

**Figure 1.** Approximate distribution of harbor seals in Alaska waters (shaded coastline area).

### STOCK DEFINITION AND GEOGRAPHIC RANGE

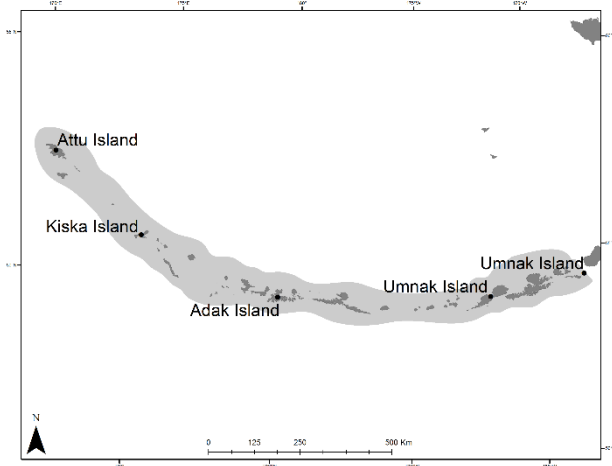
Harbor seals inhabit coastal and estuarine waters off Baja California, north along the western coasts of the United States, British Columbia, and Southeast Alaska, west through the Gulf of Alaska and Aleutian Islands, and in the Bering Sea north to Cape Newenham and the Pribilof Islands. They haul out on rocks, reefs, beaches, and drifting glacial ice and feed in marine, estuarine, and occasionally fresh waters. Harbor seals generally are non-migratory, with local movements associated with such factors as tides, weather, season, food availability, and reproduction (Scheffer and Slipp 1944; Fisher 1952; Bigg 1969, 1981; Hastings et al. 2004). The results of past and recent satellite-tagging studies in Southeast Alaska, Prince William Sound, Kodiak Island, and Cook Inlet are also consistent with the conclusion that harbor seals are non-migratory (Swain et al. 1996, Lowry et al. 2001, Small et al. 2003, Boveng et al. 2012). However, some long-distance movements of tagged animals in Alaska have been recorded (Pitcher and McAllister 1981, Lowry et al. 2001, Small et al. 2003, Womble 2012, Womble and Gende 2013). Strong fidelity of individuals for haul-out sites during the breeding season has been documented in several populations (Härkönen and Harding 2001), including some harbor seal stocks in Alaska such as South Kodiak Island, Prince William Sound, Glacier Bay/Icy Strait, and Cook Inlet (Pitcher and McAllister 1981, Small et al. 2005, Boveng et al. 2012, Womble 2012, Womble and Gende 2013).

Local or regional trends in harbor seal numbers have been monitored at various time intervals since the 1970s, revealing diverse spatial patterns in apparent population trends. Where declines have been observed, they seem

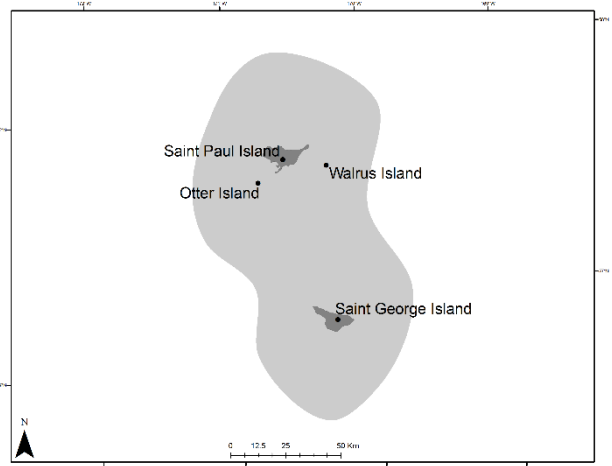
generally to have been strongest in the late 1970s or early 1980s to the 1990s. For example, counts of harbor seals declined by about 80% at Tugidak Island in the 1970s and 1980s (Pitcher 1990), and numbers at Nanvak Bay in northern Bristol Bay also declined at about the same time (Jemison et al. 2006). In Prince William Sound, harbor seal numbers declined by about 63% overall between 1984 and 1997, including a 40% decline prior to the *Exxon Valdez* oil spill that occurred in 1989 (Frost et al. 1999, Ver Hoef and Frost 2003). Harbor seal counts in Glacier Bay National Park, where the majority of seals haul out on floating ice calved from glaciers, declined by roughly 60% between 1992 and 2001 and continued to decline through 2008 (Mathews and Pendleton 2006, Womble et al. 2010). At Aialik Bay, a site in Kenai Fjords National Park where harbor seals also haul out on ice calved from a glacier, harbor seal numbers declined by 93% from 1979 to 2009 (Hoover-Miller et al. 2011). In the Aleutian Islands, counts declined by 67% between the early 1980s and 1999, with declines of about 86% in the western Aleutians (Small et al. 2008). Although there is evidence for recent stabilization or even partial recovery of harbor seal numbers in some areas of long-term harbor seal decline, such as Tugidak Island and Nanvak Bay (Jemison et al. 2006), most have not made substantial recoveries toward historical abundances. But these areas of declines in harbor seals contrast strongly with other large regions of Alaska where harbor seal numbers have remained stable or increased over the same period: trend monitoring regions around Ketchikan and the Kodiak area increased significantly in the 1980s and 1990s and were stable in around Sitka and Bristol Bay (Small et al. 2003). Differences in trend across the various regions of Alaska suggest some level of independent population dynamics (O’Corry-Crowe et al. 2003, O’Corry-Crowe 2012).

Westlake and O’Corry-Crowe’s (2002) analysis of genetic information from 881 samples across 181 sites revealed population subdivisions on a scale of 600-820 km. These results suggest that genetic differences within Alaska, and most likely over their entire North Pacific range, increase with increasing geographic distance. New information revealed substantial genetic differences indicating that female dispersal occurs at region specific spatial scales of 150-540 km. This research identified 12 demographically independent clusters within the range of Alaskan harbor seals; however, significant geographic areas within the Alaskan harbor seal range remain unsampled (O’Corry-Crowe et al. 2003).

