

**NATIONAL MARINE FISHERIES SERVICE**  
**ENDANGERED SPECIES ACT SECTION 7 BIOLOGICAL AND CONFERENCE OPINION**

**Action Agency:** NOAA's National Marine Fisheries Service,  
Office of Protected Resources,  
Permits and Conservation Division

**Activity Considered:** Issuance of permit to Mark Flint (University of Florida, Permit No. 19288)

**Consultation Conducted By:** Endangered Species Act Interagency Cooperation Division,  
Office of Protected Resources, National Marine Fisheries Service

**Approved:**



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Director, Office of Protected Resources

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**ACRONYMS AND ABBREVIATIONS**

C-Celsius  
CFR- Code of Federal Regulations  
CIs-Co-Investigators  
cm-centimeter  
dB-decibel  
DDE-dichlorodiphenyldichloroethylene  
DDT-dichlorodiphenyltrichloroethane  
DPS-Distinct Population Segment  
ESA-Endangered Species Act  
Kg-kilogram  
Km-kilometer  
kHz-kiloHertz  
LIMPET- Low Impact Minimally  
Percutaneous Electronic Transmitter  
MMPA-Marine Mammal Protection Act  
m-meter  
mg-milligram  
mL-milliliter  
mm-millimeter  
NAO-North Atlantic Oscillation  
NMFS-National Marine Fisheries Service  
NOAA-National Oceanic and Atmospheric  
Administration  
PCB-polychlorinated biphenyl  
PIT-Passive Integrated Transponder  
RAs-Research Assistants  
RPA-Reasonable and Prudent Alternative  
SE-Standard Error  
 $\mu$ Pa-micropascal  
US-United States  
USC- United States Code  
USFWS-United States Fish and Wildlife  
Service

## 1 INTRODUCTION

Section 7 (a)(2) of the Endangered Species Act (ESA) requires Federal agencies to insure their actions are not likely to jeopardize the continued existence of endangered or threatened species or adversely modify or destroy their designated critical habitat. When a Federal agency's action "may affect" a protected species, that agency is required to consult formally with the National Oceanic and Atmospheric Administration's (NOAA's) National Marine Fisheries Service (NMFS) or the United States Fish and Wildlife Service (USFWS), depending on the endangered species (50 Code of Federal Regulations [CFR] §402.14(a)). Federal agencies are exempt from this general requirement if they have concluded that an action "may affect, but is not likely to adversely affect" endangered species, threatened species, or designated critical habitat and NMFS or the USFWS concurs with that conclusion (50 CFR §402.14(b)).

Section 7 (b)(3) of the ESA requires that at the conclusion of consultation, NMFS and/or USFWS provide a biological opinion (opinion) stating how the Federal agencies' actions will affect ESA-listed species and their critical habitat under their jurisdictions. If incidental take is expected, section 7 (b)(4) requires the consulting agency to provide an incidental take statement that specifies the impact of any incidental taking and includes reasonable and prudent measures to lessen such impacts.

For the actions described in this document, the action agency is the NMFS' Office of Protected Resources-Permits and Conservation Division (Permits Division), which proposes to authorize permit 19288 for directed close approach, hand net, tangle net, and rodeo capture, restraint, handling, flipper tagging, biopsy, blood sampling, measurement, and laparoscopy of green, hawksbill, Kemp's ridley, and loggerhead sea turtles. The consulting agency for this proposal is the NMFS Office of Protected Resources-Endangered Species Act Interagency Cooperation Division.

This opinion and incidental take statement were prepared by NMFS Endangered Species Act Interagency Cooperation Division in accordance with section 7(b) of the ESA and implementing regulations at 50 CFR §402. This document represents NMFS' opinion on the effects of these actions on proposed, endangered, and threatened species and critical habitat that has been designated for those species. A complete record of this consultation is on file at NMFS' Office of Protected Resources in Silver Spring, Maryland.

### 1.1 Background

On March 25 2015, the NMFS' Permits Division published a notice in the Federal Register soliciting public comment on its intent to issue the proposed permit.

On July 21 2015, the NMFS' Permits Division provided initial information on the proposed permit and associated actions on the part of the applicant for review by the ESA Interagency Cooperation Division.

On August 10 2015, the NMFS' Permits Division provided additional information on its own accord for review by the ESA Interagency Cooperation Division, including a draft of the proposed permit.

On August 10 2015, the ESA Interagency Cooperation Division received a request for formal consultation from NMFS' Permits Division to authorize Permit 19288 to Mark Flint (University of Florida). Information was sufficient to initiate consultation on this date.

## **2 DESCRIPTION OF THE PROPOSED ACTION**

The proposed action is the issuance of a scientific research permit (File No. 19288) to Mark Flint, University of Florida, pursuant to section 10(A)(1)(a) of the ESA of 1973, as amended (16 USC 1531 et seq.), to conduct scientific research on green, hawksbill, Kemp's ridley, and loggerhead sea turtles. The purposes of the proposed permit are to provide baseline information on the health status of sea turtles in Tampa Bay, update available information on their population structure and distribution and by proxy, the health Tampa Bay. This research will allow for data to be collected on the population health status of sea turtles in Tampa Bay to improve our understanding of the species health and survivorship. Table 1 summarizes the actions to which individual sea turtles will be exposed.

The applicant established the numbers of individuals to be taken using *a priori* survey sampling to determine sufficient sampling sizes necessary to create and confirm hematology and serum biochemistry reference ranges, species distribution, and population composition. Juveniles, subadults, and adults as well as both sexes will be targeted equally but hatchlings will not be targeted at all. Both healthy and compromised individuals may be targeted. The applicant has not held a NMFS permit for this type of research previously, but has extensive experience with the proposed procedures under another highly-experienced sea turtle researcher (Dr. Colin Limpus, Queensland Turtle Research Project).



**Table 1. Actions to which ESA-listed or proposed species will be exposed under proposed permit 19288.**

Sea turtle species	Number of individuals taken annually	Total takes authorized over the life of the permit	Actions
Green ( <i>Chelonia mydas</i> )- Florida population	35	175	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh
	3	15	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
Hawksbill ( <i>Eretmochelys imbricata</i> )	5	25	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh
	1	5	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
Kemp's ridley ( <i>Lepidochelys kempii</i> )	42	210	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh
	4	20	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
Loggerhead ( <i>Caretta caretta</i> )-Northwestern Atlantic DPS	10	100	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
	100	1,000	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh

## 2.1 Capture

Sea turtles will be captured by one of three methods. Tangle netting will target regions around mangroves and inlets where young turtles are likely to be and the shallows around sea grass beds between foraging sites and shipping channels where immature and mature turtles are expected. Nets will only be deployed across sand substrates to avoid habitat entanglements. A lead-weighted tangle net will run perpendicular to the tidal current across a narrow waterway entrance or section of substrate running from the shallows to deeper water. Nets will only be operated in waters less than 1.5 meters (m) deep. Depending on the size of the area being sampled, staff will deploy and monitor one of two nets. For each net, staff will be stationed at 20 m intervals for up

to two hours (total soak time), with one staff member assigned to continuously check the net during the soak time. Turtles should be caught as they leave the shallows on the falling tide. As soon as a turtle hits the net, it will be disentangled and placed on a waiting boat for measurements and health assessment.

The first net is a multi-purpose entangling net 112 m long and 11 m high. The second net is 70 m long and 3 with a mesh size of 5.5 centimeters (cm). The second net will likely be deployed in the majority of cases. The first net will only be used in shallow areas, where the entire net will be allowed to flow out with the current while being monitored by staff. The current should prevent slack in the water and will be eliminated by the preferential use of the shorter net. Floats will be sufficient buoy the nets and effective at signaling a catch. Either net may be deployed by two staff members pulling it to either end from a central boat location. Alternatively, if from shore, deployment will require the staff walking the net out.

Hand netting will require a rigid opening of 70 cm with a 75 cm depth on a 1.8 m pole that is attached to the boat by rope to prevent loss overboard while landing at least a medium-sized turtle. Turtles will be ensnared while near the surface if the boat can safely be maneuvered up to the animal without any risk of boat-turtle contact. The turtle will be brought onto the boat either by rocking and lifting it directly in using the net depending on the size of the turtle. It is anticipated this technique will have a very low catch per unit effort. Tangle and hand nets will be deployed from a 24-foot Carolina Skiff powered by a 115 horsepower outboard motor.

The third capture technique is a standard rodeo technique that will involve transiting a predefined sampling in a grid pattern using a specifically-constructed catch boat (<5 m) single V-hull vessel with high-powered motor and a shallow draft to allow speed and maneuverability to respond safely to turtle movements). Turtles will be captured only during daylight hours. If more than one turtle is seen at the same time, the location of the first turtle will be marked using a global positioning system. A trained jumper wearing personal protective equipment will capture the turtle by entering the water and restraining the animal by holding the cranial and caudal edges of the carapace and direct the turtle to the surface. Personal protective equipment will include a positively buoyant full length wetsuit, booties, gloves and a soft helmet or hood. This will prevent the jumper from contact injury, sun exposure and give buoyancy to prevent sinking if difficulties are experienced. The applicant has seven years of experience using this method.

For hand netting and rodeo captures, turtles will only be pursued for a maximum of two minutes (Flint et al. 2010). If the animal is not secured within this time, it will be noted as missed and attempts to capture will be aborted.

## **2.2 Handling, restraint, and release**

Once captured, turtles will be held for up to four hours in shaded conditions. This will allow adequate time for transfer to the primary research vessel (Carolina Skiff), undertake other research methods, monitor the status of the turtle, but not induce capture stress (Jessop and Hamann 2005). There is adequate deck space to safely hold and secure several adult sea turtles.

Sea turtles will be placed into a tub to prevent injury to staff and other turtles. Tubs will be disinfected and rinsed using 0.5% chlorhexidine aqueous solution and sea water, respectively, between turtles. Turtles will be released by moving the animal to the top of the gunwale while the boat is stationary and then lowering over the side to sea level and released. Mating and nesting turtles will not be targeted.

### **2.3 Flipper and PIT tagging**

All captured sea turtles will be examined for the presence of a flipper tag. If absent, turtles will be tagged with self-piercing, self-locking Inconel steel flipper tags attached preferably to the rear flipper, enabling future identification of the individual. Flipper tags will be scrubbed with hot soapy water as well as isopropyl alcohol or Betadine solution disinfected prior to implantation. The application site will be scrubbed with Betadine prior to application.

Turtles will be scanned for existing passive integrated transponder (PIT) tags. If none are found, a PIT tag will be injected into the musculature at the base of either front flipper after the site is scrubbed with Betadine. After PIT and/or flipper tagging, turtles will be scanned to ensure the tag is readable *in situ*.

Each turtle captured will be tagged on the trailing edge of the proximal forelimb with a 12 millimeter (mm) x 30 mm Inconel flipper (FWC 2007; Limpus 2008a; Limpus 2008b) to allow identification at subsequent captures.

Sea turtles will also be injected with an internal PIT tag. We will only use sterilized PIT tags. We will also surgically scrub the area to be tagged with aqueous chlorhexidine solution in a circular motion for a minimum of three minutes to achieve asepsis. Turtles will be injected with a PIT tag into the right shoulder muscle area (triceps superficialis muscle) following the protocols detailed in NMFS (2008b). If any bleeding occurs after the tag has been injected, the applicant will hold a swab soaked in aqueous chlorhexidine solution to the injection site until the bleeding has stopped.

### **2.4 Morphometrics**

Each captured sea turtle will have morphometric and biological data collected, including species, curved carapace length, tail length, life stage (small juvenile, large juvenile, adult), body condition score, and (where possible) weighed. Weight will be taken using mechanical clock faced scales and securing the turtle using a cargo net and mesh bag hooked through attachments and/or mesh to the scale's hook to weigh by hand. Epibiont estimation and sex determination by tail and vent morphology in adults and laparoscopic examination in other age classes will also be done (Flint et al. 2009b).

### **2.5 Laparoscopy**

Laparoscopy will only be performed by a qualified veterinarian on land at the Center for Conservation Laboratory or in a floored field tent on turtles more than 3 kilograms (kg) in mass. Laparoscopic examination will be performed on all first capture turtles and suspected breeding

mature recapture turtles, under a light sedation using about 2 milligrams (mg)/kg propofol intravenous with assistance of local anesthesia. Laparoscopy will be conducted by placing the turtle head down in a restraint device. One of the hind limbs will have a padded loop placed over it and retracted with sufficient force to expose the inguinal fossa. Local anesthetic (lidocaine hydrochloride at a maximum dose of 5 mg/kg) will be administered as per external biopsies. The probe will be sterilized with a cold sterilization product (commercial 2% glutaraldehyde or peracetic acid solution) followed by a thorough rinse with sterile water. While the anesthetic is activating, the area will be surgically prepared using aqueous chlorhexidine and alcohol, and a stab incision made to penetrate the skin and fascia before introducing the laparoscope probe into the coelom. If required, the coelom will be inflated via the endoscope valve to cranially displace the intestines for easier visualization of the gonads. Gonads and caudal organs will be assessed. The probe will be removed, excess air manually deflated and a single mattress suture using absorbable suture material placed to ensure the entry site is closed (Bugoni 2013). At the conclusion of the procedure, the turtles will receive systemic analgesia by administration of meloxicam at 0.2 mg/kg. This rarely results in residual air being present in the coelom. Positive buoyancy will be monitored for in the saltwater tanks at the facility or in a shallow wading pool (deep enough to demonstrate buoyancy for an initial assessment) and on release. If air is present, the turtle will be recaptured immediately and transported to the Florida Aquarium.

The field laboratory is a permanent hurricane-proof building with a concrete floor, lighting, air flow and temperature control, running water, and electricity. It will be fitted with a tilting platform to secure and hold sea turtles for examination. It has five 4,000 liter saline water tanks capable of holding sea turtles for short periods to assess for diving capacity post laparoscopy. Sea turtles will be held for no longer than four hours if there are not buoyancy issues observed (this includes travel time to facility).

If the capture site is within 45 minutes of the vessel launch site, animals will be transported back to the field laboratory. If a sea turtle is caught more than 45 minutes from the laboratory, the applicant will employ a floored 5 x 5 m field tent on the nearest suitable beach.

The field tent is a trailer fitted with lighting, washable walls, table (for laparoscopy) and floor, and HEPA air-conditioning that will serve as a mobile sterile laboratory. The trailer will be used solely for this purpose. The trailer will be treated as a sterile surgical suite. During laparoscopies, only people gowned in appropriately sterile clothing will be allowed entry. Use of a field trailer will help minimize time in captivity and potential stress responses.

## **2.6 Tissue/organ sampling**

Biopsies will be aseptically prepared using alternating alcohol and chlorhexidine-based surgical scrub and scrubbed in a circular out-spiraling pattern for a minimum of 3 minutes. A maximum of two external and one internal biopsies will be taken from each animal. Biopsies will be sampled under local anesthetic (a maximum of 40 mg total or 5 mg/kg live weight of lidocaine hydrochloride circumferentially injected subcutaneously) which is administered no less than 5 minutes before biopsy sampling using sterile forceps and scalpel. At the conclusion of the

procedure, the turtles will receive systemic analgesia by administration of meloxicam at 0.2 mg/kg subcutaneous. In the case of fibropapillomatosis, samples will be collected and preserved to determine if local factors (harmful algal blooms, pollution, and season) are involved in etiology (Bugoni 2013).

Internal biopsies will be guided by ultrasound. Only healthy turtles more than 3 kg in mass will be sampled. Samples will include lesions such as cysts, granulomas, and neoplasias. If an internal biopsy is taken, the turtle will be returned to the laboratory facility for this procedure. The turtle will be placed under a plane of light systemic anesthesia using propofol (2, 6-diisopropylphenol) at a dose rate of 3-5 mg/kg intravenous. Under this regime, a trained assistant will be present as well as emergency drugs for stimulation of respiration (e.g. dopram and adrenaline administered as per Norton (2005) and equipment to perform intermittent positive pressure ventilation [an ambi-bag, endotracheal tubing of various sizes, and introducers]). Anesthetic time will not exceed 30 minutes and full recovery and ability to swim will be achieved before being returned to the wild. Sample size will be restricted to punch biopsy (3 mm diameter) size. Skin will be prepared as per external biopsies and a guiding stab incision will be made using a size 11 scalpel to allow easy passage of the biopsy needle. If a laparoscopic examination has occurred, the same incision site will be used. The ultrasound will be placed adjacent to the needle insertion site and guide the needle to the lesion to be sampled. The needle will not be advanced past the skin until the lesion is visualized. A single mattress suture using absorbable material will be used to close the stab incision site. At the conclusion of the procedure, the sea turtles will receive systemic analgesia by administration of meloxicam at 0.2 mg/kg subcutaneous. The harvested sample will be processed as per external biopsies (Bugoni 2013).

## **2.7 Ultrasound**

Ultrasound will be conducted using a 7.5 megaHertz linear probe. The turtle will be restrained as per laparoscopic examination and the inguinal regions coated in ultrasound gel. The probe will be pressed into the inguinal regions and internal organs assessed for pathology, consistency, and development in reference to gonads. The ultrasound gel will be wiped off before the turtle is released (Bugoni 2013).

## **2.8 Blood sampling**

All turtles caught in this program will have a clinical health assessment performed by an appropriately qualified veterinarian. All fibropapilloma turtles will be examined using different equipment versus non-fibropapilloma turtles. One milliliter (mL)/kg (~0.1% live weight) of blood up to 10 mL will be collected from the dorsal cervical sinus (external jugular) using a 22-20 gauge 1.5 inch needle attached to a 4 mL lithium heparin Vacutainer or a 5 mL lithium heparin coated syringe (Owens and Ruiz 1980) within minutes of capture. 22 gauge needles will be used in small immature turtles for sea turtles of 3-15 kg in mass and 20 gauge needles in all other turtles. No turtles less than 3 kg will be bled. To obtain blood, sea turtles will be placed with their heads on a low grade decline to extend and distend the vessels. The vessels will be

palpated to aid location, the area swabbed with alcohol, and needle inserted at right angles on the medial aspect of the muscle belly until a flash of blood denoting penetration into a vessel is seen in the needle hub. Once the sample is collected, the needle will be removed and any bleeding stopped to ensure no clotting disorders were induced.

## **2.9 NMFS Permits and Conservation Division's permit conditions**

The activities authorized must occur by the means, in the area, and for the purposes set forth in the permit application, and as limited by the Terms and Conditions specified in the permit. Permit noncompliance constitutes a violation and is grounds for permit modification, suspension, or revocation, and for enforcement action. The text below is verbatim from the permit and must be followed for the directed take authorized by this proposed ESA Section 10(a)(1)(A) permit to be exempted.

### **A. Duration of permit**

1. Personnel listed in Condition C.1 of the permit (hereinafter "Researchers") may conduct activities authorized by this permit into 2020. This permit expires on the date indicated and is non-renewable. This permit may be extended by the Director, NMFS Office of Protected Resources, pursuant to applicable regulations and the requirements of the ESA.
2. Researchers must immediately stop permitted activities and the Permit Holder must contact the Chief of the Permits Division for written permission to resume:
  - a. If serious injury or mortality<sup>1</sup> of protected species occurs. See Condition E.2 for reporting requirements.
  - b. If authorized take<sup>2</sup> is exceeded, including accidental takes of protected species not listed in this permit, additional individuals of authorized species, or in a manner not consistent with activities authorized in the permit, a report must be submitted and permitted activities may be halted (see E.2 below).

### **B. Number and kind(s) of protected species, location(s) and manner of taking**

1. Table 1 outlines the number of protected species authorized to be taken, and the locations, manner, and time period in which they may be taken.
2. Researchers working under this permit may collect images (e.g., photographs, video) in addition to the photo-identification or behavioral photo-documentation

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<sup>1</sup> This permit does not allow for unintentional serious injury and mortality caused by the presence or actions of researchers. This includes, but is not limited to: deaths resulting from infections related to sampling procedures; and deaths or injuries sustained by turtles during capture and handling, or while attempting to avoid researchers or escape capture.

<sup>2</sup> Under the ESA, a take means to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or attempt to do any of the preceding.

authorized in Table 1 as needed to document the permitted activities, provided the collection of such images does not result in take.

3. Researchers must comply with the following conditions related to the manner of taking:
  - A. Entanglement netting
    1. Nets used to catch turtles must be of large enough mesh size to diminish bycatch of other species.
    2. Highly visible buoys must be attached to the float line of each net and spaced at intervals of every 10 yards or less.
    3. Nets must be checked at intervals of less than 30 minutes, and more frequently whenever turtles or other organisms are observed in the net. If water temperatures are  $\leq 10^{\circ}\text{C}$  or  $\geq 30^{\circ}\text{C}$ , nets must be checked at less than 20-minute intervals. "Net checking" is defined as a complete and thorough visual check of the net either by snorkeling the net in clear water or by pulling up on the top line such that the full depth of the net is viewed along the entire length.
    4. The float line of all nets must be observed at all times for movements that indicate an animal has encountered the net. When this occurs the net must be immediately checked.
    5. Researchers must plan for unexpected circumstances or demands of the research activities and have the ability and resources to meet net checking requirements at all times (e.g. if one animal is very entangled and requires extra time and effort to remove from the net, researchers must have sufficient staff and resources to continue checking the rest of the net at the same time).
    6. Fibropapillomatosis (FP) nets: Nets used at sites where FP is known to occur must be thoroughly disinfected prior to use in areas where FP is either not known to be present, is considered uncommon, or where there is limited or no information on FP prevalence. Drying nets in sunlight may be used as an additional measure to inactivate FP-associated herpes virus.
  - B. General handling, resuscitation, and release
    1. Researchers must:
      - a) Handle turtles according to procedures specified in Attachment 1 (50 CFR 223.206(d)(1)(i)). Use care when handling live animals to minimize any possible injury;

- b) Use appropriate resuscitation techniques on any comatose turtle prior to returning it to the water;
  - c) When possible, transfer injured animals to rehabilitation facilities and allow them an appropriate period of recovery before return to the wild; and
  - d) Have an experienced veterinarian, veterinary technician, or rehabilitation facility on call for emergencies.
2. If an animal becomes highly stressed, injured, or comatose during capture or handling or is found to be compromised on capture, Researchers must forego or cease activities that will further significantly stress the animal (erring on the side of caution) and contact the on call medical personnel as soon as possible. Compromised turtles include animals that are obviously weak, lethargic, positively buoyant, emaciated, or that have severe injuries or other abnormalities resulting in debilitation. One of the following options must be implemented (in order of preference):
- a) Based on the instructions of the veterinarian, if necessary, the animal must be immediately transferred to the veterinarian or to a rehabilitation facility to receive veterinary care.
  - b) If medical personnel cannot be reached at sea, the Permit Holder should err on the side of caution and bring the animal to shore for medical evaluation and rehabilitation as soon as possible.
  - c) If the animal cannot be taken to a rehabilitation center due to logistical or safety constraints, allow it to recuperate as conditions dictate, and return the animal to the sea.
3. The Permit Holder is responsible for following the status of any sea turtle transported to rehab to ascertain if injuries were caused by permitted activities and report the final disposition (death, permanent injury, recovery and return to wild, etc.) of the animal to the Chief, Permits Division.
4. While holding sea turtles, Researchers must:
- a) Protect sea turtles from temperature extremes (ideal air temperature range is between 70°Fahrenheit and 80°Fahrenheit),
  - b) provide adequate air flow,
  - c) keep sea turtles moist when the temperature is  $\geq 75^\circ$ Fahrenheit, and



- d) keep the area surrounding the turtle free of materials that could be accidentally ingested.
5. During release, turtles must be lowered as close to the water's surface as possible to prevent injury.
6. Researchers must carefully monitor newly released turtles' apparent ability to swim and dive in a normal manner. If a turtle is not behaving normally within one hour of release, the turtle must be recaptured and taken to a rehabilitation facility.

