## U.S. PRODUCERS' QUESTIONNAIRE

## PRODUCT FROM COUNTRY

This questionnaire must be received by the Commission by no later than INSERT DATE

## See page 4 of the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the countervailing duty/antidumping duty order concerning PRODUCT from COUNTRY (Inv. No. 701/731-TA-xxx (Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm
Address $\qquad$
City $\qquad$ State $\qquad$ Zip Code $\qquad$
World Wide Web address $\qquad$
Has your firm produced PRODUCT (as defined in the instruction booklet) at any time since January 1, 2005?NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
(Read the instruction booklet carefully, complete all parts of the questionnaire, and return the entire questionnaire to the Commission so as to be received by the date indicated above)

## CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this review in any other import-injury proceedings or reviews conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this questionnaire response and throughout this review may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this review or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

| Name of Authorized Official | Title of Authorized Official | Date |
| :---: | :---: | :---: |
|  | Phone: ( ) |  |
| Signature |  | E-mail address |
|  | Fax ( ) |  |

## PART I.-GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. OMB statistics.--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.
$\qquad$ hours $\qquad$ dollars

I-1b. OMB feedback.--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. Establishments covered.--Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

I-3. Position regarding continuation of order.--Do you support or oppose continuation of the antidumping and/or countervailing duty order currently in place for PRODUCT from the following countries?

| Country A | $\square$ Support | $\square$ Oppose | $\square$ Take no position |
| :--- | :--- | :--- | :--- |
| Country B | $\square$ Support | $\square$ Oppose | $\square$ Take no position |

## PART I.--GENERAL INFORMATION--Continued

I-4. Ownership.--Is your firm owned, in whole or in part, by any other firm?
$\square$ No $\quad \square$ Yes--List the following information.
Firm name $\quad$ Address Extent of ownership
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
I-5. Related SUBJECT importers/exporters.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing PRODUCT from COUNTRY into the United States or that are engaged in exporting PRODUCT from COUNTRY to the United States?NoYes--List the following information.

Firm name
Address
Affiliation
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

I-6. Related NONSUBJECT importers/exporters.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing PRODUCT from countries other than COUNTRY into the United States or that are engaged in exporting PRODUCT from countries other than COUNTRY to the United States?Yes--List the following information.
Firm name and country
Address
Affiliation
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

## PART I.--GENERAL INFORMATION--Continued

I-7. Related producers.--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of PRODUCT?NoYes--List the following information.
Firm name
$\qquad$
$\qquad$
$\qquad$
I-8. Business plan.--In Parts II and IV of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected market conditions for PRODUCT?NoYes--Please provide the requested documents. If you are not providing the requested documents, please explain why not.
U.S. Producers' Questionnaire - PRODUCT

## PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from INVESTIGATOR (202-xxxxxxx, NAME@usitc.gov). Supply all data requested on a calendar-year basis.

II-1. Please identify the individual to be contacted regarding the confidential information requested in part II.

Name and title:
Please indicate the manner by which Commission staff may contact the individual responsible for part II with questions regarding the submitted confidential information.

E-mail: __ Telephone: ( )
Fax: ( )
II-2. Changes in operations.--Please indicate whether your firm has experienced any of the following changes in relation to the production of PRODUCT since January 1, 2005.
(check as many as appropriate) (please describe)plant openings $\qquad$
$\qquad$
$\square$ plant closings. $\qquad$
$\qquad$
relocations $\qquad$
$\qquad$
expansions $\qquad$
$\qquad$
$\qquad$acquisitions $\qquad$
$\qquad$
$\qquad$consolidations $\qquad$
$\qquad$
$\qquad$prolonged shutdowns or production curtailments $\qquad$
$\qquad$
$\qquad$revised labor agreements $\qquad$
$\qquad$
$\qquad$other (e.g., technology) $\qquad$
$\qquad$
$\qquad$

## PART II.--TRADE AND RELATED INFORMATION--Continued

II-3. Anticipated changes in operations.--Does your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of PRODUCT in the future?
$\square$ No $\quad \square$ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. Include in your response a specific projection of your firm's capacity to produce PRODUCT (in SPECIFY UNITS) for 2011 and 2012.

For question II-4, if your response differs for particular orders, please indicate and explain the particular effect of revocation of specific orders.

