





UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL OCEAN SERVICE
OFFICE OF OCEAN AND COASTAL RESOURCE MANAGEMENT
Silver Spring, Maryland 20910

FEB 28 2012

MEMORANDUM FOR: Commonwealth, State, and Territorial Coastal Program Managers

THROUGH: Chris Cartwright 
Chief Financial Officer, National Ocean Service

FROM: Joelle Gore 
Acting Chief, Coastal Programs Division

SUBJECT: Final FY 2012 Funding Guidance and Allocations
Coastal Zone Management Act Sections 306/306A and 309

This final funding guidance is based on the funding levels contained in the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55). This Act contains funding for Sections 306/306A and 309 of the Coastal Zone Management Act of 1972, as amended (CZMA). This memorandum provides guidance on the allocation and uses of these funds. If you have any questions about this guidance, I can be reached at (301) 713-3155 extension 177, or via e-mail at joelle.gore@noaa.gov.

Funding Facts

<u>2012 CZMA Funding *</u>	
Section 306/306A/309*	\$ 65,692,749
CNPCP Implementation	\$0
Deobligated Funds	<u>\$7,457</u>
Totals	\$ 65,700,206

* Figures reflect the Appropriation, less a 0.32% across the board reduction in NOAA operational funds, a 0.27% withhold for deobligations from all operational programs, and a 0.1% assessment for the Hollings Scholarship program.



Overview

The FY 2012 funding is derived from the Consolidated and Further Continuing Appropriations Act, 2012, less a 0.32% across the board reduction in NOAA operational funds, a 0.27% withhold for deobligations from all operational programs, and a 0.1% assessment for the Hollings Scholarship program. There are \$7,457 in deobligated funds from prior years that are added into the allocation.

There have also been several notable changes from last year that affect the FY 2012 allocations. First, Illinois has received federal approval, and is eligible for full Section 306 funding. Second, Alaska has withdrawn from the National Coastal Zone Management Program and is not eligible to receive funding. Finally, the 2012 allocation reflects the use of updated coastal population numbers taken from the 2010 U.S. Census for "coastal counties" or their equivalent jurisdictions. The updated list of coastal counties was developed and vetted with the state and territorial Coastal Management Programs (CMPs).

Section 306/306A and Section 309 Allotments

For FY 2012, there is \$65,700,206 in appropriated and deobligated funds available for Sections 306/306A and 309. The CZMA requires that between ten and twenty percent of the Section 306/309 appropriation be directed toward Section 309, up to a maximum of \$10,000,000. We will direct the statutory maximum of \$10,000,000 (15.2%) of the appropriation toward Section 309, leaving \$55,700,206 for Section 306/306A. The Section 306/306A funds require a 1 to 1 match and are available to states and territories with federally-approved coastal zone management programs. State and territorial allocations are provided in the attached table (Attachment 1).

Those states and territories that have successfully completed Section 309 assessments and strategies are eligible for Section 309 funding. These funds require no match. \$8,517,600 of the Section 309 funds will be awarded through the weighted formula. The primary focus of these Section 309 funds will be to implement the 2nd year of the approved 2011-2015 strategies. In addition, \$1,482,400 of the Section 309 funds will be awarded as Projects of Special Merit (PSMs). These projects have been selected through a competitive process and will be awarded through separate awards.

Implementation of Coastal Nonpoint Pollution Control Programs (CNPs)

No funding was appropriated for implementing CNPs in FY 2012. We understand that this presents difficulties for some states and territories, and we will provide flexibility in funding CNP implementation projects with Section 306 funds.

312 Evaluation Metrics

Applications should include language in a new or existing task that indicates that the CMPs will collect data in support of the goals, objectives, performance measures, and numerical targets to be used for Section 312 evaluations that were identified in FY 2011. The FY 2012 performance report guidance includes additional information on these metrics.

Support of NOAA Strategic Priorities

These CZMA Section 306 and 309 funds support NOAA's strategic priorities as described in its Next Generation Strategic Plan (NGSP), which can be found at <http://www.ppi.noaa.gov/ngsp.html>. These funds will be used in part by CMPs to support comprehensive land and water use planning and management activities that:

- lead to resilient coastal communities that can adapt to the impacts of hazards and climate change;
- incorporate a greater understanding of the risks of sea level rise, changes in Great Lakes hydrology and water levels, and other climate impacts to reduce the vulnerability of coastal communities and ecosystem resources; and assist marine resource managers to prepare for and respond to the impacts of a changing climate, ocean acidification, and other climate impacts;
- support sound ocean management; or
- maintain and improved coastal water quality.

