

SNPP VIIRS Green Vegetation Fraction Validated Maturity Review

Presenter: Marco Vargas¹

Collaborators: Zhangyan Jiang²,Mingshi Chen²

¹NOAA Center for Satellite Applications and Research, College Park, MD, 2NOAA/STAR/IMSG, College Park, MD

- Team members
- Algorithm/product description
- Product requirements
- JPSS data products maturity definition
- Evaluation of algorithm performance to specification requirements
- Identification of processing environment
- Users & user feedback
- Documentations (science maturity check list)
- **Conclusion**
- Path forward
- References
- **Appendix**

JPSS GVF Team Members

SNPP VIIRS Green Vegetation Fraction (GVF) Algorithm

- VIIRS GVF algorithm is a modified version of Gutman and Ignatov's (1998) GVF algorithm
- VIIRS GVF algorithm uses VIIRS I1, I2 and M3 surface reflectance bands as input
- VIIRS GVF is derived form EVI

The Enhanced Vegetation Index (EVI)

$$
EVI = G \frac{\rho_{\text{NIR}} - \rho_{\text{red}}}{\rho_{\text{NIR}} + C_1 \cdot \rho_{\text{red}} - C_2 \cdot \rho_{\text{blue}} + 1}
$$

The Green Vegetation Fraction

$$
GVF = \frac{EVI - EVI_0}{EVI_{\infty} - EVI_0}
$$

NDE SNPP VIIRS GVF Output

- *1. Weekly Global GVF 4-km resolution*
- *2. Weekly Regional GVF 1-km resolution (Lat 7.5°S to 90°N, Lon 130°E to 30°E)*
- Weekly (updated daily) GVF products
- Projection: Lat/Lon
- Output file format: NetCDF4
- VIIRS GVF available at NOAA/CLASS

- GVF is an important parameter for the Noah land-surface model (LSM), which is coupled with the NOAA weather and climate models that are run at NCEP
- VIIRS GVF provides a better characterization of the surface in the Noah LSM compared to the current AVHRR GVF climatology. All operational NCEP models would benefit, e.g. better forecasts of near-surface winds, temperature, and humidity forecasts
- STAR Land Team members (Vargas/Csiszar) are collaborating with NCEP EMC to demonstrate that using the new VIIRS GVF instead of the operationally used AVHRR GVF climatology in NCEP NWP models will improve the performance of NOAA's operational environmental prediction suite

NDE SNPP VIIRS GVF Operational Product

NDE SNPP VIIRS GVF Operational Product

Suomi NPP VIIRS Weekly Green Vegetation Fraction Aug 9 - Aug 15, 2016

Regional coverage Lat 7.5°S to 90°N, Lon 130°E to 30°E

Context Layer of the Software Architecture

The NDE SNPP VIIRS GVF production system consists of 7 software units

- 1. Tile-Granule Mapper (TGM)
- 2. Surface reflectance gridder (GRD)
- 3. Surface reflectance compositor (SRC)
- 4. Calculate EVI (CVI)
- 5. Smooth EVI (SVI)
- 6. GVF calculator (GCL)
- 7. GVF aggregator (GAG)

VIIRS GVF Product Timeline

GVF Requirements Summary (L1RD-S)

Source: Level 1 Requirements Supplement – Final Version: 2.10 June 25, 2014

JPSS ESPC Requirements Document (JERD) Volume 2 Science Requirements

Requirements from JPSS ESPC Requirements Document (JERD) Volume 2 - Science Requirements

Source: ESPC JERD Volume 2: Science Requirements – Version: 2.0 Mar 31, 2016

• VIIRS GVF product performance requirements from JPSS L1RD supplement (threshold) versus observed/validated

Global APU Estimates

JPSS Data Products Maturity Definition

JPSS/GOES-R Data Product Validation Maturity Stages – COMMON DEFINITIONS (Nominal Mission)

1. Beta

- o Product is minimally validated, and may still contain significant identified and unidentified errors.
- o Information/data from validation efforts can be used to make initial qualitative or very limited quantitative assessments regarding product fitness-for-purpose.
- o Documentation of product performance and identified product performance anomalies, including recommended remediation strategies, exists.

2. Provisional

- o Product performance has been demonstrated through analysis of a large, but still limited (i.e., not necessarily globally or seasonally representative) number of independent measurements obtained from selected locations, time periods, or field campaign efforts.
- o Product analyses are sufficient for qualitative, and limited quantitative, determination of product fitness-for-purpose.
- o Documentation of product performance, testing involving product fixes, identified product performance anomalies, including recommended remediation strategies, exists.
- o Product is recommended for potential operational use (user decision) and in scientific publications after consulting product status documents.

3. Validated

- o Product performance has been demonstrated over a large and wide range of representative conditions (i.e., global, seasonal).
- o Comprehensive documentation of product performance exists that includes all known product anomalies and their recommended remediation strategies for a full range of retrieval conditions and severity level.
- o Product analyses are sufficient for full qualitative and quantitative determination of product fitness-for-purpose.
- o Product is ready for operational use based on documented validation findings and user feedback.
- o Product validation, quality assurance, and algorithm stewardship continue through the lifetime of the instrument.

- Test datasets: Landsat, FLUXNET/AmeriFlux, PhenoCam, Google Earth satellite images and AVHRR
- Cal/Val activities for evaluating algorithm performance:
	- 1. VIIRS GVF vs. Landsat derived GVF for APU calculation
	- 2. Temporal profile intercomparison over PhenoCam and FLUXNET/AmeriFlux sites
	- 3. VIIRS GVF vs. Google Earth derived GVF
	- 4. Temporal profile intercomparison with operational AVHRR GVF and AVHRR GVF climatology (used by NCEP/EMC in their land models)

Product Performance Verification VIIRS vs. Landsat GVF

Data and Methods

- Reference GVF data was derived from 350 Landsat 7 ETM+ images distributed globally over 30 EOS validation core sites (different seasons)
- Landsat 7 ETM+ surface reflectance data downloaded from <http://earthexplorer.usgs.gov/>
- Time period: 9/1/2012 9/1/2016
- Decision-tree classification method used to classify the Landsat images
- Landsat classified images reprojected to the VIIRS GVF projection and GVF calculated
- Landsat derived GVF provides higher resolution vegetation information compared to the VIIRS GVF products
- Generated comparative statistics (Accuracy, Precision, Uncertainty)
- Time series intercomparison VIIRS vs. Landsat GVF

GVF Validation Sites

The EOS Land Validation Core Sites are intended as a focus for land product validation over a range of biome types (http://landval.gsfc.nasa.gov/coresite_gen.html)

Global APU Estimates

VIIRS vs. Landsat GVF Cross-plots

GVF Time Series Inter-Comparison VIIRS vs. Landsat 7/ETM+ Site: BARC, MD, USA (39.03°, -76.85°) Surface type: broadleaf cropland

GVF Time Series Inter-Comparison VIIRS vs. Landsat 7/ETM+ Site: Howland, ME, USA (45.2°, -68.73°) Surface type: needleleaf forest

GVF Time Series Inter-Comparison VIIRS vs. Landsat 7/ETM+ Site: ARM/CART, OK, USA (36.64°, -97.5°) Surface type: Grass/Cereal Crop

