

## **BOTTLENOSE DOLPHIN (*Tursiops truncatus truncatus*): Western North Atlantic Southern Migratory Coastal Stock**

### **STOCK DEFINITION AND GEOGRAPHIC RANGE**

#### **Geographic Range and Coastal Morphotype Habitat**

The coastal morphotype of bottlenose dolphin is continuously distributed along the Atlantic coast south of Long Island, New York, around the Florida peninsula and along the Gulf of Mexico coast. Based on differences in mitochondrial DNA haplotype frequencies, nearshore animals in the northern Gulf of Mexico and the western North Atlantic represent separate stocks (Rosel *et al.* 2009; Duffield and Wells 2002). On the Atlantic coast, Scott *et al.* (1988) hypothesized a single coastal migratory stock ranging seasonally from as far north as Long Island, to as far south as central Florida, citing stranding patterns during a high mortality event in 1987-1988 and observed density patterns. More recent studies demonstrate that the single coastal migratory stock hypothesis is incorrect, and there is instead a complex mosaic of stocks (Rosel *et al.* 2009; McLellan *et al.* 2003).

The coastal morphotype is morphologically and genetically distinct from the larger, more robust morphotype primarily occupying habitats further offshore (Hoelzel *et al.* 1998; Mead and Potter 1995; Rosel *et al.* 2009). Aerial surveys conducted between 1978 and 1982 (CETAP 1982) north of Cape Hatteras, North Carolina, identified two concentrations of bottlenose dolphins, one inshore of the 25-m isobath and the other offshore of the 50-m isobath. The lowest density of bottlenose dolphins was observed over the continental shelf, with higher densities along the coast and near the continental shelf edge. It was suggested, therefore, that north of Cape Hatteras, North Carolina, the coastal morphotype is restricted to waters < 25 m deep (Kenney 1990). Similar patterns were observed during summer months in more recent aerial surveys (Garrison and Yeung 2001; Garrison *et al.* 2003). However, south of Cape Hatteras during both winter and summer months, there was no clear longitudinal discontinuity in bottlenose dolphin sightings (Garrison and Yeung 2001; Garrison *et al.* 2003).

To address the question of distribution of coastal and offshore morphotypes in waters south of Cape Hatteras, tissue samples were collected from large vessel surveys during the summers of 1998 and 1999, from systematic biopsy sampling efforts in nearshore waters from New Jersey to central Florida conducted in the summers of 2001 and 2002, and from winter biopsy collection effort in 2002 and 2003 in nearshore continental shelf waters of North Carolina and Georgia. Additional biopsy samples were collected in deeper continental shelf waters south of Cape Hatteras during winter 2002. Genetic analyses using mitochondrial DNA sequences of these biopsies identified individual animals to the coastal or offshore morphotype. Using the genetic results from all surveys combined, a logistic regression was used to model the probability that a particular bottlenose dolphin group was of the coastal morphotype as a function of environmental variables including depth, sea surface temperature, and distance from shore. These models were used to partition the bottlenose dolphin groups observed during aerial surveys between the two morphotypes (Garrison *et al.* 2003).

The genetic results and spatial patterns observed in aerial surveys indicate both regional and seasonal differences in the longitudinal distribution of the two morphotypes in coastal Atlantic waters. During summer months, all biopsy samples collected from nearshore waters north of Cape Lookout, North Carolina (< 20 m deep), were of the coastal morphotype, and all samples collected in deeper waters (> 40 m deep) were of the offshore morphotype. South of Cape Lookout, the probability of an observed bottlenose dolphin group being of the coastal morphotype declined with increasing depth. In intermediate depth waters, there was spatial overlap between the two morphotypes. Offshore morphotype bottlenose dolphins were observed at depths as shallow as 13 m, and coastal morphotype dolphins were observed at depths of 31 m and 75 km from shore (Garrison *et al.* 2003).

Winter samples were collected primarily from nearshore waters in North Carolina and Georgia. The vast majority of samples collected in nearshore waters of North Carolina during winter were of the coastal morphotype; however, one offshore morphotype group was sampled during November just south of Cape Lookout only 7.3 km from shore. Coastal morphotype samples were also collected farther away from shore at 33 m depth and 39 km distance from shore. The logistic regression model for this region indicated a decline in the probability of a coastal morphotype group with increasing distance from shore; however, the model predictions were highly uncertain due to limited sample sizes and spatial overlap between the two morphotypes. Samples collected in Georgia waters also indicated significant overlap between the two morphotypes with a declining probability of the coastal morphotype with increasing depth. A coastal morphotype sample was collected 112 km from shore and a depth of 38 m. An offshore sample was collected in 22 m depth at 40 km from shore. As with the North Carolina model, the Georgia

logistic regression predictions are uncertain due to limited sample size and high overlap between the two morphotypes (Garrison *et al.* 2003).

