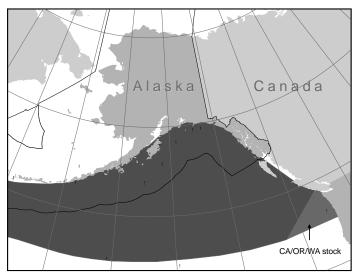
# CUVIER'S BEAKED WHALE (Ziphius cavirostris): Alaska Stock

# STOCK DEFINITION AND GEOGRAPHIC RANGE

The distribution of Cuvier's beaked, or goosebeak, whale (Fig. 33) is known primarily from strandings, which indicate that it is the most widespread of the beaked whales and is distributed in all oceans and most seas except in the high polar waters (Moore 1963). In the Pacific, they range north to the northern Gulf of Alaska, the Aleutian Islands, and the Commander Islands (Rice 1986, 1998). In the northeastern Pacific from Alaska to Baja California, no obvious pattern of seasonality to strandings has been identified (Mitchell 1968). Strandings of Cuvier's beaked whales are the most numerous of all beaked whales, indicating that they are probably not as rare as originally thought (Hevning Observations reveal that the blow is low, diffuse, and directed forward (Backus and Schevill 1961, Norris and Prescott 1961), making sightings more difficult, and there is some evidence that they avoid vessels by diving (Heyning 1989). Relatively few (4 total) acoustic detections of Cuvier's beaked whales were recorded off Kiska Island (1 in



**Figure 33.** Approximate distribution of Cuvier's beaked whales in the eastern North Pacific (shaded area). Sightings (circles) and strandings (squares) within the last 10 years are also depicted (Forney and Brownell 1996, NMFS unpublished data).

summer) and in the offshore Gulf of Alaska (3 total detections, 1 in October and 2 in January; Baumann-Pickering et al. 2012a, 2012b).

Mitchell (1968) examined skulls of stranded whales for geographical differences and thought that there was probably one panmictic population in the northeastern Pacific. Otherwise, there are insufficient data to apply the phylogeographic approach to stock structure (Dizon et al. 1992) for the Cuvier's beaked whale. Therefore, Cuvier's beaked whale stocks are defined as the three non-contiguous areas within Pacific U. S. waters where they are found: 1) Alaska, 2) California/Oregon/Washington, and 3) Hawaii. These three stocks were defined in this way because of: 1) the large distance between the areas in conjunction with the lack of any information about whether animals move between the three areas, 2) the different oceanographic habitats found in the three areas, and 3) the different fisheries that operate within portions of those three areas, with bycatch of Cuvier's beaked whales only reported from the California/Oregon thresher shark and swordfish drift gillnet fishery. The California/Oregon/Washington and Hawaiian Baird's beaked whale stocks are reported separately in the Stock Assessment Reports for the Pacific Region.

### POPULATION SIZE

Reliable estimates of abundance for this stock are currently unavailable.

### **Minimum Population Estimate**

At this time, it is not possible to produce a reliable minimum population estimate ( $N_{MIN}$ ) for this stock, as current estimates of abundance are unavailable.

### **Current Population Trend**

No reliable estimates of abundance are available for this stock; therefore, reliable data on trends in population abundance are unavailable.

### CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

A reliable estimate of the maximum net productivity rate is currently unavailable for the Alaska stock of Cuvier's beaked whale. Hence, until additional data become available, it is recommended that the cetacean maximum theoretical net productivity rate ( $R_{MAX}$ ) of 4% be employed (Wade and Angliss 1997).

### POTENTIAL BIOLOGICAL REMOVAL

Under the 1994 reauthorized Marine Mammal Protection Act (MMPA), the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor:  $PBR = N_{MIN} \times 0.5 R_{MAX} \times F_R$ . The recovery factor ( $F_R$ ) for this stock is 0.5, the value for cetacean stocks with unknown population status (Wade and Angliss 1997). However, in the absence of a reliable estimate of minimum abundance, the PBR for this stock is unknown.

### ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

# **New Serious Injury Guidelines**

NMFS updated its serious injury designation and reporting process, which uses guidance from previous serious injury workshops, expert opinion, and analysis of historic injury cases to develop new criteria for distinguishing serious from non-serious injury (Angliss and DeMaster 1998, Andersen *et al.* 2008, NOAA 2012). NMFS defines serious injury as an "*injury that is more likely than not to result in mortality*". Injury determinations for stock assessments revised in 2013 or later incorporate the new serious injury guidelines, based on the most recent 5-year period for which data are available.