GVF Time Series Inter-Comparison VIIRS vs. Landsat 7/ETM+ Site: Jornada, NM, USA (32.6°, -106.86°) Surface type: shrubland

- VIIRS GVF calculated APU performance parameters meet the L1RDS requirements over time and across seasons
- APU performance parameters were calculated from global data using Landsat derived GVF as reference
- VIIRS GVF temporal profiles visually compare well with the Landsat derived GVF counterparts

- The **[PhenoCam Network](http://phenocam.unh.edu/)** provides automated, nearsurface remote sensing of canopy phenology across north America and Europe
- PhenoCam Images are uploaded to the PhenoCam server every half hour
- Canopy greenness indices provide information about the amount of foliage present, and its color
- Canopy phenology can be monitored and quantified
- PhenoCam images can be downloaded from: <https://phenocam.sr.unh.edu/webcam/network/download/>
- Daily images were acquired from different PhenoCam sites at noon for this analysis

PhenoCam Sites

<https://phenocam.sr.unh.edu/webcam/network/map/>

PhenoCam image at Konza - 4/23/2015

Surface Type: Grassland

PhenoCam image at Konza - 5/1/2015

- Green Chromatic Coordinate $GCC = G/(R+G+B)^*$
	- ─ R: digital number of the red channel
	- ─ G: digital number of the green channel
	- ─ B: digital number of the blue channel
- GCC measures the relative (or normalized) brightness of the green channel
	- $-GCC = 0.33$ for white or grey pixels
	- $-GCC = 0.4 0.5$ for green pixels (green is the dominant channel)

^{*} Klosterman et al., Evaluation of remote sensing of deciduous forest phenology at multiple spatial scales using Phenocam images. Biogeosciences, 2014, 11, 4305-4320.

^{*} Richardson et al., Near-surface remote sensing of spatial and temporal variation in canopy phenology. Ecological Application, 2009, 19(6), 1417-1428.

Image size (pixels): 1296 x 960

- ROI: lower part of the image (Rows 500-960)
- Close to the camera
- Can see the bare soil

Method:

- 1. Calculate GCC for each pixel in ROI
- 2. Calculate mean GCC within ROI for each day
- 3. Compare time series of GCC with GVF

PhenoCam RGB values at Konza

PhenoCam GCC index at Konza

(Grassland/Crop)

GCC

Green Chromatic Coordinate (GCC) Index

 $GCC = G/(R+G+B)$ $GCC = 0.33$ for white or grey pixels

GCC = 0.4-0.5 for green pixels (green is the dominant channel)

Green Color index (GCI) at Konza

GCI

Green Color Index (GCI) $GCI = 3 * Green - 2 * Red - Blue - 20$ If GCI > 0 then pixel is classified as green

PhenoCam R,G,B and GCC Temporal Profiles at Harvard Forest

Phenocam image RGB channel at harvard (42.5378,-72.1715)

Arbutus Lake (NY, USA)

Bull Shoals (MO, USA)

Harvard Forest (MA, USA)

Kendall (AZ, USA)

Alligator River (NC, USA)

Coweeta (NC, USA)

Conclusion

- PhenoCam images can be used for monitoring vegetation phenology and validating temporal profiles (seasonal variation) of VIIRS GVF products
- VIIRS GVF timing of greening up and browning down are comparable to those observed in the temporal profiles of GCC from PhenoCam tower data

Data and method

- High resolution (.1m) RBG satellite images are available on Google Earth over the internet
- Google Earth images over VIIRS GVF pixels (areas of 0.036° x 0.036°) were downloaded from Google Earth
- Green pixels on the high resolution Google Earth images are extracted using the Green Color index (GCI)
- GVF derived from Google Earth satellite images is compared with VIIRS GVF
- 15 EOS land validation core sites and 15 PhenoCam sites were selected for GVF validation

Data sources of Google Earth images

Fig.1. A map of DigitalGlobe coverage

Data sources of Google Earth images

SPOT

• SPOT 6 and SPOT 7 (1.5m & 6m resolution)

Fig.2. A map of Spot image coverage

Arbutus lake (NY, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel (9/26/2015) Google Earth GVF=0.669 VIIRS GVF=0.66 Classified image (vegetated pixels: bright green)

Arizona grass (AZ, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(1/3/2015) Google Earth GVF=0.0047 VIIRS GVF=0.01

Bald mountain 1 (CA, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (green vegetation: bright green)

(7/15/2016) Google Earth GVF=0.2174 VIIRS GVF=0.27

(Image Source: Google Earth)

bbc 7 (NH, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(9/18/2013) Google Earth GVF=0.8294 VIIRS GVF=0.75

(Image Source: Google Earth)

Cedar creek (MN, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/25/2015) Google Earth GVF=0.223 VIIRS GVF=0.29

(Image Source: Google Earth)

Coweeta (NC, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(10/19/2015) Google Earth GVF=0.6109 VIIRS GVF=0.60

(Image Source: Google Earth)

Cperuvb (CO, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(6/19/2014) Google Earth GVF=0.22 VIIRS GVF=0.49

(Image Source: Google Earth)

Kendall (AZ, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(1/3/2015) Google Earth GVF=0.036 VIIRS GVF=0.06

(Image Source: Google Earth)

Tonzi (CA, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/16/2015) Google Earth GVF=0.535 VIIRS GVF=0.49

(Image Source: Google Earth)

Ufona (FL, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(2/4/2016) Google Earth GVF=0.561 VIIRS GVF=0.49

(Image Source: Google Earth)

USGS EROS (SD, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(3/9/2015) Google Earth GVF=0.01 VIIRS GVF=0.07

(Image Source: Google Earth)

Woodstockvt (VT, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(9/19/2013) Google Earth GVF=0.743 VIIRS GVF=0.69

(Image Source: Google Earth)

Maricopa agricultural center (AZ, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(12/26/2014) Google Earth GVF=0.1012 VIIRS GVF=0.10

(Image Source: Google Earth)

Mead (NE, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(5/5/2016) Google Earth GVF=0.2909 VIIRS GVF=0.42

(Image Source: Google Earth)

Metolius/cascades - old pine (OR, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(6/28/2016) Google Earth GVF=0.4108 VIIRS GVF=0.41

Wisc: NRL LTER (WI, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(7/26/2016) Google Earth GVF=0.866 VIIRS GVF=0.91

(Image Source: Google Earth)

ARMa/CRT SGP (OK, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(7/12/2015)

Google Earth GVF=0.22 VIIRS GVF=0.39

(Image Source: Google Earth)

BARC, USDA ARS (MD, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/15/2016) Google Earth GVF=0.26 VIIRS GVF=0.40

(Image Source: Google Earth)

Barton Bendish, East Anglia (UK)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(1/1/2016) Google Earth GVF=0.64 VIIRS GVF=0.51

(Image Source: Google Earth)

Bondville (IL, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/19/2014) Google Earth GVF=0.04 VIIRS GVF=0.16

(Image Source: Google Earth)

Cascades/H.A.Handrews (OR, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/19/2014) Google Earth GVF=0.73 VIIRS GVF=0.65

(Image Source: Google Earth)