In summary, the primary habitat of the coastal morphotype of bottlenose dolphin extends from Florida to New Jersey during summer months and in waters less than 20 m deep, including estuarine and inshore waters. South of Cape Lookout, the coastal morphotype occurs in lower densities over the continental shelf (waters between 20 m and 100 m depth) and overlaps spatially with the offshore morphotype.

### **Distinction Between Coastal and Estuarine Bottlenose Dolphins**

In addition to inhabiting coastal nearshore waters, the coastal morphotype of bottlenose dolphin also inhabits inshore estuarine waters along the U.S. east coast and Gulf of Mexico (Wells *et al.* 1987; Wells *et al.* 1996; Scott *et al.* 1990; Weller 1998; Zolman 2002; Speakman *et al.* 2006; Stolen *et al.* 2007; Balmer *et al.* 2008; Mazzoil *et al.* 2008). There are multiple lines of evidence supporting demographic separation between bottlenose dolphins residing within estuaries along the Atlantic coast. For example, long-term photo-identification (photo-ID) studies in waters around Charleston, South Carolina, have identified communities of resident dolphins that are seen within relatively restricted home ranges year-round (Zolman 2002; Speakman *et al.* 2006). In Biscayne Bay, Florida, there is a similar community of bottlenose dolphins with evidence of year-round residents that are genetically distinct from animals residing in a nearby estuary in Florida Bay (Litz 2007). The Indian River Lagoon system in central Florida also has a long-term photo-ID study, and this study identified year-round resident dolphins repeatedly observed across multiple years (Stolen *et al.* 2007; Mazzoil *et al.* 2008).

A few published studies demonstrate that these resident animals are genetically distinct from animals in nearby coastal waters; a study conducted near Jacksonville, Florida, demonstrated significant genetic differences between animals in nearshore coastal waters and estuarine waters (Caldwell 2001; Rosel *et al.* 2009), and animals resident in the Charleston Estuarine System show significant genetic differentiation from animals biopsied in coastal waters of southern Georgia (Rosel *et al.* 2009). In addition, stable isotope ratios of  $^{18}\text{O}$  relative to  $^{16}\text{O}$  (referred to as depleted  $^{18}\text{O}$  or depleted oxygen) in animals sampled along the Outer Banks of North Carolina between Cape Hatteras and Bogue Inlet during February and March were very low (Cortese 2000). One explanation for this depleted oxygen signature is that a resident group of dolphins in Pamlico Sound moves into nearby nearshore areas in the winter.

Despite evidence for genetic differentiation between estuarine and nearshore populations, the degree of spatial overlap between these populations remains unclear. Photo-ID studies within estuaries demonstrate seasonal immigration and emigration and the presence of transient animals (e.g., Speakman *et al.* 2006). In addition, the degree of movement of resident estuarine animals into coastal waters on seasonal or shorter time scales is poorly understood. However, for the purposes of this analysis, bottlenose dolphins inhabiting primarily estuarine habitats are considered distinct from those inhabiting coastal habitats. Bottlenose dolphin stocks inhabiting coastal waters are the focus of this report.

### **Definition of the Southern Migratory Coastal Stock**

Initially, a single stock of coastal morphotype bottlenose dolphins was thought to migrate seasonally between New Jersey (summer months) and central Florida based on seasonal patterns in strandings during a large scale mortality event occurring during 1987-1988 (Scott *et al.* 1988). However, re-analysis of stranding data (McLellan *et al.* 2003) and extensive analysis of genetic (Rosel *et al.* 2009), photo-ID (Zolman 2002), and satellite telemetry (Southeast Fisheries Science Center, unpublished data) data demonstrate a complex mosaic of coastal bottlenose dolphin stocks. Integrated analysis of these multiple lines of evidence suggests that there are 5 coastal stocks of bottlenose dolphins: the Northern Migratory and Southern Migratory stocks, a South Carolina/Georgia Coastal stock, a Northern Florida Coastal stock and a Central Florida Coastal stock.

Among the coastal stocks, the migratory movements and spatial distribution of the Southern Migratory stock are the most poorly understood. Stable isotope analysis conducted using biopsy samples from free-ranging animals sampled in estuarine, nearshore coastal and offshore habitats suggests migratory movement of animals in coastal waters between Georgia in the winter and southern North Carolina during the summer and fall. In that study,  $^{15}\text{N}/^{14}\text{N}$ , and  $^{34}\text{S}/^{32}\text{S}$  ratios of animals sampled off of Georgia during winter months were similar to those of animals sampled in waters off of southern North Carolina, near Cape Fear, during winter months (Knoff 2004). Satellite tag telemetry studies also provide evidence for a stock of dolphins migrating seasonally along the coast between North Carolina and northern Florida. Two dolphins were tagged during November 2004 just south of Cape Fear, North Carolina. One of these animals remained along the South Carolina and southern North Carolina coasts throughout the winter (January-February) while the other migrated south to Northern Florida through February. In the spring (March-June), these animals moved further north of the tagging site to Cape Hatteras, North Carolina. The tags did

not last beyond June, and therefore the distribution of these animals during summer months is unknown (Southeast Fisheries Science Center, unpublished data).

