# RECLAMATION

Managing Water in the West

Funding Opportunity Announcement No. R15AS00037

# BASINWIDE & BASIN STATES SALINITY CONTROL PROGRAMS

## **FISCAL YEAR 2015**



## **Mission Statements**

The U.S. Department of the Interior protects America's natural resources and heritage, honors our cultures and tribal communities, and supplies the energy to power our future.

The mission of the Bureau of Reclamation is to manage, develop, and protect water and related resources in an environmentally and economically sound manner in the interest of the American public.

# **Synopsis**

Federal Agency Name:	Department of the Interior, Bureau of Reclamation		
Funding Opportunity Title:	Colorado River Basinwide & Basin States Salinity Control Programs		
Announcement Type:	Funding Opportunity Announcement (FOA)		
Funding Opportunity Number:	R15AS00037		
Catalog of Federal Domestic Assistance (CFDA) Number:	15.509		
Dates: (See FOA Sec. IV.B.1)	Application due date:  July 17, 2015 3:00 p.m. Mountain Daylight Time (MDT)		
Eligible Applicants: (See FOA Sec. III.A.)	Colorado River Basinwide Salinity Control Program (Basinwide Program)  • Submitted by a legal entity that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with Reclamation.		
	Basin States Program (BSP)  • Submitted by a legal entity or individual that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with the state in which it is located - Utah, Colorado, or Wyoming.		
	<ul> <li>Applications must:</li> <li>Propose projects that are located in the Colorado River Basin above Hoover Dam.</li> <li>Be responsive to the FOA requirements.</li> <li>Not use unproven technology.</li> <li>Not be of a nature that creates undue financial risk for Reclamation.</li> <li>Be in an area where salt load can be provided.</li> </ul>		
Federal Funding Amount: (See FOA Sec. II.B.)	Reclamation will look to fund as many projects as possible, but any application submitted, may be for no more than \$6 million of Salinity Funding. This limit does not apply to any additional cost share funding.  Each applicant is limited to a total of \$8 million Reclamation Salinity Funding		
	for any multiple project awards, this includes Salinity Funding that has not yet been spent on any current projects awarded during a previous FOA.		
Estimated Amount of Funding Available for Award: (See FOA Sec. II.A.)	Reclamation may award up to \$35-40 million in the Basinwide Program, based on the 2016-2018 budget requests and subject to Federal Appropriations.		
	Reclamation, using the BSP, may award up to \$5-10 million.		

# **Application Checklist**

What to submit		Submit by	
REQ	UIRED:		
Salt L	oad Reduction Worksheet – The Initial and Revised Versions	June 10, 2015	
Assur	ances SF 424 Forms Registered in the System for Award Management (SAM)	July 17, 2015	
•	Title page Table of contents Part 1 - Project summary Part 2 - Project proposed for funding Part 3 - Projects costs and funding plan Appendix A: Project Maps Appendix B: Existing Irrigation Delivery Facilities Data Sheet Appendix C: Supplemental Data Tables Appendix D: Estimate of Enabled On-Farm Acreage Appendix E: Detailed Cost Estimates Appendix F: Salt Load Reduction Estimate(s)	July 17, 2015	
Signa	ture & Review Letters: Applicant Review Signature Page Official Resolution from company	July 17, 2015	
REC	OMMENDED:		
State 1	Representative Signature Letter	July 17, 2015	
Racin	States Salinity Coordinator Concurrence Letter	July 17, 2015	

Applications can be submitted online to <a href="www.grants.gov">www.grants.gov</a> or be delivered by mail, FedEx or in person to:

Attn: Heidi Hansen, UC-823 Bureau of Reclamation

125 South State Street, Room 8100 Salt Lake City, UT 84138-1147

Deadline: July 17, 2015, 3:00 p.m. (MDT)

Refer to FOA Section IV for more information.

## **Abbreviations and Acronyms**

ARC Application Review Committee

AOR Authorized Organization Representatives
ASAP Automated Standard Application for Payments

BA Biological Assessment

Basin Fund Upper Colorado River Basin Fund

Basinwide Program Colorado River Basinwide Salinity Control Program

BLM Bureau of Land Management

BO Biological Opinion
BSP Basin States Program
CE Categorical Exclusion

**CEC** Categorical Exclusion Checklist **DUNS** Data Universal Number System E-Biz POC E-Business Point of Contact EA **Environmental Assessment EIN Employer Identification Number EIS Environmental Impact Statement ESA** Endangered Species Act of 1973 **FOA Funding Opportunity Announcement FONSI** Finding of No Significant Impact Field Office Technical Guide **FOTG** 

FY Fiscal Year GO Grants Officer

HDPE High-Density Polyethylene
HQS Habitat Quality Score
HVS Habitat Value Score
IRS Internal Revenue Service
LDPE Low-Density Polyethylene
MDT Mountain Daylight Time
MOA Memorandum of Agreement

NEPA National Environmental Policy Act of 1969 NHPA National Historic Preservation Act of 1966

NOAA National Oceanic and Atmospheric Administration

NRCS National Resource Conservation Service

O&M Operation and Maintenance

OMB Office of Management and Budget

PSI Pounds per Square Inch

P.L. Public Law

PVC Polyvinyl Chloride Reclamation Bureau of Reclamation

Salinity Control Act Colorado River Basin Salinity Control Act, P.L. 93-320 Salinity Funding Funding from the Basinwide Program and/or BSP

SAM System for Award Management

Secretary of the Interior Secretary Automated Irrigation Method U.S. Fish and Wildlife Service Surge Service

SF Standard Form

Taxpayer Identification Number Total Habitat Value TIN

THV Upper Colorado UC

United States Department of Agriculture United States Geological Survey **USDA** 

**USGS** 

# **Table of Contents**

Section I. Funding Opportunity Description	1
I.A. Program Description	1
I.B. Program Authority	1
I.C. Program History	2
I.D. Objective of Funding Opportunity Announcement (FOA)	2
I.E. Master Planned Project	3
I.F. Projects with Two or More Entities Involved in One Project Effort.	3
I.G. Frequently Asked Questions	3
Section II. Award Information	4
II.A. Total Project Funding	4
II.B. Project Funding Limitations	4
II.C. Cost Sharing with Reclamation on Salinity Projects	4
II.D. Reclamation Responsibilities	5
Section III. Eligibility Information	5
III.A. Eligible Applicants	5
III.B. Other Requirements	6
III.C. Other Funding Guidelines	7
Section IV. Application and Submission Information	12
IV.A. Salt Load Reduction Estimates for Proposed Projects	12
IV.A.1. Salt Load Reduction Worksheet	12
IV.A.2. Irrigation-Related Projects	12
IV.A.3. Other Types of Salinity Control (Non-Irrigation)	14
IV.B. Application Delivery Instructions	17
IV.B.1. Application Submission Deadline	17
IV.C. Other Submission Requirements	17
IV.D. Content and Form of Application Submission	21
IV.D.1. Application Format and Length	21
IV.D.2. Application Content	21
IV.D.2.a. SF-424 Application Cover Page	22
IV.D.2.b. SF-424 Assurances	22
IV.D.2.c. Signature Letters	22

IV.D.2.d. Project Application	23
IV.E. Additional Instruction for Application Content	29
IV.E.1. Design Standards and Other Considerations for Irrigation-Related Projects	29
IV.E.1.a. Canal Lining Minimum Construction Criteria	31
IV.E.1.b. Water Impoundment Structures	32
IV.E.2. Enable On-Farm Salinity Control Features to be Constructed	33
IV.E.3. Other Types of Salinity Control	35
IV.E.3.a. Estimated Salt Load Reduction Non-Irrigation	35
IV.E.4. Wildlife Habitat Replacement	35
IV.E.4.a. Irrigation Delivery System Improvements & Other Types of Salinity Cont. (Non-Irrigation Related)	
Section V. Application Review Information	37
V.A. Review and Selection Process	37
V.A.1. Initial Screening	37
V.A.2. ARC Review	38
V.B. Evaluation Criteria	38
V.B.1. Cost effectiveness	39
V.B.2. Enable On-farm Salinity Control Features to be Constructed	39
V.B.3. Project Risk	39
V.B.3.a. Detailed Project Plan and Costs	40
V.B.3.b. Capability to Implement Project and Meet Project Schedule	40
V.B.3.c. Area of Salt Load Reduction Estimate	40
V.B.3.d. O&M and Management	40
V.B.4. Past Performance	40
V.C Negotiations and Awards	40
V.C.1 Funding Subject to Appropriation	41
V.D Pre-Award Clearances and Approvals	41
V.E. Anticipated Award Dates	41
Section VI. Award Administration Information	42
VI.A Award Notices	42
VI.B Administrative and National Environment Policy Requirements	42
a. NEPA	43
b. National Historic Preservation Act of 1966 (NHPA)	44

c.	ESA	45
Section VII.	Agency Contact	47
Section VIII	. Salinity Coordinator(s)	47
Section IX.	Other Information	50
IX.A. V	Workshops	50

# Section I. Funding Opportunity Description

## I.A. Program Description

The Colorado River Basin Salinity Control Program (Salinity Control Program) was designed to meet the objectives of the Colorado River Basin (Basin) Water Quality Standards. These standards include a plan of implementation to limit further degradation of water quality in the Colorado River that provides water to southern California, Arizona, Nevada, and New Mexico. The objective of the Salinity Control Program has been to minimize salt loading in the Colorado River system by seeking cost-effective regional solutions to the problem.

The Bureau of Reclamation solicits, ranks, and selects new Salinity Control Projects based on a competitive process open to the public. Cooperative agreements are awarded with selected applicants. Projects have typically involved converting unlined canals and ditches to pipelines located in the Upper Basin States of Utah, Colorado, New Mexico, and Wyoming to reduce seepage that picks up salt and carries it into the Colorado River system.

Reclamation also utilizes the services of state agencies in the states of Colorado, Utah, and Wyoming, to assist in funding cost-effective activities to reduce salinity in the Colorado River system.

## I.B. Program Authority

In June 1974, Congress enacted the Colorado River Basin Salinity Control Act, Public Law (P.L.) 93-320 (Salinity Control Act), which directed the Secretary of the Interior to proceed with a program to enhance and protect the quality of water available in the Colorado River for use in the United States and Republic of Mexico. In 1975, the Environmental Protection Agency approved water quality standards developed by the seven Colorado River Basin States in response to the Federal Water Pollution Control Act of 1972. The standards included numeric criteria for three stations on the main stem of the lower Colorado River - below Hoover Dam, below Parker Dam, and at Imperial Dam - and a Plan of Implementation to control salinity increases.

P.L. 104-20 of July 28, 1995, amended the Salinity Control Act, and authorizes the Secretary, acting through Reclamation, to implement a Colorado River Basinwide Salinity Control Program (Basinwide Program). The Secretary may carry out the purposes of this legislation directly, or make grants, enter into contracts, memoranda of agreement, commitments for grants, cooperative agreements, or advances of funds to non-Federal entities under such terms and conditions as the Secretary may require.

The appropriate agreement mechanism will be determined on a case-by-case basis (i.e., grant or cooperative agreement). Throughout the remainder of this document the generic term "agreement" is used to describe the agreement mechanism.

The 1984 amendments to the Salinity Control Act authorized the United States Department of Agriculture (USDA) – National Resource Conservation Service (NRCS) and the Bureau of Land Management (BLM) to participate in the Salinity Control Program. Although integrated with Reclamation's work, both of these agencies have their own authorities to implement their respective programs. For example, the NRCS Salinity Control Program is responsible for onfarm irrigation improvements and rangeland improvements on private lands. BLM is responsible for the rangeland management program on BLM lands.

P.L. 110-246 amended the Salinity Control Act, authorized the Basin States Program (BSP), and authorized Reclamation, through the BSP, to take advantage of new, cost-effective opportunities to control salinity anywhere in the Basin. Moneys collected into the Lower Colorado River Basin Development Fund and the Upper Colorado (UC) River Basin Fund (Basin Funds) from a surcharge on power produced at Reclamation facilities are used to control salt by providing grants, grant commitments, or advance funds to Federal or non-Federal entities under such terms and conditions as the Secretary may require. The moneys are used to fund cost effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, and other sources.

## I.C. Program History

Historically, total annual salt loading of the Colorado River measured at Hoover Dam has been approximately 9 million tons. About one-third of the historical salt load was human-induced, originating from irrigation practices and municipal and industrial sources. Due to salinity in the Colorado River water, quantified economic damages to municipal and agricultural water users in the Lower Basin of the Colorado River are currently about \$252 million per year. Without the Salinity Control Program it is estimated that the quantified economic damages would be about \$618 million per year.

