat, recreation, and water resources for agricultural, industrial, and individual use. For all multi-use river basins, understanding

the combined vulnerability of energy, water, and land use to climate change is essential to planning for water management and climate change adaptation.

A recent report projects a warmer annual, and drier summer, climate for the Northwest (Ch. 21: Northwest; Ch. 2: Our Changing Climate, Figures 2.14 and 2.15; Appendix 3: Climate Science Supplement, Figures 21 and 22),<sup>54</sup> potentially affecting both the timing and amounts of water availability. For example, if climate change reduces streamflow at certain times, fish and wildlife, as well as recreation, may be vulnerable.<sup>55</sup> Climate change stressors will also increase the vulnerability of the region's vast natural ecosystems and forests in multiple ways (see Ch. 7: Forests and Ch. 8: Ecosystems). Currently, only 30% of annual Columbia River Basin runoff can be stored in reservoirs.<sup>56</sup> Longer growing seasons might provide opportunities for greater agricultural production, but the projected warmer and drier summers could increase demand for water for irrigation,

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perhaps at the expense of other water uses due to storage limitations. Wetter winters might offset increased summer demands. However, the storage capacities of many water reservoirs with multiple purposes, including hydropower, were not designed to accommodate significant increases in winter precipitation. Regulations and operational requirements also constrain the ability to accommodate changing precipitation patterns (see Ch. 3: Water).

Because of the complexity of interactions among energy, water, and land systems, considering the complete picture of climate impacts and potential adaptations can help provide better solutions. Adaptation to climate change occurs in large part locally or regionally, and conflicting stakeholder priorities, institutional commitments, and international agreements have the potential to complicate or even compromise adaption strategies with regard to energy, water, and land resources (see also Ch. 28: Adaptation). Effective adaptation to the impacts of climate change requires a better understanding of the interactions among the energy, water, and land resource sectors. Whether managing for water availability and quality in the context of energy systems, or land restrictions, or both, an improved dialog between the scientific and decision-making



communities will be necessary to evaluate tradeoffs and compromises needed to manage and understand this complex system. This will require not only integrated and quantitative analyses of the processes that underlie the climate and natural systems, but also an understanding of decision criteria and risk analyses to communicate effectively with stakeholders and decision-makers.

## 10: ENERGY, WATER, AND LAND USE

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## 10: ENERGY, WATER, AND LAND USE

# SUPPLEMENTAL MATERIAL

## TRACEABLE ACCOUNTS

### *Process for Developing Key Messages*

The authors met for a one-day face-to-face meeting, and held teleconferences approximately weekly from March through August 2012. They considered a variety of technical input documents, including a Technical Input Report prepared through an interagency process,<sup>1</sup> and 59 other reports submitted through the Federal Register Notice request for public input. The key messages were selected based on expert judgment, derived from the set of examples assembled to demonstrate the character and consequences of interactions among the energy, water, and land resource sectors.

### **KEY MESSAGE #1 TRACEABLE ACCOUNT**

**Energy, water, and land systems interact in many ways. Climate change affects the individual sectors and their interactions; the combination of these factors affects climate change vulnerability as well as adaptation and mitigation options for different regions of the country.**

### *Description of evidence base*

The key message and supporting text summarizes extensive evidence documented in the Technical Input Report (TIR): Climate and Energy-Water-Land System Interactions: Technical Report to the U.S. Department of Energy in Support of the National Climate Assessment.<sup>1</sup> Technical input reports (59) on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

The TIR<sup>1</sup> incorporates the findings of a workshop, convened by the author team, of experts and stakeholders. The TIR summarizes numerous examples of interactions between specific sectors, such as energy and water or water and land use. A synthesis of these examples provides insight into how climate change impacts the interactions between these sectors.

The TIR<sup>1</sup> shows that the character and significance of interactions among the energy, water, and land resource sectors vary regionally. Additionally, the influence of impacts on one sector for the other sectors will depend on the specific impacts involved. Climate change impacts will affect the interactions among sectors, but this may not occur in all circumstances.

The key message is supported by the National Climate Assessment Climate Scenarios (for example, Kunkel et al. 2013<sup>54</sup>). Many of the historic trends included in the Climate Scenarios are based on data assembled by the Cooperative Observer Network of the National Weather Service (<http://www.nws.noaa.gov/om/coop/>). Regional climate outlooks are based on the appropriate regional chapter.

The Texas drought of 2011 and 2012 provides a clear example of cascading impacts through interactions among the energy, water, and land resource sectors.<sup>3,4,5,7,8,9</sup> The U.S. Drought Monitor (<http://droughtmonitor.unl.edu/>) provides relevant historical data. Evidence also includes articles appearing in the public press<sup>11</sup> and Internet media.<sup>6</sup>

### *New information and remaining uncertainties*

The Texas drought of 2011 and 2012 demonstrates the occurrence of cascading impacts involving the energy, land, and water sectors; however, the Texas example cannot be generalized to all parts of the country or to all impacts of climate change (for example, see Chapter 3 for flooding and energy system impacts). The Technical Input Report<sup>1</sup> provides numerous additional examples and a general description of interactions that underlie cascading impacts between these resource sectors.

There are no major uncertainties regarding this key message. There are major uncertainties, however, in the magnitude of impacts in how decisions in one sector might affect another. The intensity of interactions will be difficult to assess under climate change.

### *Assessment of confidence based on evidence*

Given the evidence base and remaining uncertainties, confidence is **high**. The primary limitation on the confidence assigned to this key message is with respect to its generality. The degree of interactions among the energy, water, and land sectors varies regionally as does the character and intensity of climate change.

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Confidence Level	
<b>Very High</b>	Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus
<b>High</b>	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus
<b>Medium</b>	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought
<b>Low</b>	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

#### KEY MESSAGE #2 TRACEABLE ACCOUNT

The dependence of energy systems on land and water supplies will influence the development of these systems and options for reducing greenhouse gas emissions, as well as their climate change vulnerability.

##### *Description of evidence base*

The key message and supporting text summarizes extensive evidence documented in the Technical Input Report (TIR): Climate and Energy-Water-Land System Interactions: Technical Report to the U.S. Department of Energy in Support of the National Climate Assessment.<sup>1</sup> Technical input reports (59) on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

Synthesis and Assessment Product 2.1 of the Climate Change Science Program,<sup>22</sup> which informed the prior National Climate Assessment,<sup>57</sup> describes relationships among different future mixtures of energy sources, and associated radiative forcing of climate change, as a context for evaluating emissions mitigation options.

Energy, water, and land linkages represent constraints, risks, and opportunities for private/public planning and investment decisions. There are evolving water and land requirements for four energy technologies: natural gas from shale,<sup>13</sup> solar power,<sup>34</sup> bio-fuels,<sup>38,39</sup> and carbon dioxide capture and storage (CCS).<sup>47</sup> Each

of these four technologies could contribute to reducing U.S. emissions of greenhouse gases. These technologies illustrate energy, water, and land linkages and other complexities for the design, planning, and deployment of our energy future.

Evidence for energy production and use are derived from U.S. government reports.<sup>58</sup> The contributions of hydraulic fracturing to natural gas production are based on a brief article by the Energy Information Administration<sup>13</sup> and a primer by the U.S. Department of Energy.<sup>28</sup> Information about water and energy demands for utility-scale solar power facilities is derived from two major DOE reports.<sup>34,59</sup> Distribution of U.S. solar energy resources is from Web-based products of the National Renewable Energy Laboratory (<http://www.nrel.gov/gis/>). On biofuels, there are government data on the scale of biomass-based energy,<sup>13</sup> and studies on water and land requirements and other social and environmental aspects.<sup>38,39</sup>

##### *New information and remaining uncertainties*

There are no major uncertainties regarding this key message. Progress in development and deployment of the energy technologies described has tended to follow a pattern: potential constraints arise because of dependence on water and land resources, but then these constraints motivate advances in technology to reduced dependence or result in adjustments of societal priorities. There are uncertainties in how energy systems' dependence on water will be limited by other resources, such as land; uncertainties about the effects on emissions and the development and deployment of future energy technologies; and uncertainties about the impacts of climate change on energy systems.

##### *Assessment of confidence based on evidence*

Given the evidence base and remaining uncertainties, confidence is **high**. The primary limitation on confidence assigned to this key message is with respect to its generality and dependence on technological advances. Energy technology development has the potential to reduce water and land requirements, and to reduce vulnerability to climate change impacts. It is difficult to forecast success in this regard for technologies such as CCS that are still in early phases of development.

#### KEY MESSAGE #3 TRACEABLE ACCOUNT

Jointly considering risks, vulnerabilities, and opportunities associated with energy, water, and land use is challenging, but can improve the identification and evaluation of options for reducing climate change impacts.

##### *Description of evidence base*

The key message and supporting text summarizes extensive evidence documented in the Technical Input Report (TIR): Climate and Energy-Water-Land System Interactions: Technical Report to the U.S. Department of Energy in Support of the National Climate Assessment.<sup>1</sup> Technical input reports (59) on a wide range of top-



ics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

Interactions among energy, water, and land resource sectors can lead to stakeholder concerns that shape options for reducing vulnerability and thus for adapting to climate change. The Columbia River System provides a good example of an area where risks, vulnerabilities, and opportunities are being jointly considered.<sup>55,56</sup>

The 2011 Mississippi basin flooding, which shut down substations, provides another example of the interactions of energy, water, and land systems (Ch. 3: Water). For all multi-use river basins, understanding the combined vulnerability of energy, water, and land use to climate change is essential to planning for water management and climate change adaptation.

***New information and remaining uncertainties***

There are no major uncertainties regarding this key message; however, it is highly uncertain the extent to which local, state and national policies will impact options to reduce vulnerability to climate change.

***Assessment of confidence based on evidence***

Given the evidence base and remaining uncertainties, confidence is **high**. The primary limitation on confidence assigned to this key message is with respect to the explicit knowledge of the unique characteristics of each region with regards to impacts of climate change on energy, water, land, and the interactions among these sectors.

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## Climate Change Impacts in the United States

# CHAPTER 11 URBAN SYSTEMS, INFRASTRUCTURE, AND VULNERABILITY

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### Recommended Citation for Chapter

Cutter, S. L., W. Solecki, N. Bragado, J. Carmin, M. Fragkias, M. Ruth, and T. J. Wilbanks, 2014: Ch. 11: Urban Systems, Infrastructure, and Vulnerability. *Climate Change Impacts in the United States: The Third National Climate Assessment*, J. M. Melillo, Terese (T.C.) Richmond, and G. W. Yohe, Eds., U.S. Global Change Research Program, 282-296. doi:10.7930/JOF769GR.

**On the Web:** <http://nca2014.globalchange.gov/report/sectors/urban>



INFORMATION DRAWN FROM THIS CHAPTER IS INCLUDED IN THE HIGHLIGHTS REPORT AND IS IDENTIFIED BY THIS ICON

## KEY MESSAGES

1. **Climate change and its impacts threaten the well-being of urban residents in all U.S. regions. Essential infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts. The nation's economy, security, and culture all depend on the resilience of urban infrastructure systems.**
2. **In urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.**
3. **Climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.**
4. **City government agencies and organizations have started adaptation plans that focus on infrastructure systems and public health. To be successful, these adaptation efforts require cooperative private sector and governmental activities, but institutions face many barriers to implementing coordinated efforts.**

Climate change poses a series of interrelated challenges to the country's most densely populated places: its cities. The United States is highly urbanized, with about 80% of its population living in cities and metropolitan areas. Many cities depend on infrastructure, like water and sewage systems, roads, bridges, and power plants, that is aging and in need of repair or replacement. Rising sea levels, storm surges, heat waves, and extreme weather events will compound these issues, stressing or even overwhelming these essential services.



Heavy snowfalls during winter storms affect transportation systems and other urban infrastructure.

Cities have become early responders to climate change challenges and opportunities due to two simple facts: first, urban areas have large and growing populations that are vulnerable for many reasons to climate variability and change; and second, cities depend on extensive infrastructure systems and the resources that support them. These systems are often connected to rural locations at great distances from urban centers.

The term infrastructure is used broadly and includes systems and assets that are essential for national and economic security, national public health or safety, or to the overall well-being of residents. These include energy, water and wastewater, transportation, public health, banking and finance, telecommunications, food and agriculture, and information technology, among others.

Urban dwellers are particularly vulnerable to disruptions in essential infrastructure services, in part because many of these infrastructure systems are reliant on each other. For example, electricity is essential to multiple systems, and a failure in the electrical grid can affect water treatment, transportation services, and public health. These infrastructure systems – lifelines to millions – will continue to be affected by various climate-related events and processes.

As climate change impacts increase, climate-related events will have large consequences for significant numbers of people living in cities or suburbs. Also at risk

from climate change are historic properties and sites as well as cultural resources and archeological sites. Vulnerability assessments and adaptation planning efforts could also include these irreplaceable resources. Changing conditions also create

opportunities and challenges for urban climate adaptation (Ch. 28: Adaptation), and many cities have begun planning to address these changes.

### Key Message 1: Urbanization and Infrastructure Systems

**Climate change and its impacts threaten the well-being of urban residents in all U.S. regions. Essential infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts. The nation's economy, security, and culture all depend on the resilience of urban infrastructure systems.**

Direct and interacting effects of climate change will expose people who live in cities across the United States to multiple threats. Climate changes affect the built, natural, and social infrastructure of cities, from storm drains to urban waterways to the capacity of emergency responders. Climate change increases the risk, frequency, and intensity of certain extreme events like intense heat waves, heavy downpours, flooding from intense precipitation and coastal storm surges, and disease incidence related to temperature and precipitation changes. The vulnerability of urban dwellers multiplies when the effects of climate change interact with pre-existing urban stressors, such as deteriorating infrastructure, areas of intense poverty, and high population density.

Three fundamental conditions define the key connections among urban systems, residents, and infrastructure.<sup>1,2</sup> First, cities are dynamic, and are constantly being built and rebuilt through cycles of investment and innovation. Second, infrastructure in many cities has exceeded its design life and continues to age, resulting in an increasingly fragile system. At both local and national levels, infrastructure requires ongoing maintenance and investment to avoid a decline in service. Third, urban areas present tremendous social challenges, given widely divergent socioeconomic conditions and dynamic residence patterns that vary in different parts of each city. Heightened vulnerability of coastal cities and other metropolitan areas that are subject to storm surge, flooding, and other extreme weather or climate events will exacerbate impacts on populations and infrastructure systems.

Approximately 245 million people live in U.S. urban areas, a number expected to grow to 364 million by 2050.<sup>3</sup> Paradoxically, as the economy and population of urban areas grew in past decades, the built infrastructure within cities and connected to cities deteriorated, becoming increasingly fragile and deficient.<sup>1,2</sup> Existing built infrastructure

(such as buildings, energy, transportation, water, and sanitation systems) is expected to become more stressed in the next decades – especially when the impacts of climate change are added to the equation.<sup>4</sup> As infrastructure is highly interdependent, failure in particular sectors is expected to have cascading effects on most aspects of affected urban economies. Further expansion of the U.S. urban landscape into suburban and exurban spaces is expected, and new climate adaptation and resiliency plans will need to account for this (Ch. 28: Adaptation).<sup>5</sup> Significant increases in the costs of infrastructure investments also are expected as population density becomes more diffuse.<sup>6</sup>

The vulnerability of different urban populations to hazards and risks associated with climate change depends on three characteristics: their exposure to particular stressors, their sensitivity to impacts, and their ability to adapt to changing conditions.<sup>8,9</sup> Many major U.S. metropolitan areas, for example, are located on or near the coast and face higher exposure to particular climate impacts like sea level rise and storm surge, and thus may face complex and costly adaptation demands (Ch. 25: Coasts; Ch. 28: Adaptation). But as people begin to respond to new



Coastal cities are vulnerable to sea level rise, storm surge, and related impacts.

Blackout in New York and New Jersey after Hurricane Sandy



**Figure 11.1.** Extreme weather events can affect multiple systems that provide services for millions of people in urban settings. The satellite images depict city lights on a normal night (left) and immediately following Hurricane Sandy (right). Approximately five million customers in the New York metropolitan region lost power. (Figure source: NASA Earth Observatory).

information about climate change through the urban development process, social and infrastructure vulnerabilities can be altered.<sup>10</sup> For example, the City of New York conducted a comprehensive review of select building and construction codes and standards in response to increased climate change risk in

order to identify adjustments that could be made to increase climate resilience. Climate change stressors will bundle with other socioeconomic and engineering stressors already connected to urban and infrastructure systems.<sup>1</sup>

**Key Message 2: Essential Services are Interdependent**

**In urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.**

Urban areas rely on links to multiple jurisdictions through a complex set of infrastructure systems.<sup>11</sup> For example, cities depend on other areas for supplies of food, materials, water, energy, and other inputs, and surrounding areas are destinations for products, services, and wastes from cities. If infrastructure and other connections among source areas and cities are disrupted by climate change, then the dependent urban area also will be affected.<sup>12</sup> Moreover, the economic base of an urban area depends on regional comparative advantage; therefore, if competitors, markets, and/or trade flows are affected by climate change, a particular urban area is also affected.<sup>2</sup>

that the greatest losses from disruptive events may be distant from where damages started.<sup>2</sup> In another example, Hurricane

Urban vulnerabilities to climate change impacts are directly related to clusters of supporting resources and infrastructures located in other regions. For example, about half of the nation's oil refineries are located in only four states.<sup>13</sup> Experience over the past decade with major infrastructure disruptions, such as the 2011 San Diego blackout, the 2003 Northeast blackout, and Hurricane Irene in 2011, has shown



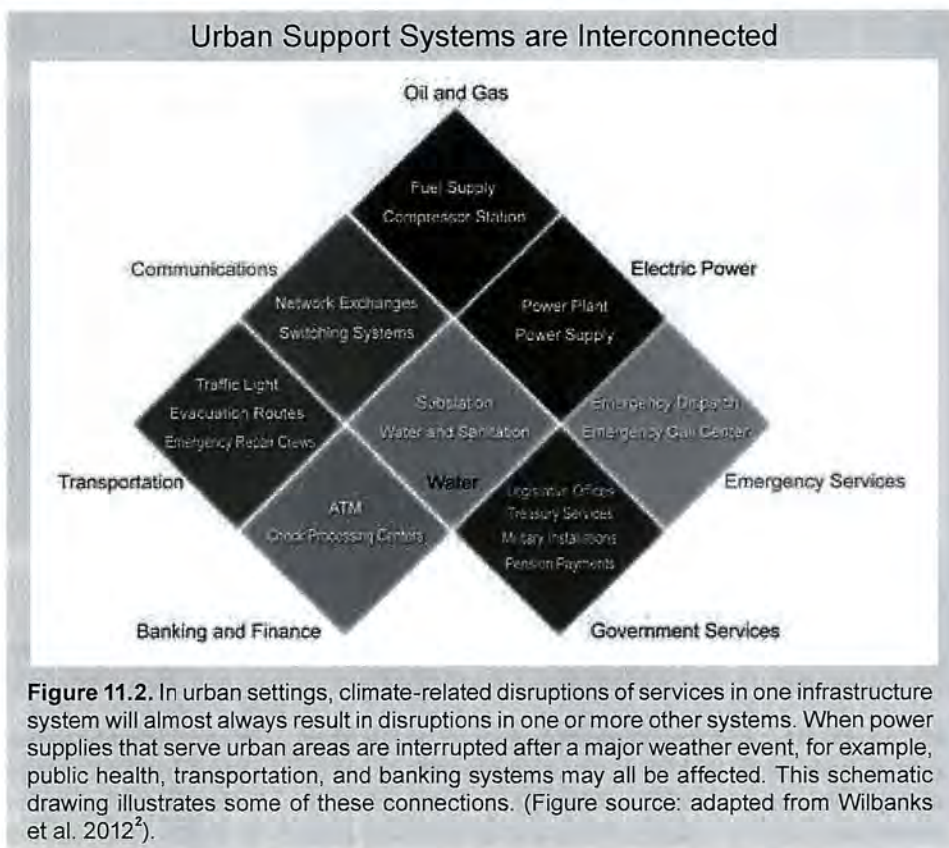
A failure of the electrical grid can affect everything from water treatment to public health.

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Katrina disrupted oil terminal operations in southern Louisiana, not because of direct damage to port facilities, but because workers could not reach work locations through surface transportation routes and could not be housed locally because of disruption to potable water supplies, housing, and food shipments.<sup>14</sup>

Although infrastructures and urban systems are often considered individually – for example, transportation or water supply or wastewater/drainage – they are usually highly interactive and interdependent.<sup>15</sup>

Such interdependencies can lead to cascading disruptions throughout urban infrastructures. These disruptions, in turn, can result in unexpected impacts on communication, water, and public health sectors, at least in the short term. On August 8, 2007, New York City experienced an intense rainfall and thunderstorm event during the morning commute, where between 1.4 and 3.5 inches of rain fell within two hours.<sup>16</sup> The event started a cascade of transit system failures – eventually stranding 2.5 million riders, shutting down much of the subway system, and severely disrupting the city’s bus system.<sup>16,17</sup> The storm’s impact was unprecedented and, coupled with two other major system disruptions that occurred



in 2004 and 2007, became the impetus for a full-scale assessment and review of transit procedures and policy in response to climate change.<sup>16,17,18</sup>

In August 2003, an electric power blackout that caused 50 million people in the U.S. Northeast and Midwest and Ontario, Canada, to lose electric power further illustrates the interdependencies of major infrastructure systems. The blackout caused significant indirect damage, such as shutdowns of water treatment plants and pumping stations. Other impacts included interruptions in communication systems for air travel and control systems for oil refineries. At a more local level, the lack of air conditioning and elevator access meant many urban residents were stranded in over-heating high-rise apartments. Similar cascading impacts have been observed from extreme weather events such as Hurricanes Katrina and Irene.<sup>2</sup> In fact, as urban infrastructures become more interconnected and more complex, the likelihood of large-scale cascading impacts will increase as risks to infrastructure increase.<sup>19</sup>



Storm surges reach farther inland as they ride on top of sea levels that are higher due to warming.

## HURRICANE SANDY: URBAN SYSTEMS, INFRASTRUCTURE, AND VULNERABILITY

Sandy made landfall on the New Jersey shore just south of Atlantic City on October 29, 2012, and became one of the most damaging storms to strike the continental United States. Sandy affected cities throughout the Atlantic seaboard, extending across the eastern United States to Chicago, Illinois, where it generated 20-foot waves on Lake Michigan and flooded the city's Lake Shore Drive. The storm's strength and resulting impact has been correlated with Atlantic Ocean water temperatures near the coast that were roughly 5°F above normal, and with sea level rise along the region's coastline as a result of a warming climate.

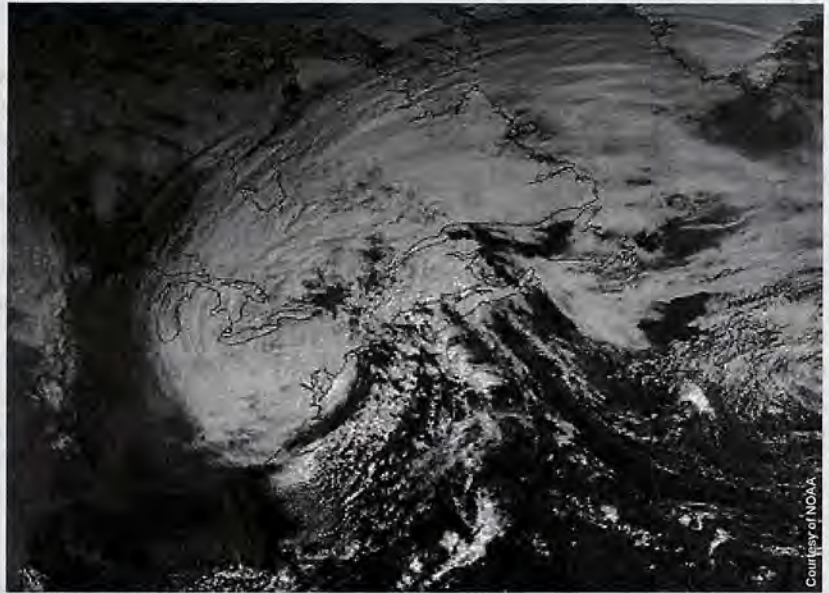
Sandy caused significant loss of life as well as tremendous destruction of property and critical infrastructure. It disrupted daily life for millions of coastal zone residents across

the New York-New Jersey metropolitan area, despite this being one of the best disaster-prepared coastal regions in the country. The death toll from Sandy in the metropolitan region exceeded 100, and the damage was estimated to be at least \$65 billion.<sup>20,21</sup> At its peak, the storm cut electrical power to more than 8.5 million customers.<sup>21</sup>

The death and injury, physical devastation, multi-day power, heat, and water outages, gasoline shortages, and cascade of problems from Sandy's impact reveal what happens when the complex, integrated systems upon which urban life depends are stressed and fail. One example is what occurred after a Consolidated Edison electricity distribution substation in lower Manhattan ceased operation at approximately 9 PM Monday evening, when its flood protection barrier (designed to be 1.5 feet above the 10-foot storm surge of record) was overtopped by Sandy's 14-foot storm surge. As the substation stopped functioning, it immediately caused a system-wide loss of power for more than 200,000 customers. Residents in numerous high-rise apartment buildings were left without heat and lights, and also without elevator service and water (which must be pumped to upper floors).