II-4. Anticipated changes in operations in the event the order is revoked.--Would your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of PRODUCT in the future if the countervailing duty/antidumping duty order on PRODUCT from COUNTRY were to be revoked?
NoYes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. . Include in your response a specific projection of your firm's capacity to produce PRODUCT (in SPECIFY UNITS) for 2011 and 2012.

## PART II.--TRADE AND RELATED INFORMATION--Continued

II-5. Same equipment, machinery, and workers.--Has your firm since 2005 produced, or does your firm anticipate producing in the future, other products on the same equipment and machinery used in the production of PRODUCT and/or using the same production and related workers employed to produce PRODUCT?

| $\square$ No $\quad \square$ Yes--Lis $\begin{array}{r}\text { pr } \\ \text { in } \\ \\ \end{array}$ | $\square$ Yes--List the following information and report your firm's combined production capacity and production of these products and PRODUCT in the periods indicated. |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Product | Period | Basis for allocation of capacity and employment data (indicate if different) |  |  |  |  |
|  |  |  |  |  |  |  |
| (Quantity in SPECIFY UNITS) |  |  |  |  |  |  |
| Item | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 |
| Overall Production Capacity |  |  |  |  |  |  |
| Production of: Subject merchandise |  |  |  |  |  |  |
| Other product 1 |  |  |  |  |  |  |
| Other product 2 |  |  |  |  |  |  |

II-6. Constraints on production.--Please describe the constraint(s) that set the limit(s) on your production capacity.

II-7. Production shifting.--Is your firm able to switch production between PRODUCT and other products in response to a relative change in the price of PRODUCT vis-a-vis the price of other products, using the same equipment and/or labor?Yes--Please identify the other products, the approximate time and cost involved in switching, and the minimum relative price change required for your firm to switch production to or from PRODUCT.

## PART II.--TRADE AND RELATED INFORMATION--Continued

II-8. Trade data.--Report your firm's production capacity, production, shipments, inventories, and employment related to the production of PRODUCT in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

| Quantity (in SPECIFY) and value (in \$1,000) |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Calendar year |  |  |  |  |  | January-March |  |
| Item | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2010 | 2011 |
| Average production capacity ${ }^{1}$ (quantity) (A) |  |  |  |  |  |  |  |  |
| Beginning-of-period inventories (quantity) (B) |  |  |  |  |  |  |  |  |
| Production (quantity) (C) |  |  |  |  |  |  |  |  |
| U.S. shipments: <br> Commercial shipments: quantity (D) |  |  |  |  |  |  |  |  |
| value (E) |  |  |  |  |  |  |  |  |
| Internal consumption: ${ }^{2}$ quantity (F) |  |  |  |  |  |  |  |  |
| value (G) |  |  |  |  |  |  |  |  |
| Transfers to related firms: ${ }^{2}$ quantity (H) |  |  |  |  |  |  |  |  |
| value (I) |  |  |  |  |  |  |  |  |
| Export shipments: ${ }^{3}$ quantity (J) |  |  |  |  |  |  |  |  |
| value (K) |  |  |  |  |  |  |  |  |
| End-of-period inventories (quantity) (L) |  |  |  |  |  |  |  |  |
| Channels of distribution: U.S. shipments to distributors (quantity) (M) |  |  |  |  |  |  |  |  |
| U.S. shipments to end users (quantity) (N) |  |  |  |  |  |  |  |  |
| Employment data: Average number of PRWs (number) (O) |  |  |  |  |  |  |  |  |
| Hours worked by PRWs (1,000 hours) (P) |  |  |  |  |  |  |  |  |
| Wages paid to PRWs (value) (Q) |  |  |  |  |  |  |  |  |
| $\qquad$ ${ }^{1}$ The production capacity (see __ weeks per year. Please desc reported capacity (use additional p | nition the as | struc <br> dolog <br> ary). | oklet to c | ted is <br> pro | $\begin{aligned} & \text { d on } \\ & \text { n cap } \end{aligned}$ | $\begin{aligned} & \text { ing } \\ & \text { and } \end{aligned}$ | urs any | eek, ges in |

[^0]
## PART II.--TRADE AND RELATED INFORMATION--Continued

## II-9. Reconciliation of trade data.--

(a) Please note that the quantities reported in question II-10 should reconcile as follows in each period (i.e., in each column):

Reconciliation

| $\mathrm{B}+\mathrm{C}-\mathrm{D}-\mathrm{F}-\mathrm{H}-\mathrm{J}=\mathrm{L}$ | Do these data reconcile? $\square$ Yes $\quad \square$ No--Please <br> explain <br> $\mathrm{D}+\mathrm{F}+\mathrm{H}=\mathrm{M}+\mathrm{N}$ | Do these data reconcile? $\square$ Yes <br> explain |
| :--- | :--- | :--- |

(b) Please note that the quantities reported for end-of-period inventories should equal the beginning-of-period inventories reported in the subsequent calendar year (i.e., line L of year 2005 should equal line B of year 2006). Do these data reconcile for each adjacent calendar year?Yes.
$\square$ No--Please explain.