## **I.D.** Objective of Funding Opportunity Announcement (FOA)

Reclamation's UC Region is requesting applications for salinity control projects that reduce salinity contributions to the Colorado River system. Such applications may consist of projects to reduce salinity contributions originating from saline springs, leaking wells, irrigation sources, municipal and industrial sources, erosion of public and private land, or other sources.

Only those irrigation-related projects that will reduce salt from *delivery systems* will be considered, e.g., canals, ditches, or laterals. **Joint or integrated project applications that include costs and tons of salt from on-farm application systems will not be considered.** Such projects should be referred to the USDA-NRCS Environmental Quality Incentives Program. However, projects that *enable* on-farm work may be given a higher rating as detailed in the evaluation criteria.

In this FOA, applications will be accepted for projects that request \$6 million or less of Reclamation's Salinity Funding and control significant tons of salt. The Application Review

Committee (ARC) may select and refer to the Basin States Program projects that are smaller in size.

Applications will be selected through a competitive process under the evaluation criteria set forth in the FOA. Applications will be evaluated and ranked by an ARC. Reclamation and/or the state agency will then proceed to award agreements to the applicants of the highest ranked applications. Starting with those applications with the highest ranking, awards may be made until the anticipated available funding for the next three to four years has been awarded. Awarded projects are funded each year based on the appropriations received and the priorities of date of award and ranking order.

All salinity projects are required to replace incidental wildlife habitat losses concurrent with construction of the project schedule.

## I.E. Master Planned Project

Applicants may submit a "Master Plan" that includes two or more irrigation systems, phases or projects, that may not all be constructed in this FOA, but have potential to compete in future FOA's. This may create additional benefits, such as, increased efficiency or better cost effectiveness. Reclamation encourages this type of overall present and future project planning.

## I.F. Projects with Two or More Entities Involved in One Project Effort.

Two separate legal entities may combine ditch systems or projects to create one cost effective project(s). This may also be part of a Master Plan. The legal entities involved in creating this project may create a new legal entity together or, and this is most recommended, choose a lead entity to contract with Reclamation for the project, if selected for award. The entities must draft a memorandum of agreement (MOA), which states that each entity is aware of the laws and processes that pertain to the award. If awarded an agreement, this MOA and legal arrangement must remain in place for fifty (50) years.

## I.G. Frequently Asked Questions

- Q: Where can I download the Salt Load Reduction Worksheet?
  - A: The Salt Load Reduction Worksheet can be downloaded from the website: <a href="http://www.usbr.gov/uc/progact/salinity/">http://www.usbr.gov/uc/progact/salinity/</a>
- Q: Where can I download the Project Application required electronic format?
  - A: The Project Application required electronic format can be downloaded from the website: <a href="http://www.usbr.gov/uc/progact/salinity/">http://www.usbr.gov/uc/progact/salinity/</a>
- Q: Where can I download the Enable On-Farm Worksheet?
  - A: The Enable On-Farm Worksheet can be downloaded from the website: <a href="http://www.usbr.gov/uc/progact/salinity/">http://www.usbr.gov/uc/progact/salinity/</a>

- Q: Where can I find the SF-424 forms?
  - A: These forms are available at the website: http://www.grants.gov.
- Q: How do I obtain a salt load reduction estimate?
  - A: Applicants must complete and submit the Salt Load Reduction Worksheet to Reclamation. See Section IV.A.1 for additional information.
- O: When must the Salt Load Reduction Worksheet be submitted?
  - A: The Salt Load Reduction Worksheet must be submitted to Reclamation no later than June 10, 2015. See Section IV.A.1 for more information.
- Q: What is the deadline for submitting the application?
  - A: All applications must be received no later than **July 17, 2015, at 3:00 p.m.** (**MDT**). See Section IV.B.1 for more information.

## **Section II. Award Information**

## **II.A.** Total Project Funding

Reclamation may award up to about \$40 million in the Basinwide Program.

Reclamation also may award up to about \$10 million in the BSP in the States of Colorado, Utah, and Wyoming.

## **II.B.** Project Funding Limitations

There is a \$6 million limit, of Salinity Funding, per project for this FOA. It is also anticipated that no project will receive more than \$2 million of funding in any fiscal year (FY). Applicants should not request in a funding plan more than \$2 million for any single FY.

No single entity may have more than \$8 million of unexpended Salinity Funding in Salinity Agreements. This includes current projects selected in previous FOA's.

Applicants may submit as many clearly severable applications as they choose under the FOA, but agreements will not be awarded to an applicant once a total of \$8 million of Salinity Funding has been reached.

## **II.C.** Cost Sharing with Reclamation on Salinity Projects

If an entity chooses to help fund their project(s) with additional non-Salinity Funding, this will create a cost share project with two or more funding sources. The non-Salinity Funding and the Salinity Funding shall be spent **concurrently** at the agreed-upon cost share percentage. This cost share percentage will remain in effect for the life of the agreement.

If an entity fails to comply with concurrent cost sharing with its non-Salinity Funding, Reclamation will suspend Salinity Funding until funding matches the agreed-upon cost share percentage. Reclamation retains the right to terminate an agreement for non-compliance.

If the project scope can be completed under budget, the scope may be expanded with Reclamation approval. Once approved, the entity may utilize the remaining funding of the agreement for additional features or system improvements that will enhance the efficiency of the project or otherwise further the objectives of the Salinity Control Program. If it is determined that the scope will not be expanded, the savings will be shared according to the agreed-upon cost share percentage.

## **II.D.** Reclamation Responsibilities

Reclamation assistance may be provided to the project sponsor in implementing the project when requested to do so and it is in the best interest of the Government. The cost of this assistance shall be considered a project cost and must be included in the cost estimate in each application. Reclamation may, at its own discretion, provide direct assistance to the project sponsor when the proposed project has other associated indirect benefits of Federal interest (i.e., other water quality or environmental benefits). The cost of this assistance will <u>not</u> be considered a project cost.

At the request of the recipient, Reclamation can provide technical assistance after award of the project. If Reclamation's assistance is received, these costs must be accounted for in the budget. To discuss assistance available and these costs, please contact the local Reclamation office, which can be identified at <a href="http://www.usbr.gov/main/regions.html">http://www.usbr.gov/main/regions.html</a>.

## **Section III. Eligibility Information**

## III.A. Eligible Applicants

If the applicant or subcontractor for the applicant has had a project terminated for non-compliance in the Salinity Control Program administered by Reclamation, they will not be eligible for a project.

### Basinwide Program

• Be a legal entity with a DUNS number that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with Reclamation.

## **BSP**

 Be a legal entity or individual that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with the state in which it is located -Utah, Colorado, or Wyoming. • For BSP projects located in New Mexico, be a legal entity that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with Reclamation.

## **III.B.** Other Requirements

## 1. Eligible Projects

- The project being proposed is located in the Colorado River Basin above Hoover Dam.
- The project being proposed must be responsive to the FOA requirements.

## 2. Ineligible Projects

- A project that:
  - o Requests more than \$6 million in Basinwide Program or BSP funding.
  - o Requires 5 or more years for completion.
  - O Uses unproven technology.
  - o Creates undue financial risk for Reclamation.
  - O Does not include measures or features for high-efficiency on-farm systems even though circumstances permit it.
  - O Claims tons of salt from:
    - A feature or project previously constructed or a project currently under construction.
    - A feature or project already obligated to be funded by another program that is not contingent upon receiving Salinity Funding.

## 3. Length of Projects

It is to the advantage of the applicant to have projects substantially complete in 2 to 3 years from the start date. Reclamation will allow a maximum of 4 years to complete a project from award date.

## 4. Environmental Compliance

All awarded agreements will require compliance with the National Environmental Policy Act of 1969 (NEPA) before any ground disturbing activity may begin. Compliance with all applicable state, Federal, and local environmental, cultural resource, and paleontological resource protection laws and regulations is also required. These may include, but are not limited to, the Clean Water Act, the Endangered Species Act of 1973 (ESA), the National Historic Preservation Act of 1966 (NHPA), consultation with potentially affected tribes, and consultation with the State Historic Preservation Office.

Reclamation will be the lead Federal agency for NEPA compliance and will be responsible for evaluating technical information and ensuring that natural and cultural resources and socioeconomic concerns are appropriately addressed. As the lead agency, Reclamation is solely

responsible for determining the appropriate level of the NEPA and cultural resources compliance. Further, Reclamation is responsible to ensure findings under NEPA and cultural resources consultations, as appropriate, will support Reclamation's decision on whether to fund a project. Environmental and cultural resources compliance costs are part of an applicant's budget. These costs will be considered in the ranking of applications.

## 5. System for Award Management (SAM)

All applicants must be registered in the SAM prior to award under this FOA. The SAM instructions for registration are located at <a href="http://www.sam.gov">http://www.sam.gov</a>. All applicants must maintain an active SAM with current information at all times during which it has an active Federal award or an application under consideration.

All subcontractors, other than suppliers, must be registered in SAM prior to award under this FOA. Subcontractors that are unknown at the time of award shall also be registered in SAM when they become subcontractors. The SAM instructions for registration are located at <a href="http://www.sam.gov">http://www.sam.gov</a>. All subcontractors must maintain an active SAM with current information at all times during which it has an active Federal award or an application under consideration.

## **III.C.** Other Funding Guidelines

Funding from sources other than the Salinity Program is not required. However, an applicant may want to include funding from other funding sources to make their project more competitive. Other funding may be in the form of cash, in-kind contributions, or both from the applicant or third-party partners. Other funding from sources outside the applicant's organization, e.g., loans or state grants, should be secured and available to the applicant prior to award. Reclamation may approve an award prior to an applicant securing other funds if Reclamation determines that there is sufficient evidence and likelihood that the funds will be available to the applicant by the start of the project. Funding commitment letters must be submitted in accordance with instructions in Section IV.B.

If an entity chooses to help fund their project(s) with additional non-Salinity Funding, this will create a cost share project with two or more funding sources. The non-Salinity Funding and the Salinity Funding shall be spent concurrently at the agreed-upon cost share percentage. This cost share percentage will remain in effect for the life of the agreement.

### 1. In-Kind Contributions

In-kind contributions constitute the value of non-cash contributions that benefit a federally assisted project. These contributions may be in the form of real property, equipment, supplies, and other expendable property, as well as the value of goods and services directly benefiting and specifically identifiable to the project or program. The cost or value of in-kind contributions that have been or will be relied on to satisfy a cost-sharing or matching requirement for another Federal financial assistance agreement, a Federal procurement contract, or any other award of Federal funds may *not* be claimed as other funding in the application.

## 2. Claiming Features and Projects Already Constructed as Other Funding

Applicants may not claim features and associated salt control for projects previously constructed or that are already under agreement to be funded by another program as other funding in their application. This includes projects not contingent on being selected for Salinity Program funding.

### 3. Indirect Costs

Indirect costs that will be incurred during the development or construction of a project, which will not otherwise be recovered, may be included as part of the applicant's other funding. Indirect costs are those: (1) incurred for a common or joint purpose benefiting more than one cost objective, and (2) not readily assignable to any one cost objective. If the applicant proposes indirect costs in the budget, then the applicant must either supply a copy of a current federally-negotiated indirect cost rate agreement or obtain an agreement within 1 year of award. For further information on indirect costs, refer to the applicable Office of Management and Budget (OMB) cost principles circular referenced above and available at <a href="http://www.whitehouse.gov/omb/circulars">http://www.whitehouse.gov/omb/circulars</a>. Normally design, construction management, cultural resources or NEPA are not indirect charges.

## 4. Budget Narrative

- (1) General Requirements: Include a budget with the annual estimated project costs and an estimate of any out-year costs associated with the project. Include the value of in-kind contributions of goods and services and sources of funds provided to complete the project. The application must clearly delineate between Reclamation and applicant contributions.
- (2) Budget Format: The budget shall include detailed information on the categories listed in the table at Appendix E on the Application. Unit costs shall be provided for all budget items, including the cost of work to be provided by contractors. *Lump sum costs are not acceptable in any category*.