Sandy also highlighted the vast differences in vulnerabilities across the extended metropolitan region. Communities and neighborhoods on the coast were most vulnerable to the physical impact of the record storm surge. Many low- to moderate-income residents live in these areas and suffered damage to or loss of their homes, leaving tens of thousands of people displaced or homeless. As a specific sub-population, the elderly and infirm were highly vulnerable, especially those living in the coastal evacuation zone and those on upper floors of apartment buildings left without elevator service. These individuals had limited adaptive capacity because they could not easily leave their residences.

Even with the extensive devastation, the effects of the storm would have been far worse if local climate resilience strategies had not been in place. For example, the City of New York and the Metropolitan Transportation Authority worked aggressively to protect life and property by stopping the operation of the city's subway before the storm hit and moving the train cars out of low-lying, flood-prone areas. At the height of the storm surge, all seven of the city's East River subway tunnels flooded. Catastrophic loss of life would have resulted if there had been subway trains operating in the tunnels when the storm struck. The storm also fostered vigorous debate among local and state politicians, other decision-makers and stakeholders about how best to prepare the region for future storms. Planning is especially important given the expectation of increases in flood frequency resulting from more numerous extreme precipitation events and riverine and street level flooding, and coastal storm surge flooding associated with accelerated sea level rise and more intense (yet not necessarily more numerous) tropical storms.



Courtesy of NOAA

### Key Message 3: Social Vulnerability and Human Well-Being

**Climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.**

“Social vulnerability” describes characteristics of populations that influence their capacity to prepare for, respond to, and recover from hazards and disasters.<sup>22,23,24</sup> Social vulnerability also refers to the sensitivity of a population to climate change impacts and how different people or groups are more or less vulnerable to those impacts.<sup>25</sup> Those characteristics that most often influence differential impacts include socioeconomic status (wealth or poverty), age, gender, special needs, race, and ethnicity.<sup>26</sup> Further, inequalities reflecting differences in gender, age, wealth, class, ethnicity, health, and disabilities also influence coping and adaptive capacity, especially to climate change and climate-sensitive hazards.<sup>27</sup>

The urban elderly are particularly sensitive to heat waves. They are often physically frail, have limited financial resources,

and live in relative isolation in their apartments. They may not have adequate cooling (or heating), or may be unable to temporarily relocate to cooling stations. This combination led to a significant number of elderly deaths during the 1995 Chicago heat wave.<sup>28</sup> Similarly, the impacts of Hurricane Katrina in New Orleans illustrated profound differences based on race, gender, and class where these social inequalities strongly influenced the capacity of residents to prepare for and respond to the events.<sup>29</sup> It is difficult to assess the specific nature of vulnerability for particular groups of people. Urban areas are not homogeneous in terms of the social structures that influence inequalities. Also, the nature of the vulnerability is context specific, with both temporal and geographic determinants, and these also vary between and within urban areas.

### Key Message 4: Trends in Urban Adaptation – Lessons from Current Adopters

**City government agencies and organizations have started adaptation plans that focus on infrastructure systems and public health. To be successful, these adaptation efforts require cooperative private sector and governmental activities, but institutions face many barriers to implementing coordinated efforts.**

City preparation efforts for climate change include planning for ways in which the infrastructure systems and buildings, ecosystem and municipal services, and residents will be affected. In the first large-scale analysis of U.S. cities, a 2011 survey showed that 58% of respondents are moving forward on climate adaptation (Ch. 28: Adaptation), defined as any activity to address impacts that climate change could have on a community. Cities are engaged in activities ranging from education and outreach to assessment, planning, and implementation, with 48% reporting that they are in the preliminary planning and discussion phases.<sup>30</sup>

Cities either develop separate strategic adaptation plans<sup>30,32</sup> or integrate adaptation into community or general plans (as have Seattle, Washington; Portland, Oregon; Berkeley, California; and Homer, Alaska) (Ch. 28: Adaptation).<sup>1</sup> Some climate action plans target certain sectors like critical infrastructure,<sup>24,33</sup> and these have been effective in diverse contexts ranging from hazard mitigation and public-health planning to coastal-zone management and economic development.

Cities have employed several strategies for managing adaptation efforts. For example, some approaches to climate adaptation planning require both intra- and inter-governmental agency and department coordination (“New York City Climate Action”) (Ch. 28: Adaptation). As a result, many cities focus on

sharing information and examining what aspects of government operations will be affected by climate change impacts in order to gain support from municipal agency stakeholders and other local officials.<sup>34</sup> Some cities also have shared climate change action experiences, both within the United States and internationally, as is the case with ongoing communication between decision-makers in New York City and London, England.

National, state, and local policies play an important role in fostering and sustaining adaptation. There are no national regulations specifically designed to promote urban adaptation. However, existing federal policies, like the National Historic Preservation Act and National Environmental Policy Act – particularly through its impact assessment provision and evaluation criteria process – can provide incentives for adaptation strategies for managing federal property in urban areas.<sup>1,35</sup> In addition, recent activities of federal agencies focused on promoting adaptation and resilience have been developed in partnership with cities like Miami and New York.<sup>36</sup> Policies and planning measures at the local level, such as building codes, zoning regulations, land-use plans, water supply management, green infrastructure initiatives, health care planning, and disaster mitigation efforts, can support adaptation.<sup>1,2,37</sup>

Engaging the public in adaptation planning and implementation has helped to inform and educate the community at large



## New York City and Sea Level Rise



**Figure 11.3.** Map shows areas in New York's five boroughs that are projected to face increased flooding over the next 70 years, assuming an increased rate of sea level rise from the past century's average. As sea level rises, storm surges reach farther inland. Map does not represent precise flood boundaries, but illustrates projected increases in areas flooded under various sea level rise scenarios. (Figure source: New York City Panel on Climate Change 2013<sup>31</sup>).

about climate change, while ensuring that information and ideas flow back to policymakers.<sup>38</sup> Engagement can also help in identifying vulnerable populations<sup>39</sup> and in mobilizing people to encourage policy changes and take individual actions to reduce and adapt to climate change.<sup>40</sup> For instance, the Cambridge Climate Emergency Congress selected a demographically diverse group of resident delegates and engaged them in a deliberative process intended to express preferences and generate recommendations to inform climate action.<sup>41</sup> In addition, the Boston Climate Action Leadership Committee was initiated by the Mayor's office with the expectation that they would rely on public consultation to develop recommendations for updating the city's climate action plan.<sup>42</sup>

There are many barriers to action at the city level. Proactive adaptation efforts require that anticipated climate changes and impacts are evaluated and addressed in the course of the

planning process (Ch. 26: Decision Support; Ch. 28: Adaptation).<sup>43</sup> This means that climate projections and impact assessment data must be available, but most U.S. cities are unable to access suitable data or perform desired analyses.<sup>36</sup> To address technical aspects of adaptation, cities are promoting cooperation with local experts, such as the New York City Panel on Climate Change, which brings together experts from academia and the public and private sectors to consider how the region's critical infrastructure will be affected by, and can be protected from, future climate change.<sup>10,44</sup> A further illustration comes from Chicago, where multi-departmental groups are focusing on specific areas identified in Chicago's Climate Action Plan.<sup>45</sup>

Private sector involvement can be influential in promoting city-level adaptation (Ch. 28: Adaptation). Many utilities, for example, have asset management programs that address risk and vulnerabilities, which could also serve to address climate change. Yet to date there are limited examples of private sector interests working cooperatively with governments to limit risk. Instances where cooperation has taken place include property insurance companies<sup>46</sup> and engineering firms that provide consulting services to cities. For

example, firms providing infrastructure system plans have begun to account for projected changes in precipitation in their projects.<sup>47</sup> With city and regional infrastructure systems, recent attention has focused on the potential role of private sector-generated smart technologies to improve early warning of extreme precipitation and heat waves, as well as establishing information systems that can inform local decision-makers about the status and efficiency of infrastructure.<sup>46,48</sup>

Uncertainty, in both the climate system and modeling techniques, is often viewed as a barrier to adaptation action (Ch. 28: Adaptation).<sup>49</sup> Urban and infrastructure managers, however, recognize that understanding of sources and magnitude of future uncertainty will continue to be refined,<sup>39</sup> and that an incremental and flexible approach to planning that draws on both structural and nonstructural measures is prudent.<sup>44,46,50</sup> Gaining the commitment and support of local elected officials

for adaptation planning and implementation is another important challenge.<sup>30</sup> A compounding problem is that cities and city administrators face a wide range of other stressors demanding their attention, and have limited financial resources (see “Advancing Climate Adaptation in a Metropolitan Region”).<sup>46</sup>

Integrating climate change action in everyday city and infrastructure operations and governance (referred to as “mainstreaming”) is an important planning and implementation tool for advancing adaptation in cities (Ch. 28: Adaptation).<sup>44,46</sup> By integrating climate change considerations into daily operations, these efforts can forestall the need to develop a new and isolated set of climate change-specific policies or procedures.<sup>39</sup> This strategy enables cities and other government agencies to take advantage of existing funding sources and programs, and achieve co-benefits in areas such as sustainability, public health, economic development, disaster preparedness, and environmental justice. Pursuing low-cost, no-regrets options is a particularly attractive short-term strategy for many cities.<sup>39,46</sup>

Over the long term, responses to severe climate change impacts, such as sea level rise and greater frequency and intensity of other climate-related hazards, are of a scale and complexity that will likely require major expenditures and structural changes,<sup>1,46</sup> especially in urban areas. When major infrastructure decisions must be made in order to protect human lives and urban assets, cities need access to the best available science, decision support tools, funding, and guidance. The Federal Government is seen by local officials to have an important

## ADVANCING CLIMATE ADAPTATION IN A METROPOLITAN REGION

Coordinating efforts across many jurisdictional boundaries is a major challenge for adaptation planning and practice in extended metropolitan regions and associated regional systems (Ch. 28: Adaptation). Regional government institutions may be well suited to address this challenge, as they cover a larger geographic scope than individual cities, and have potential to coordinate the efforts of multiple jurisdictions.<sup>1</sup> California already requires metropolitan planning organizations to prepare Sustainable Communities Strategies (SCS) as part of the Regional Transportation Plan process.<sup>51</sup> While its focus is on reducing emissions, SCS plans prepared to date have also introduced topics related to climate change impacts and adaptation.<sup>52</sup> Examples of climate change vulnerabilities that could benefit from a regional perspective include water shortages, transportation infrastructure maintenance, loss of native plant and animal species, and energy demand.

role here by providing adaptation leadership and financial and technical resources, and by conducting and disseminating research (Ch. 28: Adaptation).<sup>36,39,46</sup>

## NEW YORK CITY CLIMATE ACTION

New York City leaders recognized that climate change represents a serious threat to critical infrastructure and responded with a comprehensive program to address climate change impacts and increase resilience.<sup>1,2</sup> The 2010 “Climate Change Adaptation in New York City: Building a Risk Management Response” report was prepared by the New York City Panel on Climate Change as a part of the city’s long-term sustainability plan.<sup>10</sup> Major components of the process and program include:

- establishing multiple participatory processes to obtain broad public input, including a Climate Change Adaptation Task Force that included private and public stakeholders;<sup>46</sup>
- forming an expert technical advisory body, the New York City Panel on Climate Change (NPCC), to support the Task Force;
- developing a Climate Change Assessment and Action Plan that helps improve responses to present-day climate variability as well as projected future conditions;
- defining “Climate Protection Levels” to address the effectiveness of current regulations and design standards to respond to climate change impacts; and
- producing adaptation assessment guidelines that recognize the need for flexibility to reassess and adjust strategies over time. The guidelines include a risk matrix and prioritization framework intended to become integral parts of ongoing risk management and agency operations.

# 11: URBAN SYSTEMS, INFRASTRUCTURE, AND VULNERABILITY

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SUPPLEMENTAL MATERIAL  
TRACEABLE ACCOUNTS

**Process for Developing Key Messages**

In developing key messages, the report author team engaged in multiple technical discussions via teleconference. A consensus process was used to determine the final set of key messages, which are supported by extensive evidence documented in two Technical Report Inputs to the National Climate Assessment on urban systems, infrastructure, and vulnerability: 1) *Climate Change and Infrastructure, Urban Systems, and Vulnerabilities: Technical Report for the U.S. Department of Energy in Support of the National Climate Assessment*,<sup>2</sup> and 2) *U.S. Cities and Climate Change: Urban, Infrastructure, and Vulnerability Issues*.<sup>1</sup> Other Technical Input reports (56) on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

**KEY MESSAGE 1 TRACEABLE ACCOUNT**

**Climate change and its impacts threaten the well-being of urban residents in all U.S. regions. Essential infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts. The nation's economy, security, and culture all depend on the resilience of urban infrastructure systems.**

**Description of evidence base**

Recent studies have reported that population and economic growth have made urban infrastructure more fragile and deficient,<sup>1,2</sup> with work projecting increased stresses due to climate change<sup>4</sup> and increased costs of adaptation plans due to more extensive urban development.<sup>6</sup> Additionally, a few publications have assessed the main drivers of vulnerability<sup>8,9</sup> and the effects of the amalgamation of climate change stresses with other urban and infrastructure stressors.<sup>1</sup>

**New information and remaining uncertainties**

Given that population trends and infrastructure assessments are well established and documented, the largest uncertainties are associated with the rate and extent of potential climate change.

Since the 2009 National Climate Assessment,<sup>53</sup> recent publications have explored the driving factors of vulnerability in urban systems<sup>8,9</sup> and the effects of the combined effect of climate change and existing urban stressors.<sup>1</sup>

**Assessment of confidence based on evidence**

Given the evidence base and remaining uncertainties, confidence is **very high** that climate change and its impacts threaten the well-being of urban residents in all regions of the U.S.

Given the evidence base and remaining uncertainties, confidence is **very high** that essential local and regional infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts.

**Confidence Level**

**Very High**

Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus

**High**

Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus

**Medium**

Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought

**Low**

Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

**KEY MESSAGE 2 TRACEABLE ACCOUNT**

**In urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.**

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**Description of evidence base**

The interconnections among urban systems and infrastructures have been noted in the past,<sup>19</sup> with recent work expanding on this principle to assess the risks this interconnectivity poses. One study<sup>15</sup> explored the misconception of independent systems, and stressed instead the interactive and interdependent nature of systems. The effects of climate change on one system ultimately affect systems that are dependent upon it.<sup>12</sup> One of the foundational Technical Input Reports examined the economic effects from climate change and how they will affect urban areas.<sup>2</sup> Noted examples of this interconnectivity can be found in a number of publications concerning Hurricane Katrina,<sup>14</sup> intense weather in New York City,<sup>16,17</sup> and the vulnerability of U.S. oil refineries and electric power plants.<sup>2,13</sup>

**New information and remaining uncertainties**

Recent work has delved deeper into the interconnectivity of urban systems and infrastructure,<sup>2,12</sup> and has expressed the importance of understanding these interactions when adapting to climate change.

The extensive number of infrastructure assessments has resulted in system interdependencies and cascade effects being well documented. Therefore, the most significant uncertainties are associated with the rate and extent of potential climate change.

**Assessment of confidence based on evidence**

Given the evidence base and remaining uncertainties, confidence is **very high** that in urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.

**KEY MESSAGE 3 TRACEABLE ACCOUNT**

**Climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.**

**Description of evidence base**

The topic of social vulnerability has been extensively studied,<sup>22,23,24</sup> with some work detailing the social characteristics that are the most influential.<sup>26</sup> More recent work has addressed the vulnerability of populations to climate change<sup>25</sup> and how social inequalities influence capacity to adapt to climate change.<sup>27</sup> Some empirical studies of U.S. urban areas were explored concerning these issues.<sup>9</sup>

**New information and remaining uncertainties**

Given that population trends and socioeconomic factors associated with vulnerability and adaptive capacity are well established and documented, the largest uncertainties are associated with the rate and extent of potential climate change.

Recent work has addressed the social vulnerabilities to climate change at a more detailed level than in the past,<sup>23,25</sup> providing information on the constraints that social vulnerabilities can have on climate change adaptation.

**Assessment of confidence based on evidence**

Given the evidence base and remaining uncertainties, confidence is **very high** that the climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.

**KEY MESSAGE 4 TRACEABLE ACCOUNT**

**City government agencies and organizations have started adaptation plans that focus on infrastructure systems and public health. To be successful, these adaptation efforts require cooperative private sector and governmental activities, but institutions face many barriers to implementing coordinated efforts.**

**Description of evidence base**

Urban adaptation is already underway with a number of cities developing plans at the city<sup>30,32,33</sup> and state levels,<sup>30</sup> with some integrating adaptation into community plans<sup>1</sup> and sharing information and assessing potential impacts.<sup>34</sup> Some recent publications have explored how incentives and administrative and financial support can benefit climate adaptation through policy planning at the local level<sup>1,2,37</sup> and by engaging the public.<sup>38,39,40</sup> Barriers exist that can hinder the adaptation process, which has been demonstrated through publications assessing the availability of scientific data<sup>30,36</sup> that is integral to the evaluation and planning process,<sup>43</sup> uncertainty in the climate system and modeling techniques,<sup>49</sup> and the challenges of gaining support and commitment from local officials.<sup>30,45</sup>

**New information and remaining uncertainties**

Besides uncertainties associated with the rate and extent of potential climate change, uncertainties emerge from the fact that, to date, there have been few extended case studies examining how U.S. cities are responding to climate change (<10 studies). Furthermore, only one large-scale survey of U.S. cities has been conducted for which results have been published and widely available.<sup>30</sup>

**Assessment of confidence based on evidence**

Given the evidence base and remaining uncertainties, confidence is **very high** that city government agencies and organizations have started urban adaptation efforts that focus on infrastructure systems and public health.





## Climate Change Impacts in the United States

# CHAPTER 12 INDIGENOUS PEOPLES, LAND, AND RESOURCES

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### Recommended Citation for Chapter

Bennett, T. M. B., N. G. Maynard, P. Cochran, R. Gough, K. Lynn, J. Maldonado, G. Voggeser, S. Wotkyns, and K. Cozzetto, 2014: Ch. 12: Indigenous Peoples, Lands, and Resources. *Climate Change Impacts in the United States: The Third National Climate Assessment*, J. M. Melillo, Terese (T.C.) Richmond, and G. W. Yohe, Eds., U.S. Global Change Research Program, 297-317. doi:10.7930/J09G5JR1.

**On the Web:** <http://nca2014.globalchange.gov/report/sectors/indigenous-peoples>



INFORMATION DRAWN FROM THIS CHAPTER IS INCLUDED IN THE HIGHLIGHTS REPORT AND IS IDENTIFIED BY THIS ICON

# 12 INDIGENOUS PEOPLES, LAND, AND RESOURCES

## KEY MESSAGES

1. Observed and future impacts from climate change threaten Native Peoples' access to traditional foods such as fish, game, and wild and cultivated crops, which have provided sustenance as well as cultural, economic, medicinal, and community health for generations.
2. A significant decrease in water quality and quantity due to a variety of factors, including climate change, is affecting drinking water, food, and cultures. Native communities' vulnerabilities and limited capacity to adapt to water-related challenges are exacerbated by historical and contemporary government policies and poor socioeconomic conditions.
3. Declining sea ice in Alaska is causing significant impacts to Native communities, including increasingly risky travel and hunting conditions, damage and loss to settlements, food insecurity, and socioeconomic and health impacts from loss of cultures, traditional knowledge, and homelands.
4. Alaska Native communities are increasingly exposed to health and livelihood hazards from increasing temperatures and thawing permafrost, which are damaging critical infrastructure, adding to other stressors on traditional lifestyles.
5. Climate change related impacts are forcing relocation of tribal and indigenous communities, especially in coastal locations. These relocations, and the lack of governance mechanisms or funding to support them, are causing loss of community and culture, health impacts, and economic decline, further exacerbating tribal impoverishment.

