

Native American Housing Needs Outreach Session Proceedings Report

Westin Westminster Hotel Denver, Colorado December 1-2, 2010





Disclaimer

This document is a summary of participant discussions and presentations and does not represent the official policy or position of the U.S. Department of Urban Development (HUD).

Table of Contents

Executive Summary	1
Introduction	5
Purpose	5
Scope of the Report	5
Session Goals	
Importance of the Sessions With Respect to the HUD Housing Needs Study	6
Meeting Format	6
Breakout Session Topics	8
Breakout Session Outcomes	9
Crosscutting Themes	10
Priority Needs by Topic	12
Priority Needs & Action Strategies as Identified by Participants	13
Topic #1: Choice in Housing Type and Design	14
Topic #2: Sustainable Community Development and Infrastructure	15
Topic #3: Community Wellness and Environmental Health	17
Topic #4: Leveraging Funding and Finance	19
Topic #5: Housing as an Engine of Economic Development	21
Topic #6: Federal Indian Housing Funding	23
Model Approaches/Success Stories	
Comments and Recommendations Regarding the HUD Housing Needs Study	27
General Survey Comments	28
Survey Outreach	
Household Survey	
Housing Entity Survey	34
TDHE Staff Survey	36
Tribal Leader Survey	
The Most Important Thing to Keep in Mind about the HUD Housing Needs Stu	dy38
Appendices	
A. Session Agenda	
B. Breakout Presentations by Regional Representatives	
C. Participant List	62

Executive Summary

Introduction

The Department of Housing and Urban Development (HUD), Office of Native American Programs, (ONAP) held seven Native Housing Needs Outreach Sessions ("Outreach Sessions") from December 1, 2010 through March 24, 2011.

This was the first of seven facilitated regional sessions:

Denver, Colorado	December 1-2, 2010
Reno, Nevada	December 14-15, 2010
Honolulu, Hawaii	January 12-13, 2011
Oklahoma City, Oklahoma	January 26-27, 2011
Hollywood, Florida	February 23-24, 2011
Seattle, Washington	March 2-3, 2011
Anchorage, Alaska	March 23-14, 2011

The two day session encouraged an exchange of ideas leading to identification of key problem areas and barriers to affordable, sustainable housing in Indian Country, strategies for improvement and change, and identified tribal model approaches. ONAP's goal for the outreach sessions was to expand the definition of housing need to the more encompassing concept of tribal need to create greater community and economic sustainability; thus creating greater opportunities for affordable housing as well as homeownership.

The session also served as an introduction of first steps to HUD's Assessment of Native American, Alaska Native, and Native Hawaiian Housing Needs ("HUD Housing Needs Study"), and provided participants the opportunity to comment on the study's survey design. Staff from HUD's Office of Policy Development and Research (PD&R) presented an overview of the study and participated in a question and answer session about the study.

Outreach efforts for the session focused on tribal leaders, tribal housing authorities and other stakeholders including federal agencies, state agencies, private sector, and non-profit organizations.

Stakeholders were primarily from the Northern Plains (NPONAP) regional jurisdiction composed of the following states: Colorado, Montana, Nebraska, North Dakota, South Dakota, Utah, and Wyoming. A total of 132 attended the sessions: Housing Authority (47), Tribe (18), Government Agency (27), Non-Profit (11) Private Sector (19), Unknown (10). A list of attendees is located in Appendix B.

The Outreach Sessions were designed to maximize the amount of time participants had to discuss issues within a small group setting and provide feedback to a session facilitator. Five national topics and one optional regional topic were developed in cooperation with regional tribal housing associations. These formed the breakout sessions.

The breakout sessions for the Denver Outreach Session are:

- Choice in Housing Type and Design
- Sustainable Community Development and Infrastructure
- Community Wellness and Environmental Health
- Leveraging Funding and Finance
- Housing as an Engine of Economic Development
- Federal Indian Housing Funding

Each of the breakout sessions began with a presentation by guest presenter on some initiative related to that topic area. Then participants worked together to develop a profile of community and economic need for the breakout topic. This included: prioritizing the need, defining barriers to addressing the need, developing strategies to overcome barriers, and identifying resources and entities for implementation. On the afternoon of the second day, HUD facilitated breakout sessions to address the second goal of the outreach session, to get tribal feedback on the HUD Housing Needs Study.

Key Results from Breakout Session Discussions

Although the participants dealt with a disparate set of topics and problems their prioritizations indicate a number of key messages or themes. These included:

- Increased capacity building in a number of contexts. This would not only include training on program requirements, but also assistance in gaining access to resources. One example is educating tribally designated housing entities' (TDHEs) staff on effective leveraging strategies.
- Increased interaction/coordination with "border communities." This issue arises in a number of contexts, including the need to get local lenders to provide capital for tribes as well as better coordination between tribes and their neighboring communities on such issues as public safety, infrastructure and building codes.
- Enhanced coordination and leadership at the tribal level. There is a clear theme that tribal departments often do not effectively coordinate programs that could possibly work together along with the theme that tribal governments often do not engage in the mid- and long-range planning necessary to maximize programmatic success.
- Enhanced consistency in federal program requirements and application processes. With limited resources, learning about disparate requirements and grant application schedules can be difficult for tribes. In addition, in some cases, federal program requirements are duplicative and cause additional work for the tribes.

Key Recommendations for the HUD Housing Needs Study

After a preliminary introduction of the study by the facilitator, participants commented on the structure of the study as well as the design of the three different surveys that will be used in the current HUD Housing Needs Study. As a reference, participants were provided copies of the surveys used in the last Indian Housing study, conducted in 1996 and were asked to provide recommendations on the appropriateness, relevance and scope of the questions. Participants were encouraged to provide additional comments and recommendations on the survey design that more clearly identified need in the current environment.

Study Design and Outreach to Tribes

- To enhance tribal participation there needs to be comprehensive information efforts directed at tribes selected for the study sample. These efforts need to explain the objectives of the study and the importance of participation.
- Mechanisms need to be established to ensure tribal input at key steps in the project design process, especially regarding questionnaire development and sampling.
- At the tribal level there needs to be early "buy in" on the part of tribal leadership.
- The survey design needs to take into account the level of cultural and social diversity the researchers will encounter in Indian Country.
- The survey will need to take into account not only HUD-subsidized homes but homes privately owned and in areas adjacent to reservations.
- Employ tribal members as interviewers and provide household members with incentives.

Household Survey

- Add responses that indicate respondents have nowhere else to go for housing.
- Avoid vagueness in questions and responses.
- Need to have codes that take into account people who do not live on reservation.
- Need to have questions and response codes not covered in 1996 survey such as green building, homelessness, ADA accessibility, special housing needs (e.g., students), and environmental conditions such as flood plains, major fires, etc.

Housing Entity Staff

- Delete Section 8 of previous survey and questions relating to pre-Native American Housing Assistance and Self Determination Act of 1996 (NAHASDA) issues.
- There need to be questions about overcrowding and the condition of housing stock.
- There need to be questions about demographics of tribal population.
- Include questions about off-reservation housing choices.
- Include emphasis on homeless issues.
- Need to have questions about efficient energy technologies and green approaches.
- Add questions on the impact of NAHASDA since 1996.

Tribal Leader Survey

- Need questions on overcrowding.
- Need a question on the impact of NAHASDA since 1996.
- Need to have a question on the number of existing units and associated infrastructure.

Report Format

The following report: 1) provides additional detail on the structure and the topics discussed during the outreach session; 2) provides an analysis of common themes that cut across the two days of the session; 3) presents the key needs and action strategies developed by participants at the outreach session; 4) provides model activities as identified by participants; and 5) provides detailed comments on the HUD Housing Needs Study.

Introduction

Purpose

This document is the Proceedings Report of the December 1-2, 2010, Northern Plains Native American Housing Needs Assessment Outreach Session ("outreach session"). This was the first of seven facilitated regional outreach sessions to provide participants the opportunity to:

- **Tell the story of Indian Country**. Housing needs have broadened with emerging concerns about sustainability, healthy/green communities and housing's role in economic and community development. Help create new baselines and definitions of housing needs for tribal communities.
- **Create strategies for action and change**. Work in structured, interactive breakout sessions with tribal representatives and public and private stakeholders on issues, barriers and actions to develop innovative approaches to sustainable communities and economies.
- Learn about the Native American HUD Housing Needs Study mandated by Congress. Have your input in the study assessment approach and structuring of questions. Hear how tribal communities can benefit from participating in such an important study.

This Session encouraged an exchange of ideas leading to identification of key problem areas and barriers to affordable, sustainable housing in Indian Country and, ultimately, recommendations for improvement and change.

Scope of the Report

This report focuses on highlights the results of participant discussions, ideas, and recommendations from plenary and breakout sessions. This document captures, in summary form, what participants said and recommended with respect to both their identification of housing needs and action strategies, as well as comments and recommendations relating to the HUD Policy Development and Research (PD&R) Assessment of Native American, Alaska Native, and Native Hawaiian Housing Needs Study ("HUD Housing Needs Study").

Session Goals

- 1. To reach out to tribal leaders, tribal housing authorities and other key stakeholders to seek their input on housing needs and to generate a discussion on the impact housing development has on local communities and economies.
- 2. To engage tribal housing stakeholders, including federal agencies, private sector, non-profit, and state entities to examine barriers and develop action strategies needed to create affordable housing opportunities as well as sustainable communities and economies.

