# Report on DOT Significant Rulemakings

# Table of Contents

# Federal Aviation Administration

- 1. Qualification, Service, and Use of Crewmembers and Aircraft Dispatchers
- 2. Pilot-in-Command Proficiency Check and Other Changes to the Pilot and Pilot School Certification Rules
- 3. Certification of Turbofan Powered Airplanes and Miscellaneous Amendments
- 4. <u>Supercooled Large Droplet Icing Conditions</u>
- 5. Restrictions on Operators Employing Former Flight Standards Service Aviation Safety Inspectors
- 6. Airport Safety Management System
- 7. Photo Requirements for Pilot Certificates
- 8. Part 121 Activation of Ice Protection
- 9. Air Ambulance and Commercial Helicopter Operations; Safety Initiatives and Miscellaneous Amendments
- 10. Flight and Duty Time Limitations and Rest Requirements
- 11. Operation and Certification of Small Unmanned Aircraft Systems (sUAS)
- 12. <u>Repair Stations</u>
- 13. <u>Pilot Certification and Qualification Requirements (formerly First Officer Qualification Requirements) (HR 5900)</u>
- 14. Prohibition Against Certain Flights Within the Territory and Airspace of Afghanistan
- 15. Requirement for Wildlife Assessments at Certificated Airports
- 16. Regulation Of Flight Operations Conducted By Alaska Guide Pilots
- 17. Air Carrier Maintenance Training Program
- 18. Safety Management Systems for Part 121 Certificate Holders
- 19. Flight Crewmember Mentoring, Leadership and Professional Development (HR 5900)

20. <u>New York Congestion Management Rule for LaGuardia Airport, John F. Kennedy International Airport, and Newark Liberty International Airport</u>

21. Lavatory Oxygen Systems

22. Part 121 Exiting Icing

# Federal Highway Administration

- 23. National Tunnel Inspection Standards
- 24. Lane Line Retroreflectivity
- 25. Emergency Relief Program (RRR)
- 26. Manual for Assessing Safety Hardware

27. <u>National Standards for Traffic Control Devices</u>; the Manual on Uniform Traffic Control Devices for Streets and Highways; Engineering Judgments (RRR)

28. <u>National Standards for Traffic Control Devices; the Manual on Uniform Traffic Control Devices for Streets</u> and Highways; Compliance Dates Revision (RRR)

# Federal Motor Carrier Safety Administration

29. Unified Registration System

30. Application by Certain Mexico-Domiciled Motor Carriers to Operate Beyond U.S. Municipalities and Commercial Zones on the U.S.-Mexico Border

31. <u>Safety Monitoring System and Compliance Initiative for Mexico-Domiciled Motor Carriers Operating in the United States</u>

- 32. Certification of Safety Auditors, Safety Investigators, and Safety Inspectors
- 33. Limitations on the Issuance of Commercial Driver Licenses with a Hazardous Materials Endorsement
- 34. Qualifications of Drivers; Diabetes Standard
- 35. National Registry of Certified Medical Examiners

- 36. Consumer Complaint Information
- 37. Minimum Training Requirements for Entry Level Commercial Motor Vehicle Operations
- 38. Carrier Safety Fitness Determination
- 39. <u>New Entrant Safety Assurance Process: Implementation of Section 210(b) of the Motor Carrier Safety</u> <u>Improvement Act of 1999</u>
- 40. Commercial Driver's License Drug and Alcohol Clearinghouse
- 41. Electronic On-Board Recorders and Hours of Service Supporting Documents
- 42. Hours of Service
- 43. Drivers of Commercial Vehicles: Restricting the Use of Cellular Phones
- 44. Drivers of CMVs: Addressing Distracted Driving
- 45. Medical Examiner's Certification Integration

# Federal Railroad Administration

- 46. Roadway Worker Protection; Adjacent-Track On-Track Safety
- 47. Critical Incident Stress Plan; "Critical Incident" Definition
- 48. Training Standards for Railroad Employees
- 49. Vehicle/Track Interaction Safety Standards; High-Speed and High Cant Deficiency Operations
- 50. Alcohol and Controlled Substance Testing for Maintenance-of-Way Employees
- 51. Risk Reduction Program
- 52. Emergency Escape Breathing Apparatus
- 53. Hours of Service: Passenger Train Employees
- 54. <u>High-Speed Rail Corridor Development and Capital Investment Grants to Support Intercity Passenger Rail</u> Service
- 55. Alternate Passenger Rail Service Pilot Program
- 56. Amendments Expanding the Drug Panel for FRA Post-Accident Toxicological Testing
- 57. Medical Standards for Certified Locomotive Engineers and Conductors
- 58. Positive Train Control Systems Amendments (RRR)
- 59. Railroad System Safety Program

60. Positive Train Control Systems: De Minimis Exception, Yard Movements, En Route Failures; Miscellaneous Grade Crossing/Signal and Train Control Amendments

# Federal Transit Administration

- 61. Capital Project Management
- 62. <u>Bus Testing: Updating Testing Procedures</u>
- 63. Major Capital Investment Projects (RRR)

# Maritime Administration

64. <u>Regulations To Be Followed by All Departments, Agencies and Shippers Having Responsibility To Provide a</u> <u>Preference for U.S.-Flag Vessels in the Shipment of Cargoes on Ocean Vessels.</u>
65. <u>Cargo Preference - Compromise, Assessment, Mitigation, Settlement & Collection of Civil Penalties</u>

# National Highway Traffic Safety Administration

- 66. FMVSS No. 124, Accelerator Control Systems Upgrade
- 67. Federal Motor Vehicle Safety Standard No. 111, Rearview Mirrors
- 68. Require Installation of Seat Belts on Motorcoaches, FMVSS No. 208
- 69. Commercial Medium- and Heavy-Duty On-Highway Vehicles and Work Truck Fuel Efficiency Standards
- 70. Alternative Fuel Vehicle Badging and Fuel Compartment Labels on Alternative Fuel Usage
- 71. Tire Fuel Efficiency Consumer Information Part 2
- 72. Passenger Car and Light Truck Corporate Average Fuel Economy Standards MYs 2017 and Beyond
- 73. Mandatory Part 563 Event Data Recorder Requirements
- 74. Upgrade of Event Data Recorder Requirements
- 75. FMVSS 114, Theft Protection and Rollaway Prevention, Keyless Ignition Systems

- 76. <u>Heavy Vehicle Speed Limiters</u>
- 77. Sound for Hybrid and Electric Vehicles
- 78. Motorcoach Rollover Structural Integrity
- 79. Electronic Stability Control Systems for Heavy Vehicles
- 80. FMVSS No. 218 and Enforcement Policy Concerning Novelty Helmets
- 81. Part 571 FMVSS No. 205, Glazing Materials, GTR (RRR)

### **Office of the Secretary**

- 82. Transportation for Individuals with Disabilites" Miscellaneous Amendments
- 83. Protection of Sensitive Security Information

84. Prioritization and Allocation Authority Exercised By the Secretary of Transportation Under the Defense Production Act

- 85. Use of the Seat-Strapping Method for Carrying a Wheelchair on an Aircraft
- 86. Stowage of Wheelchairs, other Mobility Aids and other Assistive Devices
- 87. Accessibility of Airports
- 88. Accessibility of Carrier Websites and Ticket Kiosks
- 89. Smoking of Electronic Cigarettes On Commercial Aircraft
- 90. Enhancing Airline Passenger Protections III
- 91. Carrier-Supplied Medical Oxygen, Accessible In-Flight Entertainment Systems, Service Animals, and

Accessible Lavatories on Single-Aisle Aircraft

# Pipeline and Hazardous Materials Safety Administration

- 92. Hazardous Materials; Combination Packages Containing Liquids Intended for Transport by Aircraft
- 93. Hazardous Materials: Bulk Loading and Unloading Operations
- 94. Pipeline Safety: Enforcement of State Excavation Damage Laws
- 95. Hazardous Materials: Revisions to Requirements for the Transportation of Lithium Batteries
- 96. Hazardous Materials: Combustible Liquids

97. <u>Hazardous Materials: Safety Requirements for External Product Piping on Cargo Tanks Transporting</u> <u>Flammable Liquids (Wetlines)</u>

- 98. <u>Hazardous Materials: Limiting the Use of Mobile Telephones by Highway</u>
- 99. Pipeline Safety: Safety of On-Shore Liquid Hazardous Pipelines
- 100. Hazardous Materials: Transportation of Lithium Batteries
- 101. Hazardous Materials: Revision of Requirements for Fireworks Approvals

102. Pipeline Safety: Excess Flow Valves In Applications Other Than Single-Family Residences in Gas Distribution Systems

- 103. Pipeline Safety: Safety of Gas Transmission Pipelines
- 104. Drivers of CMVs: Addressing Distracted Driving

# **Research and Innovative Technology Administration**

105. Reporting Ancillary Airline Passenger Revenues

#### Federal Aviation Administration

Federal Aviation Administration

1. Qualification, Service, and Use of Crewmembers and Aircraft Dispatchers Red

Popular Title: Part 121, Subparts N and O

# RIN 2120-AJ00

Stage: SNPRM

Previous Stage: NPRM: Publication Date 01/12/2009;End of Comment Period

05/12/2009;Extension of Comment Period 04/20/2009;End of Extended of Comment Period 08/10/2009.

**Abstract:** This rulemaking would amend the regulations for crewmember and dispatcher training programs in domestic, flag, and supplemental operations. The rulemaking would enhance traditional training programs by requiring the use of flight simulation training devices for flight crewmembers and including additional training requirements in areas that are critical to safety. The rulemaking would also reorganize and revise the qualification and training requirements. The changes are intended to contribute significantly to reducing aviation accidents.

#### **Effects:**

Regulatory Flexibility Act Information Collection

Prompting action: None

Legal Deadline: None

**Rulemaking Project Initiated:** 03/12/1999

Docket Number: FAA-2008-0677

#### **Dates for SNPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/26/2010	05/27/2010	05/27/2010
Returned to Mode			06/18/2010
Resubmitted to OST		08/27/2010	08/19/2010
Returned to Mode/2			09/29/2010
Resubmitted to OST/2		10/08/2010	10/08/2010
To OMB	03/12/2010	11/16/2010	11/29/2010
OMB Clearance	04/09/2010	04/01/2011	04/21/2011
Publication Date	04/20/2010	05/20/2011	05/20/2011
End of Comment Period	06/21/2010	07/19/2011	07/19/2011
Extension of Comment Period			06/23/2011
End of Extended Comment Period			09/19/2011

Explanation for any delay: Additional coordination necessary

Federal Register Citation for SNPRM: 76FR29336

Federal Aviation Administration

2. Pilot-in-Command Proficiency Check and Other Changes to the Pilot and Pilot School Certification Rules

Red

**Popular Title**: PIC Proficiency

#### RIN 2120-AJ18

Stage: Final Rule

Previous Stage: NPRM: Publication Date 08/31/2009; End of Comment Period 11/30/2009

**Abstract:** This rulemaking would require proficiency checks for pilots in command (PICÂ 's) of single-piloted turbojet powered airplanes, allow pilot schools to use Internet-based training without requiring schools to have a physical ground training facility, allow pilot schools and provisional pilot schools to apply for a combined private pilot certification and instrument rating course, and revise the definition of "complex airplane." FAA believes these actions are necessary to respond to changes in the aviation industry and to avoid

imposing unnecessary regulatory burdens. The intended effect of this rulemaking would be to ensure flight crewmembers have the training and qualifications necessary to operate aircraft safely.

### **Effects:**

Information Collection

Prompting action: None

Legal Deadline: Final Rule : 03/30/2011

Rulemaking Project Initiated: 07/24/2007

Docket Number: FAA-2008-0938

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/05/2010	01/07/2011	01/12/2011
To OMB	12/08/2010	04/15/2011	04/19/2011
OMB Clearance	03/09/2011	07/20/2011	07/20/2011
Publication Date	03/24/2011	08/31/2011	08/31/2011

Explanation for any delay: Other, higher priorities

Federal Register Citation for Final Rule: 76 FR 54095

Federal Aviation Administration

# 3. Certification of Turbofan Powered Airplanes and Miscellaneous Amendments

Red

Popular Title: Turbofan Powered Airplanes

# RIN 2120-AJ22

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 8/17/2009; End of Comment Period 11/16/2009; Extension of Comment Period 11/16/2009; End of Extended Comment Period 12/16/2009.

**Abstract:** This rulemaking would address certification and operational issues surrounding the introduction of turbofan powered airplanes. This action is necessary to standardize certification requirements and consolidate these requirements into one location. The intent is to ensure the same standards apply to all light aircraft.

**Effects:** 

None

Prompting action: None

Legal Deadline: Final Rule : 04/16/2011

Rulemaking Project Initiated: 01/02/2008

Docket Number: FAA-2009-0738

# **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/11/2011	04/05/2011	04/01/2011
To OMB	03/11/2011	07/07/2011	07/07/2011
OMB Clearance	06/13/2011	10/07/2011	
Publication Date	06/27/2011	10/27/2011	

Explanation for any delay: Additional coordination necessary

### Federal Register Citation for Final Rule: None

Federal Aviation Administration

4.	Supercooled Large Droplet Icing Conditions	
••	Supercooled Large Drophet leng Conditions	

**Popular Title**: Supercooled Large Droplet Icing Conditions **RIN 2120-AJ34** 

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 6/29/2010; End of Comment Period 08/30/2010; Publication of Extended Comment Period 08/16/2010; End of Extended Comment Period 9/29/2010.

**Abstract:** This rulemaking would amend the airworthiness standards applicable to certain transport category airplanes certificated for flight in icing conditions and the icing airworthiness standards applicable to certain aircraft engines. The rulemaking would improve safety by addressing supercooled large drop icing conditions for transport category airplanes most affected by supercooled large drop icing conditions, mixed phase and ice crystal conditions for all transport category airplanes, and supercooled large drop, mixed phase, and ice crystal icing conditions for all turbine engines. This rulemaking is the result of information gathered from a review of icing accidents and incidents.

**Effects:** 

EU NAFTA Foreign

Prompting action: None

Legal Deadline: Final Rule : 11/29/2012

Rulemaking Project Initiated: 02/04/2008

Docket Number: FAA-2010-0636

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/20/2011	11/29/2011	
To OMB	10/21/2011	12/29/2011	
OMB Clearance	01/20/2012	03/29/2012	
Publication Date	02/06/2012	04/16/2012	

Explanation for any delay: Other, higher priorities

# Federal Register Citation for Final Rule: None

Federal Aviation Administration

5. Restrictions on Operators Employing Former Flight Standards Service Aviation Safety Inspectors

Yellow

**Popular Title**: Post Employment Restrictions

# RIN 2120-AJ36

Stage: Final Rule

Previous Stage: NPRM: Publication Date 11/20/2009; End of Comment Period 2/18/2010.

**Abstract:** This rulemaking would prohibit a certificate holder from employing or contracting with a former Aviation Safety Inspector (ASI) or other person with certificate

Yellow

holder oversight responsibilities to act as an agent or to represent that certificate holder in any matter before the FAA. This restriction would apply if the person, in the proceeding two year period, has (a) served as, or was responsible for oversight of, a Flight Standards Service ASI; and (b) had the responsibility to inspect, or oversee the inspection of, the operations of the certificate holder. The rulemaking would enhance the FAAÂ's ability to properly perform its safety mission and to ensure that every passenger can have complete confidence in the integrity of the programs and operations administered by the FAA.

#### **Effects:**

None

Prompting action: None

Legal Deadline: Final Rule : 06/18/2011

Rulemaking Project Initiated: 06/16/2008

Docket Number: FAA-2008-1154

### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/25/2011		01/31/2011
To OMB	02/25/2011	03/03/2011	04/19/2011
OMB Clearance	05/24/2011	07/19/2011	07/20/2011
Publication Date	06/10/2011	08/15/2011	08/22/2011

# **Explanation for any delay:** N/A

Federal Register Citation for Final Rule: 76 FR 52231

Federal Aviation Administration

# 6. Airport Safety Management System

Popular Title: Airport SMS

RIN 2120-AJ38

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would require airport operators to institute a safety management system at their airports. This action is necessary to improve safety through conformance with best practices in risk management and promote international harmonization with ICAO standards. The rule is intended to facilitate integration of formal risk management processes within the airportÂ's day-to-day operations.