Additionally, applicants shall include a narrative description of the items included in the budget. It is strongly advised that applicants use the budget format shown in **Appendix E in the 2015 FOA Project Application.** 

(3) Budget Narrative Format: Submission of a budget narrative is mandatory. An award will not be made to any applicant who fails to fully disclose this information. The Budget Narrative provides a discussion of, or explanation for, items included in the budget application. An applicant must provide a basis for and detailed support for each cost element; i.e., did the rate come from quotes; historical documentation modeling; an engineer estimate; or some other methodology? If selected, supporting documentation will be required.

(4) Budget Form: In addition to the above-described budget information, the applicant must complete an SF-424A, Budget Information—Non-construction Programs, or an SF-424C, Budget Information—Construction Programs. These forms are available at <a href="http://www.grants.gov/agencies/aforms">http://www.grants.gov/agencies/aforms</a> repository information.jsp

Below is a sample of what Reclamation uses to review budgets. Please provide as much detail as possible.

**Budget Pricing Guide/Template** 

The following estimating techniques may be used;

**Competition**: Bid abstracts for similar work on previous projects

Comparison to historic pricing Comparison to published prices Market research

Some or all of the following cost element information may apply:

Labor; does the budget contain the following as applicable?

- The kind of employee that will be working on the project (job classification/description such as engineer, laborer, program manager)?
- The amount of time each person will be working? This can be a number of hours, days, weeks, percentage of a year, etc.
- The wages paid to the employee? This can be an hourly rate, daily rate, annual salary, etc. to correspond to the measure of the time budgeted but does not include fringe or other indirect rates.
- Supporting documentation for the budgeted rate? This can be payroll records identifying the individual or labor category.

Fringe Benefits and Payroll Additives

If labor costs are budgeted:

- What is the fringe benefit rate or dollar amount?
- Supporting documentation such as negotiated rates or agreements, qualified CPA recommendation or the actual compilation of the poll and base costs for Reclamation evaluation.

#### *Equipment:*

If equipment costs are budgeted:

- The kind of equipment that will be used on the project (type, model, size, etc.)?
- The amount of time each type of equipment will be working? This can be a number of hours, days, weeks, percentage of a year, etc.
- The rate for the equipment? This can be an hourly, daily, annual, etc. to correspond to the measure of the time.
- Is the equipment owned or rented? Reimbursement for use of owned equipment must be based upon the actual cost to the recipient for the operation and use of the equipment, not on rental rates. If ownership rates are not available, Corp of Engineer recommended rates should be used.
- Is there standby time included?
- (Notes: Reimbursement for use of owned equipment must be based upon the actual cost to the recipient for the operation and use of the equipment, not on rental rates. Also,

standby costs need to be identified and standby rates supported in the same manner. No operating costs are allowed for standby.)

#### *Material:*

If material costs are budgeted:

- What items are being purchased, i.e. a description of the items?
- The quantity of each?
- The unit cost of the items?
- Consolidated Bill of Materials

#### Audits:

A Single Audit may be required for non-Federal entity's receiving Federal funds. (*see reference below*. This cost can be reimbursed with Federal funds.)

### 2CFR §200.501 Audit requirements.

(a) Audit required. A non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part.

#### Other Direct Costs:

Other direct costs are anything that is not labor, fringe benefits, equipment, material, or overhead. It can include supplies, room rental, advertising, internet access; copy costs, telephone use, and travel, just to mention a few.

- What the purpose is for the cost?
- What the basis is for the cost in the budget, i.e. how did the requestor come up with the dollar amount for the item?
- Is there enough information to duplicate the calculation of the amount?

#### Subcontracts:

Did the recipient provide supporting documentation for the subcontract costs determining them to be fair and reasonable? (The budget information needed for subcontractors is identical to the information needed for the recipient. The recipient should have used the same guidance for each cost element budgeted for the subcontractor as would be for the recipient.)

# Section IV. Application and Submission Information

## IV.A. Salt Load Reduction Estimates for Proposed Projects

All applications for Salinity Control Projects must obtain salt load reduction estimates prior to submission of the application. In order to obtain salt load reduction estimates the Salt Load Reduction Worksheet must be submitted to the Program Manager with a copy to the appropriate Reclamation Technical Contact.

#### IV.A.1. Salt Load Reduction Worksheet

The Salt Load Reduction Worksheet can be downloaded from the website at <a href="http://www.usbr.gov/uc/progact/salinity/index.html">http://www.usbr.gov/uc/progact/salinity/index.html</a>. Instructions for completing and submitting the Salt Load Reduction Worksheet are included with the document. Questions regarding the Salt Load Reduction Worksheet should be directed to the appropriate Reclamation Technical Contact.

Applicants should submit completed Salt Load Reduction Worksheets to the Program Manager with a copy to the appropriate Reclamation Technical Contact as soon as possible (for contact information see Section VIII). For each Salinity Control Project, applicants will be allowed no more than two submissions of the Salt Load Reduction Worksheet. **Applicants should be aware that submittals may require thirty (30) or more calendar days to process.** Applicants are encouraged to submit the Salt Load Reduction Worksheet as early as possible following the release of the FOA, especially if applicants anticipate submitting a revised version of the Salt Load Reduction Worksheet. Final submissions of Salt Load Reduction Worksheet must be received by Reclamation no later than **June 10, 2015**. Electronic submission of the Salt Load Reduction Worksheet by email is acceptable.

Salt load reduction estimates will be provided to the applicant in a letter by Reclamation's UC Regional Office.

## **IV.A.2. Irrigation-Related Projects**

For irrigation-related projects the salt load reduction estimates will be determined from Reclamation, NRCS, or United States Geological Survey (USGS) salinity studies of agricultural areas. These estimates will only be provided for agricultural areas where a completed study is available. Reclamation does not have the capability to provide salt load reduction estimates for agricultural areas where Reclamation, NRCS, or USGS salinity studies have not been completed.

Salt load reduction estimates may be available for the following agricultural areas. Also see Figure 1 and Figure 2 for maps of approximate locations of these agricultural areas. Check with the appropriate local Reclamation Technical Contact (see Section VIII) for the availability of salt load reduction estimates in each area.

#### Colorado:

- Grand Valley Unit, which includes the majority of the Grand Valley in the vicinity of Grand Junction, Colorado, with the exception of the Redlands area.
- Lower Gunnison Basin Unit, which includes agricultural lands within the Gunnison River basin, including its tributaries, below Morrow Point Dam, with the exception of some limited areas tributary to the Uncompander River.
- McElmo Creek Unit, which includes agricultural lands within the McElmo Creek and Navajo Wash basins in southwestern Colorado.
- Mancos Valley, which includes agricultural lands within the Mancos River basin in southwestern Colorado.
- DeBeque study area, which is located near the town of DeBeque, Colorado, and includes agricultural lands located along the Colorado River corridor and along portions of Roan Creek.
- Whitewater and Kannah Creek study area, which is adjacent to the lower Gunnison River near the town of Whitewater, Colorado, and includes agricultural lands located in lowland mesas and stream valleys of Whitewater, Kannah, and Callow Creek.
- Silt study area, which is located near the town of Silt, Colorado, and is an area roughly defined as being bordered by the Colorado River, Colorado State Highway 325, and the south side of the Grand Hogback, and Garfield County Road 235, just east of Silt.

### New Mexico:

• Navajo Portion of the San Juan Unit, New Mexico, including the Hogback, Fruitland, and Gadii'ahi projects.

#### Utah:

- Price-San Rafael Rivers Unit, which includes agricultural lands within the Price and San Rafael River basins in east-central Utah.
- Uinta Basin study areas including Ashley Valley, Utah.
- Muddy Creek Unit, which is near the town of Emery, Utah, and includes agricultural lands located in the Muddy Creek watershed north of Interstate 70.
- Manila-Washam project area, which is located near the towns of Manila, Utah, and Washam, Wyoming, and includes agricultural lands within Lucerne Valley, South Valley, Antelope Hollow, Green River, and along Henry's Fork.
- Green River project area, which includes agricultural lands located near the town of Green River, Utah.

#### Wyoming:

- Big Sandy River near the towns of Farson and Eden, Wyoming, including agricultural lands served by the Eden Project.
- West Blacks Fork, which includes agricultural lands along the Blacks Fork River upstream of its confluence with the Smith Fork River and near the towns of Fort Bridger, Lyman, and along Henry's Fork in Wyoming.

If the proposed project does not fall within one of these previously studied areas, salt load reduction estimates cannot be provided at this time. However, if an organization has interest in pursuing the piping or lining of off-farm canals and ditches in such areas, please contact the appropriate local Reclamation Technical Contact, located in Section VIII of the FOA, to discuss the possibility of future studies, which could lead to participation in the Salinity Control Program.

## **IV.A.3.** Other Types of Salinity Control (Non-Irrigation)

Applications for other types of salinity control will be accepted for evaluation. All applications for other types of salinity control must obtain salt load reduction estimates from Reclamation prior to submission of the application. See Section IV.A.1 for instructions on submitting the Salt Load Reduction Worksheet.

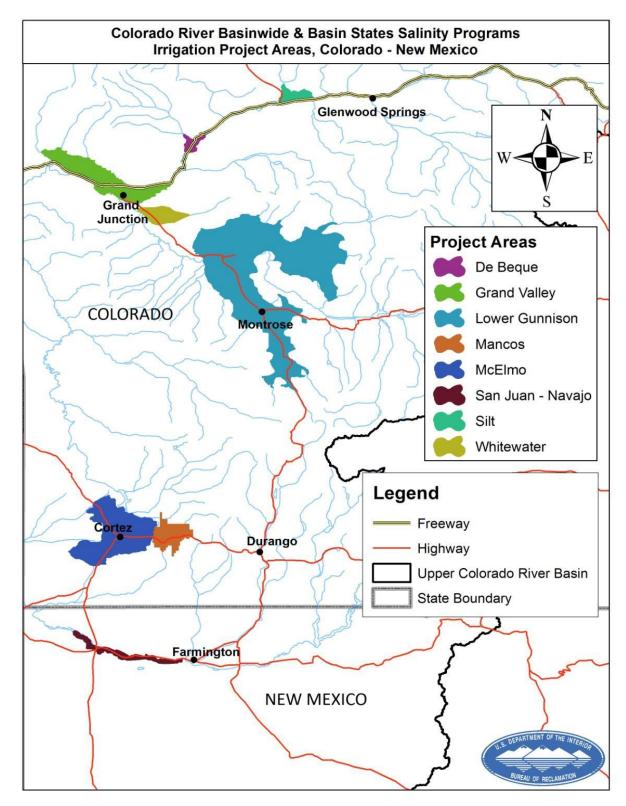


Figure 1. Irrigation project areas, Colorado-New Mexico

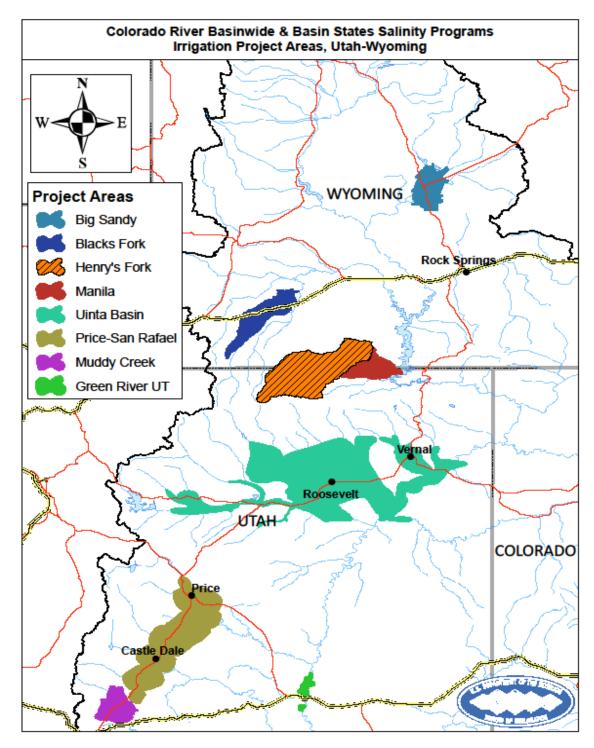


Figure 2. Irrigation project areas, Utah-Wyoming.

## **IV.B.** Application Delivery Instructions

Applications may be submitted electronically through <a href="http://www.grants.gov">http://www.grants.gov</a> or one original and two hard copies and one electronic copy on CD/DVD may be submitted by mail or in person. Under no circumstances will applications received through any other method (such as email or fax) be considered eligible for award.