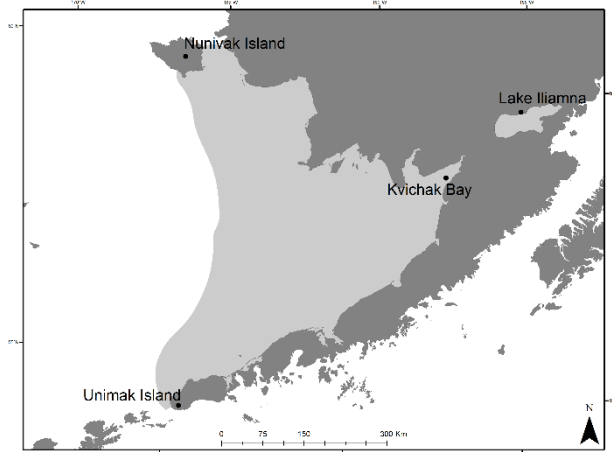
In 2010, NMFS and their co-management partners, the Alaska Native Harbor Seal Commission, identified 12 separate stocks of harbor seals based largely on genetic structure; this represents a significant increase in the number of harbor seal stocks from the three stocks (Bering Sea, Gulf of Alaska, Southeast Alaska) previously recognized. Given the genetic samples were not obtained continuously throughout the range, a total evidence approach was used to consider additional factors such as population trends, observed harbor seal movements, and traditional Alaska Native use areas in the final designation of stock boundaries. The 12 stocks of harbor seals currently identified in Alaska are 1) the Aleutian Islands stock – occurring along the entire Aleutian chain from Attu Island to Ugamak Island; 2) the Pribilof Islands stock – occurring on Saint Paul and Saint George Islands, as well as on Otter and Walrus Islands; 3) the Bristol Bay stock – ranging from Nunivak Island south to the west coast of Unimak Island and extending inland to Kvichak Bay and Lake Iliamna; 4) the North Kodiak stock – ranging from approximately Middle Cape on the west coast of Kodiak Island northeast to West Amatuli Island and south to Marmot and Spruce Islands; 5) the South Kodiak stock – ranging from Middle Cape on the west coast of Kodiak Island southwest to Chirikof Island and east along the south coast of Kodiak Island to Spruce Island, including the Trinity Islands, Tugidak Island, Sitkinak Island, Sundstrom Island, Aiaktalik Island, Geese Islands, Two Headed Island, Sitkalidak Island, Ugak Island, and Long Island; 6) the Prince William Sound stock – ranging from Elizabeth Island off the southwest tip of the Kenai Peninsula to Cape Fairweather, including Prince William Sound, the Copper River Delta, Icy Bay, and Yakutat Bay; 7) the Cook Inlet/Shelikof Strait stock – ranging from the southwest tip of Unimak Island east along the southern coast of the Alaska Peninsula to Elizabeth Island off the southwest tip of the Kenai Peninsula, including Cook Inlet, Knik Arm, and Turnagain Arm; 8) the Glacier Bay/Icy Strait stock – ranging from Cape Fairweather southeast to Column Point, extending inland to Glacier Bay, Icy Strait, and from Hanus Reef south to Tenakee Inlet; 9) the Lynn Canal/Stephens Passage stock – ranging north along the east and north coast of Admiralty Island from the north end of Kupreanof Island through Lynn Canal, including Taku Inlet, Tracy Arm, and Endicott Arm; 10) the Sitka/Chatham Strait stock – ranging from Cape Bingham south to Cape Ommaney, extending inland to Table Bay on the west side of Kuiu Island and north through Chatham Strait to Cube Point off the west coast of Admiralty Island, and as far east as Cape Bendel on the northeast tip of Kupreanof Island; 11) the Dixon/Cape Decision stock – ranging from Cape Decision on the southeast side of Kuiu Island north to Point Barrie on Kupreanof Island and extending south from Port Protection to Cape Chacon along the west coast of Prince of Wales Island and west to Cape Muzon on Dall Island, including Coronation Island, Forrester Island, and all the islands off the west coast of Prince of Wales Island; and 12) the Clarence Strait stock – ranging along the east coast of Prince of Wales Island from Cape Chacon north through Clarence Strait to Point Baker and along the east coast of Mitkof and Kupreanof Islands north to Bay Point, including Ernest Sound, Behm Canal, and Pearse Canal (Fig. 1). Individual stock distributions can be seen in Figures 2a-l.



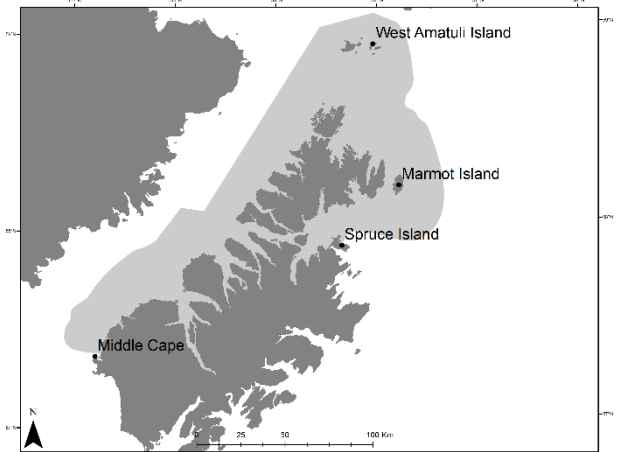
**Figure 2a.** Approximate distribution of Aleutian Islands harbor seal stock (shaded area).