#### **Effects:**

Information Collection Peer Review

#### Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 07/22/2008

Docket Number: FAA-2010-0997

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/17/2010	04/27/2010	04/27/2010
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Red

To OMB	03/18/2010	06/18/2010	06/17/2010
OMB Clearance	06/18/2010	09/20/2010	09/28/2010
Publication Date	07/02/2010	10/12/2010	10/07/2010
End of Comment Period	10/04/2010	01/05/2011	01/05/2011
Publication Date for Extension of Comment Period			12/10/2010
End of Extended of Comment Period			03/07/2011
Extension of Comment Period/2			03/07/2011
End of Extension of Comment Period/2			07/05/2011

Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for NPRM: 75 FR 62008

Federal Aviation Administration

#### 7. **Photo Requirements for Pilot Certificates**

Red

Popular Title: Photo Requirements

### RIN 2120-AJ42

Stage: Final Rule

Previous Stage: NPRM: Publication Date 11/19/2010; End of Comment Period 02/17/2011

**Abstract:** This rulemaking would require digital photos on all pilot certificates. This action is necessary to update regulations about pilot plastic certificates. The intended effect of this action is to meet all requirements of the Intelligence Reform and Terrorism Prevention Act.

**Effects:** 

None

**Prompting action:** Statute

Legal Deadline: None

Rulemaking Project Initiated: 09/30/2008

Docket Number: FAA-2010-1127

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/11/2012		
To OMB	02/13/2012		
OMB Clearance	05/14/2012		
Publication Date	05/29/2012		

Explanation for any delay: N/A

Federal Register Citation for Final Rule: None

Federal Aviation Administration

#### 8. Part 121 - Activation of Ice Protection

Red

Popular Title: Part 121 - Ice Protection

# RIN 2120-AJ43

Stage: Final Rule

Previous Stage: NPRM: Publication Date 11/23/2009; End of Comment Period 02/22/2010

**Abstract:** This rulemaking would amend the regulations applicable to operators of certain airplanes used in air carrier service and certificated for flight in icing conditions. The

standards would require either the installation of ice detection equipment or changes to the Airplane Flight Manual to ensure timely activation of the airframe ice protection system. This regulation is the result of information gathered from a review of icing accidents and incidents, and it is intended to improve the level of safety when airplanes are operated in icing conditions.

#### **Effects:**

Regulatory Flexibility Act

Prompting action: None Legal Deadline: Final Rule : 06/22/2011 Rulemaking Project Initiated: 12/08/1997 Docket Number: FAA-2009-0675

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/03/2011	01/24/2011	01/13/2011
To OMB	02/10/2011	04/08/2011	04/19/2011
OMB Clearance	05/10/2011	07/19/2011	07/20/2011
Publication Date	05/27/2011	08/15/2011	08/22/2011

Explanation for any delay: Additional coordination necessary

# Federal Register Citation for Final Rule: 76 FR 52241

Federal Aviation Administration

9. Air Ambulance and Commercial Helicopter Operations; Safety Initiatives and Miscellaneous Amendments

Red

Popular Title: Helicopter Safety Initiatives and Misc Amendments

# RIN 2120-AJ53

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 10/12/2010; End of Comment Period 01/01/2011.

**Abstract:** This rulemaking would change equipment and operating requirements for commercial helicopter operations, including many specifically for helicopter air ambulance operations. This rulemaking is necessary to increase crew, passenger, and patient safety. The intended effect is to implement National Transportation Safety Board, Aviation Rulemaking Committee, and internal FAA recommendations.

**Effects:** 

Regulatory Flexibility Act

Prompting action: Secretarial/Head of Operating Administration Decision

# Legal Deadline: None

Rulemaking Project Initiated: 04/15/2009

Docket Number: FAA-2010-0982

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/04/2012		
To OMB	02/06/2012		

OMB Clearance	05/03/2012	
Publication Date	05/21/2012	

#### Explanation for any delay: N/A

#### Federal Register Citation for Final Rule: None

Federal Aviation Administration

#### 10. Flight and Duty Time Limitations and Rest Requirements

Yellow

Popular Title: Flight/Duty/Rest Requirements

# RIN 2120-AJ58

Stage: Final Rule

Previous Stage: NPRM: Publication Date 09/14/2010;End of Comment Period 11/15/2010.

**Abstract:** This rulemaking would amend existing flight, duty, and rest regulations applicable to certificate holders and their flightcrew members operating under 14 CFR part 121. The new requirements would eliminate the current distinctions between domestic, flag, and supplemental operations. Also, the rulemaking would provide different requirements based on the time of day, whether an individual is acclimated to a new time zone, and the likelihood of being able to sleep under different circumstances. This rulemaking is necessary to improve aviation safety by providing applicable persons with the opportunity for sufficient rest.

# **Effects:**

Economically Significant Major

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: Final Rule : 08/01/2011

Rulemaking Project Initiated: 06/24/2009

Docket Number: FAA-2009-1093

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/27/2011		07/08/2011
To OMB	06/13/2011	08/08/2011	08/17/2011
OMB Clearance	07/28/2011	11/17/2011	
Publication Date	08/08/2011	11/30/2011	

Explanation for any delay: N/A

Federal Register Citation for Final Rule: None

Federal Aviation Administration

# 11. Operation and Certification of Small Unmanned Aircraft Systems (sUAS)

Red

Popular Title: Small Unmanned Aircraft

RIN 2120-AJ60

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would enable small unmanned aircraft to safely operate in limited portions of the national airspace system (NAS). This action is necessary because it addresses the novel legal or policy issues about the minimum safety parameters for operating

recreational remote control model and toy aircraft in the NAS. The intended effect of this action is to develop requirements and standards to ensure that risks are adequately mitigated, such that safety is maintained for the entire aviation community.

### **Effects:**

Regulatory Flexibility Act

Prompting action: None Legal Deadline: None Rulemaking Project Initiated: 07/28/2009 Docket Number: Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/24/2011	09/02/2011	08/29/2011
To OMB	02/03/2011	10/03/2011	
OMB Clearance	03/07/2011	01/03/2012	
Publication Date	03/10/2011	01/17/2012	
End of Comment Period	07/14/2011	04/17/2012	

Explanation for any delay: Unanticipated issues requiring further analysis

#### Federal Register Citation for NPRM: None

Federal Aviation Administration

Red

### 12. Repair Stations

Popular Title: Repair Stations

#### RIN 2120-AJ61

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking would update and revise the regulations for repair stations. The action is necessary because many portions of the current regulations do not reflect current repair station business practices, aircraft maintenance practices, or advances in aircraft technology.

#### **Effects:**

Regulatory Flexibility Act Federalism

Prompting action: None

Legal Deadline: None

#### Rulemaking Project Initiated: 06/26/2008

**Docket Number:** 

#### **Dates for NPRM:**

Originally Scheduled Date	New Projected Date	Actual Date
07/09/2010	07/08/2011	07/13/2011
08/09/2010	08/08/2011	
11/08/2010	11/08/2011	
11/29/2010	11/22/2011	
	Scheduled           Date           07/09/2010           08/09/2010           11/08/2010	Scheduled Date         Projected Date           07/09/2010         07/08/2011           08/09/2010         08/08/2011           11/08/2010         11/08/2011

#### Explanation for any delay: Unanticipated issues requiring further analysis

#### Federal Register Citation for NPRM: None

Federal Aviation Administration

#### 13. Pilot Certification and Qualification Requirements (formerly First Officer Qualification Requirements) (HR 5900)

Red

Popular Title: Pilot Certification and Qualification Requirements

#### RIN 2120-AJ67

Stage: NPRM

**Previous Stage:** ANPRM: Publication Date 02/08/2010; End of Comment Period 04/09/2010

**Abstract:** This rulemaking would amend the eligibility and qualification requirements for pilots engaged in part 121 air carrier operations. Additionally, it would modify the requirements for an airline transport pilot certificate. These actions are necessary because recent airline accidents and incidents have brought considerable attention to the experience level and training of air carrier flight crews.

**Effects:** 

None

**Prompting action:** Statute

Legal Deadline: NPRM : 01/28/2011

Rulemaking Project Initiated: 10/20/2009

Docket Number: FAA-2010-0100

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/08/2010	01/26/2011	01/26/2011
To OMB	12/21/2010	05/20/2011	06/23/2011
OMB Clearance	01/21/2011	09/21/2011	
Publication Date	01/28/2011	10/05/2011	
End of Comment Period	04/28/2011	12/05/2011	

Explanation for any delay: Additional coordination necessary

# Federal Register Citation for NPRM: None

Federal Aviation Administration

# 14. **Prohibition Against Certain Flights Within the Territory and Airspace of Afghanistan**

Red

Popular Title: Afghanistan SFAR

#### RIN 2120-AJ69

Stage: Final Rule

Previous Stage: NPRM: Publication Date 05/26/2010;End of Comment Period 06/10/2010.

**Abstract:** This rulemaking would prohibit flight operations below flight level (FL) 160 within the territory and airspace of Afghanistan by all: U.S. air carriers; U.S. commercial operators; persons exercising the privileges of a U.S. airman certificate, except if the flight is on behalf of a foreign air carrier; and operators of U.S.-registered aircraft, except when such

operators are foreign air carriers. The FAA finds this action necessary to prevent a potential hazard to persons and aircraft in engaged in such flight operations.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 01/08/2010

# **Docket Number:**

# **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/07/2010	03/25/2011	03/21/2011
To OMB	09/14/2010	04/26/2011	05/17/2011
OMB Clearance	09/21/2010	08/17/2011	
Publication Date	09/30/2010	08/31/2011	

Explanation for any delay: Unanticipated issues requiring further analysis

# Federal Register Citation for Final Rule: None

Federal Aviation Administration

15. Requirement for Wildlife Assessments at Certificated Airports

Red

Popular Title: Wildlife Hazard Assessment (WHA)

# RIN 2120-AJ71

Stage: NPRM

# Previous Stage:None

**Abstract:** This rulemaking would require a Wildlife Hazard Assessment (scientific evaluation of wildlife populations and their attractants) for certificated airports. This rulemaking would also require periodic completion of a wildlife hazard assessment, or continuous wildlife monitoring as an alternative to the assessment, and clarify requirements for those conducting an assessment. This rulemaking is intended to decrease direct hazards (animals striking aircraft) and indirect hazards (animal burrowing and nesting in or near aircraft or aircraft operations areas).

# **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

# Legal Deadline: None

# **Rulemaking Project Initiated:** 03/02/2010

# **Docket Number:**

# **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/15/2011	05/16/2011	05/11/2011
Returned to Mode			06/01/2011
Resubmitted to OST		09/12/2011	
To OMB	03/17/2011	10/12/2011	

OMB Clearance	06/14/2011	01/12/2012	
Publication Date	06/24/2011	01/26/2012	
End of Comment Period	09/22/2011	04/26/2012	

**Explanation for any delay:** Unanticipated issues requiring further analysis

#### Federal Register Citation for NPRM: None

Federal Aviation Administration

#### 16. Regulation Of Flight Operations Conducted By Alaska Guide Pilots

Green

Popular Title: Alaska Guide Pilots

#### **RIN 2120-AJ78**

Stage: NPRM

#### Previous Stage:None

Abstract: This rulemaking would establish general operating and flight rules applicable to the flight operations conducted by Alaska guide pilots. The rulemaking would implement legislation.

#### **Effects:**

Regulatory Flexibility Act Information Collection

#### **Prompting action:** Statute

Legal Deadline: None

#### Rulemaking Project Initiated: 05/04/2010

# **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	12/14/2011		
To OMB	01/13/2012	01/17/2012	
OMB Clearance	04/13/2012	04/17/2012	
Publication Date	04/24/2012	04/25/2012	
End of Comment Period	07/24/2012	07/25/2012	

# Explanation for any delay: N/A

Federal Register Citation for NPRM: None

Federal Aviation Administration

#### 17. Air Carrier Maintenance Training Program

Popular Title: Air Carrier Maintenance Training

**RIN 2120-AJ79** 

Stage: NPRM

# Previous Stage:None

**Abstract:** This rulemaking would require FAA approval of maintenance training programs of air carriers that operate aircraft type certificated for a passenger seating configuration of 10 seats or more (excluding any pilot seat). The intent of this rulemaking is to reduce the number of accidents and incidents caused by human error, improper maintenance, inspection, or repair practices.

# **Effects:**

Red



Regulatory Flexibility Act **Prompting action:** Statute **Legal Deadline:** Final Rule : 09/26/2012 **Rulemaking Project Initiated:** 10/28/2008 **Docket Number: Dates for NBDM:** 

# **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	01/25/2011	07/12/2011	06/03/2011
Returned to Mode		06/14/2011	06/23/2011
Resubmitted to OST		08/08/2011	08/29/2011
To OMB	02/24/2011	10/03/2011	
OMB Clearance	05/24/2011	01/03/2012	
Publication Date	06/01/2011	01/17/2012	
End of Comment Period	08/30/2011	04/17/2012	

Explanation for any delay: Additional coordination necessary

# Federal Register Citation for NPRM: None

Federal Aviation Administration

18.	Safety Management Systems for Part 121 Certificate Hold	ers
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Green

# **Popular Title**: SMS for Part 121

#### RIN 2120-AJ86

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 11/05/2010; End of Comment Period 02/03/2011; Extended Comment Period 01/31/2011; End of Extended Comment Period 03/07/2011.

**Abstract:** This rulemaking would require each certificate holder operating under 14 CFR part 121 to develop and implement a safety management system (SMS) to improve the safety of its aviation related activities. A safety management system is a comprehensive, process-oriented approach to managing safety throughout an organization. An SMS includes an organization-wide safety policy; formal methods for identifying hazards, controlling, and continually assessing risk and safety performance; and promotion of a safety culture. SMS stresses not only compliance with technical standards but increased emphasis on the overall safety performance of the organization.

#### **Effects:**

Regulatory Flexibility Act

Prompting action: Statute

Legal Deadline: Final Rule : 07/30/2012 NPRM : 10/29/2010

# Rulemaking Project Initiated: 08/01/2010

Docket Number: FAA-2009-0671

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/16/2012		

To OMB	04/16/2012	
OMB Clearance	07/16/2012	
Publication Date	07/27/2012	

Explanation for any delay: N/A

Federal Register Citation for Final Rule: None

Federal Aviation Administration

# 19. **Flight Crewmember Mentoring, Leadership and Professional Development** Green (HR 5900)

Popular Title: Flight Crewmember Mentoring

#### RIN 2120-AJ87

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would amend the regulations for air carrier training programs under part 121. The action is necessary to ensure that air carriers establish or modify training programs that address mentoring, leadership, and professional development of flight crewmembers in part 121 operations. The amendments are intended to contribute significantly to airline safety by reducing aviation accidents.

**Effects:** 

None

Prompting action: Statute

Legal Deadline: NPRM : 07/29/2011

Rulemaking Project Initiated: 08/01/2010

# **Docket Number:**

# **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/29/2011		03/29/2011
To OMB	04/29/2011	05/16/2011	05/17/2011
OMB Clearance	07/29/2011	08/16/2011	
Publication Date	07/29/2011	08/30/2011	
End of Comment Period	10/26/2011	11/30/2011	

# Explanation for any delay: N/A

Federal Register Citation for NPRM: None

Federal Aviation Administration

 New York Congestion Management Rule for LaGuardia Airport, John F. Yellow
 Kennedy International Airport, and Newark Liberty International Airport

Popular Title: NY Congestion Management

RIN 2120-AJ89

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would replace the current temporary orders limiting scheduled operations at LaGuardia Airport, John F. Kennedy International Airport, and Newark Liberty

International Airport with a more permanent rule to address the issues of congestion and delay at the New York areaÂ's three major commercial airports, while also promoting fair access and competition. The rulemaking would help ensure that congestion and delays are managed by limiting scheduled and unscheduled operations. The rulemaking would also establish a secondary market for U.S. and foreign air carriers to buy, sell, trade, and lease slots amongst each other at each of the three airports. This would allow carriers serving or seeking to serve the New York area airports to exchange slots as their business models and strategic goals require.