C. Handling, measuring, weighing, PIT and flipper tagging

1. Researchers must:
  - a) Clean and disinfect all equipment (tagging equipment, tape measures, etc.) and surfaces that come in contact with sea turtles between the processing of each turtle.
  - b) Maintain a designated set of instruments and other items that should be used only on turtles with FP. Items that come into contact with sea turtles with fibropapilloma should not be used on turtles without tumors. All measures possible should be exercised to minimize exposure and cross-contamination between affected turtles and those without apparent disease, including use of disposable gloves and thorough disinfection of equipment and surfaces. Appropriate disinfectants include 10% bleach and other viricidal solutions with proven efficacy against herpes viruses.
  - c) Examine turtles for existing flipper and PIT tags before attaching or inserting new ones. If existing tags are found, the tag identification numbers must be recorded. Researchers must have PIT tag readers capable of reading 125, 128, 134.2, and 400 kiloHertz (kHz) tags.
  - d) Clean and disinfect:
    - i. flipper tags (e.g., to remove oil residue) before use,
    - ii. tag applicators, including the tag injector handle, between sea turtles, and
    - iii. the application site before the tag pierces the animal's skin.
7. PIT tagging
  - a) Use new, sterile tag applicators (needles) each time.

- b) The application site must be cleaned and then scrubbed with two replicates of a medical disinfectant solution (e.g., Betadine, Chlorhexidine) followed by 70% isopropyl alcohol before the applicator pierces the animal's skin. If it has been exposed to fluids from another animal, the injector handle must be disinfected between animals.

D. Biopsy sampling

1. A new biopsy punch must be used on each turtle.
2. For small samples (e.g., biopsy punches): Aseptic techniques must be used at all times. Samples must be collected from the trailing edge of a flipper if possible and practical (preference should be given to a rear flipper if practical). At a minimum, the tissue surface must be thoroughly swabbed with a medical disinfectant solution (e.g., Betadine, Chlorhexidine) followed by alcohol before sampling. The procedure area and Researchers' hands must be clean.
3. For larger tissue samples (e.g., organ, fat, or skin biopsy): Sterile techniques should be used for collection of larger tissue samples. For procedures conducted on vessels or under other field conditions, a designated surgery area should be utilized and kept as clean as possible (e.g. use of disposable surgical drapes) to minimize risk of contamination.
4. If it can be easily determined (through markings, tag number, etc.) that a sea turtle has been recaptured and has been already sampled under this permit, no additional biopsy samples may be collected from the animal during the same permit year

E. Blood sampling

1. Blood samples must be taken or supervised by experienced personnel using new disposable needles on each animal. Collection sites must be scrubbed with alcohol or another disinfectant (e.g., Betadine, Chlorhexidine) followed by 70% alcohol prior to sampling. Two applications of alcohol may be used if disinfectant solutions may affect intended analyses. Samples must not be taken if an animal cannot be adequately immobilized for blood sampling or conditions on the boat preclude the safety and health of the turtle. Attempts (needle insertions) to extract blood from the neck must be limited to a total of four, two on either side. Best practices must be followed, including retraction of the needle to the level of the subcutis prior to redirection

to avoid lacerating vessels and causing other unnecessary soft tissue injury. A single sample must not exceed 3 ml per 1 kg of animal. Within a 45-day period, the cumulative blood volume taken from a turtle must not exceed the maximum safe limit of 3 ml/kg. If more than 50% of the limit is taken, in a single event or cumulatively from repeat sampling events, within 45 days, that turtle must not be re-sampled for 3 months from the last blood-sampling event. Researchers must, to the maximum extent practicable, attempt to determine if any of the turtles they blood sample may have been sampled within the past 3 months or will be sampled within the next 3 months by other researchers. The permit holder must contact other researchers working in the area that could capture the same turtles to ensure that none of the above limits are exceeded.

F. Laparoscopy

1. Compromised animals must not be subjected to this type of surgery.
2. This procedure must be directly performed or overseen by a licensed veterinarian.
3. A veterinary-approved pain management protocol must be followed.

G. Internal tissue sampling may be performed only by a licensed veterinarian.

H. Holding

1. Turtles held in a facility must be maintained and cared for under the "Standard Permit Conditions for Care and Maintenance of Captive Sea Turtles" issued by the USFWS and if in the State of Florida, following Florida Fish and Wildlife Conservation Commission Sea Turtle Conservation Guidelines, Section 4, Holding Turtles in Captivity.

C. Qualifications, responsibilities, and designation of personnel

1. At the discretion of the Permit Holder, the following Researchers may participate in the conduct of the permitted activities in accordance with their qualifications and the limitations specified herein:
  - a. Principal Investigator – Mark Flint
  - b. Co-Investigator(s) – Jaylee Flint
  - c. Research Assistants – personnel identified by the Permit Holder or Principal Investigator and qualified to act pursuant to Conditions C.2, C.3, and C.4 of the permit

2. Individuals conducting permitted activities must possess qualifications commensurate with their roles and responsibilities. The roles and responsibilities of personnel operating under this permit are as follows:
  - a. The Permit Holder is ultimately responsible for activities of individuals operating under the authority of this permit.
  - b. The Principal Investigator (PI) is the individual primarily responsible for the taking, import, export and related activities conducted under the permit. The PI must be on site during activities conducted under this permit unless a Co-Investigator named in Condition C.1 is present to act in place of the PI.
  - c. Co-Investigators (CIs) are individuals who are qualified to conduct activities authorized by the permit, for the objectives described in the application, without the on-site supervision of the PI. CIs assume the role and responsibility of the PI in the PI's absence.
  - d. Research Assistants (RAs) are individuals who work under the direct and on-site supervision of the PI or a CI. RAs cannot conduct permitted activities in the absence of the PI or a CI.
3. Personnel involved in permitted activities must be reasonable in number and essential to conduct of the permitted activities. Essential personnel are limited to:
  - a. Individuals who perform a function directly supportive of and necessary to the permitted activity (including operation of vessels or aircraft essential to conduct of the activity);
  - b. Individuals included as backup for those personnel essential to the conduct of the permitted activity; and
  - c. Individuals included for training purposes.
4. Persons who require state or Federal licenses to conduct activities authorized under the permit (*e.g.*, veterinarians, pilots) must be duly licensed when undertaking such activities.
5. Permitted activities may be conducted aboard vessels or aircraft, or in cooperation with individuals or organizations, engaged in commercial activities, provided the commercial activities are not conducted simultaneously with the permitted activities.
6. The Permit Holder cannot require or receive direct or indirect compensation from a person approved to act as PI, CI, or RA under this permit in return for requesting such approval from the Permits Division.

7. The Permit Holder or PI may add CIs by submitting a request to the Chief, Permits Division that includes a description of the individual's qualifications to conduct and oversee the activities authorized under this permit. If a CI will only be responsible for a subset of permitted activities, the request must also specify the activities for which they will provide oversight.
  8. Submit requests to add CIs by one of the following:
    - a. the online system at <https://apps.nmfs.noaa.gov>,
    - b. an email attachment to the permit analyst for this permit, or
    - c. a hard copy mailed or faxed to the Chief, Permits Division.
- D. Possession of permit
1. This permit cannot be transferred or assigned to any other person.
  2. The Permit Holder and persons operating under the authority of this permit must possess a copy of this permit when:
    - a. engaged in a permitted activity,
    - b. a protected species is in transit incidental to a permitted activity, and
    - c. a protected species taken under the permit is in the possession of such persons.
  3. A duplicate copy of this permit must accompany or be attached to the container, package, enclosure, or other means of containment in which a protected species or protected species part is placed for purposes of storage, transit, supervision or care.
- E. Reports
1. The Permit Holder must submit annual, final, and incident reports containing the information and in the format specified by the Permits Division.
    - a. Reports must be submitted to the Permits Division by one of the following:
      - i. the online system at <https://apps.nmfs.noaa.gov>
      - ii. an email attachment to the permit analyst for this permit
      - iii. a hard copy mailed or faxed to the Chief, Permits Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Room 13705,

Silver Spring, Maryland 20910; phone (301)427-8401; fax (301)713-0376.

- b. The Permit Holder must contact your permit analyst for a reporting form if you do not submit reports through the online system.
  2. Incident reports: must be submitted within two weeks of serious injury and mortality events or exceeding authorized takes, as specified in Condition A.2.
    - a. The incident report must include a complete description of the events and identification of steps that will be taken to reduce the potential for additional serious injury and research-related mortality or exceedance of authorized take.
    - b. In addition to the written report, the Permit Holder must contact the Permits Division by phone (301-427-8401) as soon as possible, but no later than within two business days of the incident.
    - c. The Permits Division may grant authorization to resume permitted activities based on review of the incident report and in consideration of the Terms and Conditions of this permit.
  3. Annual reports describing activities conducted during the previous permit year must:
    - a. be submitted each year for which the permit is valid; and
    - b. include a tabular accounting of takes and a narrative description of activities and effects.
  4. A final report summarizing activities over the life of the permit must be submitted within six months after permit expiration, or, if the research concludes prior to permit expiration, within 180 days of completion of the research.
  5. Research results must be published or otherwise made available to the scientific community in a reasonable period of time. Copies of technical reports, conference abstracts, papers, or publications resulting from permitted research must be submitted the Permits Division.
- F. Notification and Coordination
1. The Permit Holder must provide written notification of planned field work to the applicable NMFS Region at least two weeks prior to initiation of each field trip/season. If there will be multiple field trips/seasons in a permit year, a single summary notification may be submitted per year.
    - a. Notification must include the

- i. locations of the intended field study and/or survey routes,
  - ii. estimated dates of activities, and
  - iii. number and roles of participants (for example: PI, CI, veterinarian, boat driver, safety diver, animal restrainer, Research Assistant “in training”).
- b. Notification must be sent to the Southeast Assistant Regional Administrator for Protected Resources:

[Southeast Region](#), NMFS, 263 13th Ave South, St. Petersburg, FL 33701; phone (727)824-5312; fax (727)824-5309

Email (*preferred*): [nmfs.ser.research.notification@noaa.gov](mailto:nmfs.ser.research.notification@noaa.gov)

2. To the maximum extent practical, the Permit Holder must coordinate permitted activities with activities of other Permit Holders conducting the same or similar activities on the same species, in the same locations, or at the same times of year to avoid unnecessary disturbance of animals. Contact the Southeast Regional Office for information about coordinating with other Permit Holders.

#### G. Observers and Inspections

1. NMFS may review activities conducted under this permit. At the request of NMFS, the Permit Holder must cooperate with any such review by:
  - a. Allowing an employee of NOAA or other person designated by the Director, NMFS Office of Protected Resources to observe permitted activities; and
  - b. Providing all documents or other information relating to the permitted activities.

#### H. Modification, Suspension, and Revocation

1. Permits are subject to suspension, revocation, modification, and denial in accordance with the provisions of subpart D [Permit Sanctions and Denials] of 15 CFR part 904.
2. The Director, NMFS Office of Protected Resources may modify, suspend, or revoke this permit in whole or in part:
  - a. In order to make the permit consistent with a change made after the date of permit issuance with respect to applicable regulations prescribed under Section 4 of the ESA;
  - b. In a case in which a violation of the terms and conditions of the permit is found;

- c. In response to a written request<sup>3</sup> from the Permit Holder;
  - d. If NMFS determines that the application or other information pertaining to the permitted activities (including, but not limited to, reports pursuant to Section E of this permit and information provided to NOAA personnel pursuant to Section G of this permit) includes false information; and
  - e. If NMFS determines that the authorized activities will operate to the disadvantage of threatened or endangered species or are otherwise no longer consistent with the purposes and policy in Section 2 of the ESA.
3. Issuance of this permit does not guarantee or imply that NMFS will issue or approve subsequent permits or modifications for the same or similar activities requested by the Permit Holder, including those of a continuing nature.

#### I. Penalties and Permit Sanctions

1. A person who violates a provision of this permit, the ESA or the regulations at 50 CFR 216 and 50 CFR 222-226 is subject to civil and criminal penalties, permit sanctions, and forfeiture as authorized under the ESA and 15 CFR part 904.
2. NMFS shall be the sole arbiter of whether a given activity is within the scope and bounds of the authorization granted in this permit.
  - a. The Permit Holder must contact the Permits Division for verification before conducting the activity if they are unsure whether an activity is within the scope of the permit.

Failure to verify, where NMFS subsequently determines that an activity was outside the scope of the permit, may be used as evidence of a violation of the permit the ESA and applicable regulations in any enforcement actions

#### 2.10 Action area

*Action area* means all areas affected directly, or indirectly, by the Federal action, and not just the immediate area involved in the action (50 CFR 402.02).

The action area for permit 19288 will encompass all of, but not extend outward from, Tampa Bay, Florida.

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<sup>3</sup> The Permit Holder may request changes to the permit related to: the objectives or purposes of the permitted activities; the species or number of animals taken; and the location, time, or manner of taking or importing protected species. Such requests must be submitted in writing to the Permits Division in the format specified in the application instructions.



## 2.11 Interrelated and interdependent actions

*Interrelated* actions are those that are part of a larger action and depend on that action for their justification. *Interdependent* actions are those that do not have independent use, apart from the action under consideration. For permit 19288, we did not identify any interrelated or interdependent actions.

## 3 OVERVIEW OF NMFS' ASSESSMENT FRAMEWORK

Section 7 (a)(2) of the ESA requires Federal agencies, in consultation with NMFS, to insure their actions either are not likely to jeopardize the continued existence of endangered or threatened species; or adversely modify or destroy their designated critical habitat.

“To jeopardize the continued existence of an ESA-listed species” means to engage in an action that reasonably will be expected, directly or indirectly, to reduce appreciably the likelihood of both the survival and recovery of an ESA-listed or proposed species in the wild by reducing the reproduction, numbers, or distribution of that species (50 CFR §402.02). The jeopardy analysis considers both survival and recovery of the species.

Section 7 assessment involves the following steps:

- 1) We identify the proposed action and those aspects (or stressors) of the proposed action that are likely to have direct or indirect effects on the physical, chemical, and biotic environment within the action area, including the spatial and temporal extent of those stressors.
- 2) We identify the ESA-listed or proposed species and designated critical habitat that are likely to co-occur with those stressors in space and time.
- 3) We describe the environmental baseline in the action area including: past and present impacts of Federal, state, or private actions and other human activities in the action area; anticipated impacts of proposed Federal projects that have already undergone formal or early section 7 consultation, impacts of state or private actions that are contemporaneous with the consultation in process.
- 4) We identify the number, age (or life stage), and gender of ESA-listed or proposed individuals that are likely to be exposed to the stressors and the populations or subpopulations to which those individuals belong. This is our exposure analysis.
- 5) We evaluate the available evidence to determine how those ESA-listed or proposed species are likely to respond given their probable exposure. This is our response analyses.
- 6) We assess the consequences of these responses to the individuals that have been exposed, the populations those individuals represent, and the species those populations comprise. This is our risk analysis.

- 7) The adverse modification analysis considers the impacts of the proposed action on the critical habitat features and conservation value of designated critical habitat. This opinion does not rely on the regulatory definition of “destruction or adverse modification” of critical habitat at 50 CFR 402.02. Instead, we have relied on the statutory provisions of the ESA to complete the following analysis about critical habitat.<sup>4</sup>
- 8) We describe any cumulative effects of the proposed action in the action area.

Cumulative effects, as defined in our implementing regulations (50 CFR §402.02), are the effects of future state or private activities, not involving Federal activities, that are reasonably certain to occur within the action area. Future Federal actions that are unrelated to the proposed action are not considered because they require separate section 7 consultation.
- 9) We integrate and synthesize factors one through nine by considering the effects of the action to the environmental baseline and the cumulative effects to determine whether the action could reasonably be expected to:
  - a) Reduce appreciably the likelihood of both survival and recovery of the ESA-listed or proposed species in the wild by reducing its numbers, reproduction, or distribution; or
  - b) Reduce the conservation value of designated or proposed critical habitat. These assessments are made in full consideration of the status of the species and critical habitat.
- 10) We state our conclusions regarding jeopardy and the destruction or adverse modification of critical habitat.

If, in completing the last step in the analysis, we determine the action under consultation is likely to jeopardize the continued existence of ESA-listed species or destroy or adversely modify designated critical habitat, we must identify a reasonable and prudent alternative (RPA) to the action. The RPA must not be likely to jeopardize the continued existence of ESA-listed species nor adversely modify their designated critical habitat and it must meet other regulatory requirements.

To conduct these analyses, we rely on all the best scientific and commercial evidence available to us. This evidence consists of:

- The environmental assessment submitted by the Permit’s Division
- Monitoring reports submitted by past research
- Reports from NMFS Science Centers

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<sup>4</sup> Memorandum from William T. Hogarth to Regional Administrators, Office of Protected Resources, NMFS (Application of the “Destruction or Adverse Modification” Standard Under Section 7(a)(2) of the Endangered Species Act) (November 7, 2005).

- Reports prepared by natural resource agencies in states and other countries
- Reports from nongovernmental organizations involved in marine conservation issues
- The information provided by NMFS' Permits and Conservation Division when it initiated formal consultation
- The general scientific literature
- Our expert opinion

To conduct these analyses, we rely on all of the best scientific and commercial evidence available to us. This evidence consists of the environmental assessment submitted by the Permit's Division, monitoring reports submitted by past research, reports from NMFS Science Centers; reports prepared by natural resource agencies in states and other countries, reports from non-governmental organizations involved in marine conservation issues, the information provided by NMFS' Permits and Conservation Division when it initiated formal consultation, the general scientific literature, and our expert opinion.

During the consultation, we conducted electronic searches of the general scientific literature using search engines, including Agricola, Ingenta Connect, Aquatic Sciences and Fisheries Abstracts, JSTOR, Conference Papers Index, First Search (Article First, ECO, WorldCat), Web of Science, Oceanic Abstracts, Google Scholar, and Science Direct. We also referred to an internal electronic library that represents a major repository on the biology of ESA-listed species under the NMFS' jurisdiction.

We supplemented these searches with electronic searches of doctoral dissertations and master's theses. These searches specifically tried to identify data or other information that supports a particular conclusion (for example, a study that suggests whales will exhibit a particular response to acoustic exposure or close vessel approach) as well as data that do not support that conclusion. When data are equivocal or when faced with substantial uncertainty, our decisions are designed to avoid the risks of incorrectly concluding that an action will not have an adverse effect on listed species when, in fact, such adverse effects are likely (i.e., Type II error).

#### **4 STATUS OF ESA-LISTED AND PROPOSED SPECIES**

This section identifies the ESA-listed and proposed species that potentially occur within the action area that may be affected by permit 19288 (Table 2). It then summarizes the biology and ecology of those species that is pertinent to this consultation and what is known about species' life histories in the action area. The species potentially occurring within the action area are ESA-listed or proposed in Table 2, with their regulatory status. This does not include species that we do not expect will be affected by the action.

**Table 2. Proposed, threatened, and endangered species that may be affected by the Permit Division's proposed permit 19288.**

Species	ESA Status	Critical Habitat	Recovery Plan
Green sea turtle ( <i>Chelonia mydas</i> ): Florida breeding population	Threatened <a href="#">E – 43 FR 32800</a>	-- --	<a href="#">NOAA website</a>
Green sea turtle ( <i>Chelonia mydas</i> ): North Atlantic Distinct Population Segment (DPS)	Proposed threatened <a href="#">E – 80 FR 15271</a>		
Hawksbill sea turtle ( <i>Eretmochelys imbricata</i> )	Endangered <a href="#">E - 35 FR 8491</a>	-- --	<a href="#">57 FR 38818</a>
Kemp's ridley sea turtle ( <i>Lepidochelys kempii</i> )	Endangered <a href="#">E – 35 FR 18319</a>	-- --	<a href="#">56 FR 38424</a>
Loggerhead sea turtle ( <i>Caretta caretta</i> ): Northwest Atlantic DPS	Threatened <a href="#">E – 76 FR 58868</a>	-- --	<a href="#">74 FR 2995</a>
Smalltooth sawfish ( <i>Pristis pectinata</i> ): US DPS	Endangered <a href="#">E – 68 FR 15674</a>		<a href="#">74 FR 3566</a>

#### 4.1 ESA-listed and proposed species and critical habitat not likely to be adversely affected

NMFS uses two criteria to identify the ESA-listed or proposed species or critical habitat that are not likely to be adversely affected by the proposed action, as well as the effects of activities that are interrelated to or interdependent with the Federal agency's proposed action. The first criterion is exposure, or some reasonable expectation of a co-occurrence, between one or more potential stressors associated with the proposed activities and ESA-listed or proposed species or designated critical habitat. If we conclude that an ESA-listed or proposed species or designated critical habitat is not likely to be exposed to the proposed activities, we must also conclude the species or critical habitat is not likely to be adversely affected by those activities.

The second criterion is the probability of a response given exposure. ESA-listed or proposed species or designated critical habitat that is exposed to a potential stressor but is likely to be unaffected by the exposure is also not likely to be adversely affected by the proposed action. We applied these criteria to the ESA-listed or proposed species in Table 2 and we summarize our results below.

An action warrants a "may affect, not likely to be adversely affected" finding when its effects are wholly *beneficial*, *insignificant* or *discountable*. *Beneficial* effects have an immediate positive effect without any adverse effects to the species or habitat. Beneficial effects are usually discussed when the project has a clear link to the ESA-listed or proposed species or its specific habitat needs and consultation is required because the species may be affected.

*Insignificant* effects relate to the size or severity of the impact and include those effects that are undetectable, not measurable, or so minor that they cannot be meaningfully evaluated.

Insignificant is the appropriate effect conclusion when plausible effects are going to happen, but will not rise to constituting an adverse effect. That means the ESA-listed or proposed species may be expected to be affected, but not harmed or harassed.

*Discountable* effects are those that are extremely unlikely to occur. For an effect to be discountable, there must be a plausible adverse effect (i.e., a credible effect that could result from the action and that will be an adverse effect if it did impact a listed species), but it is very unlikely to occur.

For permit 19288, smalltooth sawfish could potentially occur in the action area. The species is known to reside in southwestern Florida waters to the south of Tampa Bay. However, based on research sightings and bycatch records, individuals are not expected to venture as far north as Tampa Bay. Discussion with a smalltooth sawfish expert in the region supported that the “likelihood is low” until such time that the species begins to recover and potentially reoccupy former habitat (John Carlson, NMFS, pers. comm. 2015). We therefore discount the potential for effects to occur because we do not expect smalltooth sawfish to occur in the action area.

Although critical habitat has been designated for several sea turtle species and smalltooth sawfish potentially occurring in the action area, these critical habitats do not co-occur with the action area. We therefore discount the potential for effects to all critical habitats.

#### **4.2 ESA-listed species and critical habitat likely to be adversely affected**

This opinion examines the status of each ESA-listed or proposed species that will be affected by the proposed action. The status is determined by the level of risk the ESA-listed or proposed species face, based on parameters considered in documents such as recovery plans, status reviews, and listing decisions. The *Status of ESA-Listed or Proposed Species* section helps to inform the description of the species’ current “reproduction, numbers, or distribution” as described in 50 CFR 402.02.

One factor affecting the range-wide status of sea turtles, and aquatic habitat at large, is climate change. Although the effects of climate change are ongoing, many of the expected effects are likely to occur years to centuries from now, well beyond when the proposed permits would expire. We primarily discuss climate change as a threat common to all species addressed in this opinion, rather than in each of the species-specific narratives. As we better understand responses to climate change, we address these effects in relevant species-specific sections.