By mail: Attn: Heidi Hansen, UC-823

Bureau of Reclamation

125 South State Street, Room 8100 Salt Lake City, UT 84138-1147

Express delivery/mail services:

Attn: Heidi Hansen, UC-823 Bureau of Reclamation

125 South State Street, Room 8100 Salt Lake City, UT 84138-1147

Telephone: 801-524-3760

## **IV.B.1.** Application Submission Deadline

## July 17 2015, 3:00 p.m. (MDT)

Applications received after the application deadline will not be considered unless it can be determined that the delay was caused by Federal Government mishandling or by the Grants.gov application system (see Section IV.C.2).

## **IV.C.** Other Submission Requirements

## 1. Applications Submitted Electronically

If the applicant chooses to submit an electronic application it must be submitted through Grants.gov at http://www.grants.gov.

- Please note that submission of an application electronically requires prior registration through Grants.gov, which may take 7-21 days. Please see registration instructions at http://www.grants.gov.
- Applicants have sometimes experienced significant delays when attempting to submit
  applications through Grants.gov. An applicant planning to submit their application
  through Grants.gov, is encouraged to submit their application several days prior to the
  application deadline. If an applicant is properly registered in Grants.gov and encounters
  problems with the Grants.gov application submission process, the applicant must contact

the Grants.gov help desk to obtain a "Case Number". This number will provide evidence of attempting to submit an application prior to the submission deadline.

Regardless of the delivery method used, an applicant must ensure that the application arrives by the date and time deadline stated in Section IV.B.1, above. Late applications will not be accepted unless it is determined that the delay was caused by Federal Government mishandling or by a problem with the Grants.gov application system.

## 2. Applying for Funds Online at Grants.gov

Reclamation is participating in the Grants.gov initiative that provides the grant community with a single website to find and apply for grant funding opportunities. Reclamation encourages applicants to submit their applications for funding electronically through <a href="http://www.grants.gov">http://www.grants.gov</a>. Applicant resource documents and a full set of instructions for registering with Grants.gov and completing and submitting applications are online at <a href="http://www.grants.gov">http://www.grants.gov</a>.

## a. Assistance with Grants.gov

If assistance is needed with Grants.gov, the Contact Center is open 24 hours a day, 7 days a week--Closed on Federal holidays. The Grants.gov Contact Center may be reached by email at <a href="mailto:support@grants.gov">support@grants.gov</a> or by calling 1-800-518-4726.

If the applicant is an individual applying for a grant on their own behalf and not on behalf of a company; academic or research institution; state, local, or tribal Government; not-for-profit; or other type of organization, refer to the Individual Registration <a href="http://www.grants.gov">http://www.grants.gov</a>. An application as an individual in a grant application package designated for organizations will be rejected.

## b. Registering to Use Grants.gov (1-3 Week Process)

The following checklist is provided to give a summary of the steps that are required to register with Grants.gov. This registration process must be completed prior to submitting an electronic application through Grants.gov.

Additionally, see Table 1, Step 2, below for completing the annual SAM renewal process.

**Note:** (The following checklist information is available electronically at <a href="http://www.grants.gov/assets/Organization Steps Complete Registration.pdf">http://www.grants.gov/assets/Organization Steps Complete Registration.pdf</a>). The registration is a onetime process, which is required before representatives of an organization can submit grant application packages electronically through Grants.gov. The registration process can take three (3) to five (5) business days or one (1) to three (3) weeks - depending on the organization and if all steps are met in a timely manner. The checklist in Table 1, provides registration guidance for a company; academic or research institution; state, local, or tribal Government; not-for-profit; or other type of organization.

Table 1. Checklist for Registering an Organization in Grants.gov

Step	Actions to take	Purpose	Time required
1: Obtain Data Universal Number System (DUNS) Number	Has my organization identified its DUNS number?  Ask the grant administrator, chief financial officer, or authorizing official of your organization to identify your DUNS number.  If your organization does not know its DUNS number or needs to register for one, visit Dun & Bradstreet at <a href="http://fedgov.dnb.com/webform/displayHomePage.do">http://fedgov.dnb.com/webform/displayHomePage.do</a> >.	The Federal Government has adopted the use of DUNS numbers to track how Federal grant money is allocated. DUNS numbers identify your organization.	Same Day. DUNS number information will be received online.
2: Register With SAM	Has my organization registered with the SAM?  Ask the grant administrator, chief financial officer, or authorizing official of your organization if your organization has registered with the SAM.  If your organization is not registered, you can apply online by going to http://www.sam.gov. SAM has developed a frequently asked questions site https://www.sam.gov/sam/transcript/SAM_FAQs-June2012.pdf to help you with the process. There is also a quick start guide for Grants Registration located at https://www.sam.gov/sam/transcript/Quick_Guide_for_Grants_Registrations_v1.7.pdf. If AFTER having registered in SAM, you experience any registration problems, you can get help by going to the Federal Service Desk at https://www.fsd.gov.  When your organization registers with SAM, you must designate an E-Business Point of Contact (E-Biz POC). This person will identify a special password called an "M-PIN".  This M-PIN gives the E-Biz POC authority to designate which staff member(s) from your organization are allowed to submit applications electronically through Grants.gov. Staff members from your organization designated to submit applications are called Authorized Organization Representatives (AOR).	Registering with the SAM is required for organizations to use Grants.gov.	If your organization already has an Employer Identification Number (EIN) or Taxpayer Identification Number (TIN), then you should allow one – three business days to complete the entire SAM registration. The EIN and TIN will come from the Internal Revenue Service (IRS).  If your organization does not have an EIN or TIN, then you should allow two weeks for obtaining the information from the IRS when requesting the EIN or TIN via phone or Internet. The additional number of days needed is a result of security information that needs to be mailed to the organization.

\*Note: Your organization needs to renew your SAM registration once a year. You will not be able to move on to Step 3 until you have renewed your SAM registration. This renewal may take up to 5 business days.

3: Username	Have the AORs who officially submit applications on behalf of your	An AOR username and password	Same Day. After the AOR has completed their profile they will be
and Password	organization completed their profile with Grants.gov to create their username and password?  To create a username and password, AORs must complete their profile on Grants.gov. AORs will need to know the DUNS number of the organization for which they will be submitting applications to complete the process.  After your organization registers with the SAM, AORs must wait one business day before they can complete a profile and create their usernames and passwords on Grants.gov.	serves as an "electronic signature" when submitting a Grants.gov application.	prompted to create a username and password that will allow the user t login and check their approval status immediately.
4: AOR Authoriza	Has E-Biz POC approved AORs to submit applications on behalf of the	Only the E-Biz POC can approve	This depends on how long it takes the E-Biz POC to login and
tion	When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organization's E-Biz POC will receive an email notification. The email the AOR submitted in the profile will be the email used when sending the automatic notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.  The E-Biz POC must then login to Grants.gov (using the organization's DUNS number for the username and the "M-PIN" password (obtained in Step 2) and approve the AOR, thereby giving him or her permission to submit applications.  When an E-Biz POC approves an AOR, Grants.gov will send the AOR a confirmation email.	AORs. This allows the organization to authorize specific staff members or consultants/grant writers to submit grants. Only those who have been authorized by the E-Biz POC can submit applications on behalf of the organization.	approve the AOR. Once the approval is completed, the AOR can immediately submit an application.
5: Track AOR Status	What is your AOR status?  AORs can also login to track their AOR status using their username and password (obtained in Step 3) to check if they have been approved by the E-Biz POC.	To verify that the organization's E-Biz POC has approved the AOR.	Logging in to check your AOR status is instantaneous. The approval process to become an AOR depends on how long it take the E-Biz POC to login and approve the AOR.

NOTE: Some applicants have experienced difficulties when attempting to submit their applications electronically through Grants.gov. If you encounter problems with the Grants.gov application submission process, you must contact the Grants.gov Help Desk (1-800-518-4726 or support@grants.gov) to obtain a "Case Number." This will provide evidence of your attempt to submit an application prior to the submission deadline.

## 3. Applications Submitted by Mail or in Person

Applicants shall submit an original, two hard copies, and one electronic copy via CD/DVD of all application documents. Each document should be clearly identified as "ORIGINAL" or "COPY".

Please only staple or binder clip documents submitted.

- Hard copy applications may be submitted by mail or express methods to the addresses listed in Section IV.B, above.
- Materials arriving separately will not be included in the application package and may result in the application being rejected or not funded. This does not apply to letters of support, funding commitment letters, and official resolutions.
- Faxed and emailed copies of application documents will not be accepted.
- Do not include company literature/brochure with the application. All pertinent information must be included in the application package.

## IV.D. Content and Form of Application Submission

Each applicant shall submit an application in accordance with the instructions contained in this section.

## IV.D.1. Application Format and Length

The Project Application section shall be limited to a maximum of twenty (20) pages excluding appendices. The SF-424 forms are not considered in the total page count.

Applications will be prescreened for compliance to the page number limitations.

## IV.D.2. **Application Content**

The application must include the following elements in order to be considered complete:

- SF-424 Core For Application cover page
- SF-424 B or D Form, as applicable to the project
- Signature Letters
  - o Applicant Review Signature (required)
- Project Application (limited to twenty [20] pages excluding appendices) to include (all are required):
  - o Title page
  - o Table of contents
  - o Part I Project summary

- o Part II Project proposed for funding
- o Part III Project costs and funding plan
- O Appendices A through F as applicable to the project
- Letters of project support (if applicable)
- Official resolution (to be provided by the Applicant) (required)

## IV.D.2.a. SF-424 Application Cover Page

This fully completed form must be signed by a person legally authorized to commit the applicant to performance of the project. **Failure to submit a properly signed SF-424 may result in the elimination of the application from further consideration.** SF-424, SF-424A, SF-424B, SF-424C, and SF-424D forms may be obtained at <a href="http://apply07.grants.gov/apply/FormLinks?family=15">http://apply07.grants.gov/apply/FormLinks?family=15</a>

### IV.D.2.b. SF-424 Assurances

An SF-424B – Assurances – Non-Construction Programs or an SF-424D – Assurances – Construction Programs, signed by a person legally authorized to commit the applicant to performance of the project shall be included. Questions regarding whether to use SF-424B or SF-424D should be referred to Ms. Heidi Hansen at: <a href="mailto:heidihansen@usbr.gov">heidihansen@usbr.gov</a>. Failure to submit a properly signed SF-424B or SF-424D may result in the elimination of the application from further consideration.

SF-424, SF-424A, SF-424B, SF-424C, and SF-424D forms may be obtained at <a href="http://apply07.grants.gov/apply/FormLinks?family=15">http://apply07.grants.gov/apply/FormLinks?family=15</a>

## IV.D.2.c. Signature Letters

## Applicant Review Signature Page

This is <u>required</u> to be signed by the person designated to represent the company, district, ditch or irrigation company, i.e., president or chairman. This signed page indicates that the person representing the applicant has reviewed the application that has been prepared and concurs that it meets the needs and objectives of their company, district, ditch, or irrigation company.

### Basin State Salinity Coordinator Concurrence Letter

It is encouraged that each application be reviewed and a Concurrence Letter signed by the individual Basin State Salinity Coordinator from which the application is being submitted. The name of the appropriate Basin State Salinity Coordinator can be found in Section VIII. This letter may give the application additional consideration if it is done correctly.

For an application under 1000 tons of salt controlled it is highly recommended that the applicant meet with the Basin States Salinity Coordinator.

For any entity or individual that does not have a DUNS number, it is required to have a signed Concurrence Letter from the individual Basin States Salinity Coordinator.

Concurrence Letters from Basin States Salinity Coordinators must be signed by July 1, 2015.

## State Representative Signature Letter

It is highly recommended, yet not required, for each applicant to have their Project Application reviewed by and to obtain a letter from their state Representative. Reclamation recommends this letter to help the applicant reduce Project Risk.

**Step 1:** Prior to June 1, it is encouraged that the project sponsor and project engineer have a preliminary consultation with the State Representative (see Section VIII) to discuss project formulation.

**Step 2:** Prior to July 1, the project sponsor and the project engineer should have a preliminary engineering design and cost estimates plan submitted to the State Representative (see Section VIII) who will make a courtesy review within seven (7) to fourteen (14) days; and then sign the State Representative Review letter for Reclamation.

These signature letters can be found at <a href="http://www.usbr.gov/uc/progact/salinity/">http://www.usbr.gov/uc/progact/salinity/</a>.

## IV.D.2.d. **Project Application**

Project Application must be prepared using the required electronic template provided by Reclamation. The application template is a Microsoft® Word document that can be downloaded from the Reclamation Salinity Control Program webpage: http://www.usbr.gov/uc/progact/salinity/index.html.