- 3. To discuss the Policy Development and Research (PD&R) Native American HUD Housing Needs Study and to solicit recommendations on the implementation of the study.
- 4. To provide a forum that generates a participatory environment to allow all Native American, Alaskan Native and Native Hawaiian housing stakeholders to offer their perspective of native housing needs.

Importance of the Session With Respect to the HUD Housing Needs Study

The purpose of the HUD Housing Needs Study is to meet the Congressional mandate for a study of the housing needs of Native Americans. In addition to examining housing needs, the study will examine overall population characteristics, geographic locations, and economic conditions of the population.

In this light, this session assisted in serving the following objectives:

- To inform participants about the scope and design of the study, the importance of community and individual participation, and the benefits of a new and accurate assessment of housing need.
- To review the study's outreach plan and to obtain stakeholder's advice on planning and implementing the study.
- To review and solicit feedback for survey questions, as well as identify what the stakeholders want to learn from the survey.
- To further improve and target the research design of the study.

Meeting Format

The meeting included five plenary sessions:

- 1. An introductory session that included an overview of the HUD Housing Needs Study and general session guidelines.
- 2. A presentation of key housing needs and priorities for all six Day One breakout sessions.
- 3. A Day Two kickoff session on common themes and Day Two "Road Map."
- 4. Presentation of action strategies for all six Day Two breakout sessions.
- 5. Closing session on workgroup presentations regarding the HUD Housing Needs Study and next steps in the outreach process.

Two-thirds of the two-day meeting was devoted to breakout sessions to maximize the opportunity for participant interaction and sharing of issues and solutions. These were organized into three building blocks:

- 1. Discussion of housing needs, issues, and barriers including group identification of priority concerns from the menu of breakout topics.
- 2. Discussion of action strategies on housing needs.
- 3. Review of questions from previous Housing Needs Assessment Surveys and ideas to improve the survey design and questions.

Breakout Session Topics

The following chart provides a copy of the breakout session topics for the Outreach Session.

Northern Plains Breakout Sessions

Discussion Topic	Description	Subtopics
Choice in Housing Type and Design	Affordable housing design has changed over the past decade. This session will explore the development of a broad spectrum of housing choices to address community and family needs as well as cultural, climate and environmental considerations.	 Subsidized/Non-Subsidized rental units Homeownership/Above income households Independent living elderly housing Supportive housing for the disabled, homeless, and elderly Transitional housing Housing size and design for singles, families, and extended families Creative and sustainable site planning Incorporating cultural principles in housing choices
Sustainable Community Development and Infrastructure	Thorough community planning, housing activities can be coordinated with community, economic and infrastructure development to achieve sustainable and vibrant communities. This session will explore the effective local approaches to sustainable community and housing development.	 Community land use policies and community planning Community housing land trusts Water, sewer, and sanitation Transportation infrastructure and roads Energy infrastructure Community facilities (e.g., schools, community centers, etc.) Rehabilitation and maintenance of existing structures IT/Communication Infrastructure Legal Infrastructure (e.g., building codes)
Community Wellness and Environmental Health	There is an emerging recognition that health, safety, and environmental issues are essential to sustaining communities. This session will discuss measures to enhance the health and safety of communities.	 Maintaining/recovering a safe, healthy, sustainable environment Integrating "green" concepts into housing construction Availability of adequate health and education facilities Public safety concerns (police, fire protection, etc.) Hazard protection (floods, forest fires, evacuation centers, emergency access and evacuation, etc.) Protecting community natural and cultural resources Mitigating interior and exterior air quality problems Integrating cultural principles into community development
Leveraging Funding and Finance	Federal housing grants have not kept up with increasing costs and changing community needs. This session will discuss leveraging strategies to make the most of housing resources.	 Access to credit/capital Financial Institutions and their role in the community Facilitating/leveraging public and private financial resources Reinvestment of financial resources in housing and community development Building individual and community assets
Housing as an Engine of Economic Development	There is a strong connection between the housing and economic development. This session will focus on how housing programs can be an integral part of community economic development.	 Business development resources and financing Creating economic development institutions within the community Investing in human capital/capacity building Developing a "business plan" approach for tribal economic development Developing and supporting local businesses Housing's role in community development corporations Housing as an economic development resource Creating job opportunities for local residents
Federal Indian Housing Funding	Federal funding for Indian housing has contributed to community and economic development. This session will explore alternatives on how to add value to funding as well as expand resources.	 Matching the level of funding to the level of housing needs Streamlining federal requirements Increased accountability and efficiency in tribal housing programs Distribution of funding based on need U.S. treaty and trust responsibilities

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Outreach Session Outcomes

This section provides a synthesis and analysis of common themes, action/strategies, and considerations regarding implementation of the national housing study. This section represents a compilation of the results of breakout topic discussions as derived from a combination of PowerPoint slides as well as detailed note-taker notes, and a compilation of written comments by participants on what they felt was most important regarding implementation of the HUD Housing Needs Study.

Outcomes are organized into the following subsections:

- **Crosscutting Themes** This represents common or crosscutting themes from day one discussion.
- **Priority Needs by Topic** A summary of priority needs by topic developed during the day one breakout sessions.
- Actions/Strategies by Challenge/Issue A summary of the actions and strategies to challenges/issues as presented by participants.
- **Model Approaches/Success Stories** Model approaches/success stories recognized by participants that could serve as examples to other Native communities.
- Comments and Recommendations Regarding the HUD Housing Needs Study A summary of participant comments/questions on HUD Housing Needs Study outreach design and survey questions.

Crosscutting Themes

Listed below is a set of crosscutting themes that were discussed at the Outreach session. The lead facilitator observed these themes at both the breakout session discussions and the plenary session reports during day one. These themes were presented by the lead facilitator on the day two morning plenary session of the Northern Plains Needs Assessment Outreach session as follows:

- HUD Role in Funding Housing Assistance Tribes should not depend on HUD or ONAP as the single source of assistance for meeting Native housing needs. Tribes should access other sources of assistance and funding as well as develop both private and public partnerships.
- 2. How to Attract and Manage Alternative Funding Sources Access to the other funding resources and partners requires extensive planning and careful attention to the various program requirements and deadlines.. One outstanding question is: how to make sure that other partners recognize the unique nature of Indian Country and capacity issues such as trying to meet all the different demands for program requirements and multiple applications.
- 3. Importance of Looking Beyond Just Housing Development and Engaging in Community Planning – To maximize the benefit to the community, tribes should look beyond just housing and coordinate housing development activities with other community needs and projects. Community planning can address such issues as infrastructure needs as well as look broadly with a vision of community and local sustainability.
- 4. How to Address the Needs of Current Families and Planning for Families to Come Back to the Community – In developing a community plan, tribes should look at the needs and preferences of specific populations. For example, tribal members who want to come back to the reservation, some of which may be above-income for NAHASDA housing; those with supportive housing needs; and housing for the elderly.
- 5. **Redefining Sustainability** Sustainability needs to be recognized as multiple dimensions including comprehensive planning, political continuity, developing community appropriate designs, recognizing variations in community development needs and addressing related social needs.
- 6. Capacity Building Requirements for Sustainability This ranges from building HUD/ONAP capacity in terms of both technical assistance and funding as well as tribal planning capacity and the capacity of partners and lending institutions to recognize tribes' unique needs and circumstances. This is also especially relevant to small tribes who may not have the staff, the training, or the expertise to deal with a larger complex of partners, collaborators, and sources of financial assistance.

- 7. **Building Economic and Financial Capacity** Capacity building also includes business and job growth within a tribal community and the need to provide individuals with training and mentoring, financial literacy training and job readiness for future jobs.
- 8. **Establishing a Baseline of Accountability** As an undercurrent to all of these crosscutting themes, there is a need for formal measures of accountability both with HUD, other federal partners, and with tribes themselves. This accountability should be built into a new vision of sustainable communities. It also includes accountability for all tribal assets (beyond just housing) since accountability is also a function of community growth.

Priority Needs by Topic

Discussion Topic	Top Priorities
Choice in Housing Type and Design	 Affordable Rental Housing Housing for Elderly and Disabled Homeless and Transitional Housing Homeownership Housing
Sustainable Community Development and Infrastructure	 Community Land Use Practices and Community Planning Water, Sewer and Sanitation Transportation Infrastructure and Roads
Community Wellness and Environmental Health	 Public Safety Concerns Maintaining/Sustaining Safe and Healthy Environment
Leveraging Funding and Finance	 Access to credit/capital Financial Institutions Leveraging public/private financial resources Building individual and community assets
Housing as an Engine of Economic Development	 Human Capital/Capacity Building Developing a "business plan" approach for Tribal economic development Developing and supporting local businesses
Federal Indian Housing Funding	DistributionMatching

Priority Needs and Action Strategies as Identified by Participants

This consolidates the breakout topic PowerPoint presentations prepared by Session participants into key issues or challenges and the actions and/or strategies proposed to resolve the issue/challenge. Copies of the actual presentations were sent to participants shortly after completion of the Southern Plains Outreach session and may be obtained by contacting Randy Akers, Administrator, HUD Northern Plains Office of Native American Programs, Denver, Colorado 80202, (303) 672-5160, randall.r.akers@hud.gov.

