



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
NORTHEAST REGION
One Blackburn Drive
Gloucester, MA 01930-2298

September 18, 2000

Dear Permit Holder:

There will be substantial changes in the Federal management of the Atlantic herring fishery. Although the final rule is not yet in effect, the National Marine Fisheries Service (NMFS) is accepting applications for the commercial vessel, dealer, and processor permit (see the attached Initial Atlantic Herring Vessel Permit Application). If you wish to obtain a commercial vessel permit, you must complete the enclosed application and return it to the address shown at the top of the application. Please read the enclosed application instructions carefully. If you do not complete the initial application as instructed, the application may be deemed incomplete and returned to you.

If your vessel caught more than 500 mt of Atlantic herring in the 1999 calendar year, or if your vessel intends to catch more than 500 mt of Atlantic herring during the year 2000 calendar year, please include a statement so stating, select the "Category 1" Atlantic herring permit and attach a copy of a vendor installation receipt from a NMFS-approved VMS vendor, as described in Section 648.9 of the regulations for the Fisheries of the Northeastern United States. **If you do not provide a copy of a vendor installation receipt, your application will be deemed incomplete and returned to you.**

If your vessel did not catch more than 500 mt of Atlantic herring in the 1999 calendar year, or if your vessel does not intend to catch more than 500 mt of Atlantic herring during the year 2000 calendar year, please select the "Category 2" Atlantic herring permit.

In addition to the vessel permit requirement, NMFS has also approved a Vessel Operator Permit (VOP) requirement. If you have already obtained an operator permit for another Federally managed fishery, it will be valid for the Atlantic herring fishery. If you do not have a current Federal VOP, you may obtain an application by calling the NMFS Permit Office at 978-281-9262. This notice is authorized by the Regional Administrator of the National Marine Fisheries Service

*This notice is authorized by the Regional Administrator
of the National Marine Fisheries Service*

Enclosure



U.S. DEPARTMENT OF COMMERCE
 NOAA/NATIONAL MARINE FISHERIES SERVICE
 PERMIT OFFICE

ONE BLACKBURN DRIVE
 GLOUCESTER, MA 01930-2298
 TEL: (978) 281-9370



INITIAL ATLANTIC HERRING VESSEL PERMIT
 FISHING YEAR 2000 APPLICATION

*** Please see back for Federal Atlantic Herring Vessel Permit Application Instructions***

Section 1 - VESSEL/OWNER INFORMATION	CHANGES OR CORRECTIONS
Vessel Name: Owner Name: Address: , , Northeast Region Federal Fishing Vessel Permit Number: CG Documentation Number or State Registration Number: Owner Telephone: Home Port: , Principal Port: ,	_____ _____ _____ _____ _____ _____ _____ _____ _____

Section 2 - VESSEL INFORMATION - complete if you do **NOT** have a Northeast Region Federal Fishing Vessel Permit

Vessel Length: _____	Year Built: _____	Dredge Size: _____	Construction: _____
Crew Size: _____	Main Engine HP: _____	No. of Dredges: _____	Propulsion: _____
Gross Tonnage: _____	Fish Hold Capacity: _____	Pump Horsepower: _____	No. Passengers for Charter or Party: _____

Section 3 - FISHERY INFORMATION

Fishery:	Category: Must select one 1 = * over 500 mt caught in 1999, or intend to catch over 500 mt in 2000 2 = under 500 mt caught in 1999, or intend to catch under 500 mt in 2000 * VMS required on-board (see instructions on back)	Gear Code(s): (may select more than one)
Year 2000 Initial Atlantic Herring Permit	_____	_____

Section 4 - OWNER'S SIGNATURE

I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named in Section 1 above. I affirm, subject to the penalties provided in 18 USC 1001, that all information that I have given in obtaining this permit is true and correct.