Applicants must provide all information as requested in the Project Application template. Responses must be entered in the space provided with the exception of maps, tables, and other information, which should be provided in the appropriate appendix per instructions. Where information is not applicable please enter "NA" as the response. The following describes the content of the Project Application and includes instructions for completing the application.

## Title Page

Provide the project name, project location, name of the applicant, and date the application was prepared on the title page included in the required electronic template.

#### **Table of Contents**

The contents of the Project Application shall be provided in the order listed in the table of contents. Where an appendix is not applicable to a project make the appropriate annotation to the table of contents.

## **Part I – Project Summary**

Applicant/Entity Name

Provide the name and location of the applicant or entity who is submitting the application for the 2015 FOA.

Application Name

Provide the name of the application or project which is being submitted.

## Application Prepared By

Provide the information, including the name of the individual(s) or consultant who prepared the Project Application.

## Funding Request Summary

In the table provided, enter the funding amount requested from the Basinwide Program or BSP. List other funding sources (Federal and non-Federal) and amounts and the total project funding.

## Abbreviated Project Summary

Provide a concise summary of the proposed Salinity Control Project. If the project is irrigation related provide names and lengths of canals and laterals to be improved by lining or piping.

### Estimated Salt Load Reduction

Provide the estimated salt load reduction, in tons per year. This estimate is provided to the applicant by letter from Reclamation. In order to obtain a salt load reduction estimate from Reclamation the Salt Load Reduction Worksheet must be submitted to the Program Manager with a copy to the appropriate Reclamation Technical Contact. For each Salinity Control Project, applicants will be allowed no more than two submissions of the Salt Load Reduction Worksheet. Applicants should be aware that submittals may require 30 or more calendar days to process. Applicants are encouraged to submit the Salt Load Reduction Worksheet as early as possible following the release of the FOA, especially if the applicant anticipates submitting a revised version of the Salt Load Reduction Worksheet. Final submissions of the Salt Load Reduction Worksheets must be received by Reclamation no later than June 10, 2015.

## Estimated Cost Effectiveness Value

Provide the estimated cost effectiveness value as calculated per instructions in Part III – Project Costs & Funding Plan of the Project Application.

### Contracting Entity Manager Contact Information

Provide contact information for the entity's manager, who has the authorization within the organization to manage the project.

## Project Manager Contact Information

Provide the contact information of the Project Manager if different than the Contracting Entity Manager.

## *Memorandum of Agreement (MOA)*

Provide a copy of a fully executed MOA if two or more entities are combining to submit an application. MOA must indicate who the point of contact is and that it will be in effect for the life of the project (50 years).

### Acknowledgement of FOA Amendments

Applicants shall acknowledge receipt of any amendment to the FOA by identifying the amendment number and date.

## Part II – Project Proposed for Funding

## Background and Description of Project Area

Describe project setting and geographic location. For irrigation-related applications, include general hydrology, geology, soils, climate (average rainfall, temperature, and growing season), water storage facilities, existing irrigation facilities (total mileage of canals and laterals and number of users), irrigated acreage, types of crops, etc. All of the above items must be included.

## **Project Maps**

Attach, as Appendix A of the Project Application, detailed maps showing existing facilities and proposed improvements. Printed maps shall be no larger than 11 x 17 inches.

Map(s) of existing facilities shall be scaled appropriately to easily identify the project area, existing facilities, and major geographic features including roads, streams, reservoirs, towns, etc. If the proposed project is irrigation related, the map should show locations of canals, laterals, and irrigated lands. Those canals or laterals proposed for improvement or abandonment under this application should be clearly identified.

Map(s) of proposed improvements shall be scaled appropriately which clearly identifies improvements that would be constructed under this application. Any additional maps, such as those with an aerial photo background, can also be included to better help identify project location. If irrigation related, display proposed pipeline alignments and/or canal segments to be lined, along with locations of previously lined or piped sections. Indicate in the color blue, the portion of the delivery system facilities to be funded in whole or part by Reclamation and, in the color red, any portion to be funded by other sources.

#### *Water Rights and Supply*

Describe the water rights for both diversion and storage. Describe irrigation water supply and water shortages, and whether water supply fluctuates greatly or is consistent from day to day. Include average total acre-feet diversion per year. Provide method of water allocation such as splitter box delivery or water flow per acre.

Detailed Description of Proposed Project – Irrigation Delivery Systems

Describe the specific existing facilities (canals, laterals, ditches) that are to be improved or replaced. Details should include name of the canal, lateral, or ditch, and existing lengths and flow capacities, which should be displayed in Appendix B.

For irrigation related projects, identify the canal system or individual canals and laterals and describe in detail (lining method, pipe material, pipe sizes, lengths, etc.) the proposed lining or piping of those facilities. If the proposed project requires acquisition of water or water rights, describe the acquisition plan and required contracts. Describe plans for abandoning any facilities.

Detailed Description of Proposed Project – Other Types of Salinity Control
For desalinization, evaporation, or other salinity control measures, clearly identify the salinity sources and quantify the salt (in tons/year) that will be controlled or eliminated. Include data that defines the salt loading and control in tabular format in Appendix C.

Detailed Description of Proposed Project – New Water Impoundment Structures

If new ponds, reservoirs, settling basins, or other water impoundment structures are to be constructed or existing structures enlarged for any purpose (e.g., re-regulation, evaporation, etc.) as part of this application, address the requirements listed for the Salt Load Reduction Worksheet submission in FOA Section IV.A.1. If the size of a proposed or existing water impoundment structure increases later a new salt load calculation will be developed and funding may be reduced and/or the application ranking may change.

Detailed Description of Proposed Project – Description of On-Farm Opportunities

If new irrigation pipelines will provide sufficient water pressure and volume to promote new high efficiency irrigation improvements (sprinklers) on individual farm properties, complete the Enable On-Farm Worksheet, and submit required mapping in accordance with FOA Section IV.E.2. Summarize the number of eligible deliveries and "Claimable Acres" for each canal, lateral, or ditch. Additionally, identify the percentage of landowners that have demonstrated their intent by signing the page 2 table of the Enable On-Farm Worksheet and list the total acreage represented by those landowners.

If the proposed salt control features will materially enhance and promote high-efficiency irrigation application system improvements on individual farm properties, complete the Enable On-Farm Worksheet, and submit required mapping in accordance with Section IV.E.2. Such features might include providing water and pressure of such quality, quantity, and reliability to operate above-ground and buried drip tape or tubing, micro-spray, fixed or moveable sprinklers or to meet the conditions required for precision-leveled, border-irrigated fields and/or surge-irrigated fields.

## NEPA Compliance

Describe existing environmental compliance documents for the project area and new environmental documents [e.g., environmental assessments (EA)] required to implement the proposed project. Identify responsible parties and estimated costs.

## Other Benefits

Describe any additional environmental benefits of the proposed project including selenium-loading reduction.

## Endangered Species Concerns

Identify any known endangered or threatened species in the project area and assess the possibilities they may be affected by activities associated with the proposed project.

#### Cultural Resources

Identify any known archaeological sites in the area of the proposed project and assess the possibilities they may be affected by activities associated with the proposed project.

## Habitat Replacement Plan

If known, describe wetlands that may be affected by the proposed project and whether they have been previously inventoried. Identify existing Habitat Replacement Plans or new evaluations and analysis needed to develop a plan. Identify costs for studies and implementation of the plan. Justification must be provided if estimated costs are less than 5 percent of the Total Construction Cost. See FOA Section IV.E.4 for further information.

## Operation, Maintenance (O&M) and Management Plan

Describe the proposed O&M and management plan that will assure the project achieves the proposed salinity control over the project life. If the proposed project is an industrial process or an irrigation related project that relies extensively on water management to achieve benefits, a detailed description of the plan and funding source should be included. O&M of water impoundment structures should be described as specified in the FOA Section IV.E.1.b. Provide current yearly budget and personnel flowchart/description along with any anticipated changes for the proposed project.

## Experience Implementing Projects

Identify past Salinity Control Projects or projects of similar nature completed or underway by the applicant's organization (entity and consultant); include construction dates, brief description, and status.

### Part III – Project Costs and Funding Plan

### Detailed Cost Estimate

Using the table in Appendix E of application, provide a detailed cost estimate for materials and construction. The Habitat Replacement Plan, Design, NEPA and other similar costs must be shown as direct costs. Indirect costs, such as overhead, may be included in the cost estimate as well. Costs should be broken into major categories, e.g., land acquisition, excavation, embankment, liner materials/installation, liner cover, underdrains, structures, deliveries, each pipe size, road crossings, fittings, mechanical equipment, electrical items, fencing, seeding, canal/lateral obliteration, etc. All quantities, materials, sizes, etc., must agree with descriptions provided in other sections of the Project Application.

## Funding Plan

Describe the funding plan for construction and O&M of the project. If funding from sources other than the Basinwide Program or BSP is anticipated, the funding partner should be identified and a letter of commitment attached. Proposed in-kind contributions should be identified.

### Cost Effectiveness – Estimated Project Life

State the estimated life of project components. A minimum of fifty (50) years is required for all irrigation related components.

#### Cost Effectiveness – Total and Amortized Reclamation Costs

In the table provided, enter the total and amortized Basinwide Program or BSP costs. The amortized cost can be determined by applying the amortization factor to the Basinwide or BSP costs. The amortization factor is based on the FY 2015 Federal planning interest rate of 3.375 percent and a project life of 50 years.

#### Cost Effectiveness – Estimate of Salt Load Reduction

Enter the salt load reduction estimate in the appropriate space provided. Include, as Appendix F, the written response from Reclamation providing the salt load reduction estimate.

#### Cost Effectiveness – Value

Enter the cost effectiveness value in dollar per ton per year by dividing the amortized Basinwide Program or BSP cost by the total annual salt load reduction estimate.

#### Construction and Funding Schedule

Include a detailed schedule (Gantt chart) displaying anticipated major work items similar to the detailed cost estimate along with the major NEPA milestones. Also include funding requirements (including other funding and in-kind services) on a Federal FY basis (October 1 – September 30) for each year of the project. No more than \$2 million allowed per year.

#### **Appendix A: Project Maps**

Attach project maps as instructed in Part II of the Project Application. Printed maps shall be no larger than 11 x 17 inches.

#### **Appendix B: Existing Irrigation Delivery Facilities Data Sheet**

Using the table provided, enter the requested information about existing irrigation delivery systems.

# Appendix C: Supplemental Data Tables and/or Data for Other Types of Salinity Control Provide tables for supplemental data or for non-irrigation related Salinity Control Projects.

#### **Appendix D: Estimate of Enable On-Farm Acreage**

Include the completed Enable On-Farm Worksheet. The Enable On-Farm Worksheet can be downloaded from Reclamation's salinity control website: <a href="http://www.usbr.gov/uc/progact/salinity">http://www.usbr.gov/uc/progact/salinity</a>.

### **Appendix E: Detailed Cost Estimate**

Using the table provided, enter the requested information in detail. All entries must precisely match the quantities and descriptions provided in the Project Application. Costs must be included for NEPA and cultural resource compliance and for habitat replacement.

#### **Appendix F: Salt Load Reduction Estimate(s)**

Include the response letter from Reclamation providing the salt load reduction estimate.

# **IV.E.** Additional Instruction for Application Content

# IV.E.1. Design Standards and Other Considerations for Irrigation-Related Projects

The following considerations should be reflected in the design, cost estimate, and schedule for the proposed project:

- At a minimum all projects must meet NRCS construction standards (see below).
- Improvements to Reclamation-owned projects will require Reclamation review and approval of designs prior to construction. Reclamation will also require compliance with policies regarding rights-of-way, O&M, and ownership of facilities.
- Improvements to other federally-owned irrigation facilities may have special requirements. The applicant should contact the appropriate agency prior to submission of the application.
- Canal lining projects must meet the minimum design and construction criteria outlined in FOA Section IV.E.1.a.
- For pipeline projects or other projects which replace delivery system facilities, all facilities (i.e., earthen canals and laterals, diversion structures, etc.) being replaced, shall be rendered unusable and incapable of delivering or retaining water by completely filling in the canals and laterals with earth materials. This is to assure that the proposed salt load reduction occurs. Associated costs for rendering facilities unusable and incapable of delivering or retaining water shall be included in the Detailed Cost Estimate of the Project Application.