As in FY 2011, we want to highlight the objective in the NGSP that envisions "*resilient coastal communities that can adapt to the impacts of hazards and climate change.*" A key element of resilient coastal communities is sound floodplain management, which is recognized in the CZMA regulations through the requirement that state CMPs adhere to Executive Order 11988 on floodplain management. The Office of Ocean and Coastal Resource Management (OCRM) encourages states and territories to continue to use these funds to undertake activities to further enhance floodplain management in the context of their CMP goals and objectives.

As with FY 2010 and FY 2011, we encourage you to continue to consider these priorities in the development of your annual work programs as an opportunity to better demonstrate the linkages between the National CZM Program and NOAA strategic priorities, and for developing opportunities to access a broader array of NOAA and other resources to leverage CZMA funds to their fullest extent.

Application Procedures

We continue to encourage you to work with your coastal management specialists early on to develop your award so that applications for July 1 start date awards and October 1 start date awards can be submitted to OCRM by the appropriate dates included in the attached application schedule (attachment 2). As with previous years, you will need to use the Coastal and Marine Management Program (CAMMP) system (<https://www8.nos.noaa.gov/cammp/Default.aspx>) to develop your application, the Grants.gov system (www.Grants.gov) to submit your formal application, and use Grants Online system (<https://grantsonline.rdc.noaa.gov/>) for the rest of the processing.

Notice Information

According to the Coastal Zone Management Act of 1972, as amended (CZMA), the Secretary of Commerce may make grants to eligible coastal states. Coastal states are defined as states that are in, or bordering on, the Atlantic, Pacific, or Arctic Oceans, the Gulf of Mexico, Long Island Sound, or one or more of the Great Lakes. For the purposes of the CZMA, the term also includes Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa. This notice is being distributed to all eligible parties, and substitutes for the Federal Register notice required for Federal grant programs.

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Attachment #1: Final FY2012 Allocations for CZMA Sections 306/306A and 309

Parameter	Section 306/306A	Section 309 WF	Section 309 PSM
Total Funding	55,700,206	8,517,600	1,482,400
Base Funding	670,000	63,000	N/A
Maximum Funding	1,992,000	483,000	N/A

STATE	FY2012 §306/306A Funding	FY2012 §309 Formula Funding*	Total FY2012 CZMA Funding**
Alabama	1,330,000	88,000	\$1,418,000
American Samoa	789,000	66,000	\$855,000
California	1,992,000	483,000	\$2,475,000
Connecticut	1,807,206	148,000	\$1,955,206
Delaware	1,220,000	81,000	\$1,301,000
Florida	1,992,000	483,000	\$2,475,000
Georgia	1,992,000	286,000	\$2,278,000
Guam	803,000	67,000	\$870,000
Hawaii	1,992,000	166,000	\$2,158,000
Illinois	1,992,000	0	\$1,992,000
Indiana	909,000	71,000	\$980,000
Louisiana	1,992,000	483,000	\$2,475,000
Maine	1,992,000	426,600	\$2,418,600
Maryland	1,992,000	483,000	\$2,475,000
Massachusetts	1,992,000	344,000	\$2,336,000
Michigan	1,992,000	483,000	\$2,475,000
Minnesota	893,000	70,000	\$963,000
Mississippi	1,066,000	76,000	\$1,142,000
New Hampshire	889,000	70,000	\$959,000
New Jersey	1,992,000	483,000	\$2,475,000
New York	1,992,000	483,000	\$2,475,000
North Carolina	1,992,000	414,000	\$2,406,000
Northern Mariana Islands	855,000	69,000	\$924,000
Ohio	1,623,000	130,000	\$1,753,000
Oregon	1,992,000	213,000	\$2,205,000
Pennsylvania	1,568,000	122,000	\$1,690,000
Puerto Rico	1,992,000	167,000	\$2,159,000
Rhode Island	1,264,000	83,000	\$1,347,000
South Carolina	1,992,000	366,000	\$2,358,000
Texas	1,992,000	483,000	\$2,475,000
U.S. Virgin Islands	844,000	0	\$844,000
Virginia	1,992,000	483,000	\$2,475,000
Washington	1,992,000	483,000	\$2,475,000
Wisconsin	1,992,000	164,000	\$2,156,000
TOTALS	55,700,206	8,517,600	\$64,217,806
	Total 309 PSM funds		\$1,482,400
	TOTAL 306/309 Appropriation		\$65,700,206

* This table shows only the §309 weighted formula (WF) funding. §309 funding is split into two parts: \$8,517,000 of the §309 funds are allocated through the fweighted formula while \$1,482,400 is allocated as Projects of Special Merit, and which will be issued under separate awards

** The "Total CZMA funding" on this table includes only the §306 and §309 weighted formula and does not included any §309 PSM funding