#### **Effects:**

None

Prompting action: None Legal Deadline: None Rulemaking Project Initiated: 10/21/2010 Docket Number:

#### **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	03/25/2011	06/22/2011	06/22/2011
To OMB	04/25/2011	07/22/2011	
OMB Clearance	07/25/2011	10/20/2011	
Publication Date	07/29/2011	10/31/2011	
End of Comment Period	10/27/2011	01/31/2012	

### **Explanation for any delay:** N/A

#### Federal Register Citation for NPRM: None

Federal Aviation Administration

#### 21. Lavatory Oxygen Systems

Popular Title: Lavatory Oxygen Systems

#### RIN 2120-AJ92

Stage: Interim Final Rule

#### Previous Stage:None

**Abstract:** This rulemaking would temporarily authorize variances from existing standards related to the provisioning of supplemental oxygen inside lavatories. This action is necessitated by other mandatory actions that temporarily render such oxygen systems inoperative.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

# Legal Deadline: None

Rulemaking Project Initiated: 02/14/2011

Docket Number: FAA-2011-0186

#### **Dates for Interim Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/03/2011		03/03/2011

Green

Publication Date	03/08/2011	03/08/2011
End of Comment Period	05/09/2011	05/09/2011

#### Explanation for any delay: N/A

#### Federal Register Citation for Interim Final Rule: 76 FR 12550

Federal Aviation Administration

### 22. Part 121 Exiting Icing

Red

Popular Title: Part 121 Exiting Icing

#### RIN 2120-AJ95

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking would establish the standards for when flightcrews need to take action to exit icing conditions. If adopted, this rulemaking would apply to aircraft with a maximum takeoff weight of less than 60,000 pounds. This rulemaking is based on recommendations from an Aviation Rulemaking Advisory Committee working group after reviewing certain accidents and incidents. The intended affect of this action is to avoid similar accidents and incidents in the future. This rulemaking replaces RIN 2120-AJ74, for which an NPRM had originally been scheduled for 11/02/2011. This rulemaking has expanded the scope of RIN 2120-AJ74 to include requirements for design approval holders.

### **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 12/22/2009

# **Docket Number:**

# Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	07/13/2012		
To OMB	08/17/2012		
OMB Clearance	11/19/2012		
Publication Date	12/03/2012		
End of Comment Period	03/04/2013		

#### Explanation for any delay: N/A

Federal Register Citation for NPRM: None

# Federal Highway Administration

Federal Highway Administration

# 23. National Tunnel Inspection Standards

Green

Popular Title: Tunnel Inspection

# RIN 2125-AF24

Stage: Final Rule

**Previous Stage:** ANPRM: Publication Date 11/18/08; End of Comment Period 2/17/09. NPRM: Publication Date 7/22/2010; End of Comment Period 09/20/2010.

**Abstract:** This rulemaking would revise 23 CFR Part 650 -- Bridges, Structures, and Hydraulics, by adding the National Tunnel Inspection Standards (NTIS) under Subpart E. We anticipate that the NTIS may be modeled after the existing National Bridge Inspection Standards and may include requirements for, among other things, inspection procedures, the qualifications and training of inspectors, and a National Tunnel Inventory.

# **Effects:**

None

Prompting action: None Legal Deadline: None Rulemaking Project Initiated: 01/30/2008 Docket Number: FHWA-2008-0038 Dates for Final Rule:

#### Milestone Originally New Actual Scheduled Projected Date Date Date To OST 05/31/2011 09/14/2011 To OMB 07/01/2011 10/14/2011 OMB Clearance 10/03/2011 01/16/2012 Publication Date 10/14/2011 01/23/2012

Explanation for any delay: Additional coordination necessary

# Federal Register Citation for Final Rule: None

Federal Highway Administration

# 24. Lane Line Retroreflectivity

**Popular Title**: Lane Retroreflectivity

# RIN 2125-AF34

Stage: SNPRM

Previous Stage: NPRM: 4/22/2010; End of C/P 8/20/2010.

**Abstract:** This rulemaking would amend the Manual on Uniform Traffic Control Devices, incorporated by reference in 23 CFR part 655, subpart F, to include standards, guidance, options, and supporting information relating to maintaining minimum levels of retroreflectivity for pavement markings on all roads open to public travel. We originally scheduled publication of a final rule for 12/30/2011.

# **Effects:**

None

Prompting action: Statute

Legal Deadline: None

Rulemaking Project Initiated: 12/07/2009

# Docket Number: FHWA-2009-0139

#### **Dates for SNPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/06/2012		
To OMB	02/06/2012		
OMB Clearance	05/07/2012		

Red

Publication Date	05/14/2012	
End of Comment Period	07/14/2012	

#### Explanation for any delay: N/A

#### Federal Register Citation for SNPRM: None

Federal Highway Administration

#### 25. Emergency Relief Program (RRR)

**Popular Title**: ER Program (RRR)

#### RIN 2125-AF38

Stage: Withdrawal

#### Previous Stage:None

**Abstract:** This rulemaking would amend 23 CFR Part 668 to update the annual threshold for an ER event, raise the site threshold and clarify the definition of a site, clarify other definitions, and provide specific time limit restrictions for States when filing a claim for ER eligible work. This rulemaking also would require States to develop a plan for obligation needs for ER funding and impose restrictions on the applicability of "quick release" ER allocations.

#### **Effects:**

None

Prompting action: 2011 Retrospective Regulatory Review

Legal Deadline: None

Rulemaking Project Initiated: 10/21/2010

#### **Docket Number:**

**Dates for Withdrawal:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

#### Explanation for any delay: N/A

Federal Register Citation for Withdrawal: None

Federal Highway Administration

#### 26. Manual for Assessing Safety Hardware

**Popular Title**: Safety Hardware IBR

#### RIN 2125-AF39

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would amend 23 CFR Part 625 to incorporate by reference the AASHTO Manual for Assessing Safety Hardware. The FHWA, as a service to the highway industry, periodically reviews crash test reports of roadside safety devices in order to acknowledge that the testing meets current crash testing criteria, and that the device is acceptable for use on the National Highway System. The AASHTO Manual for Assessing

Green



Safety Hardware is being incorporated by reference to update existing standards used by U.S. crash test laboratories.

Effects: None

Prompting action: None Legal Deadline: None Rulemaking Project Initiated: 02/16/2011

#### Docket Number:

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Unanticipated issues requiring further analysis

### Federal Register Citation for NPRM: None

Federal Highway Administration

27. National Standards for Traffic Control Devices; the Manual on Uniform
 27. Traffic Control Devices for Streets and Highways; Engineering
 Judgments (RRR)

**Popular Title**: MUTCD Engineering Judgment (RRR)

#### RIN 2125-AF41

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking would propose changes to the Manual on Uniform Traffic Control Devices (MUTCD) to clarify the definition of "Standard Statements" in the MUTCD and to clarify the use of engineering judgment and studies in the application of traffic control devices.

#### **Effects:**

None

Prompting action: 2011 Retrospective Regulatory Review

Legal Deadline: None

#### **Rulemaking Project Initiated:** 12/03/2010

**Docket Number:** FHWA-2010-170

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/14/2011	03/31/2011	03/31/2011
To OMB	03/14/2011	05/01/2011	05/17/2011
OMB Clearance	06/14/2011	08/17/2011	07/22/2011
Publication Date	06/20/2011	08/24/2011	08/02/2011

#### Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for NPRM: 76 FR 46214

Federal Highway Administration

# National Standards for Traffic Control Devices; the Manual on Uniform Traffic Control Devices for Streets and Highways; Compliance Dates Revision (RRR)

**Popular Title**: MUTCD Compliance Dates (RRR)

### RIN 2125-AF43

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would revise the Manual on Uniform Traffic Control Devices (MUTCD) to revise the compliance dates for certain requirements in the MUTCD.

**Effects:** 

None

Prompting action: 2011 Retrospective Regulatory Review

Legal Deadline: None

Rulemaking Project Initiated: 01/20/2011

# **Docket Number:**

### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	04/01/2011		04/18/2011
To OMB	05/02/2011	05/02/2011	05/12/2011
OMB Clearance	07/29/2011	07/29/2011	08/16/2011
Publication Date	08/03/2011	08/05/2011	08/31/2011
End of Comment Period	08/17/2011	10/05/2011	10/31/2011

# **Explanation for any delay:** N/A

Federal Register Citation for NPRM: 76 FR 54156

# Federal Motor Carrier Safety Administration

Federal Motor Carrier Safety Administration

# 29. Unified Registration System

#### Popular Title: URS

RIN 2126-AA22

#### Stage: SNPRM

**Previous Stage:** ANPRM: Publication Date 08/26/96; End of C/P 10/26/96. NPRM 05/19/05, End of C/P 08/17/05.

**Abstract:** This rulemaking would replace three current identification and registration systems: the US DOT number identification system, the commercial registration system, and the financial responsibility system, with an online Federal unified registration system (URS). This program would serve as a clearinghouse and depository of information on, and identification of, brokers, freight forwarders, and others required to register with the



Green

Department of Transportation. The Agency is revising this rulemaking to address amendments directed by Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU). The replacement system for the Single State Registration System, which the ICC Termination Act originally directed be merged under URS, was addressed separately in RIN 2126-AB09. The cargo insurance portion of this rulemaking has been split off into RIN 2126-AB21.

#### **Effects:**

Regulatory Flexibility Act Information Collection

#### **Prompting action:** Statute

Legal Deadline: Final Rule (SAFETEA-LU) : 08/10/2006 Final Rule (ICC Act) : 01/01/1998

#### Rulemaking Project Initiated: 01/01/1996

**Docket Number:** FMCSA-97-2349

#### **Dates for SNPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/02/2007	03/14/2011	03/15/2011
Concurrences Due to OST	02/07/2007	03/21/2011	03/22/2011
Withdrawn from OST			03/16/2011
Resubmitted to OST/2			03/25/2011
To OMB	03/08/2007	06/27/2011	07/06/2011
OMB Clearance	06/08/2007	10/06/2011	
Publication Date	06/29/2007	10/20/2011	
End of Comment Period	09/28/2007	12/22/2011	

Explanation for any delay: Unanticipated issues requiring further analysis

#### Federal Register Citation for SNPRM: None

Federal Motor Carrier Safety Administration

 Application by Certain Mexico-Domiciled Motor Carriers to Operate
 Beyond U.S. Municipalities and Commercial Zones on the U.S.-Mexico Border Red

Popular Title: Mexico-Domiciled Motor Carriers

#### RIN 2126-AA34

Stage: Undetermined

Previous Stage: IFR 03/19/02

**Abstract:** This rulemaking would change FMCSA regulations to govern applications by Mexican carriers to operate beyond municipalities and commercial zones at the United State-Mexico border. It would also revise the application form, OP-1MX, to be filed by these Mexican motor carriers. The revised form would require additional information about the applicantÂ's business and operating practices to allow the FMCSA to determine if the applicant can meet the safety standards established for operating in interstate commerce in the United States. Carriers that had previously submitted an application would have to submit the updated form. These changes are needed to implement part of the North American Free Trade Agreement (NAFTA). On January 16, 2003, the Ninth Circuit Court remanded this rule, along with two other NAFTA-related rules, to the agency, requiring a full environmental impact statement and an analysis required by the Clean Air Act. On June 7, 2004, the Supreme Court reversed the Ninth Circuit and remanded the case, holding that FMCSA is not required to prepare the environmental documents as ruled by the Ninth Circuit. FMCSA originally planned to publish a final rule by November 20, 2003. FMCSA will determine the next steps to be taken after the pilot program on the long haul trucking provisions of NAFTA is completed.

#### **Effects:**

Information Collection NAFTA

**Prompting action:** International Agreement

Legal Deadline: None

Rulemaking Project Initiated: 02/07/2001

Docket Number: FMCSA-98-3298

### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Unanticipated issues requiring further analysis

# Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

# 31. Safety Monitoring System and Compliance Initiative for Mexico-Domiciled Red Motor Carriers Operating in the United States

**Popular Title**: Safety Monitoring

# RIN 2126-AA35

Stage: Undetermined

Previous Stage: IFR 03/19/02

**Abstract:** This rule would implement a safety monitoring system and compliance initiative designed to evaluate the continuing safety fitness of all Mexico-domiciled carriers within 18 months after receiving a provisional Certificate of Registration or provisional authority to operate in the United States. It also would establish suspension and revocation procedures for provisional Certificates of Registration and operating authority, and incorporate criteria to be used by FMCSA in evaluating whether Mexico-domiciled carriers exercise basic safety management controls. The interim rule included requirements that were not proposed in the NPRM but which are necessary to comply with the FY-2002 DOT Appropriations Act. On January 16, 2003, the Ninth Circuit Court of Appeals remanded this rule, along with two other NAFTA-related rules, to the agency, requiring a full environmental impact statement and an analysis required by the Clean Air Act. On June 7, 2004, the Supreme Court reversed the Ninth Circuit and remanded the case, holding that FMCSA is not required to prepare the environmental documents. FMCSA originally planned to publish a final rule by November 28, 2003. FMCSA will determine the next steps to be taken after the pilot program on the long haul trucking provisions of NAFTA is completed.

# **Effects:**

Regulatory Flexibility Act

Federalism Information Collection NAFTA

**Prompting action:** International Agreement

Legal Deadline: None

Rulemaking Project Initiated: 02/07/2001

**Docket Number:** FMCSA-1998-3299

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Unanticipated issues requiring further analysis

#### Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

32. Certification of Safety Auditors, Safety Investigators, and Safety Inspectors

Red

Popular Title: Safety Auditors Certification

### RIN 2126-AA64

Stage: NPRM

**Previous Stage:** IFR: Date of Publication 03/19/02; Effective Date 06/17/02; End of Comment Period 05/20/02, IFR: Notice Extending Compliance Date 06/17/02; End of Extended C/P 07/17/02. IFR: Notice of Statutory Compliance Date 12/23/03, Reinstated IFR 1/01/04.

**Abstract:** This rulemaking would require that any safety inspection, safety audit, or compliance review be conducted by a certified inspector, auditor, or investigator. It is required by section 211 of the Motor Carrier Safety Improvement Act. Based on comments to the Interim Final Rule (IFR), the agency will issue a NPRM that addresses issues not clarified in the IFR.

**Effects:** 

None

Prompting action: Statute

Legal Deadline: NPRM : 12/09/2000

**Rulemaking Project Initiated:** 12/09/1999

Docket Number: FMCSA-2001-11060

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	07/08/2005	06/18/2012	
To OMB	08/08/2005	07/20/2012	
OMB Clearance	11/07/2005	10/19/2012	

Publication Date	11/14/2005	10/31/2012	
End of Comment Period	01/13/2006	01/01/2013	

#### Explanation for any delay: Other, higher priorities

#### Federal Register Citation for NPRM: None

Federal Motor Carrier Safety Administration

33. Limitations on the Issuance of Commercial Driver Licenses with a Hazardous Materials Endorsement

Green

Popular Title: USA PATRIOT Act Rule

#### RIN 2126-AA70

Stage: Undetermined

Previous Stage: IFR 04/29/05

**Abstract:** This rulemaking would prohibit States from issuing, renewing, transferring or upgrading a commercial driverÂ's license (CDL) with a hazardous materials endorsement, unless the Transportation Security Administration (TSA) has first conducted a background check on the applicant and determined the applicant does not pose a security risk warranting denial of the hazardous materials endorsement. FMCSA and TSA simultaneously published interim final rules. FMCSA has published another IFR corresponding to TSAÂ's extension of compliance date. Since this rulemaking conforms to TSAÂ's rulemaking, the rulemaking is dependent upon TSA action. This action is considered significant because of substantial public and congressional interest, and national security.

