



PRIVACY IMPACT ASSESSMENT (PIA)

For the

ANNUAL RETIREMENT POINT RECORD/ANNUAL STATEMENT OF
SERVICE HISTORY (ARPR/ASOSH Online) - BOL

Department of the Navy - BUPERS

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
- No

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
- No

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

SORN Authorities:

N01080-1

10 U.S.C. 5013, Secretary of the Navy
E.O. 9397 (SSN), as amended.

N01080-2

110 U.S.C. 5013, Secretary of the Navy
E.O. 9397 (SSN), as amended.

N01080-3

5 U.S.C. 301, Department Regulations
E.O. 9397 (SSN), as amended.

Other authorities:

BUPERSINST 1001.39F, CHAPTER 20

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

To provided Navy Reservists with the ability to view their retirement point calculations online. The Annual Retirement Point Record/Annual Statement of Service History (ARPR/ASOSH) is available online on the BUPERS Online (BOL) website. This service is available to all Selected Reservists, members on the Temporary Disability Retried List (TDRL) and Individual Ready Reserve members that are currently earning retirement points. The ARPR/ASOSH indicates the points earned (drill points and correspondence course points) and total qualifying years toward a non-regular retirement. The reservist is to monitor the points earned and qualifying years to determine when eligible for retirement and to request corrections when errors are identified.

The ARPR/ASOSH ONLINE consist of three text files (extracted from the mainframe system, IMAPMIS). These text files are uploaded into a database where the retirement point calculations are posted under Web Pages displayed on BUPERS ONLINE (BOL).

Personal Information: Name, SSN, Birth Date, Mailing/Home Address, Education Information: Correspondence courses completed, Military Records: Reserve drill points earned.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

The risks associated with collecting this PII is potential compromise of SSN, date of birth, and home contact information. This risk is mitigated through security measures implemented with BOL to secure the data and the system.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Naval Operational Support Centers (NOSCS), Personnel Support Detachment/Customer Service Detachments (PSD/CSDs), Marines, SPAWAR developers (maintenance support)

Other DoD Components.

Specify.

Defense Management Data Center (DMDC), Defense Accounting and Finance Center (DFAS), Army, Coast Guard and Air Force.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

PII is not collected directly from the individual except when the individual initiates the request for information. If additional information is required the member is provided with a Privacy Act Statement.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected directly from the individual except when the individual initiates the request for information. If additional information is required the member is provided with a Privacy Act Statement.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

- Privacy Act Statement**
- Privacy Advisory**
- Other**
- None**

Describe each applicable format.

The only time an individual is asked to provide PII is after they have sent a request for information and additional information is required to provide the completed statement of service. When the individual initiates the request for information the member is provided with a Privacy Act Statement.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.