Changbai mountain (China)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/29/2014) Google Earth GVF=0.13 VIIRS GVF=0.17

(Image Source: Google Earth)

Harvard forest (MA, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(4/27/2016) Google Earth GVF=0.26 VIIRS GVF=0.34

(Image Source: Google Earth)

Howland (ME, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(5/15/2015) Google Earth GVF=0.71 VIIRS GVF=0.53

(Image Source: Google Earth)

Konza (KS, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(8/13/2014) Google Earth GVF=0.44 VIIRS GVF=0.55

(Image Source: Google Earth)

Park falls (WI, USA)

Google Earth image over a 0.036°x0.036° VIIRS GVF pixel

Classified image (vegetated pixels: bright green)

(5/10/2013) Google Earth GVF=0.38 VIIRS GVF=0.36

(Image Source: Google Earth)

WIIRS GVF vs. Google Earth Satellite Derived GVF

VIIRS vs. Google Earth GVF - Scatter plot

Google Earth GVF

APU Summary Table

Conclusion

- High resolution (~1m) green pixels from Google Earth RGB satellite images can be identified using a green color index
- GVF can be derived from Google Earth satellite RGB images
- Good agreement was found between VIIRS GVF and GVF derived from Google Earth satellite images with $R = 0.931$
- Calculated APU performance parameters derived using VIIRS and Google Earth Satellite derived GVF meet the JPSS L1RD-S specifications

Temporal Profile Evaluation VIIRS vs. Ameriflux Derived GVF

FLUXNET Networks and Land Cover (MODIS UMD Classification)

<https://fluxnet.ornl.gov/maps-graphics>

FLUXNET Architecture

AmeriFlux Sites

- FLUXNET/AmeriFlux provides well-calibrated time series measurements of various physical variables across a range of biomes
- FLUXNET/AmeriFlux provides data including shortwave solar radiation and photosynthetically active radiation (PAR) measurements above vegetation canopy throughout multiple years
- High-temporal resolution NDVI and EVI2 (2-band EVI) time series are computed from PAR & global radiation data (Wilson & Meyers 2007)
- FLUXNET/AmeriFlux derived vegetation indices and GVF can be used for validation of VIIRS vegetation indices and their derived products (e.g.,GVF)

Walnut Gulch Kendall Grasslands

(Image Source: Google Earth)

ARIZONA NEW **NIA SONORA CHIHUA**

Walnut Gulch Lucky Hills Shrubland

GVF EVI2 Site: Lucky_Hills_Shrubland, (lon,lat) = (-110.0522, 31.743833)

(Image Source: Google Earth)

Missouri Ozark Site (Oak hickory forest)

GVF EVI2 Site: Missouri Ozark, (lon, lat) = (-92.2, 38.7441)

(Image Source: Google Earth)

Niwot Ridge

(Image Source: Google Earth)

Alpine ecosystem in the southern Rocky Mountains, including extensive expanses of alpine tundra and subalpine coniferous forests

Santa Rita Grassland

(Image Source: Google Earth)

Santa Rita Mesquite (shrubland)

 0.4

GVF EVI2 Site: Santa Rita Mesquite, (lon, lat) = (-110.8661, 31.8214)

 0.2

 0.6

 0.8

 0.2

 0.06

(Image Source: Google Earth)

 1.0

Conclusion

- Both VIIRS 1-km and 4-km GVF had visually comparable seasonal profiles to the tower GVF counterparts at multiple AmeriFlux sites
- Scatter plots show a strong positive correlation between the VIIRS and Flux tower derived GVF
- Tower radiation flux measurements can be used for monitoring and validating VIIRS GVF temporal profiles

Temporal Profile and Correlative Analysis VIIRS vs. AVHRR GVF

GVF Temporal Trajectories VIIRS vs. AVHRR Konza Validation Site

GVF Comparison by Surface Type VIIRS vs. AVHRR

Savannas Evergreen Needleleaf Forests

Global GVF Temporal Trajectories VIIRS vs. AVHRR

GVF VIIRS vs. AVHRR Temporal Profile Comparison at Select EOS Validation Sites

Grassland Ecosystem Site, Lethbridge, Alberta

Oct,2012 Jan,2013 Apr,2013 Jul,2013 Oct,2013 Jan,2014 Apr,2014 Jul,2014 Oct,2014 Jan,2015 Apr,2015 Jul,2015 Jan,2016 Jan,2016 Apr,2016 Jul,2016

Marcell Experimental Forest, MN

VIIRS vs. AVHRR GVF Temporal Profile Comparison at Select EOS Validation Sites

Morgan Monroe State Forest, IN

Missouri ozark Oak hickory forest U of Missouri, Ashland Wildlife, MO

GVF time series at monture (47.0202,-113.128) - VIIRS GVE

Oct, 2012 Jan, 2013 Apr,2013 Jul,2013 Oct,2013 Jan,2014 Apr,2014 Jul,2014 Oct,2014 Jan,2015 Apr,2015 Jul,2015 Oct,2015 Jan,2016 Apr,2016 Jul,2016

Mount Zirkel, Routt National Forest, CO

Lolo National Forest, Ovando, MT

Oct, 2012 Jan, 2013 Apr, 2013 Jul, 2013 Oct, 2013 Jan, 2014 Apr, 2014 Jul, 2014 Oct, 2014 Jan, 2015 Apr,2015 Jul,2015 Oct,2015 Jan,2016 Apr,2016 Jul,2016

Marena Site, Oklahoma Weather Labs, OK

Oct,2012 Jan,2013 Apr,2013 Jul,2013 Oct,2013 Jan,2014 Apr,2014 Jul,2014 Oct,2014 Jan,2015 Apr,2015 Jul,2015 Oct,2015 Jan,2016 Apr,2016 Jul,2016

Surface Type Map (2014)

- 20 surface types
- Resolution: 0.144-degree

Evergreen Needleleaf forests

Evergreen Broadleaf forests

Deciduous Needleleaf forests

Deciduous Broadleaf forests

Savannas

Grasslands

Croplands

- AVHRR GVF greens up earlier than the VIIRS GVF
- AVHRR GVF is higher than VIIRS GVF in summer globally
- Negative GVF difference and relatively high RMSE in spring and summer, small difference and RMSE in other seasons

VIIRS vs. AVHRR GVF Global Temporal profiles (VIIRS GVF with and without updated smoothing)

- Similar seasonal profiles between AVHRR GVF climatology and VIIRS GVF
- Small difference between AVHRR GVF climatology and VIIRS GVF
- AVHRR GVF climatology is slightly higher than VIIRS GVF in summer globally

- AVHRR GVF is higher than VIIRS GVF in all seasons
- GVF difference is small in winter, big in spring and summer

- Mean GVF climatology is slightly higher than VIIRS GVF
- Positive difference in winter and negative difference in spring and summer
- Small RMSE

VIIRS and AVHRR GVF Climatology over CONUS (VIIRS GVF with and without updated smoothing)