Projects which propose construction of new or modifications to existing water impoundment structures must meet the Salinity Control Program's design and construction standards for water impoundment structures, which can be obtained by contacting the local Reclamation Technical Contact listed in FOA Section VIII. For more information regarding water impoundment structures see FOA Section IV.E.1.b.

#### To access NRCS Practice Standards and Specifications:

Visit the NRCS website for the electronic Field Office Technical Guide (FOTG) at the following web address: <a href="http://efotg.sc.egov.usda.gov/efotg\_locator.aspx">http://efotg.sc.egov.usda.gov/efotg\_locator.aspx</a>.

- From the map of the United States, select the state where the project will be constructed.
- From the map of the state, select the county where the project will be constructed.
- Under the heading, FOTG, select "Section IV".
- Under Section IV, select the folder variously labeled "Practice Standards and Specifications" or "Conservation Practices". Within this folder can be found the criteria for each type of conservation practice such as "Irrigation Pipeline" or "Irrigation Water Conveyance".

Standards and Specifications for materials, design, and construction are available and unique to each state. There may be criteria specific to a county.

Generally, the practices "Irrigation Water Conveyance, Irrigation Pipeline, Pond, and Pond Sealing" will cover nearly all practices that will be encountered. However, for projects which propose canal linings or new water impoundment structures refer to FOA Sections IV.E.1.a and IV.E.1.b respectively for required standards and additional guidance.

For further information or clarification on projects, contact:

Colorado Mr. John Andrews 720-544-2834 john.andrews@co.usda.gov

<u>Utah</u>
Mr. Brent Draper
801-524-4582
brent.draper@ut.usda.gov

Wyoming
Mr. Chuck Schmitt
307-233-6748
chuck.schmitt@wy.usda.gov

#### IV.E.1.a. Canal Lining Minimum Construction Criteria

#### General

The following criteria are minimum standards for canal linings with a 50 year design life that will be included in the FOA. Any canal lining projects to be constructed using full or partial Salinity Funding must meet or exceed the standards presented below. In addition, the final design and specifications for a 50 year design life must be designed and stamped by a registered professional engineer in the state of the project.

#### **Specific Reclamation Requirements**

The maximum design seepage rate for the canal shall not exceed 0.25 inches per day. The liner shall be designed so as to not exceed that amount throughout the 50 year life of the project. Geomembrane linings with either a concrete/shotcrete cover material or sand and gravel cover material shall be the only design accepted that will meet the 50 year design life.

#### **Covered Geomembrane Lining Systems**

Acceptable geomembranes consist of polyvinyl chloride (PVC), polypropylene, ethylene, low-density polyethylene (LDPE), or high-density polyethylene (HDPE) and shall have a minimum thickness of 30 mil. Non-woven geotextile with a minimum weight of 10 oz. shall be placed on both sides of the geomembrane to provide protection from both the sub-grade and cover material. The cover material shall be either concrete/shotcrete or sand and gravel.

Groundwater shall be permanently controlled in order to prevent floating of the liner system with a designed drain system. Sub-grade shall be prepared in order to provide firm compacted foundation for the liner; densities shall be the greater of 85 percent proctor density or the densities of the surrounding soil as approved by a registered engineer. Sub-grade shall be free of organics and sharp objects/rocks.

Geomembrane liner system must be anchored with a minimum horizontal lip of 2 feet that is keyed in underneath the O&M road or embankment, as recommended by the designer and manufacturer. All geomembrane liners must be field seamed. Construction and seaming of liners must be performed by an experienced installer with a minimum of 5 years of seaming experience. Geomembranes must be adequately protected during placement to avoid puncture on installation.

When sand and gravel cover is used, it shall be 1.5 feet thick minimum with consideration given to adequate cover if heavy maintenance activities are anticipated. The sand and gravel cover shall consist of material with a maximum particle size of 6 inches and no more than 15 percent fines with a gradation adequate to withstand canal velocities and wave action. The minimum side slope shall be 2.5:1 or as approved by a registered engineer and the stability of the cover material must be analyzed in final design by a registered engineer.

Concrete and Shotcrete shall be considered synonymous except as noted otherwise. When concrete cover material is used, it shall have a minimum thickness of 3 inches with a minimum compressive strength of 3,000 pounds per square inch (psi). The minimum side slope shall be

1.5:1. Synthetic reinforcement, such as Fibermesh, shall be utilized with shotcrete and not concrete.

#### **Construction Quality Assurance**

A quality control program should be developed. The quality control testing must be performed by an independent, (from the contractor) third-party materials testing firm. Additionally, Reclamation reserves the right to utilize its material laboratory and personnel to perform supplemental quality control testing. Soil compaction control guidelines can be found in Reclamation's Earth Manual (available at <a href="http://www.usbr.gov/pmts/writing/earth/index.html">http://www.usbr.gov/pmts/writing/earth/index.html</a>). All testing to support the application shall be performed by accredited laboratories using industry standard methods such as test procedures provided by the American Society for Testing and Materials. Test methods that are used should be cited correctly in the application.

For geomembrane quality control testing, consult Reclamation guide specification 02344 and/or comply with the manufacturer's recommendations for information on seam testing and other aspects of field quality control.

#### IV.E.1.b. Water Impoundment Structures

This section contains special provisions for applications involving new construction or enlargement of existing water impoundment structures.

It is allowable to include the construction of a new pond or reservoir in a salinity control application if that structure is needed for the operation of a piped irrigation water delivery system or for other essential purposes. Justification for the pond or reservoir must be provided in the application. To be acceptable the design and construction must meet standards developed by Reclamation. The standards are aimed at providing a liner sufficient to last for the life of the entire project (50 years if coupled with buried pipelines or canal lining). Applicants contemplating a new pond or reservoir can obtain these standards from the appropriate Reclamation Technical Contact listed in FOA Section IV. A successful applicant's funding agreement will require a complete Reclamation review of the proposed design, specifications, and construction.

Additional seepage will likely occur from the new pond or reservoir and must be accounted for in the application's overall salt load reduction estimate. This seepage must be identified and multiplied by the appropriate local salt loading rate to estimate new salt loading, which will then be deducted from the application's total salt load reduction estimate. Reclamation will provide an estimate for this deduction based on information supplied by the applicant.

In order to be responsive to the FOA, the applicant must:

- In the Salt Load Reduction Worksheet, Background Information, Part D.3:
  - Provide justification for a new pond or reservoir to be constructed with Salinity Funding.

- O Identify the anticipated depth and both the maximum surface area and wetted (subject to seepage) area of the pond or reservoir.
- O Identify the average number of days per year the pond/reservoir will store water and whether the remaining contents will be evacuated during the non-irrigation season.
- In the Project Application:
  - O Agree to meet the design and construction standards for water impoundment structures.
  - O In Part II D.3 of the Project Application, discuss the preliminary design, specifications, and construction plans for the pond/reservoir and liner, including the following:
    - Type and thickness of the liner.
    - Average seepage rate expected over the project life.
    - Construction methods.
    - Procedures for testing and documentation to insure that the liner will be constructed according to specifications.
- In Part II G of the Project Application, describe how O&M will be performed in a manner to prevent damage to the liner. This includes, but is not limited to, excluding animals and equipment from the treated area, protection of the liner during initial filling, agitation, or pumping operations, and repair of disturbed or eroded areas. The need for sediment removal and how it will be accomplished should be specifically discussed.
- In the detailed cost estimate table (Appendix E of the Project Application) list all quantities and costs for materials and installation in order to meet the standards. Costs should be broken into major categories, e.g., land acquisition, excavation, embankment, liner materials/installation, liner cover, underdrains, structures, deliveries, each pipe size, road crossings, fittings, mechanical items, electrical, fencing, seeding, canal/lateral obliteration, etc.

#### IV.E.2. Enable On-Farm Salinity Control Features to be Constructed

Improvements to irrigation delivery systems may enable the construction of on-farm salinity control features and result in additional salinity control benefits. On-Farm salinity features are considered enabled if the acreage meets the following basic requirements:

• Have been irrigated two (2) of the last five (5) years (2010-2015).

- Have no irrigation improvements beyond land leveling (i.e., sprinklers, drip facilities, etc.).
- Be provided with a dynamic working pressure of 35 psi or greater.
  - O Where working pressure generated by the pipeline is insufficient booster pumps may be added. Capital costs for pumps and electrical connections would be part of the Reclamation funded project and must be displayed as project costs in Appendix E of the Project Application.
- For high efficiency surface irrigation systems; must provide sufficient quantity, quality (low sediment etc.) and timeliness to service precision leveled border-diked fields, buried or above ground drip, surge, or other application systems providing at least 50 percent application efficiency.

Applicants desiring to demonstrate that the off-farm delivery system improvements will enable on-farm salinity control features to be constructed must do the following:

- 1. Complete Enable On-Farm Worksheet for each canal, lateral, or ditch. The Enable On-Farm Worksheet is a Microsoft® Excel spreadsheet file which can be downloaded from the website at <a href="http://www.usbr.gov/uc/progact/salinity">http://www.usbr.gov/uc/progact/salinity</a>. Instructions for completing the Enable On-Farm Worksheet are contained in the spreadsheet file. Include the completed tables as Appendix D of the Project Application and submit the completed Enable On-Farm Worksheet electronically. The Enable On-Farm Worksheet requests the following information:
  - a. Provide evidence that claimed acreage meets the basic requirements by completing Page 1 of the Enable On-Farm Worksheet.
  - b. Provide evidence that on-farm improvements will be pursued by individual landowners by completing page 2 of the Enable On-Farm Worksheet. Include the signatures of those landowners willing to indicate their intention to install higherficiency irrigation systems when sufficient volume and pressure are available. High efficiency systems include pivot or side-roll sprinklers, drip irrigation, and micro spray systems.
- 2. Submit mapping (with aerial photo background) that:
  - a. Identifies the eligible acreage to be provided with 35 psi working pressure and displays number of acres for each field.
  - b. Identifies each delivery location and includes the elevation of that delivery with background topography (contour lines) for easy verification.

#### **IV.E.3.** Other Types of Salinity Control

#### IV.E.3.a. Estimated Salt Load Reduction Non-Irrigation

The Applicant should contact the appropriate Reclamation Technical Contact (See FOA Section IV), prior to preparing the responses for the Project Application. The **SALT LOAD REDUCTION WORKSHEET(S)** should be submitted as soon as possible to the Salinity Program Manager with a copy to the appropriate Technical Contact. The Salt Load Reduction Worksheet must be received by the Salinity Program Manager no later than **June 10, 2015**. Reclamation will process requests on a first-come first-served basis and work with applicants to develop salt load reduction estimates. For more information on submitting the Salt Load Reduction Worksheet see FOA Section IV.A.1.

## IV.E.4. Wildlife Habitat Replacement

# IV.E.4.a. Irrigation Delivery System Improvements & Other Types of Salinity Control (Non-Irrigation Related)

The Salinity Control Act, Section 202(a)(6), provides for the replacement of incidental fish and wildlife values that are lost as a result of measures and associated works to reduce salinity.

The following are minimum requirements for habitat replacement for Salinity Control Projects:

- There shall be no net loss of habitat function. This is to say that acreage amounts don't need to be the same, but that there is no net loss in total value to wildlife.
- A reasonable assurance must be provided that the replacement habitat features will survive and function (e.g., with an assured water supply) for the life of the project. The replacement lands must be protected through acquisition, easement, or through public ownership and long-term management and monitoring must be provided.
- Long-term active management must be included to assure that exotic plant species will not reduce the function of the site as wildlife habitat.
- Habitat replacement should be implemented in advance of project (for example, pipeline) construction or otherwise, must occur concurrently.
- The estimated cost of the habitat replacement will be included in the cost effectiveness computation and included as a cost risk factor. Unless justification is provided in the application for a different value, the applicant should include a wildlife habitat replacement cost of 5 percent of the total construction costs.

The process to identify habitat replacement requirements will involve ascertaining the existing quality of the habitat to be lost and the existing quality of habitat in a potential replacement area using a standardized habitat assessment approach approved by Reclamation. This approach will examine various components of both the project area and proposed replacement habitat(s) to

identify a value of those lands to wildlife and assign a Habitat Value Score (HVS). The total wildlife habitat value is based on the following formula:

Area (acres) of impacted habitat x Habitat Quality Score (HQS) of the impacted habitat = Total Habitat Value (THV) Lost (or Total Habitat Units lost)

Area x HQS = THV

The THV of the lands proposed to be replaced is determined by the same method. Then improvements are planned for replacement lands; the improvement (acres improved X increase in existing HQS) must equal or exceed the THV lost. Thus there will be no net loss of habitat value. The acreage of project impacts and replacement lands will likely be different, varying with the HQS and improvement potential of the replacement lands.