Priority Needs by Breakout Session	
Discussion Topic	Top Priorities
Choice in Housing Type and Design	 Affordable Rental Housing Housing for Elderly and Disabled Homeless and Transitional Housing Homeownership Housing
Sustainable Community Development and Infrastructure	 Community Land Use Practices and Community Planning Water, Sewer and Sanitation Transportation Infrastructure and Roads
Community Wellness and Environmental Health	 Public Safety Concerns Maintaining/Sustaining Safe and Healthy Environment
Leveraging Funding and Finance	 Access to credit/capital Financial Institutions Leveraging public/private financial resources Building individual and community assets
Housing as an Engine of Economic Development	 Human Capital/Capacity Building Developing a "business plan" approach for Tribal economic development Developing and supporting local businesses
Federal Indian Housing Funding	DistributionMatching

Action Strategies by Need by Challenge Issue

Topic 1: Choice in Housing Type and Design

Affordable housing design has changed over the past decade. This session explores the development of a broad spectrum of housing choices to address community and family needs as well as cultural, climate, and environmental considerations.

Priority Need: Affordable Rental Housing	
Challenge/Issue	Actions/Strategies
Funding	 Since NAHASDA grant covers both capital and operations, need to separate to allow separate funding streams; need to permit increases in operating funds. Perform a capital needs study for Indian housing, ideally driven by tribal communities; take money from the study and use it for negotiated rule making to have a tribal study.

Topic 2: Sustainable Community Development and Infrastructure

Through community planning, housing activities can be coordinated with community, economic, and infrastructure development to achieve sustainable and vibrant communities. This session explores the effective local approaches to sustainable community and housing development.

Priority Need: Community Land Use Practices and Community Planning		
Challenge/Issue	Actions/Strategies	
More zoning and planning capability	 Need to get clarity on the baseline by including specific questions about existing planning resources. Creating expertise and capacity for planning offices and for Planning/Zoning Commission: Model formats from smaller cities re: membership, terms, relationship with Council. Create job descriptions to guide increase in capacity. Funding for growth or existence: TERO (Tribal Employee Rights Office) fees. Include planning element in all grants. Indirect cost rates. 	
Control Issues/treaty/trust responsibilities related to private firms	 More education and outreach to lessen risk concerns of private firms: On-line case law. Lists of practicing attorneys. Structural setting of the Tribal Court: Separate from Tribal Council. Be connected to an appellate system. Be ready. Have "templates" for using ADR, binding arbitration. 	
Better coordination to leverage resources	 Meeting with President Obama on December 16: Protection of funding. Executive Order on transparency and coordination. Other Federal resources: Clearinghouse at the Federal level (many do not know who else is out there). Reinvigorate CODETALK. Designating ONAP-like offices at all relevant Federal departments (or at least tribal contacts). At local area: Enhance tribal finance offices. Annual Tribal Leaders Summit by region. 	

Priority Need: Water, Sewer and Sanitation		
Challenge/Issue	Actions/Strategies	
Improve water conservation	 Baseline data needs: What is in the aquifer? Water meters (even when not for pricing.) Pricing Options: Tiered rate structure. Outreach and Education: Target children for education. Utilize existing outreach networks (youth clubs and camps, radio stations.) Looking at green technologies in new homes and capital investments. Lead by example. 	
More resources for Operation and Maintenance (O&M) of water and sewer systems	 Need to create economy to create tax base (which is how non-tribal entities fund Operation & Maintenance.) Grey water options. Pricing of utilities. Creation of utility authority (opens low-cost loan options.) Federal "protection of the investment" Problems with Sanitation Deficiency System (SDS) priority list (simply not enough funding for anything but crises.) 	

Topic 3: Community Wellness and Environmental Health

There is an emerging recognition that health, safety, and environmental issues are essential to sustaining communities. This session discusses measures to enhance the health and safety of communities.

Challenge/Issue	Actions/Strategies
Police protection	 Downsize staff. Pay cuts to get more officers. Suspend the charter for 15-60 minutes. Revamp pay scale. Get audit current – resolve findings. U.S. government – trust responsibility to adequately fund. Clarify the new jurisdictional legislation and increase training. Assign District Attorney.
Court system	 Access resources to training related to Tribal Law & Order Act of 2010; understand jurisdictional changes, new funding. Tribes to implement/enforce separation of powers to overcome political interference. Lay advocate program – make more user friendly. Restorative Justice (traditional tribal alternative dispute resolution process.) Revision of Tribal Code to make eviction easier. HUD/others to carry out foreclosure duties.
Housing authorities	 Tribes to communicate more effectively to eliminate duplication of services (i.e., utility assistance from three different groups.) Highway safety. Indian Affairs to refund Drug Elimination program (reauthorized and appropriated.) Tribe to support Housing Authority evictions and leases/ regulations. Intervention programs prior to eviction. Leadership that demonstrates accountability. Depoliticize Board and ensure Board doesn't actively manage the Housing Program.
Housing issues	 Increase in federal funding. Tribes to actively lobby – Coalition for Indian Housing. Demonstrate proper spending of funds for housing. Pursuit of funding of non-federal funding. Federal funding to be directed to tribes with the greatest housing need. Use this study and other things to document need for housing.
Accountability	 Grassroots campaign to reinstitute personal accountability. Leadership that demonstrates accountability.

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Fear	 More visible police (see Police Protection.) Neighborhood Watch. Following spirituality and traditional ceremonies. 	
Social issues	 Summit with new tribal administration, public safety, courts, tribes/housing authority, etc., to identify breakdowns. Safe housing/transitional housing for youth coming out of foster care. Presenting healthy alternatives. Federally qualified health centers. 	
Priority Need: Maintaining/Sustaining Safe and Healthy Environment		
Challenge/Issue	Actions/Strategies	
Sustainability	 Keep at it! Don't give up when pursuing resources. Plant the seed Develop longer range plan with priorities – tribal governments need to follow through Political continuity – develop plans of action for new administrations coming in Funding continuity – utilize multi-year grants 	

Topic 4: Leveraging Funding and Financing

Federal housing grants have not kept up with increasing costs and changing community needs. This session discusses leveraging strategies to make the most of housing resources.

Priority Need: Access to Credit/Capital		
Challenge/Issue	Actions/Strategies	
Encouraging lenders to work in Indian Country	 Relationship building – Work with Community Reinvestment Act (CRA) officers to help TDHEs build partnerships and produce training resources (webinar, publication, etc.) on "CRA in Indian Country" to educate tribal leaders on how to approach banks. Federal Agency Coordination – Encourage Federal agencies who lend in Indian Country to coordinate outreach/training. 	
	• Educate investors that Indian Country loans are high performing and not high risk, look for ways to separate federal loans to make them more attractive to investors, educate Congress and local banks about problems with secondary market.	
	 Encourage creation of Native credit unions, branches on reservations, Native Community Development Financial Institutions Funds (CDFIs.) 	
	• Ensure ONAP has adequate staff to deploy appropriate guarantee funds for Section 184 of the Indian Housing Loan Guarantee Program.	
Priority Need: Leveraging Pub	olic/Private Financial Resources	
Challenge/Issue	Actions/Strategies	
Making TDHEs aware of financing programs that work	 Produce best practices of Native housing finance deals, database of TDHE projects, "Developer Workshop" training series (webinar, regional trainings, national conference workshops, etc.) Produce catalog of financing sources and eligibility. 	
Build capacity of TDHEs to manage leveraged deals	 Develop training to build capacity of TDHEs to manage leveraged deals, compile best practices for compliance and how to institutionalize deal management, promote continuity when staff leaves, and educate residents on compliance issues. Initiate interagency effort to streamline federal applications and to engage and cooperate with each other. 	
How to make homeownership more accessible and less intimidating	 Encourage TDHEs to dedicate staff to long-term homeownership strategies, e.g., rent to own models, homeowners associations that help with maintenance, etc. Design "Introduction to homeownership" course for potential homebuyers to address concerns like insurance, maintenance and credit and the differences between low-rent units and homeownership, including benefits and responsibilities of homeownership, e.g., equity, property tax, foreclosure. 	

Priority Need: Building Individual and Community Assets	
Challenge/Issue	Actions/Strategies
How to promote individual and community asset building	 Promote financial education in schools and at all levels. ONAP should host a Native financial education summit for housing authority staff. Educate tribes about how to do a community assessment to identify community assets to stimulate future planned growth. Encourage Tribes to participate in neighboring and other local organizations to improve the Tribe's position/standing in the greater community, e.g., chamber of commerce, and recognize that this is a community asset. Educate Tribes about financial products to expand community assets and economic development, e.g., LIHTCs (Low Income Housing Tax Credits), land trusts, New Market Tax Credits, financing bonds.

Topic 5: Housing as an Engine of Economic Development

There is a strong connection between the housing and economic development. This session focuses on how housing programs can be an integral part of community economic development.