VIIRS vs. AVHRR GVF Temporal Profiles

Evergreen Needleleaf forests

 1.0 **AVHRR GVF** Diff **RMSE VIIRS GVF** AVHRR GVF 0.5 S. $+ + + + + +$ 0.0 -0.5 Oct.2012 Jan, 2013 Apr, 2013 Jul, 2013 Oct, 2013 **Jan.2014** Apr, 2014 Jul, 2014 Oct, 2014 Jan.2015 Apr,2015 Jul, 2015 Oct, 2015 Jan, 2016

VIIRS vs. AVHRR GVF Temporal Profiles

Evergreen Broadleaf forests

VIIRS vs. AVHRR GVF Temporal Profiles

Deciduous Broadleaf forests

 -0.5

Oct, 2012

Jan, 2013

Apr,2013

Jul, 2013

Oct, 2013

VIIRS vs. AVHRR GVF Temporal Profiles Savannas 1.0 Diff **AVHRR GVF RMSE VIIRS GVF** AVHRR GVF 0.5 **SVE** 0.0 -0.5 Oct, 2012 Jan, 2013 Apr,2013 Jul, 2013 Oct, 2013 Jan, 2014 Apr,2014 Jul, 2014 Oct, 2014 Jan, 2015 Apr,2015 Jul, 2015 Oct, 2015 Jan, 2016 1.0 Diff **GVF** clim **RMSE VIIRS GVF** AVHRR GVF Climatology 0.5 **EXE** 0.0

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Apr,2014

Jul, 2014

Oct, 2014

Jan, 2015

Apr, 2015

Jul, 2015

Oct, 2015

Jan, 2014

Jan, 2016

VIIRS vs. AVHRR GVF Temporal Profiles

VIIRS vs. AVHRR GVF Comparison Conclusion

- VIIRS vs. AVHRR GVF comparison revealed a fairly consistent shift in the representation of the phenological cycle/temporal profile
- The cause of this shift was found to be the smoothing technique used by the VIIRS GVF production system
- A new VIIRS GVF dataset was generated (using an updated smoothing algorithm) and was shown to reflect a more consistent phenology with AVHRR
- The amplitude of the AVHRR GVF is greater than the VIIRS GVF
- The length of the AVHRR GVF growing season is greater than VIIRS GVF
- AVHRR GVF climatology is closer to VIIRS GVF than the AVHRR GVF operational product

Improvements Since Algorithm Readiness Review (ARR) and Provisional Maturity

- Two algorithm improvements have been identified for implementation in the near future
	- a) Land Water Mask (artifacts found in inland water bodies)
	- b) An updated smoothing algorithm has been tested and implemented at the STAR development environment. The VIIRS GVF product with the updated smoothing algorithm is being generated experimentally at STAR
- LUT / PCT updates: None

Investigation of the artificial dashed lines found on GVF imagery (lakes)

- We found some artificial dashed lines on GVF images
- Dashed lines were also found in the intermediate data (EVI and surface reflectance) from which GVF is derived
- We found that the dashed lines were also present in the GVF Land Water Mask (LWM) which had been derived from MODIS LWM data
- Modified the water mask files manually to eliminate the dashed lines on lakes
- Applied the updated LWM and evaluated the GVF imagery

Dashed lines found over the Lake Ontario and Lake Erie, where GVF=1% 5/26/2015

Dashed line on AS_EVI_p1 map

Dashed line on the EVI map of Lake Ontario 5/26/2015

EVI values on the dashed line

AS_EVI_P1 values on the dashed line over lake Ontario on 5/26/2015

EVI values on the dashed line

AS_EVI_P1 values on the dashed line over lake Ontario on 5/26/2015

Dashed line on AS_EVI_p1 map

Dashed line on the EVI map of lake Erie 5/26/2015

Dashed line on the weekly surface reflectance map over lake Ontario 5/26/2015

Dashed line on GVF water mask

Dashed line on the water mask over lake Ontario (/data/data049/jju/modis_watermask/in_gvf_tiles/GVFWH_h05v02_c201309290408560.h5)

MODIS water mask

250m GRID/Data Fields/ - C:\Users\zijang\Documents\GVF\dash lines in the GVE mans\MOD44W A2000055 h12y04 005 2009212173329 hdf - 200 0% water mask

Dashed line on the MODIS water mask over lake Ontario (MOD44W.A2000055.h12v04.005.2009212173329.hdf)

Dashed line on GVF water mask

Dashed line on the water mask over lake Erie (GVFWH_h05v02)

MODIS water mask

Small islands

Dashed line on the MODIS water mask over lake Erie (MOD44W.A2000055.h11v04.005.2009212173217.hdf)

Dashed line on GVF water mask

CulmageView <UpperLeft> - water mask - / - C:\Users\zijang\Documents\GVF\dash lines in the GVF maps\GVFWM h04v03 c201208271904300.h5 - 200.0%

Dashed line on the water mask over lake Pontchartrain (GVFWH_h04v03)

Dashed line on GVF water mask

Dashed line on the water mask over the great salt lake (GVFWH_h03v02)

Modification of water mask (Lake Ontario)

Modification of water mask (Lake Erie)

Original

Modified

Original global GVF (20150602)

Modified global GVF (20150606)

Original global GVF (20150602)

Modified global GVF (20150606)

Evaluation of global GVF map (Lake Pontchartrain)

Original global GVF (20150602)

Modified global GVF (20150606)

Original regional GVF (20150602)

Modified regional GVF (20150606)

Original regional GVF (20150602)

Modified regional GVF (20150606)

Evaluation of regional GVF map (Lake Pontchartrain)

Original regional GVF (20150602)

Modified regional GVF (20150606)

Original regional GVF (20150602)

Modified regional GVF (20150606)

- Purpose of GVF smoothing:
	- (a) single out /extract the seasonal cycle
	- (b) suppress high frequency variations
- NCEP models require smooth input data
- VIIRS GVF adopted the first stage of the smoothing technique used by the operational AVHRR GVF production system
- The AVHRR GVF smoothing is performed in two stages
	- 1. NRT smoothing
	- 2. Updated smoothing (7 weeks later)

- The AVHRR GVF system uses a smoothing algorithm that was developed by Jerry Sullivan(1993)
- AVHRR NRT smoothing shifts the VIIRS GVF seasonal cycle (~2 weeks)
- The updated smoothing technique for the VIIRS GVF was developed by Gorry (1990)

5VF

VIIRS vs. AVHRR GVF - GLOBAL

VIIRS vs. AVHRR GVF - GLOBAL

VIIRS GVF with updated smoothing algorithm

Quality Flag Analysis/Validation

3.2 Science Products Performance

3.2.1 Normal Conditions

- The science products (EDRs) produced by the NESDIS ESPC shall meet the data **JERD-145** product performance requirements as specified in the JPSS Level-1 Requirements Document-Supplement unless an exclusion or degradation condition occurs.
- The EDR Accuracy, Precision, Uncertainty (APU) and Probability of Correct JERD-2030 Typing (PCT) performance shall be assessed and validated against their requirements using correlative data.
- JERD-2031 APU and PCT requirements shall apply only within the specified Measurement Range.