#### Example:

Five miles of a lateral are to be placed in pipe. There are 5 acres of wetlands/riparian (including open water habitat) vegetation supported by seepage from the lateral. It is predicted that these 5 acres will be lost when the lateral is placed in pipe.

The HQS of the 5 acres is then determined. In this example, the HQS is 3. Therefore, the THV or Habitat Units lost will be 5 acres x = 15.

Replacement lands are identified. These lands will have to have the THV improved by 15 in order to have no net loss of value. In this example the replacement area is 5 acres and has a HVS of 4. Therefore the THV of the replacement lands is 20. This needs to be increased to 35. Improvements need to be made to the replacement lands to increase the per-acre HQS to 7 for an improvement of 15. This improvement will result in no net loss of habitat value from the project.

If jurisdictional wetlands are present within the proposed project area, Reclamation will coordinate with the Corps of Engineers to coordinate habitat replacement requirements.

#### **HQS**

A protocol has been designed to accurately and effectively assess the HQS of a specified area in a timely and cost effective manner. Eleven criteria have been developed to examine aspects of habitat that are essential for wildlife. The first criterion, riparian, or wetland habitat type must have a 'yes' answer in order to proceed to further evaluation. Each of the remaining ten criteria should then be scored as to what is appropriate or expected for the specific habitat type being evaluated, and some may need to be adapted to fit the specific project area. Evaluators should have an understanding of the ecological community they are evaluating.

# **Section V. Application Review Information**

### V.A. Review and Selection Process

Reclamation reserves the right to reject any application that does not meet the requirements of this FOA or that are outside the scope of the Salinity Control Program. Awards will be made for projects most advantageous to Reclamation. The evaluation process will be comprised of the following subsections:

### V.A.1. Initial Screening

All applications will be screened to ensure that:

- The application meets the requirements of the FOA package, including submission of project and budget, a funding plan, letter(s) of commitment, and related forms.
- The application contains a properly executed SF-424 Application for Financial Assistance and a form SF-424B, Assurances Non-Construction Programs, or SF-424D, Assurances Construction Programs.
- Applicants are registered in SAM with legal DUNS number.
- The Applicant Signature Form has been signed concurring that they have reviewed and approved of the project.
- The application includes an official resolution, adopted by the applicant's board of directors, governing body, or appropriate authorized official.
- Applications with a MOA must include a fully executed copy.
- Funding from sources outside the applicant's organization, e.g., loans or state grants, are secured and available to the applicant prior to award. Reclamation may approve an award prior to an applicant securing cost-share funds if Reclamation determines that there is sufficient evidence and likelihood that the non-Salinity Funding will be available to the applicant by the start of the project.
- The applicant meets the eligibility requirements stated in FOA Section III.A.
- The application meets the description of eligible projects in FOA Section III.B and is within the scope of the Salinity Control Program.
- The project can be completed within four (4) years of project award date.
- The project does not require reimbursement from Reclamation of annual O&M expenses.

 Applications from entities without a DUNS number MUST have a Concurrence Letter signed by the individual Basin State Salinity Coordinator, from which the application is being submitted. Applicants without a DUNS number will only be eligible to compete for the BSP funding. The name of the appropriate Basin State Salinity Coordinator can be found in FOA Section VIII.

Reclamation reserves the right to remove an application from funding consideration if it does not pass all Initial Screening criteria listed above.

#### V.A.2. **ARC Review**

Applications will be evaluated and ranked by an ARC using the Evaluation Criteria described in Section V.B. The ARC will then recommend to the Program Manager applications to be considered for award. The Program Manager then provides recommendations to the Grants Officer (GO) for award. Applications ultimately selected for award will be determined by the GO.

The ARC will also review the BSP applications according to the state in which they are located. Projects selected by the ARC for award under the BSP will be given to the Colorado State Soil Conservation Board, Utah Department of Agriculture and Food, or the Wyoming Water Development Commission Office for agreement execution. Any BSP awards given in the state of New Mexico will be executed by Reclamation.

#### V.B. Evaluation Criteria

Applications will be evaluated individually according to the following criteria, listed in descending order of importance. Each application will be rated as High, Medium or Low for each of the Evaluation Criteria.

The relative importance of the Evaluation Criteria is as follows: Cost Effectiveness is the prime criteria. Enable On-Farm Salinity Control Features is more important than Project Risk. Project Risk is more important than Past Performance. Enable On-Farm, Project Risk, and Past Performance combined equal Cost Effectiveness. Project Risk and Past Performance combined equal Enable On-Farm. The Project Risk criteria are listed in descending order of importance. Area of Salt Load Reduction Estimate and O&M and Management combined equal Capability to Implement.

- 1. Cost Effectiveness
- 2. Enable On-Farm Salinity Control Features
- 3. Project Risk
  - a. Detailed Project Plan and Costs
  - b. Capability to Implement
  - c. Area of Salt Load Reduction Estimate
  - d. O&M and Management
- 4. Past Performance

#### ADDITIONAL CONSIDERATION

The following items may improve the ranking of the application.

#### Master Plan –

Applicants may submit a "Master Plan" that includes two or more irrigation systems, phases or projects, that may not all be constructed in this FOA, but have potential to compete in future FOA's. This may create additional benefits, such as, increased efficiency or better cost effectiveness. Reclamation encourages this type of overall present and future project planning.

State Representative Signature Letter –

• State Representative Part 1 completed by June 1, 2015 and State Representative Part 2 completed by July 1, 2015 and signed by State Representative.

Basin States Salinity Coordinator Concurrence Letter — Completed by July 1, 2015 and signed by Basin States Salinity Coordinator.

#### THE EVALUATION CRITERIA ARE DESCRIBED IN DETAIL BELOW.

#### V.B.1. Cost effectiveness

The Salinity Control Act directs that cost effectiveness be the prime criteria for ranking and selecting projects for funding. Cost effectiveness is defined as the amortized Basinwide Program or BSP funding amount divided by the tons of salt controlled per year.

### V.B.2. Enable On-farm Salinity Control Features to be Constructed

Applications that demonstrate off-farm delivery system improvements that will provide the necessary conditions to allow high-efficiency on-farm applications to be installed will be eligible for rating under these criteria. Such improvements may include (1) delivery of a sufficient volume of water at a dynamic working pressure of 35 psi to the edge of the field, (2) delivery of sufficient volume of water at the schedule required by the irrigators to service high-efficiency, precision-leveled border or precision-leveled surge irrigation systems, (3) delivery of sufficient volume and quality of water to meet the needs of drip and buried drip irrigation systems. Application ratings will be improved based on evidence of the probability that on-farm improvements will be pursued by individual water users. This evidence is demonstrated by completion of the Enable On-Farm Worksheet including signatures of intent from individual landowners.

#### V.B.3. **Project Risk**

In the Report to Congress prepared by Reclamation as required by P.L. 104-20 that created the Basinwide Salinity Control Program, it is stated that risk factors that might affect the project's performance would be considered in the ranking of applications. The following criteria addresses risks that could affect the project's performance to control the salt claimed.

#### V.B.3.a. Detailed Project Plan and Costs

Applications that provide detailed project plans, cost estimates, and, if applicable, have adequate water rights will reduce risk to Reclamation. Detailed project plans could include any of the following: preliminary hydraulic analysis, geologic investigations, initial design drawings, preliminary work on habitat mitigation, initial NEPA scoping, and right-of-way acquisition. Costs and other figures described in the Project Application must precisely match the quantities and cost estimates in Appendix E of the Project Application. Inconsistencies in a Project Application may result in a decision by the ARC to not recommend the application for award.

#### V.B.3.b. Capability to Implement Project and Meet Project Schedule

Applications that adequately demonstrate the capability to implement the project for the proposed cost and have a detailed project schedule, which identifies all the major work items, with reasonable completion dates for each, will reduce risk to Reclamation. The detailed project schedule will be evaluated for completeness and the applicant's understanding of all the project technical requirements, including the process.

#### V.B.3.c. Area of Salt Load Reduction Estimate

This criterion acknowledges that the precision of salt load measurements and estimates varies based on the availability and reliability of data and hydrosalinity studies in the different salinity project areas.

#### V.B.3.d. **O&M** and Management

Applications that have low O&M, and management requirements and that have a well-defined and adequately funded O&M and management plan will reduce risk to Reclamation. Generally a pipeline project would have less O&M, and management requirements.

#### V.B.4. Past Performance

Applicants and applicant subcontractors who have participated in the Salinity Control Program in the past will be ranked based on the past performance of their individual projects. The ARC will review and discuss with the GO, if in past projects, there were any problems with: late reporting, unauthorized modifications, timeliness of expenditures, and the working relationship with the GO Technical Representative, Coordinator, Program Manager, and the GO. Reclamation will look at modifications requested outside of the scope of work on past projects as applicable. Applicants will also be evaluated on how well they are maintaining past habitat mitigation projects.

# V.C Negotiations and Awards

Starting with those applications with the highest ranking, the GO will enter into negotiations for an agreement. If an agreement cannot be executed, the GO may enter into negotiations with applicants with the next highest ranked application. Agreement awards may be made until the anticipated available funding has been awarded.

Verbal explanations or instructions given before the award of the agreement will not be binding. Any explanation or instructions, which will change the FOA or impact potential agreement award, will be given in writing.

False claims or mistakes made in the application discovered during the award process will require that the application be re-rated, re-ranked, and could result in the application not being awarded or termination of the agreement award.

Be advised that upon award, the application and agreement will become public information.

Reclamation reserves the rights to verify the data in the application and to quality control test features of the project. Costs associated with the verification and testing may be withheld from funding awarded for the project.

## **V.C.1** Funding Subject to Appropriation

Funding for the program is subject to annual appropriations from Congress.

# V.D Pre-Award Clearances and Approvals

After completion of the ARC evaluation, Reclamation will notify applicants whose applications have been selected for award consideration.

The local Reclamation office will also complete a business evaluation and determination of responsibility. During these evaluations, the GO will also consider several factors that are important, but not quantified, such as:

- Pre-award clearances, determinations, reviews, and approvals.
- Allowability and allocability of proposed costs.
- Financial strength and stability of the organization.
- Past performance, including satisfactory compliance with all terms and conditions of previous awards, such as environmental compliance issues, reporting requirements, proper procurement of supplies and services, and audit compliance.
- Adequacy of personnel practices, procurement procedures, and accounting policies and procedures, as established by applicable OMB circulars.

# V.E. Anticipated Award Dates

The ARC will meet August 3-6, 2015.

All applications will receive a letter indicating selection or non-selection by August 25, 2015.

If the results of all pre-award reviews and clearances are satisfactory, an award of funding will be made once the agreement is finalized (approximately 120 to 180 days from date of initial selection). If the results of pre-award reviews and clearances are unsatisfactory, consideration of funding for the project may be withdrawn.

# Section VI. Award Administration Information

#### VI.A Award Notices

Successful applicants will receive, by electronic or regular mail, a notice of award.

If the applicant is awarded a financial assistance agreement as a result of this FOA, the proposed project and other relevant information (e.g., expected water savings) from the application will be referenced in the agreement. The agreement document must be signed by a Reclamation GO before it becomes effective.

# VI.B Administrative and National Environment Policy Requirements

#### 1. Overview of Environmental Compliance Requirements

Under no circumstances may an applicant begin any ground-disturbing activities (including grading, clearing, and other preliminary activities) on a project before environmental compliance is complete and Reclamation explicitly authorizes work to proceed. This pertains to all components of the proposed project, including those that are part of the applicant's non-Salinity Funding cost share. An applicant that proceeds before environmental compliance is complete may risk forfeiting Reclamation funding under this FOA.

Before approving expenditures for the implementation of a Salinity Control Program project, Reclamation is required to comply with applicable environmental laws. Such compliance requires the participation and cooperation of both Reclamation and the Salinity Control Program recipients. Reclamation will provide oversight and final approval of the NEPA activities and documentation. The recipient will perform the NEPA compliance activities and prepare the draft documentation.

Reclamation addresses environmental compliance issues for Salinity Control Program applications as: (1) an initial review and (2) a more detailed view of projects initially recommended for award. First, as part of the initial recommendation process, Reclamation evaluates the appropriateness of the amount budgeted for environmental compliance. Reclamation also examines the application to determine whether any significant environmental issues are involved in the project. Second, once an application has been initially recommended for funding, Reclamation undertakes a more detailed examination of environmental issues associated with the proposed project to comply with applicable law.