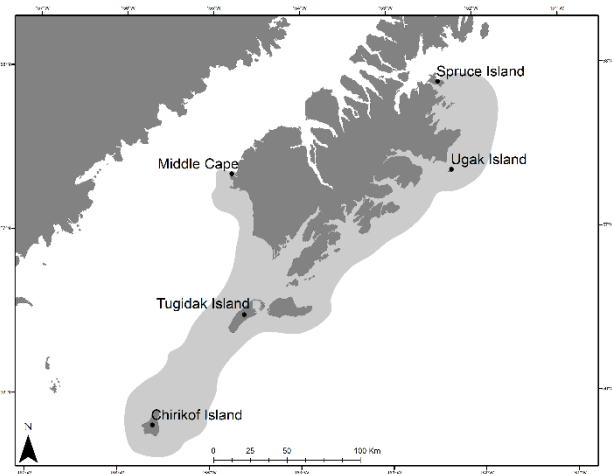
**Figure 2b.** Approximate distribution of Pribilof Islands harbor seal stock (shaded area).



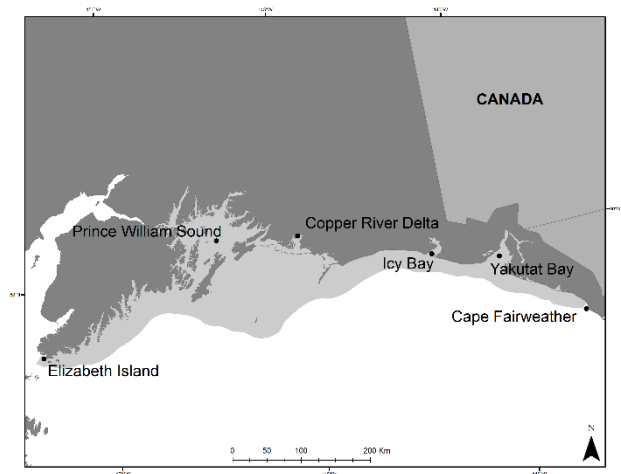
**Figure 2c.** Approximate distribution of Bristol Bay harbor seal stock (shaded area).



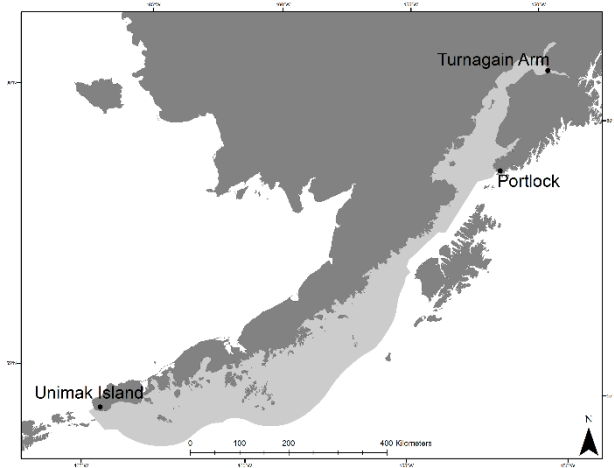
**Figure 2d.** Approximate distribution of North Kodiak harbor seal stock (shaded area).



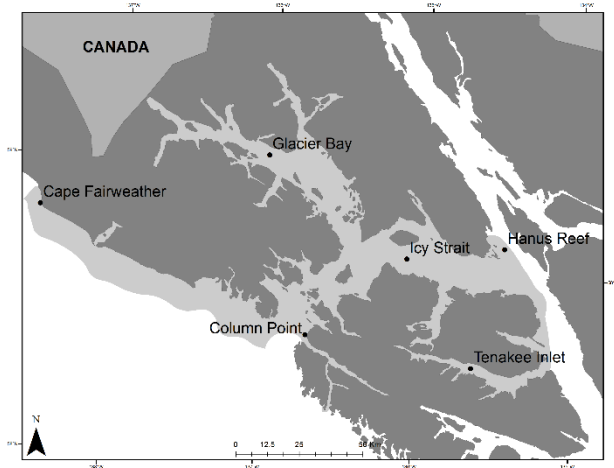
**Figure 2e.** Approximate distribution of South Kodiak harbor seal stock (shaded area).



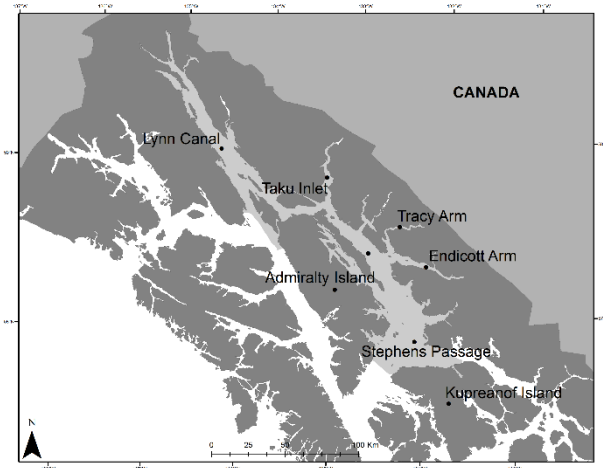
**Figure 2f.** Approximate distribution of Prince William Sound harbor seal stock (shaded area).



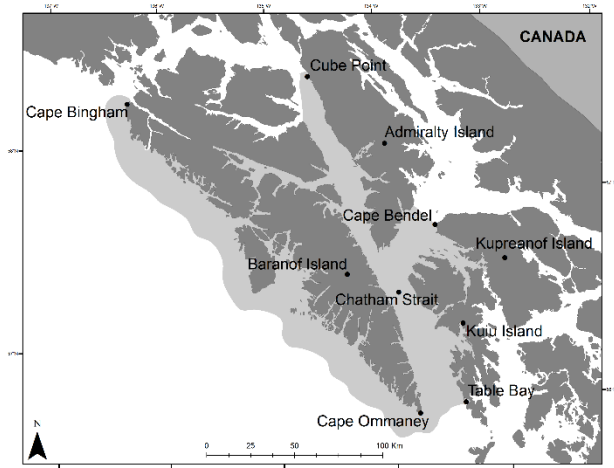
**Figure 2g.** Approximate distribution of Cook Inlet/Shelikof Strait harbor seal stock (shaded area).