$3.2.2$ **Quality Flags**

JERD-2033 The science products shall include a quality flag describing the quality of the retrieval, with the exception of those products listed below:

$-9-$

JPSS NESDIS ESPC Requirements Document Volume 2: Science Requirements

JPSS-REO-1004 Effective Date Mar 31, 2016 Version 2.0

Green Vegetation Fraction

Ocean Color/Chlorophyll

Note, the following AMSR-2 products are TBD: Snow Cover/Depth, Snow Water Equivalent, Soil Moisture, Sea Ice Characterization, and Surface Type.

Source: ESPC JERD Volume 2: Science Requirements – Version: 2.0 Mar 31, 2016

- VIIRS GVF NUP is currently generated at NDE 1.0 ─ NOAA Data Exploitation (NDE) 1.0 operational since June 2014
- ESPDS NDE 2.0 ORR Nov 2016
- ESPDS NDE 2.0 TTO Jan 2017
- There will be a transition period during which both NDE 1.0 and 2.0 will exist
- VIIRS GVF Algorithm version: 1.0
- Version of PCTs used: 1.0

Description of environment used to achieve validated maturity stage

NDE 1.0 Operational in June 2014

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NDE 2.0 (Evolution)

ESPDS Product Generation (NDE 2.0)

- NCEP/EMC Land-Hydrology Team
- STAR/SMCD
- NASA SPoRT
- NOAA ESRL
- NOAA CLASS
- UMD

Users and User Feedback

User Feedback

Marco.

I have done some preliminary tests with your weekly VIIRS GVF product in the NCEP GFS model. The results show a positive impact on reduction in errors of surface temperature and surface humidity, and slightly improvement of precipitation scores.

Thanks,

Weizhong Zheng Environmental Modeling Center NCEP/NWS/NOAA 5830 University Research Court, #2028 College Park, MD 20740 TEL: 301-683-3694 (O) FAX: 301-683-3703 Email: Weizhong.Zheng@noaa.gov

User Feedback

Subject: VIIRS GVF User Feedback From: "Case, Jonathan (MSFC-ZP11)[ENSCO INC]" <jonathan.case-1@nasa.gov> Date: 8/25/2016 2:44 PM To: "Marco Vargas - NOAA Federal (marco.vargas@noaa.gov)" <marco.vargas@noaa.gov>

Hello Marco,

Below is a brief explanation of the utility we've found in using the VIIRS GVF product over the last year. Also, attached to this email are a few slides illustrating some sample impacts and applications.

Sincerely. Jonathan

"Based on a 3-yr preliminary analysis that I presented at the 2015 National Weather Association annual meeting, the VIIRS GVF product over the CONUS responded realistically to anomalies in weather/climate regimes (e.g., California drought 2014-2015 and Spring 2013 cold anomaly and subsequent delay in green-up). The impacts were seen in both offline land surface model applications and numerical weather prediction models. I have transitioned the VIIRS GVF into NASA/SPoRT's real-time Noah land surface model runs using the NASA Land Information System framework. I also made the data available within the WRF NWP model and UEMS/WRF modeling framework for the broader community to use. Further, I recently served as a subject matter expert and gave a workshop in Nairobi, Kenya, and provided training on the use of VIIRS GVF within the UEMS/WRF model for simulations in eastern Africa. Visualization of the VIIRS GVF product over Eastern Africa has shown good behavior in depicting the variation in greenness in response to seasonal changes in the ITCZ location and corresponding rainfall."

Jonathan Case; Research Meteorologist at ENSCO, Inc./NASA Short-term Prediction Research and Transition (SPoRT) Center 320 Sparkman Dr., Room 3008; Huntsville, AL 35805 Emails: Jonathan.Case-1@nasa.gov (preferred) or case.jonathan@ensco.com Voice: 256.961.7504 ; Fax: 256.961.7788

Subject: VIIRS GVF User Feedback From: Tanya Smirnova - NOAA Affiliate <tanya.smirnova@noaa.gov> Date: 8/29/2016 3:13 PM To: Marco Vargas - NOAA Federal <marco.vargas@noaa.gov>

Hello Marco,

Here at ESRL, we develop WRF-based operational Rapid Refresh (RAP) and High-Resolution Rapid Refresh (HRRR) with the main focus on severe weather that have an impact on aviation operations. This summer we started testing the real-time VIIRS-GVF to replace the MODIS climatology to explore if this product can improve RAP/HRRR surface predictions. The data is being ftp-ed from Jonathan Case ftp site at NASA SPoRT. I ran in parallel two version of RAP for a couple of weeks: one with the MODIS climatology from WRF and another with real-time VIIRS GVF. I have noticed substantial differences between the two products in the SW US and also in Canada and Alaska (see attached ppt). Also, VIIRS GVF has larger seasonal variations. All this affects the model performance, especially near the surface. The ppt has only preliminary results, and statistical verification hasn't been performed yet. We plan to introduce VIIRS GVF into the next implementation of RAP and HRRR (RAPv4 and HRRRv3) at NCEP. We greatly appreciate your work on producing this real-time product. Thanks,

Tanya

 $-$ Attachments:

VIIRS GVF versus MODISclimo 19jul16.pptx

27 bytes

User Feedback

Conclusion

- The SNPP VIIRS GVF products are performing well
- VIIRS GVF calculated APU meet the L1RDS requirements over time and across seasons
- VIIRS GVF temporal profiles match well the Landsat, PhenoCam, and FLUXNET counterparts
- known product anomalies and their recommended remediation strategies have been presented
- Based on the results presented we conclude that the SNPP VIIRS GVF product reached Validated maturity
- A Readme file for users has been written
- Product documentation (ATBD, external and internal user's manuals) is available
- VIIRS GVF product is ready for operational use based on documented validation findings and user feedback

- Submit CCR (OSPO/SPSRB) to update smoothing algorithm
- Submit CCR to update GVF land water mask
- Reprocess the VIIRS GVF record (after reprocessing the S-NPP VIIRS record by STAR)
- Develop JPSS1 VIIRS GVF for continuity with SNPP VIIRS GVF (ongoing)
- Continue working with NCEP/EMC to accelerate the use of the SNPP VIIRS GVF product in their land surface models
- Continue collaboration with other VIIRS GVF users (NOAA ESRL and NASA SPoRT)
- Integrate GVF into the NESDIS Enterprise Algorithm for Vegetation Products and generate 1km VIIRS GVF globally
- Begin VIIRS GVF LTM phase

- http://www.star.nesdis.noaa.gov/jpss/EDRs/products_VegIndex.php
- http://www.star.nesdis.noaa.gov/smcd/viirs_vi/gvf/gvf.htm
- <http://www.star.nesdis.noaa.gov/jpss/gvf.php>
- <http://www.ospo.noaa.gov/Products/land/gvf/index.html>
- <http://www.nsof.class.noaa.gov/>
- <http://viirsland.gsfc.nasa.gov/Products/GVF.html>

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- Oak Ridge National Laboratory Distributed Active Archive Center (ORNL DAAC). 2013. FLUXNET Maps & Graphics Web Page. Available online (http://fluxnet.ornl.gov/maps-graphics) from ORNL DAAC, Oak Ridge, Tennessee, USA Accessed November 5, 2013