#### **Effects:**

NAFTA

Prompting action: Statute

Legal Deadline: None

Rulemaking Project Initiated: 10/26/2001

Docket Number: FMCSA-2001-11117

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			

Explanation for any delay: N/A

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

#### 34. Qualifications of Drivers; Diabetes Standard

Red

Popular Title: Diabetes Standard

RIN 2126-AA95

Stage: NPRM

**Previous Stage:** ANPRM: Publication Date 03/17/2006;End of Comment Period 06/15/2006.

Abstract: This rulemaking action would amend FMCSAÂ's medical qualification standards

to allow drivers with insulin-treated diabetes mellitus to operate commercial motor vehicles in interstate commerce, without seeking an exemption from the FMCSRs. This action is required by Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU).

#### **Effects:**

None

Prompting action: Statute

**Legal Deadline:** Initiate change : 11/10/2005

Rulemaking Project Initiated: 08/10/2005

Docket Number: FMCSA-2005-23151

### **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	07/19/2010	04/09/2012	
To OMB	08/20/2010	05/14/2012	
OMB Clearance	11/19/2010	08/14/2012	
Publication Date	11/30/2010	08/23/2012	
End of Comment Period	01/30/2011	10/23/2012	

# Explanation for any delay: Lack of staffing

Unanticipated issues requiring further analysis

# Federal Register Citation for NPRM: None

Federal Motor Carrier Safety Administration

# 35. National Registry of Certified Medical Examiners

Red

Popular Title: National Registry

# RIN 2126-AA97

Stage: Final Rule

Previous Stage: NPRM: Publication Date 12/01/08; End of Comment Period 01/30/09

**Abstract:** This rulemaking would establish training, testing and certification standards for medical examiners responsible for certifying that interstate commercial motor vehicle (CMV) drivers meet established physical qualifications standards; provide a database (or National Registry) of medical examiners that meet the prescribed standards for use by motor carriers, drivers, and Federal and State enforcement personnel in determining whether a medical examiner is qualified to conduct examinations of interstate truck and bus drivers; and require medical examiners to transmit electronically to FMCSA the name of the driver and a numerical identifier for each driver that is examined. The rulemaking would also establish the process by which medical examiners that fail to meet or maintain the minimum standards would be removed from the National Registry. This action is in response to section 4116 of Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU).

#### **Effects:**

Major Unfunded Mandate Regulatory Flexibility Act Information Collection Privacy

Prompting action: Statute

# Legal Deadline: Final Rule : 08/10/2006 Rulemaking Project Initiated: 08/10/2005 Docket Number: FMCSA-2008-0363

### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/21/2009	08/01/2011	08/01/2011
To OMB	01/21/2010	09/02/2011	
OMB Clearance	04/21/2010	12/05/2011	
Publication Date	05/05/2010	12/14/2011	

Explanation for any delay: Additional coordination necessary

Lack of resources

Unanticipated issues requiring further analysis

# Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration

36. **Consumer Complaint Information** 

Red

# Popular Title: Consumer Complaint Information

# RIN 2126-AB01

Stage: Final Rule

Previous Stage: NPRM: Publication Date 02/20/2008; End of Comment Period 4/21/2008.

**Abstract:** The rulemaking would require each motor carrier of household goods to submit a quarterly report of specific identified information regarding complaints that each receives from shippers and consumers to the Agency. This rule responds to Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU).

# **Effects:**

Information Collection Privacy

Prompting action: Statute

Legal Deadline: Report in place : 08/10/2006

Rulemaking Project Initiated: 08/10/2005

Docket Number: FMCSA-2008-0029

# **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/23/2010	05/21/2012	
To OMB	09/24/2010	06/22/2012	
OMB Clearance	12/24/2010	09/21/2012	
Publication Date	01/07/2011	10/02/2012	

Explanation for any delay: Additional coordination necessary

# Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration



# Vehicle Operations

# Popular Title: Entry Level Driver Training RIN 2126-AB06

Stage: Final Rule

Previous Stage: NPRM 12/26/2007, End of Extended C/P 5/23/2008.

**Abstract:** This rulemaking would require behind-the-wheel and classroom training for persons who must hold a commercial driverÂ's license to operate commercial motor vehicles. This action is in response to the U.S. Court of Appeals for the District of Columbia CircuitÂ's December 2005 decision remanding the May 21, 2004, Final Rule, "Minimum Training Requirements for Entry-Level Commercial Motor Vehicle Operators" to the Agency for further consideration. The rulemaking will consider the effectiveness of CMV driver training in reducing crashes, the appropriate types and levels of training that should be mandated, and related costs.

**Effects:** 

Economically Significant Major Federalism

Prompting action: Court Action

Legal Deadline: None

Rulemaking Project Initiated: 04/19/2006

**Docket Number:** FMCSA-2007-27748

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	10/16/2009	07/18/2011	
To OMB	11/16/2009	08/18/2011	
OMB Clearance	02/16/2010	11/18/2011	
Publication Date	02/26/2010	11/25/2011	

Explanation for any delay: Additional coordination necessary

Unanticipated impacts requiring further analysis

#### Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration

#### 38. Carrier Safety Fitness Determination

**Popular Title**: Carrier Safety Fitness Determination

#### RIN 2126-AB11

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking would revise 49 CFR Part 385, Safety Fitness Procedures, in accordance with the AgencyÂ's major new initiative, Comprehensive Safety Analysis (CSA). CSA is a new operational model FMCSA plans to implement that is designed to help the Agency carry out its compliance and enforcement programs more efficiently and effectively. Currently, the safety fitness rating of a motor carrier is determined based on the results of a very labor intensive compliance review conducted at the carrierÂ's place of business. Aside from roadside inspections and new audits, the compliance review is the AgencyÂ's primary intervention. Under CSA, FMCSA would propose to implement a



broader array of progressive interventions, some of which allow FMCSA to make contact with more carriers. Through this rulemaking FMCSA would establish safety fitness determinations based on safety data from crashes, inspections, and violation history rather than just the standard compliance review. This will enable the Agency to assess the safety performance of a greater segment of the motor carrier industry with the goal of further reducing large truck and bus crashes and fatalities.

#### **Effects:**

Economically Significant Major

Prompting action: Secretarial/Head of Operating Administration Decision

#### Legal Deadline: None

Rulemaking Project Initiated: 06/21/2007

Docket Number: FMCSA-2004-18898

### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/12/2007	10/02/2009	09/25/2009
Withdrawn from OST		03/22/2010	03/22/2010
Resubmitted to OST		09/28/2011	
To OMB	12/15/2007	10/31/2011	
OMB Clearance	03/15/2008	01/31/2012	
Publication Date	03/29/2008	02/08/2012	
End of Comment Period	06/29/2008	04/09/2012	

#### **Explanation for any delay:** Awaiting development of additional data Additional coordination necessary Unanticipated issues requiring further analysis

#### Federal Register Citation for NPRM: None

Federal Motor Carrier Safety Administration

39.New Entrant Safety Assurance Process: Implementation of Section 210(b)<br/>of the Motor Carrier Safety Improvement Act of 1999Black

**Popular Title**: MCSIA 210(b)

# RIN 2126-AB17

Stage: Undetermined

**Previous Stage:** ANPRM: Publication Date 08/25/2009;End of Comment Period 10/26/2009.

**Abstract:** This rulemaking would consider methods for ensuring a new applicant carrier is knowledgeable about the applicable safety requirements before being granted New Entrant authority. The agency is considering whether to implement a proficiency examination as part of its revised New Entrant Safety Assurance Process as well as other alternatives. This rulemaking responds to issues raised in a petition from Advocates for Highway and Auto Safety regarding new entrant applicant knowledge.

#### **Effects:**

None

Prompting action: Court Action Legal Deadline: None

#### **Rulemaking Project Initiated:** 02/26/2009 **Docket Number:** FMCSA-2001-11061

# **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

### Explanation for any delay: N/A

### Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

# 40. Commercial Driver's License Drug and Alcohol Clearinghouse

Red

Popular Title: CDL Drug and Alcohol Database

# RIN 2126-AB18

Stage: NPRM

Previous Stage: None

Abstract: This rulemaking would create a central database for verified positive controlled substances and alcohol test results for commercial driverÂ's license (CDL) holders and refusals by such drivers to submit to testing. This rulemaking would require employers of CDL holders and service agents to report positive test results and refusals to test into the database. Prospective employers, acting on an application for a CDL driver position with the applicantâ€<sup>Ms</sup> written consent to access the database, would query the database to determine if any specific information about the driver applicant is in the database before allowing the applicant to be hired and to drive CMVs. This rulemaking is intended to increase highway safety by ensuring CDL holders, who have tested positive or have refused to submit to testing, have completed the U.S. DOTÂ's return-to-duty process before driving CMVs in interstate or intrastate commerce. It is also intended to ensure that employers are meeting their drug and alcohol testing responsibilities.

# **Effects:**

Information Collection Privacy

Prompting action: Secretarial/Head of Operating Administration Decision

# Legal Deadline: None

# Rulemaking Project Initiated: 03/01/2009

# **Docket Number:**

# **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/01/2010	10/24/2011	
To OMB	03/01/2010	11/25/2011	
OMB Clearance	05/31/2010	02/27/2012	
Publication Date	06/18/2010	03/07/2012	
		1	1

# **Explanation for any delay:** Additional coordination necessary Other, higher priorities

#### Federal Register Citation for NPRM: None

Federal Motor Carrier Safety Administration

Red

# 41. Electronic On-Board Recorders and Hours of Service Supporting Documents

Popular Title: EOBR and HOS supporting documents

#### RIN 2126-AB20

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 02/01/11; End of C/P 04/04/2011, NPRM: Extension of Comment Period 04/13/2011; End of Extended C/P 05/23/11.

Abstract: This rulemaking will consider revisions to RIN 2126-AA89 (Electronic On-Board Recorders for Hours of Service Drivers) to expand the number of motor carriers required to install and operate Electronic On-Board Recorders (EOBRs). FMCSA is consolidating this follow-up to the EOBR rule with the Hours Of Service Of Drivers: Supporting Documents rulemaking for development of a single NPRM in RIN 2126-AB20. In addressing Hours of Service Supporting Documents requirements in this new rulemaking, FMCSA will consider reducing or eliminating current paperwork burdens associated with supporting documents in favor of expanded EOBR use. On January 15, 2010, the American Trucking Associations (ATA) filed a Petition for a Writ of Mandamus in the United States Court of Appeals for the District of Columbia Circuit (D.C. Cir. No. 10-1009). ATA petitioned the court to direct FMCSA to issue an NPRM on supporting documents in conformance with the requirements set forth in section 113 of the HMTAA within 60 days after the issuance of the writ and a final rule no later than 6 months after the issuance of the NPRM. The court granted the petition for writ of mandamus on September 30, 2010, ordering FMCSA to issue an NPRM on the supporting document regulations by December 30, 2010. At the request of the agency, the DC Circuit extended the deadline to January 31, 2011.

#### **Effects:**

Economically Significant Major Unfunded Mandate Regulatory Flexibility Act Information Collection Privacy

**Prompting action:** Court Action **Legal Deadline:** NPRM : 01/31/2011

Rulemaking Project Initiated: 08/26/1994

#### **Docket Number:**

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/14/2012		
To OMB	03/16/2012		
OMB Clearance	06/18/2012		
Publication Date	06/28/2012		

Explanation for any delay: N/A

#### Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration

### 42. Hours of Service

Green

Popular Title: HOS (Settlement Agreement)

### RIN 2126-AB26

Stage: Final Rule

Previous Stage: NPRM 12/29/10, End of C/P 03/04/11, End of Reopened C/P 06/08/11

**Abstract:** This rulemaking would propose changes to the hours of service requirements for drivers operating a commercial motor vehicle transporting property. The requirement for this rulemaking was established on October 26, 2009, when Public Citizen, et al. (Petitioners) and FMCSA entered into a settlement agreement under which PetitionersÂ' petition for judicial review of the November 19, 2008, Final Rule on driversÂ' hours of service was held in abeyance pending the publication of an NPRM reevaluating the Hours of Service rule. Per subsequent agreement, the final rule will be published by October 28, 2011.

#### **Effects:**

Economically Significant Major Regulatory Flexibility Act EIS

Prompting action: Settlement Agreement

Legal Deadline: Final Rule : 07/26/2011 NPRM to OMB : 07/26/2010 Agreement to extend final rule to : 10/28/2011

Rulemaking Project Initiated: 10/26/2009

Docket Number: FMCSA-2004-19608

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/09/2011		08/11/2011
To OMB	08/30/2011		
OMB Clearance	10/20/2011		
Publication Date	10/28/2011		

Explanation for any delay: N/A

Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration

#### 43. **Drivers of Commercial Vehicles: Restricting the Use of Cellular Phones** Yellow

Popular Title: Restricting the Use of Cellular Phones

# RIN 2126-AB29

Stage: Final Rule

Previous Stage: NPRM 12/21/2010;End of C/P 03/21/2011.

**Abstract:** This rulemaking would restrict the use of mobile telephones while operating a commercial motor vehicle. This rulemaking is in response to Federal Motor Carrier Safety Administration-sponsored studies that analyzed safety incidents and distracted drivers. This

rulemaking addresses an item on the National Transportation Safety Board´s "Most Wanted List" of safety recommendations.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 12/01/2009

Docket Number: FMCSA-2010-0096

### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/01/2011		08/03/2011
To OMB	08/22/2011		
OMB Clearance	10/04/2011		
Publication Date	10/12/2011		

Explanation for any delay: Additional coordination necessary

# Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration

44. Drivers of CMVs: Addressing Distracted Driving

Red

Popular Title: Distracted Driving: Other Devices

# RIN 2126-AB32

Stage: Undetermined

Previous Stage:None

**Abstract:** The Federal Motor Carrier Safety Administration (FMCSA) and Pipeline and Hazardous Materials Safety Administration (PHMSA) are considering a rulemaking to limit or restrict the use of electronic devices or certain activities by commercial motor vehicle (CMV) drivers operating in interstate commerce and for drivers of CMVs containing a quantity of hazardous materials requiring placarding under Part 172 of the 49 CFR or any quantity of a select agent or toxin listed in 42 CFR Part 73. The Agencies have considered the results of recent research concerning distracted driving, and ideas and concepts from FMCSAÂ's Motor Carrier Safety Advisory Committee and now seeks public comment and information to assist the Agencies in determining whether additional regulatory action is needed as a follow-up to previous regulatory actions. An ANPRM was scheduled for 9/22/2010.

# **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

# Legal Deadline: None

Rulemaking Project Initiated: 08/20/2010

# **Docket Number:**

# **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
	1	i	

To OMB		
OMB Clearance		
Publication Date		
End of Comment Period		

Explanation for any delay: Additional coordination necessary

### Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

#### 45. Medical Examiner's Certification Integration

Green

# Popular Title: MECI

RIN 2126-AB40

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would build upon the National Registry (2126-AA97) and Medical Certification (2126-AA10) final rules. This rulemaking would (1) provide for the medical examiner to transmit data from the medical examinerÂ's certificate through FMCSA to the State Driver Licensing Agencies (SDLAs) for Commercial DriverÂ's License (CDL) holders and (2) require increased frequency of medical examiner reporting of medical examinerÂ's certificate data to the National Registry database. This should streamline the process for SDLAs to verify the physical qualifications of CDL holders.

# **Effects:**

Economically Significant Major

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 04/20/2011

# **Docket Number:**

# Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	04/17/2012		
To OMB	05/22/2012		
OMB Clearance	08/22/2012		
Publication Date	08/31/2012		
End of Comment Period	10/31/2012		

Explanation for any delay: N/A

Federal Register Citation for NPRM: None

Federal Railroad Administration

Federal Railroad Administration

# 46. Roadway Worker Protection; Adjacent-Track On-Track Safety

Red

Popular Title: Roadway Worker Protection; Adjacent-Track On-Track RIN 2130-AB96 Stage: Final Rule Previous Stage: NPRM: Publication Date 11/25/2009; End of Comment Period 1/25/2010.