*We humbly ask permission from all our relatives; our elders, our families, our children, the winged and the insects, the four-legged, the swimmers, and all the plant and animal nations, to speak. Our Mother has cried out to us. She is in pain. We are called to answer her cries. Msit No'Kmaq – All my relations!*

— Indigenous Prayer

The peoples, lands, and resources of indigenous communities in the United States, including Alaska and the Pacific Rim, face an array of climate change impacts and vulnerabilities that threaten many Native communities. The consequences of observed and projected climate change have and will undermine indigenous ways of life that have persisted for thousands of years. Key vulnerabilities include the loss of traditional knowledge in the face of rapidly changing ecological conditions, increased food insecurity due to reduced availability of traditional foods, changing water availability, Arctic sea ice loss, permafrost thaw, and relocation from historic homelands.<sup>1,2,3,4</sup>

Climate change impacts on many of the 566 federally recognized tribes and other tribal and indigenous groups in the U.S. are projected to be especially severe, since these impacts are compounded by a number of persistent social and economic

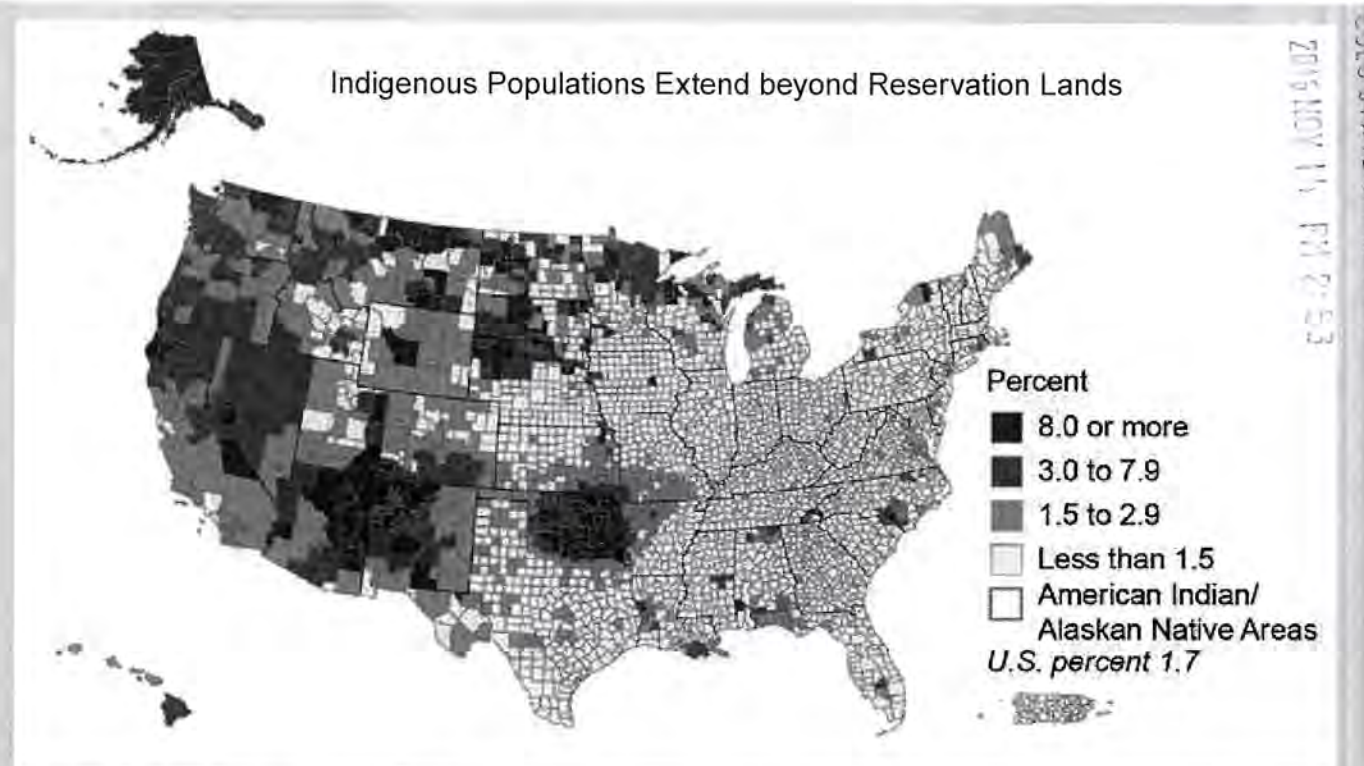
problems.<sup>6,7</sup> The adaptive responses to multiple social and ecological challenges arising from climate impacts on indigenous communities will occur against a complex backdrop of centuries-old cultures already stressed by historical events and contemporary conditions.<sup>8</sup> Individual tribal responses will be grounded in the particular cultural and environmental heritage of each community, their social and geographical history, spiritual values, traditional ecological knowledge, and worldview. Furthermore, these responses will be informed by each group's distinct political and legal status, which includes the legacy of more than two centuries of non-Native social and governmental institutional arrangements, relationships, policies, and practices. Response options will be informed by the often limited economic resources available to meet these challenges, as well as these cultures' deeply ingrained relationships with the natural world.<sup>9,10,11,12</sup>

The history and culture of many tribes and indigenous peoples are critical to understand before assessing additional climate change impacts. Most U.S. Native populations already face adverse socioeconomic factors such as extreme poverty; substandard and inadequate housing; a lack of health and community services, food, infrastructure, transportation, and education; low employment; and high fuel costs; as well as historical and current institutional and policy issues related to Native resources.<sup>7,11,12,13</sup> The overwhelming driver of these adverse social indicators is pervasive poverty on reservations and in Native communities, as illustrated by an overall 28.4% poverty rate (36% for families with children) on reservations, compared with 15.3% nationally.<sup>13</sup> Some reservations are far worse off, with more than 60% poverty rates and, in some cases, extremely low income levels (for example, Pine Ridge Reservation has the lowest per capita income in the U.S. at \$1,535 per year).<sup>14</sup>

These poverty levels result in problems such as: a critical housing shortage of well over two hundred thousand safe, healthy, and affordable homes;<sup>15</sup> a homeless rate of more than 10% on reservations;<sup>16</sup> a lack of electricity (more than 14% of reservation homes are without power, ten times the national average, and, on the Navajo Reservation, about 40% of homes have no electricity<sup>17</sup>); lack of running water in one-fifth of all

reservation homes and for about one-third of people on the Navajo Reservation (compared with 1% of U.S. national households);<sup>18,19,20</sup> and an almost complete lack of modern telecommunications – fewer than 50% of homes have phone service, fewer than 10% of residents have Internet access, and many reservations have no cell phone reception.<sup>21</sup> In addition, Native populations are also vulnerable because their physical, mental, intellectual, social, and cultural well-being is traditionally tied to a close relationship with the natural world, and because of their dependence on the land and resources for basic needs such as medicine, shelter, and food.<sup>22,23</sup> Climate changes will exacerbate many existing barriers to providing for these human needs, and in many cases will make adaptive responses more difficult.

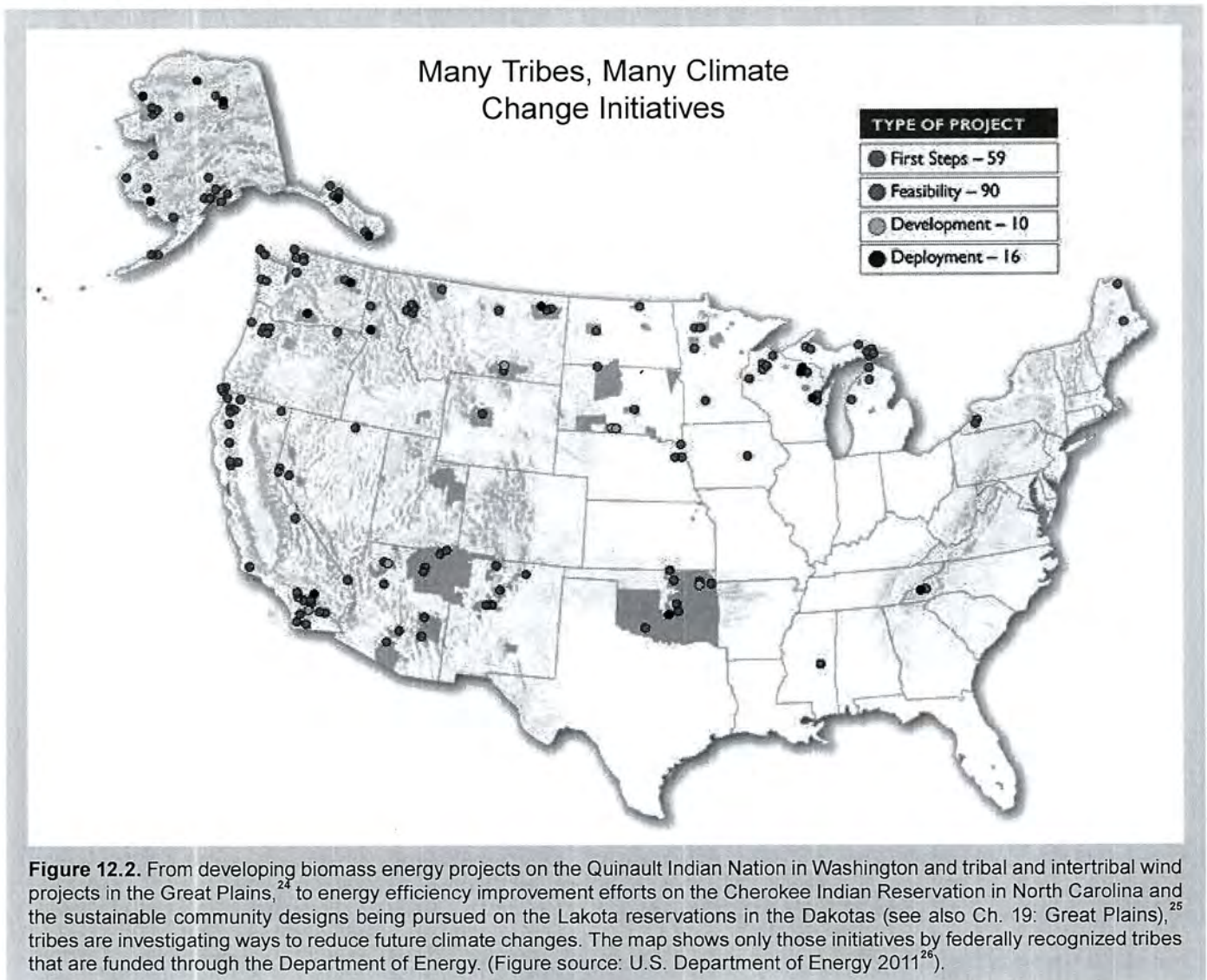
Of the 5.2 million American Indians and Alaska Natives registered in the U.S. Census, approximately 1.1 million live on or near reservations or Native lands, located mostly in the Northwest, Southwest, Great Plains, and Alaska. Tribal lands include approximately 56 million acres (about 3% of U.S. lands) in the 48 contiguous states and 44 million acres (about 42% of Alaska's land base) held by Alaska Native corporations.<sup>5</sup> Most reservations are small and often remote or isolated, with a few larger exceptions such as the Navajo Reservation in Arizona, Utah, and New Mexico, which has 175,000 residents.<sup>5</sup>



**Figure 12.1.** Census data show that American Indian and Alaska Native populations are concentrated around, but are not limited to, reservation lands like the Hopi and Navajo in Arizona and New Mexico, the Choctaw, Chickasaw, and Cherokee in Oklahoma, and various Sioux tribes in the Dakotas and Montana. Not depicted in this graphic is the proportion of Native Americans who live off-reservation and in and around urban centers (such as Chicago, Minneapolis, Denver, Albuquerque, and Los Angeles) yet still maintain strong family ties to their tribes, tribal lands, and cultural resources. (Figure source: Norris et al. 2012<sup>5</sup>).



House being built on Pine Ridge Reservation



Native American, Alaska Native, and other indigenous communities across the U.S. share unique historical and cultural relationships with tribal or ancestral lands, significantly shaping their identities and adaptive opportunities.<sup>11</sup> Some climate change adaptation opportunities exist on Native lands, and traditional knowledge can enhance adaptation and sustainability strategies. In many cases, however, adaptation options are limited by poverty, lack of resources, or – for some Native communities, such as those along the northern coast of Alaska

constrained by public lands or on certain low-lying Pacific Islands – because there may be no land left to call their own. Conversely, for these same reasons, Native communities – especially in the Arctic – are also increasingly working to identify new economic opportunities associated with climate change and development activities (for example, oil and gas, mining, shipping, and tourism) and to optimize employment opportunities.<sup>1,27,28</sup>

### Climate Change and Traditional Knowledge

Indigenous traditional knowledge has emerged in national and international arenas as a source of rich information for indigenous and non-indigenous climate assessments, policies, and adaptation strategies. Working Group II of the Intergovernmental Panel on Climate Change Fourth Assessment Report recognized traditional knowledge as an important information source for improving the understanding of climate change and other changes over time, and for developing comprehensive natural resource management and climate adaptation strategies.<sup>29</sup>

Traditional knowledge is essential to the economic and cultural survival of indigenous peoples, and, arguably, cultures throughout the world.<sup>30,31</sup> Traditional knowledge has been defined as “a cumulative body of knowledge, practice, and belief, evolving by adaptive processes and handed down through generations by cultural transmission, about the relationship of living beings (including humans) with one another and with their environment.”<sup>11,12,32</sup> From an indigenous perspective, traditional knowledge encompasses all that is known about the world around us and how to apply that knowledge in relation to those beings that share the world.<sup>12,33</sup> As the elders of these communities – the “knowledge keepers” – pass away, the continued existence and viability of traditional knowledge is threatened. Programs are needed to help preserve the diverse traditional teachings and employ them to strive for balance among the physical, the spiritual, emotional, and intellectual – all things that encompass “wolakota,” meaning to be a complete human being.<sup>34</sup>

Many, if not all, indigenous resource managers believe their cultures already possess sufficient knowledge to respond to climate variation and change.<sup>30,35</sup> However, there are elements of traditional knowledge that are increasingly vulnerable with changing climatic conditions,<sup>4</sup> including cultural identities, ceremonies, and traditional ways of life.<sup>36</sup> The use of indigenous and traditional knowledge to address climate change issues in Indian country has been called “indigenuity” – indigenous knowledge plus ingenuity.<sup>33</sup>

Native cultures are directly tied to Native places and homelands, reflecting the indigenous perspective that includes the “power of place.”<sup>6,36,37</sup> Many indigenous peoples regard all people, plants, and animals that share our world as relatives rather

than resources. Language, ceremonies, cultures, practices, and food sources evolved in concert with the inhabitants, human and non-human, of specific homelands.<sup>1,33</sup> The wisdom and knowledge of Native people resides in songs, dances, art, language, and music that reflect these places. By regarding all things as relatives, not resources, natural laws dictate that people care for their relatives in responsible ways. “*When you say, ‘my mother is in pain,’ it’s very different from saying ‘the earth is experiencing climate change.’*”<sup>38,39</sup> As climate change increasingly threatens these Native places, cultural identities, and practices, documenting the impacts on traditional lifestyles would strengthen adaptive strategies.

Traditional knowledge has developed tangible and reliable methods for recording historic weather and climate variability and their impacts on native societies.<sup>40</sup> For example, tribal community historians (winter count keepers) on the northern Great Plains recorded pictographs on buffalo hides to remember the sequence of events that marked each year, dating back to the 1600s. These once-reliable methods are becoming increasingly more difficult to maintain and less reliable as time passes.<sup>41</sup>

There are recent examples, however, where traditional knowledge and western-based approaches are used together to address climate change and related impacts. For example, the Alaska Native Tribal Health Consortium chronicles climate change impacts on the landscape and on human health and also develops adaptation strategies.<sup>1</sup> This Consortium employs western science, traditional ecological knowledge, and a vast network of “Local Environmental Observers” to develop comprehensive, community-scaled climate change health assessments.<sup>42</sup> During a recent drought on the Navajo Reservation, traditional knowledge and western approaches were also applied together, as researchers worked with Navajo elders to observe meteorological and hydrological changes and other phenomena in an effort to assess and reduce disaster risks.<sup>43</sup>

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## Key Message 1: Forests, Fires, and Food

**Observed and future impacts from climate change threaten Native Peoples' access to traditional foods such as fish, game, and wild and cultivated crops, which have provided sustenance as well as cultural, economic, medicinal, and community health for generations.**

Climate change impacts on forests and ecosystems are expected to have direct effects on culturally important plant and animal species, which will affect tribal sovereignty, culture, and economies.<sup>2,4</sup> Warmer temperatures and more frequent drought are expected to cause dieback and tree loss of several tree and plant species (such as birch, brown ash, and sweet grass) important for Native artistic, cultural, and economic purposes, including tourism.<sup>22</sup> Tribal access to valued resources is threatened by climate change impacts causing habitat degradation, forest conversion, and extreme changes in ecosystem processes.<sup>44</sup>

Observed impacts from both the causes and consequences of climate change, and added stressors such as extractive industry practices on or near Native lands, include species loss and shifts in species range.<sup>1,45,46,47</sup> There have also been observed changes in the distribution and population density of wildlife species, contraction or expansion of some plant species' range, and the northward migration of some temperate forest species.<sup>4,48</sup> For example, moose populations in Maine and similar locations are expected to decline because of loss of preferred habitat and increased winter temperatures, which are enabling ticks to survive through the winter and causing damage from significant infestation of the moose.<sup>22</sup>



Harvesting traditional foods is important to Native Peoples' culture, health, and economic well being. In the Great Lakes region, wild rice is unable to grow in its traditional range due to warming winters and changing water levels.

Loss of biodiversity, changes in ranges and abundance of culturally important native plants and animals, increases in invasive species, bark beetle damage to forests, and increased risk of forest fires have been observed in the Southwest, across much of the West, and in Alaska (see also Appendix 3: Climate Science Supplement, Figure 31; Ch. 7: Forests; Ch. 8: Ecosystems).<sup>4,30,48,49</sup> Changes in ocean temperature and acidity affect distribution and abundance of important food sources, like fish and shellfish (Ch. 2: Our Changing Climate; Ch. 24: Oceans).

Rising temperatures and hotter, drier summers are projected to increase the frequency and intensity of large wildfires (see Ch. 7: Forests).<sup>44</sup> Warmer, drier, and longer fire seasons and increased forest fuel load will lead to insect outbreaks and the spread of invasive species, dry grasses, and other fuel sources (see Ch. 7: Forests). Wildfire threatens Native and tribal homes, safety, economies, culturally important species, medicinal plants, traditional foods, and cultural sites. *"Fire affects the plants, which affect the water, which affects the fish, which affect terrestrial plants and animals, all of which the Karuk rely on for cultural perpetuity."*<sup>50</sup>

In interior Alaska, rural Native communities are experiencing new risks associated with climate change related wildfires in boreal forests and Arctic tundra (see also Ch. 22: Alaska).<sup>1,51</sup> Reliance on local, wild foods and the isolated nature of these communities, coupled with their varied preparedness and limited ability to deal with wildfires, leaves many communities at an increased risk of devastation brought on by fires. While efforts are being made to better coordinate rural responses to wildfires in Alaska, current responses are limited by organization and geographic isolation.<sup>48</sup>

Indigenous peoples have historically depended on the gathering and preparation of a wide variety of local plant and animal species for food (frequently referred to as traditional foods), medicines, ceremonies, community cohesion, and economic health for countless generations.<sup>2,52</sup> These include corn, beans, squash, seals, fish, shellfish, bison, bear, caribou, walrus, moose, deer, wild rice, cottonwood trees, and a multitude of native flora and fauna.<sup>2,45,47,49,52,53,54,55,56,57</sup> A changing climate affects the availability, tribal access to, and health of these resources.<sup>1,2,4,47,57,58,59,60</sup> This in turn threatens tribal customs, cultures, and identity.

Medicinal and food plants are becoming increasingly difficult to find or are no longer found in historical ranges.<sup>2,56</sup> For example, climate change and other environmental stressors are affecting the range, quality, and quantity of berry resources

for the Wabanaki tribes in the Northeast.<sup>2,61</sup> The Karuk people in California have experienced a near elimination of both salmonids and acorns, which comprise 50% of a traditional Karuk diet.<sup>62</sup> In the Great Lakes region, wild rice is unable to grow in its traditional range due to warming winters and changing water levels, affecting the Anishinaabe peoples' culture, health, and well-being.<sup>54</sup>

Subsequent shifts from traditional lifestyles and diet, compounded by persistent poverty, food insecurity, the cost of non-traditional foods, and poor housing conditions have led to increasing health problems in communities, also increasing the risk to food and resource security.<sup>1,2,16</sup> Climate change is likely to amplify other indirect effects to traditional foods and resources, including limited access to gathering places and hunting grounds and environmental pollution.<sup>4,57,59</sup>



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Human-caused stresses such as dam building have greatly reduced salmon on the Klamath River.

## Key Message 2: Water Quality and Quantity

**A significant decrease in water quality and quantity due to a variety of factors, including climate change, is affecting drinking water, food, and cultures. Native communities' vulnerabilities and limited capacity to adapt to water-related challenges are exacerbated by historical and contemporary government policies and poor socioeconomic conditions.**

Native communities and tribes in different parts of the U.S. have observed changes in precipitation affecting their water resources. On the Colorado Plateau, tribes have been experiencing drought for more than a decade.<sup>63,64</sup> Navajo elders have observed long-term decreases in annual snowfall over the past century, a transition from wet to dry conditions in the 1940s, and a decline in surface water features.<sup>20</sup> Changes in long-term average temperature and precipitation have produced changes in the physical and hydrologic environment, making the Navajo Nation more susceptible to drought impacts, and some springs and shallow water wells on the Navajo Nation have gone dry.<sup>43</sup> Southwest tribes have observed damage to their agriculture and livestock, the loss of springs and medicinal and culturally

important plants and animals, and impacts on drinking water supplies.<sup>63,64,65,66</sup> In the Northwest, tribal treaty rights to traditional territories and resources are being affected by the reduction of rainfall and snowmelt in the mountains, melting glaciers, rising temperatures, and shifts in ocean currents.<sup>52,58,67</sup> In Hawai'i, Native peoples have observed a shortening of the rainy season, increasing intensity of storms and flooding, and a rainfall pattern that has become unpredictable.<sup>38</sup> In Alaska, water availability, quality, and quantity are threatened by the consequences of permafrost thaw, which has damaged community water infrastructure, as well as by the northward extension of diseases such as those caused by the *Giardia* parasite, a result of disease-carriers like beavers moving northward in

response to rising temperatures.<sup>68</sup> The impact of historical federal policies, such as the late 1800s allotment policy and practices regarding Native access to treaty-protected resources,<sup>69</sup> reverberate in current practices, such as states and the government permitting oil drilling and hydraulic fracturing on lands in and around reservations but outside of tribal jurisdiction (for example, a 2013 pipeline spill upstream of tribal reservations in Western North Dakota, and others). Such policies and practices exacerbate the threat to water quality and quantity for Native communities.

Native American tribes have unique and significant adaptation needs related to climate impacts on water.<sup>66</sup> There is little available data to establish baseline climatic conditions on tribal



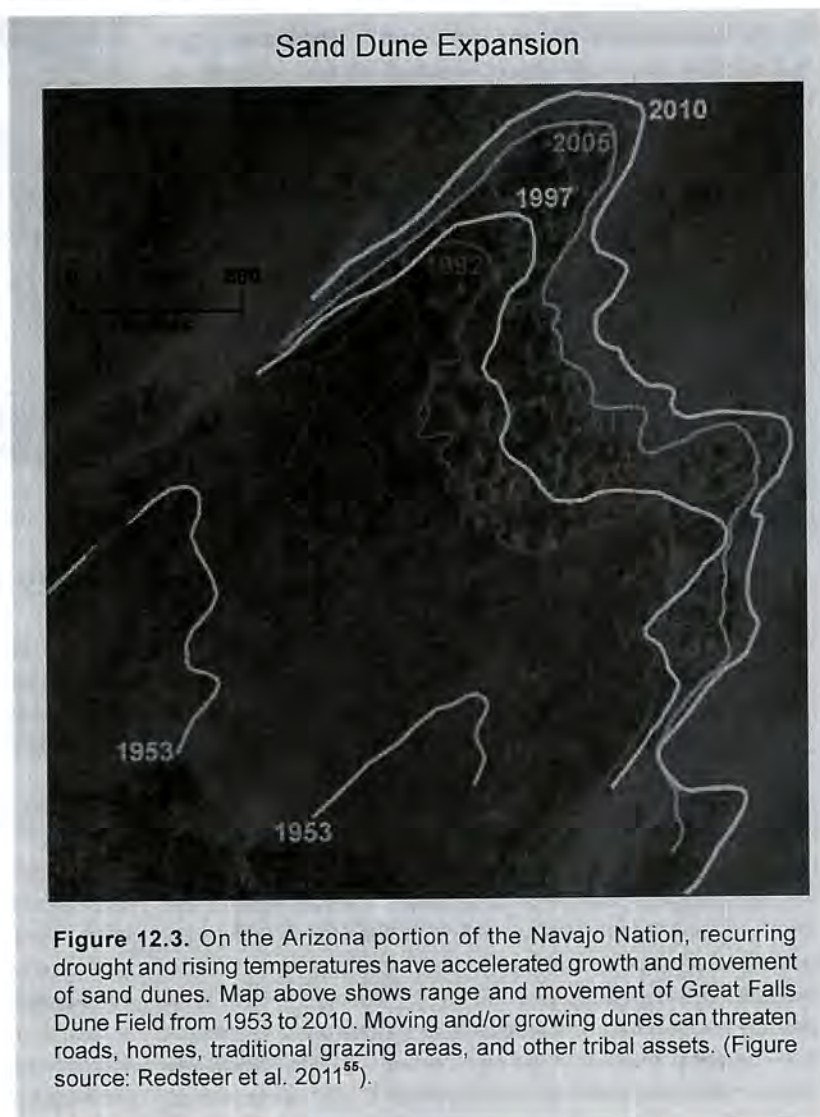
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Coal plant and fishermen, Navajo Reservation

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lands, and many tribes do not have sufficient capacity to monitor changing conditions.<sup>63</sup> Without scientific monitoring, tribal decision-makers lack the data needed to quantify and evaluate current conditions and emerging trends in precipitation, streamflow, and soil moisture, and to plan and manage resources accordingly.<sup>10,64,66</sup> However, some existing efforts to document climate impacts on water resources could be replicated in other regions to assess hydrologic vulnerabilities.<sup>58</sup>

Water infrastructure is in disrepair or lacking on some reservations.<sup>43,70</sup> Approximately 30% of people on the Navajo Nation are not served by municipal systems and must haul water to meet their daily needs.<sup>19,43</sup> Longer-term impacts of this lack of control over water access are projected to include loss of traditional agricultural crops.<sup>19,43</sup> Furthermore, there is an overall lack of financial resources to support basic water infrastructure on tribal lands.<sup>63</sup> Uncertainty associated with undefined tribal water rights make it difficult to determine strategies to deal with water resource issues.<sup>70</sup> Potential impacts to treaty rights and water resources exist, such as a reduction of groundwater and drinking water availability and water quality decline, including impacts from oil and natural gas extraction and sea level rise-induced saltwater intrusion into coastal freshwater aquifers (see also Ch. 3: Water).<sup>7</sup> New datasets on climate impacts on water in many locations throughout Indian Country, such as the need to quantify available water and aquifer monitoring, will be important for improved adaptive planning.