II-10. Transfers to related firms.--If you reported transfers to related firms in question II-8, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

## PART II.--TRADE AND RELATED INFORMATION--Continued

II-11. Purchases.--Other than direct imports, has your firm otherwise purchased PRODUCT since January 1, 2005? (See definitions in the instruction booklet.)NoYes-- Please indicate the reasons for your purchases (if your reasons differ by source, please elaborate) and report the quantity and value of such purchases below for the specified periods

Reasons: $\qquad$

| (Quantity in SPECIFY, value in \$1,000) |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 |
| PURCHASES FROM U.S. IMPORTERS ${ }^{1}$ OF PRODUCT FROM.- <br> COUNTRY A: <br> quantity |  |  |  |  |  |  |
| value |  |  |  |  |  |  |
| COUNTRY B: quantity |  |  |  |  |  |  |
| value |  |  |  |  |  |  |
| All other countries: quantity |  |  |  |  |  |  |
| value |  |  |  |  |  |  |
| PURCHASES FROM DOMESTIC PRODUCERS: ${ }^{2}$ quantity |  |  |  |  |  |  |
| value |  |  |  |  |  |  |
| PURCHASES FROM OTHER SOURCES: quantity |  |  |  |  |  |  |
| Value |  |  |  |  |  |  |

${ }^{1}$ Please list the name of the importer(s) from which you purchased this product. If your suppliers differ by source, please identify the source for each listed supplier.
${ }^{2}$ Please list the name of the domestic producer(s) from which you purchased this product.

II-12. Toll production.--Since January 1, 2005, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the production of PRODUCT?Yes--Name firm(s): $\qquad$ .

II-13. FTZ.--Does your firm produce PRODUCT in a foreign trade zone (FTZ)?NoYes--Identify FTZ(s): $\qquad$ .

## PART II.--TRADE AND RELATED INFORMATION--Continued

II-14. Direct imports.--Since January 1, 2005, has your firm imported PRODUCT?
$\square$ No $\square$ Yes--COMPLETE AND RETURN A U.S. IMPORTERS'
For questions II-15 and II-16, if your response differs for particular orders, please indicate and explain the particular effect of imposition and/or revocation of specific orders.

II-15. Effect of order.--Describe the significance of the existing countervailing duty/antidumping duty order covering imports of PRODUCT from COUNTRY in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the order.

II-16. Likely effect of revocation of order.--Would your firm anticipate any changes in its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of PRODUCT in the future if the countervailing duty/antidumping duty order on PRODUCT from COUNTRY were to be revoked?
$\square$ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections you may provide.

## PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to AUDITOR (202-xxx-xxxx, NAME@usitc.gov).
III-1. Please identify the individual to be contacted regarding the confidential information requested in part III?

Name and title:
Please indicate the manner by which Commission staff may contact the individual responsible for part III with questions regarding the submitted confidential information.

E-mail: ___ Telephone: ( )
Fax: ( )
III-2. Accounting system.--Briefly describe your financial accounting system.
A. When does your fiscal year end (month and day)?

If your fiscal year changed during the period examined, explain below:
B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include subject merchandise:
2. Does your firm prepare profit/loss statements for the subject merchandise:
$\square$ Yes
3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.

Audited, $\square$ unaudited, $\square$ annual reports, $\square 10 \mathrm{Ks}, \quad \square 10$ Qs, $\square$ Monthly, $\square$ quarterly, $\square$ semi-annually, $\square$ annually
4. Accounting basis: $\square$ GAAP, $\square$ cash, $\square$ tax, or $\square$ other comprehensive (specify)

Note: The Commission may request that your company submit copies of its financial statements, including internal profit-and-loss statements for the division or product group that includes
PRODUCT, as well as those statements and worksheets used to compile data for your firm's questionnaire response.

III-3. Cost accounting system.--Briefly describe your cost accounting system (e.g., standard cost, job order cost, etc.).