### **Fisheries Information**

Twenty-two different commercial fisheries operating within the potential range of the Alaska stock of Cuvier's beaked whale were monitored for incidental take by fishery observers from 2007-2011 (see 76 FR 73912, final List of Fisheries for 2012). There were no serious injuries or mortalities of Cuvier's beaked whales incidental to observed commercial fisheries reported between 2007-2011 (Breiwick 2013). The estimated annual mortality rate incidental to commercial fisheries is zero.

# **Subsistence/Native Harvest Information**

There is no known subsistence harvest of Cuvier's beaked whales.

### **Other Mortality**

Unknown levels of injuries and mortality of Cuvier's beaked whales may occur as a result of anthropogenic noise, such as military sonars (U.S. Dept. of Commerce and Secretary of the Navy 2001) or other commercial and scientific activities producing high-energy sound. The use of active sonar from military vessels has been implicated or coincident with mass strandings of beaked whales (Cox et al. 2006, Frantzis 1998, Martel 2002, Jepson et al. 2003, Simmonds and Lopez-Jurado 1991, U.S. Dept. of Commerce and Secretary of the Navy 2001), and all atypical single and mixed-species mass strandings involved Cuvier's beaked whales (D'Amico et al. 2009). There is concern regarding the potential effects of underwater sounds from seismic operations on beaked whales, although investigations of causation of atypical strandings of Cuvier's beaked whales and nearby seismic air gun operations have been inconclusive (Gentry 2002, Gordon et al. 2003/2004, Malakoff 2002). Changes in dive behavior, particularly a quick ascent from deep dives, in response to sound exposure may result in injuries related to bubble growth during decompression (Cox et al. 2006, Tyack et al. 2011, Hooker et al. 2011). Such injuries or mortality would rarely be documented due to the remote nature of many of these activities and the low probability that an injured or dead beaked whale would strand. No estimates of potential mortality or serious injury are available for Cuvier's beaked whales in Alaska waters.

# STATUS OF STOCK

Cuvier's beaked whales are not designated as "depleted" under the MMPA or listed as "threatened" or "endangered" under the Endangered Species Act. Reliable estimates of the minimum population, population trends, PBR, and status of the stock relative to its Optimum Sustainable Population size are currently not available. Because the PBR is unknown, the level of annual U.S. commercial fishery-related mortality that can be considered insignificant and approaching zero mortality and serious injury rate is unknown. However, the estimated annual rate

of human-caused mortality and serious injury seems minimal for this stock. Thus, the Alaska stock of Cuvier's beaked whale is not classified as strategic.

### **Habitat concerns**

Disturbance by anthropogenic noise is an increasing habitat concern for most species of beaked whales, particularly in areas of oil and gas activities or where shipping or military activities are high. Shipping noise may disrupt the behavior of Cuvier's beaked whales (Aguilar de Soto et al. 2006), and the use of military sonars has been found to alter dive behavior and movements, as well as vocal activity in some species of beaked whales (McCarthy et al. 2011, Tyack et al. 2011). Moore and Barlow (2013) report impacts of anthropogenic sound and ecosystem change as the most plausible hypotheses for declining abundance of *Ziphius* and *Mesoplodon* spp. in the California Current large marine ecosystem. Little is known about the effects of noise or ecosystem change on beaked whales in Alaska, and the lack of abundance estimates hinder the detection of any population trends. Ingestion of marine debris, particularly plastics, is a concern; plastic is occasionally found in the stomach contents of stranded beaked whales, including Cuvier's beaked whales. (Smithsonian Institution, Cetacean Distributional Database, accessed 04 June 2012).

