

**University of North Texas at Dallas**  
**Fall 2015**  
**SYLLABUS**

<b>FINA 4900.001: Investments 3hrs</b>		
<b>Department of Finance</b>		<b>Division of Business</b>
<b>Instructor Name:</b>	<b>John Rowland, MBA, MPA, BA, BS</b>	
<b>Office Location:</b>	N/A	
<b>Office Phone:</b>	316-619-1643	
<b>Email Address:</b>	<a href="mailto:Pnx1@cox.net">Pnx1@cox.net</a> or Blackboard	
<b>Office Hours:</b>	<b>By appointment</b>	
<b>Virtual Office Hours:</b>	<b>Available via email, text, or phone</b>	
<b>Classroom Location:</b>	Online and <a href="https://learn.unt.edu">https://learn.unt.edu</a>	
<b>Class Meeting Days &amp; Times:</b>	N/A	
<b>Course Catalog Description:</b>	First course for the individual investor. Idea of investment value and necessary prerequisites for an investment program. Policies, economic, and industry factors. Introduction to security analysis and valuation, operation of security markets, and security laws.	
<b>Prerequisites:</b>	Completion of pre-business requirements, including FINA 3770, ACCT 2010D and ACCT 2020D or equivalent with grades of C or better, or consent of department.	
<b>Co-requisites:</b>	None	
<b>Required Text:</b>	<i>Investments: Analysis and Management</i> , 12e, Jones, Charles P. ISBN 978-1-118-36329-4	
<b>Recommended Text and References:</b>	Calculator: BA II PLUS by Texas Instruments (recommended) or any other calculator or app with time-value-of-money, IRR, and NPV functions.	
<b>Access to Learning Resources:</b>	UNT Dallas Library: phone: (972) 338-1616; web: <a href="http://www.untdallas.edu/our-campus/library">http://www.untdallas.edu/our-campus/library</a> UNT Dallas Bookstore: phone: (972) 780-3652; e-mail: <a href="mailto:1012mgr@fheg.follett.com">1012mgr@fheg.follett.com</a>	
<b>Course Goals or Overview:</b>		
	The goal of this course is to provide knowledge that will allow students to apply Investments concepts to real world situations that they will likely encounter as they pursue careers in business and finance.	
<b>Learning Objectives/Outcomes:</b> At the end of this course, the student will be able to:		

1	Explain the features and characteristics of common investment alternatives
2	Compute investment returns and risk
3	Explain how securities are traded
4	Compute the value of equity, debt, and basic derivatives securities
5	Analyze and formulate investment strategies for common stocks and bonds
6	Organize the management of an investment portfolio in a logical and systematic manner
7	Explain the techniques used in technical analysis and assess the role of technical analysis in an investments strategy.

### Course Outline

This schedule is subject to change by the instructor. Any changes to this schedule will be communicated in class and/or Blackboard announcements and postings. Specific dates and details for activities, assignments, assessments, and items will be posted in Blackboard.

Date	Week	Topic Covered	Text Reference
Aug 24	1	Understanding Investments	Chapter 1
Aug 31	2	Investment Alternatives	Chapter 2
Sept 7	3	<b>Labor Day – No Class</b>	
Sept 14	4	Indirect Investing	Chapter 3
Sept 21	5	Securities Markets and Market Indices	Chapter 4
Sept 28	6	<b>Exam 1 (Chapters 1, 2, 3, &amp; 4)</b>	
Oct 5	7	How Securities are Traded	Chapter 5
Oct 12	8	Investment Risk and Return Measurement	Chapter 6
Oct 19	9	Common Stock Valuation	Chapter 10
Oct 26	10	Common Stock: Analysis and Strategy	Chapter 11
Nov 2	11	<b>Exam 2 (Chapters, 5, 6, 10, &amp; 11)</b>	
Nov 9	12	Bonds: Yields and Prices	Chapter 17
Nov 16	13	Bonds: Analysis and Strategy	Chapter 18
Nov 23	14	Options	Chapter 19
Nov 30	15	Managing Financial Assets	Chapter 21
Dec 7	16	<b>Exam 3 (Chapters 17, 18, 19, &amp; 20)</b>	

### Course Evaluation Methods

**Exams** – Three exams will be administered. Exams are designed to measure knowledge of course materials (text and presentations).

**Homework** – Written assignments are designed to supplement and reinforce course material. All assignments will be graded and contributed to the student's final grade.