- We acknowledge the following AmeriFlux sites for their data records: US-ARM, US-GLE, US-KFS, US-Ne1, US-Ne2, US-Ne3, US-NR1, US-SRM, US-Whs, and US-Wkg. Funding for AmeriFlux data resources was provided by the U.S. Department of Energy's Office of Science.
- We acknowledge PhenoCam for their data records
- We acknowledge USGS for distributing Landsat data
- We acknowledge Google Maps & Earth for the satellite images used in this presentation
- We acknowledge users of the VIIRS GVF (NCEP/EMC, NOAA ESRL, NASA SPoRT) for evaluating the VIIRS GVF product and for their feedback
- We acknowledge T. Miura from the University of Hawaii for providing the AmeriFlux tower data

Appendix A

VIIRS GVF User Feedback Impact of new weekly VIIRS GVF data on NWP Provided by: Weizhong Zheng NOAA/NCEP/EMC

Incorporation of near real-time Suomi NPP Green Vegetation Fraction into the NCEP Models

Comparison of GVF between VIIRS and Clim 15 May 2014

5yr mean AVHRR GVF in Ops NCEP models

Near real-time VIIRS GVF (NOAA/NESDIS/STAR)

2-m air temperature and its RMSE CONUS East

GFS: Reduced cold bias(~0.5 °C) and RMSE (~0.25 °C) in the afternoon and nighttime

2-m dew point temp and its RMSE CONUS East

GFS: Reduced wet bias and RMSE in the afternoon and nighttime (~0.4 °C)

2-m air temperature and its RMSE CONUS West

26

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21

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L6

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t3 12

11

LO \mathbf{Q}

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 -0.8

 -1.2

 -1.6

-2

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12

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obs

T SFC, CONUS West, 00Z Cyole, 20140501-20140605 Mean RMS: T SFC, CONUS West, 00Z cyc, 20140501-20140605 -5.1 5.4 5.1 PRHW14 4.6 4.5 4.2 3.9 3.6 3.3 3 8.3 Difference w.r.t. obs Difference w.r.t. PRHW14 0.15 0.1 0.05 ٥ -0.05 -0.1 -0.15 -0.2 -0.25 -0.3 ences outside of outline bar RMSE differences outside of outline bers are significant at the 95% confidence $level$ are significant at the 95% confidence level 108 I 120 132 108 I 120 132 144 156 24 36 48 60 72 96 144 166 12 за 48 60 72 96 84 Forecast Hour Forecast Hour

GFS: Reduced cold bias(~1 °C) and RMSE (~0.25 °C) in the afternoon and nighttime, but increase a little daytime RMSE.

In general, the near real-time GVF shows a positive impact to reduce errors of 2-m air temperature in the GFS.

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Appendix B

VIIRS GVF User Feedback Sample impacts and applications Provided by: Jonathan Case NASA/SPoRT

VIIRS GVF in LIS: Impact on Fluxes (May 2013)

(above) Spring 2013 cold temperatures, delayed green-up, and impact on mean LIS-Noah heat fluxes (W m-2) and soil moisture (%) in May.

VIIRS GVF in LIS: Impact on Soil Moisture (May 2013)

(above) Spring 2013 cold temperatures, delayed green-up, and impact on mean LIS-Noah heat fluxes (W m-2) and soil moisture (%) in May.

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VIIRS GVF in WRF Model: Impact on Convective Env.

Surface Based CAPE Diff (VIIRSGVF-CLIMOGVF; J/kg)

VIIRSGVF 20-h Forecast Valid: 20Z 20 MAY 2013

GVF Diff (VIIRS - Control, %)
VIIRSGVF 0-h Forecast Valid: 00Z 20 MAY 2013

- Moore EF-5 tornado day (20 May 2013) and "Chaser-killer" tornado (31 May 2013)
- Higher GVF & CAPE, northern & western OK; Lower GVF & CAPE, central TX to southern OK
- Little difference in forecast precip (not shown)

VIIRS GVF for East Africa Model Runs

3-Month Difference in Green Vegetation Fraction (%) valid 24 Aug 2016

Green Vegetation Fraction (%) valid 24 Aug 2016

VIIRS GVF temporal changes:

- VIIRS GVF being used in LIS and WRF model applications for East Africa end users.
- VIIRS GVF composite on model grid (left) and 3-month change (right) depicts northward progression of Inter Tropical Convergence Zone and subsequent green-up to north and brown-downto south

Appendix C

VIIRS GVF User Feedback Sample impacts and applications Provided by: Tanya Smirnova NOAA/ESRL

VIIRS GVF in Rapid Refresh (RAP)

- NESDIS VIIRS global GVF at 4-km resolution is transferred daily to ESRL via NASA SPoRT ftp site.
- NASA SPoRT VIIRS GVF's data format is converted for ingest into WRF (Jonathan Case)
- Initial testing in cold-start RAP initialized from the GFS model
	- \triangleright Daily replacing climatological MODIS greenness in geo_em.d01.nc produced by WRF Pre-processing System (WPS) with the real-time VIIRS GVF;
	- Annual climatological min/max greenness values are also replaced with the VIIRS GVF data.
- Future plans: implement in the cycled RAP and HRRR

VIIRS GVF versus MODIS veg. fraction climate Valid at 0z June 20, 2016

VIIRS SHDMIN and SHDMAX computed from the previous 10 months prior the current day – greater annual dynamic range than with MODIS

VIIRS GVF SHDMIN minus MODIS climo

VIIRS GVF SHDMAX minus MODIS climo

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2-m temperature and dew point differences: VIIRS GVF minus MODIS climatology Valid at 00 UTC 14 July 2016

2-m temperature and dew point differences: VIIRS GVF minus MODIS climatology Valid at 12 UTC 14 July 2016

8

10-m wind speed difference: VIIRS GVF minus MODIS climatology

Valid at 00 UTC 14 July 2016 Valid at 12 UTC 14 July 2016

Conclusions:

- Real-time VIIRS GVF reflects dryness in the SW of US, close to climatology in the Eastern US, significantly smaller greenness in Arctic and Alaska;
- The min/max VIIRS GVF has a greater seasonal/annual range;
- Roughness length (computed using real-time GVF and min/max range of GVF) is reduced in cropland/grassland areas, 10-m winds are slightly stronger;
- Smaller greenness leads to higher daytime 2-m T and lower 2-m dew point with dry soils, and the opposite with saturated soils: lower 2-m T and higher 2-m dew point.