**Figure 12.3.** On the Arizona portion of the Navajo Nation, recurring drought and rising temperatures have accelerated growth and movement of sand dunes. Map above shows range and movement of Great Falls Dune Field from 1953 to 2010. Moving and/or growing dunes can threaten roads, homes, traditional grazing areas, and other tribal assets. (Figure source: Redsteer et al. 2011<sup>65</sup>).

### Key Message 3: Declining Sea Ice

**Declining sea ice in Alaska is causing significant impacts to Native communities, including increasingly risky travel and hunting conditions, damage and loss to settlements, food insecurity, and socioeconomic and health impacts from loss of cultures, traditional knowledge, and homelands.**

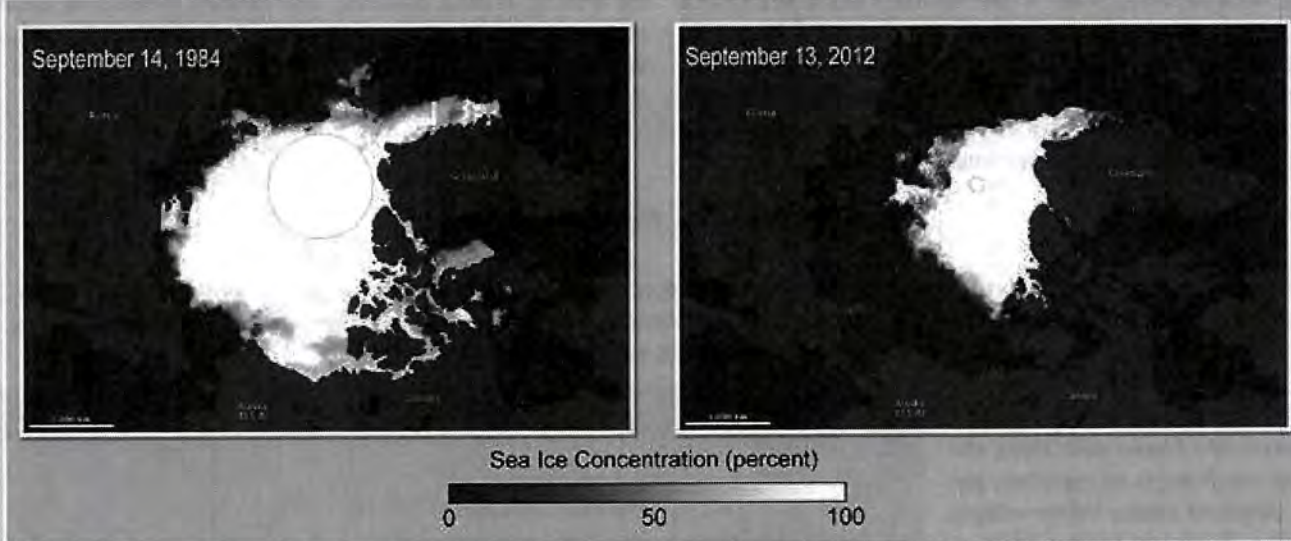
*"...since the late 1970s, communities along the coast of the northern Bering and Chukchi Seas have noticed substantial changes in the ocean and the animals that live there. While we are used to changes from year-to-year in weather, hunting conditions, ice patterns, and animal populations, the past two decades have seen clear trends in many environmental factors. If these trends continue, we can expect major, perhaps irreversible, impacts to our communities...."*

– C. Pungowiyi, personal communication<sup>71</sup>

Scientists across the Arctic have documented rising regional temperatures over the past few decades at twice the global rate, and indigenous Arctic communities have observed these changes in their daily lives.<sup>1</sup> This temperature increase – which is expected to continue with future climate change – is accompanied by significant reductions in sea ice thickness and extent, increased permafrost thaw, more extreme weather and severe storms, and changes in seasonal ice melt/freeze of lakes and rivers, water temperature, sea level rise, flooding patterns, erosion, and snowfall timing and type (see also Ch. 2:

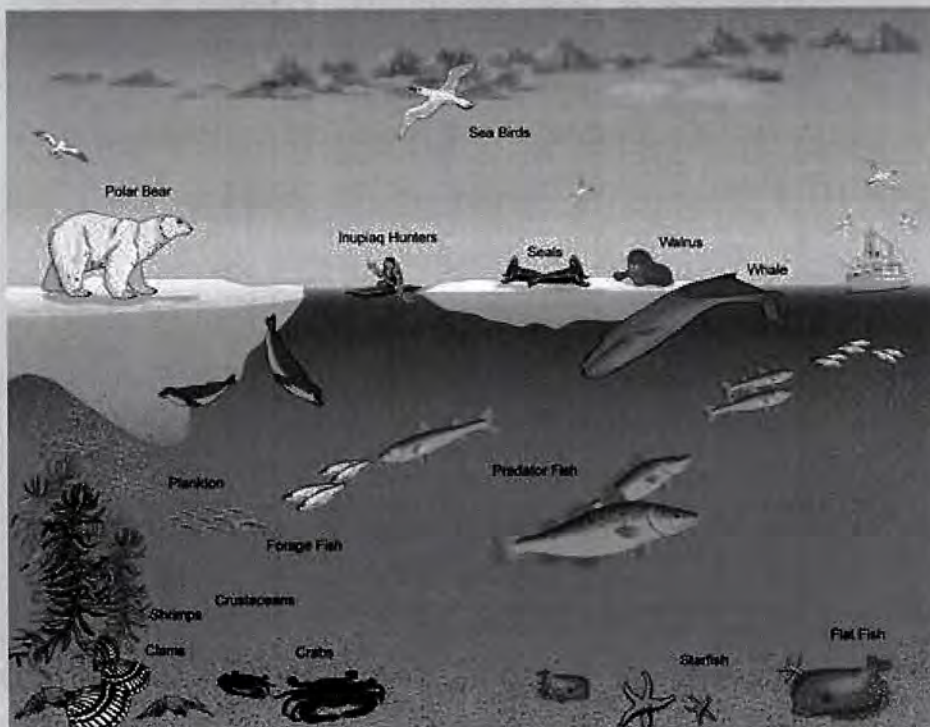


Sea Ice Cover Reaches Record Low



**Figure 12.4.** In August and September 2012, sea ice covered less of the Arctic Ocean than any time since the beginning of reliable satellite measurements (1979). The long-term retreat of sea ice has occurred faster than climate models had predicted. The average minimum extent of sea ice for 1979-2000 was 2.59 million square miles. The image on the left shows Arctic minimum sea ice extent in 1984, which was about the average minimum extent for 1979-2000. The image on the right shows that the extent of sea ice had dropped to 1.32 million square miles at the end of summer 2012. Alaska Native coastal communities rely on sea ice for many reasons, including its role as a buffer against coastal erosion from storms. (Figure source: NASA Earth Observatory 2012<sup>77</sup>).

Arctic Marine Food Web



**Figure 12.5.** Dramatic reductions in Arctic sea ice and changes in its timing and composition affect the entire food web, including many Inupiaq communities that continue to rely heavily on subsistence hunting and fishing. (Figure source: NOAA NCEP).

Our Changing Climate).<sup>71,72,73,74,75</sup>

These climate-driven changes in turn increase the number of serious problems for Alaska Native populations, which include injury from extreme or unpredictable weather and thinning sea ice, which can trap people far from home; changing snow and ice conditions that limit safe hunting, fishing, or herding practices; malnutrition and food insecurity from lack of access to subsistence food; contamination of food and water; increasing economic, mental, and social problems from loss of culture and traditional livelihood; increases in infectious diseases; and the loss of buildings and infrastructure from permafrost erosion and thawing, resulting in the relocation of entire communities (Ch. 22: Alaska).<sup>1,68,71,75,76</sup>

Alaska Native Inupiat and Yup'ik experts and scientists have observed stronger winds than in previous decades,<sup>71,75,78</sup> observations

that are consistent with scientific findings showing changing Arctic wind patterns, which in turn influence loss of sea ice and shifts in North American and European weather.<sup>79</sup> They also observe accelerated melting of ice and snow, and movement of ice and marine mammals far beyond accessible range for Native hunters.<sup>1</sup> Thinning sea ice, earlier ice break-up, increasing temperatures, and changes in precipitation (for example,

in the timing and amount of snow) also cause changes in critical feeding, resting, breeding, and denning habitats for arctic mammals important as subsistence foods, like polar bears, walrus, and seals.<sup>1,73,75,80</sup>

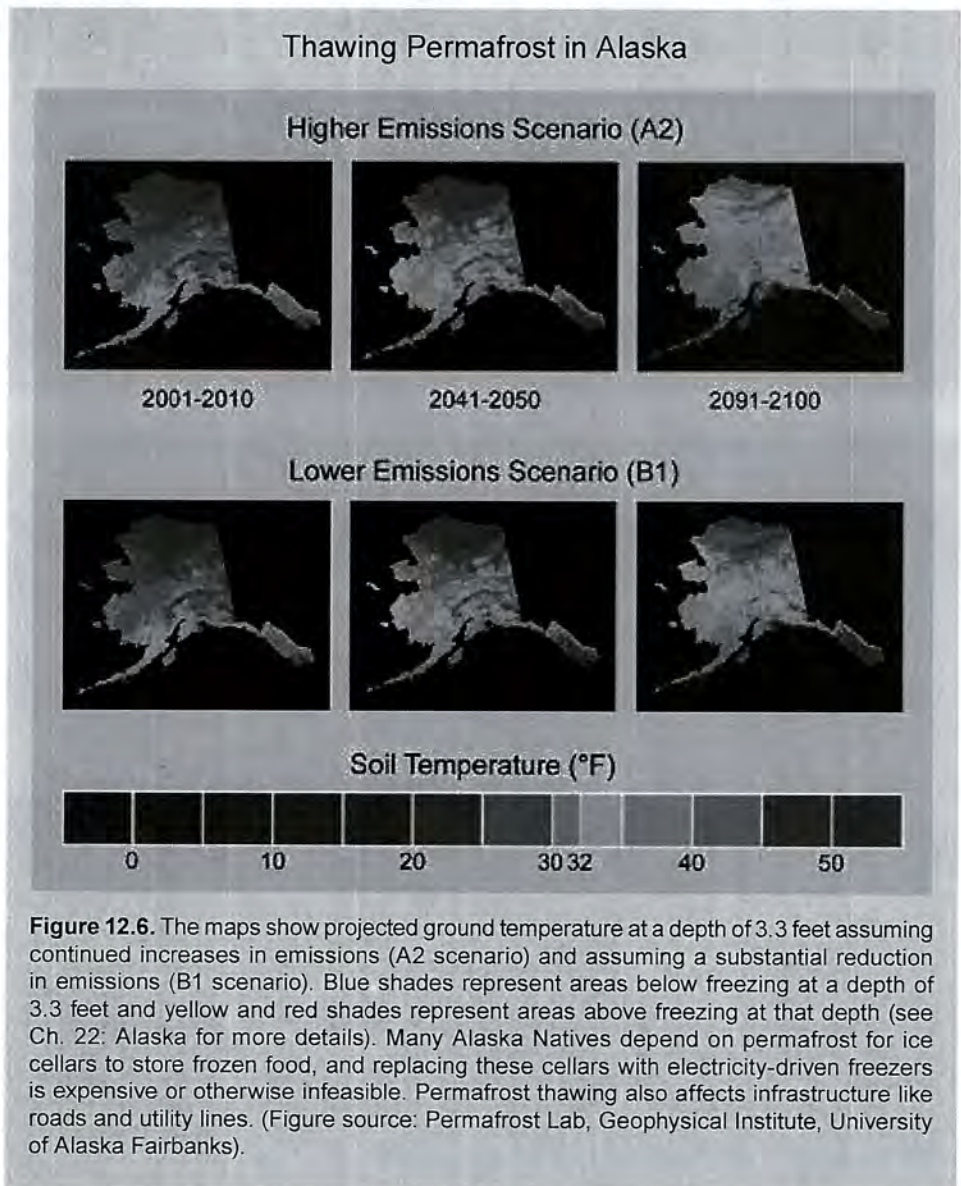
### Key Message 4: Permafrost Thaw

**Alaska Native communities are increasingly exposed to health and livelihood hazards from increasing temperatures and thawing permafrost, which are damaging critical infrastructure, adding to other stressors on traditional lifestyles.**

The increased thawing of permafrost (permanently frozen soil) along the coasts and rivers is an especially potent threat to Alaska Native villages because it causes serious erosion, flooding, and destruction of homes, buildings, and roads from differential settlement, slumping, and/or collapse of underlying base sediments (see Ch. 2: Our Changing Climate; Ch.22: Alaska, Key Message 3).<sup>81</sup> This loss of infrastructure is further exacerbated by loss of land-fast sea ice, sea level rise, and severe storms.<sup>1,82,83</sup>

At this time, more than 30 Native villages in Alaska (such as Newtok and Shishmaref) are either in need of, or in the process of, relocating their entire village.<sup>1,84</sup>

Serious public health issues arise due to damaged infrastructure caused by these multiple erosion threats. Among them are loss of clean water for drinking and hygiene, saltwater intrusion, and sewage contamination that could cause respiratory and gastrointestinal infections, pneumonia, and skin infections.<sup>1,76,82,85</sup> In addition, permafrost thaw is causing food insecurity in Alaska Native communities due to the thawing of ice cellars or ice houses used for subsistence food storage. This in turn leads to food contamination and sickness as well as dependence upon expensive, less healthy, non-traditional “store-bought” foods.<sup>1,85,86</sup>



## Key Message 5: Relocation

Climate change related impacts are forcing relocation of tribal and indigenous communities, especially in coastal locations. These relocations, and the lack of governance mechanisms or funding to support them, are causing loss of community and culture, health impacts, and economic decline, further exacerbating tribal impoverishment.

Native peoples are no strangers to relocation and its consequences on their communities. Many eastern and southeastern tribal communities were forced to relocate to Canada or the western Great Lakes in the late 1700s and early 1800s and, later, to Oklahoma, compelling them to adjust and adapt to new and unfamiliar landscapes, subsistence resources, and climatic conditions. Forced relocations have continued into more recent times as well.<sup>87</sup> Now, many Native peoples in Alaska and other parts of the coastal United States, such as the Southeast and Pacific Northwest, are facing relocation as a consequence of climate change and additional stressors, such as food insecurity and unsustainable development and extractive practices on or near Native lands; such forms of displacement are leading to severe livelihood, health, and socio-cultural impacts on the communities.<sup>1,3,23,38,45,88,89,90,91</sup>

For example, Newtok, a traditional Yup'ik village in Alaska, is experiencing accelerated rates of erosion caused by the combination of decreased Arctic sea ice, thawing permafrost, and extreme weather events (Ch. 22: Alaska).<sup>1,3</sup> As a result, the community has lost critical basic necessities and infrastructure. While progress has been made toward relocation, limitations of existing federal and state statutes and regulations have impeded their efforts, and the absence of legal authority and a governance structure to facilitate relocation are significant barriers to the relocation of Newtok and other Alaska Native villages.<sup>3,88,92</sup> Tribal communities in coastal Louisiana are experiencing climate change induced rising sea levels, along with saltwater intrusion, subsidence, and intense erosion and land loss due to oil and gas extraction, levees, dams, and other river management techniques, forcing them to either relocate or try to find ways to save their land.<sup>3,45</sup> Tribal communities in Florida are facing potential displacement due to the risk of rising sea levels and saltwater intrusion inundating their reservation lands.<sup>93</sup> The Quileute tribe in northern Washington is responding to increased winter storms and flooding connected with increased precipitation by relocating some of their village homes and buildings to higher ground within 772 acres of Olympic National Park that has been transferred to them; the Hoh tribe is also looking at similar options for relocation.<sup>90,94,95</sup> Native Pacific Island communities, including those in Hawai'i and the U.S. affiliated Pacific Islands, are also being forced to consider relocation plans due to increasing sea level rise and storm surges.<sup>38,96</sup> While many Native communities are not necessarily being forced to relocate, they are experiencing other social and cultural forms of displacement. For example, rising sea levels are expected to damage Native coastal middens (sites reflecting past human activity such as food preparation)



Rising temperatures are causing damage in Native villages in Alaska as sea ice declines and permafrost thaws. Resident of Selawik, Alaska, and his granddaughter survey a water line sinking into the thawing permafrost, August 2011.

as well as Wabanaki coastal petroglyphs, leading to loss of culture and connection to their past for Northeast tribes.<sup>22</sup>

Currently, the U.S. lacks an institutional framework to relocate entire communities. National, state, local, and tribal government agencies lack the legal authority and the technical, organizational, and financial capacity to implement relocation processes for communities forcibly displaced by climate change.<sup>3,12</sup> New governance institutions, frameworks, and funding mechanisms are needed to specifically respond to the increasing necessity for climate change induced relocation.<sup>3,88</sup> To be effective and culturally appropriate, it is important that such institutional frameworks recognize the sovereignty of tribal governments and that any institutional development stems from significant engagement with tribal representatives.<sup>12</sup>

*"In Indigenous cultures, it is understood that ecosystems are chaotic, complex, organic, in a constant state of flux, and filled with diversity. No one part of an ecosystem is considered more important than another part and all parts have synergistic roles to play. Indigenous communities say that 'all things are connected' – the land to the air and water, the earth to the sky, the plants to the animals, the people to the spirit."*

– Patricia Cochran, Inupiat Leader<sup>97</sup>

## 12: INDIGENOUS PEOPLES, LANDS, AND RESOURCES

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## 12: INDIGENOUS PEOPLES, LANDS, AND RESOURCES

### SUPPLEMENTAL MATERIAL TRACEABLE ACCOUNTS

#### **Process for Developing Key Messages:**

A central component of the assessment process was participation by members of the Chapter Author Team in a number of climate change meetings attended by indigenous peoples and other interested parties, focusing on issues relevant to tribal and indigenous peoples. These meetings included:

Oklahoma Inter-Tribal Meeting on Climate Variability and Change held on December 12, 2011, at the National Weather Center, Norman, OK, attended by 73 people.<sup>56</sup>

Indigenous Knowledge and Education (IKE) Hui Climate Change and Indigenous Cultures forum held in January 2012 in Hawai'i and attended by 36 people.<sup>38</sup>

Alaska Forum on the Environment held from February 6-10, 2012, at the Dena'ina Convention Center in Anchorage, Alaska, and attended by about 1400 people with approximately 30 to 60 people per session.<sup>27</sup>

Stories of Change: Coastal Louisiana Tribal Communities' Experiences of a Transforming Environment, a workshop held from January 22-27, 2012, in Pointe-au-Chien, Louisiana, and attended by 47 people.<sup>45</sup>

American Indian Alaska Native Climate Change Working Group 2012 Spring Meeting held from April 23-24, 2012, at the Desert Diamond Hotel-Casino in Tucson, Arizona, and attended by 80 people.<sup>98</sup>

First Stewards Symposium. First Stewards: Coastal Peoples Address Climate Change. National Museum of the American Indian, Washington DC. July 17-20, 2012.<sup>30</sup>

In developing key messages, the Chapter Author Team engaged in multiple technical discussions via teleconferences from August 2011 to March 2012 as they reviewed more than 200 technical inputs provided by the public, as well as other published literature and professional judgment. Subsequently, the Chapter Author Team teleconferenced weekly between March and July 2012 for expert deliberations of draft key messages by the authors. Each key message was defended by the entire author team before being

selected for inclusion in the chapter report. These discussions were supported by targeted consultation with additional experts by the lead author of each message.

#### **KEY MESSAGE #1 TRACEABLE ACCOUNT**

**Observed and future impacts from climate change threaten Native Peoples' access to traditional foods such as fish, game, and wild and cultivated crops, which have provided sustenance as well as cultural, economic, medicinal, and community health for generations.**

#### **Description of evidence base**

The key message and supporting chapter text summarize extensive evidence documented in more than 200 technical input reports on a wide range of topics that were received and reviewed as part of the Federal Register Notice solicitation for public input.

Numerous peer-reviewed publications describe loss of biodiversity, impacts on culturally important native plants and animals, increases in invasive species, bark beetle damage to forests, and increased risk of forest fires that have been observed across the United States.<sup>4,7,22,49,52,58</sup>

Climate drivers associated with this key message are also discussed in Ch. 2: Our Changing Climate.

There are also many relevant and recent peer-reviewed publications<sup>1,2,4,48,52,58,66</sup> describing the northward migration of the boreal forest and changes in the distribution and density of wildlife species that have been observed.

Observed impacts on plant and animal species important to traditional foods, ceremonies, medicinal, cultural and economic well-being, including species loss and shifts in species range, are well-documented.<sup>1,2,4,6,7,22,45,46,47,52</sup>

#### **New information and remaining uncertainties**

A key uncertainty is how indigenous people will adapt to climate change, given their reliance on local, wild foods and the isolated nature of some communities, coupled with their varied preparedness and limited ability to deal with wildfires. Increased wildfire

occurrences may affect tribal homes, safety, economy, culturally important species, medicinal plants, traditional foods, and cultural sites.

There is uncertainty as to the extent that climate change will affect Native American and Alaska Natives' access to traditional foods such as salmon, shellfish, crops, and marine mammals, which have provided sustenance as well as cultural, economic, medicinal, and community health for countless generations.

**Assessment of confidence based on evidence**

Based on the evidence and remaining uncertainties, confidence is **very high** that observed and future impacts from climate change, such as increased frequency and intensity of wildfires, higher temperatures, changes in sea ice, and ecosystem changes, such as forest loss and habitat damage, are threatening Native American and Alaska Natives' access to traditional foods such as salmon, shellfish, crops, and marine mammals, which have provided sustenance as well as cultural, economic, medicinal, and community health for countless generations.

**KEY MESSAGE #2 TRACEABLE ACCOUNT**

**A significant decrease in water quality and quantity due to a variety of factors, including climate change, is affecting drinking water, food, and cultures. Native communities' vulnerabilities and limited capacity to adapt to water-related challenges are exacerbated by historical and contemporary government policies and poor socioeconomic conditions.**

**Description of evidence base**

The key message and supporting chapter text summarizes extensive evidence documented in more than 200 technical input reports on a wide range of topics that were received and reviewed as part of the Federal Register Notice solicitation for public input.

There are numerous examples of tribal observations of changes in precipitation, rainfall patterns, and storm intensity and impacts on surface water features, agriculture, grazing, medicinal and culturally important plants and animals, and water resources.<sup>2,4,6,7,43,52,55,58,63,64,65,66</sup>

Examples of ceremonies are included in the Oklahoma Inter-Tribal Meeting on Climate Variability and Change Meeting Summary Report.<sup>56</sup> Water is used for some ceremonies, so it can be problematic when there is not enough at the tribe's disposal.<sup>52,56,66</sup> More than one tribe at the meeting also expressed how heat has been a problem during ceremonies because the older citizens cannot go into lodges that lack air conditioning.<sup>56</sup>

**New information and remaining uncertainties**

There is limited data to establish baseline climatic conditions on tribal lands, and many tribes do not have sufficient capacity to monitor changing conditions.<sup>10,52,63,66</sup> Without monitoring, tribal decision-makers lack the data needed to quantify and evaluate the current conditions and emerging trends in precipitation, streamflow, and soil moisture, and to plan and manage resources accordingly.<sup>10,52,64,66</sup>

Water infrastructure is in disrepair or lacking on some reservations.<sup>43,70</sup> There is an overall lack of financial resources to support basic water infrastructure on tribal lands, such as is found in the Southwest.<sup>63</sup>

Tribes that rely on water resources to maintain their cultures, religions, and life ways are especially vulnerable to climate change. Monitoring data is needed to establish baseline climatic conditions and to monitor changing conditions on tribal lands. Uncertainty associated with undefined tribal water rights makes it difficult to determine strategies to deal with water resource issues.<sup>70</sup>

**Assessment of confidence based on evidence**

Based on the evidence and remaining uncertainties, confidence is **very high** that decreases in water quality and quantity are affect-

Confidence Level	
<b>Very High</b>	Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus
<b>High</b>	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus
<b>Medium</b>	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought
<b>Low</b>	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

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ing Native Americans and Alaska Natives' drinking water supplies, food, cultures, ceremonies, and traditional ways of life. Based upon extensive evidence, there is **very high** confidence that Native communities' vulnerabilities and lack of capacity to adapt to climate change are exacerbated by historical and contemporary federal and state land-use policies and practices, political marginalization, legal issues associated with tribal water rights, water infrastructure deficiencies, and poor socioeconomic conditions.