Genetic analyses indicate significant differentiation between bottlenose dolphins occupying coastal waters from the North Carolina/Virginia border to New Jersey during summer months and those in southern North Carolina and further south (Rosel *et al.* 2009). In addition, tagging studies of animals occupying New Jersey waters during the summer indicate that animals from the Northern Migratory stock do not move south of Cape Lookout, North Carolina during winter months. These data demonstrate that the Northern Migratory stock is distinct from the potential Southern Migratory stock. However, there is limited capability to demonstrate genetic differentiation of the Southern Migratory stock from other coastal and estuarine bottlenose dolphin stocks because the Southern Migratory stock overlaps spatially with at least one other stock of bottlenose dolphins throughout the year.

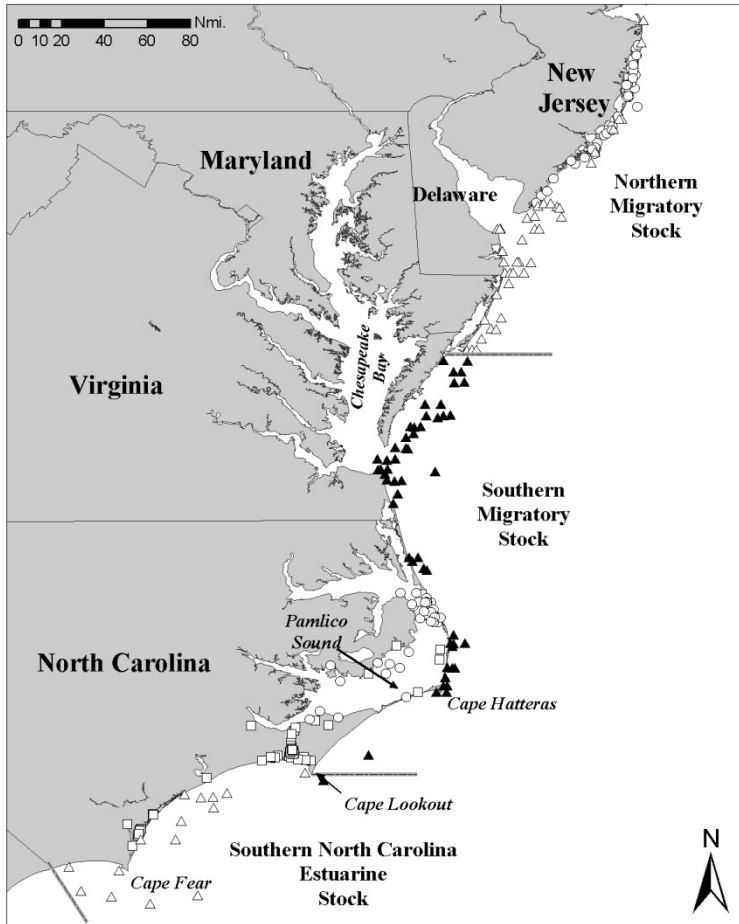


Figure 1. The summer (July-September) distribution of bottlenose dolphin stocks occupying coastal waters from North Carolina to New Jersey. Locations are shown from aerial surveys (triangles), satellite telemetry (circles), and photo-ID studies (squares). Sightings assigned to the Southern Migratory stock are shown with filled symbols. Photo-ID data are courtesy of Duke University and the University of North Carolina at Wilmington.

In summary, the limited data available supports the definition of a Southern Migratory stock of coastal morphotype bottlenose dolphins; however, there is a large amount of uncertainty in its spatial movements. The seasonal movements are best described by tag telemetry data. During the fall (October-December), this stock occupies waters of southern North Carolina (South of Cape Lookout) where it overlaps spatially with the Southern North Carolina Estuarine System stock in coastal waters. In winter months (January-March), the Southern Migratory stock moves as far south as northern Florida where it overlaps spatially with the South Carolina/Georgia and Northern Florida Coastal stocks. In spring (April-June), the stock moves north to waters of North Carolina where it overlaps with the Southern North Carolina Estuarine System stock and the Northern North Carolina Estuarine System stock. In summer months (July-September), the stock is presumed to occupy coastal waters north of Cape Lookout, North Carolina, to the eastern shore of Virginia (Figure 1). It is possible that these animals also occur inside the Chesapeake Bay and in nearshore coastal waters where there is evidence that Northern North Carolina Estuarine System stock animals also occur.

## POPULATION SIZE

Aerial surveys to estimate the abundance of coastal bottlenose dolphins in the Atlantic were conducted during winter (January-February) and summer (July-August) of 2002. Survey tracklines were set perpendicular to the shoreline and included coastal waters to depths of 40 m. The surveys employed a stratified design so that most effort was expended in waters shallower than 20 m deep where a high proportion of observed bottlenose dolphins were expected to be of the coastal morphotype. Survey effort was also stratified to optimize coverage in seasonal management units. The surveys employed two observer teams operating independently on the same aircraft to estimate visibility bias.