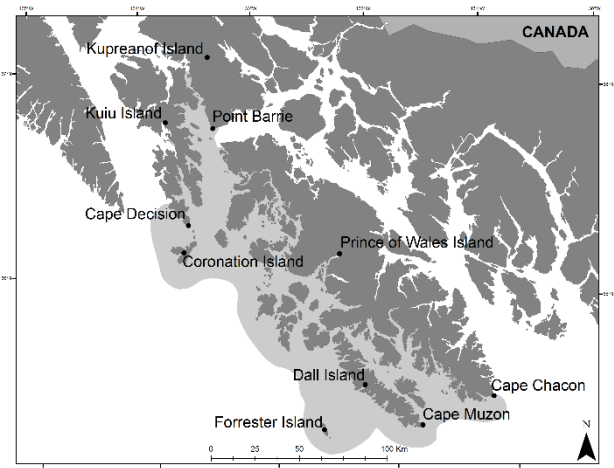
**Figure 2h.** Approximate distribution of Glacier Bay/Icy Strait harbor seal stock (shaded area).



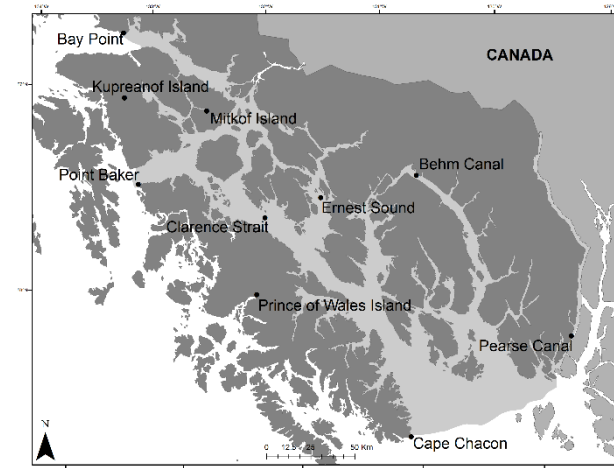
**Figure 2i.** Approximate distribution of Lynn Canal/Stephens Passage harbor seal stock (shaded area).



**Figure 2j.** Approximate distribution of Sitka/Chatham Strait harbor seal stock (shaded area).



**Figure 2k.** Approximate distribution of Dixon/Cape Decision harbor seal stock (shaded area).



**Figure 2l.** Approximate distribution of Clarence Strait harbor seal stock (shaded area).

## POPULATION SIZE

The Alaska Fisheries Science Center's National Marine Mammal Laboratory routinely conducts aerial surveys of harbor seals across their entire range in Alaska. Prior to 2008, Alaska was divided into five survey regions, with one region surveyed per year. In 2010, the survey sites were prioritized based on the newly defined harbor seal stock divisions, and annual aerial surveys attempt to sample the full geographic range of harbor seals in Alaska, with a focus on sites that make up a significant portion of each stock's population every year; sites with fewer seals are flown every 3 to 5 years. This site specific survey approach is designed to provide the counts necessary to estimate stock specific population abundance and trend for all 12 stocks annually. To derive an accurate estimate of population size from these surveys, a method was developed to address the influence of external conditions on the number of seals hauled out on shore, and counted, during the surveys. Many factors influence the propensity of seals to haul out, including tides, time of day, and date in the seals' annual life-history cycle. A statistical model defining the relationship between these factors and the number of seals hauled out was developed. Based on those models, the survey counts for each year were adjusted to the number of seals that would have been ashore during a hypothetical survey conducted under ideal conditions for hauling out (Boveng et al. 2003). In a separate analysis of radio-tagged seals, a similar statistical model was used to estimate the proportion of seals that were hauled out under those ideal conditions (Simpkins et al. 2003). The results from these two analyses were combined for each region to estimate the population size of each stock in Alaska.

### Abundance Estimates and Minimum Population Estimates

The current statewide abundance estimate for Alaskan harbor seals is 205,090 (Boveng et al. in press a), based on aerial survey data collected during 1998-2011. See Table 1 for abundance estimates of the 12 stocks of harbor seals in Alaska. The minimum population estimate ( $N_{MIN}$ ) for 11 of the 12 stocks of harbor seals in Alaska is calculated as the lower bound of the 80% credible interval obtained from the posterior distribution of abundance estimates. This approach is consistent with the definition of potential biological removal (PBR) in the current guidelines (Wade and Angliss 1997). The abundance estimate and  $N_{MIN}$  for the remaining stock, the Pribilof Islands stock, is simply the number counted in the most recent survey of this very small group.

**Table 1.** Abundance and 5-year trend estimates, by stock, for harbor seals in Alaska, along with respective estimates of standard error. The probability of decrease represents the proportion of the posterior probability distribution for the 5-year trend that fell below a value of 0 seals per year.

Stock	Year of last survey	Abundance estimate	SE	5-year trend estimate	SE	Probability of decrease	$N_{MIN}$
Aleutian Islands	2011	6,431	882	75	220	0.36	5,772
Pribilof Islands	2010	232	n/a	n/a	n/a	n/a	232
Bristol Bay	2011	32,350	6,882	1,209	1,941	0.25	28,146
North Kodiak	2011	8,321	1,619	531	590	0.16	7,096
South Kodiak	2011	19,199	2,429	-461	761	0.72	17,479
Prince William Sound	2011	29,889	13,846	26	3,498	0.56	27,936
Cook Inlet/Shelikof Strait	2011	27,386	3,328	313	1,115	0.38	25,651
Glacier Bay/Icy Strait	2011	7,210	1,866	179	438	0.40	5,647
Lynn Canal/Stephens Passage	2011	9,478	1,467	-176	388	0.71	8,605
Sitka/Chatham Strait	2011	14,855	2,106	411	568	0.23	13,212
Dixon/Cape Decision	2011	18,105	1,614	216	360	0.29	16,727
Clarence Strait	2011	31,634	4,518	921	1,246	0.21	29,093

