PRIVACY IMPACT ASSESSMENT (PIA)

For the

Web Enabled Safety System (WESS)
Department of the Navy - COMNAVSAFECEN

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

☐ (1) Yes, from members of the general public.

☐ (2) Yes, from Federal personnel* and/or Federal contractors.

☒ (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.

☐ (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

☐	**New DoD Information System**	☐	**New Electronic Collection**
☒	**Existing DoD Information System**	☐	**Existing Electronic Collection**
☐	**Significantly Modified DoD Information System**		

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

☒	**Yes, DITPR**	Enter DITPR System Identification Number	DIPPR ID: 5731 DITPR DON ID: 21015
☐	**Yes, SIPRNET**	Enter SIPRNET Identification Number	
☐	**No**		

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

☒ **Yes** ☐ **No**

If "Yes," enter UPI UII: 007-000001264

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

☒ **Yes** ☐ **No**

If "Yes," enter Privacy Act SORN Identifier NM05100-4

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: http://www.defenselink.mil/privacy/notices/

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?
Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ **Yes**

Enter OMB Control Number

Enter Expiration Date

☒ **No**

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

SORN authorities:

5 U.S.C. 301, Departmental Regulations;
10 U.S.C. 5013, Secretary of the Navy;
10 U.S.C. 5041, Headquarters, Marine Corps;
E.O. 12196, Occupational Safety and Health Programs for Federal Employees;
DoD Instruction 6055.07, Accident Investigation, Reporting, and Record Keeping;
OPNAVINST 5102.1 series, Mishap Investigation and Reporting;
OPNAVINST 3750.6 series, Naval Aviation Safety Programs;
MCO P5102.1B, Marine Corps Ground Mishap Investigation and Reporting Manual;
E.O. 9397 (SSN), as amended;

Other authorities:

OPNAVINST 5450.180E, Mission and Functions of Naval Safety Center;
OPNAVINST 3150.27B, Navy Diving Program;
OPNAVINST 3501.225C, Premeditated Personnel Parachuting (P3) Program.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The WESS Injury Verification Feed is information provided to COMNAVSAFECEN from medical sources regarding personnel injuries and illnesses. COMNAVSAFECEN automatically redistributes this information, via WESS, to the command specified in this data feed for verification of safety investigation and/or reporting requirements.

The Mishap/Hazard reporting module collects information on injuries and occupational illnesses required of Federal governmental agencies by the Occupational Safety and Health Administration (OSHA) along with pertinent information for property damage occurring during Naval operations. The data maintained in this system is used for analytical purposes to improve the Department of the Navy's accident prevention policies, procedures, standards, and operations, as well as ensure internal data quality assurance.

The Dive Jump Reporting System (DJRS) module collects on-duty dive and jump exposure data that allows for analysis to identify trends in personnel and equipment performance and procedural adequacy. It also serves as the source for the generation of official dive or jump logs for an individual or command.

Privacy information collected includes: Name, SSN, gender, birth date, age, marital status, number of dependents, medical information, employment information.

Medical Information:
Hours slept last 24 hours
Hours worked last 24 hours
Offsite Medical Facility Name
Name of Offsite Physician Providing Treatment
Offsite City, State and Zip
Involved Sharps Type and Brand
Involved Chemical Toxic Name and MSDS Number
Body Part Injured
Nature of Injury
BLS Source of Injury
BLS Injury Type
Lost Work Days
Hospitalized Days
Job Transferred Days
Light, Limited, Restricted Duty Days
Date of Death

Additional Medical Information Captured by Aviation Only:

Height
Weight
Shoulder Width
Sitting Height Anthropometric Code
Buttock Knee Length
Buttock Len Length
Functional Reach
Type of Last Sleep and Duration
Alcoholic Drinks Consumed
Time Since Alcohol Last Consumed
Hours Awake Prior
Hours Duty Prior
Hours Slept in the Last 48 Hours
House Slept in the Last 72 Hours
Hours Worked in the Last 48 Hours

Hours Worked in the Last 72 Hours
Unconscious?
Smoker?
X-Ray Performed?
Body X-Ray Significance?
Spinal X-Ray Significance?
X-Ray Within Normal Limits?
Pre-Existing Conditions –
- Method of Discovery
- Waiver Authority
- Waiver Date
- Condition ICD Diagnosis
- Existing Condition Diagnosis
Relevant Lab Test Information –
- Type of Lab Test
- Date Drawn
- Elapsed Time
- Lab Normal Range
- Within Normal Range?
- Type Lab Used
- Type Tissue Used
- Toxicology Substance
Personal History Comments
Flight Physical Examination/Physical Qualifications/Waivers Comments
Medical History Acute and Chronic Medical Conditions Comments
Medications Comments

Employment Information:
Branch of Service
Service Status (Active, Reserve, Appropriated Civilian, Non-Appropriated Civilian, etc....)
Military Category
Rank
Series
Job Title
Pay Band/Pay Grade
First Line Supervisor First Name, Last Name, Rank
First Line Supervisor Badge (Shore Only)
Second Line Supervisor First Name, Last Name, Rank, Badge (Shore Only)
Years and Months Experience

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

All privacy data captured presents a potential privacy risk. To safeguard privacy, the following safeguards are implements:

1) XML files with draft report content are encrypted on a file system
2) SSN is encrypted in database
3) All data transit to and from the database is encrypted.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

☒ **Within the DoD Component.**

Specify. Commands and activities throughout the Department of the Navy required to report safety mishaps and injuries.

☒ **Other DoD Components.**

Specify. Office of the Secretary of Defense (Personnel and Readiness)

☐ **Other Federal Agencies.**

Specify.

☐ **State and Local Agencies.**

Specify.

☐ **Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

☐ **Other** (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

☐ **Yes** ☒ **No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

PII is not collected directly from the individual.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

☐ **Yes** ☒ **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected directly from the individual.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

☐ **Privacy Act Statement** ☐ **Privacy Advisory**

☒ **Other** ☐ **None**

Describe each applicable format.

PII is not collected directly from the individual.

The Terms and Conditions agreed upon when a WESS account is created references the DON Privacy Program and specifically states "...the information accessed, stored, transmitted or processed by the WESS application and/or the WESS database shall not be released to the general public, contractors, other government agencies or Congress without proper authorization. Information accessed, stored, transmitted or processed by the WESS application and/or the WESS database shall not be used for any purpose other than safety."

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.