In general, based on forecasts made by the Intergovernmental Panel on Climate Change, climate change is projected to have substantial direct and indirect effects on individuals, populations, species, and the structure and function of marine, coastal, and terrestrial ecosystems in the near future (IPCC 2002; IPCC 2014). From 1906 to 2006, global surface temperatures have risen 0.74° C and continue at an accelerating pace; 11 of the 12 warmest years on record since 1850 have occurred since 1995 (Poloczanska et al. 2009). Furthermore, the Northern Hemisphere

(where a greater proportion of ESA-listed species occur) is warming faster than the Southern Hemisphere, although land temperatures are rising more rapidly than over the oceans (Poloczanska et al. 2009). North Atlantic and Pacific sea surface temperatures have shown trends in being anomalously warm in recent years (Blunden and Arndt 2013). The ocean along the US eastern seaboard is also much saltier than historical averages (Blunden and Arndt 2013). The direct effects of climate change will result in increases in atmospheric temperatures, changes in sea surface temperatures, patterns of precipitation, and sea level. For sea turtles, temperature regimes generally lead toward female-biased nests (Hill et al. 2015). This can result in heavily feminized populations incapable of fertilization of available females (Laloë et al. 2014). This is not considered to be imminent and presently has the advantage of shifting the natural rates of population growth higher (Laloë et al. 2014). Oceanographic models project a weakening of the thermohaline circulation resulting in a reduction of heat transport into high latitudes of Europe as well as an increase in the mass of the Antarctic and Greenland ice sheets, although the magnitude of these changes remain unknown. Species that are shorter-lived, larger body size, or generalist in nature are liable to be better able to adapt to climate change over the long term versus those that are longer-lived, smaller-sized, or rely on specialized habitats (Brashares 2003; Cardillo 2003; Cardillo et al. 2005; Issac 2009; Purvis et al. 2000). Climate change is most likely to have its most pronounced effects on species whose populations are already in tenuous positions (Isaac 2008). As such, we expect the risk of extinction to listed species to rise with the degree of climate shift associated with global warming.

Acevedo-Whitehouse and Duffus (2009) proposed that the rapidity of environmental changes, such as those resulting from global warming, can harm immunocompetence and reproductive parameters in wildlife to the detriment of population viability and persistence. An example of this is the altered sex ratios observed in sea turtle populations worldwide (Fuentes et al. 2009a; Mazaris et al. 2008; Reina et al. 2008; Robinson et al. 2008). This does not appear to have yet affected population viabilities through reduced reproductive success, although nesting and emergence dates of days to weeks in some locations have changed over the past several decades (Poloczanska et al. 2009). Altered ranges can also result in the spread of novel diseases to new areas via shifts in host ranges (Schumann et al. 2013; Simmonds and Elliott. 2009). It has also been suggested that increases in harmful algal blooms could be a result from increases in sea surface temperature (Simmonds and Elliott. 2009).

Climate change has been linked to changing ocean currents as well. Rising carbon dioxide levels have been identified as a reason for a poleward shift in the Eastern Australian Current, shifting warm waters into the Tasman Sea and altering biotic features of the area (Johnson et al. 2011; Poloczanska et al. 2009). Similarly, the Kuroshio Current in the western North Pacific (an important foraging area for juvenile sea turtles) has shifted as a result of altered long-term wind patterns over the Pacific Ocean (Blunden and Arndt 2013; Poloczanska et al. 2009). Ocean temperatures around Iceland are linked with alterations in the continental shelf ecosystem there, including shifts in minke whale diet (Víkingsson et al. 2014).

Changes in global climatic patterns will likely have profound effects on the coastlines of every continent by increasing sea levels and the intensity, if not the frequency, of hurricanes and tropical storms (Wilkinson and Souter 2008). A half degree Celsius increase in temperatures during hurricane season from 1965-2005 correlated with a 40% increase in cyclone activity in the Atlantic. Sea levels have risen an average of 1.7 mm/year over the 20<sup>th</sup> century due to glacial melting and thermal expansion of ocean water; this rate will likely increase. The current pace is nearly double this, with a 20-year trend of 3.2 mm/year (Blunden and Arndt 2013). This is largely due to thermal expansion of water, with minor contributions from melt water (Blunden and Arndt 2013). Based on computer models, these phenomena would inundate nesting beaches of sea turtles, change patterns of coastal erosion and sand accretion that are necessary to maintain those beaches, and would increase the number of turtle nests destroyed by tropical storms and hurricanes (Wilkinson and Souter 2008). Inundation itself reduces hatchling success by creating hypoxic conditions within inundated eggs (Pike et al. 2015). In addition, flatter beaches preferred by smaller sea turtle species would be inundated sooner than would steeper beaches preferred by larger species (Hawkes et al. 2014a). The loss of nesting beaches, by itself, would have catastrophic effects on sea turtle populations globally if they are unable to colonize new beaches that form or if the beaches do not provide the habitat attributes (sand depth, temperature regimes, refuge) necessary for egg survival. In some areas, increases in sea level alone may be sufficient to inundate sea turtle nests and reduce hatching success (Caut et al. 2009). Storms may also cause direct harm to sea turtles, causing “mass” strandings and mortality (Poloczanska et al. 2009). Increasing temperatures in sea turtle nests alters sex ratios, reduces incubation times (producing smaller hatchling), and reduces nesting success due to exceeded thermal tolerances (Fuentes et al. 2009b; Fuentes et al. 2010; Fuentes et al. 2009c). Smaller individuals likely experience increased predation (Fuentes et al. 2009b).

Climactic shifts also occur because of natural phenomena. In the North Atlantic, this primarily concerns fluctuations in the North Atlantic Oscillation (NAO), which results from changes in atmospheric pressure between a semi-permanent high pressure feature over the Azores and a subpolar low pressure area over Iceland (Curry and McCartney 2001; Hurrell 1995; Stenseth et al. 2002). This interaction affects sea surface temperatures, wind patterns, and oceanic circulation in the North Atlantic (Stenseth et al. 2002). The NAO shifts between positive and negative phases, with a positive phase having persisted since 1970 (Hurrell 1995). North Atlantic conditions experienced during positive NAO phases include warmer than average winter weather in central and eastern North America and Europe and colder than average temperatures in Greenland and the Mediterranean Sea (Visbeck 2002). Effects are most pronounced during winter (Taylor et al. 1998). This can change the oceanographic characteristics of hawksbill sea turtle habitat, which could affect the ability of areas to support foraging, breeding, or other vital life history parameters. Fluctuations in North Atlantic sea surface temperature are linked with variations in hawksbill nesting in the southern Gulf of Mexico (del Monte-Luna et al. 2012).

#### 4.2.1 Green sea turtle

**Populations.** Populations are distinguished generally by ocean basin and more specifically by nesting location ( However, NMFS recently proposed to designate green sea turtles in the North Atlantic as a separate Distinct Population Segment (DPS) (80 FR 15271) based on genetic discreteness and lack of overlap in breeding range of other DPSs (Seminoff et al. 2015).

**Table 3).** However, NMFS recently proposed to designate green sea turtles in the North Atlantic as a separate Distinct Population Segment (DPS) (80 FR 15271) based on genetic discreteness and lack of overlap in breeding range of other DPSs (Seminoff et al. 2015).

**Table 3. Locations and most recent abundance estimates of North Atlantic green sea turtles as annual nesting females (AF), annual nests (AN), annual egg production (EP), and annual egg harvest (EH).**

Location	Most recent abundance	Reference
<b>Western Atlantic Ocean</b>		
Tortuguero, Costa Rica	17,402-37,290 AF	(Troëng and Rankin 2005)
Aves Island, Venezuela	335-443 AF	(Vera 2007)
Galibi Reserve, Suriname	1,803 AF	(Weijerman et al. 1998)
Isla Trindade, Brazil	1,500-2,000 AF	(Moreira and Bjorndal 2006)
<b>Central Atlantic Ocean</b>		
Ascension Island, UK	3,500 AF	(Broderick et al. 2006)
<b>Eastern Atlantic Ocean</b>		
Poilao Island, Guinea-Bissau	7,000-29,000 AN	(Catry et al. 2009)
Bioko Island, Equatorial Guinea	1,255-1,681 AN	(Tomas et al. 1999)

**Distribution.** Green sea turtles have a circumglobal distribution, occurring throughout tropical, subtropical waters, and, to a lesser extent, temperate waters.

**Growth and reproduction.** Most green sea turtles exhibit particularly slow growth rates, which have been attributed to their largely plant-eating diet (Bjorndal 1982). Growth rates of juveniles vary substantially among populations, ranging from <1 cm/year (Green 1993) to >5 cm/year (McDonald Dutton and Dutton 1998), likely due to differences in diet quality, duration of foraging season (Chaloupka et al. 2004), and density of turtles in foraging areas (Balazs and Chaloupka 2004; Bjorndal et al. 2000; Seminoff et al. 2002b). Hart et al. (2013a) found growth rates of green sea turtles in the US Virgin Islands to range from 0 to 9.5 cm annually (mean of 4.1, Standard deviation of 2.4). The largest growth rates were in the 30-39 cm class. If



individuals do not feed sufficiently, growth is stunted and apparently does not compensate even when greater-than-needed resources are available (Roark et al. 2009). In general, there is a tendency for green sea turtles to exhibit monotonic growth (declining growth rate with size) in the Atlantic and non-monotonic growth (growth spurt in mid-size classes) in the Pacific, although this is not always the case (Balazs and Chaloupka 2004; Chaloupka and Musick 1997; Seminoff et al. 2002b). It is estimated that green sea turtles reach a maximum size just under 100 cm in carapace length (Tanaka 2009). A female-bias has been identified from studies of green sea turtles (Wibbels 2003).

Consistent with slow growth, age-to-maturity for green sea turtles appears to be the longest of any sea turtle species and ranges from about 20 to 40 years or more (Balazs 1982; Chaloupka et al. 2004; Chaloupka and Musick 1997; Frazer and Ehrhart 1985a; Hirth 1997; Limpus and Chaloupka 1997; Seminoff et al. 2002b; Zug et al. 2002; Zug and Glor 1998). Estimates of reproductive longevity range from 12 to 26 years in the North Atlantic beaches studied (Frazer and Ladner 1986; Richards et al. 2011). Considering that mean duration between females returning to nest ranges from 2 to 3 years (Troëng and Chaloupka 2007b; Witherington and Ehrhart 1989)(Zurita et al. 1994), these reproductive longevity estimates suggest that a female may nest 3 to 11 seasons over the course of her life. Each female deposits 1-7 clutches (usually 2-3) during the breeding season at 9-18 day intervals (Troeng et al. 2005; Witherington and Ehrhart 1989)(Hart et al. 2013b; Johnson and Ehrhart 1996). Mean clutch size is highly variable among populations, but averages 110-115 eggs/nest. Roughly 62% of eggs hatch in Florida nests (Seminoff et al. 2015). Females usually have 2-4 or more years between breeding seasons, whereas males may mate every year (Balazs 1983). Based on reasonable means of three nests per season and 100 eggs per nest (Hirth 1997), a female may deposit 9 to 33 clutches, or about 900 to 3,300 eggs, during her lifetime. Nesting sites appear to be related to beaches with relatively high exposure to wind or wind-generated waves (Santana Garcon et al. 2010). Temperatures affects sex determination, with 81% of green sea turtle eggs being female in Florida nests (Rogers 2013).

Once hatched, sea turtles emerge and orient towards a light source, such as light shining off the ocean. They enter the sea in a “frenzy” of swimming activity, which decreases rapidly in the first few hours and then gradually over the first several weeks (Ischer et al. 2009; Okuyama et al. 2009). Factors in the ocean environment have a major influence on reproduction (Chaloupka 2001; Limpus and Nicholls 1988; Solow et al. 2002). It is also apparent that during years of heavy nesting activity, density dependent factors (beach crowding and digging up of eggs by nesting females) may impact hatchling production (Tiwari et al. 2005; Tiwari et al. 2006). Precipitation, proximity to the high tide line, and nest depth can also significantly affect nesting success (Cheng et al. 2009). Precipitation can also be significant in sex determination, with greater nest moisture resulting in a higher proportion of males (Leblanc and Wibbels 2009). Green sea turtles often return to the same foraging areas following nesting migrations (Broderick et al. 2006; Godley et al. 2002). Once there, they move within specific areas, or home ranges, where they routinely visit specific localities to forage and rest (Godley et al. 2003; Makowski et

al. 2006; Seminoff and Jones 2006; Seminoff et al. 2002a; Taquet et al. 2006). It is also apparent that some green sea turtles remain in pelagic habitats for extended periods, perhaps never recruiting to coastal foraging sites (Pelletier et al. 2003).

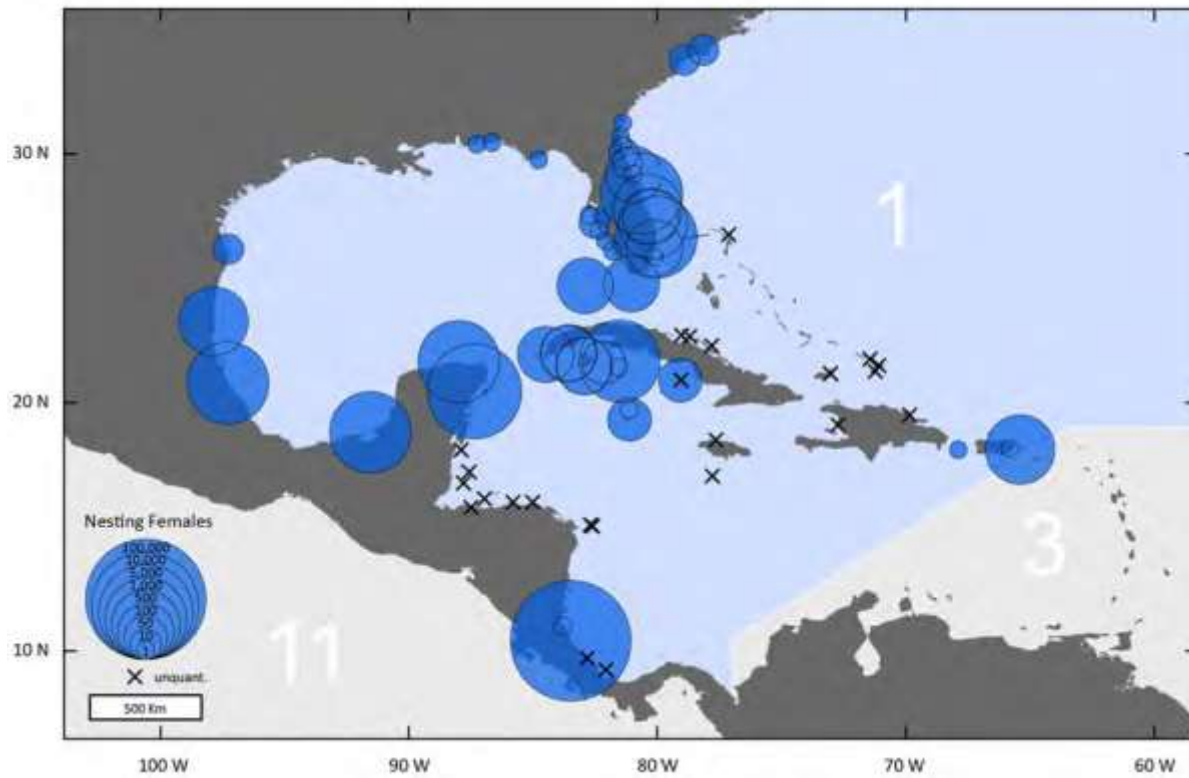
In general, survivorship tends to be lower for juveniles and subadults than for adults. Adult survivorship has been calculated to range from 0.82-0.97 versus 0.58-0.89 for juveniles (Chaloupka and Limpus 2005; Seminoff et al. 2003; Troëng and Chaloupka 2007a), with lower values coinciding with areas of human impact on green sea turtles and their habitats (Bjorndal et al. 2003; Campbell and Lagueux 2005).

**Habitat.** Green turtles appear to prefer waters that usually remain around 20° Celsius (C) in the coldest month, but may occur considerably north of these regions during warm-water events, such as El Niño. Stinson (1984) found green turtles to appear most frequently in US coastal waters with temperatures exceeding 18° C. Further, green sea turtles seem to occur preferentially in drift lines or surface current convergences, probably because of the prevalence of cover and higher prey densities that associate with flotsam. For example, in the western Atlantic Ocean, drift lines commonly containing floating *Sargassum* spp. are capable of providing juveniles with shelter (NMFS and USFWS 1998). Underwater resting sites include coral recesses, the underside of ledges, and sand bottom areas that are relatively free of strong currents and disturbance. Available information indicates that green turtle resting areas are near feeding areas (Bjorndal and Bolten 2000). Strong site fidelity appears to be a characteristic of juveniles green sea turtles along the Pacific Baja coast (Senko et al. 2010).

Green sea turtles in the Gulf of Mexico tend to remain along the coast (lagoons, channels, inlets, and bays), with nesting primarily occurring in Florida and Mexico and infrequent nesting in all other areas (Landry and Costa 1999; Meylan et al. 1995a; NMFS and USFWS 1991; USAF 1996). Foraging areas seem to be based on seagrass and macroalgae abundance, such as in the Laguna Madre of Texas. However, green sea turtles may also occur in offshore regions, particularly during migration and development. Sea turtles frequently forage far from their nesting beaches. Sea turtles foraging in the western Gulf of Mexico almost exclusively stem from Gulf of Mexico and northern Caribbean rookeries (Anderson et al. 2013).

**Status and trends.** Federal listing of the green sea turtle occurred on July 28, 1978, with all populations listed as threatened except for the Florida and Pacific coast of Mexico breeding populations, which are endangered (43 FR 32800). The International Union for Conservation of Nature (IUCN) has classified the green turtle as “endangered.”

On March 23, 2015, NMFS proposed to relist green sea turtles as separate DPSs globally (80 FR 15271). If finalized, the new listing designations would have the North Atlantic DPS (proposed threatened) co-occurring with the action area. The North Atlantic DPS extends from the boundary of South and Central America, north to 10.5°North, 77°West, then extending due east across the Atlantic Ocean at 19°North latitude to the African continent, and extending north along the western coasts of Africa and Europe (west of 5.5°West longitude) to 48°North latitude (Figure 1).



**Figure 1. Close up of nesting distribution of green turtles in the western North Atlantic DPS (blue shading). Size of circles indicates estimated nester abundance. Locations marked with 'x' indicate nesting sites lacking abundance information (Limpus 2008b).**

No trend data are available for almost half of the important nesting sites, where numbers are based on recent trends and do not span a full green sea turtle generation, and impacts occurring over four decades ago that caused a change in juvenile recruitment rates may have yet to be manifested as a change in nesting abundance. The numbers also only reflect one segment of the population (nesting females), who are the only segment of the population for which reasonably good data are available and are cautiously used as one measure of the possible trend of populations.

**Atlantic Ocean.** A total of 73 nesting beaches are known to host green sea turtle nesting in the North Atlantic, of which 48 have been assessed for abundance (Seminoff et al. 2015). Primary sites for green sea turtle nesting in the Atlantic/Caribbean include: (1) Yucatán Peninsula, Mexico; (2) Tortuguero, Costa Rica; (3) Aves Island, Venezuela; (4) Galibi Reserve, Suriname; (5) Isla Trindade, Brazil; (6) Ascension Island, United Kingdom; (7) Bioko Island, Equatorial Guinea; and (8) Bijagos Archipelago, Guinea-Bissau (NMFS and USFWS 2007a). Nesting at all of these sites was considered to be stable or increasing with the exception of Bioko Island and the Bijagos Archipelago where the lack of sufficient data precludes a meaningful

trend assessment for either site (NMFS and USFWS 2007a). Tortuguero hosts roughly 79% of the 167,000 nesters estimated to occur in the North Atlantic DPS (Seminoff et al. 2015). Seminoff (2004) reviewed green sea turtle nesting data for eight sites in the western, eastern, and central Atlantic. Seminoff (2004) concluded that all sites in the central and western Atlantic showed increased nesting, with the exception of nesting at Aves Island, Venezuela, while both sites in the eastern Atlantic demonstrated decreased nesting. These sites are not inclusive of all green sea turtle nesting in the Atlantic. However, other sites are not believed to support nesting levels high enough that would change the overall status of the species in the Atlantic (NMFS and USFWS 2007a). Only one nester was observed in 2011-2012 in Manatee County (Seminoff et al. 2015), which forms the southern border of the action area.

By far, the most important nesting concentration for green sea turtles in the western Atlantic is in Tortuguero, Costa Rica (NMFS and USFWS 2007a). Nesting in the area has increased considerably since the 1970s and nest count data from 1999-2003 suggest nesting by 17,402-37,290 females per year (NMFS and USFWS 2007a). The number of females nesting per year on beaches in the Yucatán, at Aves Island, Galibi Reserve, and Isla Trindade number in the hundreds to low thousands, depending on the site (NMFS and USFWS 2007a).

The vast majority of green sea turtle nesting within the southeastern US occurs in Florida (Johnson and Ehrhart 1994; Meylan et al. 1995b). Green sea turtle nesting in Florida has been increasing since 1989 (Florida Fish and Wildlife Conservation Commission, Florida Marine Research Institute Index Nesting Beach Survey Database). Since establishment of index beaches in 1989, the pattern of green turtle nesting shows biennial peaks in abundance with a generally positive trend during the ten years of regular monitoring. This is perhaps due to increased protective legislation throughout the Caribbean (Meylan et al. 1995b). A total statewide average (all beaches, including index beaches) of 5,039 green turtle nests were laid annually in Florida between 2001 and 2006, with a low of 581 in 2001 and a high of 9,644 in 2005 (NMFS and USFWS 2007a). Data from index nesting beaches substantiate the dramatic increase in nesting. In 2007, there were 9,455 green turtle nests found just on index nesting beaches, the highest since index beach monitoring began in 1989. The number fell back to 6,385 in 2008, further dropping under 3,000 in 2009, but that consecutive drop was a temporary deviation from the normal biennial nesting cycle for green turtles, as 2010 saw an increase back to 8,426 nests on the index nesting beaches (FWC Index Nesting Beach Survey Database). Occasional nesting has been documented along the Gulf coast of Florida (Meylan et al. 1995b). More recently, green turtle nesting occurred on Bald Head Island, North Carolina; just east of the mouth of the Cape Fear River; on Onslow Island; and on Cape Hatteras National Seashore. Increased nesting has also been observed along the Atlantic coast of Florida, on beaches where only loggerhead nesting was observed in the past (Pritchard 1997). Recent modeling by Chaloupka et al. (2008) using data sets of 25 years or more has resulted in an estimate of the Florida nesting stock at the Archie Carr National Wildlife Refuge growing at an annual rate of 13.9%, and the Tortuguero, Costa Rica, population growing at 4.9%.

There are no reliable estimates of the number of immature green sea turtles that inhabit coastal areas of the southeastern US. However, information on incidental captures of immature green sea turtles at the St. Lucie Power Plant in St. Lucie County, Florida, shows that the annual number of immature green sea turtles captured by their offshore cooling water intake structures has increased significantly. Green sea turtle annual captures averaged 19 for 1977-1986, 178 for 1987-1996, and 262 for 1997-2001 (Florida Power and Light Company St. Lucie Plant 2002). More recent unpublished data shows 101 captures in 2007, 299 in 2008, 38 in 2009 (power output was cut—and cooling water intake concomitantly reduced—for part of that year) and 413 in 2010. Ehrhart et al. (2007) documented a significant increase in in-water abundance of green turtles in the Indian River Lagoon area.

Connectivity of nesting groups seems good, with a given foraging region generally supporting individuals from multiple breeding areas (Seminoff et al. 2015).

**Natural threats.** Herons, gulls, dogfish, and sharks prey on hatchlings. Adults face predation primarily by sharks and to a lesser extent by killer whales. Predators (primarily of eggs and hatchlings) also include dogs, pigs, rats, crabs, sea birds, reef fishes, and groupers (Bell et al. 1994; Witzell 1981). All sea turtles except leatherbacks can undergo “cold stunning” if water temperatures drop below a threshold level, which can be lethal. Several such events have occurred over the past decade from Texas to New England, involving hundreds of green sea turtles each time (Seminoff et al. 2015). For unknown reasons, the frequency of a disease called fibropapillomatosis is much higher in green sea turtles than in other species and threatens a large number of existing subpopulations. The incidence of fibropapillomatosis varies widely by location (including areas close to one another), but ranges from 8-72% in Florida waters and seems to be linked to degradation of foraging habitat (Seminoff et al. 2015). A to-date unidentified virus may aid in the development of fibropapillomatosis (Work et al. 2009). Green sea turtles with an abundance of barnacles have been found to have a much greater probability of having health issues (Flint et al. 2009a). The fungal pathogens *Fusarium falciforme* and *F. keratoplasticum* can kill in excess of 90% of sea turtle embryos they infect and may constitute a major threat to nesting productivity under some conditions (Sarmiento-Ramirez et al. 2014).