### KEY MESSAGE #3 TRACEABLE ACCOUNT

**Declining sea ice in Alaska is causing significant impacts to Native communities, including increasingly risky travel and hunting conditions, damage and loss to settlements, food insecurity, and socioeconomic and health impacts from loss of cultures, traditional knowledge, and homelands.**

#### *Description of evidence base*

The key message and supporting chapter text summarizes extensive evidence documented in more than 200 technical input reports on a wide range of topics that were received and reviewed as part of the Federal Register Notice solicitation for public input.

Evidence that summer sea ice is rapidly declining is based on satellite data and other observational data and is incontrovertible. The seasonal pattern of observed loss of Arctic sea ice is generally consistent with simulations by global climate models, in which the extent of sea ice decreases more rapidly in summer than in winter (Ch. 2: Our Changing Climate). Projections by these models indicate that the Arctic Ocean is projected to become virtually ice-free in summer before mid-century, and models that best match historical trends project a nearly sea ice-free Arctic in summer by the 2030s.<sup>74</sup> Extrapolation of the present observed trends suggests an even earlier ice-free Arctic in summer. (Ch. 2: Our Changing Climate and Ch. 22: Alaska).

Sea ice loss is altering marine ecosystems; allowing for greater ship access and new development; increasing Native community vulnerabilities due to changes in sea ice thickness and extent; destroying housing, village sanitation and other infrastructure (including entire villages); and increasing food insecurity due to lack of access to subsistence food and loss of cultural traditions. Evidence for all these impacts of sea ice loss is well-documented in field studies, indigenous knowledge, and scientific literature.<sup>1,2,3,71,73,75,78</sup>

#### *New information and remaining uncertainties*

A key uncertainty is how indigenous peoples will be able to maintain historical subsistence ways of life, which include hunting, fishing, harvesting, and sharing, and sustain the traditional relationship with the environment given the impacts from sea ice decline and changes. Increased sea ice changes and declines are already causing increasingly hazardous hunting and traveling conditions along ice edges; damage to homes and infrastructure from

erosion; changes in habitat for subsistence foods and species, with overall impacts on food insecurity and for species necessary for medicines, ceremonies, and other traditions.<sup>1</sup> The effects of sea ice loss are exacerbated by other climate change driven impacts such as changes in snow and ice, weather, in-migration of people, poverty, lack of resources to respond to changes, and contamination of subsistence foods.<sup>1,2</sup>

Additional observations and monitoring are needed to more adequately document ice and weather changes.

#### *Assessment of confidence based on evidence*

Based on the evidence and remaining uncertainties, there is **very high** confidence that loss of sea ice is affecting the traditional life ways of Native communities in a number of important ways, such as more hazardous travel and hunting conditions along the ice edge; erosion damage to homes, infrastructure, and sanitation facilities (including loss of entire villages); changes in ecosystem habitats and, therefore, impacts on food security; and socioeconomic and health impacts from cultural and homeland losses.

### KEY MESSAGE #4 TRACEABLE ACCOUNT

**Alaska Native communities are increasingly exposed to health and livelihood hazards from increasing temperatures and thawing permafrost, which are damaging critical infrastructure, adding to other stressors on traditional lifestyles.**

#### *Description of evidence base*

The key message and supporting chapter text summarizes extensive evidence documented in more than 200 technical input reports on a wide range of topics that were received and reviewed as part of the Federal Register Notice solicitation for public input.

Given the evidence base and uncertainties, confidence is high that rising temperatures are thawing permafrost and that this thawing is expected to continue (Ch. 2: Our Changing Climate) Permafrost temperatures are increasing over Alaska and much of the Arctic. Regions of discontinuous permafrost (where annual average soil temperatures of already close to 32°F) are highly vulnerable to thaw (Ch. 2: Our Changing Climate).<sup>81</sup>

There are also many relevant and recent peer-reviewed publications<sup>1,3,82,83</sup> describing the impact of permafrost thaw on Alaska Native villages. Over 30 Native villages in Alaska are in need of relocation or are in the process of being moved. Recent work<sup>1,84,85</sup> documents public health issues such as contamination of clean water for drinking and hygiene and food insecurity through thawing of ice cellars for subsistence food storage.

#### *New information and remaining uncertainties*

Improved models and observational data (see Ch. 22: Alaska) confirmed many of the findings from the prior 2009 Alaska as-

assessment chapter, which informed the 2009 National Climate Assessment.<sup>99</sup>

A key uncertainty is how indigenous peoples in Alaska will be able to sustain traditional subsistence life ways when their communities and settlements on the historical lands of their ancestors are collapsing due to permafrost thawing, flooding, and erosion combined with loss of shore-fast ice, sea level rise, and severe storms, especially along the coasts and rivers.<sup>1</sup>

Another uncertainty is how indigenous communities can protect the health and welfare of the villagers from permafrost-thaw-caused public health issues of drinking water contamination, loss of traditional food storage, and potential food contamination.<sup>1</sup>

It is uncertain how Native communities will be able to effectively relocate and maintain their culture, particularly because there are no institutional frameworks, legal authorities, or funding to implement relocation for communities forced to relocate.<sup>1,3,12</sup>

**Assessment of confidence based on evidence**

Based on the evidence and remaining uncertainties, confidence is **very high** that Alaska Native communities are increasingly exposed to health and livelihood hazards from permafrost thawing and increasing temperatures, which are causing damage to roads, water supply and sanitation systems, homes, schools, ice cellars, and ice roads, and threatening traditional lifestyles.

**KEY MESSAGE #5 TRACEABLE ACCOUNT**

**Climate change related impacts are forcing relocation of tribal and indigenous communities, especially in coastal locations. These relocations, and the lack of governance mechanisms or funding to support them, are causing loss of community and culture, health impacts, and economic decline, further exacerbating tribal impoverishment.**

**Description of evidence base**

The key message and supporting chapter text summarizes extensive evidence documented in more than 200 technical input reports on a wide range of topics that were received and reviewed as part of the Federal Register Notice solicitation for public input.

There is well-documented evidence that tribal communities are vulnerable to coastal erosion that could force them to relocate.<sup>1,3,23,38,88,89</sup> For example, tribal communities in Alaska, such as Newtok, Kivalina, and Shishmaref, are experiencing accelerated rates of erosion caused by the combination of decreased Arctic sea ice, thawing permafrost, and extreme weather events, resulting in loss of basic necessities and infrastructure (see also Ch. 22: Alaska).<sup>1,3,88,91</sup>

Tribal communities in coastal Louisiana are experiencing climate-induced rising sea levels, along with saltwater intrusion and in-

tense erosion and land loss due to oil and gas extraction and river management, forcing them to either relocate or try to find ways to save their land (see also Ch. 25: Coasts and Ch. 17 Southeast).<sup>3,45</sup> Tribal communities in Florida are facing potential displacement due to the risk of rising sea levels and saltwater intrusion inundating their reservation lands.<sup>93</sup> The Quileute tribe in northern Washington is relocating some of their village homes and buildings to Olympic National Park in response to increased winter storms and flooding connected with increased precipitation; the Hoh tribe is also considering similar options.<sup>90,94</sup>

Native Pacific Island communities are being forced to consider relocation plans due to increasing sea level rise and storm surges (see also Ch. 23: Hawai'i and Pacific Islands).<sup>38</sup>

**New information and remaining uncertainties**

A key uncertainty is the extent to which the combination of other impacts (for example, erosion caused by dredging for oil pipelines or second-order effects from adaptation-related development projects) will coincide with sea level rise and other climate-related issues to increase the rate at which communities will need to relocate.<sup>1,3,38</sup>

Another key uncertainty is how communities will be able to effectively relocate, maintain their communities and culture, and reduce the impoverishment risks that often go along with relocation.<sup>1,3,38</sup> The United States lacks an institutional framework to relocate entire communities, and national, state, local, and tribal government agencies lack the legal authority and the technical, organizational, and financial capacity to implement relocation processes for communities forcibly displaced by climate change.<sup>3,12</sup>

**Assessment of confidence based on evidence**

Based on the evidence, there is **very high** confidence that tribal communities in Alaska, coastal Louisiana, Pacific Islands, and other coastal locations are being forced to relocate due to sea level rise, coastal erosion, melting permafrost, and/or extreme weather events. There is **very high** confidence that these relocations and the lack of governance mechanisms or funding to support them are causing loss of community and culture, health impacts, and economic decline, further exacerbating tribal impoverishment.

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## Climate Change Impacts in the United States

# CHAPTER 13 LAND USE AND LAND COVER CHANGE

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### Recommended Citation for Chapter

Brown, D. G., C. Polsky, P. Bolstad, S. D. Brody, D. Hulse, R. Kroh, T. R. Loveland, and A. Thomson, 2014: Ch. 13: Land Use and Land Cover Change. *Climate Change Impacts in the United States: The Third National Climate Assessment*, J. M. Melillo, Terese (T.C.) Richmond, and G. W. Yohe, Eds., U.S. Global Change Research Program, 318-332. doi:10.7930/J05Q4T1Q.

**On the Web:** <http://nca2014.globalchange.gov/report/sectors/land-use-and-land-cover-change>



INFORMATION DRAWN FROM THIS CHAPTER IS INCLUDED IN THE HIGHLIGHTS REPORT AND IS IDENTIFIED BY THIS ICON

# 13 LAND USE AND LAND COVER CHANGE

## KEY MESSAGES

1. Choices about land-use and land-cover patterns have affected and will continue to affect how vulnerable or resilient human communities and ecosystems are to the effects of climate change.
2. Land-use and land-cover changes affect local, regional, and global climate processes.
3. Individuals, businesses, non-profits, and governments have the capacity to make land-use decisions to adapt to the effects of climate change.
4. Choices about land use and land management may provide a means of reducing atmospheric greenhouse gas levels.

In addition to emissions of heat-trapping greenhouse gases from energy, industrial, agricultural, and other activities, humans also affect climate through changes in land use (activities taking place on land, like growing food, cutting trees, or building cities) and land cover (the physical characteristics of the land surface, including grain crops, trees, or concrete).<sup>1</sup> For example, cities are warmer than the surrounding countryside because the greater extent of paved areas in cities affects how water and energy are exchanged between the land and the atmosphere. This increases the exposure of urban populations to the effects of extreme heat events. Decisions about land use and land cover can therefore affect, positively or negatively, how much our climate will change and what kind of vulnerabilities humans and natural systems will face as a result.

The impacts of changes in land use and land cover cut across all regions and sectors of the National Climate Assessment. Chapters addressing each region discuss land-use and land-cover topics of particular concern to specific regions. Similarly, chapters addressing sectors examine specific land-use matters. In particular, land cover and land use are a major focus for sectors such as agriculture, forests, rural and urban communities, and

Native American lands. By contrast, the key messages of this chapter are national in scope and synthesize the findings of other chapters regarding land cover and land use.

Land uses and land covers change over time in response to evolving economic, social, and biophysical conditions.<sup>2</sup> Many of these changes are set in motion by individual landowners and land managers and can be quantified from satellite measurements, aerial photographs, on-the-ground observations, and reports from landowners and users.<sup>3,4</sup> Over the past few decades, the most prominent land changes within the U.S. have been changes in the amount and kind of forest cover due to logging practices and development in the Southeast and Northwest and to urban expansion in the Northeast and Southwest.

Because humans control land use and, to a large extent, land cover, individuals, businesses, non-profit organizations, and governments can make land decisions to adapt to and/or reduce the effects of climate change. Often the same land-use decision can serve both aims. Adaptation options (those aimed at coping with the effects of climate change) include varying the local mix of vegetation and concrete to reduce heat in cities or elevating homes to reduce exposure to sea level-rise or flooding. Land-use and land-cover-related options for mitigating climate change (reducing the speed and amount of climate change) include expanding forests to accelerate removal of carbon from the atmosphere, modifying the way cities are built and organized to reduce energy and motorized transportation demands, and altering agricultural management practices to increase carbon storage in soil.

Despite this range of climate change response options, there are three main reasons why private and public landowners may choose not to modify land uses and land covers for climate adaptation or mitigation purposes. First, land decisions



Land-use and land-cover changes affect climate processes: Above, development along Colorado's Front Range.

are influenced not only by climate but also by economic, cultural, legal, or other considerations. In many cases, climate-based land-change efforts to adapt to or reduce climate change meet with resistance because current practices are too costly to modify and/or too deeply entrenched in local societies and cultures. Second, certain land uses and land covers are simply difficult to modify, regardless of desire or intent. For instance, the number of homes constructed in floodplains or the amount of irrigated agriculture can be so deeply rooted that

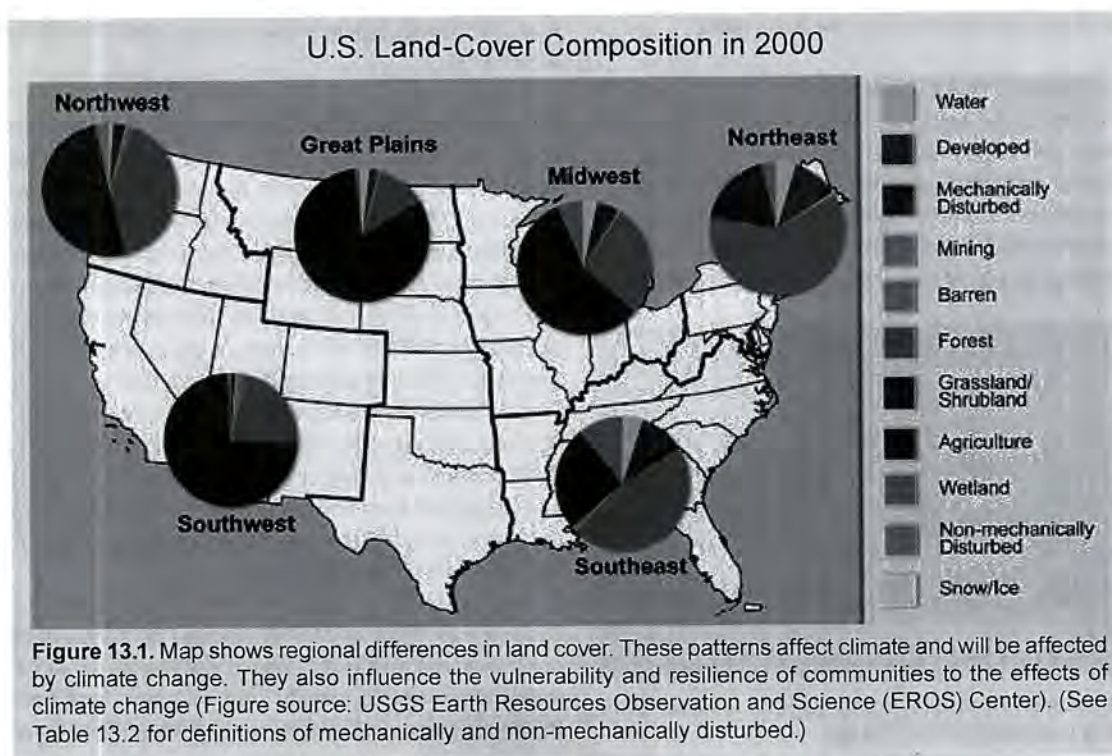
they are difficult to change, no matter how much those practices might impede our ability to respond to climate change. Finally, the benefits of land-use decisions made by individual landowners with specific adaptation or mitigation goals do not always accrue to those landowners or even to their communities. Therefore, without some institutional intervention (such as incentives or penalties), the motivations for such decisions can be weak.

### Recent Trends

In terms of land area, the U.S. remains a predominantly rural country, especially as its population increasingly gravitates towards urban areas. In 1910, only 46% of the U.S. population lived in urban areas, but by 2010 that figure had climbed to more than 81%.<sup>5</sup> In 2006 (the most recent year for which these data are available), more than 80% of the land cover in the lower 48 states was dominated by shrub/scrub vegetation, grasslands, forests, and agriculture.<sup>6,7</sup> Forests and grasslands, which include acreage used for timber production and grazing, account for more than half of all U.S. land use by area (Table 13.1), about 63% of which is in private ownership, though their distribution and ownership patterns vary regionally.<sup>4</sup> Agricultural land uses are carried out on 18% of U.S. surface area. Developed or built-up areas covered only about 5% of the country's land surface, with the greatest concentrations of urban areas in the Northeast, Midwest, and Southeast. This apparently small percentage of developed area belies its rapid expansion and does not include development that is dispersed in a mosaic among other land uses (like agriculture and forests). In particular, low-density housing developments (suburban

and exurban areas), which are not well-represented in commonly used satellite measurements, have rapidly expanded throughout the U.S. over the last 60 years or so.<sup>8,9</sup> Based on Census data, areas settled at suburban and exurban densities (1 house per 1 to 40 acres on average) cover more than 15 times the land area settled at urban densities (1 house per acre or less) and covered five times more land area in 2000 than in 1950.<sup>8</sup>

Despite these rapid changes in developed land covers, the vast size of the country means that total land-cover changes in the U.S. may appear deceptively modest. Since 1973, satellite data show that the overall rate of land-cover changes nationally has averaged about 0.33% per year. Yet this small rate of change has produced a large cumulative impact. Between 1973 and 2000, 8.6% of the area of the lower 48 states experienced land-cover change, an area roughly equivalent to the combined land area of California and Oregon.<sup>1</sup>





These national-level annual rates of land changes mask considerable geographic variability in the types, rates, and causes of change.<sup>3</sup> Between 1973 and 2000, the Southeast

region had the highest rate of change, due to active forest timber harvesting and replanting, while the Southwest region had the lowest rate of change.

**Table 13.1.** Circa-2001 land-cover statistics for the National Climate Assessment regions of the United States based on the National Land Cover Dataset,<sup>7</sup> and overall United States land-use statistics—circa 2007.<sup>4</sup>

Land Cover Class	Northeast	Southeast	Midwest	Great Plains	Southwest	Northwest	Alaska	Hawaii	United States	Land Use Class (ca 2007)	United States (ca 2007)
Agriculture	10.9%	23.0%	49.0%	29.7%	5.0%	10.0%	0.0%	4.0%	18.6%	Cropland	18.0%
Grassland, Shrub/Scrub, Moss, Lichen	3.4%	7.8%	2.9%	50.5%	65.7%	42.8%	44.9%	33.3%	39.2%	Grassland, Pasture, and Range	27.1%
Forest	52.4%	38.7%	23.7%	10.7%	19.9%	37.7%	22.4%	22.0%	23.2% <sup>a</sup>	Forest	29.7% <sup>a</sup>
Barren	0.8%	0.3%	0.2%	0.5%	3.7%	1.5%	7.7%	11.2%	2.6%	Special Use <sup>b</sup>	13.8%
Developed, Built-Up	9.6%	7.7%	8.0%	4.0%	2.7%	3.0%	0.1%	6.7%	4.0%	Urban	2.7%
Water, Ice, Snow	14.9%	7.3%	10.4%	1.9%	1.7%	3.2%	18.5%	21.7%	7.4%	Miscellaneous <sup>c</sup>	8.7%
Wetlands	8.0%	15.2%	5.8%	2.7%	0.7%	1.3%	6.4%	0.3%	5.0%		

<sup>a</sup> Definitional differences in the way certain categories are defined, such as the special uses distinction in the USDA Economic Research Service land use estimates, make direct comparisons between land use and land cover challenging. For example, forest land use (29.7%) exceeds forest cover (23.2%). Forest use definitions include lands where trees have been harvested and may be replanted, while forest cover is a measurement of the presence of trees.

<sup>b</sup> Special uses represent rural transportation, rural parks and wildlife, defense and industrial, plus miscellaneous farm and other special uses.

<sup>c</sup> Miscellaneous uses represent unclassified uses such as marshes, swamps, bare rock, deserts, tundra plus other uses not estimated, classified, or inventoried.

**Table 13.2.** Percentage change in land-cover type between 1973 and 2000 for the contiguous U.S. National Climate Assessment regions. These figures do not indicate the total amount of changes that have occurred, for example when increases in forest cover were offset by decreases in forest cover, and when cropland taken out of production was offset by other land being put into agricultural production. Data from USGS Land Cover Trends Project; Sleeter et al. 2013.<sup>10</sup>

Land Cover Type	Northeast	Southeast	Midwest	Great Plains	Southwest	Northwest
Grassland/Shrubland	0.73	0.31	0.59	1.55	-0.28	0.35
Forest	-2.02	-2.51	-0.93	-0.71	-0.49	2.39
Agriculture	-0.85	-1.62	-1.38	-1.60	-0.37	-0.35
Developed	1.36	2.28	1.34	0.43	0.51	0.51
Mining	0.14	-0.05	0.02	0.07	0.10	0.03
Barren	0.00	-0.01	0.00	0.00	0.00	0.00
Snow/Ice	0.00	0.00	0.00	0.00	0.00	0.00
Water	0.03	0.45	0.08	0.23	0.03	-0.02
Wetland	-0.05	-0.69	-0.05	-0.13	-0.02	0.03
Mechanically Disturbed <sup>a</sup>	0.66	1.76	0.32	0.11	0.07	0.07
Non-mechanically Disturbed <sup>b</sup>	0.00	0.07	0.01	0.06	0.46	1.78

<sup>a</sup> Land in an altered and often un-vegetated state that, because of disturbances by mechanical means, is in transition from one cover type to another. Mechanical disturbances include forest clear-cutting, earthmoving, scraping, chaining, reservoir drawdown, and other similar human-induced changes.

<sup>b</sup> Land in an altered and often un-vegetated state that because of disturbances by non-mechanical means, is in transition from one cover type to another. Non-mechanical disturbances are caused by fire, wind, floods, animals, and other similar phenomena.

### Projections

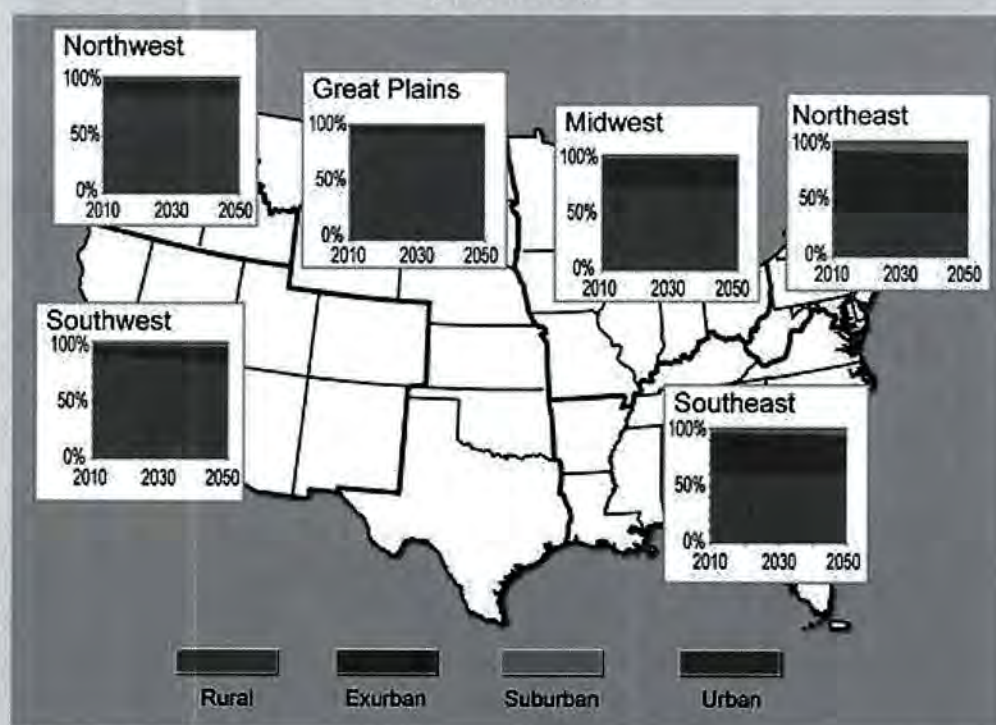
Future patterns of land use and land cover will interact with climate changes to affect human communities and ecosystems. At the same time, future climate changes will also affect how and where humans live and use land for various purposes.

