

Federally Permitted Seafood Dealer Reporting Requirements

Any person or company that purchases or receives for a commercial purpose other than solely for transport on land one of the species or species groups listed in Table 1 from the owner or operator of a vessel permitted by the Greater Atlantic Regional Office (GARFO) must be issued a seafood dealer permit from GARFO and must submit trip-level reports for all species purchased (see Sections 5 and 6 for exceptions) on a weekly basis to NOAA Fisheries Service, using one of the approved electronic means described further below (50 C.F.R. §648.7 & 50 C.F.R. §697.6 & 50 C.F.R. §635.5).

1. Submitting Dealer Reports

Dealers must submit all reports for a reporting week (Sunday to Saturday) by midnight the following Tuesday. Edits can be made to reports up to 90 business days following the submission deadline of the initial report.

2. Submitting Negative Reports

If no purchases are made or landings are received during a reporting week (Sunday-Saturday), a negative report must be submitted, by midnight of the following Tuesday. Negative reports may be submitted up to 3 months in advance if you believe that you will not be making any purchases or receiving fish or shellfish during that time period (e.g., Seasonal closures). A late positive report will overwrite the negative report for that purchase date.

3. Corrections

Corrections can be made by contacting the Northeast Region Dealer Data Corrections line (978) 675--2160.

4. Compliance

NOAA Fisheries Service staff will work with dealers to resolve technical and reporting issues; however, it is a dealer's responsibility to report completely, accurately and on time. Dealers not submitting complete and accurate electronic reports within the required timeframe will be considered out of compliance and may be referred to the NOAA Fisheries Office of Law Enforcement for further action.

5. Reporting Methods

The acceptable methods for submitting reports electronically are:

- a Web-based SAFIS system https://safis.accsp.org:8443/safis_prod/f?p=SAFIS:101
- File uploading to SAFIS from an existing software application (such as accounting software) used by your business
- File uploading from the Bluefin Tuna Data, LLC Trip Ticket software system <http://www.bluefindata.com/tripticket.html>

For more information about installing and utilizing any of these methods, contact the Northeast Region Dealer Reporting Help Desk at 978-281-9212.

Table 1: Species Requiring a GARFO Permit

American Lobster	Golden Tilefish
Atlantic Bluefish	Monkfish
Atlantic Deep-Sea Red Crab	Northeast Multispecies
Atlantic Hagfish	Ocean Quahog
Atlantic Herring	Scup
Atlantic Mackerel	Skate
Atlantic Sea Scallop	Spiny Dogfish
Atlantic Tunas	Squid (Illex or Loligo)
Black Sea Bass	Summer Flounder
Butterfish	Surf Clam

6. Required Information

The following information is required to be reported for each purchase or receipt of fish or shellfish from a vessel (exceptions discussed below) regardless of where or how it was harvested (inshore or federal waters), or who harvested it (federally permitted or state-only permitted). This is a requirement for all vessels purchased from, regardless of whether the vessel has a federal permit.

For each trip the dealer must report the following information:

- Your dealer name and permit number
- Vessel name and permit number or hull number (state registration or USCG documentation number) of the vessel from which fish are purchased or received
- Date of purchase or receipt
- Vessel Trip Report Serial Number - as supplied to the dealer by the vessel
 - This is the 8 digit serial number from the first vessel trip report page (VTR) or the 14 digit electronic vessel trip report (eVTR) used by the harvesting vessel for each trip
 - Required for all trips made by those vessels permitted under 50 C.F.R. §648
 - Vessels are not required to provide the vessel trip report serial number if they only hold state permits or if they are federally permitted only under other parts of this regulation such as American lobster (50 C.F.R. §697) and Atlantic Tunas (50 C.F.R §635)
 - The vessel trip report serial number (VTR serial number) must be submitted with the initial purchase report
- Amount of each species by market category and unit of measure being reported (e.g., pounds, bushels, or count)
- Disposition (e.g., human food, bait, pet food or reduction)
- Price per unit of each species or total value by species per unit by market category
 - Price and disposition may be submitted up to 16 days after purchase or receipt
- Port and state where the fish were landed
- Cage tag numbers for surf clams and ocean quahogs harvested under a NOAA Fisheries Service ITQ allocation
- Additional data must be reported for highly migratory species (e.g., HMS catch area, sale price, whether shark fins are naturally attached), for additional information call HMS Electronic Reporting Help Desk 301-427-8590 or your [local Port Office](#).

7. Out-of-Region Purchases

Dealers purchasing or receiving fish from a vessel landing outside the Northeast Region (Maine to North Carolina) are required to report only those species managed by the Northeast Region (Table 1) and all highly migratory species including Atlantic tunas, swordfish and sharks.

8. Additional Requirements

All federally permitted processors (including surf clam and ocean quahog processors) are required to complete and submit all sections of the Annual Processed Products Report, which is mailed out during the first quarter of each year.

This document does not contain all the regulatory provisions that pertain to dealers and consequently has no legal force or effect. A copy of the complete reporting regulations can be obtained through the Office of the Federal Register or electronically through links on the NERO website: www.greateratlantic.fisheries.noaa.gov/regs/fr.html

SAFIS Help Desk 978-281-9212
Domestic Atlantic Bluefin Tuna Reporting: 978-281-9140
HMS Electronic Reporting Help Desk: 301-427-8590

Information on dealer electronic reporting <http://www.greateratlantic.fisheries.noaa.gov/aps/dealer/derfaq.pdf>
NERO/Fisheries Data Services Division field offices <http://www.greateratlantic.fisheries.noaa.gov/sed/portagents/index.html>
Web version available at <http://www.greateratlantic.fisheries.noaa.gov/aps/dealer/fedseafooddealerreportrequirements.pdf>

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