**Anthropogenic threats.** Major anthropogenic impacts to the nesting and marine environment affect green sea turtle survival and recovery (Patino-Martinez 2013). At nesting beaches, green sea turtles rely on intact dune structures, native vegetation, and normal beach temperatures for nesting (Ackerman 1997). Structural impacts to nesting habitat include the construction of buildings and pilings, beach armoring and renourishment, and sand extraction (Bouchard et al. 1998; Lutcavage et al. 1997b). These factors may directly, through loss of beach habitat, or indirectly, through changing thermal profiles and increasing erosion, serve to decrease the amount of nesting area available to nesting females and may evoke a change in the natural behaviors of adults and hatchlings (Ackerman 1997; Witherington et al. 2003; Witherington et al. 2007). Ingestion of plastic and other marine debris is another source of morbidity and mortality (Stamper et al. 2009), apparently due to the resemblance to jellyfish prey (Schuyler et

al. 2014). Marine debris easily blocks the digestive tract (Santos et al. 2015). Vessel strike has been documented in about 18% of stranded green sea turtles in the southeastern US from 2005 to 2009, so vessel strike is likely a significant cause of injury and mortality in the region (Seminoff et al. 2015). Further, the introduction of alien algae species threatens the stability of some coastal ecosystems and may lead to the elimination of preferred dietary species of green sea turtles (De Weede 1996). Low-level bycatch has also been documented in longline fisheries (Petersen et al. 2009). From 1997 to 2009, 481 (just under 10%) of stranded green sea turtles in Florida were reported entangled, hooked, or otherwise involved with fishery gear such as hook and lines or trap pots (Seminoff et al. 2015). Very few green sea turtles are bycaught in US fisheries (Finkbeiner et al. 2011), with the exception of shrimp trawl fisheries. From 1997 to 1998, Epperly et al. (2002b) estimated 48,239 green sea turtle interactions with shrimp trawls. NMFS (2002a) estimated 4,620-7,055 green sea turtles are killed or injured in Gulf of Mexico and southern US shrimp trawls annually. Between 1991 and 2011, an average of 8,169 green sea turtles were harvested annually along the Caribbean coast of Nicaragua (over 171,000 over this period); a rate that has been in decline potentially due to population depletion (Lagueux et al. 2014). Low-levels of female nester and egg harvest occur at Tortuguero Beach, but are much reduced compared to former levels (Seminoff et al. 2015). Green sea turtles are also harvested illegally in Cuba (Seminoff et al. 2015). Nicaragua formerly harvested 10,000 green sea turtles annually until the practice was outlawed in 1977 (Seminoff et al. 2015). Illegal levels are now reduced, but remain a threat for local breeding groups as thousands of turtles have still been taken in recent years (Seminoff et al. 2015). Harvesting, either legal or illegal, also continues in Belize, Puerto Rico, The Bahamas, Jamaica, and the Cayman Islands (Seminoff et al. 2015).

Sea level rise may have significant impacts on green turtle nesting on Pacific atolls. These low-lying, isolated locations could be inundated by rising water levels associated with global warming, eliminating nesting habitat (Baker et al. 2006; Fuentes et al. 2010). Green sea turtles along Florida nest earlier in association with higher sea surface temperatures (Weishampel et al. 2010). Fuentes et al. (2010) predicted that rising temperatures would be a much greater threat in the long term to the hatching success of sea turtle turtles in general and green sea turtles along northeastern Australia particularly. Green sea turtles emerging from nests at cooler temperatures likely absorb more yolk that is converted to body tissue than do hatchlings from warmer nests (Ischer et al. 2009). Predicted temperature rises may approach or exceed the upper thermal tolerance limit of sea turtle incubation, causing widespread failure of nests (Fuentes et al. 2010). Although the timing of loggerhead nesting depends on sea-surface temperature, green sea turtles do not appear to be affected (Pike 2009).

Green sea turtles have been found to contain the organochlorines chlordane, lindane, endrin, endosulfan, dieldrin, dichlorodiphenyltrichloroethane (DDT), and polychlorinated biphenyl (PCB) (Gardner et al. 2003; Miao et al. 2001). Levels of PCBs found in eggs are considered far higher than what is fit for human consumption (Van de Merwe et al. 2009). The heavy metals copper, lead, manganese, cadmium, and nickel have also been found in various tissues and life stages (Barbieri 2009). Arsenic also occurs in very high levels in green sea turtle eggs (Van de

Merwe et al. 2009). These contaminants have the potential to cause deficiencies in endocrine, developmental, and reproductive health, as well as depress immune function in loggerhead sea turtles (Keller et al. 2006; Storelli et al. 2007). Exposure to sewage effluent may also result in green sea turtle eggs harboring antibiotic-resistant strains of bacteria (Al-Bahry et al. 2009). Dichlorodiphenyldichloroethylene (DDE) has not been found to influence sex determination at levels below cytotoxicity (Keller and McClellan-Green 2004; Podreka et al. 1998). To date, no tie has been found between pesticide concentration and susceptibility to fibropapillomatosis, although degraded habitat and pollution have been tied to the incidence of the disease (Aguirre et al. 1994; Foley et al. 2005). Flame retardants have been measured from healthy individuals (Hermanussen et al. 2008). It has been theorized that exposure to tumor-promoting compounds produced by the cyanobacteria *Lyngbya majuscula* could promote the development of fibropapillomatosis (Arthur et al. 2008). It has also been theorized that dinoflagellates of the genus *Prorocentrum* that produce the tumorigenic compound okadaic acid may influence the development of fibropapillomatosis (Landsberg et al. 1999).

#### 4.2.2 Hawksbill sea turtle

**Populations.** Populations are distinguished generally by ocean basin and more specifically by nesting location. Our understanding of population structure is relatively poor. For example, genetic analysis of hawksbill sea turtles foraging off the Cape Verde Islands identified three closely-related haplotypes in a large majority of individuals sampled that did not match those of any known nesting population in the Western Atlantic, where the vast majority of nesting has been documented (McClellan et al. 2010; Monzon-Arguello et al. 2010). Hawksbills in the Caribbean seem to have dispersed into separate populations (rookeries) after a bottleneck roughly 100,000-300,000 years ago based on genetic data (Leroux et al. 2012).

**Distribution.** The hawksbill has a circumglobal distribution throughout tropical and, to a lesser extent, subtropical waters of the Atlantic Ocean. Satellite tagged turtles have shown significant variation in movement and migration patterns. In the Caribbean, distance traveled between nesting and foraging locations ranges from a few kilometers to a few hundred kilometers (Byles and Swimmer 1994; Hillis-Starr et al. 2000; Horrocks et al. 2001; Lagueux et al. 2003; Miller et al. 1998; Prieto et al. 2001).

**Migration and movement.** Upon first entering the sea, neonatal hawksbills in the Caribbean are believed to enter an oceanic phase that may involve long distance travel and eventual recruitment to nearshore foraging habitat (Boulon Jr. 1994). In the marine environment, the oceanic phase of juveniles (i.e., the "lost years") remains one of the most poorly understood aspects of hawksbill life history, both in terms of where turtles occur and how long they remain oceanic. Subadult hawksbill sea turtles captured satellite tracked in the Dry Tortugas National Park showed high-degrees of site fidelity for extended periods, although all three eventually moved to other areas outside the park (Hart et al. 2012). The same trend was found for adults tracked after nesting in the Dominican Republic, with some remaining for extended periods in the nesting area and other migrating to Honduras and Nicaragua (Hawkes et al. 2012). Satellite tracking for these

individuals showed repeated returns to the same Dominican and Central American areas (Hawkes et al. 2012). However, another study from the Caribbean suggests hawksbill sea turtles may show lower site fidelity for nesting than Hawkes et al (2012) found (Esteban et al. 2015). Hawksbills dispersing from nesting areas along Brazil moved along coastal areas until they reached foraging areas (Marcovaldi et al. 2012). Here, genetically-identified hawksbill-loggerhead hybrids dispersed more broadly than pure-bred hawksbills (Marcovaldi et al. 2012). Home ranges tend to be small (a few square kilometers)(Berube et al. 2012).

**Habitat.** Hawksbill sea turtles are highly migratory and use a wide range of broadly separated localities and habitats during their lifetimes (Musick and Limpus 1997; Plotkin 2003). Small juvenile hawksbills (5-21 cm straight carapace length) have been found in association with *Sargassum* spp. in both the Atlantic and Pacific Oceans (Musick and Limpus 1997) and observations of newly hatched hawksbills attracted to floating weed have been made (Hornell 1927; Mellgren and Mann 1996; Mellgren et al. 1994). Post-oceanic hawksbills may occupy a range of habitats that include coral reefs or other hard-bottom habitats, sea grass, algal beds, mangrove bays and creeks (Bjorndal and Bolten 2010; Musick and Limpus 1997), and mud flats (R. von Brandis, unpublished data in NMFS and USFWS 2007d). Eastern Pacific adult females have recently been tracked in saltwater mangrove forests along El Salvador and Honduras, a habitat that this species was not previously known to occupy (Gaos et al. 2011). Individuals of multiple breeding locations can occupy the same foraging habitat (Bass 1999; Bowen et al. 1996; Bowen et al. 2007; Diaz-Fernandez et al. 1999; Velez-Zuazo et al. 2008). As larger juveniles, some individuals may associate with the same feeding locality for more than a decade, while others apparently migrate from one site to another (Blumenthal et al. 2009a; Mortimer et al. 2003; Musick and Limpus 1997). Larger individuals may prefer deeper habitats than their smaller counterparts (Blumenthal et al. 2009a). Nesting sites appear to be related to beaches with relatively high exposure to wind or wind-generated waves (Santana Garcon et al. 2010).

Hawksbill sea turtles appear to be rare visitors to the Gulf of Mexico, with Florida being the only Gulf state with regular sightings (Hildebrand 1983; NMFS and USFWS 1993; Rabalais and Rabalais 1980; Rester and Condrey 1996; Witzell 1983). Individuals stranded in Texas are generally young (hatchlings or yearlings) originating from Mexican nesting beaches (Amos 1989; Collard and Ogren 1990; Hildebrand 1983; Landry and Costa 1999).

Within United States territories and US dependencies in the Caribbean Region, hawksbill sea turtles nest principally in Puerto Rico and the US Virgin Islands, particularly on Mona Island and Buck Island. They also nest on other beaches on St. Croix, Culebra Island, and Vieques Island, mainland Puerto Rico, St. John, and St. Thomas. Within the continental United States, hawksbill sea turtles nest only on beaches along the southeast coast of Florida and in the Florida Keys.

**Growth and reproduction.** The best estimate of age at sexual maturity for hawksbill sea turtles is 20-40 years (Chaloupka and Limpus 1997; Crouse 1999). Reproductive females undertake periodic (usually non-annual) migrations to their natal beaches to nest. Movements of reproductive males are less well known, but are presumed to involve migrations to their nesting



beach or to courtship stations along the migratory corridor (Meylan 1999). Females nest an average of 3-5 times per season (Meylan and Donnelly 1999; Richardson et al. 1999). Clutch size up to 250 eggs; larger than that of other sea turtles (Hirth 1980). Reproductive females may exhibit a high degree of fidelity to their nest sites.

The life history of hawksbills consists of a pelagic stage that lasts from hatching until they are approximately 22-25 cm in straight carapace length (Meylan 1988; Meylan and Donnelly 1999), followed by residency in coastal developmental habitats. Growth accelerates early on until turtles reach 65-70 cm in curved carapace length, after which it slows to negligible amounts after 80 cm (Bell and Pike 2012). As with other sea turtles, growth is variable and likely depends on nutrition available (Bell and Pike 2012). Juvenile hawksbills along the British Virgin Islands grow at a relatively rapid rate of roughly 9.3 cm per year and gain 3.9 kg annually (Hawkes et al. 2014b).

Hatchlings in Brazil exhibit a strong female bias of 89-96% (dei Marcovaldi et al. 2014).

**Diving.** Hawksbill diving ability varies with age and body size. As individuals increase with age, diving ability in terms of duration and depth increases (Blumenthal et al. 2009b). Studies of hawksbills in the Caribbean have found diurnal diving behavior, with dive duration nearly twice as long during nighttime (35-47 min) compared to daytime (19-26 min Blumenthal et al. 2009b; Van Dam and Diez 1997). Daytime dives averaged 5 meters, while nighttime dives averaged 43 meters (Blumenthal et al. 2009b). However, nocturnal differences were not observed in the eastern Pacific (Gaos et al. 2012).

Hawksbills have long dive durations, although dive depths are not particularly deep. Adult females along St. Croix reportedly have average dive times of 56 min, with a maximum time of 73.5 min (Starbird et al. 1999). Average day and night dive times were 34-65 and 42-74 min, respectively. Immature individuals have much shorter dives of 8.6-14 min to a mean depth of 4.7 meters while foraging (Van Dam and Diez 1997).

**Status and trends.** Hawksbill sea turtles received protection on June 2, 1970 (35 FR 8495) under the Endangered Species Conservation Act and since 1973 have been listed as endangered under the ESA. Although no historical records of abundance are known, hawksbill sea turtles are considered to be severely depleted due to the fragmentation and low use of current nesting beaches (NMFS and USFWS 2007d). Worldwide, an estimated 21,212-28,138 hawksbills nest each year among 83 sites. Among the 58 sites for with historic trends, all show a decline during the past 20 to 100 years. Among 42 sites for which recent trend data are available, 10 (24%) are increasing, three (7%) are stable and 29 (69%) are decreasing. Genetics supports roughly 6,000-9,000 adult females within the Caribbean (Leroux et al. 2012).

**Atlantic Ocean.** Atlantic nesting sites include: Antigua (Jumby Bay), the Turks and Caicos, Barbados, the Bahamas, Puerto Rico (Mona Island), the US Virgin Islands, the Dominican Republic, Sao Tome, Guadeloupe, Trinidad and Tobago, Jamaica, Martinique, Cuba (Doce Leguas Cays), Mexico (Yucatan Peninsula), Costa Rica (Tortuguero National Park), Guatemala, Venezuela, Bijagos Archipelago, Guinea-Bissau, and Brazil.

Population increase has been greater in the Insular Caribbean than along the Western Caribbean Mainland or the eastern Atlantic (including Sao Tomé and Equatorial Guinea). Nesting populations of Puerto Rico appeared to be in decline until the early 1990s, but have universally increased during the survey periods. Mona Island now hosts 199-332 nesting females annually, and the other sites combined host 51-85 nesting females annually (R.P. van Dam and C.E. Diez, unpublished data in NMFS and USFWS 2007d) C.E. Diez, Chelonia, Inc., in litt. to J. Mortimer 2006). The US Virgin Islands have a long history of tortoiseshell trade (Schmidt 1916). At Buck Island Reef National Monument, protection has been in force since 1988, and during that time, hawksbill nesting has increased by 143% to 56 nesting females annually, with apparent spill over to beaches on adjacent St. Croix (Z. Hillis-Starr, National Park Service, in litt. to J. Mortimer 2006). However, St. John populations did not increase, perhaps due to the proximity of the legal turtle harvest in the British Virgin Islands (Z. Hillis-Starr, National Park Service, in litt. to J. Mortimer 2006). Populations have also been identified in Belize and Brazil as genetically unique (Hutchinson and Dutton 2007). An estimated 50-200 nests are laid per year in the Guinea-Bissau (Catry et al. 2009).

**Natural threats.** Sea turtles face predation primarily by sharks and to a lesser extent by killer whales. All sea turtles except leatherbacks can undergo “cold stunning” if water temperatures drop below a threshold level, which can be lethal. The only other significant natural threat to hawksbill sea turtles is from hybridization of hawksbills with other species of sea turtles. This is especially problematic at certain sites where hawksbill numbers are particularly low (Mortimer and Donnelly in review). Predators (primarily of eggs and hatchlings) include dogs, pigs, rats, crabs, sea birds, reef fishes, groupers, feral cats, and foxes (Bell et al. 1994; Ficetola 2008). In some areas, nesting beaches can be almost completely destroyed and all nests can sustain some level of depredation (Ficetola 2008). The fungal pathogens *Fusarium falciforme* and *F. keratoplasticum* can kill in excess of 90% of sea turtle embryos they infect and may constitute a major threat to nesting productivity under some conditions (Sarmiento-Ramirez et al. 2014).

**Anthropogenic threats.** Threats to hawksbill sea turtles are largely anthropogenic, both historically and currently. Impacts to nesting beaches include the construction of buildings and pilings, beach armoring and renourishment, and sand extraction (Bouchard et al. 1998; Lutcavage et al. 1997b). Because hawksbills prefer to nest under vegetation (Horrocks and Scott 1991; Mortimer 1982), they are particularly impacted by beachfront development and clearing of dune vegetation (Mortimer and Donnelly in review). The presence of lights on or adjacent to nesting beaches alters the behavior of nesting adults (Witherington 1992) and is often fatal to emerging hatchlings as they are attracted to light sources and drawn away from the water (Witherington and Bjorndal 1991). One of the most detrimental human threats to hawksbill sea turtles is the intensive harvest of eggs from nesting beaches.

In addition to impacting the terrestrial zone, anthropogenic disturbances also threaten coastal marine habitats. These impacts include contamination from herbicides, pesticides, oil spills, and other chemicals, as well as structural degradation from excessive boat anchoring and dredging

(Francour et al. 1999; Lee Long et al. 2000; Waycott et al. 2005). Hawksbills are typically associated with coral reefs, which are among the world's most endangered marine ecosystems (Wilkinson 2000). Although primarily spongivorous, bycatch of hawksbill sea turtles in the swordfish fishery off South Africa occurs (Petersen et al. 2009). Finkbeiner et al. (2011) estimated that annual bycatch interactions total at least 20 individuals annually for US Atlantic fisheries (resulting in less than ten mortalities) and no or very few interactions in US Pacific fisheries.

Future impacts from climate change and global warming may result in significant changes in hatchling sex ratios. The fact that hawksbill turtles exhibit temperature-dependent sex determination (Wibbels 2003) suggests that there may be a skewing of future hawksbill cohorts toward strong female bias (since warmer temperatures produce more female embryos).

#### **4.2.3 Kemp's ridley sea turtle**

**Population.** Kemp's ridley sea turtles are considered to consist of a single population, although expansion of nesting may indicate differentiation.

**Distribution.** The Kemp's ridley was formerly known only from the Gulf of Mexico and along the Atlantic coast of the US (TEWG 2000a). However, recent records support Kemp's ridley sea turtles distribution extending into the Mediterranean Sea on occasion (Tomas and Raga 2008). The vast majority of individuals stem from breeding beaches at Rancho Nuevo on the Gulf of Mexico coast of Mexico, with some reintroduction expansion into Texas (Shaver and Caillouet Jr. 2015).

**Growth and reproduction.** Kemp's ridleys require approximately 1.5 to two years to grow from a hatchling to a size of approximately 7.9 inches long, at which size they are capable of making a transition to a benthic coastal immature stage, but can range from one to four years or more (Caillouet et al. 1995; Ogren 1989; Schmid 1998; Schmid and Witzell 1997b; Snover et al. 2007b; TEWG 2000a; Zug et al. 1997). Based on the size of nesting females, it is assumed that turtles must attain a size of approximately 23.6 inches long prior to maturing (Marquez-M. 1994). Growth models based on mark-recapture data suggest that a time period of seven to nine years would be required for this growth from benthic immature to mature size (Schmid and Witzell 1997b; Snover et al. 2007b). Currently, age to sexual maturity is believed to range from approximately 10 to 17 years for Kemp's ridleys (Caillouet Jr. et al. 1995; Schmid and Witzell 1997a; Snover et al. 2007a; Snover et al. 2007b). However, estimates of 10 to 13 years predominate in previous studies (Caillouet et al. 1995; Schmid and Witzell 1997b; TEWG 2000a).

**Habitat.** Stranding data indicate that immature turtles in this benthic stage are found in coastal habitats of the entire Gulf of Mexico and US Atlantic coast (Morreale et al. 2007; TEWG 2000a). Developmental habitats for juveniles occur throughout the entire coastal Gulf of Mexico and US Atlantic coast northward to New England (Morreale et al. 2007; Schmid 1998; Wibbels et al. 2005). Key foraging areas in the Gulf of Mexico include Sabine Pass, Texas; Caillou Bay

and Calcasieu Pass, Louisiana; Big Gulley, Alabama; Cedar Keys, Florida; and Ten Thousand Islands, Florida (Carr and Caldwell 1956; Coyne et al. 1995; Ogren 1989; Schmid 1998; Schmid et al. 2002; Witzell et al. 2005). Foraging areas studied along the Atlantic coast include Pamlico Sound, Chesapeake Bay, Long Island Sound, Charleston Harbor, and Delaware Bay. Near-shore waters of 120 feet or less provide the primary marine habitat for adults, although it is not uncommon for adults to venture into deeper waters (Byles 1989; Mysing and Vanselous 1989; Renaud et al. 1996; Shaver et al. 2005; Shaver and Wibbels 2007b).

Benthic coastal waters of Louisiana and Texas seem to be preferred foraging areas for Kemp's ridley sea turtles (particularly passes and beachfronts), although individuals may travel along the entire coastal margin of the Gulf of Mexico (Landry and Costa 1999; Landry et al. 1996; Renaud 1995). Sightings are less frequent during winter and spring, but this is likely due to lesser sighting effort during these times (Keinath et al. 1996; Shoop and Kenney 1992).

**Status and trends.** The Kemp's ridley sea turtle was listed as endangered on December 2, 1970 (35 FR 18319). Internationally, the Kemp's ridley is considered the most endangered sea turtle (NRC 1990a; USFWS 1999).

During the mid-20<sup>th</sup> century, the Kemp's ridley was abundant in the Gulf of Mexico. Historic information indicates that tens of thousands of Kemp's ridleys nested near Rancho Nuevo, Mexico, during the late 1940s (Hildebrand 1963). From 1978 through the 1980s, arribadas were 200 turtles or less, and by 1985, the total number of nests at Rancho Nuevo had dropped to approximately 740 for the entire nesting season, or a projection of roughly 234 turtles (TEWG 2000a; USFWS and NMFS 1992). Beginning in the 1990s, an increasing number of beaches in Mexico were being monitored for nesting, and the total number of nests on all beaches in Tamaulipas and Veracruz in 2002 was over 6,000; the rate of increase from 1985 ranged from 14-16% (Heppell et al. 2005; TEWG 2000a; USFWS 2002). In 2006, approximately 7,866 nests were laid at Rancho Nuevo with the total number of nests for all the beaches in Mexico estimated at about 12,000 nests, which amounted to about 4,000 nesting females based on three nests per female per season (Rostal 2007; Rostal et al. 1997; USFWS 2006). Considering remigration rates, the population included approximately 7,000 to 8,000 adult female turtles at that time (Marquez et al. 1989; Rostal 2007; TEWG 2000a). The 2007 nesting season included an arribada of over 4,000 turtles over a three-day period at Rancho Nuevo (P. Burchfield, pers. comm. in NMFS and USFWS 2007c). The increased recruitment of new adults is illustrated in the proportion of first time nesters, which has increased from 6% in 1981 to 41% in 1994. Average population growth was estimated at 13% per year between 1991 and 1995 (TEWG 1998). In 2008, there were 17,882 nests in Mexico (Gladys Porter Zoo 2008), and nesting in 2009 reached 21,144 (Burchfield 2010). In 2010, nesting declined significantly, to 13,302 but it is too early to determine if this is a one-time decline or if is indicative of a change in the trend. Preliminary estimates of 2011 and 2012 nesting supports 19,368 and 20,197 nests, respectively (back to 2009 levels)(Gallaway et al. 2013). Population modeling used by the TEWG (2000b) projected that Kemp's ridleys could reach the recovery plan's intermediate recovery goal of

10,000 nesters by the year 2015. Recent calculations of nesting females determined from nest counts show that the population trend is increasing towards that recovery goal, with an estimate of 4,047 nesters in 2006 and 5,500 in 2007 (NMFS and USFWS 2007b). Over one million hatchlings were released in 2011 and 2012 (Gallaway et al. 2013).