III-4. Allocation basis.-Briefly describe your allocation basis, if any, for COGS, SG\&A, and interest expense and other income and expenses.

## PART III.--FINANCIAL INFORMATION--Continued

III-5. Other products.--Please list any other products you produced in the facilities in which you produced PRODUCT, and provide the share of net sales accounted for by these other products in your most recent fiscal year:

Products Share of sales
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

III-6. Does your firm receive inputs (raw materials, labor, energy, or any other services) used in the production of PRODUCT from any related firms?
$\square$ Yes—Continue to question III-7 below. $\square$ No--Continue to question III-9 below.
III-7. Inputs from related firms.--In the space provided below, identify the inputs used in the production of PRODUCT that your firm receives from related parties.

Input $\quad$ Related party
$\qquad$
$\qquad$
$\qquad$
$\qquad$
III-8. Inputs from related firms at cost.--All intercompany profit on inputs purchased from related parties should be eliminated from the costs reported to the Commission in question III-10 (i.e., costs reported in question III-10 should only reflect the related party's cost and not include an associated profit component). Reasonable methods for determining and eliminating the associated profit on inputs purchased from related parties are acceptable.

Has your firm complied with the Commission's instructions regarding costs associated with inputs purchased from related parties?

## PART III.--FINANCIAL INFORMATION--Continued

III-9. Nonrecurring charges.--For each annual and interim period for which financial results are reported in question III-10, please indicate in the schedule below the specific nonrecurring charges, the particular expense/cost line items from question III-10 where the associated charges are included, a brief description of the charges, and the associated values (in $\$ 1,000$ ). Nonrecurring charges would include, but are not limited to, items such as asset write-offs and accelerated depreciation due to restructuring of the company's PRODUCT operations.


## PART III.--FINANCIAL INFORMATION--Continued

III-10. Operations on PRODUCT.--Report the revenue and related cost information requested below on the PRODUCT operations of your U.S. establishment(s). ${ }^{1}$ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost. ${ }^{2}$ Provide data for your three most recently completed fiscal years in chronological order from left to right. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact AUDITOR at (202) XXXXXXX before completing this section of the questionnaire.

| Quantity (in SPECIFY) and value (in \$1,000) |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | - | - | - | - | $\square$ | - |
| Net sales quantities: ${ }^{3}$ Commercial sales ("CS") |  |  |  |  |  |  |
| Internal consumption ("IC") |  |  |  |  |  |  |
| Transfers to related firms ("Transfers") |  |  |  |  |  |  |
| Total net sales quantities |  |  |  |  |  |  |
| Net sales values: ${ }^{3}$ Commercial sales |  |  |  |  |  |  |
| Internal consumption |  |  |  |  |  |  |
| Transfers to related firms |  |  |  |  |  |  |
| Total net sales values |  |  |  |  |  |  |
| Cost of goods sold (COGS): ${ }^{4}$ Raw materials |  |  |  |  |  |  |
| Direct labor |  |  |  |  |  |  |
| Other factory costs |  |  |  |  |  |  |
| Total COGS |  |  |  |  |  |  |
| Gross profit or (loss) |  |  |  |  |  |  |
| Selling, general, and administrative (SG\&A) expenses: <br> Selling expenses |  |  |  |  |  |  |
| General and administrative expenses |  |  |  |  |  |  |
| Total SG\&A expenses |  |  |  |  |  |  |
| Operating income (loss) |  |  |  |  |  |  |
| Other income and expenses: Interest expense |  |  |  |  |  |  |
| All other expense items |  |  |  |  |  |  |
| Continued Dumping and Subsidy Offset Act funds received ${ }^{5}$ |  |  |  |  |  |  |
| All other income items |  |  |  |  |  |  |
| All other income or expenses, net |  |  |  |  |  |  |
| Net income or (loss) before income taxes |  |  |  |  |  |  |
| Depreciation/amortization included above |  |  |  |  |  |  |
| ${ }_{2}^{1}$ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations. <br> ${ }_{3}^{2}$ Please eliminate any profits or (losses) on inputs from related firms pursuant question III-8. <br> ${ }^{3}$ Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. <br> ${ }^{4}$ COGS should include costs associated with CS, IC, and Transfers, as well as export shipments in question II-8. <br> ${ }^{5}$ Please report funds received under this act in the period(s) in which they were received. Do not report these funds as an offset to operating expenses. |  |  |  |  |  |  |