## **CITATIONS**

- Aguilar deSoto, N., Johnson, M., Madsen, P. T., Tyack, P. L., Bocconcelli, A. & Borsani, F. 2006. Does intense ship noise disrupt foraging in deep diving Cuvier's beaked whales (*Ziphius cavirostris*)? Mar. Mamm. Sci. 22 (3), 690-699.
- Angliss, R. P. and D. P. DeMaster. 1998. Differentiating Serious and Non-Serious Injury of Marine Mammals Taken Incidental to Commercial Fishing Operations. NOAA Tech Memo. NMFS-OPR-13, 48 p.
- Andersen, M. S., K. A. Forney, T. V. N. Cole, T. Eagle, R. Angliss, K. Long, L. Barre, L. Van Atta, D. Borggaard, T. Rowles, B. Norberg, J. Whaley, and L. Engleby. 2008. Differentiating Serious and Non-Serious Injury of Marine Mammals: Report of the Serious Injury Technical Workshop, 10-13 September 2007, Seattle, Washington. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-OPR-39. 94 p.
- Backus, R. H., and W. E. Schevill. 1961. The stranding of a Cuvier's beaked whale (*Ziphius cavirostris*) in Rhode Island, USA. Norsk Hval. 50:177-181.
- Baumann-Pickering S., A. E. Simonis, S. M. Wiggins, et al. 2012a. Aleutian Islands beaked whale echolocation signals. Mar. Mamm. Sci. 29:221–227. doi: 10.1111/j.1748-7692.2011.00550.x
- Baumann-Pickering, S., A. Širović, J. Hildebrand, A. Debich, R. Gottlieb, S. Johnson, S. Kerosky, L. Roche, A. S. Berga, L. Wakefield, and S. Wiggins. 2012b. Passive Acoustic Monitoring for Marine Mammals in the Gulf of Alaska Temporary Maritime Activities Area 2011-2012. Marine Physical Laboratory, Scripps Institute of Oceanography. MPL Technical Memorandum # 538.
- Breiwick, J. M. 2013. North Pacific marine mammal bycatch estimation methodology and results, 2007-2011. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-AFSC-260, 40 p.
- Cox, T. M., T. J. Ragen, A. J. Read, E. Vos, R. W. Baird, K. Balcomb, J. Barlow, J. Caldwell, T. Cranford, L. Crum, A. D'Amico, G. D'Spain, A. Fern'andez, J. Finneran, R. Gentry, W. Gerth, F. Gulland, J. Hildebrand, D. Houser, T. Hullar, P. D. Jepson, D. Ketten, C. D. MacLeod, P. Miller, S. Moore, D. C. Mountain, D. Palka, P. Ponganis, S. Rommel, T. Rowles, B. Taylor, P. Tyack, D. Wartzok, R. Gisiner, J. Mead and L. Benner. 2006. Understanding the impacts of anthropogenic sound on beaked whales. J. Cetacean Res. Manage. 7:177–187.
- D'Amico, A., Gisiner, R. C., Ketten, D. R., Hammock, J. A., Johnson, C., Tyack, P. L., and Mead, J. 2009. Beaked whale strandings and naval exercises. Aquat. Mamm. 34: 452–472.
- Dizon, A. E., C. Lockyer, W. F. Perrin, D. P. DeMaster, and J. Sisson. 1992. Rethinking the stock concept: a phylogeographic approach. Conserv. Biol. 6:24-36.
- Forney, K. A., and R. L. Brownell. 1996. Preliminary report of the 1994 Aleutian Island marine mammal survey. Unpubl. doc. submitted to Int. Whal. Comm. (SC/48/O11). 15 pp.
- Frantzis, A. 1998. Does acoustic testing strand whales? Nature 392:29.
- Gentry, R. L. 2002. Mass stranding of beaked whales in the Galapagos Islands, April 2000. http://www.nmfs.noaa.gov/prot\_res/PR2/Health\_and\_Stranding\_Response\_Program/Mass\_Galapagos\_Islands.htm.
- Gordon, J., D. Gillespie, J. Potter, A. Frantzis, M. P. Simmonds, R. Swift, D. Thompson. 2003/2004. A review of the effects of seismic surveys on marine mammals. Mar. Tech. Soc. J. 37(4): 16-34.