Priority Need: Human Capital/Capacity Building		
Challenge/Issue	Actions/Strategies	
Program training	 Contact National American Indian Housing Council to have them provide training for the Low Income Housing Tax Credit Program. Conduct program trainings and certification training opportunities. Conduct training in grant programs and loan programs. 	
Outreach	 Share successes by developing database with United Native American Housing Association (UNAHA)/Tribal group websites. Develop training and job shadowing program for youth within Housing Authority. 	
Partners for certifications	• Develop courses for certification programs in partnership with local colleges and other appropriate entities.	
Retention	 Develop service agreement process within personnel policies to allow housing authority to pay for employee education opportunities. 	
Reach Out to Youth	Conduct training for youth and adults on financial literacy.	
Priority Need: Developing a "Business Plan" Approach for Tribal Economic Development		
Challenge/Issue	Actions/Strategies	
Buy in by Tribal Council	 Identify priorities, survey community, analyze resources taking into account cultural considerations and develop a mission statement. 	
Access to successful plans and resources	• Find and hire an expert to assist in the process and development of a tribal business plan.	
Tribal governance issues	Tribal Council needs to review the business plan with the governance issues in mind and modify plan or governance documents as necessary.	

Priority Need: Developing and Supporting Local Businesses	
Challenge/Issue	Actions/Strategies
Lack of business plan Agency education support	 Tribe needs to update the Comprehensive Economic Development Strategy (CEDS) and Economic Development Plan and the outreach to the community members to encourage the development of appropriate small business. Identify and support business development courses from the
	local college, Small Business Association, Bureau of Indian Affairs or U.S. Department of Agriculture.
May need to modify governance documents	 Establish a business development environment. Establish a business development corporation. Develop land for lease and encourage building construction to house small businesses.

Topic 6: Federal Indian Housing Funding

Federal funding for Indian housing has contributed to community and economic development. This session will explore alternatives on how to add value to funding as well as expand resources.

Priority Need: Distribution	
Challenge/Issue	Actions/Strategy
Unexpended funds can endanger the level of funding	 Identify and redistribute all program unexpended funds to those tribes with the greatest need who can and will use the funds so the funds stay in housing in Indian Country and are not returned to Treasury. Lobby for statutory change/amendment to determine how long funds should be available. Ask for a moratorium on recapturing any NAHASDA funding or decrease funding to those tribes that have unexpended funds. Regional coordination to develop legislative position. Assist tribes that have unexpended funds to gain administrative capacity. Tribes combine resources. HQ ONAP share info about unexpended funds to tribes.
Poverty conditions are not the primary or most weighted factor in distributing funds to meet housing needs	 Change legislative language to change formula to strengthen negotiated rulemaking. Poverty will be the primary factor for distributing funds.

Model Approaches/Success Stories

During the second day breakout sessions on action strategies, participants identified model approaches or success stories relating to a challenge/issue. These are compiled below by breakout session topic. Because of time limitations, not all breakout topics have model approaches.

Topic 2: Sustainable Community Development and Infrastructure	
Challenge/Issue	Model Approach/Success Stories
More Zoning and Planning Capability	 Spirit Lake example of working with local colleges. DOE program working with graduate schools for "case studies"/specific needs.
Control issues/treaty/trust responsibilities related to private firms	 Turtle Mountain would make an excellent case study in what works.
Improve water conservation	• Rosebud Reservation repair program with Bureau of Reclamation and COE.
More resources for O&M of water and sewer systems	 Budweiser facility used their waste water to water farmland. Reusing what they have. Extension services on how to utilize waste water.

Challenge/Issue	ess and Environmental Health Model Approach/Success Stories
Court System	Southern Ute has model of court system/separation of powers.
	Navajo Tribe Restorative Justice Program.
Public Safety: Housing Authorities	Crime Watch Programs – Yankton Housing utilizes a security force network with the Bureau of Indian Affairs to provide crime prevention and safety services.
	• Turtle Mountain grants for transitional housing/supportive services for people coming out of dependency rehab.
	Oglala Sioux Housing Authority separate from Board – Council rewrote charter.
Public Safety: Fear	Salish & Kootenai – Office in Residence Program; housing a police officer.
Social Issues	• Pine Ridge – Tribal Youth Development Program - \$1M grant for 3 years from Youthbuild – Housing Authority, College, non-profit.
	• Youth Development Program – private sector Mac Hall.
	• Tribal college carpentry program building housing. Montana State Native American Housing TA Institute provides training to students.
	• Students at the United Tribes Technical College in Bismarck built a log cabin on the campus.
	 Blackfeet have a program where students learn carpentry skills. The Blackfeet also partner with the State of Montana in its Manpower program.
	 Stone Child College (Rocky Boys Reservation in Montana) offers a 2 year degree in Building Trades/Maintenance.
	• Coeur d'Alene and Benefits have Wellness Centers – youth, adult and intervention services.
Sustainability	• Salish & Kootenai – model of continuity and trust through following policies, confidence and support of other groups within the Tribe to follow policies/ procedures.

Topic 4: Leveraging Funding and Finance		
Challenge/Issue	Model Approach/Success Stories	
Encouraging Lenders to work in Indian Country	• Four Band CDFI at Cheyenne River, LCO Credit Union, Hopi Credit Union.	
Making TDHEs aware of financing programs that work	 Salt River, Northwestern Shoshone, Senator Enzi's Office spreadsheet, State of Colorado "Developer's Workshop", State of Montana streamlined grant application for state funds. 	
Build capacity of TDHEs to manage leveraged deals	RCAC training program to help manage compliance, RCAP, National Rural Water.	
How to promote individual and community asset building	 Operation Hope, Santee Sioux resident program. ONAP slide show on homeownership vs. rental units, VA loan success stories, individuals refinancing mortgages through ONAP's 184 program, Federal Home Loan Bank Affordable Housing Program. 	

Comments and Recommendations Regarding the HUD Housing Needs Study

Overview

On the afternoon of the second day participants reconvened into their breakout groups to review questions from the 1996 Assessment of American Indian Housing Needs and Programs Final Report. Participants were provided copies of the Executive Summary from the 1996 Report, the complete household survey instrument, as well as surveys of Indian Housing Authorities (IHAs), tribal housing staff and tribal leaders.

Organization of Summary

Since each breakout group used the same format for discussion, this summary compiles results from two breakout groups according to the following categories:

- 1. General Survey Comments
- 2. Survey Outreach
- 3. Household Survey
- 4. Housing Entity Survey
- 5. TDHE Staff Survey
- 6. Tribal Leader Survey
- 7. The Most Important Thing to Keep in Mind About the HUD Housing Needs Study

This summary compiles participant discussions that were captured by the breakout facilitators on flipcharts as well as notes from meeting note takers.

General Survey Comments

- Have each organization, United Native American Housing Association, etc., go through it and redo it. Compile all nine regions, put questions together and have about a third of this document. Regions have to develop; not the staff in D.C.
- This survey doesn't suggest questions about members of household.
- Build off lessons learned from the last survey.
- Tribes still have the problems but they are escalating; need questions to address this.
- If the need is escalating, is there a need to keep a certain percentage of the questions from 1996 to compare to now. Not sure if some of these questions to household member. Need to show statistical difference.
- Who cares what happen in 1996? It is not relevant to the needs today. Perhaps they should be filled out but by tribes, not housing authority.
- Tribe should develop these questions. Then put the need into them.
- We have more people who have brought their own trailer homes than used HUD homes; never had numbers before so it would be important to capture.
- Next session, make apparent in the beginning... facilitator can say, take a look at 1996, so tribes can look at and give feedback. Tribes attending can take back and ask what other questions do you see in there?
- Nice to have more tribal leaders at these sessions.
- TDHEs will have a phone survey held (almost all) but a visit will not happen.
- Still concern regarding Reservation members and "urban" tribal members.
- The survey sample should have an adequate representation of tribes with high poverty levels.
- The surveys needs to take into account cultural differences related to different communities such as isolated communities and a variety of cultures across tribes. One approach may not fit all.
- The research design should be built on successful approaches developed by the Census Bureau and local tribal liaison census teams.
- Ask every TDHE how many units they need.

• If you want a good survey, HUD needs to send people who the tribal leaders can relate and connect with. If non-Indian, they are not going to answer the way you want them to.

Survey Outreach

- Concern people do not feel there is any benefit to them when they fill out survey. Maybe a newsletter to participants would help?
- Maybe a local/tribal member asking questions is a way to assure people.
- Don't know if we have a tribal work group for this. Assemble a tribal group to work with HUD, and contractor to design and implement this.
- How to publicize will depend on the areas. Radio station in Arizona, in the morning do programs in the community. That might be one way to encourage participation.
- Go to IHS facilities where people have to wait.
- Outreach to churches.
- Offer survey participants incentives (i.e., gift cards.)
- The research team should consider using incentives to increase the response rate.
- As part of the outreach process the research team should include an information strategy: radio, youth clubs, churches, etc. stressing the importance of the survey.
- How to get participants? Have introduction parties before actual survey.
- How to encourage participation: newsletters, radio local stations, word of mouth, peer pressure, posters.
- \$20 incentive was enough group agreed this would get results.
- 1996 had a 30 percent response rate. Research all Ideas on how to increase the number.
- Don't know the time line last time. Timing issue, delivery of it, that is the big issue. Best collected by local people.
- Have someone local occupancy the person or give the survey.
- Time of day taking the survey is important, especially when to contact people.
- Be respectful of time. Information from other sources, make that effort to not ask the home owner.
- Consult with Census Bureau.

- Engage a council of tribal representatives involved in all research design phases including sampling.
- For tribes that are in the sample, have tribal officials be involved early on in the process at the community level.
- Surveys of high level and housing authority officials surveys should be distributed well in advance so that they collect the needed level of data.
- Consult tribal representative before scheduling household interview dates to avoid conflict.
- Make sure all community know that all responses will remain confidential will not be released at the community level.