## Business Proprietary

U.S. Producers' Questionnaire - PRODUCT

## PART III.--FINANCIAL INFORMATION--Continued

## III-10. Operations on PRODUCT.--Continued

| Quantity (in SPECIFY) and value (in \$1,000) |  |  |
| :---: | :---: | :---: |
| Item | January-March 2010 | January-March 2011 |
| Net sales quantities: ${ }^{3}$ Commercial sales |  |  |
| Internal consumption |  |  |
| Transfers to related firms |  |  |
| Total net sales quantities |  |  |
| Net sales values: ${ }^{3}$ Commercial sales |  |  |
| Internal consumption |  |  |
| Transfers to related firms |  |  |
| Total net sales values |  |  |
| Cost of goods sold (COGS): Raw materials |  |  |
| Direct labor |  |  |
| Other factory costs |  |  |
| Total COGS |  |  |
| Gross profit or (loss) |  |  |
| Selling, general, and administrative (SG\&A) expenses: <br> Selling expenses |  |  |
| General and administrative expenses |  |  |
| Total SG\&A expenses |  |  |
| Operating income (loss) |  |  |
| Other income and expenses: Interest expense |  |  |
| All other expense items |  |  |
| Continued Dumping and Subsidy Offset Act funds received ${ }^{5}$ |  |  |
| All other income items |  |  |
| All other income or expenses, net |  |  |
| Net income or (loss) before income taxes |  |  |
| Depreciation/amortization included above |  |  |
| ${ }^{1}$ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations. <br> ${ }_{3}^{2}$ Please eliminate any profits or (losses) on inputs from related firms pursuant question III-8. <br> ${ }^{3}$ Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. <br> ${ }^{4}$ COGS should include costs associated with CS, IC, and Transfers, as well as export shipments in question II-8. <br> ${ }^{5}$ Please report funds received under this act in the period(s) in which they were received. Do not report these funds as an offset to operating expenses. |  |  |

## PART III.--FINANCIAL INFORMATION--Continued

III-11. Asset values.--Report the total assets associated with the production, warehousing, and sale of PRODUCT. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for PRODUCT in the normal course of business, please estimate this information based upon some rational method (such as production, sales, or costs) that is consistent with your cost allocations in the previous question. Provide data as of the end of your three most recently completed fiscal years in chronological order from left to right.

Note: Total assets should be net assets after any accumulated depreciation and allowances deducted. Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

| Item | Value (in \$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Fiscal years ended-- |  |  |  |  |  |
|  | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 |
| Total assets (net) |  |  |  |  |  |  |

III-12. Capital expenditures and research and development expenses.--Report your firm's capital expenditures and research and development expenses on PRODUCT. Provide data for your six most recently completed fiscal years in chronological order from left to right, and for the specified interim periods.

|  | Value (in \$1,000) |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar year |  |  |  |  |  | January-March |  |
|  | $\underline{\square}$ | - | $\square$ | - | - | - | 2010 | 2011 |
| Capital expenditures |  |  |  |  |  |  |  |  |
| Research and development expenses |  |  |  |  |  |  |  |  |

III-13. Data consistency and reconciliation.--
Please indicate whether your financial data for questions III-10, 11, and 12 are based on a calendar year or your fiscal year: Calendar year ( $\quad$ ) or Fiscal year ( $\quad$ )

Please note the quantities and values reported in question III-10 should reconcile with the data reported in question II-8 (including export shipments) as long as they are reported on the same calendar year basis.

Do these data in question III-10 reconcile with data in question II-8?
U.S. Producers' Questionnaire - PRODUCT

## PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from ECONOMIST (202-xxxxxxx, NAME@usitc.gov)

IV-1. Please identify the individual to be contacted regarding the confidential information requested in part IV?

Name and title:
Please indicate the manner by which Commission staff may contact the individual responsible for part IV with questions regarding the submitted confidential information.

E-mail: __ Telephone: ( )
Fax: ( )

## PRICE DATA

IV-2. This question requests quarterly quantity and value data, f.o.b. your U.S. point of shipment, for your commercial shipments to unrelated U.S. customers since 2005 of the following products produced by your firm.