Nesting has also expanded geographically, with a Headstart program reestablishing nesting on South Padre Island starting in 1978. Growth remained slow until 1988, when rates of return started to grow slowly (Shaver and Wibbels 2007a). Nesting rose from 6 in 1996 to 128 in 2007, 195 in 2008, and 197 in 2009. Texas nesting then experienced a decline similar to that seen in Mexico for 2010, with 140 nests (National Park Service data, <http://www.nps.gov/pais/naturescience/strp.htm>), but nesting rebounded in 2011 with a record 199 nests (National Park Service data, <http://www.nps.gov/pais/naturescience/current-season.htm>).

Gallaway et al. (2013) estimated that nearly 189,000 female Kemp's ridley sea turtles over the age of two years were alive in 2012. Extrapolating based on sex bias, the authors estimated that nearly a quarter million age-two or older Kemp's ridleys were alive at this time.

**Natural threats.** Sea turtles face predation primarily by sharks and to a lesser extent by killer whales. All sea turtles except leatherbacks can undergo "cold stunning" if water temperatures drop below a threshold level, which can pose lethal effects. Kemp's ridley sea turtles are particularly prone to this phenomenon along Cape Cod (Innis et al. 2009). In the last five years (2006-2010), the number of cold-stunned turtles on Cape Cod beaches averaged 115 Kemp's ridleys. The fungal pathogens *Fusarium falciforme* and *F. keratoplasticum* can kill in excess of 90% of sea turtle embryos they infect and may constitute a major threat to nesting productivity under some conditions (Sarmiento-Ramirez et al. 2014).

**Anthropogenic threats.** Population decline has been curtailed due to the virtual elimination of sea turtle and egg harvesting, as well as assistance in hatching and raising hatchlings (head-start). However, habitat destruction remains a concern in the form of bottom trawling and shoreline development. Trawling destroys habitat utilized by Kemp's ridley sea turtles for feeding and construction activities can produce hazardous runoff. Bycatch is also a source of mortality for Kemp's ridley sea turtles (McClellan et al. 2009), with roughly three-quarters of annual mortality attributed to shrimp trawling prior to TED regulations (Gallaway et al. 2013). However, this has dropped to an estimated one-quarter of total mortality nearly 20 years after TEDS were implemented in 1990 (Gallaway et al. 2013). In 2010, due to reductions in shrimping effort and TED use, shrimp-trawl related mortality appears to have dropped to 4% (1,884) of total mortality (65,505 individuals)(Gallaway et al. 2013). This increased to 3,300 individuals in 2012 (20% of total mortality)(Gallaway et al. 2013). Finkbeiner et al. (2011) estimated that annual bycatch interactions total at least 98,300 individuals annually for US Atlantic fisheries (resulting in 2,700 mortalities or more). The vast majority of fisheries interactions with sea turtles in the US are either Kemp's ridley's or loggerhead sea turtles (Finkbeiner et al. 2011).

Toxin burdens in Kemp's ridley sea turtles include DDT, DDE, PCBs, PFOA, PFOS, chlordane, and other organochlorines (Keller et al. 2005; Keller et al. 2004a; Lake et al. 1994; Rybitski et al. 1995). These contaminants have the potential to cause deficiencies in endocrine, developmental and reproductive health, and are known to depress immune function in loggerhead sea turtles (Keller et al. 2006; Storelli et al. 2007a). Along with loggerheads, Kemp's ridley sea turtles have higher levels of PCB and DDT than leatherback and green sea turtles (Pugh and Becker 2001a). Organochlorines, including DDT, DDE, DDD, and PCBs have been identified as bioaccumulative agents and in greatest concentration in subcutaneous lipid tissue (Rybitski et al. 1995). Concentrations ranged from 7.46  $\mu\text{g/kg}$  to 607  $\mu\text{g/kg}$ , with a mean of 252  $\mu\text{g/kg}$  in lipid tissue. Five PCB congeners composed most of the contaminants: 153/132, 138/158, 180, 118, and 187 in order of concentration. PCBs have also been identified in the liver, ranging in concentration from 272  $\text{ng/g}$  to 655  $\text{ng/g}$  of wet weight, values that are several fold higher than in other sea turtle species (Lake et al. 1994). However, concentrations are reportedly 5% of that which causes reproductive failure in snapping turtles. DDE was identified to range from 137  $\text{ng/g}$  to 386  $\text{ng/g}$  wet weight. Trans-nonachlor was found at levels between 129  $\text{ng/g}$  and 275  $\text{ng/g}$  wet weight. Blood samples may be appropriate proxies for organochlorines in other body tissues (Keller et al. 2004a).

Perfluorinated compounds in the forms of PFOA and PFOS have been identified in the blood of Kemp's ridley turtles at concentrations of 39.4  $\text{ng/mL}$  and 3.57  $\text{ng/mL}$ , respectively (Keller et al. 2005). PFCAs have also been detected. It is likely that age and habitat are linked to PFC bioaccumulation.

Oil can also be hazardous to Kemp's ridley turtles, with fresh oil causing significant mortality and morphological changes in hatchlings, but aged oil having no detectable effects (Fritts and McGehee 1981). Blood levels of metals are lower in Kemp's ridley sea turtles than in other sea turtles species or similar to them, with copper (215  $\text{ng/g}$  to 1,300  $\text{ng/g}$ ), lead (0 to 34.3  $\text{ng/g}$ ), mercury (0.5  $\text{ng/g}$  to 67.3  $\text{ng/g}$ ), silver (0.042  $\text{ng/g}$  to 2.74  $\text{ng/g}$ ), and zinc (3,280  $\text{ng/g}$  to 18,900  $\text{ng/g}$ ) having been identified (Innis et al. 2008; Orvik 1997). It is likely that blood samples can be used as an indicator of metal concentration. Mercury has been identified in all turtle species studied, but are generally an order of magnitude lower than toothed whales. The higher level of contaminants found in Kemp's ridley sea turtles are likely due to this species tendency to feed higher on the food chain than other sea turtles. Females from sexual maturity through reproductive life should have lower levels of contaminants than males because contaminants are shared with progeny through egg formation.

#### **4.2.4 Loggerhead sea turtle- Northwest Atlantic DPS**

**Populations.** Five groupings represent loggerhead sea turtles by major sea or ocean basin: Atlantic, Pacific, and Indian oceans, as well as Caribbean and Mediterranean seas. As with other sea turtles, populations are frequently divided by nesting aggregation (Hutchinson and Dutton 2007). On September 22, 2011, the NMFS designated nine distinct population segments (DPSs) of loggerhead sea turtles: South Atlantic Ocean and southwest Indian Ocean as threatened as

well as Mediterranean Sea, North Indian Ocean, North Pacific Ocean, northeast Atlantic Ocean, northwest Atlantic Ocean, South Pacific Ocean, and southeast Indo-Pacific Ocean as endangered (75 FR 12598). Recent ocean-basin scale genetic analysis supports this conclusion, with additional differentiation apparent based on nesting beaches (Shamblin et al. 2014).

**Atlantic Ocean.** Western Atlantic nesting locations include The Bahamas, Brazil, and numerous locations from the Yucatán Peninsula to North Carolina (Addison 1997; Addison and Morford 1996; Marcovaldi and Chaloupka 2007). This group comprises five nesting subpopulations: Northern, Southern, Dry Tortugas, Florida Panhandle, and Yucatán. Additional nesting occurs on Cay Sal Bank (Bahamas), Cuba, the Bahamian Archipelago, Quintana Roo (Yucatan Peninsula), Colombia, Brazil, Caribbean Central America, Venezuela, and the eastern Caribbean Islands. Genetic studies indicate that, although females routinely return to natal beaches, males may breed with females from multiple populations and facilitate gene flow Bowen et al. (2005).

**Distribution.** Loggerheads are circumglobal occurring throughout the temperate and tropical regions. Loggerheads are the most abundant species of sea turtle found in US coastal waters.

**Reproduction and growth.** Loggerhead nesting is confined to lower latitudes temperate and subtropic zones but absent from tropical areas (NMFS and USFWS 1991b; NRC 1990b; Witherington et al. 2006b). The life cycle of loggerhead sea turtles can be divided into seven stages: eggs and hatchlings, small juveniles, large juveniles, subadults, novice breeders, first year emigrants, and mature breeders (Crouse et al. 1987). Hatchling loggerheads migrate to the ocean (to which they are drawn by near ultraviolet light Kawamura et al. 2009), where they are generally believed to lead a pelagic existence for as long as 7-12 years (Avens et al. 2013; NMFS 2005). Loggerhead sea turtles born along the northern Gulf of Mexico are generally likely to leave the Gulf of Mexico after hatching (Lamont et al. 2015). Loggerheads in the Mediterranean, similar to those in the Atlantic, grow at roughly 11.8 cm/yr for the first six months and slow to roughly 3.6 cm/yr at age 2.5-3.5. As adults, individuals may experience a secondary growth pulse associated with shifting into neritic habitats, although growth is generally monotypic (declines with age Casale et al. 2009a; Casale et al. 2009b). Individually-based variables likely have a high impact on individual-to-individual growth rates (Casale et al. 2009b). At 15-38 years, loggerhead sea turtles become sexually mature, although the age at which they reach maturity varies widely among populations (Casale et al. 2009b; Frazer and Ehrhart 1985b; Frazer et al. 1994; NMFS 2001; Witherington et al. 2006). However, based on new data from tag returns, strandings, and nesting surveys, NMFS (2001) estimated ages of maturity ranging from 20-38 years and benthic immature stage lasting from 14-32 years. Notably, data from several studies showed decreased growth rates of loggerheads in US Atlantic waters from 1997-2007, corresponding to a period of 43% decline in Florida nest counts (Bjorndal et al. 2013). Adult females tend to forage in neritic habitats between nesting events and just after nesting (Lamont et al. 2015).

Loggerhead mating likely occurs along migration routes to nesting beaches, as well as in offshore from nesting beaches several weeks prior to the onset of nesting (Dodd 1988; NMFS and USFWS 1998d). Females usually breed every 2-3 years, but can vary from 1-7 years (Dodd 1988; Richardson et al. 1978). Females lay an average of 4.1 nests per season (Murphy and Hopkins 1984), although recent satellite telemetry from nesting females along southwest Florida support 5.4 nests per female per season, with increasing numbers of eggs per nest during the course of the season (Tucker 2009). The authors suggest that this finding warrants revision of the number of females nesting in the region. The western Atlantic breeding season is March-August. Nesting sites appear to be related to beaches with relatively high exposure to wind or wind-generated waves (Santana Garcon et al. 2010).

**Gender, age, and survivorship.** Although information on males is limited, several studies identified a female bias, although a single study has found a strong male bias to be possible (Dodd 1988; NMFS 2001; Rees and Margaritoulis 2004). Nest temperature seems to drive sex determination. Along Florida, males primarily derive from earlier-season (LeBlanc et al. 2012). Here, nests ranged from an average sex ratio of 55% female to 85% between 2000 and 2004 (LeBlanc et al. 2012). This number has been found to be even higher in some cases (89% Rogers 2013). Juvenile and adult age classes have a slight female bias in the central Mediterranean Sea of 51.5% (Casale et al. 2014).

Additionally, little is known about longevity, although Dodd (1988) estimated the maximum female life span at 47-62 years. Towaszewicz et al. (2015) estimated that loggerhead sea turtles in the Gulf of California may not reach maturity until 25 years of age. Heppell et al. (2003a) estimated annual survivorship to be 0.81 (southeast US adult females) and 0.68-0.89 (southeast US benthic juveniles). Another recent estimate suggested a survival rate of 0.41 or 0.60 (CIs 0.20-0.65 and 0.40-0.78, respectively), depending on assumptions within the study (Sasso et al. 2011). Survival rates for hatchlings during their first year are likely very low (Heppell et al. 2003a; Heppell et al. 2003). Higher fecundity is associated with warmer February and lower May temperatures for loggerheads on the northern Gulf of Mexico (Lamont and Fujisaki 2014).

**Status and trends.** Loggerhead sea turtles were listed as threatened under the ESA of 1973 on July 28, 1978 (43 FR 32800).

There is general agreement that the number of nesting females provides a useful index of the species' population size and stability at this life stage, even though there are doubts about the ability to estimate the overall population size (Bjorndal et al. 2005). An important caveat for population trends analysis based on nesting beach data is that this may reflect trends in adult nesting females, but it may not reflect overall population growth rates well. Adult nesting females often account for less than 1% of total population numbers. The global abundance of nesting female loggerhead turtles is estimated at 43,320–44,560 (Spotila 2004).

**Atlantic Ocean.** The greatest concentration of loggerheads occurs in the Atlantic Ocean and the adjacent Caribbean Sea, primarily on the Atlantic coast of Florida, with other major



nesting areas located on the Yucatán Peninsula of Mexico, Columbia, Cuba, South Africa (EuroTurtle 2006 as cited in LGL Ltd. 2007; Márquez 1990).

Among the five subpopulations, loggerhead females lay 53,000-92,000 nests per year in the southeastern US and the Gulf of Mexico, and the total number of nesting females are 32,000-56,000. All of these are currently in decline or data are insufficient to access trends (NMFS 2001; TEWG 1998). Loggerheads from western North Atlantic nesting aggregations may or may not feed in the same regions from which they hatch. Loggerhead sea turtles from the northern nesting aggregation, which represents about 9% of the loggerhead nests in the western North Atlantic, comprise 25-59% of individuals foraging from Georgia up to the northeast US (Bass et al. 1998; Norrgard 1995; Rankin-Baransky 1997; Sears 1994; Sears et al. 1995). Loggerheads associated with the South Florida nesting aggregation occur in higher frequencies in the Gulf of Mexico (where they represent ~10% of the loggerhead captures) and the Mediterranean Sea (where they represent ~45% of loggerhead sea turtles captured). About 4,000 nests per year are laid along the Brazilian coast (Ehrhart et al. 2003).

The northern recovery unit along Georgia, South Carolina, and North Carolina has a forty-year time-series trend showing an overall decline in nesting, but the shorter comprehensive survey data (20 years) indicate a stable population (Georgia Department of Natural Resources, North Carolina Department of Natural Resources, and South Carolina Department of Natural Resources, nesting data located at [www.seaturtle.org](http://www.seaturtle.org)). NMFS scientists have estimated that the northern subpopulation produces 65% males (NMFS 2001).

The peninsular Florida recovery unit is the largest loggerhead nesting assemblage in the northwest Atlantic. A near-complete nest census (all beaches including index nesting beaches) undertaken from 1989 to 2007 showed a mean of 64,513 loggerhead nests per year, representing approximately 15,735 nesting females annually (NMFS and USFWS 2008a). The statewide estimated total for 2010 was 73,702 (FWRI nesting database). An analysis of index nesting beach data shows a 26% nesting decline between 1989 and 2008, and a mean annual rate of decline of 1.6% despite a large increase in nesting for 2008, to 38,643 nests (FWRI nesting database)(NMFS and USFWS 2008a; Witherington et al. 2009). In 2009, nesting levels, while still higher than the lows of 2004, 2006, and 2007, dropped below 2008 levels to approximately 32,717 nests, but in 2010 a large increase was seen, with 47,880 nests on the index nesting beaches (FWRI nesting database). The 2010 index nesting number is the largest since 2000. With the addition of data through 2010, the nesting trend for the northwestern Atlantic DPS is slightly negative and not statistically different from zero (no trend)(NMFS and USFWS 2010). Preliminary, unofficial reports indicate that 2011 nesting may be a high nesting year on par with 2010. Although not directly comparable to these index nesting numbers, nesting counts from 2010-2014 have shown no clear trend.

Because of its size, the south Florida subpopulation of loggerheads may be critical to the survival of the species in the Atlantic, and in the past it was considered second in size only to the Oman nesting aggregation (NMFS 2006e; NMFS and USFWS 1991b). The South Florida population

increased at ~5.3% per year from 1978-1990, and was initially increasing at 3.9-4.2% after 1990. An analysis of nesting data from 1989-2005, a period of more consistent and accurate surveys than in previous years, showed a detectable trend and, more recently (1998-2005), has shown evidence of a declining trend of approximately 22.3% (FFWCC 2007a; FFWCC 2007b; Witherington et al. 2009). This is likely due to a decline in the number of nesting females within the population (Witherington et al. 2009). Nesting data from the Archie Carr Refuge (one of the most important nesting locations in southeast Florida) over the last 6 years shows nests declined from approximately 17,629 in 1998 to 7,599 in 2004, also suggesting a decrease in population size<sup>5</sup>. Loggerhead nesting is thought to consist of just 60 nesting females in the Caribbean and Gulf of Mexico (NMFS 2006c). Based on the small sizes of almost all nesting aggregations in the Atlantic, the large numbers of individuals killed in fisheries, and the decline of the only large nesting aggregation, we suspect that the extinction probabilities of loggerhead sea turtle populations in the Atlantic are only slightly lower than those of populations in the Pacific.

**Natural threats.** Sea turtles face predation primarily by sharks and to a lesser extent by killer whales. All sea turtles except leatherbacks can undergo “cold stunning” if water temperatures drop below a threshold level, which can pose lethal effects. In January 2010, an unusually large cold-stunning event occurred throughout the southeast US, with well over 3,000 sea turtles (mostly greens but also hundreds of loggerheads) found cold-stunned. Most survived, but several hundred were found dead or died after being discovered in a cold-stunned state. High temperatures before hatchlings emerge from their nests can also reduce hatchling success, as can bacterial contamination and woody debris in nests (Trocini 2013). Eggs are commonly eaten by raccoons and ghost crabs along the eastern US (Barton and Roth 2008), in Australia (Trocini 2013), and on Cape Verde Island, where an average of 50% of eggs are consumed by ghost crabs (Marco et al. 2015). In the water, hatchlings are hunted by herons, gulls, dogfish, and sharks. Heavy loads of barnacles are associated with unhealthy or dead stranded loggerheads (Deem et al. 2009). Brevetoxin-producing algal blooms can result in loggerhead sea turtle death and pathology, with nearly all stranded loggerheads in affected areas showing signs of illness or death resulting from exposure (Fauquier et al. 2013). The fungal pathogens *Fusarium falciforme* and *F. keratoplasticum* can kill in excess of 90% of sea turtle embryos they infect and may constitute a major threat to nesting productivity under some conditions (Sarmiento-Ramirez et al. 2014).

**Anthropogenic threats.** Anthropogenic threats impacting loggerhead nesting habitat are numerous: coastal development and construction, placement of erosion control structures, beachfront lighting, vehicular and pedestrian traffic, sand extraction, beach erosion, beach nourishment, beach pollution, removal of native vegetation, and planting of non-native

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<sup>5</sup> While this is a long period of decline relative to the past observed nesting pattern at this location, aberrant ocean surface temperatures complicate the analysis and interpretation of these data. Although caution is warranted in interpreting the decreasing nesting trend given inherent annual fluctuations in nesting and the short time period over which the decline has been noted, the recent nesting decline at this nesting beach is reason for concern.

vegetation (Baldwin 1992; Margaritoulis et al. 2003; Mazaris et al. 2009b; Patino-Martinez 2013; USFWS 1998). Surprisingly, beach nourishment also hampers nesting success, but only in the first year post-nourishment before hatching success increases (Brock et al. 2009).

Loggerhead sea turtles face numerous threats in the marine environment as well, including oil and gas exploration, marine pollution, trawl, purse seine, hook and line, gill net, pound net, longline, and trap fisheries, underwater explosions, dredging, offshore artificial lighting, power plant entrapment, entanglement in debris, ingestion of marine debris, marina and dock construction and operation, boat collisions, and poaching.

The major factors inhibiting their recovery include mortalities caused by fishery interactions and degradation of the beaches on which they nest. Shrimp trawl fisheries account for the highest number of captured and killed loggerhead sea turtles. Along the Atlantic coast of the US, the NMFS estimated that shrimp trawls capture almost 163,000 loggerhead sea turtles each year in the Gulf of Mexico, of which 3,948 die. However, more recent estimates from suggest interactions and mortality has decreased from pre-regulatory periods, with a conservative estimate of 26,500 loggerheads captured annually in US Atlantic fisheries causing mortality up to 1,400 individuals per year (Finkbeiner et al. 2011). Commercial gillnet fisheries are estimated to have killed 52 loggerheads annually along the US mid-Atlantic (Murray 2013). Each year, various fisheries capture about 2,000 loggerhead sea turtles in Pamlico Sound, of which almost 700 die.

Wallace et al. (2010) estimated that between 1990 and 2008, at least 85,000 sea turtles were captured as bycatch in fisheries worldwide. This estimate is likely at least two orders of magnitude low, resulting in a likely bycatch of nearly half a million sea turtles annually (Wallace et al. 2010); many of these are expected to be loggerhead sea turtles. Major sea turtle bycatch in longline fisheries occurs off the US east coast (Lewison et al. 2014).

Marine debris ingestion can be a widespread issue for loggerhead sea turtles. More than one-third of loggerheads found stranded or bycaught had ingested marine debris in a Mediterranean study, with possible mortality resulting in some cases (Lazar and Gračan 2010). Another study in the Tyrrhenian Sea found 71% of stranded and bycaught sea turtles had plastic debris in their guts (Campani et al. 2013). Another threat marine debris poses is to hatchlings on beaches escaping to the sea. Two thirds of loggerheads contacted marine debris on their way to the ocean and many became severely entangled or entrapped by it (Triessnig et al. 2012).

Climate change may also have significant implications on loggerhead populations worldwide. In addition to potential loss of nesting habitat due to sea level rise, loggerhead sea turtles are very sensitive to temperature as a determinant of sex while incubating. Ambient temperature increase by just 1°-2° C can potentially change hatchling sex ratios to all or nearly all female in tropical and subtropical areas (Hawkes et al. 2007). Over time, this can reduce genetic diversity, or even population viability, if males become a small proportion of populations (Hulin et al. 2009). Sea surface temperatures on loggerhead foraging grounds correlate to the timing of nesting, with higher temperatures leading to earlier nesting (Mazaris et al. 2009a; Schofield et al. 2009) as

well as to greater fecundity (Lamont and Fujisaki 2014). Higher ocean temperatures during February and lower May temperatures were associated with higher nesting success in the Gulf of Mexico (Lamont and Fujisaki 2014). Increasing ocean temperatures may also lead to reduced primary productivity and eventual food availability. Warmer temperatures may also decrease the energy needs of a developing embryo (Reid et al. 2009). Pike (2014) estimated that loggerhead populations in tropical areas produce about 30% fewer hatchlings than do populations in temperate areas. Historical climactic patterns have been attributed to the decline in loggerhead nesting in Florida, but evidence for this is tenuous (Reina et al. 2013).

Tissues taken from loggerheads sometimes contain very high levels of organochlorines chlorobiphenyl, chlordanes, lindane, endrin, endosulfan, dieldrin, PFOS, PFOA, DDT, and PCB (Alava et al. 2006; Corsolini et al. 2000; Gardner et al. 2003; Guerranti et al. 2013; Keller et al. 2005; Keller et al. 2004a; Keller et al. 2004b; McKenzie et al. 1999; Monagas et al. 2008; Oros et al. 2009; Perugini et al. 2006; Rybitski et al. 1995; Storelli et al. 2007b). It appears that levels of organochlorines have the potential to suppress the immune system of loggerhead sea turtles and may affect metabolic regulation (Keller et al. 2004c; Keller et al. 2006; Oros et al. 2009). These contaminants could cause deficiencies in endocrine, developmental, and reproductive health (Storelli et al. 2007b). It is likely that the omnivorous nature of loggerheads makes them more prone to bioaccumulating toxins than other sea turtle species (Godley et al. 1999; McKenzie et al. 1999). PAH pollution from petroleum origins has been found in Cape Verde loggerheads, where oil and gas extraction is not undertaken in the marine environment (Camacho et al. 2012).