Household Survey

Troubling Questions from the '96 Survey

- Page 16, Question 6 May want to add option for not enough housing or no housing available on the Reservation.
 - 6. "Now I'm going to list some reasons people may have for living outside the [reservation/trust land] area. How big an advantage do you consider each to be?"
- Discussion related to the questions regarding "holes in the floor." Should these be on the staff survey?
 - Page 3, under "Interior Condition," item 8. "Visible holes in the floor"
- Page 12, Questions 5 and 6 of the household survey as examples of vagueness of survey questions.
 - 5. "If planning to sell: I'm going to list some reason people give for selling their homes. How important is each likely to be for you?"
 - 6. "If not planning to sell: I'm going to list some reasons people give for staying ion their homes. How important is each to you?"
- Page 15, Question 4 How does that relate to Indian housing on the reservation?
 - 4. "Complete table with respondent: Which of your immediate family members live outside of the (reservation/tribal lands)? (Record relationship to R, not names.) (a) Relation to respondent, (b) Where do they live, (c) Do they have plans to return.
- Page 15, Question 1 Can't answer for someone that lives off of the reservation.
 - 1. Do you consider Indians who live outside the boundaries of this (reservation/trust land) area to be active members of the tribal community?
- Page 16, Question 5 There's no code for "nowhere else to go."
 - 5. "I'm going to list some reasons people may have for living on this (reservation/trust land) area. How important are these reasons to you?"
- Page 12, Question 8 under barriers Need a response "don't know where to go in my community." Also, wording is bad. Suggest "What is preventing you from owning your own home?" Let them answer; don't put words in their mouth.
 - 8. "I'm going to list some barriers that prevent people from buying their own home. How much of a problem has each of them been for you?"
- Page 5, Question 6 Insert "sewage" for "solid waste."
 - o 6. "What type of solid waste disposal is used for this unit?"
- Eliminate Question 12 on page 24. It's an established fact.
 - 12. "In your opinion, does the federal government have an obligation to provide housing to all Native Americans free or at very low cost?"

Areas/Domains

- Items I, J, and K are not correct domains. Not an assessment of the programs, only an assessment of the housing need. Attitudes on IHA/Tribal/Other Housing (I, J, K) appear to be outside the Congressional mandate and ONAP direction for the new survey.
 - I. Attitudes toward IHA Housing.
 - J. Attitudes toward Tribal Housing Programs.
 - K. Opinions about Other Housing Programs.
- For Item C, what if people are not in a house or an apartment?
 - C. Perceived Housing Quality and Problems.
- Item D, Housing Preferences domain: scattered and clustered housing? Detached and attached housing?
- Add domains:
 - Use of green building and energy efficient design.
 - Source of energy.
 - Impact on dynamics of residents living on/off reservation.
 - Use of locally available materials.
 - Housing as a source of economic/business development.
 - Education/vocational training.
 - Tribal college student housing.
 - Many tribal colleges do not have student housing.
 - Tracking funding.
 - Source of other assistance.
 - Enhancing quality of life.
 - Accessibility.
 - ADA and other standards (fire alarms.)
 - Capacity building.
 - Are these considerations in (a) NC, (b) remodeling.
 - Community planning.
 - Impact on communities affected by environmental changes.
 - Greater flooding.
 - Drought.
 - Fire forest and wildfires.
 - Major storm damage due to tornados.
 - Management & Administrative Issues Add to domain, "capacity of TDHEs housing authority staff; aging workforce; need for training/development skills."

Housing Entity Survey

- Section 8 Not really significant in this region; only a few tribes had Section 8 prior to NAHASDA.
- Questions to ask:
 - Ask a question about whether a family is making payments on the home.
 - Ask a question about barriers to moving to the reservation?
- Questions are pretty good. Need to leave room for comments. Questions need to be simple, to the point, with a place to add comments.
- Ask "Do you have planning office?"
- Homelessness:
 - I think there is a different perception about homelessness. A lot of people think it is when a person is out living in the street. For me I think overcrowding is homelessness. They need to differentiate between homelessness and overcrowding.
 - On Indian reservations very few homeless because people take them in. People will not let their relatives be homeless. Need Indian folks to structure the questions.
 - Turtle Mountain did a survey on homelessness, and the way they posed their question, they were able to capture the overcrowded issues. Maybe they can talk to Turtle Mountain folks who worked on the survey.
 - Ask the right question can determine the issue of overcrowded.
 - Data will be very important to capture. People are living outside in tents. If HUD isn't going to do anything about it, we need to know so we can.
- A question about over-crowding needed.
- Remove the question regarding the waiver of construction requirements.
- Survey questions should adequately cover infrastructure needs and related barriers.
- Survey should adequately address private market opportunities, leverage and public/private financing.
- Substitute a reference to special programs specific to the locality. Eliminate the reference to those programs that are no longer applicable.
- Attempt to identify unique needs based on situation of the tribe or TDHE (landbased/non-land based, etc.)
- Other considerations include future community plans, length of existing waiting list, selection preference structure, unique client base (essential personnel) future population growth.
- Take into consideration status of units (age, condition, number of housing stock.)

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- Take into consideration demographics such as low-income percentage.
- Should determine level of need for homeless shelters, emergency housing, transitional housing, housing for essential personnel, relocation units and impact of meth use (excess funds needed to clean up meth homes.)
- Need more emphasis on drug-elimination programs especially targeted to youth (community centers/playgrounds.)
- This survey is missing total number of units, even though it is a needs survey. There
 should be questions about the needs for an organization to do its job. The definition of
 homelessness is different within the tribal community than it is on the east coast. The
 interface between homelessness and overcrowding is a key issue on reservations. For
 the tribes, this is one of the most critical and important things they want to quantify and
 we have to be very culturally aware of how to quantify that.
- Nothing in this survey to collect success rates of conveyed units.

TDHE Staff Survey

- One thing that needs to be in all surveys, first question should be "Do you feel HUD accepted and met their tribal trust and responsibility?"
- Renewable energy and other types of energy use questions should be included.
- Comfort level in the home. Heating cost. What would you want the home to be like?
- Unclear what the non-type programs and tribal programs are and why they are separated. Seems like some better questions on this survey but then it raises questions on the level of housing. Is it on a foundation? Lots of housing has come from closed army and bases. Now becoming a dumping ground for mobile homes that were in the metropolitan areas. Some of the houses are full of mice and rats.
- The last two surveys don't make sense anymore. Could use same questions in each one. Include questions about alternative energy, concrete foundations for the last two, and for all include questions about treaties and trust and responsibilities.
- Change title of survey to distinguish between TDHE and Tribe or Department of Tribe. Clarify.
- Questions are open to interpretation.
- Survey may be too lengthy.
- Need definition of homeless versus overcrowding.
- More quantifiable questions which will produce a more helpful end product.
- Design of home questions room to expand.

Tribal Leader Survey

- They should be asking tribal people these questions instead of the tribal leaders.
- If you put this out to a lot of people, they will not read it. They are going to say, "Leave me alone". Questions should not be too broad.
- Elected leaders are in line with the minds of the people who live in their community so they will not be able to answer the questions of why some don't live in the community. Lack of housing is why.
- There should be a question that addresses overcrowding.
- Enlist someone who works with surveys full time to do this.
- Ask what three things you like and three things you don't like about NAHASDA; strengths and weakness of program.
- You want truth and facts but it is a perception that you will get.
- Questions need to be more tribally driven. Need to ask tribal leaders what do you want out of the survey? How many homes do you have, do you have sanitation?
- If HUD wanted this information from the State of Colorado, would they go to governors or senators? Seems unrealistic if you expect correct information to go to housing directors.
- Someone has to step back and look at the tribe as end user of the data. Think hard if you are asking the right people for the right information.
- Felt some were too vague (e.g. question 2 what is definition of homelessness?)
- Question on why families choose HUD housing not appropriate for leader? More a question for TDHE.
- Need to be more specific as to what they really are trying to get from the leaders.
- Some questions about the effects of the law. For example, what do the tribes think of NAHASDA?

The Most Important Thing to Keep in Mind about the HUD Housing Needs Study

At the end of Day Two discussion on survey questions and outreach, participants were asked to write down, individually, their response to the following question, "PD&R, as you move forward to implement this study, the most important thing to keep in mind is..." Listed below are the verbatim responses to that question grouped together by common themes.

Cultural Awareness and Sensitivity

- 1. To include tribal involvement, be culturally sensitive, be aware that there are several ways to approach the issues. Partnership is key!
- 2. Differences in cultures and to gather as much feedback as possible.
- 3. Cultural awareness.
- 4. The differences in the different reservation cultures and classes for your survey, and please ensure you get a well balanced class for survey response.
- 5. You are surveying tribal members with varying cultures, languages, and different understandings of what this survey is intended to accomplish. Please take these differences into account and be respectful to all.
- 6. Every community is unique and that means one-size-fits-all solutions are impossible to create.
- 7. Each and every tribe throughout the U.S. is unique in every aspect.
- 8. Be culturally sensitive and reward the participant with a small stipend or a thank you.
- 9. Taking into consideration the opinions of the tribal members who will be completing the survey. One main thing is what is a cultural "thing" like.