**Abstract:** This rulemaking would simplify the determination regarding whether or not adjacent track protection is required for roadway work groups engaged in large-scale maintenance or construction, pursuant to 49 CFR Part 214. The recent increase in roadway worker fatalities that have occurred on adjacent track has caused some concern at FRA and throughout the industry, even prompting the filing of a petition for emergency order. FRA issued a notice of safety advisory to address the issue in May of 2004; however, it appears that the effects were not long-lasting. This rulemaking is based on the consensus language developed through the Roadway Worker Protection (RWP) Working Group of FRAÂ's Railroad Safety Advisory Committee (RSAC).

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 08/20/2008

Docket Number: FRA-2008-0059

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	04/08/2010	02/01/2011	01/31/2011
To OMB	05/14/2010	04/22/2011	04/21/2011
OMB Clearance	08/12/2010	08/21/2011	
Publication Date	08/26/2010	08/29/2011	

Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for Final Rule: None

Federal Railroad Administration

47. Critical Incident Stress Plan; "Critical Incident" Definition

Green

#### Popular Title: Critical Incident Stress Plan

#### **RIN 2130-AC00**

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking would seek to define the term "critical incident." This rulemaking would also seek to define program elements appropriate for the rail environment for certain railroadÂ's critical incident response programs, so that appropriate action is taken when a railroad employee is involved in or directly witnesses a critical incident.

#### **Effects:**

None

Prompting action: None

Legal Deadline: None

#### Rulemaking Project Initiated: 11/14/2008

Docket Number: FRA-2008-0131

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
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To OST	12/28/2011	04/27/2012	
To OMB	02/09/2012	06/04/2012	
OMB Clearance	05/09/2012	09/04/2012	
Publication Date	05/18/2012	09/11/2012	
End of Comment Period	07/17/2012	11/12/2012	

## **Explanation for any delay:** N/A

Federal Register Citation for NPRM: None

Federal Railroad Administration

## 48. Training Standards for Railroad Employees

**Popular Title**: Training Standards for RR Employees

## **RIN 2130-AC06**

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking will (1) establish minimum training standards for each class or craft of safety-related employee and equivalent railroad contractor and subcontractor employee that require railroads, contractors, and subcontractors to qualify or otherwise document the proficiency of such employees in each such class and craft regarding their knowledge and ability to comply with Federal railroad safety laws and regulations and railroad rules and procedures intended to implement those laws and regulations, etc.; (2) require submission of railroads´, contractors´, and subcontractors´ training and qualification programs for FRA approval; and (3) establish a minimum training curriculum and ongoing training criteria, testing, and skills evaluation measures for track and equipment inspectors employed by railroads and railroad contractor and subcontractors.

**Effects:** 

None

Prompting action: None

Legal Deadline: Final Rule : 10/16/2009

Rulemaking Project Initiated: 10/16/2008

Docket Number: FRA-2009-0033

## Dates for NPRM:

Milestone	Originally Scheduled	New Projected Date	Actual Date
	<b>Date</b>		09/09/2011
To OST		<u></u>	08/08/2011
To OMB	08/12/2011	09/13/2011	
OMB Clearance	11/10/2011	12/12/2011	
Publication Date	11/21/2011	12/20/2011	
End of Comment Period	01/20/2012	02/21/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for NPRM: None

Federal Railroad Administration

49.

Vehicle/Track Interaction Safety Standards; High-Speed and High Cant
 Deficiency Operations

Red

Red

Popular Title: Vehicle/Track Interaction, High Speed, High Cant

## RIN 2130-AC09

Stage: Final Rule

Previous Stage: NPRM: Publication Date 5/10/2010; End of Comment Period 7/9/2010.

**Abstract:** This rulemaking would amend the Track Safety Standards and Passenger Equipment Safety Standards for high-speed train operations and train operations at high cant deficiencies to promote the safe interaction of rail vehicles with the track over which they operate. It would revise both the safety limits for these operations and the process to qualify them. It accounts for a range of vehicle types that are currently used and may likely be used on future high-speed or high cant deficiency rail operations, and would provide safety assurance for train operations in all classes of track. It is based on the results of simulation studies designed to identify track geometry irregularities associated with unsafe wheel forces and acceleration, thorough reviews of vehicle qualification and revenue service test data, and consideration of international practices.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 06/19/2008

Docket Number: FRA-2009-0036

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/25/2011	09/30/2011	
To OMB	04/01/2011	11/07/2011	
OMB Clearance	06/30/2011	02/07/2012	
Publication Date	07/12/2011	02/17/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for Final Rule: None

Federal Railroad Administration

50. Alcohol and Controlled Substance Testing for Maintenance-of-Way Employees

Red

Popular Title: Controlled Substance Testing/Maintenance Employees

#### **RIN 2130-AC10**

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would revise the Federal Railroad AdministrationÂ's alcohol and drug regulations to cover all employees of railroads, railroad contractors, and subcontractors who perform maintenance-of-way activities. The rulemaking would also make other miscellaneous updates to 14 CFR Part 219.

**Effects:** 

None **Prompting action:** Statute **Legal Deadline:** Final Rule : 10/16/2010 **Rulemaking Project Initiated:** 10/16/2008 **Docket Number:** FRA-2009-0039

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/08/2009	09/30/2011	
To OMB	10/07/2009	11/07/2011	
OMB Clearance	01/05/2010	02/06/2012	
Publication Date	01/13/2010	02/13/2012	
End of Comment Period	03/15/2010	04/13/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for NPRM: None

Federal Railroad Administration

## 51. Risk Reduction Program

Red

Popular Title: Risk Reduction Program

## RIN 2130-AC11

Stage: NPRM

**Previous Stage:** ANPRM: Publication Date 12/08/2010; End of Comment Period 02/07/2011

**Abstract:** This rulemaking would consider appropriate contents for Risk Reduction Programs and how they should be implemented and reviewed by FRA.

## **Effects:**

Economically Significant Major

**Prompting action:** Statute

**Legal Deadline:** Final Rule : 10/16/2012

Rulemaking Project Initiated: 10/16/2008

Docket Number: FRA-2009-0038

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/15/2011	11/16/2011	
To OMB	07/21/2011	12/22/2011	
OMB Clearance	10/19/2011	03/21/2012	
Publication Date	10/27/2011	03/27/2012	
End of Comment Period	12/27/2011	05/28/2012	

Explanation for any delay: Additional coordination necessary

Awaiting development of additional data

## Federal Register Citation for NPRM: None

Federal Railroad Administration

## 52. **Emergency Escape Breathing Apparatus**

Popular Title: Emergency Escape Breathing Apparatus RIN 2130-AC14 Stage: Final Rule

Previous Stage: NPRM: Publication Date 10/05/2010, End of Comment Period 12/06/2010.

**Abstract:** This rulemaking would prescribe regulations that require railroads to provide specified emergency escape breathing apparatus for all crew members in locomotive cabs on freight trains carrying poison-inhalation-hazard hazardous material and provide training in its use.

#### **Effects:**

None

Prompting action: Statute Legal Deadline: Final Rule : 04/16/2010 Rulemaking Project Initiated: 10/16/2008 Docket Number: FRA-2009-0044

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/29/2011	09/23/2011	
To OMB	08/04/2011	10/31/2011	
OMB Clearance	11/02/2011	01/30/2012	
Publication Date	11/09/2011	02/06/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for Final Rule: None

Federal Railroad Administration

53. Hours of Service: Passenger Train Employees

Red

Popular Title: Hours of Service - Passenger Train Employees

## **RIN 2130-AC15**

Stage: Final Rule

Previous Stage: NPRM Published 03/22/2011, End of Comment Period 05/23/2011

Abstract: This rulemaking would establish hours of service requirements for train employees engaged in commuter and intercity passenger rail transport.

## **Effects:**

Information Collection

Prompting action: Statute

Legal Deadline: Final Rule : 10/16/2011

Rulemaking Project Initiated: 10/16/2008

**Docket Number:** 

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/15/2011	06/13/2011	06/14/2011
To OMB	07/21/2011	06/30/2011	06/28/2011
OMB Clearance	10/19/2011	08/03/2011	08/02/2011
Publication Date	10/26/2011	08/11/2011	08/12/2011

Explanation for any delay: N/A

## Federal Register Citation for Final Rule: 76 FR 50360

Federal Railroad Administration

#### High-Speed Rail Corridor Development and Capital Investment Grants to Red 54. Support Intercity Passenger Rail Service

Popular Title: High-Speed Rail Corridor Development

#### **RIN 2130-AC17**

Stage: NPRM

**Previous Stage:** None

Abstract: This rulemaking would prescribe procedures and schedules for the awarding of grants to eligible applicants under this title to assist in financing the capital costs of facilities, infrastructure, and equipment necessary to provide or improve intercity passenger rail transportation.

#### **Effects:**

**Economically Significant** Major

**Prompting action:** Statute

**Legal Deadline:** Final Rule - High Speed Development : 10/16/2009 Final Rule - Capital Investment Grants : 10/16/2010

## **Rulemaking Project Initiated:** 10/16/2008

Docket Number: FRA-2009-0106

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/10/2010	03/21/2012	
To OMB	06/07/2010	04/25/2012	
OMB Clearance	09/07/2010	07/24/2012	
Publication Date	09/16/2010	08/01/2012	
End of Comment Period	11/16/2010	10/01/2012	

Explanation for any delay: Additional coordination necessary

Lack of resources

#### Federal Register Citation for NPRM: None

Federal Railroad Administration

#### 55. **Alternate Passenger Rail Service Pilot Program**

**Popular Title**: Alternate Passenger Rail Service Pilot Program

## **RIN 2130-AC19**

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking is to develop a pilot program that permits a rail carrier or rail carriers that own infrastructure over which Amtrak operates certain passenger rail routes to petition the FRA to be considered as a passenger rail service provider over that route in lieu of Amtrak for a period not to exceed 5 years from October 16, 2008.

#### **Effects:**

None

**Prompting action:** Statute

Black



## Legal Deadline: Final Rule : 10/16/2009 Rulemaking Project Initiated: 10/16/2008 Docket Number: FRA-2009-0108

## Dates for NPRM:

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	08/02/2011	09/09/2011	
To OMB	09/07/2011	10/14/2011	
OMB Clearance	12/06/2011	01/14/2011	
Publication Date	12/12/2011	01/24/2012	
End of Comment Period	02/10/2012	03/24/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for NPRM: None

Federal Railroad Administration

#### 56. **Amendments Expanding the Drug Panel for FRA Post-Accident Toxicological Testing**

Green

Popular Title: Drug Panel Post-Accident Toxicological Testing

## RIN 2130-AC24

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would expand the drug testing panel for FRAÂ's post-accident toxicological testing (PATT) program, which investigates the role of alcohol and drug use in serious train accidents. This rulemaking would also amend the requirements regarding the analysis of PATT results in 49 C.F.R. § 219.211 to reflect that some of the drugs in the expanded panel are prescription and over-the-counter drugs that are not controlled substances. FRA has tested for the same basic panel of drugs since the beginning of PATT in 1985. Currently, FRA tests blood and urine specimens for eight drug classifications: alcohol, marijuana, cocaine, the opiates, the amphetamines, phencyclidine (PCP), the barbiturates, and the benzodiazepines. FRA would expand the PATT panel to include synthetic opiates, sedating antihistamines, MDMA and one of its analogues, and additional benzodiazepines. This rulemaking does not make any substantive changes to the prohibitions on the abuse of controlled substances and prescription drugs found in 49 C.F.R. § 219.102 and 219.103.

#### **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 07/21/2010

Docket Number: FRA-2010-0155

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	07/11/2011	10/07/2011	
То ОМВ	08/15/2011	11/15/2011	
OMB Clearance	11/14/2011	02/13/2012	
	1		

Publication Date	11/22/2011	02/20/2012	
End of Comment Period	01/23/2012	04/20/2012	

#### **Explanation for any delay:** Additional coordination necessary

#### Federal Register Citation for NPRM: None

Federal Railroad Administration

#### 57. Medical Standards for Certified Locomotive Engineers and Conductors

Green

Popular Title: Medical Standards for Engineers and Conductors

**RIN 2130-AC25** 

Stage: NPRM

## Previous Stage:None

Abstract: This rulemaking would create a medical standards program for certified locomotive engineers and conductors to reduce the risk of railroad accidents due to medical conditions that pose a risk of sudden incapacitation. The rulemaking would revise the existing hearing and vision requirements for locomotive engineers in 49 CFR part 240 and apply these requirements to train conductors in 49 CFR part 242 (See Sec. 402 of the Rail Safety Improvement Act of 2008). It would also contain medical standards for the assessment of certain conditions that pose a risk of sudden incapacitation (specifically, stroke, syncope, seizures, cardiovascular disease, insulin-dependent diabetes, and obstructive sleep apnea). These standards, which are being developed by a physicians task force, would be applied by railroad physicians in required triennial and discretionary interim medical assessments of engineers and conductors.

## **Effects:**

None

**Prompting action:** Secretarial/Head of Operating Administration Decision

Legal Deadline: None

**Rulemaking Project Initiated:** 11/29/2010

Docket Number: FRA-2010-0178

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/28/2012		
To OMB	05/02/2012		
OMB Clearance	07/31/2012		
Publication Date	08/15/2012		
End of Comment Period	10/15/2012		

**Explanation for any delay:** N/A

Federal Register Citation for NPRM: None

Federal Railroad Administration

58. **Positive Train Control Systems Amendments (RRR)** 



**Popular Title:** Positive Train Control Systems Amendments (RRR) **RIN 2130-AC27** Stage: NPRM Previous Stage:None

**Abstract:** This rulemaking would modify or remove provisions relating to the alternative route analysis and residual risk analysis used to determine whether Positive Train Control System implementation may be avoided.

## **Effects:**

Economically Significant Major

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 03/02/2011

## **Docket Number:**

## **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	05/06/2011	06/07/2011	06/01/2011
To OMB	06/13/2011	06/29/2011	06/23/2011
OMB Clearance	09/12/2011	09/29/2011	08/15/2011
Publication Date	09/16/2011	10/10/2011	08/24/2011
End of Comment Period	11/15/2011	12/10/2011	10/24/2011

## Explanation for any delay: N/A

## Federal Register Citation for NPRM: 76 FR 52918

Federal Railroad Administration

## 59. Railroad System Safety Program

Black

Popular Title: Railroad System Safety Program

RIN 2130-AC31

Stage: NPRM

## Previous Stage:None

**Abstract:** This rulemaking would improve passenger railroad safety through structured, proactive processes and procedures developed by passenger railroad operators. It would require passenger railroads to establish a System Safety Program that would systematically evaluate and manage risks in order to reduce the number and rates of railroad accidents, incidents, injuries and fatalities.

#### **Effects:**

None

Prompting action: Statute

**Legal Deadline:** Final Rule : 10/16/2012

**Rulemaking Project Initiated:** 10/16/2008

Docket Number: FRA-2011-0060

## **Dates for NPRM:**

Originally Scheduled Date	New Projected Date	Actual Date
12/30/2011		
02/07/2012		
05/07/2012		
	Scheduled           Date           12/30/2011           02/07/2012	Scheduled Date         Projected Date           12/30/2011         02/07/2012

Publication Date	05/14/2012	
End of Comment Period	07/13/2012	

#### Explanation for any delay: N/A

Federal Register Citation for NPRM: None

Federal Railroad Administration

# Positive Train Control Systems: De Minimis Exception, Yard Movements, En Route Failures; Miscellaneous Grade Crossing/Signal and Train Control Amendments

**Popular Title**: PTC Amendments Grade Crossing & Signal (RRR)

## RIN 2130-AC32

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would revise Positive Train Control regulations by defining the de minimis exception and en route failures, proposing exceptions relating to yard movements that may not be considered on the main line system, and amending regulations governing grade crossing and signal and train control systems. The rulemaking is in response to a petition for rulemaking from the Association of American Railroads.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 06/01/2011

Docket Number: FRA-2011-0061

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/30/2011		
To OMB	02/07/2012		
OMB Clearance	05/07/2012		
Publication Date	05/14/2012		
End of Comment Period	07/13/2012		

## **Explanation for any delay:** N/A

Federal Register Citation for NPRM: None

## Federal Transit Administration

Federal Transit Administration

## 61. Capital Project Management

Red

Black

Popular Title: Capital Project Management

## RIN 2132-AA92

Stage: NPRM

**Previous Stage:** ANPRM: Publication Date 9/10/2009; End of Comment Period 11/9/2009; Extension of Comment Period 11/10/2009; End of Extended Comment Period 1/8/2010.