National-scale analyses suggest that the general historical trends of land-use and land-cover changes (described above) will continue, with some important regional differences. These projections all assume continued population growth based on assumed or statistically modeled rates of birth, death, and migration,<sup>11</sup> which will result in changes in land use and land cover that are spread unevenly across the country. Urban land covers are projected to increase in the lower 48 states by 73% to 98% (to between 10% and 12% of land area versus less than 6% in 1997) by 2050, using low versus high growth assumptions, respectively. The slowest rate of increase is in the Northeast region, because of the high level of existing development and relatively low rates of population growth, and the highest rate is in the Northwest. In terms of area, the Northwest has the smallest projected increase in urban area (approximately 4.2 million acres) and the Southeast the largest (approximately 27.5 million acres).<sup>12</sup>

Changes in development density will have an impact on how population is distributed and affects land use and land cover. Some of the projected changes in developed areas will depend on assumptions about changes in household size and how concentrated urban development will be. Higher population density means less land is converted from forests or grasslands, but results in a greater extent of paved area. Projections based on estimates of housing-unit density allow the assessment of impacts of urban land-use growth by density class. Increases in low-density exurban areas will result in a greater area affected by development and are expected to increase commuting times and infrastructure costs.

The areas projected to experience exurban development will have less density of impervious surfaces (like asphalt or concrete). While about one-third of exurban areas are covered by impervious surfaces,<sup>13</sup> urban or suburban areas are about one-half concrete and asphalt. Impervious surfaces have a wide range of environmental impacts and thus represent a key means by which developed lands modify the movement of water, energy, and living things. For example, areas with more impervious surfaces like parking lots and roads tend to experience more rapid runoff, greater risk of flooding, and higher temperatures from the urban heat-island effect.

Projections of Settlement Densities  
(2010-2050)

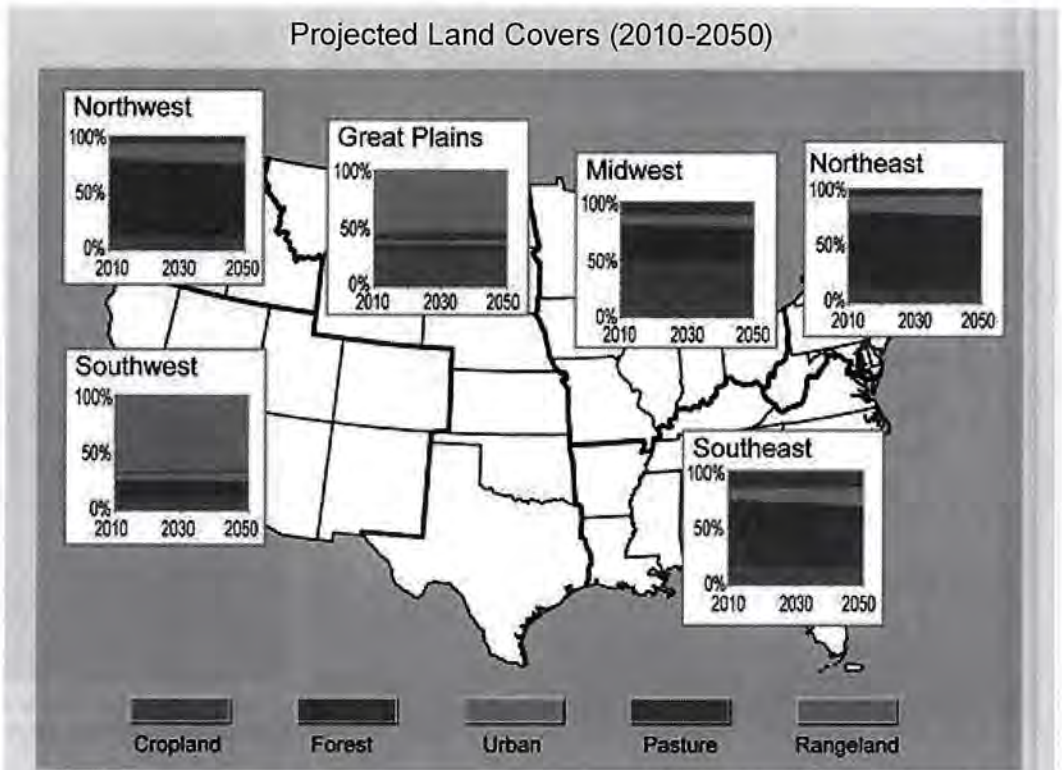


**Figure 13.2.** Projected percentages in each housing-unit density category for 2050 compared with 2010, assuming demographic and economic growth consistent with the high-growth emissions scenario (A2). (Data from U.S. EPA Integrated Climate and Land Use Scenarios).

Projections of both land-use and land-cover changes will depend to some degree on rates of population and economic growth. In general, scenarios that assume continued high growth produce more rapid increases in developed areas of all densities and in areas covered by impervious surfaces (paved areas and buildings) by 2050.<sup>12,13</sup>

Land-use scenarios project that exurban and suburban areas will expand nationally by 15% to 20% between 2000 and 2050,<sup>13</sup> based on high- and low-growth scenarios respectively. Land-cover projections by Wear<sup>12</sup> show that both cropland and forest are projected to decline most relative to 1997 (by 6% to 7%, respectively, by 2050) under a scenario of high population and economic growth

and least (by 4% and 6%, respectively) under lower-growth scenarios. More forest than cropland is projected to be lost in the Northeast and Southeast, whereas more cropland than forest is projected to be lost in the Midwest and Great Plains.<sup>14</sup> Some of these regional differences are due to the current mix of land uses, others to the differential rates of urbanization in these different regions.



**Figure 13.3.** Projected percentages in each land-cover category for 2050 compared with 2010, assuming demographic and economic growth consistent with the high-growth emissions scenario (A2) (Data from USDA).

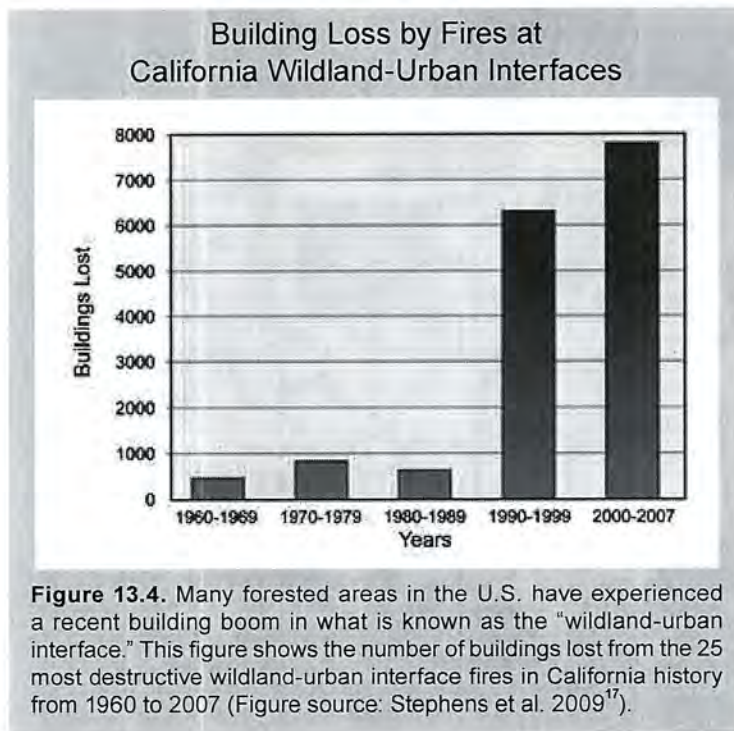
### Key Message 1: Effects on Communities and Ecosystems

Choices about land-use and land-cover patterns have affected and will continue to affect how vulnerable or resilient human communities and ecosystems are to the effects of climate change.

Decisions about land-use and land-cover change by individual landowners and land managers are influenced by demographic and economic trends and social preferences, which unfold at global, national, regional, and local scales. Policymakers can directly affect land use and land cover. For example, Congress can declare an area as federally protected wilderness, or local officials can set aside portions of a town for industrial development and create tax benefits for companies to build there. Climate factors typically play a secondary role in land decisions, if they are considered at all. Nonetheless, land-change decisions may affect the vulnerabilities of individuals, households, communities, businesses, non-profit organizations, and ecosystems to the effects of climate change.<sup>15</sup> A farmer's choice of crop rotation in response to price signals affects his or her farm income's susceptibility to drought, for example. Such choices, along with changes in climate can also affect the farm's demand for water for irrigation. Similarly, a developer's decision to build new homes in a floodplain may affect the new homeowners' vulnerabilities to flooding events. A decision to

include culverts underneath a coastal roadway may facilitate migration of a salt marsh inland as sea level rises.

The combination of residential location choices with wildfire occurrence dramatically illustrates how the interactions between land use and climate processes can affect climate change impacts and vulnerabilities. Low-density (suburban and exurban) housing patterns in the U.S. have expanded and are projected to continue to expand.<sup>13</sup> One result is a rise in the amount of construction in forests and other wildlands<sup>16</sup> that in turn has increased the exposure of houses, other structures, and people to damages from wildfires, which are increasing. The number of buildings lost in the 25 most destructive fires in California history increased significantly in the 1990s and 2000s compared to the previous three decades.<sup>17</sup> These losses are one example of how changing development patterns can interact with a changing climate to create dramatic new risks. In the western United States, increasing frequencies of large wildfires and longer wildfire durations are strongly associated with increased spring and summer temperatures and an earlier



Construction near forests and wildlands is growing. Here, wildfire approaches a housing development.

spring snowmelt.<sup>18</sup> The effects on property loss of increases in the frequency and sizes of fires under climate change are also projected to increase in the coming decades because so many

more people will have moved into increasingly fire-prone places (Ch. 2: Our Changing Climate; Ch. 7: Forests).

## Key Message 2: Effects on Climate Processes

Land-use and land-cover changes affect local, regional, and global climate processes.

Land use and land cover play critical roles in the interaction between the land and the atmosphere, influencing climate at local, regional, and global scales.<sup>19</sup> There is growing evidence that land use, land cover, and land management affect the U.S. climate in several ways:

- Air temperature and near-surface moisture are changed in areas where natural vegetation is converted to agriculture.<sup>20,21</sup> This effect has been observed in the Great Plains and the Midwest, where overall dew point temperatures or the frequency of occurrences of extreme dew point temperatures have increased due to converting land to agricultural use.<sup>21,22,23</sup> This effect has also been observed where the fringes of California’s Central Valley are being converted from natural vegetation to agriculture.<sup>24</sup> Other areas where uncultivated and conservation lands are being returned to cultivation, for example from restored grassland into biofuel production, have also experienced temperature shifts. Regional daily maximum temperatures were lowered due to forest clearing for agriculture in the Northeast and Midwest, and then increased in the
- Northeast following regrowth of forests due to abandonment of agriculture.<sup>25</sup>
- Conversion of rain-fed cropland to irrigated agriculture further intensifies the impacts of agricultural conversion on temperature. For example, irrigation in California has been found to reduce daily maximum temperatures by up to 9°F.<sup>26</sup> Model comparisons suggest that irrigation cools temperatures directly over croplands in California’s Central Valley by 5°F to 13°F and increases relative humidity by 9% to 20%.<sup>27</sup> Observational data-based studies found similar impacts of irrigated agriculture in the Great Plains.<sup>22,28</sup>
- Both observational and modeling studies show that introduction of irrigated agriculture can alter regional precipitation.<sup>29,30</sup> It has been shown that irrigation in the Ogallala aquifer portion of the Great Plains can affect precipitation as far away as Indiana and western Kentucky.<sup>30</sup>
- Urbanization is having significant local impacts on weather and climate. Land-cover changes associated with urban-

ization are creating higher air temperatures compared to the surrounding rural area.<sup>31,32</sup> This is known as the “urban heat island” effect (see Ch. 9: Human Health). Urban landscapes are also affecting formation of convective storms and changing the location and amounts of precipitation compared to pre-urbanization.<sup>32,33</sup>

- Land-use and land-cover changes are affecting global atmospheric concentrations of greenhouse gases. The impact is expected to be most significant in areas with forest loss or gain, where the amount of carbon that can

be transferred from the atmosphere to the land (or from the land to the atmosphere) is modified. Even in relatively un-forested areas, this effect can be significant. A recent USGS report suggests that from 2001 to 2005 in the Great Plains between 22 to 106 million metric tons of carbon were stored in the biosphere due to changes in land use and climate.<sup>34</sup> Even with these seemingly large numbers, U.S. forests absorb only 7% to 24% (with a best estimate of 16%) of fossil fuel CO<sub>2</sub> emissions (see Ch. 15: Biogeochemical Cycles, “Estimating the U.S. Carbon Sink”).

### Key Message 3: Adapting to Climate Change

Individuals, businesses, non-profits, and governments have the capacity to make land-use decisions to adapt to the effects of climate change.

Land-use and land-cover patterns may be modified to adapt to anticipated or observed effects of a changed climate. These changes may be either encouraged or mandated by government (whether at federal or other levels), or undertaken by private initiative. In the U.S., even though land-use decisions are highly decentralized and strongly influenced by Constitutional protection of private property, the Supreme Court has also defined a role for government input into some land-use decisions.<sup>35</sup> Thus on the one hand farmers may make private decisions to plant different crops in response to changing growing conditions and/or market prices. On the other hand, homeowners may be compelled to respond to policies, zoning, or regulations (at national, state, county, or municipal levels) by elevating their houses to reduce flood impacts associated with more intense rainfall events and/or increased impervious surfaces.

Land-use and land-cover changes are thus rarely the product of a single factor. Land-use decision processes are influenced not only by the biophysical environment, but also by markets, laws, technology, politics, perceptions, and culture. Yet there is evidence that climate adaptation considerations are playing an increasingly large role in land decisions, even in the absence

of a formal federal climate policy. Motivations typically include avoiding or reducing negative impacts from extreme weather events (such as storms or heat waves) or from slow-onset hazards (such as sea level rise) (see Ch. 12: Indigenous Peoples).

For example, New Orleans has, through a collection of private and public initiatives, rebuilt some of the neighborhoods damaged by Hurricane Katrina with housing elevated six feet or even higher above the ground and with roofs specially designed to facilitate evacuation.<sup>36</sup> San Francisco has produced a land-use plan to reduce impacts from a rising San Francisco Bay.<sup>37</sup> A similar concern has prompted collective action in four Miami-area counties and an array of San Diego jurisdictions, to name just two locales, to shape future land uses to comply with regulations linked to sea level rise projections.<sup>36,38</sup> Chicago has produced a plan for limiting the number of casualties, especially among the elderly and homeless, during heat waves (Ch. 9: Human Health).<sup>36</sup> Deeper discussion of the factors commonly influencing adaptation decisions at household, municipal, state, and federal levels is provided in Chapter 28 (Ch. 28: Adaptation) of this report; Chapters 26 (Ch. 26: Decision Support) and 27 (Ch. 27: Mitigation) treat the related topics of Decision Support and Mitigation, respectively.

### Key Message 4: Reducing Greenhouse Gas Levels

Choices about land use and land management may provide a means of reducing atmospheric greenhouse gas levels.

Choices about land use and land management affect the amount of greenhouse gases entering and leaving the atmosphere and, therefore, provide opportunities to reduce climate change (Ch. 15: Biogeochemical Cycles; Ch. 27: Mitigation).<sup>39</sup> Such choices can affect the balance of these gases directly, through decisions to preserve or restore carbon in standing vegetation (like forests) and soils, and indirectly, in the form of land-use policies that affect fossil fuel emissions by influencing energy consumption for transportation and in buildings.

Additionally, as crops are increasingly used to make fuel, the potential for reducing net carbon emissions through replacement of fossil fuels represents a possible land-based carbon emissions reduction strategy, albeit one that is complicated by many natural and economic interactions that will determine the ultimate effect of these strategies on emissions (Ch. 7: Forests; Ch. 6: Agriculture).

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Land-cover change and management accounts for about one-third of all carbon released into the atmosphere by people globally since 1850. The primary source related to land use has been the conversion of native vegetation like forests and grasslands to croplands, which in turn has released carbon from vegetation and soil into the atmosphere as carbon dioxide (CO<sub>2</sub>).<sup>40</sup> Currently, an estimated 16% of CO<sub>2</sub> going into the atmosphere is due to land-related activities globally, with the remainder coming from fossil fuel burning and cement manufacturing.<sup>40</sup> In the United States, activities related to land use are effectively balanced with respect to CO<sub>2</sub>: as much CO<sub>2</sub> is released to the atmosphere by land-use activities as is taken up by and stored in, for example, vegetation and soil. The regrowth of forests and increases of conservation-related forest and crop management practices have also increased carbon storage. Overall, setting aside emissions due to burning fossil fuels, in the U.S. and the rest of North America, land cover takes up more carbon than it releases. This has happened as a result of more efficient forest and agricultural management practices, but it is not clear if this rate of uptake can be increased or if it will persist into the future. The projected declines in forest area (Figure 13.3) put these carbon stores at risk. Additionally, the rate of carbon uptake on a given acre of forest can vary with weather, making it potentially sensitive to climate changes.<sup>41</sup>

Opportunities to increase the net uptake of carbon from the atmosphere by the land include<sup>42</sup> increasing the amount of area in ecosystems with high carbon content (by converting farms to forests or grasslands); increasing the rate of carbon uptake in existing ecosystems (through fertilization); and reducing carbon loss from existing ecosystems (for example, through no-till farming).<sup>43</sup> Because of these effects, policies specifically aimed at increasing carbon storage, either directly through mandates or indirectly through a market for carbon offsets, may be used to encourage more land-based carbon storage.<sup>44</sup>

The following uncertainties deserve further investigation: 1) the effects of these policies or actions on the balance of other greenhouse gases, like methane and nitrous oxide; 2) the degree of permanence these carbon stores will have in a changing climate (especially through the effects of disturbances like fires and plant pests<sup>45</sup>); 3) the degree to which increases in carbon storage can be attributed to any specific policy, or whether or not they may have occurred without any policy change; and 4) the possibility that increased carbon storage in one location might be partially offset by releases in another. All of these specific mitigation options present implementation challenges, as the decisions must be weighed against competing objectives. For example, retiring farmland to sequester carbon may be difficult to achieve if crop prices rise,<sup>46</sup> such as has occurred in recent years in response to the fast-growing market for bio-fuels. Agricultural research and development that increases the productivity of the sector presents the possibility of reducing demand for agricultural land and may serve as a powerful greenhouse gas mitigation strategy, although the ultimate net effect on greenhouse gas emissions is uncertain.<sup>47</sup>

Land-use decisions in urban areas also present carbon reduction options. Carbon storage in urban areas can reach densities as high as those found in tropical forests, with most of that carbon found in soils, but also in vegetation, landfills, and the structures and contents of buildings.<sup>48</sup> Urban and suburban areas tend to be net sources of carbon to the atmosphere, whereas exurban and rural areas tend to be net sinks.<sup>49</sup> Effects of urban development patterns on carbon storage and emissions due to land and fossil fuel use are topics of current research and can be affected by land-use planning choices. Many cities have adopted land-use plans with explicit carbon goals, typically targeted at reducing carbon emissions from the often intertwined activities of transportation and energy use. This trend, which includes major cities such as Los Angeles,<sup>50</sup> Chicago,<sup>51</sup> and New York City<sup>52</sup> as well as small towns, such as Homer, Alaska,<sup>53</sup> has occurred even in the absence of a formal federal climate policy.

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## 13: LAND USE AND LAND COVER CHANGE

# SUPPLEMENTAL MATERIAL

## TRACEABLE ACCOUNTS

### *Process for Developing Key Messages:*

The author team benefited from a number of relevant technical input reports. One report described the findings of a three-day workshop held from November 29 to December 1, 2011, in Salt Lake City, in which a number of the chapter authors participated.<sup>2</sup> Findings of the workshop provided a review of current issues and topics as well as the availability and quality of relevant data. In addition, from December 2011 through June 2012 the author team held biweekly teleconferences. Key messages were identified during this period and discussed in two phases, associated with major chapter drafts. An early draft identified a number of issues and key messages. Based on discussions with National Climate Assessment (NCA) leadership and other chapter authors, the Land Use and Land Cover Change authors identified and reached consensus on a final set of four key messages and organized most of the chapter to directly address these messages. The authors selected key messages based on the consequences and likelihood of impacts, the implied vulnerability, and available evidence. Relevance to decision support, mitigation, and adaptation was also an important criterion for the selection of key messages for the cross-cutting and foundational topic of this chapter.

The U.S. acquires, produces, and distributes substantial data that characterize the nation's land cover and land use. Satellite observations, with near complete coverage over the landscape and consistency for estimating change and trends, are particularly valuable. Field inventories, especially of agriculture and forestry, provide very reliable data products that describe land cover as well as land-use change. Together, remote sensing and field inventory data, as well as related ecological and socioeconomic data, allow many conclusions about land-use and land-cover change with very high confidence.

### **KEY MESSAGE #1 TRACEABLE ACCOUNT**

**Choices about land-use and land-cover patterns have affected and will continue to affect how vulnerable or resilient human communities and ecosystems are to the effects of climate change.**

#### *Description of evidence base*

The influences of climate on vegetation and soils, and thus on land cover and land use, are relatively well understood, and a number

of well-validated mathematical models are used to investigate potential consequences of climate change for ecosystem processes, structure, and function. Given scenarios about socioeconomic factors or relevant models, some aspects of land-use and land-cover change can also be analyzed and projected into the future based on assumed climate change. During a workshop convened to review land-use and land-cover change for the NCA, participants summarized various studies from different perspectives, including agriculture and forestry as well as socioeconomic issues such as flood insurance.<sup>2</sup>

Residential exposure to wildfire is an excellent example supporting this key message and is well documented in the literature.<sup>16,17,18</sup>

### *New information and remaining uncertainties*

Steadily accumulating field and remote sensing observations as well as inventories continue to increase confidence in this key message. A recent study by the EPA<sup>13</sup> provides relevant projections of housing density and impervious surface under alternative scenarios of climate change.

While there is little uncertainty about the general applicability of this key message, the actual character and consequences of climate change as well as its interactions with land cover and land use vary significantly between locations and circumstances. Thus the specific vulnerabilities resulting from the specific ways in which people, both as individuals and as collectives, will respond to anticipated or observed climate change impacts are less well understood than the biophysical dimensions of this problem.

### *Assessment of confidence based on evidence*

**Very High.** Observed weather and climate impacts and consequences for land cover and land use, basic understanding of processes and analyses using models of those processes, as well as substantial literature are consistent in supporting this key message.

### **KEY MESSAGE#2 TRACEABLE ACCOUNT**

**Land-use and land-cover changes affect local, regional, and global climate processes.**

**Description of evidence base**

The dependence of weather and climate processes on land surface properties is reasonably well understood in terms of the biophysical processes involved. Most climate models represent land-surface conditions and processes, though only recently have they begun to incorporate these conditions dynamically to represent changes in the land surface within a model run. Regional weather models are increasingly incorporating land surface characteristics. Extensive literature – as well as textbooks – documents this understanding, as do models of land surface processes and properties. A Technical Input report to the National Climate Assessment<sup>1</sup> summarizes the literature and basic understanding of interactions between the atmosphere and land surface that influence climate.

Examples are provided within the chapter to demonstrate that land-use and land-cover change are affecting U.S. climate.<sup>20,24,25,27,31,32,33,34</sup>

**New information and remaining uncertainties**

While there is little uncertainty about this key message in general, the heterogeneity of the U.S. landscape and associated processes, as well as regional and local variations in atmospheric processes, make it difficult to analyze or predict the character of land use and land cover influences on atmospheric processes at all scales.

