# Antisense down-regulation of 4CL expression alters lignification, tree growth and saccharification potential of field-grown poplar

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## **ABSTRACT**

Transgenic down-regulation of the *Pt4CL1* gene family encoding 4-coumarate:coenzyme A ligase (4CL) has been reported as a means for reducing lignin content in cell walls and increasing overall growth rates, thereby improving feedstock quality for paper and bioethanol production. Using hybrid poplars (*Populus*), we applied this strategy and examined field-grown transformants for both effects on wood biochemistry and tree productivity. The reductions in lignin contents obtained correlated well with 4CL RNA expression, with a sharp decrease in lignin amount being observed for RNA expression below ~50% of the non-transgenic control. Relatively small lignin reductions of ≥10% were also associated with reduced productivity, decreased wood S/G (syringyl/guaiacyl) lignin monomer ratios, and a small increase in the level of incorporation of H-monomers (p-hydroxyphenyl) into cell walls. Transgenic events with less than ~50% 4CL RNA expression were also characterized by patches of reddish-brown discolored wood that had approximately twice the extractive content of controls (largely complex polyphenolics). There was no evidence that substantially reduced lignin contents increased growth rates or saccharification potential. Our results suggest that the capacity for lignin reduction is limited; below a threshold, large changes in wood chemistry and plant metabolism were observed that adversely affected productivity and potential ethanol yield. They also underline the importance of field studies to obtain physiologically meaningful results and to support technology development with transgenic trees.

#### **INTRODUCTION**

Composed of diverse layers of cellulose microfibrils and amorphous hemicelluloses within a matrix of pectins, proteins and lignin, the secondary cell walls of plants are diverse in their morphology, chemistry, and physiological functions. Lignification is of particular interest, as it exhibits highly predictable temporal and spatial patterning and is the last major step in the structural reinforcement of cell walls before the protoplast is dissolved (Donaldson, 2001). To gain detailed insights into cell wall assembly, mutant or transgenic perturbations to lignin biosynthesis have been employed to alter native lignin content and monomer compositions [i.e. to shift ratios of syringyl [S], guaiacyl [G] and p-hydroxyphenyl [H] lignins] (Porter et al., 1978; Miller et al., 1983; Baucher et al., 1996; Kajita et al., 1996; Lee et al., 1997; Anterola and Lewis, 2002; Davin et al., 2008a; Davin et al., 2008b; Patten et al., 2010a). In addition, such perturbations give needed insight into the role of lignin in providing resistance to mechanical (Mark, 1967; Niklas, 1992; Gindl and Teischinger, 2002) and biotic stresses (Dixon and Paiva, 1995). Lignin affects xylem conductance and protects the vasculature from embolism by imparting a barrier between water under transpiration-induced tension in the xylem and the atmosphere (Raven, 1977; Boyce et al., 2004), and retards tissue digestion and decomposition by pathogens and herbivores. Economic incentives have also helped drive research on lignin reductions in wood because lignin is considered the principal cause of recalcitrance to chemical pulping and to simultaneous saccharification and fermentation to produce liquid biofuels (Huntley et al., 2003; Schubert, 2006; Jørgensen et al., 2007; Davin et al., 2008a; Davin et al., 2008b; Foust et al., 2008; Li et al., 2008; Yang and Wyman, 2008).

Because each of the major cell wall bio-polymers has different functions, changes in one component should induce "compensatory" shifts in concentrations or compositions of the others. Indeed, altering lignin composition and content has been shown to have wide-ranging effects on cell wall morphology, including specification of cell identity and plant form (Davin et al., 2008a; Davin et al., 2008b). An early study of aspen (*Populus tremuloides*) down-regulated for 4-coumarate:coenzyme A ligase (4CL) reported that young trees had up to 45% less lignin, increased cellulose contents and increased growth (Hu et al., 1999). These results led Hu and coworkers (1999) to hypothesize that enhanced growth and compensatory deposition of cell wall polysaccharides resulted from reduced carbon demand for lignin synthesis. However, these results were questioned on both analytical and biochemical grounds (Anterola and Lewis, 2002).

Subsequent studies of greenhouse-grown aspen (Li et al., 2003; Hancock et al., 2007; Hancock et al., 2008) and Chinese white poplar (*P. tomentosa*) (Jia et al., 2004) containing transgenes that suppress RNA expression of *4CL* found no comparable growth enhancement.

4CL is generally considered to be the third step in the phenylpropanoid pathway. Consisting of a multigene family (Costa et al., 2005), 4CL is important for monolignol biosynthesis as well as for generation of other secondary metabolites for plant defense in leaves and stem xylem tissues (Tsai et al., 2006). However, little is known about how down-regulation of 4CL can differentially affect production of secondary metabolites and whether or not the types and amounts of the defense compounds produced may differ depending on the level of environmental stresses perceived by growing plants.

Because of the large differences in plant physiological behavior under field *versus* laboratory or greenhouse conditions, and the complex development of xylem in growing trees, field studies are essential to understand the level of lignin modification that might be economically useful yet also preserve tree health and productivity. Previous field studies with other forms of lignin modification have suggested that some kinds of perturbations might be tolerated (Pilate et al., 2002). However, comparable studies have not been reported on trees with lignin modifications induced by *4CL* inhibition.

In this study, we report that *4CL* down-regulation via antisense RNA was effective in reducing lignin contents of wood in field grown trees. In agreement with more recent work (Li et al. 2003; Hancock et al. 2007) and in contrast to an early study (Hu et al., 1999), these changes did not promote increased growth rate. High levels of lignin reduction observed in approximately one-third of the transgenic events led to reduced growth and serious physiological abnormalities. In these low-lignin transgenic events we identified and quantified significant non-lignin phenolic depositions and utilized a novel combination of cryo-fixation and confocal microscopy to visualize the *in-vivo* distribution of these compounds within the wood.. Finally, we determined that reductions in lignin content did not increase wood processability that would benefit fermentation to produce liquid biofuels.

#### RESULTS

# 4CL Transformants had Reduced RNA Expression

In this study, hybrid white poplar (*P. tremula* × *P. alba*) was transformed with *Agrobacterium tumefaciens* carrying an antisense aspen (*P. tremuloides*) *Pt4CL1* gene construct with respect to the endogenous aspen *Pt4CL1* (Li et al., 2003). To estimate levels of Class 1 4CL down-regulation in the resulting transformants, primers specific for two genes, annotated herein as *4CL1-1* and *4CL1-2*, respectively, were designed for qRT-PCR analyses. Both genes were initially identified based on BLAST searches against the black cottonwood (*P. trichocarpa*) genome (v. 1.1, Tuskan et al., 2006) using the aspen *Pt4CL1* gene sequence. This yielded two homologs sharing 94% DNA sequence similarity and 89% amino acid identity (97% similarity), the so-called *Ptr4CL3* and *Ptr4CL5* [see Materials and Methods, as well as Shi and coworkers (2010)]. Based on this *in silico* analysis, and after total RNA isolation from untransformed white poplar stem tissues, the 3' UTR's of the homologs (*4CL1-1* and *4CL1-2*) to the two above *P. trichocarpa* 4CLs were sequenced. Expression of *4CL1-1* and *4CL1-2* in xylem tissues (harvested between internodes 5 and 6 in May 2007, see Table SI for primer sequences) using qRT-PCR found RNA expression down-regulated to 22 to 64% and 45 to 97% of controls, respectively (Fig. 1A).

# Tree Growth, Wood Chemistry, and Wood Color were Altered in Many Transgenic Events

Reductions in *4CL* expression were associated with reductions in aboveground biomass of the two-year-old poplars (Fig. 1A, B). Biomass reductions were greatest in five of the 14 transgenic events (150, 350, 671, 712 and 713) that were characterized by stem wood with patchy brown or reddish-brown color occupying about 24 to 60% on average of the cross-sectional area (hereafter called, brown wood; Table I). Compared to the control (Fig. 2A-E), the brown wood events often differed not only in wood color, but in tree stature (Fig. 2G-K). This difference in wood color often co-occurred with differences in cell shape and cell wall histochemistry, with reductions in phenolic content being most evident in the secondary walls of fibers compared to vessels (although vessels were often irregularly shaped or partially collapsed; Fig. 2F,L). Most individuals from the brown wood events were largely stunted in growth, and characterized by a branchy or shrubby appearance (Fig. 2 M and N). Brown or occasionally

bright red wood was most abundant in distal branches (Fig. 2O). The altered coloration was associated with the deposition of phenolic "extractives" as well as radial bands of collapsed vessels and those fibers without a gelatinous G-layer (Fig. 2Q, Fig. S1). Although control wood (Fig. 2P, top) was distinctly different in color than brown wood (Fig. 2P, bottom), there were some transgenic events that had very little brown wood but did produce a slightly rose colored wood (Fig. 2P, center).

Compared to the control wood, total H/G/S thioacidolysis releasable monomers were lower by up to ~40% (Fig. 3), suggesting that some events had substantial reductions in lignin content. There was no clear relationship between biomass and putative lignin content for transgenic events with modest decreases in lignin. However, there appeared to be a threshold of lignin reduction beyond which brown wood frequency increased dramatically and tree growth declined correspondingly (Fig. 3, see dotted vertical line).

# Brown Wood was Enriched in Phenolics but Unchanged in Saccharification Rate

To investigate whether or not brown wood had greater amounts of extractives, stem wood from each event was extracted with toluene/ethanol, ethanol and hot water, respectively. These data showed that extractive contents of seven of the events (17, 90, 115, 204, 210, 224 and 640) did not differ significantly from the controls (Fig. 4A). By contrast, the red-brown wood events had consistently higher extractive contents, averaging nearly twice the amount of the controls. Compared to the control line, each brown wood event had a significantly higher extractive content within the patches of brown wood, but normal colored wood outside of these patches were similar in extractive content to the controls (Table II). Because event 712 had the most different phenotype, this event was used to investigate the chemical nature of the extractives via UPLC analysis and constituent identification (Fig. 4B). This procedure established that the major extractable phenolic constituents of brown wood were naringenin (1), dihydrokaempferol (3), and their corresponding glucosides (2 and 4, Fig. 4D). Each of these constituents was identified by comparison of their mass spectroscopic fragmentation pattern, as well as with the corresponding authentic standard. In the control, these substances were present at nearly undetectable levels (Fig. 4C).

Among the transgenic events, solvent-extracted cell wall residues (CWRs) examined by thioacidolysis (Fig. 5A) and alkaline nitrobenzene oxidation (NBO) (Fig. 5B) showed somewhat

similar trends in total monomer release, as well as in the proportions of S and G monomers. Thioacidolysis releases monomers **8** – **10** (Fig. 6), that are considered to originate from the cleavage of 8-*O*-4′ inter-unit linkages in lignin polymers, whereas nitrobenzene oxidation releases the corresponding benzaldehydes (**11** – **13**)/benzoic acids (**14** – **16**) via C7–C8 bond cleavage from a range of phenylpropanoids, including lignin (Fig. 6). In this study, the brown wood events gave lower amounts of released products – for the highest levels of *4CL*-down-regulation. NBO analyses; however, released a higher proportion of H-derived monomers for each event. Despite the decreasing trends in total monomer release, putative acetyl bromide (AcBr) lignin contents showed little variation (Fig 5C) as did MBMS-based lignin estimates (Fig. 5D). To summarize, corresponding to reductions in *4CL* expression (Fig. 1), the thioacidolysis/NBO methods for estimating monomeric compositions all indicated reductions in lignin contents in the brown wood samples, (Fig. 5A and B), these being accompanied by, as expected, reductions in S/G ratios (Table III).

The total glucose and xylose release by enzymatic hydrolysis of non-extracted wood powder showed little variation among most of the transgenic events, with statistically significant differences only in events 350 and 712, which are two of the five brown wood events (Fig. 5E). The lack of a change in saccharification efficiency of pre-treated poplar wood occurred despite reductions in lignin content (Fig. 5A and B) and a trend towards higher cellulose contents of the brown wood events due to lignin levels being reduced (Table II).

## **DISCUSSION**

# Tree Growth and Wood Color Varied Widely Among Transgenic Events

The white poplar transgenics grown in the field for two years showed extensive variation in aboveground biomass, tree form and wood color (Fig. 1–3; Table I). Nine of the 14 transgenic events had similar wood color to the controls, ranging from normally colored to rose or pink and rare or small patches of brown wood. These events grew reasonably well considering the variation inherent in field studies (~52 to 106 % of control biomass; Fig. 2, 3 and Table I). The largest difference among transgenic events was associated with the proportion of brown wood (Fig. 2 and 3). These wood phenotypes, with ≥20% of their stem cross-sections as brown wood, were severely stunted (17-31% of control biomass) and had a shrubby appearance (Fig. 2, 3; Table I). Brown wood transformants also tended to exhibit shoot dieback late in the growing

season, and thus probably contributed to their shrubby form; these lines were also characterized by irregular or eccentric cambial activity (Voelker, 2009).

Similar to our results, previous transgenic poplar field trials found that lignin contents reduced by less than 10% did not appreciably change tree growth characteristics (Pilate et al., 2002) whereas reductions in lignin content by about 20% caused tree growth to be strongly reduced (Leple et al., 2007). These reports contrast with that of increased growth in 4CL transgenic poplars grown in a greenhouse (Hu et al., 1999). In our study, 4CL down-regulation of poplars grown under field conditions resulted in considerable variation in productivity until a putative threshold was passed, at which point reductions in biomass, wood discoloration and other pleiotropic effects became striking in the most strongly down-regulated events (Fig. 2). The lack of enhanced growth rate agrees with other studies of 4CL down-regulation, even ones that used a xylem specific promoter as in this study (Li et al., 2003; Hancock et al., 2007; Hancock et al., 2008), rather than a constitutively expressed promoter (Hu et al., 1999). Moreover, studies conducted on other tree taxa (Jia et al., 2004; Wagner et al., 2009) and species lacking substantive secondary growth (Kajita et al., 1996; Lee et al., 1997) have reported either stunted phenotypes or no detectable growth enhancement from 4CL down-regulation. In agreement with these findings, Kirst and coworkers (2004) reported that while expression of a number of expression QTL (eQTL) markers associated with lignin biosynthesis were correlated with growth among inter-specific backcross progeny of *Eucalyptus*, 4CL was not one of them. Taken together, these studies are consistent with the body of literature in showing a lack of increased growth rate for transgenic plants that have been modified in lignin biosynthesis (Anterola and Lewis, 2002; Davin et al., 2008a; Davin et al., 2008b; Li et al., 2010).

#### Wood Color was Associated with Extractive Content and Deformed Stems

In 5 of the 14 transgenic events, brown wood exceeded 20% of the cross-sectional area near stem bases (Table I), was patchy in the transverse and longitudinal planes (Figs. 2H-K, 2O-P (lower), S2), and was also associated with modulated cambial activity, as indicated by stems with brown wood often having an irregular (i.e. non-circular) cross-sectional shape. Confocal microscopy indicated that brown wood appeared to be associated with copious deposition of putative phenolic extractives localized within the ray parenchyma and within fibers and vessels (Fig. 2Q). This pattern suggests that phenolics were synthesized in the parenchyma cells, which

is analogous to the process that occurs during heartwood formation (Gang et al., 1998; Taylor et al., 2002; Patten et al., 2010b). Indeed, the metabolites **1** – **4** identified here in brown wood, as well as kaempferol (**5**), its 7-*O*-glucoside (**6**) and dihydroquercetin (**7**), have also been reported present in sapwood, heartwood and knots of various aspen species (*P. tremula*, *P. tremuloides* and *P. grandidentata*) in amounts ranging from 11 to 82 mg g<sup>-1</sup> dry weight (Fernandez et al., 2001; Pietarinen et al., 2006). Interestingly though, the amounts of dihydrokaempferol (**3**) were *circa* 50 to 3,000-fold higher in "knotwood" of those species than in stem wood (Pietarinen et al., 2006). Often trees that had traces of brown wood in lower stem sections had relatively greater amounts of brown, or discolored wood at branch-to-stem junctions (Fig. S3). Although the underlying causes of increased metabolite levels can only be speculated, our data and observations provisionally suggest that carbon reallocation away from lignification to other metabolic branches might have occurred in lines with the higher extractive levels. It should be emphasized, however, that from a biochemical perspective the underlying causes of increased metabolite deposition (such as phenolics) in both heartwood and knots remains poorly understood (Gang et al., 1998).

Putative shunt pathways and/or accumulation of pathway metabolites resulting in abnormally pink, red or brown xylem have been reported in other 4CL mutants (Kajita et al., 1996; Jia et al., 2004). Similarly, discolored wood has also been found when enzyme activity levels either upstream or downstream of 4CL have been altered (Porter et al., 1978; Miller et al., 1983; Baucher et al., 1996; Ralph et al., 1997; Tsai et al., 1998; Lapierre et al., 1999; Meyermans et al., 2000; Pilate et al., 2002; Jourdes et al., 2007; Leplé et al., 2007). Down-regulation of cinnamyl alcohol dehydrogenase (CAD), for example, produced red xylem that resulted from small amounts of sinapyl aldehyde entering the xylem cell wall region (Jourdes et al., 2007). This pigmentation could, however, readily be removed with MeOH:1% HCl – a procedure generally utilized for anthocyanin floral pigment removal. Such solubilization behavior is indicative that this pigmentation was not part of the lignin macromolecule. In contrast, we found the brown or red color to remain after the same solvent extraction procedure, suggesting that some level of colored components other than these isolated flavonoids entered into the wood, as is generally observed to occur in heartwood. Although the mechanisms remain to be understood, our results suggest that the unintended production of xylem secondary metabolites is a likely consequence of 4CL inhibition used to produce low-lignin trees.

# **Lignin Content was Inversely Associated with Xylem Deformation**

The highest levels of *4CL* down-regulation gave rise to plants that were substantially reduced in lignin content, as indicated by thioacidolytic cleavage (Fig. 5A). These events were also reduced in size, developing a shrubbier appearance (Figs. 1-3). Furthermore, reductions in lignin contents led to lower wood strength and stiffness and an increase in the prevalence of tension wood (Voelker 2009). It is known that tension wood formation is controlled by genes that are upregulated by bending stress (Coutand et al., 2009), suggesting that greater brown wood occurrence at stem to branch junctions (i.e., knotwood) might have also been induced by a similar mechanism. These junctions are where mechanical stresses are concentrated and where the highest levels of so-called extractives are naturally deposited in wild trees.

When viewed with light microscopy the vessels in brown wood tended to be distorted in shape (Fig. 2F, L). Our extensive anatomical investigations of low-lignin poplar wood (Kitin et al., unpublished) suggests this partial collapse can be caused by slide preparation or partial recovery of cells that were almost completely collapsed before preparation. In either case the cell wall material was apparently weaker. Xylem collapse has also been noted in other low-lignin transgenic poplar (Coleman et al., 2008) and we observed similar cell morphology in transformants with the lowest lignin contents (Fig. 2Q, Fig. S1). Interestingly, the uncollapsed cells were predominately associated with tension wood fibers a containing a G-layer (Fig. 2Q, Fig. S1). This observation is consistent with the lateral expansion of the G-layer of tension wood fibers that produces longitudinal contraction of the cell wall and resulting tensile stresses within those cells (Goswami et al., 2008). The mechanical stresses associated with wind, rain and iceinduced bending are, however, greater in the field than in a greenhouse environment and should cause greater tension wood formation. In turn, the lateral expansion and shortening of tension wood fibers (Goswami et al., 2008) would locally exert compressive stresses on nearby cells. Because lignin is thought to be important in resisting compressive stresses (Mark, 1967; Niklas, 1992; Gindl and Teischinger, 2002), vasculature with lower lignin contents may more readily collapse (Fig. 2Q) due to compressive stresses surrounding tension wood fibers. The extensive variation in brown wood and xylem deformation seen in stems of these transgenic trees is likely to result from the well-known variation in degree of antisense down-regulation and its cell/tissue specificity during development of transgenic plants (Anterola and Lewis, 2002). Because of this variation and the large natural variation in abiotic and biotic stresses that trigger changes in wood development, field trials are likely the most meaningful strategy to analyze the risks of such pleiotropy during tree growth.

# **Substantial Agreement Among Studied Methods for Analysis of Lignin Compositions**

Thioacidolysis analyses showed that the nine "normal transformants" with infrequent patches of brown wood were characterized by similar monomeric releasable lignin-derived S or G moieties to the controls, with levels of ~400 to 500 *versus* 500 µmoles g<sup>-1</sup> CWR in the control (Fig. 5A). This overall reduction of about 20% compared to controls suggests that only small reductions in lignin content occurred in these events. The S/G ratios were also only modestly affected in these events based on thioacidolysis; S/G ratios ranged from 1.8 to 2.2 in these transgenics *versus* 1.8 in the controls, with the largest fluctuation due to variation in S-content (Fig 5A, Table III).

Comparable data were obtained using the NBO method (Fig. 5B), which gave similar trends, albeit with small levels of H-units released in all events. Given the near absence of H-units for thioacidolysis products from the same wood, this difference presumably results from non-lignin derived moieties being detected by NBO analyses. Thus, taken together, these data suggest that the transgenics had reductions in thioacidolysis "lignin content" of up to ~20% compared to the control line. The AcBr 'lignin' estimations suggested reductions in lignin content were lesser, up to ~10% compared to the control line (Fig. 5C). However, this approximate reduction level could be underestimated due to the presence of remaining small amounts of UV-absorbing extractives (flavonoids) that are not readily removed from woody tissue using existing solvent extraction procedures. This lack of complete solvent extractability has long been known to occur in heartwood/knot tissues (Gang et al., 1998). For these nine transformants, MBMS "lignin contents" determined on wood that had not undergone extraction were also somewhat similar to the AcBr results; these analyses estimated reductions of up to ~12%.

Only in brown wood events did significant reductions in both cleavable monomer amounts and H/S/G ratios occur. In the case of events 350, 671 and 712, the thioacidolysis yields were between about 30 and 55% lower than the control, indicative of a substantial reduction in lignin content (Fig. 5A). Depending on the method, S/G ratios declined to as little as 1.1 to 1.4 in event 712 as compared to 1.8 to 2.3 in the control line (Table III). This trend would be expected when lignin reductions of this magnitude are encountered and affect the secondary cell walls of fibers

(Fig. 2 L) that normally have a greater proportion of S-monomers compared to the middle lamellae, cell corners and vessel cell walls (Saka and Goring, 1985; Donaldson, 2001; Nakashima et al., 2008).

Small but significant amounts of H-derived thioacidolysis monomeric units were observed in the brown wood transformants, in agreement with other data on mutant plants with significant reductions in lignin contents (Coleman et al., 2008; Patten et al., 2010a). Additionally, while the NBO data showed a similar trend in H-units released (Fig. 5B), this method resulted in greater amounts of H-units released across all events, presumably reflecting the presence of nonextractable flavonoids and other non-lignin components released by NBO. Interestingly, in the brown wood events, the putative "AcBr lignin" contents were very similar to that of the control event (95 – 98%, Fig. 5C), thus highlighting the limitations of the "AcBr lignin" method for tissues harboring non-lignin phenolics, as it measures releasable UV-absorbing substances (Anterola and Lewis, 2002). The MBMS lignin estimates were also comparable to those of the AcBr-lignin method (Fig. 5C and D), suggesting limitations in this analytical technique as well. Interestingly, the S/G ratio was 2.3 in the control event, whereas it ranged from 2.6 to 2.0 in most of the transgenics, except for those containing brown-colored wood where the range was ~1.1 to 2.2 (Table III). For "normal transformants", the MBMS method generally gave higher S/G ratios than thioacidolysis results whereas for brown wood events the S/G ratios were similar among methods (Table III).

## **Wood Chemical Constituents and Saccharification**

By necessity, a decrease in lignin proportion will result in greater proportions of cellulose and/or hemi-celluloses within cell walls. Therefore, greater saccharification efficiency (sugar release per unit biomass) for low lignin xylem is expected, and has been observed in alfalfa (Chen and Dixon, 2007). After taking into account brown wood abundance (Table I) and the cellulose contents of brown *versus* normal colored wood (Table II), we found that cellulose contents increased as expected as lignin contents declined among events ( $r^2 = 0.26$ , P = 0.05); with linear regression predicted values ranging from 432 to 486 mg g<sup>-1</sup> of CWR. Yet, saccharification yields of pre-treated poplar wood did not increase despite the decreased lignin. Rather, they differed very little across control and transgenic events, except for the two most severely affected brown wood events, 712 and 350 (Fig. 5E). Both of these events had

significantly lower total sugar release as compared to control wood (Fig. 5E). It also should be noted that a constant extent of saccharification (g/g biomass) suggests hydrolysis decreased on a per unit cellulose basis in events with lowered lignin contents. Although somewhat counterintuitive, these data argue that normal wood with lignin removed during pre-treatment may provide better structural access for enzymatic degradation of cellulose than transgenic wood with inherently less lignin. Additionally, in the most severely affected brown wood events, extractives may have inhibited enzymatic hydrolysis if they were not fully removed by the steam pre-treatment conditions employed for the assays. Both of these potential mechanisms support the view that cellulose accessibility strongly governs cellulase digestibility of lignocellulosic tissue (Jeoh et al. 2007).

#### **CONCLUSIONS**

Very little is known about the ecophysiological effects of the diverse transgenic perturbations to lignin metabolism that have been proposed as means to accelerate the domestication of biofuels crops. We found that *4CL* down-regulation of poplars grown in a field environment did not have increased growth rates and displayed important physiological vulnerabilities when lignin contents were strongly reduced. Moreover, the most strongly lignin-reduced events did not yield increases in fermentation efficiency that could benefit biofuel production. These results suggest a need for more extensive field trials, early in scientific development, to guide the efficient and ecologically sound development of tree varieities for transgenic biofuel production.

#### MATERIALS AND METHODS

# **Plant Genotypes and Transformation**

Hybrid white poplars (*P. tremula* × *P. alba, INRA-France 717-1B4*) were used for all transformations essentially as described by Filichkin et al. (2006). Three constructs were cotransformed using three *Agrobacterium* C58 strains. These included an antisense aspen (*P. tremuloides*) *4CL1* construct in an antisense orientation and a sense sweetgum (*Liquidambar styraciflua*) *LsCAld5H* construct, both driven by the aspen *4CL1* promoter (Li et al., 2003). The third construct was a putative sterility gene *Att35S* that included a barnase gene driven by the poplar *LEAFY* (*PTLF*) promoter (Wei et al., 2007). Only transformants with the antisense *4CL1* 

construct alone, based on PCR analyses of regenerated transgenic plants, were propagated and used further. To ensure transformation events were independent, a single clone per individual explant was selected for further propagation after confirmation of transgene presence.

Genomic DNA was isolated from young white poplar leaves using a Plant DNAeasy Kit (Qiagen, Valencia, CA), with approximately 25–50 ng DNA used as a template for PCR. Transgene presence was confirmed using *P. tremuloides 4CL1* specific primers (5'-CAGGAATGCTCTGCACTCTG-3' and 5'-ATGAATCCACAAGAATTCAT-3') to amplify a 1.6-kb product. The PCR conditions used for 30 cycles were: 94°C for 1 min, 55°C for 1 min, and 72°C for 1 min, with the resulting PCR products separated on 1% agarose gel and stained with ethidium bromide.

# Plant Preparation and Field Trial Establishment

PCR-positive events were propagated *in vitro* (Filichkin et al., 2006), with fifty- to sixty-day-old plantlets transferred to soil in small pots (5.7 × 8.3 cm) in a greenhouse. These were grown for two months under a 16 h/8 h photoperiod with supplemental lighting (April to May, 2005), and then transferred to tubular pots (6.7 × 24.8 cm) for another 2 months (June to July, 2005). A total of 14 transgenic events (i.e., independent gene insertions) with 10-17 ramets plus 108 non-transformed controls were produced. Plants were then moved to an outdoor, covered, shadehouse for three months of acclimatization at ambient temperature and photoperiod in Corvallis, Oregon (August to October, 2005). Transgenic controls were not employed with this white poplar clone, since the transformation protocol used in our laboratory, greenhouses, and field sites suggest very small and usually undetectable somaclonal variation (Strauss et al., 2004).

The field trial, planted in November 2005 with dormant plants, was conducted just outside Corvallis, Oregon (44.65° N, 123.3° W, 140 m elevation). Mean annual precipitation at the site is 130 cm, with June through September usually being very dry. The frost-free period ranges from 160 – 210 days, with mean maximum and minimum temperatures over the period of 23.2°C and 8.6°C. Soil at the site is a well-drained, silty, clay loam in the top ~15 cm that transitions to clay at a depth of ~40 cm. All trees were regularly hand-watered during the 2006 growing season and permanent drip irrigation was installed for the 2007 growing season. The planting arrangement was a randomized complete block with 10 to 15 ramets from each of the 14 transgenic events and a control event planted at a square spacing with 3 m between trees. To minimize the

influence of competing vegetation, the bare soil surrounding each tree was covered with nursery ground cloth and a glyphosate herbicide was applied to rows between trees at the beginning of the 2007 growing season.

# Estimation of 4CL Expression Levels in Transgenic Events

In May 2007, bark tissues from four or five ramets per event and six control trees were excised with the developing xylem then individually sampled between internodes 5 and 6. A modified Qiagen RNA extraction protocol was used (Busov et al., 2003), with the resulting RNA samples treated with DNaseI (TURBO DNA-free kit, Ambion, Applied Biosystems Foster City, CA). RNA from four ramets for each event was pooled prior to RT-PCR analyses. First strand synthesis of cDNA from 1  $\mu$ g of total RNA for each sample using qRT-PCR was next carried out according to SuperScript III First-Strand Synthesis System general guidelines (Invitrogen, Carlsbad, CA). Each reverse transcription reaction was aliquoted and diluted 10 times, with 1  $\mu$ L used as template for the PCR reactions.

The expression of the two endogenous *4CL1-1* and *4CL1-2* genes, homologous to those in *P. trichocarpa*, were assessed by real-time PCR. [BLAST searches against the *P. trichocarpa* genome (v1.1, Tuskan et al., 2006) using the *4CL1* gene sequence from *P. tremuloides* (GenBank Accession number AF041049) yielded two homologs which share 94% DNA sequence similarity and 89% amino acid identity (97% similarity). The *P. trichocarpa* genome gene model for *4CL1-1* was grail3.0100002702 (JGI annotation *Ptr4CL3*) and the gene model for *4CL1-2* was fgenesh4\_pg.C\_LG\_III001773 (JGI annotation *Ptr4CL5*) (Shi et al., 2010)]. The 3' UTR's were verified using total RNA from stem tissues of untransformed control trees (GeneRacer kit, Invitrogen). Based on the sequences obtained, primers specific for each gene were designed for qRT-PCR (primer sequences in Table S1). The polyubiquitin gene (*UBQ14*, *P. trichocarpa* v1.1. gene model estExt\_fgenesh4\_pm.C\_LG\_XI0348) was used as an internal control gene, because it was previously found to have the lowest developmental variance of 10 "housekeeping" genes studied in poplar (Brunner et al., 2004) (primers in Table S1).

Final concentration of the primers was 0.5 μM. Conditions for all PCR reactions were as follows: 50°C for 2 min, 95°C for 2 min, followed by 40 cycles of 95°C for 30 s, 61°C for 30 s, and 72°C for 30 s. Transcript levels of *4CL1-1* and *4CL1-2* and the housekeeping gene were determined from standard curves of the control sample sequentially diluted five times. The

amounts of 4CL1-1 and 4CL1-2 were then divided by the housekeeping reference amounts to obtain normalized expression levels of 4CL1-1 and 4CL1-2 genes.

#### **Tree Growth**

Tree heights and basal diameters were measured in November 2005 (planting), 2006, and 2007, respectively for all of the 10-15 ramets of each of the 14 transgenic events, plus the 32 controls that comprised the initial planting. At the end of 2007, six control trees and three or four trees that spanned the range of tree size for each transgenic event were harvested to determine allometric estimates of oven-dried above ground biomass. These relationships were used with diameter and height measurements to estimate biomass for each individual at the end of the 2007 growing season. These estimates, after two years growth, were used to compare mean biomass among events.

#### **Brown Wood**

Cross-sectional areas of "brown wood" were estimated near the base of each tree by overlaying a grid of dots on a transparent plastic sheet over three cross-sections from each tree at three heights (stem base, 20 and 40 cm from ground-level) and then recording the relative frequency of brown wood as compared to the entire cross-sectional wood area.

# **Estimated Lignin Contents and Monomeric Compositions**

Control and transgenic trees were harvested November 2007, with the stem of each cut into sections (~30 to 35 cm each) except for 2 trees from event 712 (712-7 and 712-14) that had only basal sections 6 and 15 cm long, respectively, with a number of branches growing from it. For each stem, the most basal 4 to 6 cm section (or the entire base for 712-7 and 712-14) was sampled and the bark removed. Each section was then reduced to small pieces with a chisel, freeze-dried, ground in a Waring blender in presence of liq. N<sub>2</sub> and finally ball milled (Fritsch planetary mill) for 2 to 3 hours until an homogenous powder was obtained. Extractive-free cell wall residues (CWRs) were next obtained as described in Patten et al. (2005) with successive extraction with ethanol:toluene (1:1, v/v), ethanol, and water of each powdered sample (~1 g). Lignin contents were estimated by the acetyl bromide (AcBr) method (Iiyama and Wallis, 1988) as modified (Jourdes et al., 2007), whereas lignin monomeric compositions were estimated using

thioacidolysis (Rolando et al., 1992; Blee et al., 2001) and nitrobenzene oxidation (Iiyama and Lam, 1990; Patten et al., 2005)

# **Lignin Estimations Using Molecular Beam Mass Spectroscopy (MBMS)**

Molecular beam mass spectroscopy (MBMS) is a high-throughput method that uses non-extracted wood flour samples. It is based on pyrolysis-GC/MS (described below), and after calibration gives both lignin and S/G estimates. Estimated lignin values were corrected to approximate Klason lignin values by using an internal standard developed at the National Renewable Energy Laboratory (NREL) where multiple MBMS spectra of NIST standard 8492 (*Populus deltoides*) were averaged and lignin was estimated by summing the peak corresponding to lignin degradation products (Evans and Milne, 1987). A correction factor was then determined by dividing the Klason lignin value for the NIST 8492 standard by the lignin value determined by MBMS. This correction factor was then applied to the remaining samples.

A custom-built molecular beam mass spectrometer using an Extrel<sup>TM</sup> Model TQMS C50 mass spectrometer was used for pyrolysis vapor analysis (Evans and Milne, 1987; Tuskan et al., 1999). Minor modifications were made to incorporate a commercially available autosampler inlet pyrolysis system (Sykes et al., 2009). The autosampler furnace was electronically maintained at 500°C and the interface was set to 350°C. The 3.2 mm transfer line was wrapped in heat tape and heated to approximately 350°C measured with thermocouples. Helium gas (2 L/min) was used to carry the pyrolysis vapors from the pyrolyzer to the mass spectrometer. The residence time of the pyrolysis vapors in the reactor pyrolysis zone has been estimated to be <10 ms and is short enough that secondary cracking reactions are minimal.

Stem samples of 4 to 8 trees per event/control line were air dried (not extracted) and milled to 20 mesh using a Wiley mini-mill. For each sample, approximately 4 mg of biomass was introduced into the quartz pyrolysis reactor via 80  $\mu$ L deactivated stainless steel Eco-Cups (Frontier Lab, Ltd.). Samples were randomized throughout the experimental run to eliminate bias due to possible spectrometer drift. Discs of glass fiber filter paper (type A/D) cover the top of the sample to prevent sample from coming out of the cup during injection. Mass spectral data from m/z 30-450 were acquired on a Merlin Automation data system version 2.0 using 22.5 eV electron impact ionization.

#### **Extractive Contents**

Extractive contents of oven dry wood were determined gravimetrically using basal stem wood from four transformants per event and seven control trees. For these analyses, brown and non-brown woody tissues were separated and ground at the same time using a Dremel tool (Robert Bosch Tool Corp., Racine, WI). Each sample (~1 g, air-dried wood) was then weighed and heat-sealed in a polyester filter bag (mesh size 25  $\mu$ m, ANKOM Technology, Macedon, NY). Extractive contents were estimated in two steps. First, the amounts of toluene-ethanol solubles were determined by re-weighing oven-dried bags, following soxhlet extraction with toluene:ethanol (3:1, v/v) and ethanol (24 h each), respectively. The hot water soluble extractive amounts were also determined by re-weighing oven-dried bags following their immersion in a distilled water bath at 90°C for 2 h, with this procedure repeated twice using fresh distilled water. [Changes in bag mass was calculated by including three blank bags at each step, and this accounted for <1% of the bag mass for all steps.]

# Characterization of Naringenin, Dihydrokaempferol and their Glucosides

For wood extractive component identification, powdered wood samples (~5 mg), from a control tree and event 712, were individually extracted with MeOH:H<sub>2</sub>O (8:2, v/v, 10 mL) by sonication for 10 min at room temperature. Crude extracts were centrifuged (3,000 × g) for 10 min, with each supernatant (~8 mL) individually dried under a stream of nitrogen. Each residue was then redissolved in MeOH:H<sub>2</sub>O (8:2, v/v, 1 mL) and passed through a syringe filter (0.2  $\mu$ m pore size, Nalgene, Thermo Scientific, Rochester, NY), with aliquots (1  $\mu$ L) subjected to HPLC/MS analyses as described below.

Chromatographic analyses were carried out using a Waters ACQUITY Ultra-Performance Liquid Chromatography (UPLC) system, coupled with diode array and mass spectrometric (Thermo Finnigan, APCI mode) detection. Separations employed a reversed-phase ACQUITY BEH column (C18, 50 × 2.1 mm, 1.7 μm particle size, Waters) with a Vanguard pre-column (5 mm × 2.1, 1.7 μm particle size, Waters) at a flow rate of 300 μl min<sup>-1</sup> and a solvent system as follows. A (H<sub>2</sub>O:AcOH, 97:3, v/v) and B (CH<sub>3</sub>CN) in an A:B ratio (95:5) for 6 min, with linear gradients of: A:B to 60:40 in 6.5 min, A:B 55:45 in 6 min, and finally 0:100 in 2 min, with the latter held for 2 min. Mass spectroscopy data were in agreement with previously published data (Le Gall et al., 2003) and that obtained from authentic standards.

#### **Cellulose Contents**

Cellulose contents of the extracted wood samples described above were estimated by reweighing oven-dried bags after lignins and hemicelluloses were removed. The non-cellulosic constituents were removed using the same heat-sealed polyester filter bags described above with the sodium chlorite method outlined by Green (1963). Correction for blank bags was again <1%.

#### Saccharification

A portion of basal stem sections (from about 0-20 cm height) of 4 to 7 trees per transgenic event and 8 control trees were de-barked and the wood was ground into powder. Samples from ten trees were selected at random to be run twice to test for analytical precision for a total of 90 trees and 100 samples. Triplicate samples were subjected to high-throughput pretreatment and enzyme hydrolysis (Decker et al., 2009; Selig et al., 2010). Briefly, 5.0 mg +/- 0.3 mg of 20-80 mesh wood powder was loaded into a 96-well format pretreatment reactor using a Symyx Powdernium MTM solids-dispensing robot (Symyx Technologies, Sunnyvale, CA). Weights for each sample were recorded and sugar release adjusted to a mass basis. Wells for blanks, enzymeonly, sugar standards, and biomass-only controls were included in each reactor plate. Total volume capacity for each well was 417 μL. Each well was loaded with 300 μL of H<sub>2</sub>O as a catalyst, sealed with high-temperature aluminum foil seals, gasketed, and clamped into stacks of 5-10 reactors, and heated with steam to 180°C for 40 min in a modified Parr reactor. After rapid cooling with cold water, the reactor plates were centrifuged to remove condensation from the underside of the seals. The seals were pierced and 40 µL of enzyme in citrate buffer (1.0 M, pH 5.0) was added. The enzyme cocktail was loaded on a mass basis and consisted of Spezyme CP cellulase (Genencor-Danisco, Palo Alto, CA) loaded at 70 mg protein g<sup>-1</sup> of initial biomass and Novo188 β-glucosidase (Novozymes, Salem, VA) loaded at 2.5 mg protein g<sup>-1</sup> initial biomass. After resealing, the reactor plates were incubated static at 40°C for 72 h. The extent of enzymatic hydrolysis was evaluated by quantitation of glucose and xylose released during the digestion using enzyme-linked sugar assay kits (Megazyme International Ireland Ltd., Wicklow, Ireland). After adding glucose and xylose standards to standard wells in each reactor, a 1:20 dilution of the digestion mixture was made in water in a separate assay plate. Aliquots from the assay plate were analyzed via the enzyme-linked assays below on a Molecular Devices Spectromax 190 plate reader at the wavelengths indicated.

Glucose was measured via a glucose oxidase/peroxidase enzyme-linked assay.

D-Glucose + 
$$O_2$$
 +  $H_2O \rightarrow$  D-gluconate +  $H_2O_2$ 

 $H_2O_2 + p$ -hydroxybenzoic acid + 4-aminoantipyrine  $\rightarrow$  quinoneimine dye (A<sub>510</sub>)

Xylose was quantified using a xylose dehydrogenase enzyme-linked assay.

$$D$$
-Xylose + NAD<sup>+</sup>  $\rightarrow$  D-xylonic acid + H<sup>+</sup> + NADH (A<sub>340</sub>)

# **Microscopy**

For light microscopy, thin hand-sections were stained with safranin and astra-blue following Jourez et al. (2001). Images were captured with a digital CCD camera (Q Imaging, Micropublisher 5.0 RTV) interfaced with a bright-field light microscope (Nikon E400, Tokyo, Japan).

To visualize phenolics, a branch characterized by extensive brown wood formation was selected and flash-frozen in liquid nitrogen. The cryo-fixed branch was cut into 2 to 3 cm-long segments at –12°C in a walk-in-freezer. Then the segments were planed in a frozen state (–10 to –30°C) on a sliding microtome and freeze-dried. Transverse and longitudinal planed surfaces were observed with a confocal microscope (LSM, Carl Zeiss 510) using a single track, triple channel imaging with 405, 488, and 543 laser lines and emission filters (BP 420-480, BP 530-600, and BP 604-625). Phenolic depositions had strong blue and green autofluorescence while the autofluorescence of unstained cell walls in the blue and green spectrum was not visualized in the confocal images because of its considerably lower intensity in comparison to the phenolics. The autofluorescence of cell walls was imaged in the red spectrum (604-625).

#### **Statistical Analyses**

Least-squares regression methods were used to assess relationships between tree form and size. To compare trait values among the control event and transgenic events we conducted analysis of variance tests. Traits were first compared with a global ANOVA (PROC GLM, SAS version 9.2, SAS Institute Inc. Cary, NC, USA). Further analyses compared means among the control event and transgenic events with Tukey HSD tests to control for Type 1 experiment-wise error.

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**Table I.** Biomass accumulation and brown wood occurrence (±SD) measured for each of 14 transgenic events and controls. Bold values were significantly different (P<0.05) than controls. The number of live trees sampled after 2 years growth is n.

1	-	C		
		Oven-dry	Brown wood	
Event	n	aboveground	(%)	
		biomass (g)	(70)	
Control	31	$492 \pm 376$	$0 \pm 1$	
17	12	$426 \pm 287$	$0 \pm 1$	
204	11	$522 \pm 444$	$6 \pm 17$	
225	12	$609 \pm 534$	$4 \pm 10$	
210	11	$552 \pm 508$	$1 \pm 2$	
640	10	$490 \pm 342$	$1 \pm 2$	
224	11	$370 \pm 230$	$1 \pm 1$	
90	10	$475 \pm 674$	$0 \pm 1$	
209	9	$363 \pm 332$	$1 \pm 2$	
115	11	$257 \pm 231$	$1 \pm 2$	
671	10	<b>116</b> $\pm$ 70	<b>59</b> ± 34	
713	10	$181 \pm 190$	<b>24</b> ± 31	
150	9	<b>113</b> ±91	<b>51</b> ± 37	
350	10	<b>92</b> ±64	<b>47</b> ± 30	
712	7	<b>143</b> ±124	<b>60</b> ± 33	

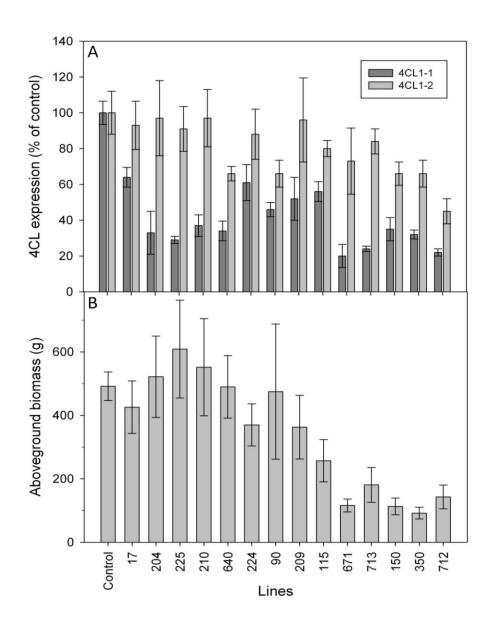
**Table II.** Cellulose and extractive contents ( $\pm$  SD) by brown wood presence or absence. Bold values were significantly different (P<0.05) than controls. CWR is oven-dry, extractive-free cell wall residue and DW is oven-dry initial wood mass including extractives. Controls n=7, normal wood n=4 and brown wood n=3 trees. Extractive and cellulose contents were determined gravimetrically, nd is not determined. Toluene-ethanol extractives were estimated following soxhlet extraction and hot water soluble extractives were estimated following a series of water baths (see *Materials and Methods*).

Event	Brown wood cellulose (% of CWR)	Normal wood cellulose (% of CWR)	Brown wood toluene-ethanol extractives (% of DW)	Normal wood toluene- ethanol extractives (% of DW)	Brown wood hot water extractives (% of DW)	Normal wood hot water extractives (% of DW)
Control	nd	$42.2 \pm 0.9$	nd	$4.0 \pm 0.6$	nd	4.3 ±1.1
17	nd	$44.0 \pm 1.1$	nd	$4.9 \pm 0.5$	nd	$5.3 \pm 1.0$
204	nd	$43.3 \pm 2.3$	nd	$4.6 \pm 1.1$	nd	$5.2 \pm 0.8$
225	nd	$46.9 \pm 1.9$	nd	$6.4 \pm 1.4$	nd	$5.5 \pm 0.3$
210	nd	$46.2 \pm 1.5$	nd	$4.2 \pm 0.6$	nd	$3.9 \pm 0.9$
640	nd	$49.3 \pm 1.0$	nd	$4.0 \pm 0.4$	nd	$4.4 \pm 0.9$
224	nd	$46.2 \pm 3.0$	nd	$4.0 \pm 0.5$	nd	$4.0 \pm 0.5$
90	nd	$41.9 \pm 3.0$	nd	$4.0 \pm 0.9$	nd	$4.1 \pm 0.6$
209	nd	$43.8 \pm 14.1$	nd	$5.7 \pm 0.6$	nd	$5.8 \pm 0.8$
115	nd	$40.4 \pm 2.6$	nd	$5.0 \pm 0.9$	nd	$4.0 \pm 1.0$
671	$47.2 \pm 0.9$	$47.0 \pm 1.6$	<b>9.5</b> $\pm$ 3.2	$5.9 \pm 1.5$	<b>6.2</b> $\pm$ 1.1	$5.6 \pm 2.1$
713	$38.6 \pm 1.1$	$40.6 \pm 1.2$	<b>19.5</b> $\pm$ 0.4	$5.9 \pm 2.8$	$8.0 \pm 2.0$	$6.9 \pm 1.7$
150	$45.9 \pm 1.6$	$46.1 \pm 2.0$	$11.2 \pm 1.7$	$5.4 \pm 1.4$	<b>8.5</b> $\pm$ 2.9	$4.4 \pm 0.8$
350	$42.0 \pm 3.1$	$44.8 \pm 2.5$	$16.2 \pm 5.2$	$5.5 \pm 1.5$	<b>7.4</b> $\pm$ 0.6	$6.3 \pm 0.7$
712	$51.0 \pm 4.6$	$45.5 \pm 0.9$	<b>9.5</b> ± 2.6	$3.9 \pm 0.5$	<b>8.7</b> ± 1.6	$5.6 \pm 1.6$

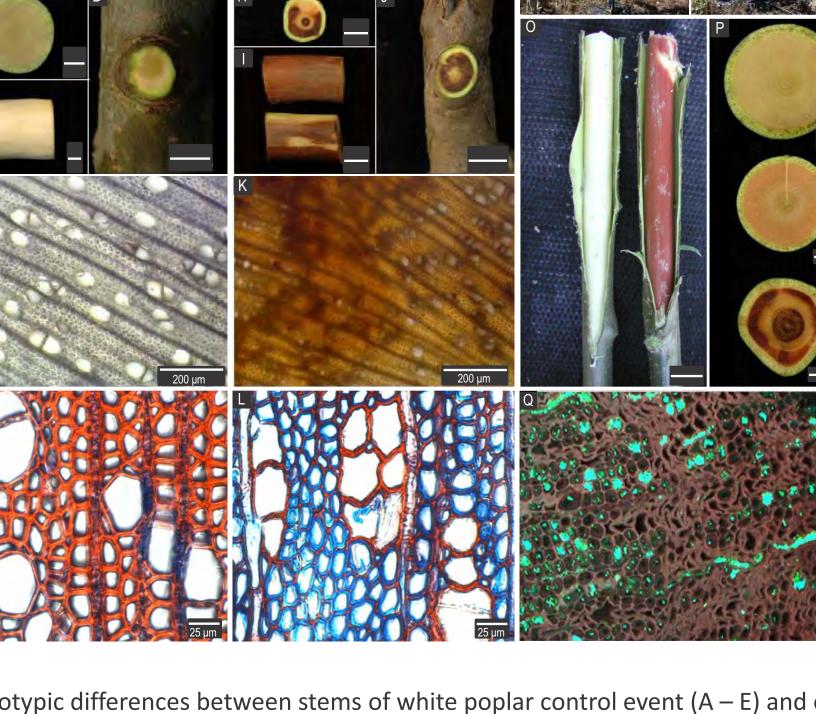
**Table III.** S/G ratios (±SD) as determined by thioacidolysis, nitrobenzene oxidation (NBO) and molecular beam mass spectroscopy (MBMS) analyses. Bold values were significantly different (P<0.05) than controls.

Event	Thioacidolysis	NBO	MBMS
Control	$1.8 \pm 0.08$	$2.0 \pm 0.16$	$2.3 \pm 0.2$
17	$2.2 \pm 0.02$	<b>2.4</b> $\pm$ 0.03	$2.5 \pm 0.1$
204	$2.2 \pm 0.14$	$2.2 \pm 0.02$	$2.2 \pm 0.4$
225	$1.8 \pm 0.09$	$2.0 \pm 0.04$	$2.0 \pm 0.1$
210	$2.0 \pm 0.03$	$2.3 \pm 0.05$	$2.4 \pm 0.1$
640	$1.9 \pm 0.09$	$2.1 \pm 0.09$	$2.2 \pm 0.1$
224	$2.1 \pm 0.10$	$2.4 \pm 0.07$	$2.4 \pm 0.2$
90	$2.1 \pm 0.03$	$2.4 \pm 0.14$	$2.6 \pm 0.0$
209	$2.0 \pm 0.19$	$2.3 \pm 0.29$	$2.5 \pm 0.1$
115	$2.1 \pm 0.12$	$2.3 \pm 0.09$	$2.5 \pm 0.1$
671	$1.9 \pm 0.19$	$1.9 \pm 0.24$	$1.8 \pm 0.6$
713	$1.9 \pm 0.19$	$2.1 \pm 0.13$	$2.2 \pm 0.3$
150	$2.1 \pm 0.22$	$2.1 \pm 0.36$	$1.9 \pm 0.5$
350	$1.8 \pm 0.09$	$1.6 \pm 0.08$	$1.7 \pm 0.5$
712	$1.4 \pm 0.11$	$1.3 \pm 0.17$	<b>1.1</b> $\pm$ 0.4

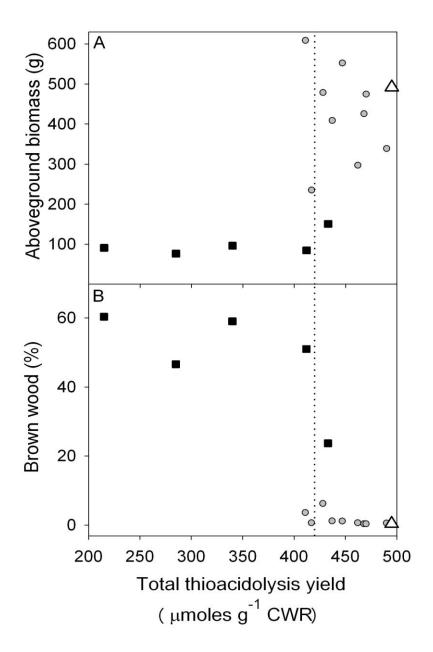
- **Figure 1.** *4CL-1/4CL2-2* RNA transcript levels as measured by qRT-PCR (A) and biomass of 2-year-old control and transgenic white poplars (B). Events are arrayed by height from tallest (control) to shortest (event 712).
- **Figure 2.** Phenotypic differences between stems of white poplar control event (A E) and event 350 (G -K). After harvesting, 2-year old tree stems were cut into circa 30 – 40 cm sections (A and G). The stem base transverse and longitudinal sections of the control are yellow in color (B-D), whereas comparable locations in event 350 are largely red-brown (H-J). Light microscopy of transverse sections show consistent wood color and large round vessels of the control versus the patchy brown color and, provisionally, a reduction in vessel size and frequencies in brown wood of event 350 (E and K). Wood stained with safranin (red colored wood indicates the stain is bound to phenolics including lignin) and astra-blue (blue colored wood indicates the stain is bound to cellulose in the absence of lignin) from stem wood of the control (F) and poorly lignified wood from event 712 (L). Representative tree form of the control (M) and a "shrubby" brown wood-forming event 713 (N). The bright green color of a freshly harvested branch from the control line contrasts sharply with the red colored wood from a similar branch from event 350(O). Wood color in transverse sections shows normal color [control, top], rose-colored wood [event 225, middle] and patchy brown wood [event 350, bottom] (P). Confocal microscopy of collapsed wood xylem from event 712 (Q) shows the distribution of phenolic extractives (bluegreen fluorescence) in radial transit through ray parenchyma and after deposition, mostly into fiber cells. Most collapsed cells in this image were narrow vessels and normal fibers. The image represents a maximum projection of 36 optical sections at 1 micrometer intervals...
- **Figure 3.** Aboveground biomass (A) and stem brown wood percentage (B) plotted against total thioacidolysis yields. Each symbol is the mean value for the control or a transgenic event. Open triangle=control, gray circles= "normal transformants", black squares= "brown wood transformants".
- **Figure 4.** Stem wood estimated extractive contents in control and transgenic white poplars (A). Ultra-Performance Liquid Chromatography (UPLC) elution profile of extractives isolated from event 712 showing the presence of naringenin (1), dihydrokaempferol (3) and their 7-*O*-glucosides 2 and 4 (B). These are absent in the control event under the conditions employed (C). Flavonoids known to be present in *Populus* species (D).
- **Figure 5.** Releasable monomeric derivatives by thioacidolysis (A) and alkaline NBO (B). For panels A and B dark gray bars are syringyl, light gray bars are guaiacyl and white bars are *p*-hydroxyphenyl monomers. Putative lignin contents as estimated by the AcBr lignin method (C) and molecular beam mass spectroscopy (MBMS) (D). Sugar released during saccharification (E).
- **Figure 6.** Monomeric thioacidolysis (8–10) and alkaline nitrobenzene oxidation (11–16) products from lignins.



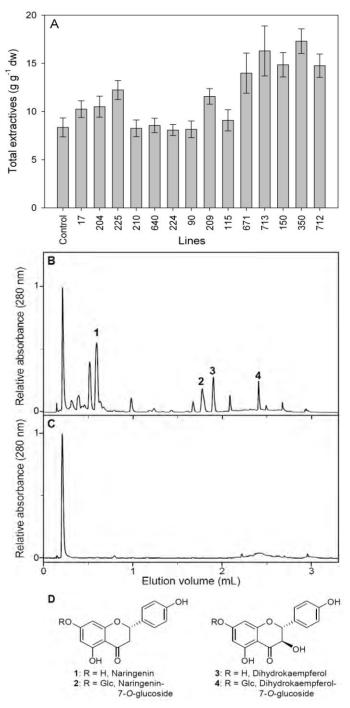
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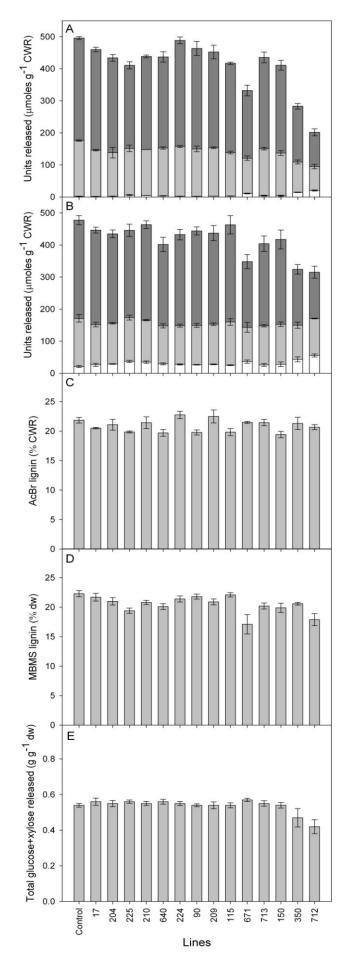
sting, 2-year old tree stems were cut into *circa* 30 – 40 cm sections (A and Ge, longitudinal and branch sections of the control are yellow in color (B-D), we cations in event 350 are largely red-brown (H-J). Light microscopy of transvent wood color and large round vessels of the control *versus* the patchy brown reduction in vessel size and frequencies in brown wood of event 350 (E and afranin (red colored wood indicates the stain is bound to phenolics including the colored wood indicates the stain is bound to cellulose in the absence of light control (F) and poorly lignified wood from event 712 (L). Representative and a "shrubby" brown wood-forming event 713 (N). Bright white-green we control branch contrasts with the red-brown color of a similar branch from the property of the control to the control was a similar branch from the property of the control to the control was a similar branch from the control branch contrasts with the red-brown color of a similar branch from the control branch contrasts when the color of the control to the control was a color from the control to the control branch contrasts with the red-brown color of a similar branch from the control branch contrasts with the red-brown color of a similar branch from the control branch control to the control branch contrasts with the red-brown color of a similar branch from the control branch control branch contrasts with the red-brown color of a similar branch from the control branch c



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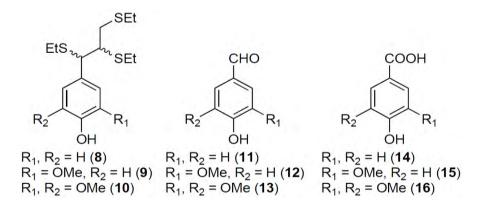


Figure 6. Monomeric thioacidolysis (8–10) and alkaline nitrobenzene oxidation (11–16) products from lignins.