### Current Population Trend

Aerial surveys of harbor seal haulout sites throughout Alaska have been conducted annually and provide information on trends in abundance. The most current estimates of trend (Table 1) were estimated as the means of the slopes of 1,000 simple linear regressions over the most recent eight annual estimates in each of the 1,000 Markov

Chain Monte Carlo (MCMC) samples from the posterior distributions for abundance. Thus, they are in units of seals per year, rather than the typical annual percent growth rate. There is no appropriate method for converting these estimates of trend to annual percent growth rate. As a reflection of uncertainty in trend estimates, the proportion of the posterior distribution for each stock's trend that lies below the value of 0 is used as an estimate of the probability that a stock is currently decreasing (Table 1). This allows a probabilistic determination of the qualitative trend status: a value greater than 0.5 means the evidence suggests that the stock is decreasing; less than 0.5 means the stock is increasing. Because there will typically be a 2-3 year lag between the most recent surveys and the Stock Assessment Report update, a 5-year interval was used for estimating trend. This ensures trend estimates are based on data no more than about 8 years old, which is considered to be the approximate threshold of reliability for Marine Mammal Protection Act (MMPA) stock assessment data. One caveat of this approach is that, due to the skewness inherent in the posterior distribution, it is possible for a stock to exhibit a positive trend while also having a probability of decrease greater than 0.5. The following summarizes historical and recent information on the population trend for each of the 12 stocks.

**Aleutian Islands:** A partial estimate of harbor seal abundance in the Aleutian Islands was determined from skiff surveys of 106 islands from 1977 to 1982 (8,601 seals). Small et al. (2008) compared counts from the same islands during a 1999 aerial survey (2,859 seals). Counts decreased at a majority of the islands. Islands with greater than 100 seals decreased by 70%. The overall estimates showed a 67% decline during the approximate 20-year period (Small et al. 2008). The current (2007-2011) estimate of the population trend in the Aleutian Islands is +75 seals per year, with a probability that the stock is decreasing of 0.36 (Table 1).

**Pribilof Islands:** Counts of harbor seals in the Pribilof Islands ranged from 250 to 1,224 in the 1970s. Counts in the 1980s and 1990s ranged between 119 and 232 harbor seals. Prior to July 2010, the most recent count was in 1995 when a total of 202 seals were counted. In July 2010, approximately 185 adults and 27 pups were observed on Otter Island plus approximately 20 on all the other islands combined for a total of 232 harbor seals. Maximum seal counts (all ages) are nearly identical to the 1995 counts (212 vs. 202), but 2010 pup numbers were slightly less (27 vs. 42). The current population trend in the Pribilof Islands is unknown.

**Bristol Bay:** At Nanvak Bay, the largest haulout in northern Bristol Bay, harbor seals declined in abundance from 1975 to 1990 and increased from 1990 to 2000 (Jemison et al. 2006). Land-based harbor seal counts at Nanvak Bay from 1990 to 2000 increased at 9.2% per year during the pupping period and 2.1% per year during the molting period (Jemison et al. 2006). The Iliamna Lake harbor seal population of about 400 seals, that forms a small portion of the Bristol Bay stock, likely increased through the 1990s and is now stable at around 400 animals (Boveng et al. in press b). The current (2007-2011) estimate of the population trend in the Bristol Bay stock is +1,209 seals per year, with a probability that the stock is decreasing of 0.25 (Table 1).

**North Kodiak:** The current (2007-2011) estimate of the North Kodiak population trend is +531 seals per year, with a probability that the stock is decreasing of 0.16 (Table 1).

**South Kodiak:** A significant portion of the harbor seal population within the South Kodiak stock is located at and around Tugidak Island off the southwest coast of Kodiak Island. Sharp declines in the number of seals present on Tugidak were observed between 1976 and 1998. The highest rate of decline was 21% per year between 1976 and 1979 (Pitcher 1990). While the number of seals on Tugidak has stabilized and shown some evidence of increase since the decline, the population in 2000 remained reduced by 80% compared to the levels in the 1970s (Jemison et al. 2006). The current (2007-2011) estimate of the South Kodiak population trend is -461 seals per year, with a probability that the stock is decreasing of 0.72 (Table 1).

**Prince William Sound:** The Prince William Sound stock includes harbor seals both within and adjacent to Prince William Sound proper. Within Prince William Sound proper, harbor seals declined in abundance by 63% between 1984 and 1997 (Frost et al. 1999). In Aialik Bay, adjacent to Prince William Sound proper, there has been a decline in pup production by 4.6% annually from 40 down to 32 pups born from 1994 to 2009 (Hoover-Miller et al. 2011). The current (2007-2011) estimate of the Prince William Sound population trend over a 5-year period is +26 seals per year, with a probability that the stock is decreasing of 0.56 (Table 1). As noted earlier, this is an example where the skewed nature of the posterior distribution of the abundance estimate has resulted in a higher than 0.5 probability of decrease while subsequently showing an increasing trend.

**Cook Inlet/Shelikof Strait:** A multi-year study of seasonal movements and abundance of harbor seals in Cook Inlet was conducted between 2004 and 2007. This study involved multiple aerial surveys throughout the year, and the data indicated a stable population of harbor seals during the August molting period (Boveng et al. 2011). Aerial surveys along the Alaska Peninsula present greater logistical challenges and have therefore been conducted less frequently. The current (2007-2011) estimate of the Cook Inlet/Shelikof Strait population trend is +313 seals per year, with a probability that the stock is decreasing of 0.38 (Table 1).