Appendix D VIIRS GVF Visualization Tools

GVF Long Term Monitoring (LTM)

STAR/JPSS LTM Website

http://www.star.nesdis.noaa.gov/jpss/EDRs/products_VegIndex.php

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Appendix E NOAA Operational GVF Products Intercomparison VIIRS GVF vs. AVHRR GVF

GVF Algorithm Comparison (AVHRR vs. VIIRS)

AVHRR GVF Algorithm

- Gutman and Ignatov (1998) developed the heritage GVF algorithm
- The GVF algorithm uses the AVHRR I1, I2 TOA reflectances as input
- AVHRR GVF is derived form NDVI
- Projection: Lat/Lon
- Temporal Resolution : weekly
- Spatial Resolution: 16 km
- Output file format: binary

The Normalized Difference Vegetation Index (TOA - NDVI)

$$
NDVI = \frac{\rho_{\text{NIR}} - \rho_{\text{red}}}{\rho_{\text{NIR}} + \rho_{\text{red}}}
$$

The AVHRR Green Vegetation Fraction

$$
GVF = \frac{NDVI - NDVI_0}{NDVI_{\infty} - NDVI_0}
$$

SNPP VIIRS GVF Algorithm

- The VIIRS GVF algorithm is a modified version of the Gutman and Ignatov's (1998) GVF algorithm
- The VIIRS GVF algorithm uses the VIIRS I1, I2 and M3 TOC reflectances as input
- VIIRS GVF is derived form TOC EVI
- Projection: Lat/Lon
- Temporal Resolution : weekly (updated daily)
- Spatial Resolution: 4 km
- Output file format: NetCDF4

The Enhanced Vegetation Index (TOC - EVI)

$$
EVI = G \frac{\rho_{\text{NIR}} - \rho_{\text{red}}}{\rho_{\text{NIR}} + C_1 \cdot \rho_{\text{red}} - C_2 \cdot \rho_{\text{blue}} + 1}
$$

The VIIRS Green Vegetation Fraction

$$
GVF = \frac{EVI - EVI_0}{EVI_{\infty} - EVI_0}
$$

Challenges (1/2)

- There are significant differences between the two existing NOAA GVF operational products
- GVF products from different sensors (VIIRS and AVHRR)
	- VIIRS more advanced than AVHRR
- Different input data to the GVF Algorithms
	- AVHRR GVF is derived from NDVI and TOA reflectances
	- VIIRS GVF is derived from EVI and TOC reflectances
- Different smoothing techniques used by VIIRS and AVHRR GVF
- We found that the VIIRS GVF smoothing algorithm was introducing a shift in the annual cycle
- An improved smoothing algorithm has been implemented in the VIIRS GVF system run at the STAR Development **Environment**

- Different GVF spatial resolution (4-km VIIRS vs. 16-km AVHRR)
- AVHRR GVF operational product has data gaps
- AVHRR GVF not produced above 60 deg latitude north in winter
- AVHRR GVF operational product is not NRT (two month delay)

VIIRS GVF 4-km resolution (summer)

AVHRR GVF 16-km resolution (summer)

GVF Comparison - VIIRS vs. AVHRR

• Similar GVF pattern but different in south Africa and central Australia

• VIIRS GVF is more reasonable over deserts in south Africa and central Australia

GVF Difference VIIRS minus AVHRR

VIIRS GVF vs. AVHRR GVF

VIIRS GVF 4-km resolution (winter)

AVHRR GVF 16-km resolution (winter)

GVF Comparison - VIIRS vs. AVHRR

VIIRS GVF 4-km res. Jan 12-18, 2016 (winter) AVHRR GVF 16-km res. Jan 12-18, 2016 (winter) No AVHRR GVF data at high Latitudes in winter

• Similar GVF pattern but different in south Africa and central Australia

• VIIRS GVF is more reasonable over deserts in south Africa and central Australia

GVF Difference VIIRS minus AVHRR

VIIRS GVF vs. AVHRR GVF

Spatial Resolution Comparison VIIRS vs. AVHRR GVF

• **VIIRS GVF has higher spatial resolution than AVHRR GVF**

Additional Slides

Validation Sites Used in the Google Earth analysis

AmeriFlux Study Sites

Flux Tower GVF Algorithm vs. VIIRS GVF Algorithm

$$
\left| GVF \right| = \frac{EVI - EVI_0}{EVI_{\infty} - EVI_0} \right|
$$

$$
EVI = G \frac{\rho_{\text{NIR}} - \rho_{\text{red}}}{\rho_{\text{NIR}} + C_1 \cdot \rho_{\text{red}} - C_2 \cdot \rho_{\text{blue}} + L}
$$

$$
G = 2.5, C1 = 6, C2 = 7.5, L = 1
$$

VIIRS GVF derived from EVI Tower GVF derived from EVI2

$$
GVF = \frac{EVI2 - EVI2_0}{EVI2_{\infty} - EVI2_0}
$$

$$
EVI2 = G \frac{\rho_{\text{OR}} - \rho_{\text{VIS}}}{\rho_{\text{OR}} + C \cdot \rho_{\text{VIS}} + L}
$$

$$
G = 2.5, C = 2.4, L = 1
$$

$$
\rho_{\text{OIR}} = \frac{GS_{\text{out}} - PAR_{\text{out}}}{GS_{\text{in}} - PAR_{\text{in}}}\left[\rho_{\text{VIS}} = \frac{PAR_{\text{out}}}{PAR_{\text{in}}}\right]
$$

GSin, GSout are incoming and outgoing global solar radiation (Wm-2) *PARin, PARout* are incoming and outgoing Photosynthetically Active Radiation

APU Definitions

JPSS L1RD Supplement

JPSS-REO-1002

Note: 2.4.2 and 2.4.3 are imposed because, ideally, a measurement attribute requirement must be met for any true value of the parameter within the parameter range, not in an average sense over the parameter range.

2.4.4 To the extent practical, the collection of sample sets will be well populated and distributed across the EDR measurement range and will be geographically, seasonally, and phenomenologically diverse enough to be environmentally representative of observed conditions across the globe, throughout an annual seasonal cycle, and inclusive of important spatial and temporal variations commonly observed in any particular EDR.

Measurement Accuracy

Measurement accuracy is defined as the magnitude of the mean measurement error. For a sample set of N measurement errors, the measurement accuracy βN is given by the following formula:

 $\beta_N = |\mu_N|$

where: μ_N is the mean measurement error, and $\left| \ldots \right|$ denotes absolute value. The mean measurement error u_y is given by the following formula:

 $\mu_N = (\Sigma_{i=1,N} \varepsilon_i)/N$

where: ε_i is the value of the measurement error for the i'th measurement and $\Sigma_{i=1:N}$ denotes summation from $i = 1$ to $i = N$.

Measurement Precision

Measurement precision is defined as the standard deviation (one sigma) of the measurement errors. For a sample set of N measurement errors, the measurement precision on is given by the following formula:

 $\sigma_{\rm N} = [\Sigma_{i=1 \text{ N}} (\varepsilon_{i} - \mu_{\rm N})^2 / (\mathrm{N} - 1)]^{1/2}$

where ε_i is the value of the measurement error for the i'th measurement, μ_N is the mean measurement error, and $\Sigma_{i=1,N}$ denotes summation from $i = 1$ to $i = N$.

