PRIVACY IMPACT ASSESSMENT (PIA)

For the

Windows Integrated Automated Travel System (WINIATS)
Department of the Navy - BUPERS

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

☐ (1) Yes, from members of the general public.

☒ (2) Yes, from Federal personnel* and/or Federal contractors.

☐ (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.

☐ (4) No

 * "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

☐ **New DoD Information System**   ☐ **New Electronic Collection**

☐ **Existing DoD Information System**   ☐ **Existing Electronic Collection**

☒ **Significantly Modified DoD Information System**

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

☐ **Yes, DITPR**   Enter DITPR System Identification Number | DADMS ID: 89797 |

☐ **Yes, SIPRNET** Enter SIPRNET Identification Number | |

☒ **No**

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

☐ **Yes**   ☒ **No**

If "Yes," enter UPI | |

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is <u>retrieved</u> by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

☒ **Yes**   ☐ **No**

If "Yes," enter Privacy Act SORN Identifier | T7333 Integrated Automated Travel System (IATS) |

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: http://www.defenselink.mil/privacy/notices/

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date. | |

e. Does this DoD information system or electronic collection have an OMB Control Number?
Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ **Yes**

Enter OMB Control Number [ ]

Enter Expiration Date [ ]

☒ **No**

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

SORN T7333 Authorities:

5 U.S.C. Section 301; Departmental Regulations
37 U.S.C. Section 404, Travel and transportation allowances; general
DoD Directive 5154.29, DoD Pay and Allowances Policy and Procedures
Department of Defense Financial Management Regulation (DoDFMR) 7000.14.R, Volume 9
E.O 9397(SSN), as amended.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

WINIATS is an automated travel computation system used by Travel Office personnel to compute travel entitlements for both Military and civilian travelers. WINIATS computes a variety of travel claims such as temporary duty travel (TDY), permanent change of station (PCS), local travel, and do-it-yourself travel (DITY). The WINIATS production environment is operating at all DFAS sites. In addition, multiple U.S. Army, U.S. Navy, U.S Air Force, U.S. Marine Corps, and U.S. Army Corps of Engineers sites use WINIATS worldwide.

Personal Information Collected: Name, SSN, Mailing/Home Address, Personal E-mail Address, and Financial Information: account type, bank routing number, and bank account number.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Privacy risk is unauthorized personnel viewing or gaining PII. Safeguards in place to protect PII include the following:

1) Security Guards, Identification Badge,s and Electronic Key Cards access to building where system server infrastructure is located.
2) ID Badge, Electronic Key Cards, Security Guards, and Closed Circuit TV (in most cases) are implemented in buildings were personnel who utilize the applications on CCES have their work spaces.
3) CCES administrators and users have User Accounts to log into the appropriate applications they have authorized access to, such as WinIATS, DMO, or both. Additionally, users are logged into their NMCI with their CAC.
4) DoD PII annual training is required for all CCES and NMCI users.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

☒ **Within the DoD Component.**

Specify. DON (Navy and Marine Corps)

☒ **Other DoD Components.**

Specify. DFAS, Dept of Army, Dept of Air Force, Army Corps of Engineers

☐ **Other Federal Agencies.**

Specify.

☐ **State and Local Agencies.**

Specify.

☐ **Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

☐ **Other** (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

☐ Yes   ☒ No

    (1) If "Yes," describe method by which individuals can object to the collection of PII.

PII is not collected directly from the individual by WINIATS.

    (2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

☐ Yes   ☒ No

    (1) If "Yes," describe the method by which individuals can give or withhold their consent.

PII is not collected directly from the individual by WINIATS.

    (2) If "No," state the reason why individuals cannot give or withhold their consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

☐   **Privacy Act Statement**   ☐   **Privacy Advisory**

☐   **Other**   ☒   **None**

Describe each applicable format.	PII is not collected directly from the individual by WINIATS.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.