The winter 2002 survey included the region from the Georgia/Florida state line to the southern edge of Delaware Bay. A total of 6,411 km of trackline was completed during the survey, and 185 bottlenose dolphin groups were sighted including 2,114 individual animals. No bottlenose dolphins were sighted north of Chesapeake Bay corresponding to water temperatures < 9.5°C. During the summer survey, 6,734 km of trackline were completed between Sandy Hook, New Jersey, and Ft. Pierce, Florida. All tracklines in the 0-20 m stratum were completed throughout the survey range while offshore lines were completed only as far south as the Georgia/Florida state line. A total of 185 bottlenose dolphin groups were sighted during summer including 2,544 individual animals.

In summer 2004, an additional aerial survey between central Florida and New Jersey was conducted. As with the 2002 surveys, effort was stratified into 0-20 m and 20-40 m strata with the majority of effort in the shallow depth stratum. The survey was conducted between 16 July and 31 August and covered 7,189 km of trackline. There were a total of 140 sightings of bottlenose dolphins including 3,093 individual animals. A winter survey was conducted between 30 January and 9 March 2005 covering waters from the mouth of Chesapeake Bay through central Florida. The survey covered 5,457 km of trackline and observed 135 bottlenose dolphin groups accounting for 957 individual animals.

Abundance estimates were calculated using line-transect methods and distance analysis (Buckland *et al.* 2001). The 2002 surveys included two teams of observers to derive a correction for visibility bias. The independent and joint estimates from the two survey teams were used to quantify the probability that animals available to the survey on the trackline were missed by the observer teams, or perception bias, using the direct-duplicate estimator (Palka 1995). The resulting estimate of the probability of seeing animals on the trackline was applied to abundance estimates for the summer 2004 and winter 2005 surveys. Observed bottlenose dolphin groups were also partitioned between the coastal and offshore morphotypes based upon analysis of available biopsy samples (Garrison *et al.* 2003). For the region north of Cape Hatteras, North Carolina, there was complete separation between the coastal and offshore morphotypes, with only coastal animals occupying waters < 20 m deep. Therefore, all animals observed in the 0-20 m depth stratum during surveys of this region were assigned to the coastal morphotype (Garrison *et al.* 2003).

The summer surveys are best for estimating the abundance for both the Northern and Southern Migratory stocks since they overlap least with other stocks during summer months. An analysis of summer survey data from 1995, 2002 and 2004 demonstrated strong inter-annual variation in the spatial distribution of presumed Southern Migratory and Northern Migratory stock animals. Two groups of dolphins in each survey year were identified using a multivariate cluster analysis of sightings based on water temperature, depth and latitude. One group ranged from Cape Lookout, North Carolina, to just north of the Chesapeake Bay mouth, and one ranged farther north along the eastern shore of Virginia to New Jersey. The southern group (i.e., the Southern Migratory stock) was found in water temperatures between 26.5 and 28.0°C, and the northern group (i.e., the Northern Migratory stock) occurred in cooler waters between 24.5 and 26.0°C. The spatial distribution of these groups was strongly correlated with water temperatures and varied between years. During the summer of 2004, water temperatures were significantly cooler than those during 2002, and animals from both groups were distributed farther south and overlapped spatially. Very few bottlenose dolphins were observed in waters north of Virginia during the summer 2004 survey.

The best abundance estimate for the Southern Migratory stock is therefore from the summer 2002 survey when there was little overlap and an apparent separation from the Northern Migratory stock at approximately 37.5°N latitude. This boundary is based upon the distribution of the two identified clusters of animals, and it likely varies between years as a function of varying water temperatures. Abundance estimates from the summer 2002 survey were derived for these stocks by post-stratifying survey effort and sightings into the identified spatial range of the two clusters of animals (Figure 1). The resulting best abundance estimate for the Southern Migratory stock is 12,482 (CV=0.32).

### Minimum Population Estimate

The minimum population size ( $N_{min}$ ) was calculated as the lower bound of the 60% confidence interval for a

lognormally distributed mean (Wade and Angliss 1997). The best estimate for the Southern Migratory Coastal stock of bottlenose dolphins is 12,482 (CV=0.32). The resulting minimum population estimate is 9,591.

### **Current Population Trend**

There are insufficient data to determine the population trends for this stock.

### **CURRENT AND MAXIMUM NET PRODUCTIVITY RATES**

Current and maximum net productivity rates are not known for the Southern Migratory stock. The maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow *et al.* 1995).

### **POTENTIAL BIOLOGICAL REMOVAL**

Potential Biological Removal (PBR) is the product of the minimum population size, one-half the maximum productivity rate, and a “recovery” factor (MMPA Sec. 3. 16 U.S.C. 1362; (Wade and Angliss 1997). The minimum population size of the Southern Migratory Coastal stock of bottlenose dolphins is 9,591. The maximum productivity rate is 0.04, the default value for cetaceans. The recovery factor, which accounts for endangered, depleted, threatened stocks, or stocks of unknown status relative to optimum sustainable population (OSP), is assumed to be 0.5 because this stock is depleted. PBR for this stock of bottlenose dolphins is 96.