- Heyning, J. E. 1989. Cuvier's beaked whale *Ziphius cavirostris* G. Cuvier, 1823. Pp. 289-308 *In* S. H. Ridgway and R. Harrison (eds.), Handbook of marine mammals: River dolphins and the larger toothed whales. Academic Press, New York.
- Hooker, S. K., A. Fahlman, M. J. Moore, N. Aguilar de Soto, Y. Bernaldo de Quirós, A. O. Brubakk, D. P. Costa, A. M. Costidis, S. Dennison, K. J. Falke, A. Fernandez, M. Ferrigno, J. R. Fitz-Clarke, M. M. Garner, D. S. Houser, P. D. Jepson, D. R. Ketten, P. H. Kvadsheim, P. T. Madsen, N. W. Pollock, D. S. Rotstein, T. K. Rowles, S. E. Simmons, W. Van Bonn, P. K. Weathersby, M. J. Weise, T. M. Williams and P. L. Tyack. 2011. Deadly diving? Physiological and behavioral management of decompression stress in diving mammals. Proc. R. Soc. B. doi: 10.1098/rspb.2011.2088.
- Jepson, P. D., M. Arbelo, R. Deaville, I. A. P. Patterson, P. Castro, J. R. Baker, E. Degollada, H. M. Ross, P. Herraez, A. M. Pocknell, F. Rodriguez, F. E. Howie, A. Espinosa, R. J. Reid, J. R. Jaber, V. Martin, A. A. Cunningham, and A. Fernández. 2003. Gas-bubble lesions in stranded animals: Was sonar responsible for a spate of whale deaths after an Atlantic military exercise? Nature 425(6958):575-76.
- Malakoff, D. 2002. Suit ties whale deaths to research cruise. Science 298:722-723.
- Martel, V. M. 2002. Summary of the report on the atypical mass stranding of beaked whales in the Canary Islands in September 2002 during naval exercises. Society for the Study of the Cetaceans in the Canary Archipelago (SECAC). Unpublished report. 11p.
- McCarthy E, Moretti D, Thomas L, DiMarzio N, Morrissey R, et al. (2011) Changes in spatial and temporal distribution and vocal behavior of Blainville's beaked whales (*Mesoplodon densirostris*) during multiship exercises with mid-frequency sonar. Mar. Mamm. Sci. 27: E206–E226.
- Mitchell, E. 1968. Northeast Pacific stranding distribution and seasonality of Cuvier's beaked whale, *Ziphius cavirostris*. Can. J. Zool. 46:265-279.
- Moore, J. C. 1963. The goose-beaked whale, where in the world? Bull. Chicago Nat. Hist. Mus. 34:2-3, 8.
- Moore J. E., J. P. Barlow. 2013. Declining Abundance of Beaked Whales (Family Ziphiidae) in the California Current Large Marine Ecosystem. PLoS ONE 8(1): e52770. doi:10.1371/journal.pone.0052770
- Norris, K. S., and J. H. Prescott. 1961. Observations on Pacific cetaceans of California and Mexican waters. Univ. Calif. Pub. Zool. 63:291-370.
- NOAA. 2012. Federal Register 77:3233. National Policy for Distinguishing Serious From Non-Serious Injuries of Marine Mammals. http://www.nmfs.noaa.gov/op/pds/documents/02/238/02-238-01.pdf.
- Rice, D. W. 1986. Beaked whales. Pp. 102-109 *In D. Haley (ed.)*, Marine mammals of the eastern North Pacific and Arctic waters. Pacific Search Press, Seattle.
- Rice, D. W. 1998. Marine mammals of the world: Systematics and distribution. The Society for Marine Mammalogy, Special pub. 4, Allen Press, Lawrence, KS, 231 pp.
- Simmonds, M. P., and L. F. Lopez-Jurado. 1991. Whales and the military. Nature 351:448.
- Tyack P. L., Zimmer W. M. X., Moretti D., Southall B. L., Claridge D. E., Durban J. W., Clark C. W., D'Amico A., DiMarzio N., Jarvis S., McCarthy E., Morrissey R., Ward J., Boyd I. L. 2011. Beaked Whales Respond to Simulated and Actual Navy Sonar. PLoS ONE 6(3):e17009. doi:10.1371/journal.pone.0017009
- United States Department of Commerce and United States Navy. 2001. Joint interim report on the Bahamas marine mammal stranding event of 15-16 March 2000 (December 2001). NOAA unpublished report. 59pp. [Available at http://www.nmfs.noaa.gov/pro\_tres/overview/Interim\_Bahamas\_Report.pdf].
- Wade, P. R., and R. Angliss. 1997. Guidelines for assessing marine mammal stocks: report of the GAMMS workshop April 3-5, 1996, Seattle, WA. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-OPR-12, 93 pp.