Abstract: This rulemaking would transform the FTAÂ's Project Management Oversight rule

at 49 CFR part 633 into a Capital Project Management rule governing all major capital projects funded under 49 U.S.C. Chapter 53. The rulemaking would consider the appropriate scope of such a rule; the definition of "major capital project"; the management capacity and capability of project sponsors; the requirements for Project Management Plans; the use of risk assessments in project development; and the role and responsibilities of FTA oversight contractors.

## **Effects:**

None

Prompting action: Statute Legal Deadline: None Rulemaking Project Initiated: 08/10/2005

## Docket Number: FTA-2009-0030

## Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/17/2010		12/14/2010
Returned to Mode			02/23/2011
Resubmitted to OST		03/09/2011	03/09/2011
To OMB	01/18/2010	04/18/2011	05/04/2011
OMB Clearance	04/18/2010	07/18/2011	08/25/2011
Publication Date	04/29/2011	09/15/2011	
End of Comment Period	06/24/2011	11/15/2011	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for NPRM: None

Federal Transit Administration

## 62. Bus Testing: Updating Testing Procedures

**Popular Title**: Bus Testing: Updating Testing Procedures **RIN 2132-AB01** 

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 03/14/2011;End of Comment Period 05/13/2011;Extension of Comment Period 05/19/2011;End of Extended Comment Period 06/15/2011.

**Abstract:** This rulemaking would amend FTAÂ's bus testing procedures to more accurately reflect passenger loads by updating the average passenger weight to incorporate recent statistical data. This action would affect those transit bus manufacturers who may need to upgrade vehicle components or modify vehicle configurations to better accommodate heavier weight loads.

## **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 01/28/2010

**Docket Number:** 

## **Dates for Final Rule:**

Milestone C

Originally |

New

Black

	Scheduled Date	Projected Date	Date
To OST	10/14/2011		
To OMB	11/15/2011		
OMB Clearance	02/15/2011		
Publication Date	02/22/2012		

## **Explanation for any delay:** N/A

Federal Register Citation for Final Rule: None

Federal Transit Administration

## 63. Major Capital Investment Projects (RRR)

Red

Popular Title: New Starts (RRR)

## RIN 2132-AB02

Stage: NPRM

Previous Stage: ANPRM: Publication Date 6/3/2010; End of Comment Period 8/2/2010.

**Abstract:** This rulemaking would make changes to the regulations that govern the New Starts discretionary funding program authorized by 49 U.S.C. 5309. FTAÂ's initial rulemaking on this subject (RIN 2132-AA81), initiated to meet the statutory deadline, was terminated as the result of subsequent Congressional action prohibiting FTA from issuing a rule.

**Effects:** 

None

**Prompting action:** Statute

Legal Deadline: Final Rule by : 04/07/2006

**Rulemaking Project Initiated:** 03/08/2010

Docket Number: FTA-2010-0009

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/04/2011	02/04/2011	02/14/2011
To OMB	04/04/2011	04/18/2011	04/27/2011
OMB Clearance	06/03/2011	11/07/2011	
Publication Date	06/15/2011	11/18/2011	
End of Comment Period	08/15/2011	01/17/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for NPRM: None

## **Maritime Administration**

Maritime Administration

Regulations To Be Followed by All Departments, Agencies and Shippers
Having Responsibility To Provide a Preference for U.S.-Flag Vessels in the Shipment of Cargoes on Ocean Vessels. Red

Popular Title: Cargo Preference RIN 2133-AB74

#### Stage: NPRM

**Previous Stage:** ANPRM: Publication Date 01/28/99; End of Comment Period 03/29/99; Withdrawn 02/14/05

**Abstract:** This rulemaking would revise and clarify the Cargo Preference rules that have not been revised substantially since 1971. Revisions would include an updated purpose and definitions section along with the removal of obsolete provisions.

#### **Effects:**

Information Collection Foreign

Prompting action: Secretarial/Head of Operating Administration Decision

#### Legal Deadline: None

**Rulemaking Project Initiated:** 06/21/2008

## **Docket Number:**

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/15/2008		09/19/2008
Withdrawn from OST			11/15/2008
Resubmitted to OST		10/15/2011	
To OMB	10/01/2008	10/31/2011	
OMB Clearance	10/25/2008	12/15/0201	
Publication Date	10/31/2008	12/31/2011	
End of Comment Period	12/31/2008	03/02/2012	

#### **Explanation for any delay:** Additional coordination necessary

Unanticipated issues requiring further analysis

## Federal Register Citation for NPRM: None

Maritime Administration

# 65. Cargo Preference - Compromise, Assessment, Mitigation, Settlement & Collection of Civil Penalties

Red

Popular Title: Cargo Preference Statutory Changes

## RIN 2133-AB75

Stage: NPRM

## Previous Stage:None

**Abstract:** This rulemaking would establish Part 383 of the Cargo Preference regulations. This rulemaking would cover P.L. 110-417, Section 3511 National Defense Authorization Act for FY2009 statutory changes to the cargo preference rules, which have not been substantially revised since 1971. The rulemaking also would include compromise, assessment, mitigation, settlement, and collection of civil penalties.

#### **Effects:**

Regulatory Flexibility Act Information Collection Foreign

Prompting action: Statute

Legal Deadline: None

Rulemaking Project Initiated: 10/14/2008

## Docket Number:

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/16/2009	10/15/2011	
To OMB	07/16/2009	10/31/2011	
OMB Clearance	10/16/2009	12/15/2011	
Publication Date	10/21/2009	12/31/2011	
End of Comment Period	12/21/2009	03/02/2012	

Explanation for any delay: Unanticipated impacts requiring further analysis

## Federal Register Citation for NPRM: None

## National Highway Traffic Safety Administration

National Highway Traffic Safety Administration

## 66. FMVSS No. 124, Accelerator Control Systems Upgrade

Red

Popular Title: Accelerator Control Systems - Brake Override

## RIN 2127-AK18

Stage: NPRM

Previous Stage:None

**Abstract:** The agency is considering several revisions to FMVSS No. 124. First, we are considering revisions to the test procedures for vehicles with electronically controlled throttles as well as electric vehicles and hybrid vehicles. These test procedures are the product of several workshops and public meetings. We anticipate that all vehicles would be able to meet them because it would not involve changes to the existing basic requirements of the standard. Second, we are considering adding a new requirement for a brake-throttle override system on light vehicles. Under certain conditions, this would require that the braking system override the throttle control in the event of a conflict. All vehicle manufacturers are in the process of equipping all of their U.S. vehicles with such technology, if they have not done so already. We anticipate that this new requirement would accommodate the current and planned override technologies. This rulemaking was recently upgraded to significant.

#### **Effects:**

EU NAFTA Foreign

Prompting action: None

Legal Deadline: Initiate Rulemaking : 08/28/2009

Rulemaking Project Initiated: 02/12/2008

#### **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/13/2011	08/12/2011	
To OMB	09/24/2011	09/23/2011	

OMB Clearance	12/23/2011	12/22/2011	
Publication Date	12/31/2011	12/30/2011	
End of Comment Period	03/03/2012	03/02/2012	

#### Explanation for any delay: N/A

#### Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

#### 67. Federal Motor Vehicle Safety Standard No. 111, Rearview Mirrors

Red

Popular Title: Rearview Visibility

## RIN 2127-AK43

Stage: Final Rule

**Previous Stage:** ANPRM: Publication Date 03/04/09; End of Comment Period 05/04/09. NPRM: Publication Date 12/07/2010; End of Comment Period 02/07/2011. NPRM Reopened Comment Period: Publication Date 03/02/2011; End of Re-opened Comment Period 04/18/2011.

**Abstract:** This rulemaking would amend Federal Motor Vehicle Standard No. 111; Rearview Mirrors, to reflect requirements contained in the Cameron Gulbransen Kids Transportation Safety Act of 2007. The Act requires that NHTSA expand the required field of view to enable the driver of a motor vehicle to detect areas behind the motor vehicle to reduce death and injury resulting from backing incidents, particularly incidents involving small children and disabled persons. According to the Act, such a standard may be met by the provision of additional mirrors, sensors, cameras, or other technology to expand the driverÂ's field of view.

## **Effects:**

EU NAFTA Foreign

Prompting action: Statute

Legal Deadline: FR per Letter to Congress : 12/31/2011 Initiate rulemaking : 02/28/2009 Final Rule : 02/28/2011

Rulemaking Project Initiated: 02/28/2008

Docket Number: NHTSA-2009-0041

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/10/2011	10/03/2011	
To OMB	09/24/2011	11/03/2011	
OMB Clearance	12/23/2011	12/23/2011	
Publication Date	12/31/2011	12/30/2011	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

National Highway Traffic Safety Administration

68. **Require Installation of Seat Belts on Motorcoaches, FMVSS No. 208** 

Yellow

Popular Title: Seat Belts on Motorcoaches

## RIN 2127-AK56

Stage: Final Rule

Previous Stage: NPRM: Publication Date 08/18/2010; End Of Comment Period 10/1/2010.

**Abstract:** This rulemaking would require the installation of lap/shoulder belts in newlymanufactured motorcoaches. Specifically, this rulemaking would establish a new definition for motorcoaches in 49 CFR Part 571.3. It would also amend Federal Motor Vehicle Safety Standard No. 208, "Occupant" Crash Protection, to require the installation of lap/shoulder belts at all driver and passenger seating positions. It would also require the installation of lap/shoulder belts at driver seating positions of large school buses in FMVSS No. 208. This rulemaking responds, in part, to recommendations made by the National Transportation Safety Board for improving bus safety.

## **Effects:**

EU NAFTA Foreign

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 08/12/2009

Docket Number: NHTSA-2010-0112

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/14/2011	02/06/2012	
To OMB	10/26/2011	03/06/2012	
OMB Clearance	01/25/2012	05/22/2012	
Publication Date	01/30/2012	05/30/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for Final Rule: None

National Highway Traffic Safety Administration

69. Commercial Medium- and Heavy-Duty On-Highway Vehicles and Work Truck Fuel Efficiency Standards Red

Popular Title: Commercial Med- and Heavy-Duty Truck Fuel Economy

## RIN 2127-AK74

Stage: Final Rule

Previous Stage: NPRM: Publication Date 11/30/2010; End of Comment Period 1/31/2011.

**Abstract:** This rulemaking would respond to requirements of the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007. The statute requires that rulemaking begin with a report by the National Academy of Sciences evaluating medium-duty and heavy-duty truck fuel economy standards. The National Academy provided Congress and NHTSA with this report on March 18, 2010. EISA then requires that NHTSA complete a study that examines the fuel efficiency of commercial medium- and heavy-duty on-highway vehicles and work trucks and determines the appropriate test procedures and methodologies for measuring the fuel efficiency of such vehicles, the appropriate metric for measuring the fuel efficiency of such vehicles, the range of factors that affect the fuel efficiency of these vehicles, and other factors that could impact a program to improve the fuel efficiency of these vehicles. The NHTSA study was issued October 25, 2010. Once that study is completed, NHTSA has 24 months to complete a final rule establishing a fuel efficiency program for these vehicles. The law provides that the new standards must provide at least 4 full model years of regulatory leadtime and 3 full model years of regulatory stability (i.e., the standards must remain in effect for 3 years before they may be amended). On May 21, 2010, President Obama issued a memorandum directing NHTSA and EPA conduct a joint rulemaking (NHTSA regulating fuel efficiency and EPA regulating greenhouse gas emissions), and to issue a final rule by July 30, 2011.

#### **Effects:**

Economically Significant Major Unfunded Mandate EIS EU NAFTA Foreign

**Prompting action:** Statute

Legal Deadline: NHTSA Study : 09/30/2010 Final rule : 09/28/2012 Publish Final Rule per Presidential Memo : 07/30/2011

Rulemaking Project Initiated: 12/19/2007

Docket Number: NHTSA-2010-0079

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/02/2011	06/17/2011	05/30/2011
To OMB	06/26/2011	06/26/2011	06/30/2011
OMB Clearance	07/25/2011	07/25/2011	08/08/2011
Publication Date	07/29/2011	07/29/2011	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

National Highway Traffic Safety Administration

70. Alternative Fuel Vehicle Badging and Fuel Compartment Labels on Alternative Fuel Usage

Red

**Popular Title**: Alternative Fuel Usage Labeling & Badging

## RIN 2127-AK75

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would respond to requirements of the Energy Independence and Security Act of 2007 (EISA), Title 1, Subtitle A, Section 105, as it amends 49 USC § 32908, to implement Consumer Information and Consumer Education programs related to fuel economy, GHG, other emissions, and alternative fuels. EISA directs the Secretary of Transportation to label vehicles with a permanent and prominent display that an automobile is capable of operating on alternative fuels, and to include in the ownerÂ's manual for vehicles capable of operating on alternative fuels information that describes that capability and the benefits of using alternative fuels, including the renewable nature and environmental benefits of using alternative fuels. Additionally, EISA requires a label to be attached to the fuel compartment of vehicles capable of operating on alternative fuels, more a label to be attached to the alternative fuel stated on the label. EISA, signed into Law on December 19, 2007, requires that the Secretary issue a final rule not later than 42 months after the date of the enactment.

## Effects:

EU NAFTA Foreign

Prompting action: Statute

**Legal Deadline:** Final rule : 06/19/2011

Rulemaking Project Initiated: 12/19/2007

## **Docket Number:**

## **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	07/19/2010	07/18/2011	
To OMB	08/26/2010	08/01/2011	
OMB Clearance	11/25/2010	08/26/2011	
Publication Date	11/30/2010	08/31/2011	
End of Comment Period	01/31/2011	09/30/2011	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

71. **Tire Fuel Efficiency Consumer Information - Part 2** 

Red

## **Popular Title**: Tire Fuel Efficiency Part 2

## RIN 2127-AK76

Stage: Undetermined

Previous Stage: NPRM: Publication Date 06/22/2009; Comment Period End 08/21/2009.

**Abstract:** This rulemaking would respond to requirements of the Energy Independence & Security Act of 2007 to establish a national tire fuel efficiency consumer information program for replacement tires designed for use on motor vehicles. On March 30, 2010, NHTSA published a final rule specifying the test procedures to be used to rate the performance of replacement passenger car tires for this new program (75 FR 15893). This rulemaking would address how this information would be made available to consumers. A SNPRM was originally scheduled to be published on 12/31/2011.

## **Effects:**

EU NAFTA Foreign

**Prompting action:** Statute

Legal Deadline: None

Rulemaking Project Initiated: 12/19/2007

Docket Number: NHTSA-2011-0099

## **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
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To OST		
To OMB		
OMB Clearance		
Publication Date		
End of Comment Period		

Explanation for any delay: N/A

Federal Register Citation for Undetermined: None

National Highway Traffic Safety Administration

## 72. Passenger Car and Light Truck Corporate Average Fuel Economy Standards MYs 2017 and Beyond

Red

Popular Title: CAFE 2017 and Beyond

## RIN 2127-AK79

## Stage: NPRM

**Previous Stage:** Notice of Intent: 10/13/2010. Supplemental Notice of Intent: Publication Date 12/08/2010. Supplemental Notice of Intent: Signed by DOT Secretary and EPA Administrator 7/29/2011.

**Abstract:** This rulemaking would establish Corporate Average Fuel Economy (CAFE) standards for light trucks and passenger cars for model years 2017 and beyond. This rulemaking would respond to requirements of the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007. The statute requires that CAFE standards be prescribed separately for passenger automobiles and non-passenger automobiles to achieve a combined fleet fuel economy of at least 35 mpg by model year 2020. For model years 2021 and beyond, the statute requires that the average fuel economy required to be attained by each fleet of passenger and non-passenger automobiles be the maximum feasible for each model year. The law requires the standards be set at least 18 months prior to the start of the model year. On May 21, 2010, President Obama issued a memorandum directing NHTSA and EPA to conduct a joint rulemaking (NHTSA regulating fuel economy and EPA regulating greenhouse gas emissions), and to issue a Notice of Intent to Issue a Proposed Rule (NOI) by September 30, 2010.