## Product 1.--DEFINE

## Product 2.--DEFINE

Product 3.--DEFINE

## Product 4.--DEFINE

Please note that total dollar values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Total dollar values should reflect the final net amount paid to you (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-2. Pricing data.--Report below the quarterly price data ${ }^{1}$ for pricing products ${ }^{2}$ produced and sold by your firm.

| (Quantity in pounds, value in dollars) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Period of shipment | Product 1 |  | Product 2 |  |
|  | Quantity | Value | Quantity | Value |
| 2005: |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2007: <br> January-March |  |  |  |  |
|  |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2008: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2009: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2010: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2011: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| ${ }^{1}$ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment. <br> ${ }^{2}$ Pricing product definitions are provided on the first page of Part IV. |  |  |  |  |
| Note.--If your product does not exactly meet the product specifications but is competitive with the specified product provide a description of your product: |  |  |  |  |
| Product 1: |  |  |  |  |
| Product 2: |  |  |  |  |

PART IV.--PRICING AND MARKET FACTORS--Continued

## IV-2. Pricing data.-Continued

| (Quantity in pounds, value in dollars) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Period of shipment | Product 3 |  | Product 4 |  |
|  | Quantity | Value | Quantity | Value |
| 2005: January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2006: January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2007: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2008: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2009: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2010: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| 2011: <br> January-March |  |  |  |  |
| April-June |  |  |  |  |
| July-September |  |  |  |  |
| October-December |  |  |  |  |
| ${ }^{1}$ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment. <br> ${ }^{2}$ Pricing product definitions are provided on the first page of Part IV. |  |  |  |  |
| Note.--If your product does not exactly meet the product specifications but is competitive with the specified produc provide a description of your product: |  |  |  |  |
| Product 3: |  |  |  |  |
| Product 4: |  |  |  |  |

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-3. Price setting.-- How does your firm determine the prices that it charges for sales of PRODUCT (check all that apply)? If your firm issues price lists, please include a copy of a recent price list with your submission. If your price list is large, please only submit some sample pages.Transaction by transaction
Contracts
Set price listsReverse internet auction salesOther--Please describe: $\qquad$
$\qquad$
$\qquad$

IV-4. Discount policy.-- Please indicate and describe your firm's discount policies (check all that apply).
$\square$ Quantity discounts $\quad \square$ Annual total volume discounts $\square$ No discounts
$\square$ Other--Please describe: $\qquad$
$\qquad$
$\qquad$

## IV-5. Pricing terms for PRODUCT.--

(a) What are your firm's typical sales terms for its U.S.-produced PRODUCT (e.g., $2 / 10$ net 30 days)?
(b) On what basis are your prices of domestic PRODUCT usually quoted? (check one) $\square$ F.o.b.--Please specify point: $\qquad$ $\square$ Delivered

IV-6. Contract versus spot.--Approximately what share of your firm's sales of its U.S.-produced PRODUCT in 2010 were on a (1) long-term contract basis (multiple deliveries for more than 12 months), (2) short-term contract basis (multiple deliveries up to and including 12 months), and (3) spot sales basis (for a single delivery)?

| Type of sale | Share of sales (percent) |
| :--- | :--- |
| Long-term contracts |  |
| Short-term contracts |  |
| Spot sales |  |

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-7. Long-term contract provisions.--If you sell on a long-term contract basis, please answer the following questions with respect to provisions of a typical long-term contract.
(a) What is the average duration of a contract? $\qquad$
(b) Can prices be renegotiated during the contract period?Yes $\square$ No
(c) Does the contract fix quantity, price, or both?QuantityPrice $\square$ Both
(d) Does the contract have a meet or release provision?Yes $\square$ No

IV-8. Short-term contract provisions.--If you sell on a short-term contract basis, please answer the following questions with respect to provisions of a typical short-term contract.
(a) What is the average duration of a contract? $\qquad$
(b) Can prices be renegotiated during the contract period? $\square$ Yes No
(c) Does the contract fix quantity, price, or both? $\square$ Quantity $\quad \square$ Price $\square$ Both
(d) Does the contract have a meet or release provision?Yes No

IV-9. Lead times.--What is the average lead time between a customer's order and the date of delivery for your firm's sales of your U.S.-produced PRODUCT?

Source $\quad$ Share of sales in $2010 \quad$ Lead time
From inventory
Produced to order
Total

100 \%
$\qquad$

## IV-10. Shipping information.--

(a) What is the approximate percentage of the total delivered cost of PRODUCT that is accounted for by U.S. inland transportation costs? $\qquad$ percent.
(b) Who generally arranges the transportation to your customers' locations? (check one)your firm orpurchaser
(c) What proportion of your sales are delivered within 100 miles of your production facility? ___ percent. Within 101 to 1,000 miles? $\qquad$ percent. Over 1,000 miles? $\qquad$ percent.