Heavy metals, including arsenic, barium, cadmium, chromium, iron, lead, nickel, selenium, silver, copper, zinc, and manganese, have also been found in a variety of tissues in levels that increase with turtle size (Anan et al. 2001; Fujihara et al. 2003; Garcia-Fernandez et al. 2009; Gardner et al. 2006; Godley et al. 1999; Saeki et al. 2000; Storelli et al. 2008). These metals likely originate from plants and seem to have high transfer coefficients (Anan et al. 2001; Celik et al. 2006; Talavera-Saenz et al. 2007). Elevated mercury levels are associated with deformities in hatchlings versus healthy individuals (Trocini 2013).

Loggerhead sea turtles have higher mercury levels than any other sea turtle studied, but concentrations are an order of magnitude less than many toothed whales (Godley et al. 1999; Pugh and Becker 2001b). Arsenic occurs at levels several fold more concentrated in loggerhead sea turtles than marine mammals or seabirds.

Also of concern is the spread of antimicrobial agents from human society into the marine environment. Loggerhead sea turtles may harbor antibiotic-resistant bacteria, which may have developed and thrived as a result of high use and discharge of antimicrobial agents into freshwater and marine ecosystems (Foti et al. 2009).

## 5 ENVIRONMENTAL BASELINE

The “environmental baseline” includes the past and present impacts of all Federal, state, or private actions and other human activities in the action area, the anticipated impacts of all proposed Federal projects in the action area that have already undergone formal or early section 7 consultation, and the impact of state or private actions which are contemporaneous with the consultation in process (50 CFR 402.02).

### 5.1 Habitat degradation

A number of factors may be directly or indirectly affecting listed species in the action area by degrading habitat. These include ocean noise, marine debris, and fisheries impacts.

In-water construction activities (e.g., pile driving associated with shoreline projects) in both inland waters as well as coastal waters in the action area can produce sound levels sufficient to disturb sea turtles under some conditions. Pressure levels from 190-220 decibels (dB) re 1 micropascal ( $\mu\text{Pa}$ ) were reported for piles of different sizes in a number of studies (NMFS 2006b). The majority of the sound energy associated with pile driving is in the low frequency range (<1,000 Hertz) (Illingworth and Rodkin Inc. 2001; Illingworth and Rodkin Inc. 2004; Reyff 2003), which is the frequency range sea turtles hear best at. Dredging operations also have the potential to emit sounds at levels that could disturb sea turtles. Depending on the type of dredge, peak sound pressure levels from 100 to 140 dB re 1  $\mu\text{Pa}$  were reported in one study (Clarke et al. 2003). As with pile driving, most of the sound energy associated with dredging is in the low-frequency range, <1000 Hertz (Clarke et al. 2003).

Several measures have been adopted to reduce the sound pressure levels associated with in-water construction activities or prevent exposure of sea turtles to sound. For example, a six-inch block of wood placed between the pile and the impact hammer used in combination with a bubble curtain can reduce sound pressure levels by about 20 dB (NMFS 2008). Alternatively, pile driving with vibratory hammers produces peak pressures that are about 17 dB lower than those generated by impact hammers (Nedwell and Edwards 2002). Other measures used in the action area to reduce the risk of disturbance from these activities include avoidance of in-water construction activities during times of year when sea turtles may be present; monitoring for sea turtles during construction activities; and maintenance of a buffer zone around the project area, within which sound-producing activities would be halted when sea turtles enter the zone (NMFS 2008).

Marine debris is a significant concern for listed species and their habitats. Marine debris has been discovered to be accumulating in gyres throughout the oceans. The input of plastics into the marine environment also constitutes a significant degradation to the marine environment. In 2010, an estimated 4.8-12.7 million metric tons of plastic entered the ocean globally (Baulch and Simmonds 2015). Law et al. (2010) presented a time series of plastic content at the surface of the western North Atlantic Ocean and Caribbean Sea from 1986 to 2008. More than 60% of 6,136 surface plankton net tows collected small, buoyant plastic pieces. The data identified an

accumulation zone east of Bermuda that is similar in size to the accumulation zone in the Pacific Ocean.

For sea turtles, marine debris is a problem due primarily to individuals ingesting debris and blocking the digestive tract, causing death or serious injury (Laist et al. 1999; Lutcavage et al. 1997a). Gulko and Eckert (2003) estimated that between one-third and one-half of all sea turtles ingest plastic at some point in their lives; this figure is supported by data from Lazar and Gračan (Lazar and Gračan 2010), who found 35% of loggerheads had plastic in their gut. Over 50% of loggerheads had marine debris in their guts (greater than 96% of which was plastic) in the Indian Ocean (Hoarau et al. 2014). One study found 37% of dead leatherback turtles had ingested various types of plastic (Mrosovsky et al. 2009). A Brazilian study found that 60% of stranded green sea turtles had ingested marine debris (primarily plastic and oil; (Bugoni et al. 2001)). Loggerhead sea turtles had a lesser frequency of marine debris ingestion. Plastic is possibly ingested out of curiosity or due to confusion with prey items; for example, plastic bags can resemble jellyfish (Milton and Lutz 2003b). Marine debris consumption has been shown to depress growth rates in post-hatchling loggerhead sea turtles, elongating the time required to reach sexual maturity and increasing predation risk (McCauley and Bjorndal 1999). Sea turtles can also become entangled and die in marine debris, such as discarded nets and monofilament line (Laist et al. 1999; Lutcavage et al. 1997a; NRC 1990c; O'Hara et al. 1988). Studies of shore cleanups have found that marine debris washing up along the northern Gulf of Mexico shoreline amounts to about 100 kg/km (ACC 2010; LADEQ 2010; MASGC 2010; TGLO 2010). Sea turtles can also become entangled and die in marine debris, such as discarded nets and monofilament line (Laist et al. 1999; Lutcavage et al. 1997a; NRC 1990c; O'Hara et al. 1988).

## **5.2 Entrapment and entanglement in fishing gear**

Globally, 6.4 million tons of fishing gear is lost in the oceans every year (Wilcox et al. 2015). Fishery interaction remains a major factor in sea turtle recovery and, frequently, the lack thereof. NMFS (2002b) estimated that 62,000 loggerhead sea turtles have been killed as a result of incidental capture and drowning in shrimp trawl gear. Although turtle excluder devices and other bycatch reduction devices have significantly reduced the level of bycatch to sea turtles and other marine species in US waters, mortality still occurs in Gulf of Mexico waters.

In addition to commercial bycatch, recreational hook-and-line interaction also occurs. Cannon and Flanagan (1996) reported that from 1993 to 1995, at least 170 Kemp's ridley sea turtles were hooked or tangled by recreational hook-and-line gear in the northern Gulf of Mexico. Of these, 18 were dead stranded turtles, 51 were rehabilitated turtles, five died during rehabilitation, and 96 were reported as released by fishermen.

## **5.3 Dredging**

Marine dredging vessels are common within US coastal waters. Construction and maintenance of federal navigation channels and dredging in sand mining sites have been identified as sources of sea turtle mortality and are currently being undertaken along the US East Coast, such as in Port

Everglades, Florida. Hopper dredges in the dredging mode are capable of moving relatively quickly compared to sea turtle swimming speed and can thus overtake, entrain, and kill sea turtles as the suction draghead(s) of the advancing dredge overtakes the resting or swimming turtle. Entrained sea turtles rarely survive. Relocation trawling frequently occurs in association with dredging projects to reduce the potential for dredging to injure or kill sea turtles (Dickerson et al. 2007). Dredging has been documented to capture or kill 168 sea turtles from 1995 to 2009 in the Gulf of Mexico, including 97 loggerheads, 35 Kemp's ridleys, 32 greens, and three unidentified sea turtles (USACOE 2010).

#### **5.4 US Navy training and testing activities**

Naval activity, notable sonar use during training exercises, has gained notoriety for its coincidence with marine mammal strandings. However, other activities (also during training exercises in designated naval operating areas and training ranges) also have the potential to adversely harm sea turtles. Species occurring in the action area could experience stressors from several naval training ranges or facilities listed below. Listed individuals travel widely in the North Atlantic and could be exposed to naval activities in several ranges.

- The Virginia Capes, Cherry Point, and Jacksonville-Charleston Operating Areas, which are situated consecutively along the migratory corridor for sea turtles, and
- The Key West, Gulf of Mexico, Bermuda, and Puerto Rican Complexes have the potential to overlap the range of sea turtles species.

Naval activities to which individuals could be exposed include, among others, vessel and aircraft transects, munition detonations, and sonar use.

Anticipated impacts from harassment include changes from foraging, resting, and other behavioral states that require lower energy expenditures to traveling, avoidance, and behavioral states that require higher energy expenditures and, therefore, would represent significant disruptions of the normal behavioral patterns of the animals that have been exposed. Behavioral responses that result from stressors associated with these training activities are expected to be temporary and would not affect the reproduction, survival, or recovery of these species.

From 2009-2012, NMFS issued a series of biological opinions to the US Navy for training activities occurring within their Virginia Capes, Cherry Point and Jacksonville Range Complexes that anticipated annual levels of take of listed species incidental to those training activities through 2014. During the proposed activities 344 hardshell sea turtles (any combination of green, hawksbill, Kemp's ridley, or Northwest Atlantic loggerhead sea turtles) per year are expected to be harassed as a result of their behavioral responses to mid- and high frequency active sonar transmissions.

In 2014, NMFS issued a biological opinion to the U. S. Navy on all testing and training activities in the Atlantic basin (Table 4 and

Table 5). These actions would include the same behavioral and hearing loss effects as described above, but would also include other sub-lethal injuries that lead to fitness consequences and mortality that can lead to the loss of individuals from their populations.

**Table 4. Annual take authorized for U.S. Navy testing activities in the North Atlantic.**

Sea turtle species	Behavioral and temporary threshold shift	Permanent threshold shift	Organ injury	Mortality
Hardshell sea turtles	5,132	10	242	49
Kemp's ridley	292	0	17	4
Loggerhead	1,017	15	578	81

**Table 5. Annual take authorized for U.S. Navy training activities in the North Atlantic.**

Sea turtle species	Behavioral and temporary threshold shift	Permanent threshold shift	Organ injury	Mortality
Hardshell sea turtles	12,216	22	4	2
Kemp's ridley	302	2	1	1
Loggerhead	16,812	34	7	4

## 5.5 Pollutants

The Gulf of Mexico is a sink for massive levels of pollution from a variety of marine and terrestrial sources, which ultimately can interfere with ecosystem health and particularly that of sea turtles (see *Status of ESA-listed and Proposed Species* section). Sources include the petrochemical industry in and along the Gulf of Mexico, wastewater treatment plants, septic systems, industrial facilities, agriculture, animal feeding operations, and improper refuse disposal. The Mississippi River drains 80% of United States cropland (including the fertilizers, pesticides, herbicides, and other contaminants that are applied to it) and discharges into the Gulf of Mexico (MMS 1998). Agricultural discharges, as well as discharges from large urban centers (ex.: Tampa) contribute contaminants as well as coliform bacteria to Gulf of Mexico habitats (Garbarino et al. 1995). These contaminants can be carried long distances from terrestrial or nearshore sources and ultimately accumulate in offshore pelagic environments (USCOP 2004). The ultimate impacts of this pollution are poorly understood.



Significant attention has been paid to nutrient enrichment of Gulf of Mexico waters, which leads to algal blooms (including harmful algal blooms), oxygen depletion, loss of seagrass and coral reef habitat, and the formation of a hypoxic “dead zone” (USCOP 2004). This hypoxic event occurs annually from as early as February to as late as October, spanning roughly 12,700 square kilometers (km<sup>2</sup>) (although in 2005 the “dead zone” grew to a record size of 22,000 km<sup>2</sup>) from the Mississippi River Delta to Galveston, Texas (LUMCON 2005; MMS 1998; Rabalais et al. 2002; USGS 2010). Although sea turtles do not extract oxygen from sea water, numerous staple prey items of sea turtles, such as fish, shrimp, and crabs, do and are killed by the hypoxic conditions (Craig et al. 2001). More generally, the “dead zone” decreases biodiversity, alters marine food webs, and destroys habitat (Craig et al. 2001; Rabalais et al. 2002). High nitrogen loads entering the Gulf of Mexico from the Mississippi River is the likely culprit; nitrogen concentrations entering the Gulf of Mexico have increased three fold over within 60 years (Rabalais et al. 2002).

## 5.6 Oil spills and releases

Oil pollution has been a significant concern in the Gulf of Mexico for several decades due to the large amount of extraction and refining activity in the region. Routine discharges into the northern Gulf of Mexico (not including oil spills) include roughly 88,200 barrels of petroleum per year from municipal and industrial wastewater treatment plants and roughly 19,250 barrels from produced water discharged overboard during oil and gas operations (MMS 2007b; USN 2008). These sources amount to over 100,000 barrels of petroleum discharged into the northern Gulf of Mexico annually. Although this is only 10% of the amount discharged in a major oil spill, such as the Exxon *Valdez* spill (roughly 1 million barrels), this represents a significant and “unseen” threat to Gulf of Mexico wildlife and habitats. Generally, accidental oil spills may amount to less than 24,000 barrels of oil discharged annually in the northern Gulf of Mexico, making non-spilled oil normally one of the leading sources of oil discharge into the Gulf of Mexico, although incidents such as the 2010 *Deepwater Horizon* incident are exceptional (MMS 2007a). The other major source from year to year is oil naturally seeping into the northern Gulf of Mexico. Although exact figures are unknown, natural seepage is estimated at between 120,000 and 980,000 barrels of oil annually (MacDonald et al. 1993; MMS 2007b).

Although non-spilled oil is the primary contributor to oil introduced into the Gulf of Mexico, concern over accidental oil spills is well-founded. Over five million barrels of oil and one million barrels of refined petroleum products are transported in the northern Gulf of Mexico daily (MMS 2007b); worldwide, it is estimated that 900,000 barrels of oil are released into the environment as a result of oil and gas activities (Epstein and (Eds.). 2002). Even if a small fraction of the annual oil and gas extraction is released into the marine environment, major, concentrated releases can result in significant environmental impacts. Because of the density of oil extraction, transport, and refining facilities in the Houston/Galveston and Mississippi Delta areas (and the extensive activities taking place at these facilities), these locations have the greatest probability of experiencing oil spills. Oil released into the marine environment contains aromatic organic

chemicals known to be toxic to a variety of marine life; these chemicals tend to dissolve into the air to a greater or lesser extent, depending on oil type and composition (Yender et al. 2002). Solubility of toxic components is generally low, but does vary and can be relatively high (0.5-167 parts per billion; (Yender et al. 2002)). Use of dispersants can increase oil dispersion, raising the levels of toxic constituents in the water column, but speeding chemical degradation overall (Yender et al. 2002). The remaining oil becomes tar, which forms floating balls that can be transported thousands of kilometers into the North Atlantic. The most toxic chemicals associated with oil can enter marine food chains and bioaccumulate in invertebrates such as crabs and shrimp to a small degree (prey of some sea turtles (Law and Hellou 1999; Marsh et al. 1992)), but generally do not bioaccumulate or biomagnify in finfish (Baussant et al. 2001; Meador et al. 1995; Varanasi et al. 1989; Yender et al. 2002). Sea turtles are known to ingest and attempt to ingest tar balls, which can block their digestive systems, impairing foraging or digestion and potentially causing death (NOAA 2003), ultimately reducing growth, reproductive success, as well as increasing mortality and predation risk (Fraser 2014). Tarballs were found in the digestive tracts of 63% of post hatchling loggerheads in 1993 following an oil spill and 20% of the same species and age class in 1997 (Fraser 2014). Although the effects of dispersant chemicals on sea turtles is unknown, testing on other organisms have found currently used dispersants to be less toxic than those used in the past (NOAA 2003). It is possible that dispersants can interfere with surfactants in the lungs (surfactants prevent the small spaces in the lungs from adhering together due to surface tension, facilitating large surface areas for gas exchange), as well as interfere with digestion, excretion, and salt gland function (NOAA 2003). Oil exposure can also cause acute damage on direct exposure to oil, including skin, eye, and respiratory irritation, reduced respiration, burns to mucous membranes such as the mouth and eyes, diarrhea, gastrointestinal ulcers and bleeding, poor digestion, anemia, reduced immune response, damage to kidneys or liver, cessation of salt gland function, reproductive failure, and death (NOAA 2003; NOAA 2010b; Vargo et al. 1986a; Vargo et al. 1986c; Vargo et al. 1986b). Nearshore spills or large offshore spills can oil beaches on which sea turtles lay their eggs, causing birth defects or mortality in the nests (NOAA 2003; NOAA 2010b).

Several oil spills have impacted the northern Gulf of Mexico over the past few years, largely due to hurricanes. The impacts of Hurricane Ivan in 2004 on the Gulf Coast included pipeline damage causing 16,000 barrels of oil to be released and roughly 4,500 barrels of petroleum products from other sources (BOEMRE 2010; USN 2008). The next year, Hurricane Katrina caused widespread damage to onshore oil storage facilities, releasing 191,000 barrels of oil (LHR 2010). Another 4,530 barrels of oil were released from 70 other smaller spills associated with hurricane damage. Shortly thereafter, Hurricane Rita damaged offshore facilities resulting in 8,429 barrels of oil released (USN 2008).

Major oil spills have impacted the Gulf of Mexico for decades (NMFS 2010). Until 2010, the largest oil spill in North America occurred in the Bay of Campeche (1979), when a well “blew out”, allowing oil to flow into the marine environment for nine months, releasing 2.8-7.5 million barrels of oil. Oil from this release eventually reached the Texas coast, including the Kemp’s

ridley sea turtle nesting beach at Rancho Nuevo, where 9,000 hatchlings were airlifted and released offshore (NOAA 2003). Over 7,600 m<sup>3</sup> of oiled sand was eventually removed from Texas beaches and 200 gallons of oil were removed from the area around Rancho Nuevo (NOAA 2003). Eight dead and five live sea turtles were recovered during the oil spill event; although cause of deaths were not determined, oiling was suspected to play a part (NOAA 2003). Also in 1979, the oil tanker *Burmah Agate* collided with another vessel near Galveston, Texas, causing an oil spill and fire that ultimately released 65,000 barrels of oil into estuaries, beachfronts, and marshland along the northern and central Texas coastline (NMFS 2010). Clean-up of these areas was not attempted due to the environmental damage such efforts would have caused. Another 195,000 barrels of oil are estimated to have been burned in a multi-month-long fire aboard the *Burmah Agate* (NMFS 2010). The tanker *Alvenus* grounded in 1984 near Cameron, Louisiana, spilling 65,500 barrels of oil which spread west along the shoreline to Galveston (NMFS 2010). One oiled sea turtle was recovered and released (NOAA 2003). In 1990, the oil tanker *Megaborg* experienced an accident near Galveston during the lightering process and released 127,500 barrels of oil, most of which burned off in the ensuing fire (NMFS 2010).

On April 20 2010, a fire and explosion occurred aboard the semisubmersible drilling platform *Deepwater Horizon* roughly 80 km southeast of the Mississippi Delta (NOAA 2010a). The platform had 17,500 barrels of fuel aboard, which likely burned, escaped, or sank with the platform (NOAA 2010a). However, once the platform sank, the riser pipe connecting the platform to the wellhead on the seafloor broke in multiple locations, initiating an uncontrolled release of oil from the exploratory well. Over the next three months, oil was released into the Gulf of Mexico, resulting in oiled regions of Texas, Louisiana, Mississippi, Alabama, and Florida and widespread oil slicks throughout the northern Gulf of Mexico that closed more than one-third of the Gulf of Mexico Exclusive Economic Zone to fishing due to contamination concerns. Apart from the widespread surface slick, massive undersea oil plumes formed, possibly through the widespread use of dispersants and reports of tarballs washing ashore throughout the region were common. Although estimates vary, roughly 4.1 million barrels of oil were released directly into the Gulf of Mexico (USDOJ 2012). During surveys in offshore oiled areas, 1,050 sea turtles were seen and half of these were captured (Witherington et al. 2012). Of the 520 sea turtles captured, 394 showed signs of being oiled (Witherington et al. 2012). A large majority of these were juveniles, mostly green (311) and Kemp's ridley sea turtles (451) (Witherington et al. 2012). An additional 78 adult or subadult loggerheads were observed (Witherington et al. 2012). However, specific causes of injury or death have not yet been established for many of these individuals as investigations into the role of oil in these animals' health status continue. Captures of sea turtles along the Louisiana's Chandeleur Islands in association with emergency sand berm construction resulted in 185 loggerheads, eight Kemp's ridley, and a single green sea turtle being captured and relocated (Dickerson and Bargo 2012). In addition, 274 nests along the Florida panhandle were relocated that ultimately produced 14,700 hatchlings, but also had roughly 2% mortality associated with the translocation (MacPherson et

al. 2012). Females that laid these nests continued to forage in the area, which was exposed to the footprint of the oil spill (Hart et al. 2014). Large areas of *Sargassum* were affected, with some heavily oiled or dispersant-coated *Sargassum* sinking and other areas accumulating oil where sea turtles could inhale, ingest, or contact it (Powers et al. 2013; USDOJ 2012). Of 574 sea turtles observed in these *Sargassum* areas, 464 were oiled (USDOJ 2012).

Oil can also cause indirect effects to sea turtles through impacts to habitat and prey organisms. Seagrass beds may be particularly susceptible to oiling as oil contacts grass blades and sticks to them, hampering photosynthesis and gas exchange (Wolfe et al. 1988). If spill cleanup is attempted, mechanical damage to seagrass can result in further injury and long-term scarring. Loss of seagrass due to oiling would be important to green sea turtles, as this is a significant component of their diets (NOAA 2003). The loss of invertebrate communities due to oiling or oil toxicity would also decrease prey availability for hawksbill, Kemp's ridley, and loggerhead sea turtles (NOAA 2003). Furthermore, Kemp's ridley and loggerhead sea turtles, which commonly forage on crustaceans and mollusks, may ingest large amounts of oil due to oil adhering to the shells of these prey and the tendency for these organisms to bioaccumulate the toxins found in oil (NOAA 2003). It is suspected that oil adversely impacted the symbiotic bacteria in the gut of herbivorous marine iguanas when the Galapagos Islands experienced an oil spill, contributing to a >60% decline in local populations the following year. The potential exists for green sea turtles to experience similar impacts, as they also harbor symbiotic bacteria to aid in their digestion of plant material (NOAA 2003). Dispersants are believed to be as toxic to marine organisms as oil itself.

### **5.7 Seismic surveys and oil and gas development**

The northern Gulf of Mexico is the location of massive industrial activity associated with oil and gas extraction and processing. Over 4,000 oil and gas structures are located outside of state waters in the northern Gulf of Mexico; 90% of these occur off Louisiana and Texas (USN 2009). This is both detrimental and beneficial for sea turtles. These structures appreciably increase the amount of hard substrate in the marine environment, providing shelter and foraging opportunities for species like loggerhead sea turtles (Parker et al. 1983; Stanley and Wilson 2003). However, the Minerals Management Service requires that structures must be removed within one year of lease termination. Many of these structures are removed by explosively severing the underwater supportive elements, which produces a shock wave that kills, injures, or disrupts marine life in the blast radius (Gitschlag et al. 1997). For sea turtles, this means death or serious injury for individuals within a few hundred meters of the structure and overt behavioral (potentially physiological) impacts for individuals further out (Duronslet et al. 1986; Klima et al. 1988). Although observers and procedures are in place to mitigate impacts to sea turtles (i.e., not blasting when sea turtles are present), not all sea turtles are observed all the time and low-level sea turtle injury and mortality still occurs (Gitschlag and Herczeg 1994; Gitschlag et al. 1997); two loggerheads were killed in August 2010 (G. Gitschlag, NOAA, pers. comm.). Current annual authorized takes due to the Minerals Management Services' Outer Continental Shelf oil and gas

exploration, development, production, and abandonment activities are 30 sea turtles, including no more than one each of Kemp's ridley, green, hawksbill, or leatherback turtles and no more than ten loggerhead turtles (NMFS 1988). These levels were far surpassed by the *Deepwater Horizon* incident.