**Assessment of confidence based on evidence**

**Very High.** The basic processes underlying the biophysics of interactions between the land surface and atmosphere are well understood. A number of examples and field studies are consistent in demonstrating effects of land use and land-cover change on the climate of the United States.

**KEY MESSAGE #3 TRACEABLE ACCOUNT**

**Individuals, businesses, non-profits, and governments have the capacity to make land-use decisions to adapt to the effects of climate change.**

**Description of evidence base**

The key message is supported by well-understood aspects of land-use planning and management, including the legal roles of government and citizens and management practices such as zoning and taxation. Participants in the NCA workshop (Nov 29-Dec 1, 2011, in Salt Lake City) on land use and land cover presented and discussed a number of examples showing the influences of land-use decisions on climate change adaptation options.<sup>2</sup> The chapter describes specific examples of measures to adapt to climate change, further supporting this key message.<sup>36,37,38</sup>

**New information and remaining uncertainties**

Experience with climate change adaptation measures involving land-use decisions is accumulating rapidly.<sup>36,37,38</sup>

Although there is little uncertainty that land-use decisions can enable adaptation to climate change, the information about climate change, at scales where such decisions are made, is generally lacking.

**Assessment of confidence based on evidence**

**Very High.** The aspects of land-use planning that can enable climate change adaptation are well understood and examples demonstrate where actions are being taken.

**KEY MESSAGE #4 TRACEABLE ACCOUNT**

**Choices about land use and land management provide a means of reducing atmospheric greenhouse gas levels.**

**Description of evidence base**

The evidence base for this key message includes scientific studies on the carbon cycle at both global and local scales (summarized in Izzaualde et al. 2013; Hurteau 2013; and Cambardella and Hatfield 2013).<sup>42,43,45</sup> The evidence base also includes policy studies on the costs and benefits and feasibilities of various actions to reduce carbon emissions from land-based activities and/or to increase carbon storage in the biosphere through land-based activities (summarized in Jones et al. 2013; and Pearson and Brown 2013).<sup>44</sup> Foundational studies are summarized in the NCA Technical Input documents.<sup>1,2</sup>

**New information and remaining uncertainties**

A major study by the U.S. Geological Survey is estimating carbon stocks in vegetation and soils of the U.S., and this inventory will

**Confidence Level**

<b>Very High</b>
Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus
<b>High</b>
Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus
<b>Medium</b>
Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought
<b>Low</b>
Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

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clarify the potential for capturing greenhouse gasses by land-use change (an early result is reported in Sohl et al. 2012<sup>14</sup>).

There is little uncertainty behind the premise that specific land uses affect the carbon cycle. There are, however, scientific uncertainties regarding the magnitudes of effects resulting from specific actions designed to leverage this linkage for mitigation. For example, uncertainties are introduced regarding the permanence of specific land-based stores of carbon, the incremental value of specific management or policy decisions to increase terrestrial carbon stocks beyond changes that would have occurred in the absence of management, and the possibility for decreases in carbon storage in another location that offset increases resulting from specific actions at a given location. Also, we do not yet know how natural processes might alter the amount of carbon storage expected to occur with management actions. There are further uncertainties regarding the political feasibilities and economic efficacy of policy options to use land-based activities to reduce the concentration of greenhouse gases in the atmosphere.

***Assessment of confidence based on evidence***

Given the evidence base and uncertainties, there is **medium** confidence that land use and land management choices can reduce the amount of greenhouse gases in the atmosphere.



## Climate Change Impacts in the United States

# CHAPTER 14 RURAL COMMUNITIES

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### Recommended Citation for Chapter

Hales, D., W. Hohenstein, M. D. Bidwell, C. Landry, D. McGranahan, J. Molnar, L. W. Morton, M. Vasquez, and J. Jadin, 2014: Ch. 14: Rural Communities. *Climate Change Impacts in the United States: The Third National Climate Assessment*, J. M. Melillo, Terese (T.C.) Richmond, and G. W. Yohe, Eds., U.S. Global Change Research Program, 333-349. doi:10.7930/J01Z429C.

**On the Web:** <http://nca2014.globalchange.gov/report/sectors/rural-communities>



INFORMATION DRAWN FROM THIS CHAPTER IS INCLUDED IN THE HIGHLIGHTS REPORT AND IS IDENTIFIED BY THIS ICON

# 14 RURAL COMMUNITIES

## KEY MESSAGES

1. **Rural communities are highly dependent upon natural resources for their livelihoods and social structures. Climate change related impacts are currently affecting rural communities. These impacts will progressively increase over this century and will shift the locations where rural economic activities (like agriculture, forestry, and recreation) can thrive.**
2. **Rural communities face particular geographic and demographic obstacles in responding to and preparing for climate change risks. In particular, physical isolation, limited economic diversity, and higher poverty rates, combined with an aging population, increase the vulnerability of rural communities. Systems of fundamental importance to rural populations are already stressed by remoteness and limited access.**
3. **Responding to additional challenges from climate change impacts will require significant adaptation within rural transportation and infrastructure systems, as well as health and emergency response systems. Governments in rural communities have limited institutional capacity to respond to, plan for, and anticipate climate change impacts.**

More than 95% of U.S. land area is classified as rural, but is home to just 19% of the population (see also Ch. 13: Land Use & Land Cover Change).<sup>1</sup> Rural America's importance to the country's economic and social well-being is disproportionate to its population, as rural areas provide natural resources that much of the rest of the United States depends on for food, energy, water, forests, recreation, national character, and quality of life.<sup>2</sup> Rural economic foundations and community cohesion are intricately linked to these natural systems, which are inherently vulnerable to climate change. Urban areas that depend on goods and services from rural areas will also be affected by climate change driven impacts across the countryside.

Warming trends, climate volatility, extreme weather events, and environmental change are already affecting the economies and cultures of rural areas. Many rural communities face considerable risk to their infrastructure, livelihoods, and quality of life from observed and projected climate shifts (Ch. 12: Indigenous Peoples). These changes will progressively increase volatility in food commodity markets, shift the ranges of plant and animal species, and, depending on the region, increase water scarcity, exacerbate flooding and coastal erosion, and increase the intensity and frequency of wildfires across the rural landscape.

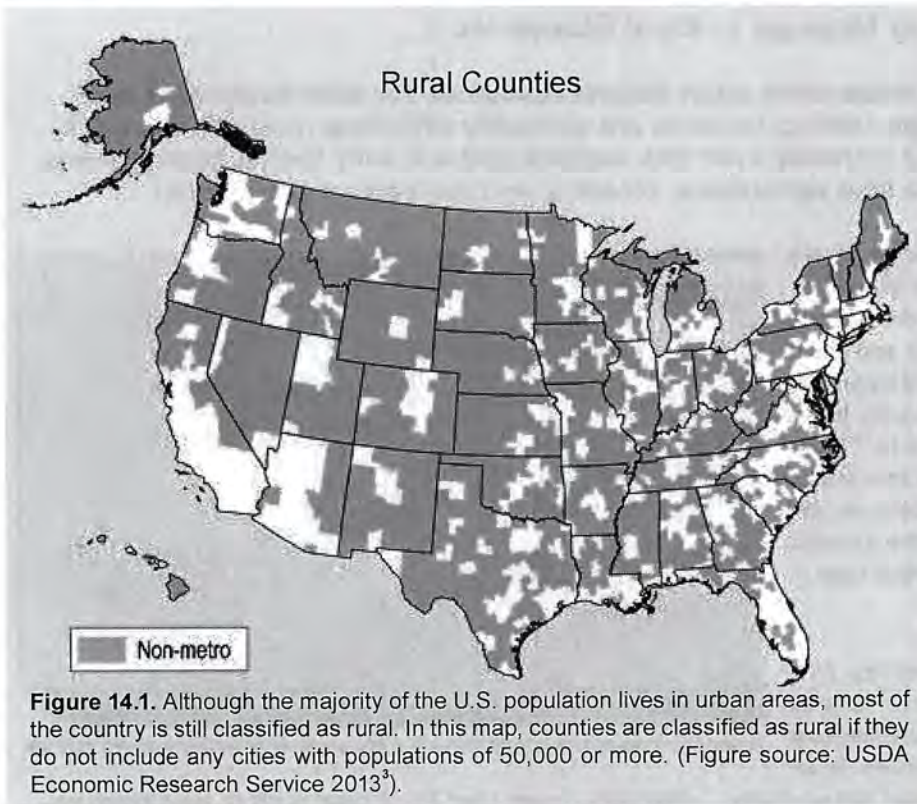
Climate changes will severely challenge many rural communities, shifting locations where particular economic activities are capable of thriving. Changes in the timing of seasons, temperatures, and precipitation will alter where commodities, value-added crops, and recreational activi-

ties are best suited. Because many rural communities are less diverse than urban areas in their economic activities, changes in the viability of one traditional economic sector will place disproportionate stresses on community stability.

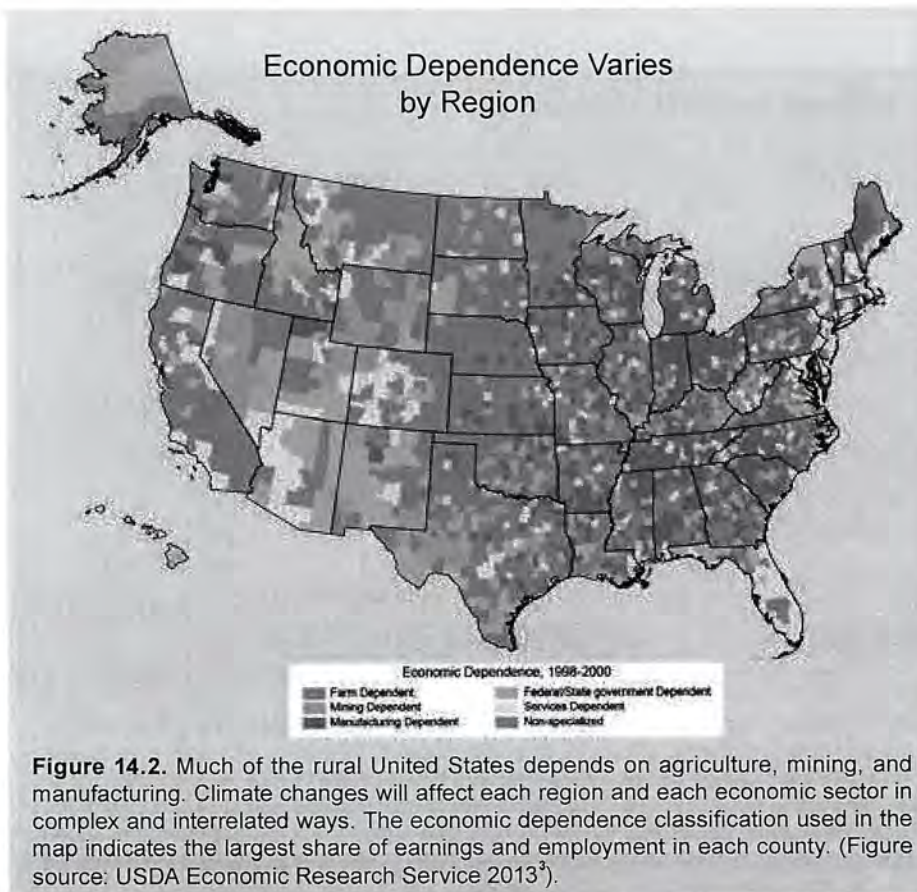
Climate change impacts will not be uniform or consistent across rural areas, and some communities may benefit from climate change. In the short term, the U.S. agricultural system is expected to be fairly resilient to climate change due to the system's flexibility to engage in adaptive behaviors such as expansion of irrigated acreage, regional shifts in acreage for specific crops, crop rotations, changes to management decisions (such as choice and timing of inputs and cultivation practices), and altered trade patterns compensating for yield changes (Ch.



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**Figure 14.1.** Although the majority of the U.S. population lives in urban areas, most of the country is still classified as rural. In this map, counties are classified as rural if they do not include any cities with populations of 50,000 or more. (Figure source: USDA Economic Research Service 2013<sup>3</sup>).



**Figure 14.2.** Much of the rural United States depends on agriculture, mining, and manufacturing. Climate changes will affect each region and each economic sector in complex and interrelated ways. The economic dependence classification used in the map indicates the largest share of earnings and employment in each county. (Figure source: USDA Economic Research Service 2013<sup>3</sup>).

6: Agriculture; Key Message 5).<sup>4</sup> Recreation, tourism, and leisure activities in some regions will benefit from shifts in temperature and precipitation.

Negative impacts from projected climate changes, however, will ripple throughout rural America. Agricultural systems in some areas may need to undergo more transformative changes to keep pace with future climate change (Ch. 6: Agriculture, Key Message 5). In lakes and riparian areas, warming is projected to increase the growth of algae and invasive species, particularly in areas already facing water quality impairments.<sup>5</sup> Mountain species and cold water fish, such as salmon, are expected to face decreasing range sizes due to warming, while ranges could expand for some warm water fish, such as bass.<sup>6</sup> Alaska, with its reliance on commercial and subsistence fishing catch, is particularly vulnerable. Warmer weather and higher water temperatures will reduce salmon harvests, creating hardships for the rural communities and tribes that depend upon these catches (Ch. 12: Indigenous Peoples, Key Message 1).<sup>7</sup> Communities in Guam and American Samoa, which depend on fish for 25% to 69% of their protein, are expected to be particularly hard hit as climate change alters the composition of coral reef ecosystems.<sup>8</sup>

Across the United States, rural areas provide ecosystem services – like carbon absorption in forests, water filtration in wetlands, wildlife habitat in prairies, and environmental flows in rivers and streams – whose value tends to be overlooked. Preserving these ecosystem services sustains the quality of life in rural communities and also benefits those who come to rural communities for second homes, tourism, and other amenities. They also provide urban residents with vital resources – like food, energy, and fresh water – that meet essential needs. This layered connection between rural areas and populous urban centers suggests that maintaining the health of rural areas is a national, and not simply a local, concern.

## Key Message 1: Rural Economies

Rural communities are highly dependent upon natural resources for their livelihoods and social structures. Climate change related impacts are currently affecting rural communities. These impacts will progressively increase over this century and will shift the locations where rural economic activities (like agriculture, forestry, and recreation) can thrive.

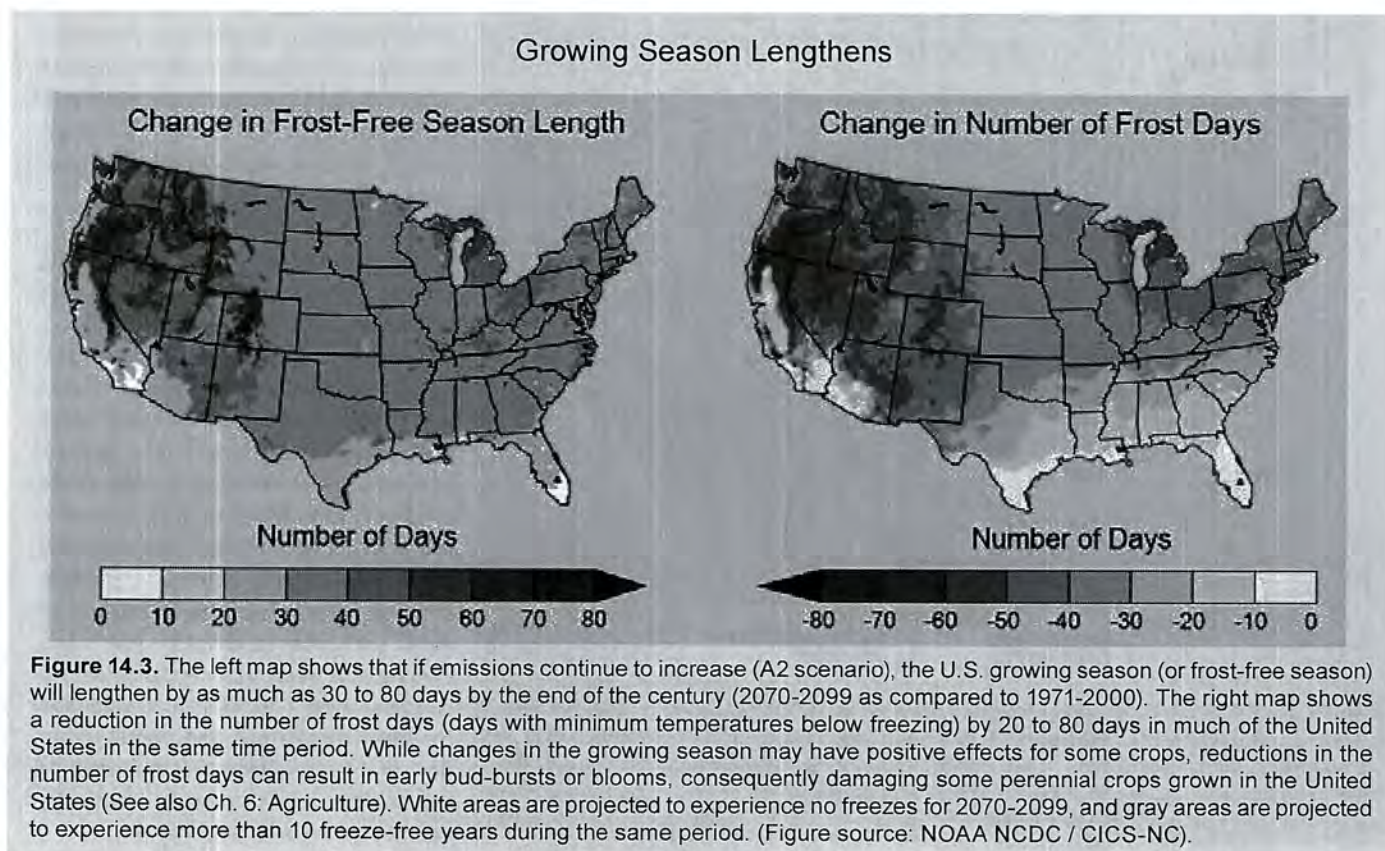
Rural America has already experienced some of the impacts of climate change related weather effects, including crop and livestock loss from severe drought and flooding,<sup>9</sup> infrastructure damage to levees and roads from extreme storms,<sup>10</sup> shifts in planting and harvesting times in farming communities,<sup>11</sup> and large-scale losses from fires and other weather-related disasters.<sup>12</sup> These impacts have profound effects, often significantly affecting the health and well-being of rural residents as well as their communities, and are amplified by the essential economic link that many of these communities have to their natural resource base.

Rural communities are often characterized by their natural resources and associated economic activity. Dominant economic drivers include agriculture, forestry, mining, energy, outdoor recreation, and tourism. In addition, many rural areas with pleasant climates and appealing landscapes are increasingly reliant on second-home owners and retirees for their tax base and community activities.



River flood waters illustrate threats rural areas face in a changing climate.

Nationally, fewer than 7% of rural workers are directly employed in agriculture, but the nation's two million farms occupy more than 40% of U.S. land mass – and many rural





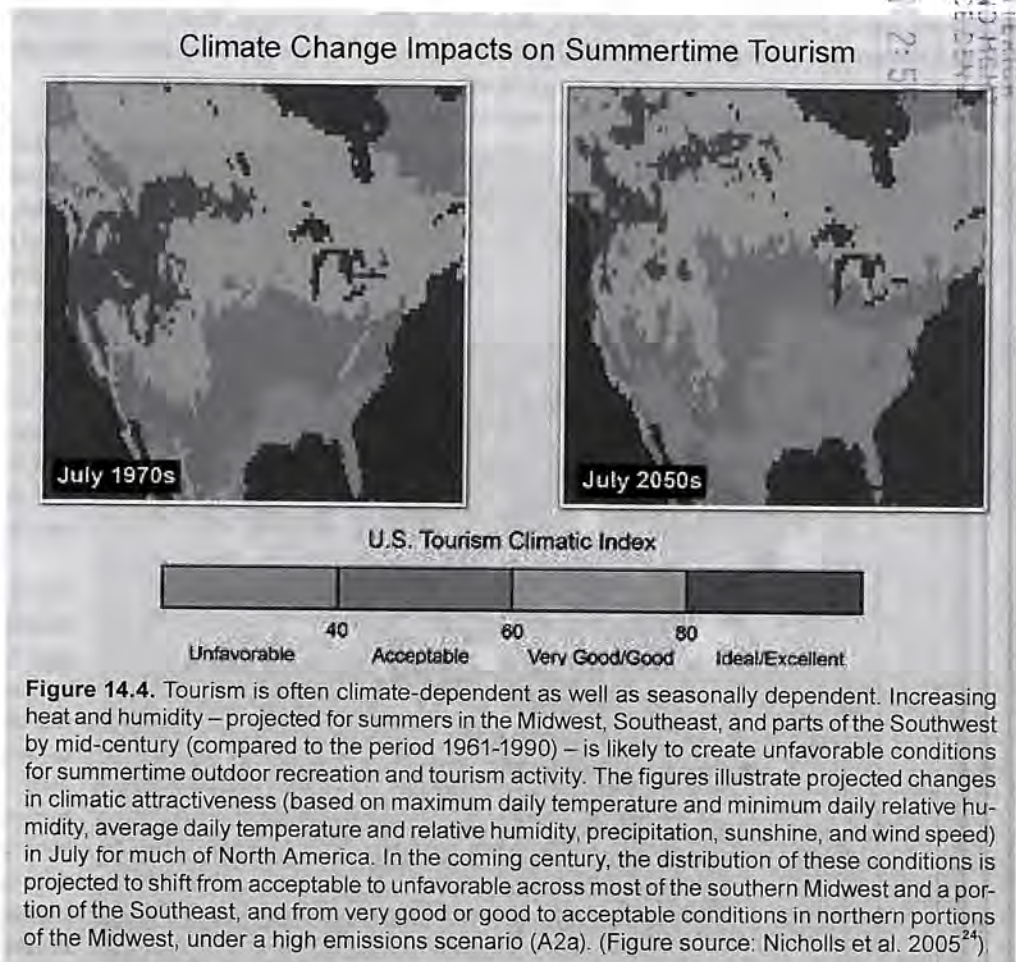
communities rely extensively on farming and ranching (Ch. 6 Agriculture; Ch. 13 Land Use & Land Cover Change).<sup>13</sup> Farmers are responding to climate change by shifting cropping patterns and altering the timing of planting and harvesting. This may result in additional use of herbicides and pesticides with the accompanying human exposure to additional health risks.<sup>14</sup> Changes in rainfall, temperature, and extreme weather events will increase the risk of poor yields and reduced crop profitability. For example, the increased frequency and intensity of heavy downpours will accelerate soil erosion rates, increasing deposition of nitrogen and phosphorous into water bodies and diminishing water quality.<sup>15</sup>

Many areas will face increasing competition for water among household, industrial, agricultural, and urban users (Ch. 3: Water).<sup>16</sup> Reduced surface water will place more stress on surface water systems as well as groundwater systems (Ch. 3: Water; Key Message 4). In-stream flow requirements for the maintenance of environmental resources are an equally important water demand. While irrigated cropland is an important and growing component of the farm economy,<sup>17</sup> water withdrawals necessary for generating electricity in thermal power plants are already roughly equal to irrigation withdrawals.<sup>18</sup> As climate change increases water scarcity in some regions, there will be increased competition for water between energy production and agriculture.<sup>19</sup> Mining also requires large quantities of water, and scarcity resulting from drought associated with climate change may affect operations. Changes in seasonality and intensity of precipitation will increase costs of runoff containment. Climate change impacts on forestry have important implications for timber and forest-amenity-based rural communities. Shifting forest range and composition, as well as increased attacks from pests and diseases, will have negative effects on biodiversity and will increase wildfire risks (Ch. 7: Forests).<sup>8,20</sup> Shifts in the distribution and abundance of many economically important tree species would affect the pulp and wood industry. As ranges shift and the distribution of plant species in forests changes, the range of other

forest-dependent animal species will also change, causing additional economic and sociocultural impacts.

Tourism contributes significantly to rural economies. Changes in the length and timing of seasons, temperature, precipitation, and severe weather events can have a direct impact on tourism and recreation activities by influencing visitation patterns and tourism-related economic activity.