#### 2. Overview of Relevant Environmental Laws

Following is a brief overview of NEPA, NHPA, and ESA. While these statutes are not the only environmental laws that may apply to Salinity Control Program projects, they are the Federal laws that most frequently do apply (Clean Water Act frequently applies). Compliance with all applicable environmental laws will be initiated by Reclamation concurrently, immediately following the initial recommendation of a Salinity Control Program financial assistance award. The descriptions below are intended to provide applicants with information about the environmental compliance issues that may apply to projects and to help applicants budget appropriately for the associated compliance costs.

#### a. NEPA

NEPA requires Federal agencies such as Reclamation to evaluate - during the decision-making process - the potential environmental effects of a proposed action and any reasonable mitigation measures. Before Reclamation can make a decision to fund a Salinity Control Program financial assistance project, Reclamation must comply with NEPA. Compliance with NEPA can be accomplished in several ways, depending upon the degree and significance of environmental impacts associated with the application:

- Some projects may fit within a recognized Categorical Exclusion (CE) to NEPA (i.e., one of the established categories of activities that generally do not have significant impacts on the environment). Use of a CE can involve simple identification of an applicable Departmental CE or documentation of a Reclamation CE using a Categorical Exclusion Checklist (CEC). If a CE is being considered, Reclamation will have to determine the applicability of the CE and whether extraordinary circumstances (i.e., reasons that the CE cannot be applied) exist. That process takes anywhere from 1 day to about 30 days, depending upon the specific situation.
- If the project does not fit within a CE, it might require preparation of an **EA/Finding of No Significant Impact (FONSI).** Generally, where no CE applies but there are not
  believed to be any significant impacts associated with the proposed action, an EA will be
  required. The EA is used to determine whether any potentially significant impacts exist
  [which would trigger the further step of an Environmental Impact Statement (EIS),
  below]. If no potentially significant impacts are identified, the EA process ends with the
  preparation of a FONSI. The EA/FONSI process is more detailed than the CE/CEC
  process and can take weeks or even months to complete. Consultation with other
  agencies and public notification are part of the EA process.
- The most detailed form of NEPA compliance, where a proposed project has potentially significant environmental impacts, is the completion of an EIS and Record of Decision. An EIS requires months or years to complete, and the process includes considerable public involvement, including mandatory public reviews of draft documents. It is not anticipated that projects proposed under this program will require completion of an EIS.

During the NEPA process, potential impacts of a project are evaluated in context and in terms of intensity (e.g., will the proposed action affect the only native prairie in the county? Will the proposed action reduce water supplied to a wetland by 1 or 95 percent?) The best source of information concerning the potentially significant issues in a project area is the local Reclamation staff, which has experience in evaluating impacts in context and by intensity.

Reclamation has the sole discretion to determine what level of NEPA compliance is required. If another Federal agency is involved, Reclamation will coordinate to determine the appropriate level of compliance. An applicant is encouraged to contact the Reclamation regional or area office (see <a href="http://www.usbr.gov/main/regions.html">http://www.usbr.gov/main/regions.html</a>) with questions regarding NEPA compliance issues. For further information contact:

Colorado & New Mexico
Jennifer Ward
Environmental and Planning Group
Bureau of Reclamation, Western Colorado Area Office
970-248-0651
jward@usbr.gov

Utah & Wyoming
Beth Reinhart
Environmental Group Chief
Bureau of Reclamation, Provo Area Office
801-379-1161
mreinhart@usbr.gov

#### b. National Historic Preservation Act of 1966 (NHPA)

To comply with Section 106 of NHPA, Reclamation must consider whether a proposed project has the *potential to cause effects to historic properties*, before it can award a Salinity Control Program financial assistance agreement. "Historic properties" are cultural resources (historic or prehistoric districts, sites, buildings, structures, or objects) that qualify for inclusion in the National Register of Historic Places. In some cases, water delivery infrastructure that is over 50 years old can be considered a "historic property" that is subject to review.

If an application is selected for initial award, the Salinity Control Program financial assistance recipients will work with Reclamation to complete the Section 106 process. Compliance can be accomplished in several ways - depending on how complex the issues are, including:

- If Reclamation determines that the project does *not* have the potential to cause effects to historic properties, then Reclamation will document its findings and the Section 106 process will be concluded. This can take anywhere from a couple of days to 1 month.
- If Reclamation determines that the proposed project *could* have effects on historic properties, a multi-step process, involving consultation with the State Historic

Preservation Officer and other entities, will follow. Depending on the nature of the project and impacts to cultural resources, consultation can be complex and time consuming. The process includes a determination as to whether additional information is necessary; evaluation of the significance of identified cultural resources; assessment of the effect of the project on historic properties; and if the project would have an adverse effect, evaluation of alternatives or modifications to avoid, minimize, or mitigate the effects. A Memorandum of Agreement is then used to record and implement any necessary measures. At a minimum, completion of the multi-step Section 106 process takes about 2 months.

The level of cultural resources compliance required, and the associated cost, depends on a case-by-case review of the circumstances presented by each application. The applicant should contact the State Historic Preservation Office and the local Reclamation office's cultural resources specialist to determine what, if any, cultural resources surveys have been conducted in the project area. See <a href="http://www.usbr.gov/cultural/crmstaff.html">http://www.usbr.gov/cultural/crmstaff.html</a> for a list of Reclamation cultural resource specialists. If an applicant has previously received Federal financial assistance, it is possible that a cultural resources survey has already been completed.

#### c. ESA

Pursuant to Section 7 of the ESA, each Federal agency is required to consult with the U.S. Fish and Wildlife Service (Service) or the National Oceanic and Atmospheric Administration (NOAA) Fisheries Service to ensure any action it authorizes, funds, or carries out is not likely to jeopardize the continued existence of any endangered or threatened species or destroy or adversely modify any designated critical habitat.

Before Reclamation can approve funding for the implementation of a Salinity Control Program financial assistance project, it is required to comply with Section 7 of the ESA. The steps necessary for ESA compliance vary, depending on the presence of endangered or threatened species and the effects of the project. A rough overview of the possible course of ESA compliance is:

- If Reclamation can determine that there are no endangered or threatened species or designated critical habitat in the project area, the ESA review is complete and no further compliance measures are required. This process can take anywhere from 1 day to 1 month.
- If Reclamation determines that endangered or threatened species may be affected by the project, then a **Biological Assessment (BA)** must be prepared by Reclamation. The BA is used to help determine whether a proposed action may affect a listed species or its designated critical habitat. The BA may result in a determination that a proposed action is not likely to adversely affect any endangered or threatened species. If the Service/NOAA Fisheries Service concurs in writing, then no further consultation is required and ESA compliance is complete. Depending on the scope and complexity of the proposed action, preparation of a BA can range from days to weeks or even months.

The Service/NOAA Fisheries Service generally respond to requests for concurrence within 30 days.

- If it is determined that the project is likely to adversely affect listed species, further consultation ("formal consultation") with the Service or NOAA Fisheries Service is required to comply with ESA. The process includes the creation of a Biological Opinion (BO) by the Service/NOAA Fisheries Service, including a determination of whether the project would "jeopardize" listed species and, if so, whether any reasonable and prudent alternatives to the proposed project are necessary to avoid jeopardy. Non-discretionary reasonable and prudent measures and terms and conditions to minimize the impact of incidental take may also be included. Under the timeframes established in the ESA regulations, the BO is issued within 135 days from the date that formal consultation was initiated, unless an extension of time is agreed upon.
- Obviously, the time, cost, and extent of the work necessary to comply with the ESA depends upon whether endangered or threatened species are present in the project area and, if so, whether the project might have impacts on those species significant enough to require formal consultation.

ESA compliance is often conducted parallel to the NEPA compliance process and, as in the case of CEC, documented simultaneously. The best source of information concerning the compliance with the ESA in a particular project area is the local Reclamation environmental staff, which can be helpful in determining the presence of listed species and possible impacts that would require consultation with the Service or NOAA Fisheries Service. An applicant is encouraged to contact the regional or area Reclamation office (see <a href="http://www.usbr.gov/main/regions.html">http://www.usbr.gov/main/regions.html</a>) with questions regarding ESA compliance issues. For further information contact:

Colorado & New Mexico
Jennifer Ward
Environmental and Planning Group
Bureau of Reclamation, Western Colorado Area Office
970-248-0651
jward@usbr.gov

<u>Utah & Wyoming</u>
Beth Reinhart
Environmental Group Chief
Bureau of Reclamation, Provo Area Office
801-379-1161
mreinhart@usbr.gov

# **Section VII.** Agency Contact

There will be no pre-application conference. Organizations or individuals interested in submitting applications in response to this FOA may direct questions to Reclamation in writing. Questions may be submitted to the attention of Ms. Hansen, GO, as follows:

By mail: Bureau of Reclamation

> Ms. Heidi Hansen Attention: UC-823

125 South State Street, Room 8100 Salt Lake City, UT 84138-1147

E-mail: heidihansen@usbr.gov

Telephone:801-524-3760

# **Section VIII. Salinity Coordinator(s)**

#### RECLAMATION REGIONAL OFFICE COORDINATORS

Colorado River Basin Salinity Control Program Manager

Mr. Kib Jacobson 125 South State Street, Room 8100 Salt Lake City, UT 84138 801-524-3753 kjacobson@usbr.gov

Colorado River Basin Salinity Control Program Coordinator

Mr. Brad Parry 125 South State Street, Room 8100 Salt Lake City, UT 84138 801-524-3723 bjparry@usbr.gov

Colorado River Basin Salinity Control Program Specialist

Ms. Marcie Bainson 125 South State Street, Room 8100 Salt Lake City, UT 84138 801-524-3747 mbainson@usbr.gov

#### RECLAMATION TECHNICAL CONTACTS AND AREA OFFICE COORDINATORS

#### Colorado River Basin in Utah and Wyoming

Mr. Ben Radcliffe Provo Area Office 302 East 1860 South Provo, UT 84606-7317 801-379-1213 bradcliffe@usbr.gov

#### Colorado River Basin in Colorado and New Mexico Including San Juan River and Dolores

**River Basins:** 

Mr. John Sottilare Western Colorado Area Office 445 W. Gunnison Avenue, Ste 221 Grand Junction, CO 81506 970-248-0640 jsottilare@usbr.gov

#### **BASIN STATES SALINITY COORDINATORS:**

#### State of Colorado:

Colorado State Soil Conservation Board Mr. Jim Currier 2738 Crossroads Boulevard, Suite 104 Grand Junction, CO 81506 970-243-5068, extension 116 james.currier@co.nacdnet.net

#### State of Utah:

Utah Department of Agriculture and Food Mr. Mark Quilter 350 North Redwood Road P.O. Box 146500 Salt Lake City, UT 84114-6500 801-538-9905 mquilter@utah.gov

#### State of Wyoming:

Wyoming Water Development Commission Office Mr. Keenan Hendon 6920 Yellowtail Road Cheyenne, WY 82002 307-777-7626 keenan.hendon@wyo.gov

# STATE REPRESENTATIVES

#### COLORADO

Steve Miller Colorado Water Conservation Board 721 State Centennial Building 1313 Sherman Street Denver, CO 80203 (303) 866-3441, ext. 3228 steve.miller@state.co.us

#### **NEW MEXICO**

Paul Harms
New Mexico Interstate Stream Commission
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# <u>UTA</u>H

Robert King Utah Div. of Water Resources Box 146201 Salt Lake City, UT 84114-6201 (801) 538-7259 robertking@utah.gov

## **WYOMING**

Mr. Keenan Hendon Wyoming Water Development Commission Office 6920 Yellowtail Road Cheyenne, WY 82002 307-777-7626 keenan.hendon@wyo.gov

# **Section IX. Other Information**

# IX.A. Workshops

Workshops will be held by Reclamation, in **Delta, CO** and **Roosevelt, UT** to help applicants understand the requirements of the FOA and to answer questions regarding the FOA.

DELTA, COLORADO Wednesday, May 13<sup>th</sup> 1:00 pm Bill Heddles Recreation Center 530 Gunnison River Drive Delta, CO ROOSEVELT, UT Thursday May 14<sup>th</sup> 1:00 pm Duchesne County Water Conservancy District Office 275 East 800 South Roosevelt, UT

If there are any questions regarding the workshops, please contact the appropriate local Technical Contact.