**Glacier Bay/Icy Strait:** The Glacier Bay/Icy Strait stock showed a negative population trend estimate for harbor seals from 1992 to 2008 in June and August for glacial (-7.7%/yr; -8.2%/yr) and terrestrial sites (-12.4%/yr, August only) (Womble et al. 2010). Trend estimates by Mathews and Pendleton (2006) were similarly negative for both glacial and terrestrial sites. Long-term monitoring of harbor seals on glacial ice has occurred in Glacier Bay since the 1970s (Mathews and Pendleton 2006) and has shown this area to support one of the largest breeding aggregations in Alaska (Steveler 1979, Calambokidis et al. 1987). After a dramatic retreat of Muir Glacier (more than 7 km), in the East Arm of Glacier Bay, between 1973 and 1986 and the subsequent grounding and cessation of calving in 1993, floating glacial ice was greatly reduced as a haul-out substrate for harbor seals and ultimately resulted in the abandonment of upper Muir Inlet by harbor seals (Calambokidis et al. 1987, Hall et al. 1995, Mathews 1995). Prior to 1993, seal counts were up to 1,347 in the East Arm of Glacier Bay; 2008 counts were fewer than 200 (Steveler 1979, Molnia 2007). The current (2007–2011) estimate of the Glacier Bay/Icy Strait population trend is +179 seals per year, with a probability that the stock is decreasing of 0.40 (Table 1).

**Lynn Canal/Stephens Passage:** The current (2007-2011) estimate of the Lynn Canal/Stephens Passage population trend is -176 seals per year, with a probability that the stock is decreasing of 0.71 (Table 1).

**Sitka/Chatham Strait:** The current (2007-2011) estimate of the Sitka/Chatham Strait population trend is +411 seals per year, with a probability that the stock is decreasing of 0.23 (Table 1).

**Dixon/Cape Decision:** The current (2007-2011) estimate of the Dixon/Cape Decision population trend is +216 seals per year, with a probability that the stock is decreasing of 0.29 (Table 1).

**Clarence Strait:** The current (2007-2011) estimate of the Clarence Strait population trend is +921 seals per year, with a probability that the stock is decreasing of 0.21 (Table 1).

#### **CURRENT AND MAXIMUM NET PRODUCTIVITY RATES**

Reliable rates of maximum net productivity have not been estimated directly from the 12 stocks of harbor seals identified in Alaska. Based on monitoring in Washington State from 1978 to 1999, Jeffries et al. (2003) estimated  $R_{MAX}$  to be 12.6% and 18.5% for harbor seals of the inland and coastal stocks, respectively. Harbor seals have been protected in British Columbia since 1970, and the monitored portion of that population responded with an annual rate of increase of approximately 12.5% through the late 1980s (Olesiuk et al. 1990), though a more recent evaluation suggested that 11.5% may be a more appropriate figure (DFO 2010). These empirical estimates of  $R_{MAX}$  indicate that the continued use of the pinniped maximum theoretical net productivity rate of 12% is appropriate for the Alaska stocks (Wade and Angliss 1997).

#### **POTENTIAL BIOLOGICAL REMOVAL**

Under the 1994 reauthorized MMPA, the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor:  $PBR = N_{MIN} \times 0.5R_{MAX} \times F_R$ . Marine mammal stocks such as the harbor seal stocks in Alaska that are taken by subsistence hunting may be given  $F_R$  values up to 1.0, provided they are “known to be increasing” or “not known to be decreasing” and “there have not been recent increases in the levels of takes” (Wade and Angliss 1997). For harbor seals in Alaska, these guidelines were followed by assigning all harbor seal stocks an initial, default recovery factor of 0.5. The default value was adjusted up to 0.7 if the estimated probability of decrease was greater than 0.7. The value was adjusted down to 0.3 if the estimated probability of decrease was less than 0.3. This provides a simple, balanced approach for providing a recovery factor consistent with current guidelines while incorporating results from novel statistical methods. Table 2 summarizes the PBR levels for each stock of harbor seals in Alaska based on  $N_{MIN}$  estimates,  $R_{MAX} = 12\%$ , and  $F_R$  values.

**Table 2.** PBR calculations by stock for harbor seals in Alaska. The  $N_{MIN}$  values are determined from the 20th percentile of the posterior distribution for stock-level abundance estimates, except for the Pribilof Islands. A default value of 0.5 was used as the recovery factor. Based on evaluation of the trend estimates and probability of decrease, the recovery factor for some stocks was increased to 0.7. For other stocks, the recovery factor was decreased to 0.3.

Stock	$N_{MIN}$	$R_{MAX}$	Recovery Factor ( $F_R$ )	PBR
			(default value = 0.5)	
Aleutian Islands	5,772	0.12	0.5	173
Pribilof Islands	232	0.12	0.5	7
Bristol Bay	28,146	0.12	0.7	1,182
North Kodiak	7,096	0.12	0.7	298
South Kodiak	17,479	0.12	0.3	314
Prince William Sound	27,936	0.12	0.5	838
Cook Inlet/Shelikof Strait	25,651	0.12	0.5	770
Glacier Bay/Icy Strait	5,647	0.12	0.5	169
Lynn Canal/Stephens Passage	8,605	0.12	0.3	155
Sitka/Chatham Strait	13,212	0.12	0.7	555
Dixon/Cape Decision	16,727	0.12	0.7	703
Clarence Strait	29,093	0.12	0.7	1,222

## ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

### New Serious Injury Guidelines

NMFS updated its serious injury designation and reporting process, which uses guidance from previous serious injury workshops, expert opinion, and analysis of historic injury cases to develop new criteria for distinguishing serious from non-serious injury (Angliss and DeMaster 1998, Andersen et al. 2008, NOAA 2012). NMFS defines serious injury as an “*injury that is more likely than not to result in mortality.*” Injury determinations for stock assessments revised in 2013 or later incorporate the new serious injury guidelines, based on the most recent 5-year period for which data are available.

### Fisheries Information

Detailed information (including observer programs, observer coverage, and observed incidental takes of marine mammals) for federally-managed and state-managed U.S. commercial fisheries in Alaska waters is presented in Appendices 3-6 of the Alaska Stock Assessment Reports.