#### **Effects:**

Economically Significant Major EIS EU NAFTA Foreign

**Prompting action:** Statute

**Legal Deadline:** Final rule: : 04/01/2015

**Rulemaking Project Initiated:** 05/27/2010

Docket Number: NHTSA-2010-0131

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/15/2011	07/25/2011	
To OMB	07/15/2011	08/22/2011	
OMB Clearance	08/29/2011	09/21/2011	

Publication Date	09/01/2011	09/28/2011	
End of Comment Period	11/01/2011	11/27/2011	

#### Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

73. Mandatory Part 563 Event Data Recorder Requirements

Yellow

Popular Title: Mandatory EDR Requirements

## RIN 2127-AK86

Stage: NPRM

## Previous Stage:None

**Abstract:** This rulemaking would establish a new Federal motor vehicle safety standard to mandate the installation of Event Data Recorders (EDR) in light vehicles. The standard would incorporate the current 49 CFR Part 563, which established the current reporting requirement of voluntarily installed EDRs in light vehicles. Part 563 presently requires vehicle manufacturers who are voluntarily installing EDRs to be in compliance with the regulation by September 1, 2012. This rulemaking would not affect the 2012 compliance date for voluntarily-installed EDRs. Furthermore, this rulemaking would not modify any of the Part 563 data elements, data capture and format requirements, data retrieval specifications, or data survivability and crash test requirements. Moreover, this rulemaking to mandate EDRs across the entire light vehicle fleet could contribute to advancements in vehicle designs, and advanced restraint and other safety countermeasures. The estimated total incremental costs associated with this rulemaking would be \$24.4 million (2009 dollars), which is measured from a baseline of 91.6 percent EDR installation to 100 percent installation, assuming the sale of 15.5 million light vehicles per year.

## **Effects:**

None

Prompting action: None Legal Deadline: None Rulemaking Project Initiated: 02/22/2011 Docket Number:

## Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/15/2011	09/20/2011	
To OMB	07/26/2011	10/25/2011	
OMB Clearance	10/25/2011	01/24/2012	
Publication Date	10/31/2011	01/31/2012	
End of Comment Period	12/31/2011	03/30/2012	

## Explanation for any delay: N/A

## Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

#### 74. Upgrade of Event Data Recorder Requirements

Yellow

Popular Title: Light Vehicle Upgrade of EDR Requirements RIN 2127-AK87

#### Stage: ANPRM

## Previous Stage:None

**Abstract:** This rulemaking would expand the utility of the amount and type of data Event Data Recorders (EDRs) capture in light vehicles in the event of a crash. The rulemaking would consider requiring some of the optional data elements specified in 49 CFR Part 563. Part 563 established the current reporting requirement of voluntarily installed EDRs in light vehicles. In addition, this rulemaking would make revisions to the optional data elements to account for the latest advances in vehicle safety. Part 563 presently requires vehicle manufacturers who are voluntarily installing EDRs to be in compliance with the regulation by September 1, 2012. In a separate rulemaking (2127-AK86 Mandatory Part 563 Event Data Recorder Requirements), the agency would propose requiring that all light vehicles comply with the requirements specified in current 49 CFR 563. This rulemaking would seek additional information from the public and expand upon that initiative. Moreover, this rulemaking would contribute to advancements in vehicle designs, advanced restraints and other safety countermeasures. The costs are expected to be minimal since some vehicle manufacturers presently capture additional data beyond Part 563 in their EDRs.

#### **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 02/22/2011

## **Docket Number:**

## **Dates for ANPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/15/2011	10/12/2011	
To OMB	07/26/2011	11/23/2011	
OMB Clearance	10/25/2011	02/22/2012	
Publication Date	10/31/2011	02/29/2012	
End of Comment Period	12/31/2011	04/30/2012	

Explanation for any delay: Lack of staffing

Federal Register Citation for ANPRM: None

National Highway Traffic Safety Administration

75. **FMVSS 114, Theft Protection and Rollaway Prevention, Keyless Ignition** Systems

Green

Popular Title: Keyless Ignition

## RIN 2127-AK88

Stage: NPRM

#### Previous Stage:None

**Abstract:** FMVSS No. 114, Theft protection and rollaway prevention, has provisions that govern the operation of ignition systems in vehicles. This rulemaking would address emerging safety concerns that NHTSA has identified using consumer compliance data regarding keyless ignition controls that are not directly addressed by this standard. The concerns are drivers who are unable to shut down the propulsion system of their vehicle in the event of any on-road emergency; drivers who shut off the propulsion system without putting their vehicle in park and walk away from the vehicle, leaving it prone to roll away;

and drivers who do put their vehicle in park, but inadvertently leave the propulsion system active increasing the risk of carbon monoxide poisoning in a closed environment. We anticipate that these new requirements would have little or no anticipated cost as they are based on a new Society of Automotive Engineers Recommended Practice J2948-20110. We believe that manufacturers already intend to follow that Practice voluntarily. The benefits for these new provisions would be reduced consumer confusion with these new controls and reduced of potential risk of death or injury. However, because these systems are not widespread in current vehicles, their benefits cannot yet be readily quantified.

#### **Effects:**

EU NAFTA Foreign

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 02/22/2011

#### **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/12/2011		
То ОМВ	09/23/2011		
OMB Clearance	12/22/2011		
Publication Date	12/30/2011		
End of Comment Period	02/29/2012		

#### Explanation for any delay: N/A

#### Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

#### 76. Heavy Vehicle Speed Limiters

Popular Title: Heavy Vehicle Speed Limiters

#### RIN 2127-AK92

Stage: NPRM

#### Previous Stage:None

Abstract: This rulemaking would respond to petitions from ATA and Roadsafe America to require the installation of speed limiting devices on heavy trucks. In response, NHTSA requested public comment on the subject and received thousands of comments supporting the petitionerâ€<sup>M</sup>s request. Based on the available safety data and the ancillary benefit of reduced fuel consumption, this rulemaking would consider a new Federal Motor Vehicle Safety Standard that would require the installation of speed limiting devices on heavy trucks. We believe this rule would have minimal cost, as all heavy trucks already have these devices installed, although some vehicles do not have the limit set. This rule would decrease the estimated 8991 fatalities caused by crashes involving heavy trucks and buses. It would also increase the fleet fuel efficiency of these vehicles.

#### **Effects:**

Economically Significant Major

#### Prompting action: None

## Legal Deadline: None Rulemaking Project Initiated: 03/18/2011 Docket Number: Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/11/2011	08/11/2012	
To OMB	09/24/2011	09/24/2012	
OMB Clearance	12/23/2011	12/23/2012	
Publication Date	12/31/2011	12/30/2012	
End of Comment Period	03/02/2012	03/02/2013	

#### Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

Green

## 77. Sound for Hybrid and Electric Vehicles

Popular Title: Quieter Vehicles Sound Alert

#### RIN 2127-AK93

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would respond to The Pedestrian Safety Enhancement Act of 2010, which directs the Secretary of Transportation to study and establish a motor vehicle safety standard that provides for a means of alerting blind and other pedestrians of motor vehicle operation. NHTSA is conducting research in this area and has not yet developed an estimate for the potential costs and benefits associated with this rulemaking action.

#### **Effects:**

EU NAFTA Foreign

Prompting action: None

**Legal Deadline:** Initiate rulemaking: : 07/05/2012 Final Rule: : 01/03/2014

#### Rulemaking Project Initiated: 03/18/2011

#### **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/14/2012		
To OMB	03/27/2012		
OMB Clearance	06/27/2012		
Publication Date	07/05/2012		
End of Comment Period	09/05/2012		

Explanation for any delay: N/A

Federal Register Citation for NPRM: None

## 78. Motorcoach Rollover Structural Integrity

Popular Title: Motorcoach Rollover Structural Integrity

## RIN 2127-AK96

Stage: NPRM

## Previous Stage:None

Abstract: This rulemaking would promulgate a new FMVSS for rollover structural integrity requirements for motorcoaches. In August 2007, NHTSA published a motorcoach safety plan identifying four specific priority items: seat belts on motorcoaches, rollover structural integrity, emergency evacuation, and fire safety. The DOT published a comprehensive motorcoach safety action plan in November 2009 that reiterated NHTSAâ€<sup>Ms</sup> motorcoach safety priorities. This rulemaking also addresses 6 recommendations issued by the NTSB on motorcoach roof strength and structural integrity.

#### **Effects:**

None

Prompting action: None

Legal Deadline: None

#### Rulemaking Project Initiated: 03/18/2011

#### **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/12/2011		
To OMB	09/23/2011		
OMB Clearance	12/23/2011		
Publication Date	12/30/2011		
End of Comment Period	03/02/2012		

#### Explanation for any delay: N/A

#### Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

79. Electronic Stability Control Systems for Heavy Vehicles

Red

**Popular Title**: Truck Tractor Electronic Stability Control

## RIN 2127-AK97

Stage: NPRM

#### Previous Stage:None

**Abstract:** This rulemaking would promulgate a new Federal standard that would require stability control systems on truck tractors and motorcoaches that address both rollover and loss of control crashes, after an extensive research program to evaluate the available technologies, an evaluation of the costs and benefits, and a review of manufacturerÂ's product plans. Rollover and loss of control crashes involving heavy vehicles is a serious safety issue that is responsible for 304 fatalities and 2,738 injuries annually. They are also a major cause of traffic tie-ups, resulting in millions of dollars of lost productivity and excess energy consumption each year. Suppliers and truck and motorcoach manufacturers have developed stability control technology for heavy vehicles to mitigate these types of crashes. Our preliminary estimate produces an effectiveness range of thirty-seven to fifty-six percent

Green

against single-vehicle tractor-trailer rollover crashes and three to fourteen percent against loss of control crashes that result from skidding on the road surface. With these effectiveness estimates, annually, we estimate 29 - 66 lives would be saved, 517 - 979 MAIS 1-5 injuries would be reduced, and 810 - 1,693 crashes that involved property damage only would be eliminated. Additionally, it would save \$10 - \$26 million in property damage and travel delays. Based on the technology unit costs and affected vehicles, we estimate technology costs would be \$55 to 107 million, annually. However, the costs savings from reducing travel delay and property damage would produce net benefits of \$128 - \$372 million.

#### **Effects:**

Economically Significant Major

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 03/18/2011

## **Docket Number:**

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/11/2011	08/12/2011	
To OMB	06/23/2011	09/24/2011	
OMB Clearance	09/23/2011	12/23/2011	
Publication Date	09/30/2011	12/30/2011	
End of Comment Period	11/30/2011	03/02/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

80. FMVSS No. 218 and Enforcement Policy Concerning Novelty Helmets

Green

## Popular Title: Novelty Helmets Enforcement

## RIN 2127-AL01

Stage: NPRM

## Previous Stage:None

**Abstract:** This rulemaking would establish an enforcement policy regarding novelty motorcycle helmets. These are helmets that are currently not certified by their manufacturers as being compliant with FMVSS No. 218. This rulemaking would also add an appendix to FMVSS No. 218 to serve as a guide for motorcyclists and local law enforcement personnel in identifying compliant motorcycle helmets.

## **Effects:**

Lincus.			
EU			
NAFTA			
Foreign			
Prompting action: None	•		
Legal Deadline: None			
Rulemaking Project Init	tiated: 04/21/20	11	
Docket Number:			
Dates for NPRM:			

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	10/12/2011		
To OMB	11/23/2011		
OMB Clearance	02/22/2012		
Publication Date	02/29/2012		
End of Comment Period	04/30/2012		

**Explanation for any delay:** N/A

Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

#### 81. Part 571 FMVSS No. 205, Glazing Materials, GTR (RRR)

Green

**Popular Title**: Window Glazing (RRR)

## **RIN 2127-AL03**

Stage: NPRM

Previous Stage:None

Abstract: This rulemaking would enhance FMVSS No. 205 by adopting a Global Technical Regulation (GTR), which contains updated performance tests for glazing materials that are composed of glass, laminated glass, or glass faced with plastic compared to what is currently in FMVSS No. 205. The tests in the GTR would allow manufacturers to achieve efficiencies in the certification process while not degrading safety or imposing new burdens.

#### Effects:

EU NAFTA Foreign

Prompting action: 2011 Retrospective Regulatory Review

#### Legal Deadline: None

Rulemaking Project Initiated: 04/22/2011

## **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/13/2012		
To OMB	03/26/2012		
OMB Clearance	06/25/2012		
Publication Date	06/30/2012		
End of Comment Period	08/29/2012		

## Explanation for any delay: N/A

Federal Register Citation for NPRM: None

**Office of the Secretary** 

Office of the Secretary

Popular Title: ADA Miscellaneous Amendments

## RIN 2105-AD54

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 02/27/2006; End of Comment Period 4/28/2006; Extension of Comment Period 5/1/2006; End of Extended Comment Period 7/28/2006.

**Abstract:** This rulemaking would amend several regulations regarding rail station platform standards, reasonable modifications of policies and procedures, and pedestrian access; it would also codify existing DOT procedures for issuing interpretations and guidance.

## **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 08/15/2005

Docket Number: OST-2006-23985

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/01/2010	05/02/2011	03/21/2011
To OMB	01/08/2011	05/31/2011	05/04/2011
OMB Clearance	04/08/2011	08/31/2011	08/05/2011
Publication Date	04/15/2011	09/13/2011	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for Final Rule: None

Office of the Secretary

## 83. Protection of Sensitive Security Information

**Popular Title**: SSI-TSA

## RIN 2105-AD59

Stage: Undetermined

**Previous Stage:** Interim Final Rule: Publication Date 05/18/2004; End of Comment Period 07/19/2004.

**Abstract:** This rulemaking would finalize a May 2004 interim final rule and respond to any comments received on that action. This is a joint rulemaking between DOT and the Transportation Security Administration. A final rule was originally scheduled for 10/31/06.

**Effects:** 

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 09/02/2002

Docket Number: TSA-2003-15569

## **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
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To OMB		
OMB Clearance		
Publication Date		
End of Comment Period		

Explanation for any delay: N/A

Federal Register Citation for Undetermined: None

Office of the Secretary

Red

# 84. Prioritization and Allocation Authority Exercised By the Secretary of Transportation Under the Defense Production Act

Popular Title: Defense Production Act (DPA)

## RIN 2105-AD83

Stage: Final Rule

Previous Stage: NPRM: Publication Date 02/15/2011; End of Comment Period 03/17/2011.

**Abstract:** This rulemaking would clarify the prioritization and allocation authorities exercised by the Secretary of Transportation under the Defense Production Act (DPA). The DPA is the PresidentÂ's primary authority to ensure timely availability of private sector resources for national defense. In addition to military and energy activities, the definition of "national defense" includes emergency preparedness activities conducted pursuant to Title VI of The Robert T. Stafford Disaster Relief and Emergency Assistance Act and the protection and restoration of critical infrastructure. Under Title I of the DPA, the President has the authority to require the acceptance and prioritization of contracts and orders -- and to allocate materials, services, and facilities -- in support of the national defense. Under Section 201 of Executive Order 12919, the President has delegated these prioritization and allocation authorities to the Secretary of Transportation for all forms of civil transportation. In the 2009 DPA reauthorization legislation, Congress mandated that each Federal agency with delegated authority under Title I of the DPA develop final rules that establish standards and procedures for implementing its delegated authority in emergency and nonemergency conditons. Additionally, Congress mandated that, to the extent practacable, the rules be consistent and unifed. DOT is part of an interageny working group, along with the other delegated agencies, that is developing consistent unifed rules.