## 5.8 Hurricanes

The Gulf of Mexico is prone to major tropical weather systems, including tropical storms and hurricanes. The impacts of these storms on sea turtles in the marine environment is not known, but storms can cause major impacts to sea turtle eggs on land, as nesting frequently overlaps with hurricane season, particularly Kemp's ridley sea turtles (NRC 1990c). Mortality can result both from drowning of individuals while still in the egg or emerging from the nest as well as causing major topographic alteration to beaches, preventing hatchling entry to marine waters. Kemp's ridley sea turtles are likely highly sensitive to hurricane impacts, as their only nesting locations are in a limited geographic area along southern Texas and northern Mexico (Milton et al. 1994). In 2010, Hurricane Alex made landfall in this area; surprisingly, few nests were lost (Jaime Pena, Gladys Porter Zoo, pers. comm.). Tropical storm Hermine arrived too late in 2010 to impact eggs or hatchlings at Rancho Nuevo (Donna Shaver, NPS, pers. comm.).

## 5.9 Invasive species

Invasive species have been referred to as one of the top four threats to the world's oceans consistently ranked behind habitat degradation and alteration (Pughiuc 2010; Raaymakers 2003; Raaymakers and Hilliard 2002; Terdalkar et al. 2005; Wambiji et al. 2007). In most cases, habitat is directly affected by human alterations, such as hydromodification, mining, dredging, drilling, and construction. However, invasive species, facilitated by human commerce or climate change, have the ability to directly alter ecosystems on which listed species rely.

Invasive species are a major threat to many ESA-listed species. For species listed by the USFWS, 26% were listed partially because of the impacts of invasive species and 7% were listed because invasive species were the major cause of listing (Anttila et al. 1998). Pimentel et al. (2004) found that roughly 40% of listed species are at risk of becoming endangered or extinct completely or in part because of invasive species, while Wilcove et al. (1998) found this to be 49%, with 27% of invertebrates, 37% of reptiles, 53% of fishes, and 57% of plants imperiled partly or wholly because of non-native invasions. In some regions of the world, up to 80% of species facing extinction are threatened by invasive species (Pimentel et al. 2004; Yan et al. 2002). Clavero and Garcia-Bertro (2005) found that invasive species were a contributing cause to over half of the extinct species in the International Union for the Conservation of Nature database; invasive species were the only cited cause in 20% of those cases. Richter et al. (1997) identified invasive species as one of three top threats to threatened and endangered freshwater species in the US as a whole.

Although we recognize that invasive species are a major driver of native species decline and contributor to listing, invasive species have not yet been identified in the action area as being

significant to the biology of the ESA-listed resources here. We do recognize that many invasions have and continue to go undetected and likely have consequences outside the bounds of current knowledge. We considered this uncertainty in this consultation and expect that habitat alteration (resulting in prey base shifts) as well as parasite and disease exposure may have in the past or presently be impacting sea turtles.

### **5.10 Entrainment in power plants**

Sea turtles entering coastal or inshore areas have been affected by entrainment in the cooling-water systems of electrical generating plants. A comprehensive biological opinion that covers all power plant cooling water intakes was issued by the USFWS and NMFS in May 2014, but does not identify amount or extent of ESA-listed species expected to be taken. This will be undertaken on a case-by-case basis for each power plant, but would generally involve stress from being captured in entrainment structures and mortality of individuals stuck on entrainment grates or sucked into coolant systems.

### **5.11 Ship-strikes**

Sea turtle ship strikes are a poorly-studied threat, but has the potential to be an important source of mortality to sea turtle populations (Work et al. 2010). All sea turtles must surface to breath and several species are known to bask at the surface for long periods. Although sea turtles can move rapidly, sea turtles apparently are not able to move out of the way of vessels moving at more than 4 km/hour; most vessels move far faster than this in open water (Hazel et al. 2007; Work et al. 2010). This, combined with the massive level of vessel traffic in the Gulf of Mexico, has the potential to result in frequent injury and mortality to sea turtles in the region (MMS 2007b). Hazel et al. (2007) suggested that green sea turtles may use auditory cues to react to approaching vessels rather than visual cues, making them more susceptible to strike as vessel speed increases. Each state along the Gulf of Mexico has several hundred thousand recreational vessels registered, including Florida with nearly one million-the highest number of registered boats in the United States-and Texas with over 600,000 (ranked sixth nationally)(NMMA 2007; USCG 2003; USCG 2005). Commercial vessel operations are also extensive. Vessels servicing the offshore oil and gas industry are estimated to make 115,675-147,175 trips annually, apart from commercial vessels travelling to and from some of the largest ports in the US (such as New Orleans and Houston)(MMS 2007a; USN 2008).

Sea turtles may also be harassed by the high level of helicopter activity over Gulf of Mexico waters. It is estimated that between roughly 900,000 and 1.5 million helicopter take-offs and landings are undertaken in association with oil and gas activities in the Gulf of Mexico annually (NRC 1990c; USN 2008). This likely includes numerous overflights of sea turtles, an activity which has been observed to startle and at least temporarily displace sea turtles (USN 2009).

### **5.12 Scientific research and permits**

Scientific research permits issued by the NMFS currently authorize studies of ESA-listed species in the North Atlantic Ocean, some of which extend into portions of the action area for the

proposed project. Authorized research on ESA-listed sea turtles includes capture, handling, and restraint, satellite, sonic, and PIT tagging, blood and tissue collection, lavage, ultrasound, captive experiments, laparoscopy, and imaging. Research activities involve “takes” by harassment, with some resulting mortality. It is noteworthy that although the numbers tabulated below represent the maximum number of “takes” authorized in a given year, monitoring and reporting indicate that the actual number of “takes” rarely approach the number authorized. Therefore, it is unlikely that the level of exposure to research techniques indicated below has or will occur in the near term. However, our analysis assumes that these “takes” will occur since they have been authorized. It is also noteworthy that these “takes” are distributed across the Atlantic Ocean, mostly from Florida to Maine, and in the eastern Gulf of Mexico. Although sea turtles are generally wide-ranging, we do not expect many of the authorized “takes” to involve individuals who would also be “taken” under the proposed research considered in this opinion. There are numerous permits<sup>6</sup> issued since 2009 under the provisions of the ESA authorizing scientific research on sea turtles. The consultations which took place on the issuance of these ESA scientific research permits each found that the authorized activities would not result in jeopardy to the species or adverse modification of designated critical habitat.

Tables 6 through 9 show the number of takes authorized for green, hawksbill, Kemp’s ridley, and loggerhead sea turtles in the action area in scientific research permits.

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<sup>6</sup> Permit numbers: 633-1778, 775-1875, 1036-1744, 1058-1733, 10014, 14451, 14856, 15575, 16109, 16239, 16325, 16388, and 17355. See <https://apps.nmfs.noaa.gov/index.cfm> for additional details.

**Table 6. Green sea turtle takes in the Atlantic Ocean.**

Year	Capture/handling /restraint	Satellite,sonic, or pit tagging	Blood/tissue collection	Lavage	Ultrasound	Captive experiment	Laparoscopy	Imaging	Mortality
2009	3,093	3,093	3,009	1,860	555	66	74	72	6
2010	3,753	3,753	3,669	2,480	555	66	74	72	6
2011	4,255	4,255	3,505	2,990	564	66	74	72	20
2012	3,354	3,354	2,622	2,210	704	66	74	72	18.2
2013	5,001	5,001	4,325	3,654	1,903	91	398	396	4.2
2014	4,336	3,686	3,660	3,044	1,408	65	324	324	4.2
2015	4,280	3,630	3,610	3,044	1,408	65	324	324	4.2
2016	2,960	2,960	2,940	1,734	1,408	65	324	324	4.2
<b>Total</b>	<b>31,032</b>	<b>29,732</b>	<b>27,340</b>	<b>21,016</b>	<b>8,505</b>	<b>550</b>	<b>1,666</b>	<b>1,656</b>	<b>67</b>

Permit numbers: 1450, 1462, 1501, 1506, 1507, 1518, 1522, 1526, 1527, 1540, 1544, 1551, 1552, 1570, 1571, 1576, 10014, 10022, 13306, 13307, 13543, 13544, 13573, 14506, 14508, 14622, 14655, 14726, 14949, 15112, 15135, 15552, 15556, 15575, 15606, 15802, 16134, 16146, 16174, 16194, 16253, 16556, 16598, 16733, 17183, 17304, 17355, 17381, 17506, and 18069.



**Table 7. Hawksbill sea turtle takes in the Atlantic Ocean.**

Year	Capture/handling /restraint	Satellite,sonic, or pit tagging	Blood/tissue collection	Lavage	Ultrasound	Captive experiment	Mortality
2009	1,088	1,088	1,081	464	254	0	3
2010	1,424	1,424	1,417	534	254	0	3
2011	1,959	1,959	1,955	914	255	0	4.4
2012	1,462	1,456	1,452	904	255	0	3.6
2013	1,423	1,417	1,415	844	320	39	1.6
2014	1,114	1,108	1,106	550	66	39	1.6
2015	1,032	1,026	1,026	550	66	39	1.6
2016	1,106	1,050	1,013	500	66	39	1.6
<b>Total</b>	<b>10,608</b>	<b>10,528</b>	<b>10,465</b>	<b>5,260</b>	<b>1,536</b>	<b>156</b>	<b>20.4</b>

Permit numbers: 1462, 1501, 1506, 1507, 1518, 1526, 1527, 1540, 1544, 1551, 1552, 1570, 1571, 1576, 1599, 10014, 10022, 13306, 13307, 13543, 13544, 14272, 14508, 14726, 14506, 14508, 14622, 14655, 14726, 14949, 15112, 15135, 15552, 15566, 15575, 15606, 15802, 16134, 16146, 16194, 16253, 16598, 16733, 17183, 17304, 17355, 17381, and 17506

**Table 8. Kemp's ridley sea turtle takes in the Atlantic Ocean.**

Year	Capture/handling /restraint	Satellite,sonic, or pit tagging	Blood/tissue collection	Lavage	Ultrasound	Captive experiment	Laparoscopy	Imaging	Mortality
2009	1,394	1,394	1,195	425	371	56	53	53	5
2010	1,402	1,402	1,203	426	371	56	53	53	5
2011	2,210	2,210	1,368	976	400	56	53	53	9
2012	2,229	2,219	1,561	972	450	56	53	53	7.2
2013	2,836	2,852	2,190	1,627	990	116	213	218	3.2
2014	2,010	2,026	1,964	706	619	60	160	165	3.2
2015	1,833	1,849	1,819	706	619	60	160	165	3.2
2016	1,420	1,436	1,406	300	264	40	125	125	3.2
<b>Total</b>	<b>15,334</b>	<b>15,388</b>	<b>12,706</b>	<b>6,138</b>	<b>4,084</b>	<b>500</b>	<b>870</b>	<b>885</b>	<b>39</b>

Permit numbers: 1462, 1501, 1506, 1507, 1526, 1527, 1540, 1544, 1551, 1552, 1570, 1571, 1576, 10014, 10022, 13306, 13543, 13544, 14508, 14726, 14506, 14622, 14655, 14726, 15112, 15135, 15552, 15566, 15575, 15606, 15802, 16134, 16194, 16253, 16556, 16598, 16733, 17183, 17304, 17355, 17381, 17506, and 18069.

**Table 9. Loggerhead sea turtle takes in the North Atlantic Ocean.**

Year	Capture/handling /restraint	Satellite,sonic, or pit tagging	Blood/tissue collection	Lavage	Ultrasound	Captive experiment	Laparoscopy	Imaging	Mortality
2009	5,462	5,462	5,044	1,165	1,322	200	109	123	111
2010	5,464	5,464	5,046	1,205	1,322	200	109	116	111
2011	7,165	7,165	6,097	1,420	1,667	200	148	114	122.2
2012	4,791	4,791	3,741	1,370	1,429	200	161	114	29.8
2013	5,909	5,909	4,859	2,609	2,519	305	401	354	24.8
2014	4,052	3,912	3,862	1,460	1,543	105	292	240	24.8
2015	3,935	3,795	3,795	1,470	1,543	105	292	240	7.8
2016	3,510	3,510	3,510	1,255	1,543	105	292	240	7.8
<b>Total</b>	<b>40,288</b>	<b>40,008</b>	<b>35,954</b>	<b>11,954</b>	<b>12,888</b>	<b>1,420</b>	<b>1,804</b>	<b>1,541</b>	<b>439.2</b>

Permit numbers: 1450, 1462, 1501, 1506, 1507, 1522, 1526, 1527, 1540, 1544, 1551, 1552, 1570, 1571, 1576, 1599, 10014, 10022, 13306, 13307, 13543, 13544, 14249, 14622, 14506, 14508, 14622, 14655, 14726, 15112, 15552, 15566, 15575, 15606, 15802, 16134, 16146, 16194, 16253, 16556, 16598, 16733, 17183, 17304, 17355, 17381, 17506, and 18069.

### **5.13 The impact of the baseline on ESA-listed and proposed species**

ESA-listed resources are exposed to a wide variety of past and present state, Federal, or private actions and other human activities that have already occurred or continue to occur, in the action area. Federal projects in the action area that have already undergone formal or early section 7 consultation, and state or private actions that are contemporaneous with this consultation also impact ESA-listed resources. However, the impact of those activities on the status, trend, or the demographic processes of threatened and endangered species remains largely unknown. To the best of our ability, we summarize the effects we can determine based on the information available to us in this section.

Climate change has and will continue to impact sea turtles throughout the action area as well as throughout the range of the populations. Sex ratios of several species are showing a bias, sometimes very strongly, towards females due to higher incubation temperatures in nests. We expect this trend will continue and possibly may be exacerbated to the point that nests may become entirely feminized, resulting in severe demographic issues for affected populations in the future. Hurricanes may become more intense and/or frequent, impacting the nesting beaches of sea turtles and resulting in increased loss of nests over wide areas.

Ingestion and entanglement in marine debris is expected to result in sea turtle morbidity and mortality. Some individuals may be killed in dredging operations. Oil spill, as well as oil and gas development activities, have directly harmed sea turtles as well as damaged the habitat in which sea turtles live through releases of pollutants and increasing oceanic sound levels within the region. Agricultural releases into the Mississippi River particular and North American waters in general have resulted in areas of anoxia and habitat deterioration in which sea turtle prey cannot survive or experience regular, high-level mortality. Military activities are likely to cause individual fitness or mortality issues in most sea turtle populations along the eastern seaboard. This is due to exposure to high-level sounds from detonations and other activities. Disease and prey distributions may well shift in response to changing ocean temperatures or current patterns, altering the morbidity and mortality regime faced by sea turtles and the availability of prey. Invasive species may alter the habitat on which sea turtles rely. Additional mortality is expected from entrainment in power plants and shipstrike. Stress, metabolic costs, and mortality are expected to result from permitted research activities.

## **6 EFFECTS OF THE ACTION ON ESA-LISTED AND PROPOSED SPECIES AND CRITICAL HABITAT**

Section 7 regulations define “effects of the action” as the direct and indirect effects of an action on the species or critical habitat, together with the effects of other activities that are interrelated or interdependent with that action, that will be added to the *Environmental Baseline* (50 CFR 402.02). Indirect effects are those that are caused by the proposed action and are later in time, but are reasonably certain to occur. This effects analyses section is organized following the stressor, exposure, response, risk assessment framework.

The jeopardy analysis relies on the regulatory definition of “to jeopardize the continued existence of a listed species,” which is “to engage in an action that would be expected, directly or indirectly, to reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing the reproduction, numbers, or distribution of that species” (50 CFR 402.02). Therefore, the jeopardy analysis considers both survival and recovery of the species.

The proposed issuance of permit 19288 will authorize “takes” by harassment of green, hawksbill, Kemp’s ridley, and loggerhead sea turtles during the proposed research by the applicant by directed approach, pursue, capture, handling, and restraint, biopsy, tissue sampling, blood sampling, weighting, laparoscopy, as well as PIT and flipper tagging. In this section, we describe the potential physical, chemical, or biotic stressors associated with the proposed actions, the probability of individuals of ESA-listed species being exposed to these stressors based on the best scientific and commercial evidence available, and the probable responses of those individuals (given probable exposures) based on the available evidence. As described in the *Approach to the Assessment* section, for any responses that would be expected to reduce an individual’s fitness (i.e., growth, survival, annual reproductive success, or lifetime reproductive success), the assessment would consider the risk posed to the viability of the population(s) those individuals comprise and to the ESA-listed species those populations represent. The purpose of this assessment and, ultimately, of this Opinion is to determine if it is reasonable to expect the proposed action to have effects on ESA-listed species that could appreciably reduce their likelihood of surviving and recovering in the wild.

For this consultation, we are particularly concerned about behavioral and stress-based physiological disruptions and potential unintentional pathology that may result in animals that fail to survive, feed, or breed successfully or fail to complete their life history because these responses are likely to have population-level consequences as well as the potential for mortality. The ESA does not define harassment nor has the NMFS defined the term pursuant to the ESA through regulation. For this Opinion, we define harassment similar to the USFWS’s regulatory definition of “harass”: an intentional or unintentional human act or omission that creates the probability of injury to an individual animal by disrupting one or more behavioral patterns that are essential to the animal’s life history or its contribution to the population the animal represents.

### **6.1 Stressors associated with the proposed action**

The assessment for this consultation identified several possible stressors associated with the proposed research activities, including

1. research vessel transit,
2. capture,
3. handling and restraint following capture,
4. application of flipper and/or PIT tags,
5. biopsy, tissue, and blood sampling, and

## 6. laparoscopy.

Based on a review of available information, this opinion determined which of these possible stressors would be likely to occur, and which would be discountable or insignificant.

Research vessel transit introduces sound energy into the marine environment and poses a risk for shipstrike of ESA-listed or proposed sea turtles. We are unaware of any communications or acoustic cues that sea turtles would miss as a result of sound energy introduced by vessels associated with the proposed research and thus consider this aspect insignificant. The level of vessel transit is expected to be relatively low compared to the amount of overall vessel traffic and the incidence of ship strike that is known to occur. Considering the level of vessel transit that researchers propose to undertake and levels of shipstrike known to occur in the researcher's past, the risk of shipstrike is extremely unlikely to occur and is therefore discountable and not considered further in this opinion.

### **6.2 Mitigation to minimize or avoid exposure**

Under permit 19288, numerous measures will be taken to reduce the potential for stress or pathological outcomes. This includes extensive disinfection protocols, separate materials used on fibropapillomatosis individuals, continual monitoring of nets, limiting soak time to two hours, discontinuing pursuit of turtles after two minutes, use of anesthesia or other pain-reducing drugs, not retaining turtles for longer than four hours, and monitoring of behavior after procedures are complete but before release, among others.

### **6.3 Exposure analysis**

Exposure analyses identify the ESA-listed and proposed species that are likely to co-occur with the actions' effects on the environment in space and time, and identify the nature of that co-occurrence. The *Exposure analysis* identifies, as possible, the number, age or life stage, and gender of the individuals likely to be exposed to the actions' effects and the population(s) or subpopulation(s) those individuals represent. The proposed permit identifies these parameters and will allow for capture, handling, restraint, as well as flipper and PIT tagging, blood, tissue, and biopsy sampling, morphometric measurements, and laparoscopy (Table 10). The applicant is requesting to conduct multiple activities on any given animal. For example, an individual will likely be exposed to a minimum of capture, handling, restraint, flipper and/or PIT tagging (if these tags are not already present), morphometrics, blood sampling and biopsy, and laparoscopy under the proposed permit. An individual may be exposed to proposed activities more than once per year in rare occasions.

**Table 10. Actions to which ESA-listed species will be exposed under proposed permit 19288.**

Sea turtle species	Number of individuals taken annually	Total takes authorized over the life of the permit	Actions
Green ( <i>Chelonia mydas</i> )-Florida population; proposed North Atlantic DPS	35	175	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh
	3	15	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
Hawksbill ( <i>Eretmochelys imbricata</i> )	5	25	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh
	1	5	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
Kemp's ridley ( <i>Lepidochelys kempii</i> )	42	210	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh
	4	20	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
Loggerhead ( <i>Caretta caretta</i> )-Northwestern Atlantic DPS	10	100	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; organ sample; ultrasound; laparoscopy; transport; weigh
	100	1,000	Hand or dip net, handle and release, flipper tag; PIT tag; blood sample; tissue sample; ultrasound; laparoscopy; transport; weigh

The applicant has not previously held a NOAA permit for research such as that proposed in 19288. Normally, we evaluate previous effort and resulting levels of exposure, response, and take to determine reasonably likely levels in the future. However, this approach is not possible to independently verify proposed levels of take under proposed permit 19288. Given the level of requested take, the amount of effort the applicant has articulated as being expected, and the general amount of take that has been reported for other, similar actions in the past, we provisionally accept the amount of requested activities as being reasonably likely. However, we also include in the Conservation Recommendations a request for additional detail than is normally included in annual reports submitted from the applicant to NOAA. This will allow for

subsequent analyses to be better informed of the impacts of the applicant's particular activities if he chooses to continue similar work in the future under another permit. The applicant states that 30 days of effort should be undertaken, with 3-6 sea turtles captured daily. Some individuals are likely to be recaptured. Although nesting, breeding, and hatchling sea turtles will be avoided, all other life stages may be assessed using described methods and individuals will be sampled regardless of sex.

#### **6.4 Response analysis**

As discussed in the *Overview of NMFS' Assessment Framework* section, response analyses determine how ESA-listed or proposed resources are likely to respond after exposure to an action's effects on the environment or directly on species themselves. For the purposes of consultation, our assessments try to detect potential lethal, sub-lethal (physiological), or behavioral responses that might result in reducing the fitness of ESA-listed or proposed individuals. Ideally, response analyses would consider and weigh evidence of adverse consequences as well as evidence suggesting the absence of such consequences.

There is mounting evidence that wild animals respond to human disturbance in the same way that they respond to predators (Beale and Monaghan 2004; Frid 2003; Frid and Dill 2002; Gill et al. 2001; Harrington and Veitch 1992; Lima 1998; Romero 2004). These responses manifest themselves as stress responses (in which an animal perceives human activity as a potential threat and undergoes physiological changes to prepare for a flight or fight response), interruptions of essential behavioral or physiological events, alteration of an animal's time budget, or some combinations of these responses (Frid and Dill 2002; Romero 2004; Sapolsky et al. 2000b; Walker et al. 2005). These responses have been associated with abandonment of sites (Sutherland and Crockford 1993), reduced reproductive success (Giese 1996; Mullner et al. 2004), and the death of individual animals (Bearzi 2000; Daan 1996; Feare 1976). Stress is an adaptive response and does not normally place an animal at risk. However, distress involves a stress response resulting in a biological consequence to the individual. The mammalian and reptilian stress response involves the hypothalamic-pituitary-adrenal axis being stimulated by a stressor, causing a cascade of physiological responses, such as the release of the stress hormones cortisol, adrenaline (epinephrine), glucocorticosteroids, and others (Atkinson et al. 2015; Busch and Hayward 2009). These hormones subsequently can cause short-term weight loss, the release of glucose into the blood stream, impairment of the immune and nervous systems, elevated heart rate, body temperature, blood pressure, fatigue, cardiovascular damage, and alertness, and other responses (Aguilera and Rabadan-Diehl 2000; Busch and Hayward 2009; Dierauf and Gulland 2001; Guyton and Hall 2000; NMFS 2006a; Omsjoe et al. 2009a; Queisser and Schupp 2012; Romero 2004), particularly over long periods of continued stress (Desantis et al. 2013; Sapolsky et al. 2000a). In some species, stress can also increase an individual's susceptibility to gastrointestinal parasitism (Greer 2008). In highly-stressful circumstances, or in species prone to strong "fight-or-flight" responses, more extreme consequences can result, including muscle damage and death (Cowan and Curry 1998; Cowan and Curry 2002; Cowan and Curry 2008;



Herraez et al. 2007). The most widely-recognized indicator of vertebrate stress, cortisol, normally takes hours to days to return to baseline levels following a significantly stressful event, but other hormones of the hypothalamic-pituitary-adrenal axis may persist for weeks (Dierauf and Gulland 2001). Mammalian stress levels can vary by age, sex, season, and health status (Gardiner and Hall 1997; Hunt et al. 2006; Keay et al. 2006; Place and Kenagy 2000; Romero et al. 2008; St. Aubin et al. 1996)(Cockrem 2013; Delehanty and Boonstra 2012). Marine mammal hormones associated with stress responses as well as other body systems may become imbalanced due to exposure to chlorinated hydrocarbons (Brouwer et al. 1989; Jin et al. 2015). In general, stress response pathways appear to be very similar to those in better-studied terrestrial mammal systems, although important difference in the renin-angiotensin-aldosterone system and catecholamines exist likely stemming from fasting and diving life history traits in many marine mammals (Atkinson et al. 2015). Smaller mammals react more strongly to stress than larger mammals (Peters 1983); a trend reflected in data from Gauthier and Sears (1999) where smaller whale species react more frequently to biopsy than larger whales. Stress is lower in immature right whales than adults and mammals with poor diets or undergoing dietary change and have higher fecal cortisol levels (Hunt et al. 2006; Keay et al. 2006).