Previous stock assessments for harbor seals indicated three observed commercial fisheries operated within the range of the Bering Sea stocks of harbor seals, three within the range of stocks in Southeast Alaska, and five within the range of harbor seal stocks in the Gulf of Alaska. As of 2003, changes in how fisheries are defined in the MMPA List of Fisheries have resulted in separating these fisheries into 14 fisheries in the Bering Sea, 9 fisheries in Southeast Alaska, and 22 fisheries in the Gulf of Alaska based on both gear type and target species (69 FR 70094, 2 December 2004). This change does not represent a change in fishing effort but provides managers with better information on the component of each fishery that is responsible for the incidental mortality or serious injury of marine mammal stocks in Alaska.

Observer programs have documented mortality and serious injury of harbor seals in the Bering Sea/Aleutian Islands (BSAI) flatfish trawl fishery (1 in 2011 and 2 in 2012), Gulf of Alaska (GOA) Pacific cod trawl fishery (1 in 2010), and GOA flatfish trawl fishery (1 in 2011 and 2 in 2013) in 2009-2013 (Breiwick 2013; NMML, unpubl. data) (Table 3).

Although a reliable estimate of the overall mortality and serious injury rate incidental to commercial fisheries is currently unavailable because of the absence of observer placements in salmon gillnet fisheries known to interact with several of these stocks, for the purposes of stock assessment, mean annual mortality and serious injury rates are



assigned to the following harbor seal stocks based on the location of takes in observed fisheries in 2009-2013 (Table 3): Bristol Bay stock: 0.6 from the BSAI flatfish trawl fishery; South Kodiak stock: 0.6 from the GOA Pacific cod trawl fishery + 1.3 from the GOA flatfish trawl fishery; Cook Inlet/Shelikof Strait stock: 0.4 from the GOA flatfish trawl fishery mortality in 2011 (this seal could have been from either the South Kodiak or Cook Inlet/Shelikof Strait stock, so the mortality is assigned to both stocks).

**Table 3.** Summary of incidental mortality and serious injury of harbor seals in Alaska due to U.S. commercial fisheries in 2009-2013 and calculation of the mean annual mortality and serious injury rate (Breiwick 2013; NMML, unpubl. data).

Fishery name	Years	Data type	Percent observer coverage	Observed mortality	Estimated mortality	Mean estimated annual mortality
Bering Sea/Aleutian Is. flatfish trawl	2009	obs data	99	0	0	0.6 (CV = 0.02)
	2010		99	0	0	
	2011		99	1	1	
	2012		99	2	2	
	2013		99	0	0	
Gulf of Alaska Pacific cod trawl	2009	obs data	29	0	0	0.6 (CV = 0.81)
	2010		31	1	2.8	
	2011		41	0	0	
	2012		25	0	0	
	2013		11	0	0	
Gulf of Alaska flatfish trawl	2009	obs data	21	0	0	1.3 (CV = 0.69) <sup>b</sup>
	2010		26	0	0	
	2011		31	1	1.9	
	2012		42	0	0	
	2013		46	2 <sup>a</sup>	4.7	
Minimum total estimated annual mortality						2.5 (CV = 0.41)

<sup>a</sup>Two pinnipeds incidentally caught in 2013 were recently genetically identified as harbor seals.

<sup>b</sup>The CV for this fishery does not accommodate the 2013 data.

Observer programs in Alaska State-managed salmon set gillnet and salmon drift gillnet fisheries have documented harbor seal mortality and serious injury (Table 4). The Prince William Sound salmon drift gillnet fishery is known to interact with harbor seals, although the most recent observer data available for this fishery are from 1990 and 1991. The minimum estimated average annual mortality and serious injury rate (24 seals) in this fishery will be applied to the Prince William Sound stock of harbor seals.

**Table 4.** Summary of incidental mortality and serious injury of harbor seals in Alaska due to U.S. commercial salmon drift and set gillnet fisheries in 1990 and 1991 and calculation of the mean annual mortality and serious injury rate based on the most recent observer program data available.

Fishery name	Years	Data type	Percent observer coverage	Observed mortality	Estimated mortality	Mean estimated annual mortality
Prince William Sound salmon drift gillnet	1990	obs data	4	2	36	24 (CV = 0.50)
	1991		5	1	12	
Minimum total estimated annual mortality						24 (CV = 0.50)

Reports to the NMFS Alaska Region stranding database of harbor seals entangled in fishing gear or with injuries caused by interactions with gear are another source of mortality and serious injury data (Helker et al. 2015). During 2009-2013, harbor seal mortality and serious injury occurred due to interactions with unknown fisheries (1 Clarence Strait harbor seal was observed with a hook and weight in its mouth in 2010 and 1 Cook Inlet/Shelikof Strait harbor seal entangled in an unknown set net in 2011) and recreational fishing gear (1 Prince William Sound harbor seal was caught in hook and line gear and cut loose with trailing gear in 2009), resulting in mean annual mortality and serious injury rates of 0.2 harbor seals from each of these stocks due to fishery-related strandings.

#### Alaska Native Subsistence/Harvest Information

The Alaska Native subsistence harvest of harbor seals has been estimated by the Alaska Native Harbor Seal Commission (ANHSC) and the Alaska Department of Fish and Game (ADF&G). Information from the ADF&G indicates the average harvest levels for the 12 stocks of harbor seals identified in Alaska from 2004 to 2008, including struck and lost, as follows (see Table 5; average annual harvest column). In 2011 and 2012, data on community subsistence harvests were collected for Kodiak Island, Prince William Sound, and Southeast Alaska (see Table 5; annual harvest 2011-2012 column). The remaining stocks have no updated community subsistence data, therefore, the most recent 5-years of data (2004-2008) will be retained and used for estimating average annual mortality and serious injury for these stocks.

**Table 5.** Summary of the subsistence harvest data for all 12 harbor seal stocks in Alaska, 2004-2008 and 2011-2012. Data are from Wolfe et al. (2005, 2006, 2008, 2009a, 2009b, 2012, 2013).