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-11. Geographical shipments.-- What is the geographic market area in the United States served by your firm's PRODUCT? (check all that apply)

| Geographic area | if applicable |
| :--- | ---: |
| Northeast.-CT, ME, MA, NH, NJ, NY, PA, RI, and VT. | $\square$ |
| Midwest.-IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. | $\square$ |
| Southeast.-AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV. | $\square$ |
| Central Southwest.-AR, LA, OK, and TX. | $\square$ |
| Mountains.-AZ, CO, ID, MT, NV, NM, UT, and WY. | $\square$ |
| Pacific Coast.-CA, OR, and WA. | $\square$ |
| Other.-All other markets in the United States not previously listed, including AK, HI, <br> PR, VI, among others. | $\square$ |

IV-12. End uses.--List the end uses of the PRODUCT that you manufacture. For each end-use product, what percentage of the total cost is accounted for by PRODUCT and other inputs?

| End use product | Share of total cost of end use product <br> accounted for by |  |  |
| :--- | :--- | :--- | :--- |
|  | PRODUCT (percent) | Other inputs (percent) |  |
|  |  |  |  |
| 2. |  |  | $100 \%$ |
| 3. |  |  | $100 \%$ |

IV-13. Changes in end uses.--Have there been any changes in the end uses of PRODUCT since 2005?$\square$ Yes--Please describe.
$\qquad$
$\qquad$
IV-14. Anticipated changes in end uses.--Do you anticipate any changes in terms of the end uses of PRODUCT in the future?

NoYes--Please describe and identify the time period
U.S. Producers’ Questionnaire - PRODUCT

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-15. Substitutes.-Are there any nonsubject products that may be substituted for PRODUCT?No $\quad \square$ Yes—Please fill in the following table.

| Substitute product | Description of applications and end uses in which this substitute can be used | Have changes in the prices of this substitute affected the price of PRODUCT since January 1, 2005 |
| :---: | :---: | :---: |
| 1. |  | $\square$ No $\quad \square$ Yes Please explain-- |
| 2. |  | $\begin{array}{\|l} \square \text { No } \quad \square \text { Yes } \\ \text { Please explain---_ } \end{array}$ |
| 3. |  | $\begin{array}{\|l} \square \text { No } \quad \square \text { Yes } \\ \text { Please explain---_ } \end{array}$ |
| 4. |  | $\square$ No $\quad \square$ Yes Please explain-- |

IV-16. Changes in substitutes.--Have there been any changes in the number or types of products that can be substituted for PRODUCT since 2005?Yes--Please explain.

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-17. Anticipated changes in substitutes.--Do you anticipate any changes in terms of the substitutability of other products for PRODUCT in the future?No $\square$ Yes--Please describe.
$\qquad$
$\qquad$
$\qquad$
IV-18. Raw materials.-
(a) To what extent have changes in the prices of raw materials affected your firm's selling prices for PRODUCT since 2005?
(b) Do you anticipated changes in your raw material costs in the foreseeable future?
$\square$ No $\quad \square$ Yes—Please explain.

IV-19. Changes in factors affecting supply.--Have any changes occurred in any other factors affecting supply (e.g., changes in availability or prices of energy or labor; transportation conditions; production capacity and/or methods of production; technology; export markets; or alternative production opportunities) that affected the availability of U.S.-produced PRODUCT in the U.S. market since 2005?NoYes--Please note the time period(s) of any such changes, the factors(s) involved, and the impact such changes had on your shipment volumes and prices.

## PART IV.--PRICING AND MARKET FACTORS--Continued

## IV-20. Availability of supply (U.S.-produced).--

(a) Do you anticipate any changes in terms of the availability of U.S.-produced PRODUCT in the U.S. market in the future?Increase
$\square$ No change $\square$ Decrease
(b) If you anticipate changes in supply, please explain.

IV-21. Availability of supply (nonsubject).--Has the availability of NONSUBJECT PRODUCT (i.e., PRODUCT imported from countries other than COUNTRY) changed since 2005?NoYes--Please explain.
$\qquad$
$\qquad$
$\qquad$
IV-22. Export constraints.--Describe how easily your firm can shift its sales of PRODUCT between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints that would prevent or retard your firm from shifting PRODUCT between the U.S. and alternative country markets within a 12 -month period.
$\qquad$
$\qquad$
$\qquad$
IV-23. Product changes.--Have there been any significant changes in the product range, product mix, or marketing of PRODUCT since 2005?Yes--Please describe and quantify if possible.