### **ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY**

#### **Fishery Information**

This stock has the potential to interact with the following Category I and II fisheries: (1) mid-Atlantic gillnet; (2) Virginia pound net; (3) mid-Atlantic menhaden; (4) Atlantic blue crab trap/pot; (5) mid-Atlantic beach/haul seine; (6) Southeastern U.S. Atlantic shark gillnet; and (7) Southeast Atlantic gillnet. The primary known source of fishery mortality is the mid-Atlantic gillnet fishery, which affects the Northern Migratory, Southern Migratory, Northern North Carolina Estuarine System and Southern North Carolina Estuarine System stocks of bottlenose dolphin. At certain times of year, it is not possible to definitively assign mortalities observed in that fishery to a specific stock. Additional commercial fisheries that may impact the Southern Migratory stock are Virginia pound nets, blue crab or other pot fisheries, the shark gillnet and the shrimp trawl fishery. With the exception of the shark gillnet fishery, these fisheries, lack systematic federal observer coverage, which prevents the estimation of total takes. Therefore, the total average annual mortality estimate is a lower bound of the actual annual human-caused mortality for each stock. Detailed fishery information is presented in Appendix III. The total estimated average annual fishery mortality of the Southern Migratory stock ranges between a minimum of 24.0 and a maximum of 55.0 animals per year. This range reflects the uncertainty in assigning observed or reported mortalities to a particular stock.

#### **Earlier Interactions**

The Atlantic menhaden purse seine fishery historically reported an annual incidental take of 1 to 5 bottlenose dolphins (NMFS 1991, pp. 5-73). However, no observer data are available, and this information has not been updated for some time.

#### **Mid-Atlantic Gillnet**

This fishery has the highest documented level of mortality of coastal morphotype bottlenose dolphins, and sink gillnet gear in North Carolina is its largest component in terms of fishing effort and observed takes. Of 12 observed mortalities between 1995 and 2000, 5 occurred in sets targeting spiny or smooth dogfish, 1 was in a set targeting “shark” species, 2 occurred in striped bass sets, 2 occurred in Spanish mackerel sets, and the remainder were in sets targeting kingfish, weakfish or finfish generically (Rossman and Palka 2001). From 2001-2008, 7 additional bottlenose dolphin mortalities were observed in the mid-Atlantic gillnet fishery. Three mortalities were observed in 2001 with 1 occurring off of northern North Carolina during April and 2 occurring off of Virginia during November. Four additional mortalities were observed along the North Carolina coast near Cape Hatteras: 1 in May 2003, 1 in September 2005, 1 in September 2006 and 1 in October 2006. Because the Northern Migratory, Southern Migratory, Northern North Carolina Estuarine System and Southern North Carolina Estuarine System bottlenose dolphin stocks all occur in waters off of North Carolina, it is not possible to definitively assign all observed mortalities, or extrapolated bycatch estimates, to a specific stock. In addition, the Bottlenose Dolphin Take Reduction Plan

(BDTRP) was implemented in May 2006 resulting in changes in the gear configurations and other characteristics of the fishery.

To estimate the mortality of bottlenose dolphins in the mid-Atlantic gillnet fishery, the available data were divided into the period from 2002 through April 2006 (pre-BDTRP) and from May 2006 through 2008 (post-BDTRP). Three alternative approaches were used to estimate bycatch rates. First, a generalized linear model (GLM) approach was used similar to that described in Rossman and Palka (2001). This approach included all observed mortalities from 1995-2008 where the fishing gear was still in use during the period from 2002-2008. Second, a simple ratio estimator of catch per unit effort (CPUE = observed catch / observed effort) was used based directly upon the observed data. Finally, a ratio estimator pooled across years was used to estimate different CPUE values for the pre-BDTRP and post-BDTRP periods. In each case, the annual reported fishery effort (represented as reported landings) was multiplied by the estimated bycatch rate to develop annual estimates of fishery-related mortality similar to the approach in Rossman and Palka (2001). To account for the uncertainty in the most appropriate of these 3 alternative approaches, the average of the 3 model estimates (and the associated uncertainty) are used to estimate the mortality of bottlenose dolphins for this fishery (Table 1).

Table 1. Summary of the 2002-2008 incidental mortality of bottlenose dolphins (*Tursiops truncatus truncatus*) in the Southern Migratory stock in commercial mid-Atlantic gillnet fisheries. The estimated annual and average mortality estimates are shown for the period prior to the implementation of the Bottlenose Dolphin Take Reduction Plan (pre-BDTRP) and after the implementation of the plan (post-BDTRP). Three alternative modeling approaches were used, and the average of the 3 was used to represent mortality estimates. The minimum and maximum estimates indicate the range of uncertainty in assigning observed bycatch to stock. Observer coverage is measured as a proportion of reported landings (tons of fish landed). Data are derived from the Northeast Observer program, NER dealer data, VMRC landings and NCDMF dealer data. Values in parentheses indicated the CV of the estimate.