Several studies have suggested that stress can adversely impact female reproduction through alterations in the estrus cycle (Herrenkohl and Politch 1979; Moberg 1991; Mourlon et al. 2011; Rivier 1991). This is likely due to changes in sex steroids and growth hormone levels associated with the stress response (Sapolsky et al. 2000a). Komesaroff et al. (1998) found that estrus may inhibit the stress response to some extent, although several studies suggest estrus and the follicular stage may be susceptible to stress-induced disruption (see River (1991) and Moberg (1991) for reviews). Most of these studies were conducted with single or multiple invasive methodologies or chronic stress; we do not expect stressors associated with the proposed research to be nearly as stressful. Under less invasive and acutely stressful methods (but more invasive than those proposed by the applicant), Omsjoe et al. (2009b) found no impacts to the percentage of individuals with offspring the following year following chase, capture, and restraint of reindeer (ungulates in general are prone to strong, potentially lethal stress responses). Overall, we do not expect reproduction to be impaired primarily because of the lack extreme stressors used by studies to induce adverse reproductive impacts and the acute nature of the stressors involved.

#### **6.4.1 Capture**

Capture is one of the means by which stress responses described above can occur in sea turtles (Gregory 1994; Gregory and Schmid 2001b; Hoopes et al. 1998; Jessop et al. 2004; Jessop et al. 2003; Thomson and Heithaus 2014).

Sea turtles captured during the course of proposed research would be captured in one of three ways: entanglement netting, hand netting, and rodeo-style. Hand and rodeo netting are perhaps the least risky options, as these allow researchers to immediately remove captured individuals from the water, eliminating the possibility of drowning, increased stress, or injury resulting from

forced submergence. Although corticosterone does not appear to increase with entanglement time for green and Kemp's ridley sea turtles (Snoddy et al. 2009), we expect capture to be a stressful experience as indicated by severe metabolic and respiratory imbalances resulting from forced submergence (Gregory and Schmid 2001a; Harms et al. 2003; Stabenau and Vietti 2003). We also expect behavioral responses (attempts to break loose of the netting via rapid swimming and biting) as well as physiological responses (release of stress hormones; (Gregory et al. 1996; Gregory and Schmid 2001a; Harms et al. 2003; Hoopes et al. 2000; Stabenau and Vietti 2003). We expect individuals captured via hand net to be rapidly removed from the hand net, although responses associated with subsequent stressors will continue. For example, handling has been shown to result in progressive changes in blood chemistry indicative of a continued stress response (Gregory and Schmid 2001a; Hoopes et al. 2000). Rodeo-style capture entails a risk of vessel-strike to sea turtles. However, as sea turtles would be evading capture, they will generally be moving away from the vessel. In addition, capture does not seek to place the vessel immediately next to the target individual, only near enough for a researcher to jump near the target sea turtle.

Additional risk to sea turtles is involved with capturing sea turtles in entanglement nets due to forced submersion. Sea turtles forcibly submerged in any type of restrictive gear eventually suffer fatal consequences from prolonged anoxia and/or seawater infiltration of the lungs (Lutcavage et al. 1997a). Trawl studies have found that no mortality or serious injury occurred in tows of 50 minutes or less, but these increased rapidly to 70% after 90 minutes (Epperly et al. 2002a; Henwood and Stuntz 1987). However, metabolic changes that can impair a sea turtles' ability to function can occur within minutes of a forced submergence. Serious injury and mortality is likely due to acid-base imbalances resulting from accumulation of carbon dioxide and lactate in the bloodstream (Lutcavage et al. 1997a); this imbalance can become apparent in captured, submerged sea turtles after a few minutes (Stabenau et al. 1991). Recovery times can take 20 hours or more (Henwood and Stuntz 1987). To minimize the effects of this type of capture, nets will be tended continuously. However, we expect that sea turtles captured by entanglement will experience a greater degree of stress due to the greater or lesser degree of forced submergence which they will undergo as compared to hand or rodeo-style capture. We do not expect any sea turtle to require extensive recovery, but methodology proposed by the applicant (holding comatose or behaviorally abnormal sea turtles and monitoring sea turtles after research procedures are complete) should mitigate sea turtles being released that have not recovered from forced submergence and/or the accumulation of other stressors that can cumulatively impair physiological function. In addition, veterinary assistance would be sought for these individuals.

We also expect that activity budgets of captured individuals will be altered after release, with more time spent actively swimming for several hours to a day after release (Thomson and Heithaus 2014). After this period, we expect that individuals will engage in resting and feeding activities to a greater extent (Thomson and Heithaus 2014).

### **6.4.2 Morphometrics**

Once sea turtles have been captured, individuals will be handled and exposed to various activities of greater or lesser degrees of invasiveness. Each sea turtle will be exposed to morphometric measurement, including carapace size and individual weight. Although these activities are not considered invasive, we expect individual sea turtles to experience a continued stress response due to the handling and restraint necessary to conduct these activities.

### **6.4.3 Flipper and PIT tagging**

All sea turtles will also be scanned or visually inspected for PIT and flipper tags, respectively. If either of these is absent, then individuals will be tagged with them. Both procedures involve the implantation of tags in or through skin and/or muscle of the flippers. PIT tags remain internal while flipper tags have both internal and external components. For both, internal tag parts are expected to be biologically inert. In addition to the stress sea turtles are expected to experience by handling and restraint associated with inspection and tagging, we expect an additional stress response associated with the short-term pain experienced during tag implantation (Balazs 1999). We expect disinfection methods proposed by the applicant should mitigate infection risks from tagging. Wounds are expected to heal without infection. Tags are designed to be small, physiologically inert, and not hinder movement or cause chafing; we do not expect the tags themselves to negatively impact sea turtles (Balazs 1999). Flipper tags occasionally come off of turtle flippers, which may cause tissue ripping and subsequent trauma and infection risk. However, other researchers encounter individuals who have lost flipper tags and have not observed these individuals to be in any different body condition than turtles lacking tags or those who still retain their tags.

### **6.4.4 Biopsy**

Sea turtles will also be biopsied during the course of the research. We expect that this will involve stress associated with pain stimuli (Balazs 1999), although this will be minimized by the use of anesthetics and combining this procedure with other actions such as laparoscopy. Although the skin will be breached and tissue exposed, we expect disinfection protocols to make the risk of infection minimal from the small hole that will be produced by the biopsy punch or equipment introduced into the coelom for internal biopsy. Disinfection of biopsy punches and surgical equipment will also reduce the risk of pathogen spread between individuals.

### **6.4.5 Blood Sampling**

Sea turtles are also expected to experience a short-term stress response in association with the handling, restraint, and pain associated with blood sampling. Taking a blood sample from the sinuses in the dorsal side of the neck is a routine procedure (Owens 1999), although it requires knowledgeable and experienced staff to do correctly and requires the animal to be restrained (DiBello et al. 2010; Wallace and George 2007). According to Owens (1999), with practice, it is possible to obtain a blood sample 95% of the time and the sample collection time should be about 30 seconds in duration. Sample collection sites are always sterilized prior to needle

insertions, which would be limited to two on either side of the neck. Bjorndal et al. (2010) found that repeated scute, blood, and skin sampling of the same individual loggerhead sea turtles did not alter growth, result in scarring, or apparently impact other physiological or health parameters.

#### **6.4.6 Laparoscopy**

Laparoscopy is a form of surgery that involves a small incision being made allowing access by a miniature camera and sampling equipment into the body cavity. This procedure allows direct viewing of organs and tissues (such as reproductive tracts to confirm sex) as well as sampling (such as biopsies of internal organs). However, as with any surgical procedure, laparoscopy introduces the risk of infection not only at the surgical site, but within the body cavity. The procedure also requires veterinary staff experienced in the procedure and sea turtle anatomy in order to be performed safely. The procedure is likely to be very stressful for subjects, as it involves restraining the individual in a head-down position for an extended period. Although anaesthesia (local and/or systemic) is also involved, a degree of pain can be expected at least with the sutured surgical site after the procedure is complete and anaesthesia has worn off.

Even though laparoscopy has the potential to cause lethal or major sub-lethal injury, few studies have been conducted evaluating the effects of laparoscopy on sea turtles. Perhaps the best study on the long-term effects of laparoscopy was conducted over 30 years ago when sea turtles were being farmed for commercial use. Wood et al. (1983) performed laparoscopy on over 50 sea turtles in an aquaculture facility where all individuals were retained and monitored. No individual died or appeared to suffer long-term injury as a result of procedures conducted in less aseptic conditions and using less-refined methods than proposed by the applicant.

More recently, Dobbs et al. (2007) reported on findings after conducting laparoscopy on 225 free-ranging adult nesting hawksbill sea turtles in Australia. Individuals were released following the procedure. The researchers found stitches were gone in individuals returning to lay additional nests, but those individuals that returned to lay additional nests took on average one day longer to return than individuals that did not undergo laparoscopy (Dobbs et al. 2007). Some individuals were also resighted in subsequent nesting seasons (Dobbs et al. 2007). One in eight sea turtles were injured during laparoscopy (24 of 27 received lung punctures and the other three injuries were blood vessel punctures of egg yolks or ovaries) (Dobbs et al. 2007). This may be a unique feature of hawksbill sea turtles, as researchers noted that hawksbill lungs extended around the gut when the turtle was inverted for laparoscopy; a condition that was not found in green or loggerhead sea turtles (Dobbs et al. 2007). The researchers modified their methods so as to reduce the potential for injury (Dobbs et al. 2007) and the applicant has stated they he will as well in the event of hawksbill laparoscopy. One sea turtle with lung puncture was seen to nest again the same season and five were seen nesting in subsequent seasons (Dobbs et al. 2007).

The applicant reports that, in association with laparoscopy work on sea turtles in Australia, fewer than five individuals have exhibited positive buoyancy which required more extended captive treatment prior to release.

### 6.4.7 Drug effects

Sea turtles undergoing biopsy, tissue sampling, and laparoscopy will be administered topical lidocaine (all of these procedures) and, for laparoscopy and internal biopsy, also be sedated intravenously with propofol. After laparoscopy and internal biopsy are complete, propofol will be counteracted subcutaneously with the analgesic meloxicam. The purpose of administering anaesthesia is to reduce the stress and pain associated with these procedures; meloxicam will speed recovery time and lessen the total time that individuals are in temporary captivity following anaesthesia. These drugs have the potential for side effects that can be adverse, even in light of the potential benefits for which they are administered.

Propofol is the general anaesthesia of choice many types of reptiles due to rapid onset, short duration, and rapid recovery of subjects (Bouts and Gasthuys 2002). Propofol works by depressing neurotransmitters in the reptile brain and reducing the organ's metabolic activity (Bouts and Gasthuys 2002). Subjects also experience reduced respiration and heart rate (Bouts and Gasthuys 2002), including loggerhead sea turtles (MacLean et al. 2008). Doses of 5-10 mg/kg in snakes are effective in producing unconsciousness, with levels of 12-15 mg/kg recommended for turtles (although 9-12 mg/kg was sufficient for desert tortoises) (Bouts and Gasthuys 2002). However, levels of 5 mg/kg appeared to be sufficient for loggerhead sea turtles undergoing laparoscopy (MacLean et al. 2008). These levels are significantly higher than those proposed for use by the applicant. Unconsciousness lasts for 15-25 minutes and unaided recovery in 25-40 minutes (Bouts and Gasthuys 2002). Loggerheads treated with propofol resisted less during laparoscopy than did untreated individuals (MacLean et al. 2008).

Meloxicam has not been well-studied in sea turtles or reptiles in general. Soloperto et al. (2012) found no adverse effects from 0.1 mg/kg administered intramuscularly in six healthy loggerhead sea turtles (roughly half the dosage proposed for use by the applicant). Half-life of the drug was about 1.5 hours (six fold faster than iguanas) (Soloperto et al. 2012). A toxic dose of lidocaine in mammals is 5-20 mg/kg, but corresponding levels in reptiles are unknown (the applicants propose us of 5 mg/kg) (Bouts and Gasthuys 2002).

### 6.5 Risk analysis

Research activities that would take place under the permit are not expected to result in sea turtle mortality. The research activities will, however, result in temporary stress to the animal, which is not expected to have more than short-term effects on individual green, hawksbill, Kemp's ridley, leatherback, and loggerhead sea turtles. Wound healing is also expected for days to weeks later. These effects are expected to be short-term based on previous experiences with the proposed research activities in Australia and available scientific. This research will affect the individuals by harassing sea turtles during the research thus raising levels of stressor hormones, and individuals may experience some discomfort during capture, restraint, measuring, biopsy, blood sampling, tagging, laparoscopy, and other procedures. Based on past observations of similar research, these effects are expected to dissipate within approximately a day.

Biopsy, tissue sampling, and tagging are all activities that will break the integument and create the potential for infection or other physiological disruptions. The applicant has extensive procedures in place to reduce the potential for infection or disease transmission. To date, the applicant has not documented a case of infection or mortality in sea turtles which were exposed to these activities during the applicant's work in Australia. Based on this past performance and the rigor of aseptic conditions, we do not expect any individuals to develop infections or experience other pathological conditions associated with these activities. We include a *Conservation Recommendation* that encourages the documentation of potential infection or pathology cases (or lack thereof) in individuals that are re-captured subsequent to being exposed to these procedures.

Flipper-tagged sea turtles will experience a greater degree of drag through the water than they otherwise would. This drag would be experienced continually over years after flipper tags are applied. However, we expect the amount of drag to be minimal. To date, many thousands of sea turtles have been flipper tagged in relatively standard ways and we are unaware of flipper tagging leading to reduced growth, impaired mobility or altered migration, deteriorated body condition, or other outcomes that could impair the survival, growth, or reproductive potential of any individual sea turtle. The applicant has undertaken these activities for several years and recaptured individuals routinely, without mortality being noted and instances of nesting being observed.

Some sea turtles undergoing laparoscopy are likely to have buoyancy issues that will require individuals be retained for longer periods in captivity to alleviate before being released. Laparoscopy can also have other effects, including lung puncture and bleeding. The applicant and veterinarian's background lead us to believe that this will not occur, as the applicant has undertaken numerous laparoscopy procedures without unintended effect other than rare instances of excessive buoyancy.

Drugs used are expected to reduce the pain and stress associated with proposed research methods and shorten the time that sea turtles may spend in captivity. Although reduced respiration and heart rate are expected for propofol, these will quickly be counteracted by meloxicam and not hard any individual's overall fitness.

Overall, for a large majority of sea turtles, the proposed action is not expected to have more than short-term stress effects and some longer-term effects associated with wound healing from biopsy, laparoscopy, and tagging. The data generated by the applicant regarding these populations over the duration of this study will provide beneficial information that will be important to the management and recovery of proposed, threatened, and endangered species. The information collected as a direct result of permit issuance will be used to implement the goals identified in the recovery plan for green, hawksbill, Kemp's ridley, and loggerhead sea turtles.

## 6.6 Cumulative effects

“Cumulative effects” are those effects of future state or private activities, not involving Federal activities, that are reasonably certain to occur within the action areas of the Federal actions subject to consultation (50 CFR 402.02). Future Federal actions that are unrelated to the proposed action are not considered in this section because they require separate consultation pursuant to section 7 of the ESA.

We expect that those aspects described in the *Environmental Baseline* will continue to impact ESA-listed resources into the foreseeable future. We expect climate change, ship-strikes, research, pollution, oil and gas development, anthropogenic ocean noise, entrainment in power plants, exposure to military activities, dredging, directed harvest, entanglement, and bycatch to continue into the future. Movement towards bycatch reduction and greater foreign protections of sea turtles are generally occurring throughout the Atlantic Ocean, which may aid in recovery of sea turtle populations. Risk of ship strike will likely increase in the future as more vessels are used in commercial and recreational marine activities.

Although quantifying an incremental change in survival for the species considered in this consultation due to the cumulative effects is not possible, it is reasonably likely that those effects within the action areas will have a small, long-term, negative effect on the likelihood of their survival and recovery.

## 6.7 Integration and synthesis

The *Integration and synthesis* section is the final step in our assessment of the risk posed to species and critical habitat because of implementing the proposed action. In this section, we add the *Effects of the Action on ESA-Listed Species and Critical Habitat* (Section 6) to the *Environmental Baseline* (Section 5) and the *Cumulative effects* (Section 6.6) to formulate the agency’s biological and conference opinion as to whether the proposed action is likely to: “reduce appreciably the likelihood of both the survival and recovery of a ESA-listed and proposed species in the wild by reducing its numbers, reproduction, or distribution.” This assessment is made in full consideration of the *Status of ESA-Listed and Proposed Species* (Section 4).

As explained in the *Overview of NMFS’ Assessment Framework* section, risks to listed individuals are measured using changes to an individual’s “fitness” – i.e., the individual’s growth, survival, annual reproductive success, and lifetime reproductive success. When ESA-listed or proposed animals exposed to an action’s effects are not expected to experience reductions in fitness, we would not expect the action to have adverse consequences on the viability of the population(s) those individuals represent or the species those populations comprise (Anderson 2000; Brandon 1978; Mills and Beatty 1979; Stearns 1992). As a result, if the assessment indicates that ESA-listed or proposed animals are not likely to experience reductions in their fitness, we conclude our assessment. If possible reductions in individuals’

fitness are likely to occur, the assessment considers the risk posed to population(s) to which those individuals belong, and then to the species those population(s) represent.

The *Status of ESA-Listed and Proposed Species* discussion describes how listed sea turtles range-wide have been adversely affected by human-induced factors such as commercial fisheries, direct harvest of sea turtles, and modification or degradation of the sea turtle's terrestrial and aquatic habitat. Effects occurring in terrestrial habitats have generally resulted in the loss of eggs or hatchling sea turtles, or nesting females, while those occurring in aquatic habitat have caused the mortality of juvenile, subadult and adult sea turtles through ingestion of debris or pollution. Similarly, the actions discussed in the *Environmental Baseline*, as well as those considered under *Cumulative effects* all pose the potential to result in take of sea turtle species that resulted in stress or possible mortality.

The following discussion summarizes the probable risks the proposed actions pose to threatened and endangered species and critical habitat that are likely to be exposed. These summaries integrate the exposure profiles presented previously with the results of our response analyses for each of the actions considered in this opinion.

We expect all targeted sea turtles to experience some degree of stress response to approach, capture, restraint, biopsy, blood and tissue sampling, laparoscopy, and tagging attempts. We also expect many of these individuals to respond behaviorally by attempting to elude capture, fight when initially captured, startle when blood sampled, biopsied, or tagged, and strongly swim away when released. We do not expect more than temporary displacement or removal of individuals for a period of hours from small areas as a result of the proposed actions. Individuals responding in such ways may temporarily cease feeding, breeding, resting, or otherwise disrupt vital activities. However, we do not expect that these disruptions will cause a measureable impact to any individual's growth or reproduction. We expect all tagged individuals to experience additional physiological reactions associated with foreign body penetration into the blubber and possibly muscle, including inflammation, scar tissue development, and/or a small amount of drag associated with the applied tags. We also do not expect any pathological responses to procedures that breach the skin or coelom. Responses here should be limited to wound healing that should not impair the survival, growth, or reproduction of any individual. Overall, we do not expect any single individual to experience a fitness consequence as a result of the proposed actions and, by extension, do not expect population-level effects.

## 7 CONCLUSION

After reviewing the *Status of ESA-listed and Proposed Species*, the *Environmental Baseline* within the action areas, the *Effects of the Action on ESA-Listed Species and Critical Habitat*, any effects of interrelated and interdependent actions, and *Cumulative Effects*, it is NMFS' opinion these proposed actions are not likely to jeopardize the continued existence of green, hawksbill, Kemp's ridley, or loggerhead sea turtles or proposed North Atlantic DPS green sea turtles. No



critical habitat has been designated or proposed for this species in the action area; therefore, none will be affected.

## **8 INCIDENTAL TAKE STATEMENT**

Section 9 of the ESA and Federal regulations pursuant to section 4(d) of the ESA prohibit the take of endangered and threatened species, respectively, without a special exemption. "Take" is defined as to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect, or to attempt to engage in any such conduct. Harm is further defined by regulation to include significant habitat modification or degradation that results in death or injury to ESA-listed species by significantly impairing essential behavioral patterns, including breeding, feeding, or sheltering. Incidental take is defined as take that is incidental to, and not the purpose of, the carrying out of an otherwise lawful activity. Section 7(b)(4) and section 7(o)(2) provide that taking that is incidental to an otherwise lawful agency action is not considered to be prohibited taking under the ESA if that action is performed in compliance with the terms and conditions of this incidental take statement.

We do not expect incidental take of threatened or endangered species as a result of the proposed actions because all actions that may affect ESA-listed species would be undertaken in a directed manner.

## **9 CONSERVATION RECOMMENDATIONS**

Section 7(a)(1) of the ESA directs Federal agencies to use their authorities to further the purposes of the ESA by carrying out conservation programs for the benefit of the threatened and endangered species. Conservation recommendations are discretionary agency activities to minimize or avoid adverse effects of a proposed action on ESA-listed species or critical habitat, to help implement recovery plans or develop information (50 CFR 402.02).

1. The Endangered Species Act Interagency Cooperation Division recommends that annual reports submitted to the Permits Division require detail on the response of listed individuals to permitted activities. A minimum of general comments on response can be informative regarding methodological, population, researcher-based responses in future consultations. The number and types of responses observed should be summarized and include responses of both target and non-target individuals. This will greatly aid in analyses of likely impacts of future activities.
2. If individuals exposed to biopsy, tissue sampling, and/or laparoscopy are re-encountered, the applicant should document the health condition of these individuals and report their findings in a given year's annual report. This will help the Endangered Species Act Interagency Cooperation Division verify assumptions in this opinion that such

procedures are not likely to result in pathological outcomes as a result of these activities.

In order for the Endangered Species Act Interagency Cooperation Division to be kept informed of actions minimizing or avoiding adverse effects on, or benefiting, ESA-listed species or their critical habitat, the Permits Division should notify the Endangered Species Act Interagency Cooperation Division of any conservation recommendations they implement in their final action.

## **10 REINITIATION OF CONSULTATION**

This concludes formal consultation for the Permit's Division proposed issuance of permit 19288. As 50 CFR 402.16 states, reinitiation of formal consultation is required where discretionary Federal agency involvement or control over the action has been retained (or is authorized by law) and if: (1) the amount or extent of incidental take is exceeded, (2) new information reveals effects of the agency action that may affect ESA-listed species or critical habitat in a manner or to an extent not considered in this opinion, (3) the agency action is subsequently modified in a manner that causes an effect to the ESA-listed species or critical habitat that was not considered in this opinion, or (4) a new species is ESA-listed or critical habitat designated that may be affected by the action. Once the proposed listing becomes final, the Permits and Conservation Division should contact the ESA interagency Cooperation Division to determine if the findings in the conference report remain supported. The ESA interagency Cooperation Division will respond that new information changes the conclusions in the conference report or that the findings remain supported and that the conclusions, take, and reasonable and prudent measures identified in the conference report for proposed species are binding now that the species are listed.

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