Climate change impacts on tourism and recreation will vary significantly by region. For instance, some of Florida's top tourist attractions, including the Everglades and Florida Keys, are threatened by sea level rise,<sup>21</sup> with estimated revenue losses of \$9 billion by 2025 and \$40 billion by the 2050s. The effects of climate change on the tourism industry will not be exclusively negative. In Maine, coastal tourism could increase due to warmer summer months, with more people visiting the state's beaches.<sup>22</sup> Employing a Tourism Climatic Index (Figure 14.4) that accounts for temperature, precipitation, sunshine, and wind, one study finds that conditions conducive for outdoor recreation will be shifting northward with climate change, though it is unclear whether absolute conditions or relative weather conditions will be more important in influencing future tourist behaviors.<sup>23</sup>



Climate change will also influence the distribution and composition of plants and animals across the United States. Hunting, fishing, bird watching, and other wildlife-related activities will be affected as habitats shift and relationships among species change.<sup>25</sup> Cold-weather recreation and tourism will be adversely affected by climate change. Snow accumulation in the western United States has decreased, and is expected to continue to decrease, as a result of observed and projected warming. Reduced snow accumulation also reduces the amount of spring snowmelt, decreasing warm-season runoff in mid- to high-latitude regions.

Similar changes to snowpack are expected in the Northeast.<sup>26</sup> Adverse impacts on winter sports are projected to be more pronounced in the Northeast and Southwest regions of the United States.<sup>8</sup> Coastal areas will be adversely affected by sea

level rise and increased severity of storms.<sup>22,27</sup> Changing environmental conditions, such as wetland loss and beach erosion in coastal areas<sup>28</sup> and increased risk of natural hazards such as wildfire, flash flooding, storm surge, river flooding, drought, and extremely high temperatures can alter the character and attraction of rural areas as tourist destinations.

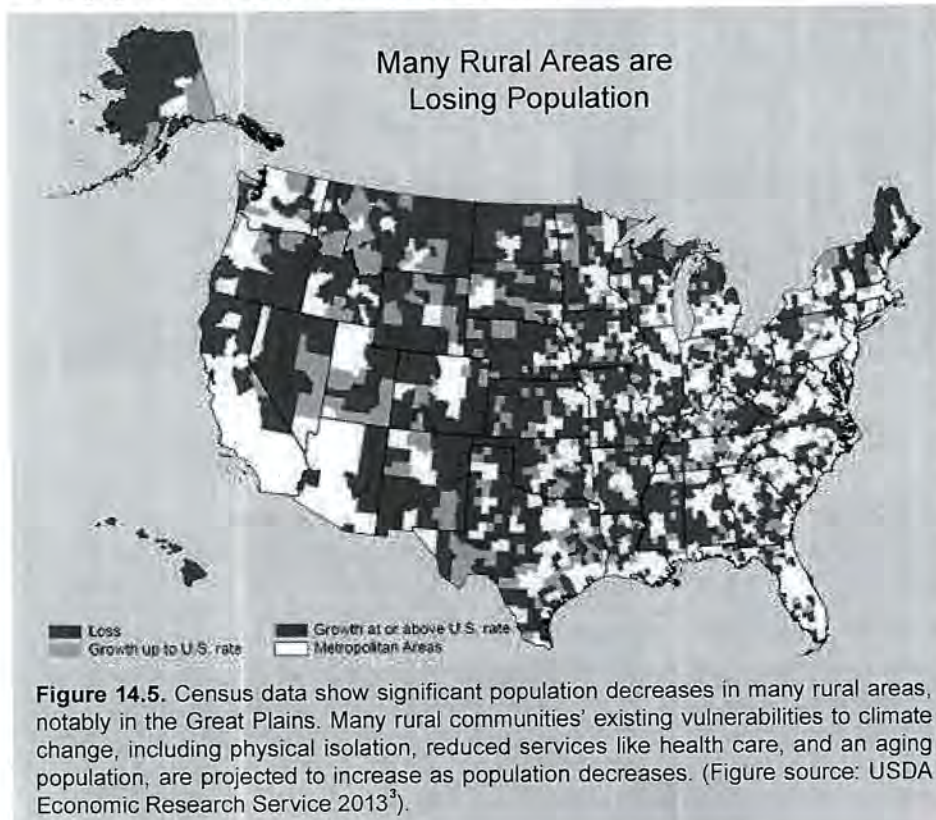
The implications of climate change on communities that are dependent on resource extraction (coal, oil, natural gas, and mining) have not been well studied. Attributes of economic development in these communities, such as cyclical growth, transient workforce, rapid development, pressure on infrastructure, and lack of economic diversification suggest that these communities could face challenges in adapting to climate change.<sup>13,29,30</sup>

## Key Message 2: Responding to Risks

**Rural communities face particular geographic and demographic obstacles in responding to and preparing for climate change risks. In particular, physical isolation, limited economic diversity, and higher poverty rates, combined with an aging population, increase the vulnerability of rural communities. Systems of fundamental importance to rural populations are already stressed by remoteness and limited access.**

Relatively rapid changes in demographics, economic activity, and climate are particularly challenging in rural communities, where local, agrarian values often run generations deep. Changing rural demographics, influenced by new immigration

patterns, fluctuating economic conditions, and evolving community values add to these challenges – especially with regard to climate changes.



Modern rural populations are generally older, less affluent, and less educated than their urban counterparts. Rural areas are characterized by higher unemployment, more dependence on government transfer payments, less diversified economies, and fewer social and economic resources needed for resilience in the face of major changes.<sup>8,31</sup> In particular, the combination of an aging population and poverty increases the vulnerability of rural communities to climate fluctuations.

There has been a trend away from manufacturing, resource extraction, and farming to amenity-based economic activity in many rural areas of the United States.<sup>32</sup> Expanding amenity-based economic activities in rural areas include recreation and leisure, e-commuting residents, tourism, and second home and retirement home development. This shift has stressed traditional cultural values<sup>33</sup> and put pressure on infrastructure<sup>34</sup> and natu-

ral amenities<sup>35</sup> that draw people to rural areas. Changes in climate and weather are likely to increase these stresses. Rural components of transportation systems are particularly vulnerable to risks from flooding and sea level rise.<sup>36</sup> Since rural areas often have fewer transportation options and fewer infrastructure redundancies, any disruptions in road, rail, or air transport will deeply affect rural communities.

Power and communication outages resulting from extreme events often take longer to repair in rural areas, contributing to the isolation and vulnerability of elderly residents who may not have cell phones. The lack of cellular coverage in some rural areas can create problems for emergency response during power failures.<sup>37</sup>

In some parts of the country there has been a recent trend in Hispanic population growth in rural regions that have not been traditional migrant destinations. New Hispanic immigrants are often highly segregated residentially and isolated from mainstream institutions,<sup>38</sup> making them more vulnerable to changes in climate. Low wages, unstable work, language barriers, and inadequate housing are critical obstacles to managing climate risk.

Rural communities rely on various transportation modes, both for export and import of critical goods (Ch. 5: Transportation). Climate changes will result in increased erosion and maintenance costs for local road and rail systems, as well as changes in streamflows and predictability that will result in increased maintenance costs for waterways. More frequent disruption of shipping is projected, with serious economic consequences. For example, in 2010, about 40 million tons of cereal grains were shipped by water to Louisiana, while less than 4 million tons traveled by rail.<sup>39</sup> While rail can help ameliorate small-scale or off-peak capacity limitations on the Mississippi River, it seems unlikely that the rail system can fully replace the river system in the event of a prolonged harvest-time disruption. Events that affect both rail and barge traffic would be particularly damaging to rural communities that depend upon these systems to get commodities to market.

Health and emergency response systems also face additional demands from substantial direct and indirect health risks associated with global climate changes. Indirect risks, particularly those posed by emerging and reemerging infectious diseases, are more difficult to assess, but pose looming threats to economically challenged communities where health services are limited. Direct threats (such as extreme heat, storm events, and coastal and riparian flooding) tend to be more associated with specific local vulnerabilities, so the risks are somewhat easier to assess.<sup>39</sup>

The socioeconomic and demographic characteristics of rural areas interact with climate change to create health concerns that differ from those of urban and suburban communities. Older populations with lower income and educational levels in rural areas spend a larger proportion of their income on health care than their urban counterparts. Moreover, health care access declines as geographic isolation increases. Overall, rural residents already have higher rates of age-adjusted mortality, disability, and chronic disease than do urban populations.<sup>40</sup> These trends are likely to be exacerbated by climate change (Ch. 9: Human Health).

Governments in rural areas are generally ill-prepared to respond quickly and effectively to large-scale events, although individuals and voluntary associations often show significant resilience. Health risks are exacerbated by limitations in the health service systems characteristic of rural areas, including the distance between rural residents and health care providers and the reduced availability of medical specialists.

The effects of climate change on mental health merit special consideration. Rural residents are already at a heightened risk from mental health issues because of the lack of access to mental health providers. The adverse impact of severe weather disasters on mental health is well established,<sup>41</sup> and there is emerging evidence that climate change in the form of increasing heat waves and droughts has harmful effects on mental health (Ch. 9: Human Health, Key Message 1). Droughts often result in people relocating to seek other employment, causing a loss of home and social networks. Studies have shown that springtime droughts in rural areas cause a decrease in life satisfaction.<sup>42</sup> The primary care physicians who form the backbone of rural health care often have heavy caseloads and lack specialized training in mental health issues.<sup>40</sup> Additionally, patients referred to mental health specialists often experience significant delays.<sup>43</sup>

The frequency and distribution of infectious diseases is also projected to increase with rising temperatures and associated seasonal shifts. Increased rates of mutation and increased resistance to drugs and other treatments are already evident in the behavior of infectious disease-causing bacteria and viruses.<sup>44</sup> In addition, changes in temperature, surface water, humidity, and precipitation affect the distribution and abundance of disease-carriers and intermediate hosts, and result in larger distributions for many parasites and diseases. Rural residents who spend significant time outdoors have an increased risk of exposure to these disease-carriers, like ticks and mosquitoes (Ch. 9: Human Health).

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### Key Message 3: Adaptation

**Responding to additional challenges from climate change impacts will require significant adaptation within rural transportation and infrastructure systems, as well as health and emergency response systems. Governments in rural communities have limited institutional capacity to respond to, plan for, and anticipate climate change impacts.**

Climate variability and increases in temperature, extreme events (such as storms, floods, heat waves, and droughts), and sea level rise are expected to have widespread impacts on the provision of services from state, regional, local, and tribal governments. Emergency management, energy use and distribution systems, transportation and infrastructure planning, and public health will all be affected.

Rural governments often depend heavily on volunteers to meet community challenges like fire protection or flood response. In addition, rural communities have limited locally available financial resources to help deal with the effects of climate change. Small community size tends to make services expensive or available only by traveling some distance.

Local governance structures tend to de-emphasize planning capacity, compared to urban areas. While 73% of metropolitan counties have land-use planners, only 29% of rural counties not adjacent to a metropolitan county had one or more planners. Moreover, rural communities are not equipped to deal with major infrastructure expenses.<sup>45</sup>

Communities across the United States are experiencing infrastructure losses, water scarcity, unpredictable water availability, and increased frequency and intensity of wildfires. However, local authorities often do not explicitly associate these observed changes with climate, and responses rarely take climate disruption into account. Even in communities where there is increasing awareness of climate change and interest in comprehensive adaptation planning, lack of funding, human resources, access to information, training, and expertise provide significant barriers for many rural communities.<sup>46</sup>

If rural communities are to respond adequately to future climate changes, they will likely need help assessing their risks and vulnerabilities, prioritizing and coordinating projects, funding and allocating financial and human resources, and deploying information-sharing and decision support tools (Ch. 26: Decision Support). There is still little systematic research on the vulnerability of rural communities and there is a need for additional empirical research in this area. Impacts due to climate change will cross community and regional lines, making solutions dependent upon meaningful participation of numerous stakeholders from federal, state, local, and tribal governments, science and academia, the private sector, non-profit

organizations, and the general public (Ch. 28: Adaptation, Key Message 3).

Effective adaptation measures are closely tied to specific local conditions and needs and take into account existing social networks.<sup>47,48</sup> The economic and social diversity of rural communities affects the ability of both individuals and communities to adapt to climate changes, and underscores the need to assess climate change impacts on a local basis. The quality and availability of natural resources, legacies of past use, and changing industrial needs affect the economic, environmental, and social conditions of rural places and are critical factors to be assessed.<sup>13,30,49</sup> Successful adaptation to climate change requires balancing immediate needs with long-term development goals, as well as development of local-level capacities to deal with climate change.<sup>48,50</sup>

Potential national climate change mitigation responses (Ch. 27: Mitigation) – especially those that require extensive use of land, such as permanent reforestation, constructing large solar or wind arrays, hydroelectric generation, and biofuel cropping – are also likely to significantly affect rural communities, with both positive and negative effects.<sup>51</sup> As with the development of rural resource-intensive economic activities, where national or multi-national companies tend to wield ownership and control, local residents and communities are unlikely to be the primary investors in or beneficiaries of this kind of new economic activity. For example, mitigation policies that affect coal production could have a substantial economic impact on many rural communities, as could policies to promote production of non-fossil-fuel energy such as wind.

Decisions regarding adaptation responses for both urban and rural populations can occur at various scales (federal, state, local, tribal, private sector, and individual) but need to take interdependencies into account. Many decisions that significantly affect rural communities may not be under the control of local governments or rural residents. Given that timing is a critical aspect of adaptation, as well as mitigation, engaging rural residents early in decision processes about investments in public infrastructure, protection of shorelines, changes in insurance provision, or new management initiatives can influence individual behavior and choice in ways that enhance positive outcomes of adaptation and mitigation.

## LOCAL RESPONSES TO CLIMATE CHANGE IN THE SAN JUAN MOUNTAINS

The San Juan Mountains region straddles the southern edge of the Southern Rocky Mountains and the northeastern tip of the arid Southwest. The high mountain headwaters of the Rio Grande, San Juan, and major tributaries of the Upper Colorado River are critical water towers for five states: Texas, Nevada, California, Arizona, and New Mexico. The diversity of the landforms, high plateaus, steep mountains, deep canyons, and foothills leads to a complex and diverse mix of coniferous and deciduous forested landscapes.<sup>52</sup> County populations in the area range from 700 to 51,000 people. Population changes between 2000 and 2010 ranged from a 25% decline to an 86% increase. Public lands account for 69% of the land base.<sup>53</sup> Over half of the local economies are dependent upon natural resources to support tourism, minerals and natural gas extraction, and second home development.

Average annual temperatures in the San Juan Mountains have risen 1.1°F in only three decades,<sup>54</sup> a rate of warming greater than any other region of the United States except Alaska.<sup>55</sup> The timing of snowmelt has shifted two weeks earlier between 1978 and 2007, and this earlier seasonal release of water resources is of particular concern to all western states.<sup>56</sup> Current challenges for the region include changes in forests due to pests and diseases, intensive recreation use, fire management for natural and prescribed fires, and increasing development in the wildland-urban interface. Communities are vulnerable to changes from a warmer and drier climate that would affect the frequency

and intensity of wildfires, shift vegetation and range of forest types, and increase pressures on water supplies.

In response, the San Juan Climate Initiative drew together stakeholders, including natural resource managers, community planners, elected officials, industry representatives, resource users, citizens, non-profit organizations, and scientists. By combining resources and capabilities, stakeholders have been able to accomplish much more together than if they had worked independently. For example, local governments developed a plan to reduce greenhouse gas emissions and identify strategies for adaptation, signing the U.S. Mayor's Climate Protection Agreement in 2009. Climate modelers at University of Colorado and National Center for Atmospheric Research analyzed regional trends in temperature, precipitation, snowpack, and streamflow. Researchers at Mountain Studies Institute, University of Colorado, and Fort Lewis College are partnering with San Juan National Forest to monitor alpine plant communities and changes in climate across the region, and to document carbon resources. San Juan National Forest is developing strategies for adapting to climate changes in the region related to drought, wildfire, and other potential effects. La Plata County is leading an effort to plan for sustainable transportation and food networks that will be less dependent upon carbon-based fuels, while the Mountain Studies Institute is leading citizen science programs to monitor changes to sensitive species like the American pika.



Hiker in the San Juan mountains, Colorado.

## 14: RURAL COMMUNITIES

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## 14: RURAL COMMUNITIES

# SUPPLEMENTAL MATERIAL

## TRACEABLE ACCOUNTS

### *Process for Developing Key Message:*

The key messages were initially developed at a meeting of the authors in Charleston, South Carolina, in February 2012. This initial discussion was supported by a series of conference calls from March through June, 2012. These ensuing discussions were held after a thorough review of the technical inputs and associated literature, including the Rural Communities Workshop Report prepared for the NCA<sup>57</sup> and additional technical inputs on a variety of topics.

### **KEY MESSAGE #1 TRACEABLE ACCOUNT**

**Rural communities are highly dependent upon natural resources for their livelihoods and social structures. Climate change related impacts are currently affecting rural communities. These impacts will progressively increase over this century and will shift the locations where rural economic activities (like agriculture, forestry, and recreation) can thrive.**

### *Description of evidence base*

The key message and supporting text summarizes extensive evidence documented in the Rural Communities Workshop Report.<sup>57</sup> Thirty one technical input reports on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

Evidence that the impacts of climate change are increasing is compelling and widespread. This evidence is based on historical records and observations and on global climate models, including those driven by B1 (substantial emissions reduction) and A2 (continued increases in global emissions) scenarios. This evidence is clearly summarized and persuasively referenced in the "Our Changing Climate" chapter of this Assessment and in the Scenarios developed for the NCA.<sup>58</sup>

The dependency of rural communities on their natural resources has been demonstrated,<sup>13</sup> with a number of studies showing that climate change results in crop and livestock loss,<sup>9</sup> infrastructure damage to levees and roads,<sup>10</sup> shifts in agriculture practices,<sup>11</sup> and losses due to disasters.<sup>12</sup> A number of publications project these impacts to increase, with effects on the natural environment<sup>8,15,20</sup> and increased competition for water between agriculture and energy.<sup>19</sup> Studies have projected that tourism locations

in the Everglades and Florida Keys are threatened.<sup>21</sup> Meanwhile, Maine's tourism could increase,<sup>22</sup> which coincides with a projected northern shift in outdoor recreation.<sup>23</sup> Hunting, fishing, and bird watching will be affected by beach erosion and wetland loss,<sup>28</sup> and changing plant and animal habitats and inter-species relationships (see also Ch. 8: Ecosystems). Outdoor recreation and tourism in many areas in the U.S. are affected by early snowpack melt.<sup>8,26</sup>

### *New information and remaining uncertainties*

Key remaining uncertainties relate to the precise magnitude, timing, and location of impacts at regional and local scales.

### *Assessment of confidence based on evidence*

(See confidence level key on next page)

Given the evidence and uncertainties, there is **very high** confidence that rural communities are highly dependent on natural resources that are expected to be affected by climate change, especially the many communities that rely on farming, forestry or tourism for their livelihoods.

Given the evidence and uncertainties, there is **high** confidence that climate change is currently affecting rural communities.

Given the evidence and uncertainties, there is **very high** confidence that impacts will increase (see Ch 2: Our Changing Climate).

Given the evidence and uncertainties, there is **high** confidence about shifts in locations of economic activities.

### **KEY MESSAGE #2 TRACEABLE ACCOUNT**

**Rural communities face particular geographic and demographic obstacles in responding to and preparing for climate change risks. In particular, physical isolation, limited economic diversity, and higher poverty rates, combined with an aging population, increase the vulnerability of rural communities. Systems of fundamental importance to rural populations are already stressed by remoteness and limited access.**

**Description of evidence base**

The key message and supporting text summarizes extensive evidence documented in the Rural Communities Workshop Report.<sup>57</sup> Thirty one technical input reports on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

With studies showing that rural communities are already stressed,<sup>33,34,35</sup> a number of publications have explored the barriers of rural communities to preparing and responding to climate change.<sup>8,31</sup> Some studies provide in-depth looks at the obstacles created by limited economic diversity<sup>32</sup> and an aging population.<sup>40</sup>

**New information and remaining uncertainties**

Projecting the interactions of these variables with each other and applying this analysis to local or regional realities is complex at best, with uncertainties at every level of analysis.

**Assessment of confidence based on evidence**

Given the evidence and uncertainties, there is **high** confidence that the obstacle of physical isolation will hamper some communities' ability to adapt or have an adequate response during extreme events.

Given the evidence and uncertainties, there is **high** confidence that the obstacle of limited economic diversity will hinder rural communities' ability to adapt.

Given the evidence and uncertainties, there is **high** confidence that the obstacle of higher poverty rates will significantly increase vulnerability of many communities from adapting properly.

Given the evidence and uncertainties, there is **high** confidence that the obstacle of an aging population will hinder some rural communities and prevent them from having an adequate response.

Given the evidence and uncertainties, there is **high** confidence that fundamental systems in rural communities are already stressed by remoteness and limited access.

**KEY MESSAGE #3 TRACEABLE ACCOUNT**

**Responding to additional challenges from climate change impacts will require significant adaptation within rural transportation and infrastructure systems, as well as health and emergency response systems. Governments in rural communities have limited institutional capacity to respond to, plan for, and anticipate climate change impacts.**

**Description of evidence base**

The key message and supporting text summarize extensive evidence documented in the Rural Communities Workshop Report.<sup>57</sup> Thirty one technical input reports on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

Rural communities are not equipped to deal with major infrastructure expenses.<sup>45</sup> Work has been performed illustrating the need to tie adaptation measures to specific local conditions and needs and take into account existing social networks.<sup>47,48</sup> Publications have shown that there are a number of critical factors to be assessed, including the quality and availability of natural resources, legacies of past use of resources, and changing industrial needs that affect economic, environmental, and social conditions.<sup>13,30,49</sup> Additionally, studies have expressed the requirement of accounting for both near- and long-term needs for climate change adaptation to be successful.<sup>50</sup>

**New information and remaining uncertainties**

It is difficult to fully capture the complex interactions of the entire socioeconomic-ecological system within which the effects of climate change will interact, especially in regard to local and regional impacts. Impact assessments and adaptation strategies require improved understanding of capacity and resilience at every level, international to local. The policy context in which individuals and communities will react to climate effects is vague and uncertain. Identification of informational needs alone indicates that adaptation will be expensive.

**Assessment of confidence based on evidence**

Given the evidence and uncertainties, there is **high** confidence that rural communities have limited capacity to respond to im-

Confidence Level	
<b>Very High</b>	Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus
<b>High</b>	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus
<b>Medium</b>	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought
<b>Low</b>	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

pacts, because of their remoteness, age, lack of diversity, and other reasons described in the text.

Given the evidence and uncertainties, there is **high** confidence that rural communities have limited capacity to plan for impacts, as explained in the text.

Given the evidence and uncertainties, there is **high** confidence that rural communities will have limited capacity to anticipate impacts because of the lack of infrastructure and expertise available in rural communities.

Given the evidence and uncertainties, there is **high** confidence that significant climate change adaptation is needed for transportation in rural communities.

Given the evidence and uncertainties, there is **high** confidence that significant climate change adaptation is needed for health care and emergency response in rural communities, so that rural communities can handle climate change impacts.

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## Climate Change Impacts in the United States

# CHAPTER 15 BIOGEOCHEMICAL CYCLES

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### Recommended Citation for Chapter

Galloway, J. N., W. H. Schlesinger, C. M. Clark, N. B. Grimm, R. B. Jackson, B. E. Law, P. E. Thornton, A. R. Townsend, and R. Martin, 2014: Ch. 15: Biogeochemical Cycles. *Climate Change Impacts in the United States: The Third National Climate Assessment*, J. M. Melillo, Terese (T.C.) Richmond, and G. W. Yohe, Eds., U.S. Global Change Research Program, 350-368. doi:10.7930/JOX63JT0.

**On the Web:** <http://nca2014.globalchange.gov/report/sectors/biogeochemical-cycles>



INFORMATION DRAWN FROM THIS CHAPTER IS INCLUDED IN THE HIGHLIGHTS REPORT AND IS IDENTIFIED BY THIS ICON