Period	Year	Observer Coverage <sup>a</sup>	Min Annual Ratio	Min Pooled Ratio	Min GLM	Max Annual Ratio	Max Pooled Ratio	Max GLM
pre-BDTRP	2002	0.01	0	29.17 (0.97)	6.71 (0.40)	0	67.83 (0.68)	24.22 (0.45)
	2003	0.01	0	34.77 (0.68)	12.35 (0.36)	63.56 (0.99)	47.08 (0.97)	14.00 (0.40)
	2004	0.02	0	81.52 (0.97)	18.93 (0.39)	0	88.56 (0.68)	31.71 (0.45)
	2005	0.03	114.84 (1)	74.05 (0.68)	19.41 (0.42)	123.18 (1.02)	91.01 (0.97)	26.61 (0.45)
	Jan-Apr 2006	0.03	0	0	0.00	0	0	0.32 (0.42)
<b>Annual Avg. pre-BDTRP</b>			Minimum: 21.81 (CV=0.13)			Maximum: 34.03 (CV=0.12)		
post-BDTRP	May-Dec 2006	0.03	0	0	12.10 (0.48)	174.98 (0.70)	44.29 (0.69)	18.99 (0.51)
	2007	0.03	0	0	10.75 (0.35)	0	36.62 (0.69)	18.33 (0.44)
	2008	0.01	0	0	28.54 (0.51)	0	86.60 (0.69)	36.45 (0.52)
<b>Annual Avg. post-BDTRP</b>			Minimum: 5.71 (CV=0.31)			Maximum: 41.91 (CV=0.14)		

<sup>a</sup> Observer coverage is reported on an annual basis for the entire fishery as a proportion of the reported tons of fish landed.

There have been 4 observed takes in the mid-Atlantic gillnet fishery since 2001 that could potentially be assigned to the Southern Migratory stock. Three of these occurred relatively close to shore and in areas with potential overlap with the Northern North Carolina Estuarine System stock. A fourth occurred several kilometers from shore in northern North Carolina during summer months, and therefore is most likely to be from the Southern Migratory stock. These interactions are reflected in positive values for both the pooled and annual ratio estimators (Table 1). Since observed mortalities (and effort) cannot be definitively assigned to a particular stock within certain regions and times of year, the minimum and maximum possible mortality of the Southern Migratory stock are presented for comparison to PBR (Table 1).

Based upon these analyses, the minimum mortality estimate for the Southern Migratory stock for the pre-BDTRP period was 21.81 (CV=0.13) animals per year, and that for the post-BDTRP period was 5.71 (CV=0.31) animals per year. The maximum estimates were 34.03 (CV=0.12) for the pre-BDTRP period and 41.91 (CV=0.14) for the post-BDTRP period (Table 1).

### **Crab Pots and Other Pots**

Since there is no systematic observer program, it is not possible to estimate the total number of interactions or mortalities associated with crab pots. However, it is clear that interactions with pot gear are a common occurrence and result in mortalities of coastal morphotype bottlenose dolphins in some regions (Burdett and McFee 2004). Southeast Regional Marine Mammal Stranding Network data (NOAA National Marine Mammal Health and Stranding Response Database unpublished data, accessed 21 September 2009 and 18 November 2009) from 2004 through 2008 include 13 reports of interactions between bottlenose dolphins and confirmed blue crab pot gear with the majority of these occurring in waters from Florida to South Carolina. In addition, there were 4 interactions documented with pot gear where the fishery could not be confirmed. In these cases, the gear was confirmed to be associated with a pot or trap, but may have been from a fishery other than blue crab (e.g., whelk fisheries in Virginia). There was one mortality in pot gear where the fishery type could not be confirmed in Virginia. This mortality was reported in August 2007 and could be assigned to either the Southern Migratory or the NNCES stock.

### **Virginia Pound Nets**

Historical and recent stranding network data report interactions between bottlenose dolphins and pound nets in Virginia. Stranding data for 2004-2008 indicate 17 cases where bottlenose dolphins were removed from pound net gear, and it was determined that animals were entangled pre-mortem. In each case, the bottlenose dolphin was recovered directly from the fishing gear. Of these 17 cases, 14 were documented mortalities while 3 were released alive (S. Barco, Virginia Aquarium, unpublished data; Northeast Regional Marine Mammal Stranding Network; NOAA National Marine Mammal Health and Stranding Response Database unpublished data, accessed 21 September 2009 and 18 November 2009). These interactions occurred primarily inside estuarine waters near the mouth of the Chesapeake Bay and in summer months. Five of these mortalities occurred during May and June when they could have impacted either the Northern Migratory or Southern Migratory stocks. The other 9 mortalities occurred during the summer (July-September) when they could have impacted either the Southern Migratory or the Northern North Carolina Estuarine System stocks. The overall impact of the Virginia Pound Net fishery on the Southern Migratory stock is unknown due to the limited information on the stock's movements, particularly whether or not it occurs within waters inside the mouth of the Chesapeake Bay.