Be Sure to Include the Neediest

- 1. This effort is a needs study to determine funding needs per the NAHASDA. Emphasize needs to be placed on the needs of the most needy tribes and the most needy individual Native Americans. The Needs Survey must be placed in a format that demonstrates this!
- 2. Take all necessary steps to go out and conduct the survey with the neediest individuals that always get overlooked. They are the ones that have the greatest need.

Accuracy

- 1. The language that is used will be critical in getting appropriate and accurate feedback.
- 2. The importance to accurately reflect current needs of Native Americans living on reservations. There are many young people (18-25) wanting to have their own homes but no homes are available. Many of these children/young people live with their parents.
- 3. Providing accurate information.
- 4. Insuring that the data collected is as accurate a representation of current housing conditions as possible. Proper survey administration.

Keep the People in Mind

- 1. The people will suffer or prosper with what you provide so the future of a people is in your hands. Do what you need to do to fulfill dreams.
- 2. That this information is to help tribes to better provide housing services to their members. Keep in contact with them, report to them.
- 3. How useful the end result will be for the tribes. How will this data be used to benefit the tribe?
- 4. The Native families.
- 5. The poverty levels and look of housing in Indian Country.
- 6. That you <u>fully</u> appreciate the <u>gravity</u> of this Needs Assessment and the dire consequences of a survey that is not deemed a success. Eliminate third-world conditions in Indian Country.
- 7. Keep in mind the people you are serving!!
- 8. The Needs Assessment needs to be grass roots driven and the people's voices need to be heard!
- 9. Outcome can impact how funding is received in the future for our grandchildren's or great grandchildren's way of life and successes.

The Survey and Outreach

- 1. Know what pool of data you are trying to collect and ask closed ended questions that can be answered either by a range or multiple choice answer.
- 2. Ensure you obtain tribal input for survey questions and implementation by informing tribes at the beginning of the Outreach Sessions that their information is critical to the survey design and providing many opportunities afterward for tribes to comment.

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- 3. To keep in mind:
 - a. Keep the interview format simple
 - b. Remind the person being interviewed that everything is confidential
- 4. Inform all the participants about all the ongoing process via e-mail, and to consider additional input from all Tribal Council Presidents or Chairmen in adding more additional information to complete this process.
- 5. Using the same sample form (for all tribes)?
- 6. The term TDHE is not being used correctly. A Tribally Designated Housing Entity is (usually) a Housing Authority that has been designated by the tribe to be the <u>recipient</u> of IHBG funds. A tribe is never a TDHE and many housing authorities are not TDHEs. The term "housing program" or something similar may be more appropriate.
- 7. Identify needs of housing. Those needs must be counted across the Nation using the same criteria.
- 8. Design a simple assessment process that can be replicated at the local tribal housing authority level so we can continue to capture/validate the housing needs of our families.
- 9. Insure that the response rate is high.
- 10. Not to worry how long it's going to take to complete answer the best.
- 11. Marketing its value to tribes and tribal members, and following them by using results to change policy.

Miscellaneous

- 1. The tribe's needs and data that supports those needs.
- 2. Tribes are sovereign nations and you need to work with them on a government-togovernment basis fully honoring President Obama's commitment to fully consult with them before taking actions that impacts them.

AGENDA Northern Plains Housing Needs Outreach Session December 1-2, 2010

<u>Day I</u>

Day I – Introduction 8:30 – 8:40 AM	n Plenary Session Initial Greeting by Randy Akers, Area Administrator
8:40 – 9:00 AM	Honor Guard Flag Presentation, Drum Group and Invocation by Tribal Representative
9:00 – 9:05 AM	Introductory Remarks by Rodger Boyd, Deputy Assistant Secretary, Office of Native American Programs
9:05 – 9:20 AM	Introductory Remarks by Sandra Henriquez, Assistant Secretary for Public and Indian Housing
9:20 – 10:40 AM	Presentation and Listening Session by the Office of Policy Development and Research on HUD's of Native American, Alaska Native and Native Hawaiian Housing Needs Assessment
10:40 – 10:50 AM	Day I "Road Map" by Lead Facilitator, Chuck Johnson
<i>Break</i> 10:50 – 11:00 AM	
<i>Day I –Breakout Se</i> . 11:00 – 11:15 AM	ssion on Key Needs Presentation by selected regional representative
11:15 – 11:25 AM	Explanation of facilitation process and "product" by facilitators
11:25 – 12:30 PM	Group participants begin prioritization of housing needs
<i>Lunch</i> 12:30 – 1:30 PM	
Continue Breakout 1:30 – 2:40 PM	Sessions Participants discussion key housing needs, issues and barriers
<i>Break</i> 2:40- 2:50 PM	
<i>Continue Breakout</i> 2:40 – 4:00 PM	Sessions Participants finalize key housing needs, issues and barriers

Day I – Second Plenary Session4:00 - 5:00 PMRepresentative from each work group presents on key housing needs

AGENDA Northern Plains Housing Needs Outreach Session December 1-2, 2010

<u>Day II</u>

Day II – Introductory Plenary Session
 8:30 – 9:00 AM Lead facilitator presents common themes from Day I and provides "road map" for Day II
 Day II – Action Strategies Breakout Sessions
 9:00 – 10:30 AM Each work group develops an action strategies on housing needs

Break 10:30 – 10 45 AM

Continue Breakout Sessions

10:45 – 12:00 PM Each work group finalizes action strategies on housing needs

Lunch 12:00 – 1:00 PM

Day II – Plenary Session

1:00 – 2:00 PM Representative from each work group presents finalized action strategies

Break 2: 00 – 2:15 PM

Day II – Workgroups on Needs Assessment Survey Questions

2:15 – 4:00 PM Reconvene breakout groups and review questions from previous Housing Needs Assessment Household Survey

Day II – Close Out Session

4:00 – 5:00 PM Lead facilitator identifies "next steps" and key action strategies

Jack Sawyers

Utah Paiute Housing Authority Cedar City, Utah

How to Address Sustainable Development & Infrastructure in The Tribal Housing Needs Study

Housing Needs Outreach Session/Northern Plains

Denver, Colorado December 1-2, 2010

NEED TO MEASURE HOUSING NEED

- Tribal Housing Needs Study Should determine the number of new units & rehab units needed
- This Needs Study may be the only opportunity to determine need
- Having need figures will help get funding, improve monitoring & better housing operations

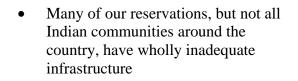
CAN'T BE DISTRACTED FROM MEASURING HOUSING NEED

- We should not create new definitions of housing need
- On many reservations, particularly for land & treaty based tribes, the need for housing is at a crisis level & this is what should be addressed with the limited housing funds that we have



- For many reservations there is • inadequate infrastructure for the houses that need to be built. This includes:
 - roads
 - water
 - sewer & sanitation
 - community building

 - leasingland use planning



- There is a need for expanded funding of infrastructure for housing
- The federal government and tribes should stop trying to use our limited housing funding for infrastructure development



- Funding for tribal community development is too spread out and diffused
- Tribes and the federal government should consider consolidating as well as significantly expanding community development funding
- Explore establishing for larger tribes tribally designated community development entities

THE HOUSING NEEDS STUDY

- Use the Housing Needs Study to only count housing need
- The Study can mention other supportive needs like infrastructure but it should not attempt to compute that need
- The Study should advocate that more and separate funding for infrastructure will be required to accommodate the housing that needs to be built

DATA FOR INDIAN HOUSING NEEDS STUDY

ITEM	NUMBER/AMOUNT	COST ESTIMATE
Houses		
Land/homesites		
Electrical		
Water		
Sewer/waste		
Roads/access		
Permits/Fees		
Landscaping		
Other		



Paul Iron Cloud C.E.O. Oglala Sioux (Lakota) Housing Pine Ridge, South Dakota

Community Wellness, Housing and

The Tribal Housing Needs Study Housing Needs Outreach Session HUD Northern Plains ONAP Denver, Colorado December 1-2, 2010

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COMMUNITY NEEDS

- For many communities, their physical and social wellness is at a crisis level
- Community wellness impacts housing and housing impacts community wellness
- We know this well at Pine Ridge

COMMUNITY NEEDS

- Education, justice systems, safety, unemployment, health and youth development are community development
- when our housing programs can least afford it
- This is depleting funds available for housing

AT PINE RIDGE

- OSLH cannot sit back and not address these community issues. For example:

 - police protection
 suicides
 ineffective courts
 drugs, gangs, violence
- Yet we should not have to use our already inadequate housing funds to tackle these

WHAT WE WANT TO SEE FROM THE NEEDS STUDY

- 1. Acknowledgement that the federal government is obligated on our reservations to assist in providing housing for all of our tribal members
- 2. A count must be performed to determine current need and forecast future need. This is principally what the Study is for
- 3. There must be other separate funding to address community development and the Study should mention this

NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

How Native American Housing contributes to a Tribal Economy as an 'Engine for Economic Development'

Presented by: Lafe Haugen, Executive Director

Westminster, Colorado December 1, 2010



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NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

OVERVIEW

- \$83.5 MILLION ASSET FOR THE NORTHERN CHEYENNE TRIBE
- ANNUAL \$3.0 MILLION DOLLAR BLOCK GRANT. TRIBE ENCOURAGED TO LEVERAGE, EG: TITLE VI, TAX-CREDITS, SECTION 184, USDA, DOWN-PAYMENT ASSISTANCE

NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

OVERVIEW CONT.