### Grading Matrix:

Instrument	Points Assigned	Total	% of Total
3 Exams	100	300	75%
Homework	100	100	25%
<b>Total</b>		<b>400</b>	<b>100%</b>

**Grade Determination:**

A = 360–400 points 90% >

B = 320–359 points 80%–89%

C = 280–319 points 70%–79%

D = 240–279 points 60%–69%

F = 239 points 59% <

## University Policies and Procedures

**Students with Disabilities (ADA Compliance):**

The University of North Texas at Dallas faculty is committed to complying with the Americans with Disabilities Act (ADA). Students' with documented disabilities are responsible for informing faculty of their needs for reasonable accommodations and providing written authorized documentation. Grades assigned before an accommodation is provided will not be changed as accommodations are not retroactive. For more information, you may visit the Student Life Office, Suite 200, Building 2 or call Laura Smith at 972-780-3632.

**Student Evaluation of Teaching Effectiveness Policy:**

The Student Evaluation of Teaching Effectiveness (SETE) is a requirement for all organized classes at UNT. This short survey will be made available to you at the end of the semester, providing you a chance to comment on how this class is taught. I am very interested in the feedback I get from students, as I work to continually improve my teaching. I consider the SETE to be an important part of your participation in this class.

**Assignment Policy:**

**You must submit assignments when they are due.** The course week begins each Monday and ends on the following Sunday night at 11:59 PM. **All Homework are due Sunday before 11:59 PM.** Any Homework submitted after Sunday night at 11:59 PM will be considered late and a 20% deduction is automatically applied to each week up to the fifth week when the score will be ZERO.

**Exam Policy:**

Exams should be taken as scheduled. No makeup examinations will be allowed except for documented emergencies (See Student Handbook).

**Academic Integrity:**

Academic integrity is a hallmark of higher education. You are expected to abide by the University's code of Academic Integrity policy. Any person suspected of academic dishonesty (i.e., cheating or plagiarism) will be handled in accordance with the University's policies and procedures. Refer to the Student Code of Academic Integrity at [http://www.unt.edu/unt-dallas/policies/Chapter%2007%20Student%20Affairs,%20Education,%20and%20Funding/7.002%20Code%20of%20Academic\\_Integrity.pdf](http://www.unt.edu/unt-dallas/policies/Chapter%2007%20Student%20Affairs,%20Education,%20and%20Funding/7.002%20Code%20of%20Academic_Integrity.pdf) for complete provisions of this code. In addition, all academic work submitted for this class, including exams, papers, and written assignments should include the following statement:

**On my honor, I have not given, nor received, nor witnessed any unauthorized assistance that violates the UNTD Academic Integrity Policy.**

**Bad Weather Policy (not applicable for online courses):**

On those days that present severe weather and driving conditions, a decision may be made to close the campus. In case of inclement weather, call UNT Dallas Campuses main voicemail number (972) 780-3600 or search postings on the campus website [www.unt.edu/dallas](http://www.unt.edu/dallas). Students are encouraged to update their Eagle Alert contact information, so they will receive this information automatically.

**Attendance and Participation Policy (not applicable for online courses):**

The University attendance policy is in effect for this course. Class attendance and participation is expected because the class is designed as a shared learning experience and because essential information not in the textbook will be discussed in class. The dynamic and intensive nature of this course makes it impossible for students to make-up or

to receive credit for missed classes. Attendance and participation in all class meetings is essential to the integration of course material and your ability to demonstrate proficiency. Students are responsible to notify the instructor if they are missing class and for what reason. Students are also responsible to make up any work covered in class. It is recommended that each student coordinate with a student colleague to obtain a copy of the class notes, if they are absent.

**Diversity/Tolerance Policy:**

Students are encouraged to contribute their perspectives and insights to class discussions. However, offensive & inappropriate language (swearing) and remarks offensive to others of particular nationalities, ethnic groups, sexual preferences, religious groups, genders, or other ascribed statuses will not be tolerated. Disruptions which violate the Code of Student Conduct will be referred to the Office of Student Life as the instructor deems appropriate.

**Eagle Connect Account:**

All students should activate and regularly check their EagleConnect (email) account. EagleConnect is used for official communication from the University to students. Many important announcements for the University and College are sent to students via EagleConnect. For information about EagleConnect, including how to active an account and how to have EagleConnect forwarded to another e-mail address, visit <https://eagleconnect.unt.edu>