Measurement Uncertainty

Measurement uncertainty is defined as the root-mean-square (RMS) of the measurement errors. It results from the combined effects of all systematic and random errors. Measurement uncertainty converges to the square root of the sum of the squares (RSS) of the measurement accuracy and precision in the limit of an infinite number of measurements. For a sample set of N measurement errors, the measurement uncertainty ξ_N is given by the following formula:

$$
\xi_N\,=\,\left[\Sigma_{i=1,N}\,\epsilon_i^{\ 2}/N\right]^{1/2}
$$

98

Source: Level 1 Requirements Supplement – Final Version: 2.10 June 25, 2014

Monitoring Drought in California With SNPP VIIRS GVF

- California has been experiencing a severe drought since 2012
- Drought conditions develop gradually and they are often not identifiable immediately
- **VIIRS Green** Vegetation Fraction (GVF) can easily monitor changes in vegetation density

2013-08-15 minus 2012-08-15 2015-08-15 minus 2012-08-15

2014-08-15 minus 2012-08-15

California mean GVF

California mean GVF in August decreased from 32.3% in 2012 to 27.7% in 2015

Evaluation of smoothing methods for improvement of the GVF smoothing algorithm

Two types of smoothing

- 1. General smoothing (or updated smoothing)
	- To smooth a data point using both past data (before the point) and future data (after the point)
- 2. Real time smoothing
	- To smooth a data point using only past data (before the point) because future data are not available
- Real time smoothing is more difficult than general smoothing in theory
- Data smoothed in real time are noisier than those smoothed by general smoothing. From this point of view, real time smoothing is a tentative solution when general smoothing is not available.

General smoothing

- Time
	- t=[-m, -m+1, -m+2, …0, 1, 2, …, m]
- Data

Data=[d-m, d1-m,, do, d1, ...dm]

• Filter

 $Fillter=[f_{-m}, f_{1-m}, ..., f_{0}, f_{1}, ... f_{m}]$ The filter is symmetrical, i.e. f-i=fi

• Smoothed data for t=0 is calculated by convolution *m*

$$
S_{t=0} = \sum_{t=-m}^{m} f_t d_t
$$

Real time smoothing

• Filter

 $Filter=[f_{-m}, f_{1-m}, ..., f_{0}, f_{1}, ... f_{m}]$ The filter is not symmetrical

• Smoothed data for t=m

$$
S_{t=m} = \sum_{t=-m}^{m} f_t d_t
$$

Smoothing methods (1)

- The current smoothing algorithm used in the GVF system is developed by Jerry Sullivan (1993)
- Jerry's filter
	- No fitting function
	- Using the least squares technique
	- To achieve minimum smoothing error and best smoothness of the smoothed data (smoothness is weighted by a parameter, w)
	- Can be applied to both real time smoothing and updated smoothing

Sullivan, J. (1993). Explanation of the filter that is presently used on NDVI weekly time series data to smooth out unrepresentative fluctuations from week to week. NOAA technical memorandum, January 14.

Example of Jerry's filters

The filter for $t=7$ is the real time smoothing filter The filter for t=0 is the updated smoothing filter (symmetrical)

Smoothing methods (2)

• The Savitzky-Golay filter

The least squares calculations can be carried out by convolution of the data points with a filter (Savitzky & Golay, 1964)

- Polynomial fitting function
- Using the least squares technique
- To achieve minimum smoothing error
- Can be applied to updated smoothing, but not real time smoothing
- Filter is symmetrica[l](http://en.wikipedia.org/wiki/Abraham_Savitzky)

[Savitzky, A.](http://en.wikipedia.org/wiki/Abraham_Savitzky), [Golay, M.J.E.](http://en.wikipedia.org/wiki/Marcel_J._E._Golay) (1964). "Smoothing and Differentiation of Data by Simplified Least Squares Procedures". *[Analytical Chemistry](http://en.wikipedia.org/wiki/Analytical_Chemistry_(journal))* **36** (8): 1627–1639

Smoothing methods (3)

- The savitzky-Golay approach suffers one major drawback: it truncates the data by *m* points at each end (Gorry, 1990)
- Gorry (1990) extended the convolution technique to cover all points in a time series based on the recursive properties of Gram polynomials
- The Gorry filter
	- Polynomial fitting function
	- Using the least squares technique
	- To achieve minimum smoothing error
	- Can be applied to both updated smoothing and real time smoothing
	- not symmetrical for acentric points

A., Gorry (1990). "General least-squares smoothing and differentiation by the convolution (Savitzky–Golay) method". *[Analytical Chemistry](http://en.wikipedia.org/wiki/Analytical_Chemistry_(journal))* **62** (6): 570–573

Example of Gorry's filters

The filter for $t=7$ is the real time smoothing filter The filter for t=0 is the updated smoothing filter or S-G filter (symmetrical)

Example of Gorry's filters

Gorry filter (15-point, 3-order polynomial fitting)

The filter for $t=7$ is the real time smoothing filter The filter for t=0 is the updated smoothing filter or S-G filter (symmetrical)

Current real time GVF smoothing (Jerry's filter)

Real time smoothing using Gorry's filter

As_EVI_p1 Vs Gorry filter (2-order) at site bartlettir

•AS-EVI-gorry2 is slightly noisier than AS-EVI-P1

Gorry's filter updated smoothing

•Updated smoothing using Gorry's filter is smoother than real time smoothing
Comparison of phase-2 EVI smoothing (1)

As_EVI_P2 is the current phase-2 smoothed EVI As_evi_g2_p2 is weekly average of smoothed EVI by the real time Gorry filter

•As evi_g2_p2 matched the bs_EVI better than the current As_EVI_P2

Comparison of phase-2 EVI smoothing (2)

Phase-2 smoothed EVI is smoother than phase-1 smoothed EVI

Comparison of phase-2 EVI smoothing (3)

Comparison of phase-2 EVI smoothing (4)

Improvement of GVF smoothing algorithm

- Based on the comparison of the EVI time series smoothed by Jerry's filter and the proposed Gorry's filter, I recommend changing the current Jerry smoothing method to the Gorry smoothing method
- Keep the gap-filling and the median filter
- Then apply Gorry's filter (see next page)
- Keep the phase-2 weekly averaging of phase-1 EVI

Gorry's filter

• Define Gorry's filter for real time smoothing (m=7, 2-order polynomial fitting)

g_filter2=float_array(15)

q $filter2(0) = 0.114706$ q $filter2(1) = 0.0441176$ q $filter2(2) = -0.0117647$ q $filter2(3) = -0.0529412$ g_filter2(4) = -0.0794118 g $filter2(5) = -0.0911765$ q $filter2(6) = -0.0882353$ q $filter2(7) = -0.0705882$ g_filter2(8) = -0.0382353 g_filter2(9) = 0.00882354 q $filter2(10) = 0.0705883$ q $filter2(11) = 0.147059$ g_{u} filter2(12) = 0.238235 q $filter2(13) = 0.344118$ g_filter2(14) = 0.464706

bs_EVI for the current week

If 15 weeks of EVI=[6226, 5218, 5726, 5509, 4698, 3457, 3285, 3481, 3013, 2626.5, 2240, 2111, 2257, 2214, 1911] Then the smoothed EVI for the current week=g_filter2(0)*6226+g_filter2(1)*5218+……+g_filter2(14)*1911 $=1925.661$

Example of Gorry Smoothing

EVI=[6226, 5218, 5726, 5509, 4698, 3457, 3285, 3481, 3013, 2626.5, 2240, 2111, 2257, 2214, 1911] Smoothed EVI=[6349.312511 5802.196364 5290.647297 4814.647834 4374.219899 3969.350557 3600.027885 3266.27541 2968.079283 2705.449851 2478.369762 2286.851554 2130.897413 2010.498257 1925.661391]

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Reproducing Fig. 2 of Gorry (1990)

GVF VIIRS vs. AVHRR Temporal Profile Comparison at Select EOS Validation Sites

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