Stock	Minimum annual harvest 2004-2008	Maximum annual harvest 2004-2008	Average annual harvest 2004-2008	Annual harvest 2011 or 2012
Aleutian Islands	50	146	90	N/A
Pribilof Islands	0	0	0	N/A
Bristol Bay	82	188	141	N/A
North Kodiak	66	260	131	37
South Kodiak	46	126	78	126
Prince William Sound	325	600	439	255
Cook Inlet/Shelikof Strait	177	288	233	N/A
Glacier Bay/Icy Strait	22	108	52	104
Lynn Canal/Stephens Passage	17	60	30	50
Sitka/Chatham Strait	97	314	222	77
Dixon/Cape Decision	100	203	157	69
Clarence Strait	71	208	164	40

#### Other Mortality

Reports to the NMFS Alaska Region stranding database of harbor seals entangled in marine debris or with injuries caused by other types of human interaction are another source of mortality and serious injury data (Helker et al. 2015). During 2009-2013, one harbor seal (observed towing a buoy in 2011) was determined to be seriously injured due to entanglement in marine debris and one harbor seal mortality due to a ship strike occurred in 2009, 2010, and 2012. The estimated average annual serious injury and mortality rates based on these stranding data are 0.6 Clarence Strait harbor seals (0.2 due to entanglement in marine debris/gear + 0.4 due to ship strikes in 2009 and 2012) and 0.2 Lynn Canal/Stephens Passage harbor seals (due to a ship strike in 2010) for 2009 to 2013. An additional average annual mortality and serious injury rate of 0.2 will be applied to the Prince William Sound stock for a harbor seal entanglement, observed (with a remotely operated vehicle) in the salmon seine net of a sunken fishing vessel in Prince William Sound in 2011, that was reported to the NMFS Alaska Region (Helker et al. 2015). Mortality and serious injury may occasionally occur incidental to marine mammal research activities authorized under MMPA permits issued to a variety of government, academic, and other research organizations. Between 2003 and 2007, there was no mortality or serious injury resulting from research on any stock of harbor seals in Alaska (Division of Permits and Conservation, Office of Protected Resources, NMFS, 1315 East-West Highway, Silver Spring, MD 20910).

## STATUS OF STOCK

No harbor seal stocks in Alaska are designated as “depleted” under the MMPA or listed as “threatened” or “endangered” under the Endangered Species Act, and human-caused mortality does not exceed PBR for any of the stocks; therefore, none of the stocks are strategic. At present, average annual mortality and serious injury levels incidental to U.S. commercial fisheries that are less than 10% of PBR can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. The status of all 12 stocks of harbor seals identified in Alaska relative to their Optimum Sustainable Population is unknown.

**Aleutian Islands:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 17 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0$  (commercial fisheries) +  $90$  (harvest) +  $0$  (other fisheries + other mortality) =  $90$ ) is not known to exceed the PBR (173). The Aleutian Islands stock of harbor seals is not classified as a strategic stock.

**Pribilof Islands:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 0.7 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 0 + 0 = 0$ ) is not known to exceed the PBR (7). The Pribilof Islands stock of harbor seals is not classified as a strategic stock.

**Bristol Bay:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 118 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0.6 + 141 + 0 = 142$ ) is not known to exceed the PBR (1,182). The Bristol Bay stock of harbor seals is not classified as a strategic stock.

**North Kodiak:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 30 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 37 + 0 = 37$ ) is not known to exceed the PBR (298). The North Kodiak stock of harbor seals is not classified as a strategic stock.

**South Kodiak:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 32 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $1.9 + 126 + 0 = 128$ ) is not known to exceed the PBR (315). The South Kodiak stock of harbor seals is not classified as a strategic stock.

**Prince William Sound:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 84 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and

serious injury ( $24 + 255 + 0.4 = 279$ ) is not known to exceed the PBR (838). The Prince William Sound stock of harbor seals is not classified as a strategic stock.

**Cook Inlet/Shelikof Strait:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 77 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0.4 + 233 + 0.2 = 234$ ) is not known to exceed the PBR (770). The Bristol Bay stock of harbor seals is not classified as a strategic stock.

**Glacier Bay/Icy Strait:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 17 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 104 + 0 = 104$ ) is not known to exceed the PBR (169). The Glacier Bay/Icy Strait stock of harbor seals is not classified as a strategic stock.

**Lynn Canal/Stephens Passage:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 16 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 50 + 0.2 = 50$ ) is not known to exceed the PBR (155). The Lynn Canal/Stephens Passage stock of harbor seals is not classified as a strategic stock.

**Sitka/Chatham Strait:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 56 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 77 + 0 = 77$ ) is not known to exceed the PBR (555). The Sitka/Chatham Strait stock of harbor seals is not classified as a strategic stock.

**Dixon/Cape Decision:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 70 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 69 + 0 = 69$ ) is not known to exceed the PBR (703). The Dixon/Cape Decision stock of harbor seals is not classified as a strategic stock.

**Clarence Strait:** At present, U.S. commercial fishery-related annual mortality and serious injury levels less than 122 animals (i.e., 10% of PBR) can be considered insignificant and approaching zero mortality and serious injury rate. A reliable estimate of the annual rate of mortality and serious injury incidental to commercial fisheries is unavailable. Therefore, it is unknown whether the mortality and serious injury rate due to commercial fishing is insignificant. Based on the best scientific information available, the estimated level of human-caused mortality and serious injury ( $0 + 40 + 0.8 = 41$ ) is not known to exceed the PBR (1,222). The Clarence Strait stock of harbor seals is not classified as a strategic stock.

## HABITAT CONCERNS

Glacial fjords in Alaska are critical for harbor seal whelping, nursing, and molting. Several of these areas have experienced a ten-fold increase in tour ship visitation since the 1980s. This increase in the presence of tour vessels has resulted in additional levels of disturbance to pups and adults (Jansen et al. 2015). The level of serious injury or mortality resulting from increased disturbance is not known.

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