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-24. Anticipated product changes.--Do you anticipate any changes in terms of the product range, product mix, or marketing of PRODUCT in the future?No
Yes--Please identify, including the time period.

IV-25. Demand trends.--
(a) How has the demand within the United States for PRODUCT changed since January 1, 2005? What principal factors affect changes in demand?
$\qquad$
$\qquad$
(b) How has the demand outside the United States (if known) for PRODUCT changed since January 1, 2005? What principal factors affect changes in demand?
$\square$ IncreasedNo Change
Decreased
Fluctuated

## PART IV.--PRICING AND MARKET FACTORS--Continued

## IV-26. Anticipated demand trends.-

(a) How do you anticipate demand will change within the United States for PRODUCT in the future? What principal factors will affect these changes in demand?IncreaseNo Change
$\square$ Decrease
$\square$ Fluctuate
$\qquad$
$\qquad$
$\qquad$
(b) How do you anticipate demand will change outside the United States for PRODUCT to in the future? What principal factors will affect these changes in demand?Increase
No ChangeDecrease
$\square$ Fluctuate
$\qquad$
$\qquad$
$\qquad$

## IV-27. Conditions of competition.--

(a) Is the PRODUCT market subject to business cycles or conditions of competition other than the changes in the overall economy?NoYes--Please explain and estimate the duration of any such cycle.
$\qquad$
$\qquad$
(b) Have the business cycles or conditions of competition for PRODUCT changed since 2005?
NoYes--Please explain any such changes.

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-28. Price comparisons.--Please compare market prices of PRODUCT in U.S. and non-U.S. markets, if known. Provide specific information as to time periods and regions for any price comparisons.
$\qquad$
$\qquad$
$\qquad$

IV-29. Market studies.--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss PRODUCT supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including COUNTRY, and (3) the world as a whole. Of particular interest is such data from 2005 to the present and forecasts for the future.

IV-30. Barriers to trade.--Are your exports of PRODUCT subject to any tariff or non-tariff barriers to trade in other countries?NoYes--Please list the countries and describe any such barriers and any significant changes in such barriers that have occurred since 2005, or that are expected to occur in the future.

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-31. Interchangeability.--Is PRODUCT produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)? Please indicate below, using "A" to indicate that the products from a specified country-pair are always interchangeable, " F " to indicate that the products are frequently interchangeable, " S " to indicate that the products are sometimes interchangeable, " N " to indicate that the products are never interchangeable, and " 0 " to indicate no familiarity with products from a specified country-pair. ${ }^{1}$

| Country-pair | COUNTRY 1 | COUNTRY 2 | COUNTRY 3 | Other countries |
| :--- | :--- | :--- | :--- | :--- |
| United States |  |  |  |  |
| COUNTRY 1 |  |  |  |  |
| COUNTRY 2 |  |  |  |  |
| COUNTRY 3 |  |  |  |  |
| 1 For any country-pair producing PRODUCT that is sometimes or never interchangeable, please <br> explain the factors that limit or preclude interchangeable use: |  |  |  |  |

## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-32. Factors other than price.--Are differences other than price (i.e., quality, availability, transportation network, product range, technical support, etc.) between PRODUCT produced in the United States and in other countries a significant factor in your firm's sales of the products? Please indicate below, using "A" to indicate that such differences are always significant, " F " to indicate that such differences are frequently significant, " S " to indicate that such differences are sometimes significant, " N " to indicate that such differences are never significant, and " 0 " to indicate no familiarity with products from a specified country-pair. ${ }^{1}$

| Country-pair | COUNTRY 1 | COUNTRY 2 | COUNTRY 3 | Other countries |
| :--- | :--- | :--- | :--- | :--- |
| United States |  |  |  |  |
| COUNTRY 1 |  |  |  |  |
| COUNTRY 2 |  |  |  |  |
| COUNTRY 3 |  |  |  |  |
| 1 For any country-pair for which factors other than price a/ways or frequently are a significant factor in <br> your firm's sales of PRODUCT, identify the country-pair and report the advantages or disadvantages <br> imparted by such factors: |  |  |  |  |


| $\square$ |
| :--- |
| - |
| $\square$ |
| $\square$ |


[^0]:    ${ }^{2}$ Internal consumption and transfers to related firms should be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above:
    ${ }^{3}$ Identify your principal export markets:

