

A polymer of caffeoyl alcohol in plant seeds

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Lignins are complex phenylpropanoid polymers mostly associated with plant secondary cell walls. Lignins arise primarily via oxidative polymerization of the three monolignols, *p*-coumaryl, coniferyl, and sinapyl alcohols. Of the two hydroxycinnamyl alcohols that represent incompletely methylated biosynthetic products (and are not usually considered to be monolignols), 5-hydroxyconiferyl alcohol is now well established as incorporating into angiosperm lignins, but incorporation of caffeoyl alcohol has not been shown. We report here the presence of a homopolymer of caffeoyl alcohol in the seed coats of both monocot and dicot plants. This polymer (C-lignin) is deposited to high concentrations in the seed coat during the early stages of seed development in the vanilla orchid (*Vanilla planifolia*), and in several members of the Cactaceae. The lignin in other parts of the Vanilla plant is conventionally biosynthesized from coniferyl and sinapyl alcohols. Some species of cacti contain only C-lignin in their seeds, whereas others contain only classical guaiacyl/syringyl lignin (derived from coniferyl and sinapyl alcohols). NMR spectroscopic analysis revealed that the Vanilla seed-coat polymer was massively comprised of benzodioxane units and was structurally similar to the polymer synthesized in vitro by peroxidase-catalyzed polymerization of caffeoyl alcohol. CD spectroscopy did not detect any optical activity in the seed polymer. These data support the contention that the C-lignin polymer is produced in vivo via combinatorial oxidative radical coupling that is under simple chemical control, a mechanism analogous to that theorized for classical lignin biosynthesis.

cell wall polymer | lignin polymerization | lignin structure | whole cell wall NMR

Lignins are abundant phenylpropanoid polymers produced primarily from oxidative polymerization of three 4-hydroxycinnamyl alcohols differing in their degrees of methoxylation (Fig. S1). Lignins occur mostly in vessels, tracheids, and fibrous tissues of vascular plants where they bind, strengthen, and waterproof cell walls to provide mechanical support, enhance water transport, and help ward off pathogens and pests. The biosynthesis and bioengineering of cell wall lignins, and their chemical and mechanical properties, have attracted significant attention because lignin hinders agro-industrial processes, such as chemical pulping of woody crops (1), forage digestion by livestock (2), and conversion of lignocellulosic plant biomass into liquid biofuels (3, 4). In addition, the variability of biosynthesis, and thereby the structures of various lignins, is considered to be closely correlated with the diversity and evolution of land plants (3, 5–12).

During lignin biosynthesis, the monolignol precursors are functionalized by aromatic hydroxylation and *O*-methylation (as well as successive side-chain reductions) to generate monolignols differing in their aromatic substitution patterns (Fig. 1A and Fig. S1). Natural lignins are generally composed of *p*-hydroxyphenyl (H), guaiacyl (G), and syringyl (S) units, that are biosynthesized by polymerization of the three primary monolignols, *p*-coumaryl, coniferyl, and sinapyl alcohols, respectively; natural angiosperm lignins have only low levels (<~2%) of H-units. Catechyl (C) and 5-hydroxyl guaiacyl (5-OH-G) units that may derive from polymerization of the corresponding caffeoyl and 5-hydroxy coniferyl alcohols (Fig. 1A and Fig. S1) are not found in “normal” lignins.

Extensive studies have revealed the essential plasticity of lignin biosynthesis (6, 10, 12–15), and support the concept that lignin polymerization results from a combinatorial radical coupling process that is under simple chemical control (14, 16, 17). Thus, lignin monomer composition is largely determined by monolignol availability and, under certain circumstances, this permits incorporation of the “unusual” C and 5-OH-G monolignols into the polymer. For example, 5-hydroxyconiferyl alcohol participates in lignification in various angiosperm plants in which caffeic acid/5-hydroxyconifer-aldehyde *O*-methyltransferase (COMT), the key enzyme for conversion of monolignol precursors from the 5-OH-G to the S aromatic level (18, 19), is down-regulated. The combination of a mutation in the gene encoding COMT with overexpression of ferulate 5-hydroxylase, which catalyzes hydroxylation of G to 5-OH-G aromatic level precursors, generates lignins largely composed of 5-OH-G units in benzodioxane structures (20, 21). Similarly, down-regulation of caffeoyl-CoA *O*-methyltransferase (CCoAOMT), for conversions from C to G aromatic-level precursors, introduces low levels (less than 10%) of C units into cell wall lignins in tracheary-element cultures of the gymnosperm *Pinus radiata* (22). However, down-regulation of CCoAOMT in angiosperm species, such as *Arabidopsis*, alfalfa, poplar, and tobacco, does not result in the incorporation of C units into lignin (23–27), and neither does down-regulation of both monolignol methylation enzymes (28).

Here we report a lignin in the monocotyledonous angiosperm Vanilla orchid (*Vanilla planifolia*) that is naturally biosynthesized from the unusual C monolignol, caffeoyl alcohol. Similar polymers are found in the seeds of other vanilla species and several species of cacti (which are dicots). The *V. planifolia* polymer was structurally characterized by various chemical methods, 2D NMR spectroscopic techniques, and gel-permeation chromatography (GPC). All evidence indicates that the C-lignin is formed by combinatorial oxidative radical coupling under simple chemical control, a mechanism analogous to that occurring in classic lignification.

Results

Identification of C-Lignin Signatures in *V. planifolia*. Initial studies on the lignin of mature beans of the vanilla orchid *V. planifolia* (Fig. 1C) by thioacidolysis (29, 30) revealed the presence of a small doublet in the gas chromatography (GC)-MS profile at a retention time consistent with the catechyl (C) monomer, α,β,γ -trithioethyl-propylcatechol (Fig. 1B). The beans contained black-coated seeds (Fig. 1F), and thioacidolysis revealed that the lignin in the isolated seed coats was entirely composed of C units (Fig. 1H), with practically no release of α,β,γ -trithioethyl-propylguaiacol, from

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guaiacyl units, nor the syringyl analog (Fig. 1B). In contrast, thioacidolysis of the pod residue (after seed isolation), stem, leaf, and aerial root released normal G and S monomers with essentially no C monomers, indicating that the lignins present in these tissues are typical G-rich G/S-lignins (Fig. 1I–K). C-lignin signatures first appeared in the seed coat at around 8 wk after pollination (Fig. S2), when the coat turns from transparent white to brown (Fig. 1D–F), and at least 2–3 mo before the appearance in the pods of the flavor compound vanillin, which is biosynthetically related to lignin (31). The absolute levels of the C-lignin in the vanilla seed are substantially higher than the values estimated from the released thioacidolysis monomers because of the unusual structure of the polymer (see below).

C-Lignin Signatures in Seeds from Other Plant Species. Similar GC-MS traces to that shown for the *V. planifolia* seed coat were obtained from seed coats of two other Vanilla species, *Vanilla pompona* and *Vanilla tahitensis*. However, C units were not detected in the seed coats of *Phalaenopsis* orchid species, nor Asparagus and Agave, two other members of the monocot order Asparagales, to which Vanilla

belongs. We did, however, observe strong C-lignin signatures in the seed coats from several species of the family Cactaceae, specifically in members of the genera *Astrophytum*, *Discocactus*, *Frailea*, *Melocactus* (Fig. 1L), *Notocactus*, *Uebelmannia*, and *Wigginsia*. Interestingly, all these species possessed black-coated seeds (Fig. 1G) that released only C monomers on thioacidolysis, whereas *Mammillaria* (Fig. 1M) and *Opuntia* species possessed brown seeds that contained normal G/S-lignin. During this limited survey, no seeds were found that contained both C- and G/S-lignins.

Wet-Chemical and NMR Characterization of *V. planifolia* Tissues. Separated tissues from mature *V. planifolia* were further characterized by wet-chemical methods and by 2D NMR using direct dissolution/swelling (32, 33). Klason analysis of the seed coat indicated a very high level (~80%) of acid-insoluble lignin polymer (Table S1). Butanol-HCl assay (34) did not detect proanthocyanidins, which are major components in some seed coats (e.g., *Arabidopsis* and *Medicago*) (35, 36). The majority of the remaining material in the seed coat was crystalline cellulose (16%); very little noncellulosic sugars (2%) were detected. The chemical compositions of the pod remaining after seed isolation and the stem were similar overall (Table S1); these tissues were most rich in cellulose, with modest levels of hemicellulosic and pectic sugars, and Klason lignins.

Two-dimensional gel-state NMR spectra of vanilla tissues were acquired with samples prepared by swelling whole-plant materials, after fine milling, in DMSO-*d*₆/pyridine-*d*₅ (4:1, vol/vol) (33) (Fig. 2). The spectra of the pod and stem displayed typical G/S type lignins with an array of hemicellulosic and pectic sugar units (Fig. 2B and C; see also Fig. S3 for expanded polysaccharide anomeric regions). However, the overwhelming signals in the spectrum of the seed coat were from the C-lignin polymer (Fig. 2A), as evidenced by the striking similarity to the spectrum of a synthetic dehydrogenation polymer (C-DHP) generated by horseradish peroxidase-catalyzed polymerization of caffeyl alcohol (Fig. 2D). The aliphatic regions of the spectrum indicated a massive presence of benzodioxane units V, for which the α -, β -, and γ -correlations from *trans*-benzodioxane rings Vt, as well as lower-level contributions from *cis*-benzodioxane rings Vc, were resolved and readily assigned by comparison with the data from the synthetic model dimers (Fig. S4A and B). Conventional lignin aromatic signals were practically absent and, instead, the dominant signals were from C units: compelling confirmation of these assignments could be made via comparison with the C-DHP and model compounds (Fig. S4A and B). The benzodioxane polymer in the seed coat is therefore derived from the polymerization, almost exclusively, of caffeyl alcohol (see Fig. 3D for the mechanism).

Ball-milled vanilla seed coat was also analyzed by normal solution-state NMR via complete dissolution/acetylation using the DMSO/*N*-methylimidazole (NMI) solvent system (Fig. S5) (32). A massive presence of C-lignins was again firmly established by diagnostic catechyl aromatic signals and benzodioxane units; these signals were predictably shifted following acetylation and could be assigned by comparison with the data from acetylated model dimers and C-DHP (Fig. S4C and D). In addition, the signals from cellulosic glucans, which were not significant in the gel-state NMR spectra (Fig. 2) because of the incomplete gelation of crystalline cellulose (33), were then clearly observed, but the signals from hemicellulosic and pectic sugars remain practically absent.

NMR Characterization of Isolated C-Lignin from *V. planifolia* Seed Coat. Representative fractions enriched in the C-lignin polymer were isolated in 24% or 16% yield by cellulase treatment of ball-milled *V. planifolia* seed coat followed by extraction with DMSO or with 96% dioxane:water solution (37–39) (Table S1). Solution-state ¹³C-¹H correlation heteronuclear single quantum coherence (HSQC) spectra of the isolated fractions in DMSO-*d*₆ indicated successful removal of the polysaccharides and concurrent

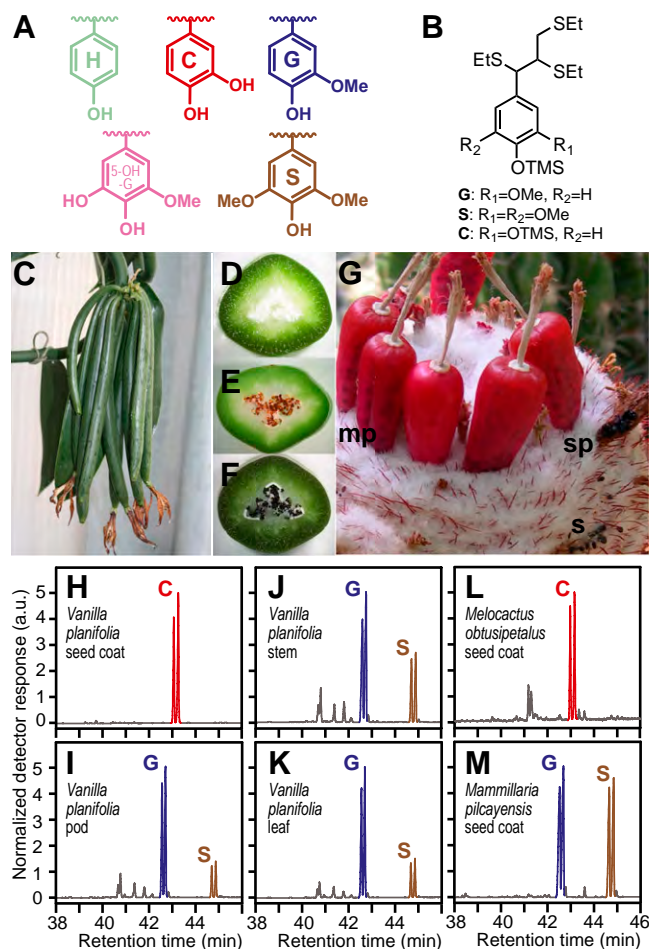


Fig. 1. A C-lignin polymer in plant seeds. (A) Aromatic structures of conventional and novel lignin units (see text and Fig. S1). (B) Diagnostic monomeric compounds released via thioacidolysis of guaiacyl (G), syringyl (S), and catechyl (C) lignin polymers. (C) Mature *V. planifolia* beans. (D–F) Cross-sections of *V. planifolia* beans at 6 (D), 8 (E), and 10 (F) wk postpollination. (G) Terminal cephalium of *Melocactus obtusipetalus*, showing mature seed pods (mp), a senesced seed pod (sp) revealing contents, and individual released seeds (s). (H–M) Partial total-ion chromatograms of thioacidolysis products from *V. planifolia* (H) seed coat, (I) pod (without seed), (J) stem, and (K) leaf, and (L) *Melocactus obtusipetalus*, and (M) *Mammillaria pilcayensis* seed coat.

enrichment of C-lignin (Fig. S6). The isolated seed-coat lignin was then acetylated so as to be soluble in chloroform-*d*, which facilitates long-range ^{13}C - ^1H correlation NMR experiments (e.g., heteronuclear multiple bond correlation, HMBC) (40, 41).

The large differences from classical lignins were most readily visualized from the aromatic regions of HSQC spectra of the isolated and acetylated seed-coat lignins (Fig. 3A, Left). Volume integration of the contour signals confirmed that this lignin is almost exclusively composed of C units; typical G and S lignin aromatics are virtually nonexistent in this polymer. Other correlations (gray) are currently unassigned, but do not seem to arise from caffeyl alcohol because they are not seen in the acetylated C-DHP (Fig. 3B).

High-field HSQC spectra of the side-chain (aliphatic) regions resolved most of the correlations for the various linkage types, revealing more clearly the manner in which the monomeric units are assembled (Fig. 3A, Right). The major contours in the spectrum were almost identical to those of the C-DHP (Fig. 3B). Benzodioxanes, resulting from β -O-4-coupling of a monomer with a caffeyl unit, were the dominant units in both the seed-coat lignin and C-DHP, accounting for over 98% of the total identifiable dimeric units. The *trans/cis* compositions of the benzodioxane rings (Vi/Vc) in the seed polymer and C-DHP were similar (Vi:Vc = 97:3 and 96:4, respectively). The normal acyclic β -aryl ether units I, which are the predominant linkages in typical natural lignins, were absent in these polymers. Small amounts of phenylcoumaran II and resinol III units were present in both the seed-coat lignin and C-DHP. HMBC experiments revealed the expected long-range correlations between the side-chain α -protons and the 1-, 2-, and 6-carbons of the catechyl aromatic

rings in the polymer, supporting the contention that all of these units derive from caffeyl alcohol (Fig. S7). In addition, at least four unassigned correlations (colored in pink) were observed in the spectrum of the seed polymer (Fig. 3A). Because these were also identified in the spectrum of C-DHP (Fig. 3B), they presumably represent new structures resulting from radical coupling reactions of caffeyl alcohol. The isolated stem lignin is, in contrast, a G-rich G/S type lignin rich in β -aryl ether units I, with more modest amounts of phenylcoumaran II and resinol III units, and also with more minor amounts of dibenzodioxocin units IV (Fig. 3C, and Figs. S6 and S7), as is typical for angiosperm stem lignins (40, 41).

Molecular Weights of C-Lignin in *V. planifolia* Seed Coat. Molecular-weight distributions of acetylated samples of ball-milled whole *V. planifolia* seed coat and extracted C-lignins were determined by GPC with UV detection (Fig. 4A and Table S2). The molecular-weight profiles showed broad distributions spanning a range of up to 10^5 Da. The number-average degree of polymerization (DP_n , based on the molecular weight of the catechyl benzo-dioxane internal unit) of the whole seed coat was ~ 30 . The DP_n of the isolated lignins extracted with DMSO and 96% dioxane: water solution were ~ 18 and 13, respectively. It is likely that the insoluble fractions that were left after extractions of isolated seed coat lignins contain C-lignins with higher molecular masses. As expected from the end-unit analysis (Fig. 3A and B), the DP_n of the in vitro lignin (C-DHP) was even lower than those of isolated lignins, ~ 8 . All these values are comparable to literature values for various isolated and synthetic lignins (42–44).

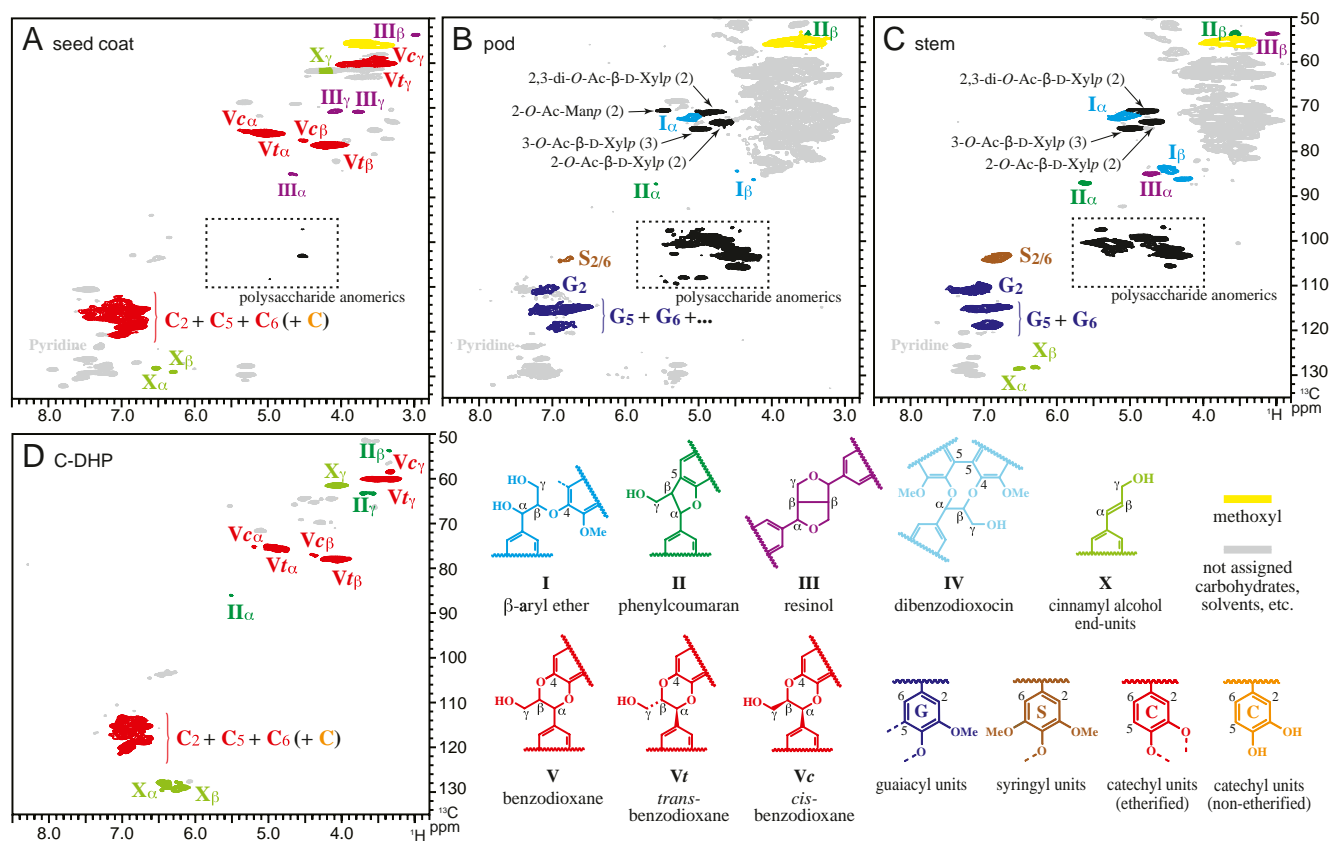


Fig. 2. A 2D NMR characterization of separated *V. planifolia* tissues. (A–C) Gel-state short-range ^{13}C - ^1H correlation (HSQC) spectra of whole vanilla tissues, (A) seed coat, (B) pod, and (C) stem in 4:1 dimethyl sulfoxide- d_6 /pyridine- d_5 . (D) Solution-state HSQC spectra of an in vitro polymer (C-DHP), synthesized via peroxidase-catalyzed polymerization of caffeyl alcohol, in dimethyl sulfoxide- d_6 .

Optical Activity of C-Lignin in *V. planifolia* Seed Coat. Natural lignins are optically inactive (45, 46). The optical activity of the isolated seed-coat lignin was investigated by CD spectroscopy. In addition, chiral benzodioxane dimers **1a** and **1b** were separated from a racemic mixture of synthetic dimer **1** (Fig. S4) by chiral HPLC and also subjected to CD for comparison (Fig. 4 B and C). The CD spectrum of the seed-coat lignin indicated no detectable optical activity, whereas the chiral dimer **1a** displayed clear positive Cotton effects in the region of 240–320 nm under the same analytical conditions (Fig. 4D). The other enantiomer **1b** had essentially a mirror image spectrum (Fig. 4C). Optical activity was readily seen by spiking (46) a preparation of the seed polymer with as little as 5% of chiral dimer **1a** (Fig. 4D). Benzodioxane units in the vanilla seed are, within the limits of detection by the current method, optically inactive.

Discussion

Seeds of both monocot and dicot species contain previously unsuspected lignin polymers constructed almost entirely from catechyl (C) units. This C-polymer is a major component of the seed coat of *V. planifolia*, whereas the stem, leaf, and aerial root have only typical angiosperm G/S lignins. Thioacidolysis and 2D-NMR data clearly indicate that the C-polymer is essentially a homopolymer synthesized purely from caffeyl alcohol, and with benzodioxanes as essentially the only intermonomer unit in the

polymer. Based on preliminary thioacidolysis data, similar C-lignins are found in the seed coats of certain cactus species. Our data so far suggest that lignins present in seed coat of cactus species are either of the C- or G/S-types, but not both.

It is premature to speculate about the possible distribution of the C-lignin polymer within the plant kingdom. Currently only observed in the Asparagales (Orchidaceae) and Caryophyllales (Cactaceae), it is likely that the polymer has wider distribution, as it is found in both monocots and dicots. The taxonomy of the Cactaceae is constantly under revision, but in a recent analysis *Astrophytum* (C-lignin in seed) and *Mammillaria* (G/S-lignin in seed) are closely related in the same clade (47). This finding suggests that the formation of C-lignin is not an ancient trait, but has occurred recently and probably frequently within the plant kingdom. Thus, the genetic/biochemical mechanisms that allow for the monolignol pathway to be derailed into production of high concentrations of caffeyl alcohol are probably relatively simple.

The C-polymer appears in *V. planifolia* beans at least 2–3 mo before the appearance of the flavor compound vanillin, which is synthesized in hair cells within the pod (48) and is likely derived from an initial phenylpropanoid precursor by side-chain shortening (31). The high concentration (>80% by the Klason lignin method) of C-polymer in the seed coat may imply a lignin-like structural role in addition to a tannin-like role for seed protection.

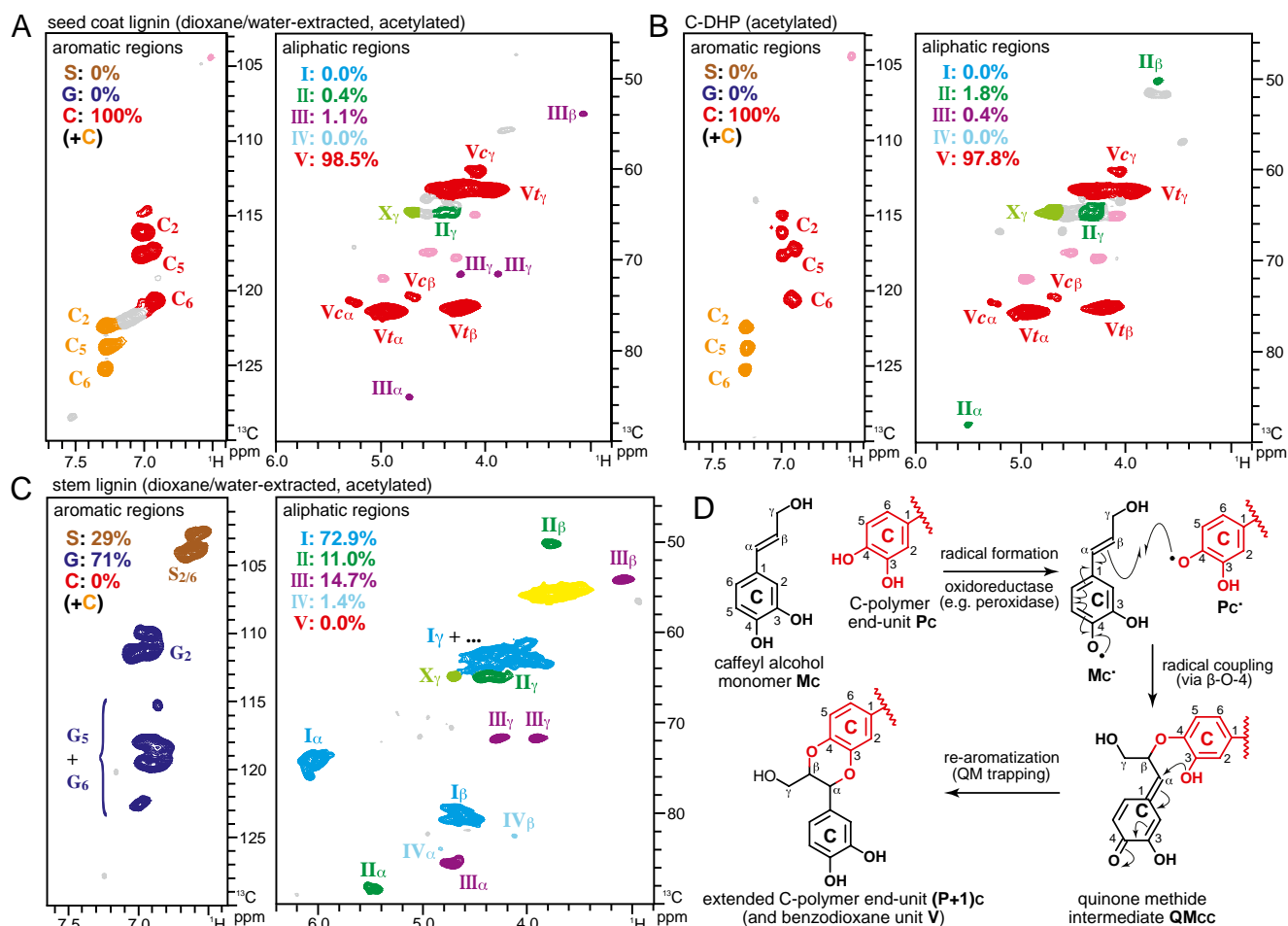


Fig. 3. Short-range ^{13}C - ^1H correlation (HSQC) spectra of acetylated isolated lignins from *V. planifolia* seed coat (A) and stem (C) (extracted with dioxane-water, 96:4, vol/vol), and (B) an acetylated *in vitro* polymer (C-DHP), synthesized via peroxidase-catalyzed polymerization of caffeyl alcohol. For abbreviations for signal assignments, see Fig. 2. (D) Scheme for generation of benzodioxane units via radical cross-coupling reactions between caffeyl alcohol monomer **Mc** and catechyl (C)-polymer (**P_c**) end-units.

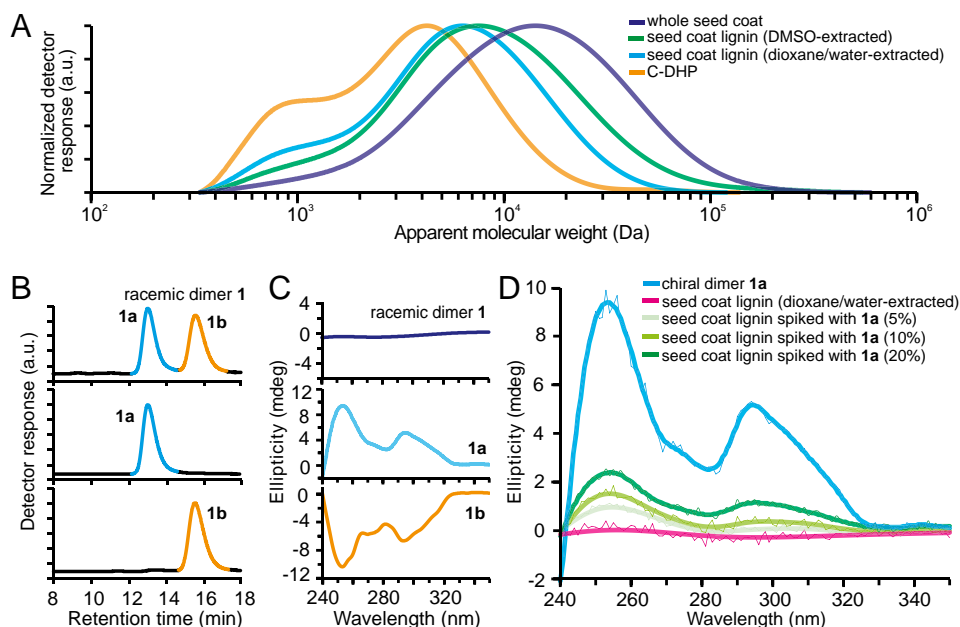


Fig. 4. Size and chirality of *V. planifolia* seed coat lignins. (A) GPC elution profiles of acetylated samples of ball-milled whole-seed coat, seed-coat lignins extracted with dimethyl sulfoxide or dioxane-water (96:4, vol/vol), and polymer (C-DHP) synthesized via peroxidase-catalyzed polymerization of caffeoyl alcohol. (B) Chiral HPLC elution profiles, and (C) CD spectra of racemic benzodioxane dimer 1 (Fig. S4), and its separated enantiomers 1a and 1b. (D) CD spectra of purified phenolic polymer isolated from vanilla seed (seed coat lignin, extracted with dioxane-water, 96:4, vol/vol), chiral benzodioxane dimer 1a, and seed polymer spiked with 5%, 10%, and 20% (by weight) chiral dimer 1a; smoothed (thick lines) and raw (thin lines) spectra are presented.

The vanilla seed polymer is strikingly similar to the *in vitro* polymer synthesized via peroxidase-catalyzed polymerization of caffeoyl alcohol. Both polymers are massively composed of benzodioxane units, which are uniquely formed via β -O-4-type radical coupling of the monomer (at its β -position) with a C-polymer end-unit (at its 4-O-position) followed by internal trapping of the quinone methide intermediates (QM) by the *o*-hydroxyl (3-hydroxyl) group in the C unit to form the six-membered ring (Fig. 3D). Similar benzodioxanes were recently identified in cell cultures of CCoAOMT-deficient *P. radiata* (22), and analogous benzodioxanes are products of lignification with 5-hydroxyconiferyl alcohol in COMT-deficient angiosperms (20, 21, 26, 49, 50). As the only β -O-4-type units were benzodioxanes, the postcoupling rearomatization of QM seems to be exclusively via the efficient internal trapping by the *o*-hydroxyl group in C units (Fig. 3D). The main benzodioxane backbones in the seed polymer are *trans/cis*-isomeric mixtures, as in the *in vitro* polymer and synthetic dimer, suggesting that the stereochemistry of postcoupling rearomatization of the QM is under simple kinetic chemical control. Therefore, it is most plausible that caffeoyl alcohol is enzymatically oxidized, presumably by plant oxidoreductases such as peroxidases and laccases initially, but is cross-coupled onto the growing polymer in a chemically controlled fashion, independent of enzymes or other proteins, in the same way as conventional monolignols are during lignin polymerization (14, 16, 17).

In conclusion, the identification of this unique polymer provides compelling evidence for flexibility in the construction of lignin polymers in nature. The mechanisms that allow for formation of caffeoyl alcohol in developing vanilla and cactus seeds, and the question of whether such catechyl polymers are much more widespread in nature, remain to be determined. Such studies might contribute to the development of new avenues in lignin bioengineering, and may also provide new insights into the diversity and evolution of land plants.

Materials and Methods

Plant Materials. Mature vanilla beans were provided by Bakto Flavors, separated into the seed and pod (residue left after seed isolation), and processed as described in *SI Materials and Methods*. Vanilla stem material was obtained from vines growing in the greenhouse at the Noble Foundation, Ardmore, OK. Seeds of all cactus species were obtained from flowering plants in the collection of one of the authors (R.A.D.).

Isolations of Vanilla Lignins. Vanilla lignin samples for NMR, GPC, and CD analyses were prepared via methods largely described previously (22, 33, 39), and as further described in *SI Materials and Methods*.

Synthetic Model Dimers. The benzodioxane dimer 1 was synthesized from radical coupling reactions of caffeoyl alcohol via silver carbonate (Ag_2CO_3) oxidation, and dimer 2 was via methylation of dimer 1 with methyl iodide; detailed synthetic protocols and complete NMR and MS spectroscopic data are described in *SI Materials and Methods*.

Dehydrogenation Polymer from Caffeoyl Alcohol. A dehydrogenation polymer from caffeoyl alcohol (C-DHP) was generated via HRP-catalyzed polymerization, as previously described (22, 51, 52), and further outlined in *SI Materials and Methods*.

Chemical Analyses. Determination of Klason lignin, crystalline cellulose, amorphous sugars, protein content, proanthocyanidins and lignin composition (by thioacidolysis) were as described in *SI Materials and Methods*.

NMR Spectroscopy. The NMR methods used were largely described previously (22, 32, 33, 51), and as further described in *SI Materials and Methods*.

GPC. GPC was performed on a Shimadzu LC-20A LC system (Shimadzu) as further described in *SI Materials and Methods*.

Chiral HPLC. Analytical and preparative chiral HPLC for enantiomeric separation of benzodioxane dimer 1 was performed on a Shimadzu LC-20A LC system as described in *SI Materials and Methods*.

CD Spectroscopy. CD spectra were run on an Model 2025F CD spectrophotometer (Aviv Biomedical) as described in *SI Materials and Methods*.

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