Attachment #2

**2012 Coastal Zone Management Act
Cooperative Agreement Application Schedule**

ACTIVITY	Schedule for States with July 1, 2012 Start dates	Schedule for States with October 1, 2012 Start dates
1. Initial Discussions between State and OCRM	December - January	February - March
2. State submits draft application package (via CAMMP)	February 24, 2012	April 20, 2012
3. OCRM provides comments to state on Draft application	March 9, 2012	May 4, 2012
4. State provides Final Application to OCRM via Grants.gov/Grants On-Line	March 16, 2012	May 18, 2012
5. CPD Specialists complete review and circulates application package with OCRM	March 23, 2012	June 1, 2012
6. OCRM submits cooperative agreement application package to NOAA Grants Management Division via GOL	March 30, 2012	June 8, 2012

Attachment #3 Addition Guidance and Reminders

To improve management of the cooperative agreements and be consistent with Federal and NOAA-specific financial requirements, we would like to provide the following reminders and guidance on:

Application Submission

CAMMP is currently up and running and there are no changes from last year. Please contact your specialist or Dwight Reynolds (<dwight.reynolds@noaa.gov>) if you have any problems with CAMMP.

With regard to Grants.gov, to find the CZMA Federal Funding Opportunity (FFO) announcement and obtain the application materials, you need to do the following:

- (1) Go to www.grants.gov;
- (2) Under FOR APPLICANTS tab on Left select "Apply for grants;"
- (3) Select "Download a Grant Application package" next to STEP 1 button;
- (4) Enter Funding Opportunity Number: NOAA-NOS-OCRM-2012-xxxxxxx for July 1 start dates and NOAA-NOS-OCRM-2012-xxxxxxx for October start dates and you should get the files. (OCRM will provide you with the FFO numbers as soon as the FFOs have been published).

Also you will need to make sure that your Central Contractor Registration (CCR) is up-to date. All recipients needed to renew their registration after August 9, 2011. If you need to update your CCR go to www.grants.gov and click on "Get Registered" on the left hand side bar, then click on "Organization Registration," and then click on "Step 2 Register with CCR" and follow the directions.

Signage at 306A Construction Projects

We would like to remind recipients that for any CZMA Section 306A construction or acquisition project, that NOAA Special Award Conditions require that temporary (during construction) and permanent signage be placed at the site that identify the project and indicate that the project is being funded under the Coastal Zone Management Act, by NOAA's Office of Ocean and Coastal Resource Management, in conjunction with the State Coastal Management Program.

These signs need to feature the name and/or logo of NOAA, as well as the name of the National Coastal Zone Management Program, and acknowledgement of the State/Territorial CZM program as appropriate given the sign's overall design. See Coastal Zone Management Act Section 306A Guidance (<http://coastalmanagement.noaa.gov/backmatter/media/guide306a.pdf>) at page 16.

Americans with Disabilities Act Requirements

For those undertaking Section 306A construction projects, as of March 15th 2012, all new construction and alteration projects must comply with the 2010 Americans with Disabilities Act standards (see http://www.ada.gov/2010ADASTandards_index.htm). In past years these new standards were only recommended – now they are required.

Federal Funding Accountability and Transparency Act (FFATA) of 2006 Requirements

Since 2011, the Federal Funding Accountability and Transparency Act of 2006 (FFATA) requires “Prime Grant Recipients” of Federal awards, including CZMA recipients, that provide sub-awards greater than, or equal to \$25,000, to adhere to FFATA sub-award reporting requirements as outlined in the OMB guidance issued August 27, 2010. The prime awardee (i.e., the CZMA program) is required to file a FFATA sub-award report, through the FFATA Sub-award Reporting System (FSRS), “by the end of the month following the month in which the prime recipient awards any sub-grant greater than or equal to \$25,000.” The reporting requirements are located in Appendix A of 2 C.F.R. Part 170 and are available on www.fsrs.gov and GPO’s FDsys website: <http://www.gpo.gov/fdsys/pkg/CFR-2011-title2-vol1/pdf/CFR-2011-title2-vol1-part170-appA.pdf>.

The sub-awardee is not required to report information to FSRS. Reporting of information regarding executive compensation data for sub-awardees, or any other information associated with sub-awards that is the responsibility of the prime recipient. The sub-awardee, however, does have an obligation to provide to the prime grant awardee all information required for such reporting.

“Subawards” are defined as either subcontracts, or grants-specific sub-awards. A “subcontract,” means “a subcontract awarded directly by a contractor to furnish supplies or services (including construction) for performance of a prime contract, but excludes supplier agreements with vendors, such as long-term arrangements for materials or supplies that would normally be applied to a contractor’s general and administrative expenses or indirect cost.” For grants, a “subaward” means “a legal instrument to provide support for the performance of any portion of the substantive project or program for which the recipient received the award.”

For more information such as user guides, FAQs, and an on-line demonstration please see the FSRS website <https://www.fsrs.gov>.