- PROVIDE OPPORTUNITIES FOR EXISTING AND NEW CONSTRUCTION CONTRACTORS/ SMALL BUSINESSES, ETC.
- PROVIDES EMPLOYMENT FOR 75+ FULL AND PART-TIME EMPLOYEES

NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

THE NEED

- HIGH UNEMPLOYMENT RATE ON THE RESERVATION, 55% VS 8.5% FOR THE U.S.
- 45% OF FAMILIES BELOW THE POVERTY
- \$23,271 MEDIAN INCOME VS \$41,994 FOR THE U.S.
- NORTHERN CHEYENNE TRIBE IS THE MAJOR EMPLOYER FOR TRIBAL MEMBERS

NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

THE CHALLENGE

- PUTS PRESSURE ON THE TRIBE TO **OPERATE THE NCTHA AS A BUSINESS**
- THE ABSENCE OF INDIAN OWNED HOUSING RELATED BUSINESSES
- BASIC SKILLS EXIST WITHIN MEMBERSHIP (TRADES, ARTS, OTHER INITIATIVES)
- REAL NEED FOR THESE BUSINESS SKILLS. EG:
- CONSTRUCTION COMPANIES, RETAIL **BUSINESSES**, ETC

NORTHERN CHEVENNE TRIBAL HOUSING AUTHORITY

OPPORTUNITY

- THE NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY WILL SPEND \$10-\$20 MILLION DOLLARS OVER THE NEXT SEVERAL YEARS
- U.S. LABOR: 20-TEMPORARY JOBS FOR EACH \$1.0 MILLION DOLLARS OF **DEVELOPMENT AND 2-PERMANENT JOBS** CREATED
- NORTHERN CHEYENNE NEEDS IN HOUSING ALONE: \$150,000,000

NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

ROSS GRANT

- \$168,000 HUD GRANT RECEIVED TO HELP
- ACHIEVE THE FOLLOWING GOALS:
- **ESTABLISH A RESIDENT INCUBATION CENTER** CREATION OF 12-SMALL BUSINESSES OVER A 3-YEAR PERIOD
- CREATION OF 9-NEW HOMEOWNERS FROM THIS POOL OF PARTICIPANTS
- DEVELOP ONGOING SUPPORT AND EDUCATION ALONG WITH 1 ON 1 BUSINESS COACHING
- ESTABLISH MUCH NEEDED OUTSIDE PARTNERSHIPS



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NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

RURAL HOUSING AND ECONOMIC DEVELOPMENT GRANT (RHED)

- \$200,000 HUD GRANT RECEIVED TO PROMOTE ECONOMIC DEVELOPMENT THROUGH THE TRIBE HIRING OF A QUALIFIED TRIBAL MEMBER TO SEEK OUT
- MORE FUNDING OPPORTUNITIES FOR THE TRIBE CONSTRUCTION OF A MINI-MALL TYPE BUILDING TO HOUSE 10-SMALL BUSINESSES
- WORK DIRECTLY WITH THE TRIBAL EDA COMMITTEE
- SUSTAINABLE FOR FUTURE OPPORTUNITIES

NORTHERN CHEVENNE TRIBAL HOUSING AUTHORITY

CREATED AND POTENTIAL BUSINESSES:

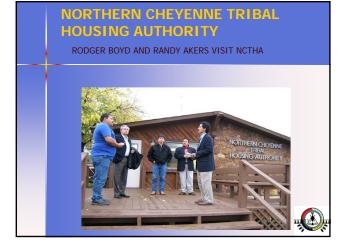
- CONSTRUCTION CONTRACTORS
- CLEANING CONTRACTORS
- ROOFING CONTRACTORS
- LANDSCAPING CONTRACTORS
- CEMENT CONTRACTORS
- PLUMBING CONTRACTORS
- APPRAISAL SERVICES
- ACCOUNTING/BOOKKEEPING SERVICES



NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY

KEYS TO SUCCESS:

- ESTABLISHMENT OF A VITAL PARTNERSHIP AND OPEN LINES OF COMMUNICATION WITH THE TRIBAL LEADERSHIP
- WELL EDUCATED, TRAINED BOARD OF COMMISSIONERS AND STAFF
- 'UNQUALIFED, CLEAN AUDITS' AND CONTINUAL COMPLIANCE WITH FUNDING AGENCY(S)
- INSURE ADMINISTRATIVE CAPACITY TO MANAGE ALL FUTURE RESOURCES





SUMMARY

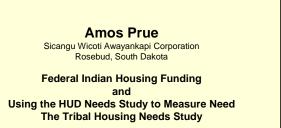
"WORKING TOGETHER"

THE NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY'S SUCCESS HAS BEEN A DIRECT RESULT OF COMPLIANCE, COMMUNICATION, ACCOUNTABILITY, OPEN-MINDEDNESS AND TEAMWORK. WITHOUT THESE KEY COMPONENTS, OUR PROGRAM WOULD NOT BE ABLE TO MEET THE GOALS AND EXPECTATIONS OF OUR LEADERSHIP AND MEMBERSHIP.

LAFE HAUGEN, EXECUTIVE DIRECTOR

NORTHERN CHEYENNE TRIBAL HOUSING AUTHORITY STAFF





Housing Needs Outreach Session/Northern Plains

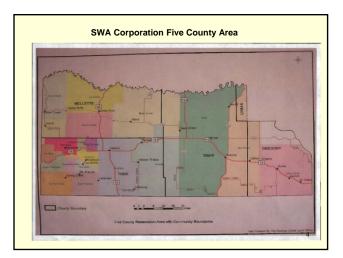
Denver, Colorado December 1-2, 2010

SICANGU WICOTI AWAYANKAPI ROSEBUD HOUSING AUTHORITY (THE LAKOTA TRANSLATION: PROTECTOR AND CAMP KEEPER)

SICANGU OYATE

LAKOTA TRANSLATION: "BURNTTHIGH PEOPLE" Long ago, in the spring, a Teton Plains sub band began a controlled fire to replenish the land, a wind began and the fire had begun burning out of control. The sub band tried to maintain control of the fire. Many women and men had severe burns up to their thighs as a result of trying to put out the fire. Other neighboring sub bands had come to help. Many neighboring sub bands talked about this traumatic experience. These other sub bands referred to our sub band as "burnt thigh" or "Sicangu" due to this incident. Historically, the Sicangu Oyate had demonstrated partnership and collaboration for their survival and well being. This is still practiced today.





Native Housing Needs Outreach Session

December 01, 2010 December 01, 2010 Position Statement THE NEED STUDY SHOULD ASSESS HOUSING NEED AND THEN BE USED AS A FACTOR TO DETERMINING APPROPRIATE FEDERAL HOUSING FUNDING LEVEL

In the Tribal Housing needs Study there should be specific acknowledgement of the Federal government's trust responsibilities and treaty obligations. Them the prior is currently needed nationally, regionally and locally and a well to also forecast in the future. Once that is done, and only when this is done, HUD, the Tribes and Corgress will be uruly understand that housing needs. Such an assessment will provide, probably for the first time, a relatively accurate picture of tribal housing need across the country. And hopefully, with such a fundamental tool the following will occur:

Congress will be able to more properly deliberate on funding level Congress and HUD can effectively monitor program achievement Tribes will improve their housing operations

We should expect that with this data and continuing advocacy by national, regional and special interest indian housing associations and coalitions, tribes may be able to get more funding the federal government and other organizations. Furthermore, as need figures are refined, programs will be more able to allocate at least a portion of the federal funding to those tribes with the greatest need.

In planning topics for this Needs Outreech Session, when in our Region and these from other Regional's should be allowed add bits topic to HDD initiality. The Blowing sub-repicts are what HLD garded to bit under their poly with the sub-repics that I am suggesting to reflect the above issues (see 1 and 2 below). Now we are to discuss these 7 sub-topics along with any others that you might which add.

- 1.
- INDAN HOUSING ON MANY RESERVATIONS IS DEPLORABLE THE TRIBAL HOUSING ON EDDS ASSESSMENT SMOULD PRINCIPALLY ASSESS HOUSING NEEDS AND ESTIMATE HOW Matching the heve of funding is and the NEEDDD Matching the heve of funding to see of the NEEDDD Streamine federal requirements Increase accountability and efficiency in trab housing programs Distribution of funding based on need U.S. reavy and trust responsibility

5

INDIAN HOUSING ON MANY RESERVATIONS IS DEPLORABLE. 1.

Rosebud Reservation Statistical Data.