### **Southeastern U.S. Atlantic Shark Gillnet Fishery and Southeast Atlantic Gillnet Fishery**

Gillnet fisheries targeting finfish and sharks operate in southeast waters between North Carolina and southern Florida. Historically, a drift net fishery targeting coastal sharks operated in waters in northern Florida during winter months that could have interacted with the Southern Migratory stock. Bottlenose dolphin takes (n=2) in the drift net fisheries in this area were documented in 2002 and 2003 (Garrison 2007). Currently, gillnet fisheries include a number of different fishing methods and gear types including drift nets, "strike" fishing and anchored ("sink") gillnets. The majority of this fishing is reported from waters of North Carolina and central Florida, and very little effort is reported during winter months (January-March) within the range of the Southern Migratory stock. There have been no observed recent bottlenose dolphin takes within the stock boundaries.

### Southeastern U.S. Shrimp Trawl Fishery

In August 2002 in Beaufort County, South Carolina, a fisherman self-reported a dolphin entanglement in a commercial shrimp trawl. However, this is outside of the seasonal range of the Southern Migratory stock in these waters, and there is relatively little effort during winter months when the fishery could possibly interact with this stock. No other bottlenose dolphin mortality or serious injury has been reported to NMFS. There has been very little systematic observer coverage of this fishery during the last decade.

### Other Mortality

There have been occasional mortalities of bottlenose dolphins during research activities including directed live capture studies, turtle relocation trawls and fisheries surveys. From 2002-2008, there have been 15 reported interactions during research activities resulting in 13 documented mortalities of bottlenose dolphins. A mortality occurring in a turtle relocation trawl off of North Carolina during March of 2002 could have been attributed to either the Southern Migratory stock or the Northern North Carolina Estuarine System stock. One mortality in a research beach seine was reported from June 2007 in northern North Carolina that was consistent with the spatial range of the Northern Migratory stock, the Southern Migratory stock or the Northern North Carolina Estuarine System stock. All mortalities from known sources including commercial fisheries and research related mortalities for each provisional stock are summarized in Table 2.

The nearshore and estuarine habitats occupied by the coastal morphotype are adjacent to areas of high human population and some are highly industrialized. The blubber of stranded dolphins examined during the 1987-1988 mortality event contained very high concentrations of organic pollutants (Kuehl *et al.* 1991). More recent studies have examined persistent organic pollutant concentrations in bottlenose dolphin tissues from several estuaries along the Atlantic coast and have likewise found evidence of high blubber concentrations particularly in estuaries near Charleston, South Carolina, and Beaufort, North Carolina (Hansen *et al.* 2004), and in portions of Biscayne Bay, Florida (Litz *et al.* 2007). The concentrations found in male dolphins from both of these sites exceeded toxic threshold values that may result in adverse effects on health or reproductive rates (Schwacke *et al.* 2002; Hansen *et al.* 2004). Studies of contaminant concentrations relative to life history parameters showed higher levels of mortality in first-born offspring and higher contaminant concentrations in these calves and in primiparous females (Wells *et al.* 2005). While there are no direct measurements of adverse effects of pollutants on estuarine dolphins and little study of contaminant loads in migrating coastal dolphins, the exposure to environmental pollutants and subsequent effects on population health is an area of concern and active research.

Table 2. Summary of annual reported and estimated mortality of bottlenose dolphins from the Southern Migratory stock. Where minimum and maximum values are reported, there is uncertainty in the assignment of mortalities to this particular stock due to spatial overlap with other bottlenose dolphin stocks in certain areas and seasons. The reported mortalities in Virginia pound net and pot fisheries are confirmed reports and are likely an underestimate of total mortalities in these fisheries.

Year	Mid-Atlantic Gillnet	Virginia Pound Net	Blue Crab Pot	Other Pot	Research	Total
2004	Min = 33.5 Max = 40.1	Min = 0 Max = 6	0	0	0	Min = 33.5 Max = 46.1
2005	Min = 69.4 Max = 80.3	Min = 0 Max = 1	0	0	0	Min = 69.4 Max = 81.3
2006	Min = 4.0 Max = 79.5	Min = 0 Max = 2	0	0	0	Min = 4.0 Max = 81.5
2007	Min = 3.6 Max = 18.3	Min = 0 Max = 3	0	Min = 0 Max = 1	Min = 0 Max = 1	Min = 3.6 Max = 23.3



2008	Min = 9.5 Max = 41.0	Min = 0 Max = 2	0	0	0	Min = 9.5 Max = 43.0
Annual Average Mortality (2004-2008)			Minimum Estimated = 24.00 Maximum Estimated = 55.04			

