PRIVACY IMPACT ASSESSMENT (PIA)

For the

NAF RETAIL POINT OF SALE (RPOS)
Department of Navy - United States Marine Corps (USMC)

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

☐ (1) Yes, from members of the general public.

☐ (2) Yes, from Federal personnel* and/or Federal contractors.

☒ (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.

☐ (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

☒ **New DoD Information System**   ☐ **New Electronic Collection**

☐ **Existing DoD Information System**   ☐ **Existing Electronic Collection**

☐ **Significantly Modified DoD Information System**

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

☒ **Yes, DITPR**   Enter DITPR System Identification Number   DITPR ID: 16980   DITPR DON ID: 22923

☐ **Yes, SIPRNET**   Enter SIPRNET Identification Number

☐ **No**

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

☒ **Yes**   ☐ **No**

If "Yes," enter UPI   UII: 007-000100178

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is <u>retrieved</u> by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

☒ **Yes**   ☐ **No**

If "Yes," enter Privacy Act SORN Identifier   NM04060-1

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: http://www.defenselink.mil/privacy/notices/

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?
Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ **Yes**

Enter OMB Control Number

Enter Expiration Date

☒ **No**

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

SORN authorities:

10 U.S.C. 5013, Secretary of the Navy
10 U.S.C. 5041, Headquarters, Marine Corps
E.O. 9397 (SSN), as amended.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

Retail Point of Sale system software captures tender data as result of purchases made by the DoD Consumer. Retail Point of Sale System includes credit authorization, check processing, tender management, sales transactions, inventory data, and reporting data.

PII collected:
* Name
* Mailing/Home Address
* Social Security Number (if DoD ID number is not available)

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Classification of Data processed and stored in the RPOS system is unclassified. The data captured within the RPOS system relates to the buying and returning of goods by DoD personnel. PII data is captured when a consumer does not have a readable CAC containing an DoD ID number if they tender their purchase with a check, make a return without receipt, or a Special Order of merchandise.

The initial risk is mitigated by restricting the capture of PII when only 3 of 72 different transaction types occur and the requirement of a CAC. Control measures begin at the physical entry points to the Marine base installations where DoD personnel are required to show appropriate personal identification. Once they are on the base installation, use of the retail operation is restricted to DoD personnel, Active Duty, Retired and DoD Civilians.

Risk is further mitigated with restricted access to the databases that contain the required information. The data stored is encrypted with limited access of decryption by system administrators.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

☒ **Within the DoD Component.**

Specify. | Computron (MCCS Financial Management System)

☒ **Other DoD Components.**

Specify. | AAFES (Bad Check System)

☐ **Other Federal Agencies.**

Specify. |

☐ **State and Local Agencies.**

Specify. |

☐ **Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify. |

☐ **Other** (e.g., commercial providers, colleges).

Specify. |

i. Do individuals have the opportunity to object to the collection of their PII?

☒ Yes ☐ No

    (1) If "Yes," describe method by which individuals can object to the collection of PII.

> If a patron does not want to provide requested PII data, they can verbally refuse and provide a different form of payment, or a scannable piece of identification that contains an DoD ID number.

    (2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

☒ Yes ☐ No

    (1) If "Yes," describe the method by which individuals can give or withhold their consent.

> Verbally

    (2) If "No," state the reason why individuals cannot give or withhold their consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

☐	Privacy Act Statement	☐	Privacy Advisory
☐	Other	☒	None

Describe each applicable format.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.