- The average household in the USA is 2.61 persons. The State of South Dakota is 2.50 persons. The average household for Todd County (Reservation) is 3.62 persons. This 1.4 time higher than the nation average.
- The above is based on U.S. Census data is grossly inaccurate. Many household have 2-3 families living in the same house and number in many range from a low of six (6) to twenty (20) as a high.
- The 2008 U.S. Census estimated population for Todd County is 10,167 with 81.7% being Native American. This means that the estimate Native American population is 8,310 persons.
- The Rosebud Sioux Tribe Enrollment Statistics reflect that the total living enrolled members is 29,710. .
- 25,254 Tribal Members live on the Reservation.
- 4,456 Tribal Members live off the Reservation.
- The SWA Corporation active applicant profile reflect 621 families. 313 have an annual gross income under \$2,000. 432 or 70% live with an income less than \$13,000. (November 17, 2010)

b. Native Housing Resources Statistical Data

- Housing Needs: An estimated 200,000 housing units are needed immediately in Indian Country. (U.S. Commission on Civil rights, A Quiet Crisis: Federal Funding and Unmet Needs in Indian Country, 2003
- Homeless: Approximately 90,000 Native families are homeless or under-housed. (U.S. Commission on Civil Rights, "Quiet Crisis," 2003)
- Overcrowding: In tribal areas, 14.7 percent of homes are overcrowded, compared to 5.7 percent of homes of the general U.S. population. (Census Bureau, 2000)
- Plumbing: On Native American lands, 11.7 percent of residents lack complete plumbing facilities, compared to 1.2 percent of the general U.S. population. (Census Bureau, 2000)
- Telephone Service: Compared to the 2.4 percent of the American population, 16.9 percent of Native Americans in tribal areas lack telephone service. (Census Bureau, 2000) •
- Indian Housing Funding: Approximately \$650 million a year has been appropriated towards Native housing over the last few years. Funds are distributed to 575 housing entities, amounting to an average of \$11.1 million per tribe. Of that funding amount, about 40 percent, or (\$440,000 per tribe) goes towards new housing construction. (U.S. Commission on Civil Rights, "Quiet Crisis", 2003) 7

- Cost of New Homes: The average cost of a new home on a reservation is \$125,000, (U.S. Department of Housing and Urban Development (HUD), 2003)
 - Tribes: There are 562 federally-recognized tribes, of these, 229 are Alaska Native Village. (Federal Register, 12-05-03; Vol. 68, No. 234)
 - Total Population: There are 2.5 million Native Americans and Alaska Natives in the United States (single race), accounting for 0.9 percent of the total population. There are 4.3 million people (mixed race), totaling 1.5 percent of the entire U.S. population. (Census Bureau, 2000)
- Location: A total of 34 percent of the Native population resides in rural areas, where many reservations are located. (Census Bureau, American Indian/Alaska Native Heritage Month, 2003)
- Income: Native American have the second lowest median household income, \$32,116, while whites have the highest at \$46,305. (Census Bureau press release, 9-24-2003)
- Poverty Rate: The poverty rate for Native Americans is approximately 26 percent 2.6 times higher than that for whites and more than twice the average for all Americans, at approximately 12 percent. (Census Bureau, 2000)

8

- Unemployment Rate: Compared to 5.8 percent of the general U.S. population, 13.6 percent of the workforce on reservation areas is unemployed. (Census Bureau, 2000)
- Employment Data: A total of 56.5 percent of the population of trust lands and reservations is in the workforce (16 years of age and older). (Census Bureau, 2000)
- Tribes and Economic Development: the majority of tribes, a total of 361, are without gaming. (National Indian Gaming Association website, 2003)
- Mortgages Made On Trust Lands: In 2002, 354 loans were made for Section 184, 760 loans for Section 248 and 153 loans for the Rural Housing Service Section 502 (Direct Loans). (General Accounting Office Report, "Native American Housing: VA Could Address Some Barriers to Participation in Direct Loan Program", 2002)
- Loan Foreclosure and Delinquency Rates: The foreclosure rate for Native Americans on Section 184 loans is .011 percent, while the delinquency rate is 7.7 percent. (HUD as of 4-21-2004)

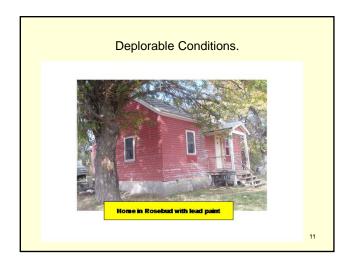
c. Conclusion:

The disparity of statistical data in Indian Country directly relate to Deplorable Housing Conditions.

Deplorable Conditions.



10

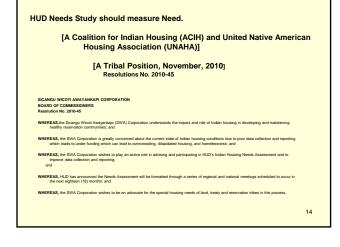


- 2. THE TRIBAL HOUSING NEEDS ASSESSMENT SHOULD PRINCIPALLY ASSESS HOUSING NEEDS AND ESTIMATE HOW MUCH NEED AND REHABILITATION OF HOUSING IS NEEDED.
- a. Need to Measure Housing Need.
 - Assessing the unmet need as described needs to be made a priority and also forecast demand in the future.
 - Funds allocated for housing should be used for this purpose only.
 - This Needs Study may be the only opportunity to determine need.
 - Having accurate need figures will help access funding, improve monitoring and better housing operations.

b. We cannot be distracted from measuring housing need.

- We should not create new definitions of housing needs.
- On many reservations, particularly for land and treaty based tribes, the need for housing is at a crisis level and this is what should be addressed with the limited housing funds that we have.
- c. Conclusion.
 - Emphasize should be paid to low-income tribal member housing.
 - All income level housing need should be examined and assessed in the Tribal Needs Study.

13



NOW, THEREFORE, BE IT RESOLVED, that SWA adopts and endorses the following position to be presented and advocated for during the course of the Housing Needs Assessment and elsewhere as appropriate:	
Yessing conditions in Indian country are depictable. Both the quality and quality of housing are far before vibual of other communities, yet data continuing the last been difficult to labele. In December of a VOIA Coopress direction the U.S. Department of housing and U.Ban Development to conduct a needs assessment to determine the extent of need and assist in developing policies to remedy the situation. HUD's Office of Policy Development and Research is not designing the study.	
The primary purpose of this Theak Housing Needs Study should be to assess current housing needs and attempt to forecast titume demand. HUB should use the caporularity when the Department by Covress and acqueoted by Tridls — to determ how many reveals of hand housing and exceeds and hour many existing units need to be rehabilitated. Creating an accurate estimate of housing and housing conditions will also establish benchmarks so Congress, federal agriculture HUD, and these underlog stronger national and theib program.	
Though particular emphasis should be paid to low-income tribal members' housing, all income level housing need should be examined and assessed in the Tribal Needs Study.	
In developing the study, HUD can include the esticionable between housing and eccorance development, end/opment, education, justice systems and housing, community development is a collaboration process. HUD is caractly coment, amy of these conditions bit migrature having and set having housing having h	
Finally, treatly obligations: Congressional findings contained in countiess federal Indian housing program statutes and the federal government's trust responsibility to tribes, together with the tribes' own responsibilities, need to be acknowledged in the Tribal Housing Needs Study. ¹	
CERTIFICATION	
This is to certify that the above Republics No. 2010-65 was duly passed by the Stanger Wool's waywelvapi Corporation Roard of Commissioners in session this 19th day of November, 2010 by a vote of 10 in favor, 0 opposed, and 0 abstaining. The said Resolution was adopted pursuant to authority vested in the Board of Commissioners. A quorum was present.	
(SEAL)	
Dartene Metcall, Chairwoman Sicangu Wicck Awayankapi Corporation Board of Commissiones	
ATTEST:	
Darleen Black Spotted Horse, Secretary Sicangu Wicoli Awayankapi Corporation Board of Commissioners	
15	

3. MATCHING THE LEVEL OF FUNDING TO THE LEVEL OF HOUSING NEEDS.

- Needs assessment must be comprehensive for each tribe.
- Funds must be distributed equitably based on the need of each tribe.

4. STREAMLINE FEDERAL REQUIREMENTS.

- Funds appropriated for housing needs to be utilized for housing development and rehabilitation only.
- Funding proved is wholly inadequate to address housing on the reservation, yet housing is expected to fund infrastructure development which include roads, water, sewer and sanitation, community buildings, leasing, and land use planning.
- Other Federal Agencies with an equal trust responsibilities to tribes need to funding to address infrastructure development needs on the reservation.
- Other Federal Agencies need to become part of collaborative planning on reservation.

5. INCREASE ACCOUNTABILITY AND EFFICIENCY IN TRIBAL HOUSING PROCESS.

- Problem Accountability, efficiency, and accomplishment is difficult to measure.
- Solution Low-income Tribal Housing Reporting Card.
- Result Sample of Tribes obligating and expenditure.
- 6. DISTRIBUTION OF FUNDING BASED ON NEED.
 - Immediate Need \$700 million funding for NAHASDA/IHBG each year of FY-2011 and FY-2012.
 - Advocate for a Supplemental NAHASDA Needs Block Grant Program for the most needy tribes. Funding to come a special appropriate or recaptured funds.
 - Supplemental funds should be distributed based on a competitive process in consideration of effective spending of funds and demonstrated capacity to manage.

17

- 7. U.S. TREATY AND TRUST RESPONSIBILITY.
 - U.S. Government treaty and trust responsibility need to be acknowledged as part of the Need Study.
 - Treaty and land based Tribes is the reason why Native Americans are recognized with a government to government relationship. A great deal was given up in exchange for the Treaties.

8. BEST PRACTICES.

- 120 subrecipient agreement entered into with collaborating parties since 1998.
- Established the Ojinjintka Housing Development Corporation, LLC, a 100% wholly owned subsidiary of the SWA Corporation.
- Promoting the development and use of the Rosebud Sioux Tribe Timber Reserve.

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Bruce	Phillips		Solar Colorado		Colorado Springs	со			

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