Signature: _____

Print Name: _____

Date: _____

INSTRUCTIONS FOR NORTHEAST FEDERAL FISHERIES
YEAR 2000 INITIAL ATLANTIC HERRING PERMIT APPLICATION

This is your year 2000 Initial Vessel Permit Application for the **Atlantic Herring** fishery. To complete this application, you must: Complete all sections, as needed, AND

If you have not already obtained your year 2000 Northeast Region Federal fishing permit you must also submit:

- A copy of the vessels current Coast Guard documentation or current state registration
- If the vessel is owned by a corporation or partnership, then
 - a. A copy of the certification of incorporation or equivalent documents showing current corporate status & the names and addresses of the corporate officers and stockholders
 - b. A copy of the partnership agreement and names and addresses of all partners

Submit all vessel **trip reports that are due** (call the Data Center at 978-281-9246 for further information on trip reports).

Send the completed application to:
National Marine Fisheries Service
Attention: Permit Office
One Blackburn Drive
Gloucester, MA 01930-2298

SECTION 1: VESSEL/OWNER INFORMATION

Enter the information as requested. For our purposes, Home Port and Principal Port are defined as:

HOME PORT	City and State where the vessel is moored
PRINCIPLE PORT	City and State where the majority of your landings occur

SECTION 2: VESSEL INFORMATION

This section must be completed if your vessel does NOT possess a Northeast Region Federal Fishing permit. These permits are: Multispecies, Scallop, Surfclam, Ocean Quahog, Lobster, Summer Flounder, Scup, Squid (*Loligo* or *Illex*), Atlantic Mackerel, Butterfish, Black Sea Bass, Spiny Dogfish, Monkfish and Bluefish. Complete Section 2 if your vessel does not possess any of these Northeast Region Federal Fishing permits.

SECTION 3: FISHERY INFORMATION

Select the Year 2000 Initial Atlantic Herring Permit line. You must select a category and gear, or the application will be returned to you.

CATEGORY: Select only one of two categories. Both are Open Access categories and landings information is not required.

Category 1 - If your vessel caught more than 500 mt of Atlantic herring in the 1999 calendar year, or if your vessel intends to catch more than 500 mt of Atlantic herring during the year 2000 calendar year, please select Category 1 and **include a statement so stating and attach a copy of a vendor installation receipt from a NMFS-approved Vessel Monitoring System (VMS) vendor, as described in Section 648.9 of the regulations for the Fisheries of the Northeastern United States.**

Category 2 - If your vessel did not catch more than 500 mt of Atlantic herring in the previous fishing year, or if your vessel will not catch more than 500 mt of Atlantic herring during the current fishing year, please select Category 2.

GEAR CODE: Select at least one gear code from the Gear Code table below and write the gear code number(s) in the column marked "Gear".

GEAR CODES:

120 Purse Seine	310 Bottom Trawls	410 Dredge	911 Handlines	1010 Harpoons
210 Beach Seine	320 Mid-water Trawls	700 Gill/Entangling Nets	912 Rod and Reel	1200 Other Gear
220 Boat Seine	330 Other Trawls	800 Pots and Traps	920 Longlines/Setlines	1400 Diving Gear

SECTION 4: OWNER'S SIGNATURE AND INSTRUCTIONS

Please sign the form. Unsigned applications will be returned. Your signature is an affirmation under penalty of perjury that all of the information provided in obtaining this permit is true and correct.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing & reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: National Marine Fisheries Service, Permit Office, 1 Blackburn Drive, Gloucester, MA 01930-2298. The Paperwork Reduction Act requires that potential respondents for a fishing vessel permit be notified of certain information regarding their rights and the burden associated with applying for Federal fisheries permits. One of the regulatory steps taken by the National Marine Fisheries Service to carry out its conservation and management objectives is the requirement of a permit for users of the resources. The fishery included on this application requires a permit to fish for or retain this species in or from the EEZ, Section 303 (b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) specifically recognizes the need for permit issuance. The purpose and use of these permits are to: (1) register industry participants and fishing vessels, (2) list the characteristics of fishing vessels, (3) exercise influence over compliance, (4) provide a mailing list for the dissemination of important information to the industry, (5) register participants to be considered for limited entry, and (6) provide a universe for data collection samples. Both the MSFCMA and Executive Orders 12866 et al. require the determination of this information. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. The information required to complete this Initial Spiny Dogfish Permit Application form is not confidential, and may be disclosed to any person upon request.