### Strandings

Between 2004 and 2008, 588 bottlenose dolphins stranded along the Atlantic coast between Florida and Maryland that could potentially be assigned to the Southern Migratory stock (Table 3; Northeast Regional Marine Mammal Stranding Network; Southeast Regional Marine Mammal Stranding Network; NOAA National Marine Mammal Health and Stranding Response Database unpublished data, accessed 21 September 2009 and 18 November 2009). The assignment of animals to a particular stock is impossible in some seasons and regions. During spring and summer months in North Carolina, Virginia and Maryland, the stock overlaps with the Northern Migratory, Northern North Carolina Estuarine System and the Southern North Carolina Estuarine System stocks. During fall and winter months, the stock overlaps with the Southern North Carolina Estuarine System stock, the South Carolina/Georgia Coastal stock, and the Northern Florida Coastal stock. Therefore, the counts below include an unknown number of animals from these other stocks. In addition, stranded carcasses are not routinely identified to either the offshore or coastal morphotype of bottlenose dolphin, therefore it is possible that some of the reported strandings were of the offshore form. In most cases, it was not possible to determine if a human interaction had occurred due to the decomposition state of the stranded animal. However, in cases where a determination could be made, the incidence of evidence of fisheries interactions was high, particularly in Virginia and North Carolina where the percentages of stranded animals with evidence of fisheries interaction were 61% and 44% respectively when a determination could be made. It should be recognized that evidence of human interaction does not indicate cause of death, but rather only that there was evidence of interaction with a fishery (e.g., line marks, net marks) or evidence of a boat strike, gunshot wound, mutilation, etc., at some point in the animal's life. Evidence of fishery interaction is by far the most common type of human interaction reported.

Table 3. Strandings of bottlenose dolphins from North Carolina to New York that can possibly be assigned to the Southern Migratory stock. Assignments to stock were based upon the understanding of the seasonal movements of this stock. However, in waters of North Carolina, Virginia and Maryland there is likely overlap with other stocks during particular times of year. HI = Evidence of Human Interaction, CBD = Cannot Be Determined whether an HI occurred or not. NOAA National Marine Mammal Health and Stranding Response Database unpublished data, accessed 21 September 2009 and 18 November 2009.

State	2004			2005			2006			2007			2008		
	HI Yes	HI No	CBD	HI Yes	HI No	CBD	HI Yes	HI No	CBD	HI Yes	HI No	CBD	HI Yes	HI No	CBD
Maryland <sup>a</sup>	1	3	1	1	0	3	1	2	4	1	0	3	1	0	0
Virginia <sup>a</sup>	20	12	36	12	18	25	13	4	36	11	5	30	13	4	44
North Carolina <sup>b</sup>	9	10	28	6	7	35	1	4	22	6	8	25	5	5	25
South Carolina <sup>c</sup> (Dec-Mar)	1	3	5	2	6	4	1	2	8	0	8	10	1	1	5

Georgia <sup>d</sup> (Jan-Feb)	0	0	2	0	1	1	0	0	2	1	1	1	0	0	3
Florida <sup>d</sup> (Jan-Feb)	0	2	1	0	0	3	0	0	4	0	0	8	0	0	1
Annual Total	134			124			104			118			108		

<sup>a</sup> Strandings from Virginia and Maryland were assigned to stock based upon location and time of year with most occurring between May and September that could be assigned to the Southern Migratory stock. Some of these strandings could also be assigned to the Northern Migratory stock or Northern North Carolina Estuarine System stock.

<sup>b</sup> Strandings from North Carolina were assigned based on location and time of year. During summer and fall, some of these strandings could also be assigned to the Northern North Carolina Estuarine System or Southern North Carolina Estuarine System stocks.

<sup>c</sup> Strandings in coastal waters from South Carolina during December-March are potentially from the Southern Migratory stock or the South Carolina/Georgia Coastal resident stock.

<sup>d</sup> Strandings in Georgia and northern Florida during January and February could also be assigned to the South Carolina/Georgia or the Northern Florida Coastal resident stocks, respectively.

#### STATUS OF STOCK

From 1995 to 2001, NMFS recognized only a single migratory stock of coastal morphotype bottlenose dolphins in the western North Atlantic, and the entire stock was listed as depleted. This stock structure was revised in 2002 to recognize both multiple stocks and seasonal management units and again in 2008 and 2009 to recognize resident estuarine stocks and migratory and resident coastal stocks. The total U.S. fishery-related mortality and serious injury for the Southern Migratory stock cannot be directly estimated because of the spatial overlap among the stocks of bottlenose dolphins that occupy waters of North Carolina. In addition, several fisheries are unobserved and the reported mortalities are minimum estimates. The total mortality is therefore unlikely to be less than 10% of the calculated PBR, and thus cannot be considered to be insignificant and approaching zero mortality and serious injury rate. This stock retains the depleted designation as a result of its origins from the coastal migratory stock. The species is not listed as threatened or endangered under the Endangered Species Act, but this is a strategic stock due to the depleted listing under the MMPA.

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