## **Effects:**

Information Collection

**Prompting action:** Statute

Legal Deadline: Final rule : 06/27/2010

Rulemaking Project Initiated: 01/07/2009

## **Docket Number:**

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/15/2011		
To OMB	10/17/2011		
OMB Clearance	01/17/2012		
Publication Date	01/31/2012		

Explanation for any delay: N/A

Federal Register Citation for Final Rule: None

# 85. Use of the Seat-Strapping Method for Carrying a Wheelchair on an Aircraft

## Popular Title: Seat-Strapping

#### RIN 2105-AD87

Stage: Final Rule

Previous Stage: NPRM: Publication Date 06/03/2011; End of Comment Period 08/02/2011.

**Abstract:** This rulemaking would address whether carriers should be allowed to utilize the seat-strapping method to stow a passengerÂ's wheelchair in the aircraft cabin.

## **Effects:**

Regulatory Flexibility Act

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 04/30/2009

## **Docket Number:**

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/09/2011		
To OMB	12/21/2011		
OMB Clearance	03/20/2012		
Publication Date	04/03/2012		
End of Comment Period	06/04/2012		

**Explanation for any delay:** N/A

Federal Register Citation for Final Rule: None

Office of the Secretary

86. Stowage of Wheelchairs, other Mobility Aids and other Assistive Devices

**Popular Title**: Stowage and Assistive Devices

## RIN 2105-AD90

Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would amend 14 CFR Part 382 (Part 382) by including Subpart I (14 CFR 382.121-382.133) in the list of subparts with which a U.S. carrier must comply with respect to passengers traveling under its code on flights operated by a foreign carrier between two foreign points. This rulemaking would also amend Part 382 to eliminate the labeling requirements for FAA approved portable oxygen concentrators and specify that ventilators, respirators and Continuous Positive Airway Pressure (CPAP) machines must be allowed for use in the passenger cabin as long as they comply with applicable safety, security, and hazardous materials rules. In addition, this rule would amend the provision in Part 382 that allows carriers to require a passenger who wishes to use an FAA-approved portable oxygen concentrator in the aircraft cabin to provide a medical certificate dated within 10 days of the passenger´s initial flight.

#### **Effects:**

NAFTA Foreign

**Prompting action:** None

Legal Deadline: None

Rulemaking Project Initiated: 03/02/2009

## **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/12/2010	11/02/2011	
То ОМВ		11/30/2011	
OMB Clearance		03/01/2012	
Publication Date	06/09/2010	03/14/2012	
End of Comment Period	08/09/2010	05/14/2012	

Explanation for any delay: Unanticipated impacts requiring further analysis

Federal Register Citation for NPRM: None

Office of the Secretary

## 87. Accessibility of Airports

## Popular Title: Accessibility of Airports

## RIN 2105-AD91

Stage: NPRM

Previous Stage: None

**Abstract:** The rulemaking would include new provisions related to service animal relief areas and closed captioning of televisions and audio-visual displays for airports that mirror the new requirements applicable to airlines set forth in the amended 14 CFR Part 382. It would also reorganize the provision in 49 CFR Part 27 regarding lifts used to transfer disabled passengers to and from the tarmac.

#### **Effects:**

EU NAFTA Foreign

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 01/03/2009

## **Docket Number:**

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			03/29/2011
To OMB		04/18/2011	06/03/2011
OMB Clearance		09/03/2011	08/23/2011
Publication Date	04/02/2010	09/25/2011	
End of Comment Period	06/02/2010	11/23/2011	

Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for NPRM: None

Office of the Secretary

#### 88. Accessibility of Carrier Websites and Ticket Kiosks

Popular Title: ACAA SNPRM 1

## RIN 2105-AD96

Stage: SNPRM

Previous Stage: NPRM: Publication Date 06/08/2010; Comment Period End 08/09/2010.

**Abstract:** This rulemaking was divided into two successive Air Carrier Access Act (ACAA) rulemakings. This one, as well as the second rulemaking (2105-AE12), address issues raised in another rulemaking RIN: 2105-AD92. This rulemaking would consider: (1) the cost and technical issues involved in requiring carrier website accessibility; and (2) whether automated kiosks operated by carriers at airports and elsewhere should be required to be accessible. After the public comment periods, we intend to consolidate the final decisions in this rulemaking and RIN: 2105-AE12 into one document.

## **Effects:**

None

Prompting action: None

Legal Deadline: None

## **Rulemaking Project Initiated:** 09/15/2009

## **Docket Number:**

## **Dates for SNPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/12/2010	05/25/2011	07/06/2011
To OMB	09/01/2010	06/23/2011	07/29/2011
OMB Clearance	12/01/2010	09/21/2011	09/06/2011
Publication Date	12/10/2010	09/30/2011	
End of Comment Period	03/10/2011	11/30/2011	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for SNPRM: None

Office of the Secretary

## 89. Smoking of Electronic Cigarettes On Commercial Aircraft

## Popular Title: E-Cigarette

## RIN 2105-AE06

Stage: NPRM

## Previous Stage:None

**Abstract:** This rulemaking would amend the general regulatory language in 14 CFR Part 252 to explicitly ban the smoking of electronic cigarettes on air carrier and foreign air carrier flights in scheduled intrastate, interstate, and foreign air transportation.

## **Effects:**

Foreign **Prompting action:** None



## Legal Deadline: None Rulemaking Project Initiated: 11/04/2010 Docket Number: Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/24/2011		03/09/2011
To OMB		04/11/2011	05/04/2011
OMB Clearance		09/01/2011	08/29/2011
Publication Date	04/22/2011	09/08/2011	
End of Comment Period	06/21/2011	11/07/2011	

Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for NPRM: None

Office of the Secretary

Green

#### 90. Enhancing Airline Passenger Protections III

**Popular Title**: Airline Psgr. Protections III

#### RIN 2105-AE11

Stage: SNPRM

**Previous Stage:** NPRM : Publication Date 12/08/2008; Comment Period End 02/06/2009. NPRM Extension of Comment Period: Publication date 02/06/2009; Extension of Comment Period End 03/09/2009.

**Abstract:** This rulemaking would require, among other things, that ancillary fees be displayed at all points of sale. It fulfills a commitment made in the final rule on enhancing airline passenger protections (RIN 2105-AD72) to further address concerns about hidden and deceptive fees and allow consumers to price shop for air transportation in an effective manner.

#### **Effects:**

Regulatory Flexibility Act

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 04/18/2011

**Docket Number:** 

#### Dates for SNPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/30/2011		
To OMB	09/30/2011		
OMB Clearance	12/30/2011		
Publication Date	01/06/2012		
End of Comment Period	03/06/2012		

#### Explanation for any delay: N/A

Federal Register Citation for SNPRM: None

#### 91. Carrier-Supplied Medical Oxygen, Accessible In-Flight Entertainment Systems, Service Animals, and Accessible Lavatories on Single-Aisle Aircraft

Red

Popular Title: ACAA SNPRM 2 RIN 2105-AE12

Stage: SNPRM

Previous Stage: NPRM: Publication Date 06/08/2010; Comment Period End 08/09/2010.

**Abstract:** This rulemaking is the one of two successive Air Carrier Access Act (ACAA) rulemakings that address issues raised in another rulemaking RIN: 2105-AD92. The second rulemaking is RIN: 2105-AD96. This rulemaking action would consider (1) whether there are safety-related reasons for excluding service animals other than dogs that may be specific to foreign carriers; (2) whether the cost of requiring carriers to supply free in-flight medical oxygen would create an undue burden; and (3) whether providing high-contrast captioning on in-flight entertainment displays is technically and economically feasible. It would also address accessible lavatories on single-aisle aircraft and a rulemaking petition from the Psychiatric Service Dog Society to eliminate provisions allowing carriers to require documentation and 48 hours advance notice for users of psychiatric service animals, and miscellaneous service animal issues. After the public comment periods, we intend to consolidate the final decisions in this rulemaking and RIN: 2105-AD96 into one document.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

## Legal Deadline: None

Rulemaking Project Initiated: 04/25/2011

#### **Docket Number:**

#### **Dates for SNPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/27/2011	09/16/2011	
To OMB	07/30/2011	09/30/2011	
OMB Clearance	10/25/2011	12/28/2011	
Publication Date	10/31/2011	01/03/2012	
End of Comment Period	12/31/2011	02/28/2012	

#### Explanation for any delay: N/A

Federal Register Citation for SNPRM: None

#### Pipeline and Hazardous Materials Safety Administration

Pipeline and Hazardous Materials Safety Administration

92. Hazardous Materials; Combination Packages Containing Liquids Intended for Transport by Aircraft

Red

**Popular Title**: Air Packaging

RIN 2137-AE32

Stage: Final Rule

**Previous Stage:** ANPRM: Publication 7/07/2008; End of Comment Period 9/5/2008. NPRM: Publication 5/14/2010; End of Comment Period 7/13/2010.

**Abstract:** Incident data and testing conducted on behalf of DOT indicate many combination packagings authorized for the transportation of hazardous materials may not withstand conditions normally incident to air transportation. PHMSA is considering measures to reduce the incidence of package failures and to minimize the consequences of failures should they occur. This rulemaking would require additional measures to verify packaging integrity, such as performance testing, and revisions to packaging requirements, such as the addition of liners or absorbent material.

#### **Effects:**

EU NAFTA Foreign

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 06/04/2007

Docket Number: PHMSA-07-29364

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/21/2011	11/01/2011	
To OMB	02/16/2011	12/06/2011	
OMB Clearance	05/17/2011	03/05/2012	
Publication Date	05/24/2011	03/20/2012	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

## 93. Hazardous Materials: Bulk Loading and Unloading Operations

Green

**Popular Title**: Loading/Unloading

## RIN 2137-AE37

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 03/11/2011; End of Comment Period 05/10/2011. End of extended Comment Period 06/09/2011.

**Abstract:** This rulemaking would amend the Hazardous Materials Regulations to require each person (i.e., carrier or facility) who engages in cargo tank loading or unloading operations to perform a risk assessment of the loading and unloading operation and develop and implement safe operating procedures based upon the results of the risk assessment. These amendments would include the operational procedures requirements to address several aspects of loading and unloading, including provisions for facilities to develop maintenance testing programs for transfer equipment (i.e., hose maintenance programs) used to load or unload cargo tank motor vehicles (CTMVs). In addition, this rulemaking would require each employee who engages in cargo tank loading or unloading operations to receive training and be evaluated on the employee´s qualifications to perform loading or unloading functions. This rulemaking would reduce the risk associated with the loading and unloading of cargo tank motor vehicles that contain hazardous materials.

## **Effects:**

Information Collection

Prompting action: Secretarial/Head of Operating Administration Decision

## Legal Deadline: None Rulemaking Project Initiated: 10/28/2008 Docket Number: PHMSA-2007-28119

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/26/2011		
To OMB	02/02/2012		
OMB Clearance	05/02/2012		
Publication Date	05/11/2012		

#### Explanation for any delay: N/A

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

#### 94. Pipeline Safety: Enforcement of State Excavation Damage Laws

Red

Popular Title: Pipeline Safety: Excavation Damage Laws

#### RIN 2137-AE43

Stage: NPRM

Previous Stage: ANPRM: Publication 10/29/2009; End of Comment Period 12/14/2009.

**Abstract:** The PIPES Act provides PHMSA with the authority to enforce excavation damage laws in those states that have inadequate enforcement. This rulemaking would consider standards for excavators and operators to follow when conducting excavation in a vicinity of a pipeline and the administrative procedures to be used for enforcement proceedings.

Effects:

None

Prompting action: Statute

Legal Deadline: None

Rulemaking Project Initiated: 03/30/2009

#### **Docket Number:** PHMSA 2009-0192

#### **Dates for NPRM:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	03/01/2011	05/06/2011	06/02/2011
To OMB	04/04/2011	08/19/2011	
OMB Clearance	07/05/2011	11/18/2011	
Publication Date	07/12/2011	11/29/2011	
End of Comment Period	09/13/2011	01/29/2012	

#### Explanation for any delay: N/A

## Federal Register Citation for NPRM: None

Pipeline and Hazardous Materials Safety Administration

#### 95. **Hazardous Materials: Revisions to Requirements for the Transportation of** Lithium Batteries

Popular Title: Transportation of Lithium Batteries

## RIN 2137-AE44

Stage: SNPRM

**Previous Stage:** NPRM: Publication Date 1/11/2010; End of Comment Period 3/12/2010.

**Abstract:** This rulemaking would amend the Hazardous Materials Regulations to comprehensively address the safe transportation of lithium cells and batteries. The intent of the rulemaking is to strengthen the current regulatory framework by imposing more effective safeguards, including design testing to address risks related to internal short circuits, and enhanced packaging, hazard communication, and operational measures for various types and sizes of lithium batteries in specific transportation contexts. The rulemaking responds to several recommendations issued by the National Transportation Safety Board.

#### **Effects:**

Regulatory Flexibility Act

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 03/26/2009

**Docket Number:** PHMSA-2009-0095

#### **Dates for SNPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/20/2010		07/22/2011
To OMB	09/23/2010	08/23/2011	
OMB Clearance	07/18/2011	11/23/2011	
Publication Date	07/29/2011	12/01/2011	
End of Comment Period	09/29/2011	02/01/2012	

#### Explanation for any delay: N/A

#### Federal Register Citation for SNPRM: None

Pipeline and Hazardous Materials Safety Administration

#### 96. Hazardous Materials: Combustible Liquids

Red

Popular Title: Combustible Liquids

#### RIN 2137-AE52

Stage: Undetermined

**Previous Stage:** ANPRM: Publication Date 04/05/2010; End of Comment Period 07/06/2010.

**Abstract:** This rulemaking would consider the transportation of combustible liquids and whether the Hazardous Materials Regulations (HMR) should harmonize domestic regulations with international standards. Additionally, it would examine ways to revise, clarify, or relax certain regulatory requirements to facilitate the transportation of combustible liquids while maintaining an adequate level of safety. Previously, an NPRM had been scheduled for 01/23/2012.

#### **Effects:**

EU NAFTA Foreign

Prompting action: None Legal Deadline: None

## Rulemaking Project Initiated: 01/30/2009 Docket Number: PHMSA-2009-0241

## **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: N/A

Federal Register Citation for Undetermined: None

Pipeline and Hazardous Materials Safety Administration

97. **Hazardous Materials: Safety Requirements for External Product Piping on** Cargo Tanks Transporting Flammable Liquids (Wetlines)

Popular Title: Wetlines

RIN 2137-AE53

Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 01/27/2011; End of Comment Period 03/28/2011. NPRM: Extension of Comment Period 03/17/2011; End of Extended Comment Period 04/27/2011.

**Abstract:** The rulemaking would amend the Hazardous Materials Regulations to prohibit flammable liquids from being transported in unprotected product piping on existing and newly manufactured DOT specification cargo tank motor vehicles.

**Effects:** 

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 09/01/2009

Docket Number: PHMSA-2009-0303

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/26/2011		
To OMB	02/02/2012		
OMB Clearance	05/02/2012		
Publication Date	05/11/2012		

## **Explanation for any delay:** N/A

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

98. Hazardous Materials: Limiting the Use of Mobile Telephones by Highway Green

## RIN 2137-AE65

Stage: Final Rule

Previous Stage: NPRM: Publication Date 04/29/2011; End of Comment Period 06/28/2011.

**Abstract:** This rulemaking would limit the use of mobile telephones by drivers during the operation of a motor vehicle containing a quantity of hazardous materials requiring placarding under Part 172 of the 49 CFR or any quantity of a select agent or toxin listed in 42 CFR Part 73. Additionally, in accordance with requirements proposed by the Federal Motor Carrier Safety Administration (FMCSA), motor carriers would be prohibited from requiring or allowing drivers of covered motor vehicles to engage in the use of mobile telephones while driving. This rulemaking would improve health and safety on the Nation 's highways by reducing the prevalence of distracted driving-related crashes, fatalities, and injuries involving drivers of commercial motor vehicles.

## **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

Rulemaking Project Initiated: 08/13/2010

Docket Number: PHMSA-2010-0227

## **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/01/2011		08/03/2011
To OMB	08/22/2011		
OMB Clearance	10/04/2011		
Publication Date	10/12/2011		

**Explanation for any delay:** N/A

## Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

99. Pipeline Safety: Safety of On-Shore Liquid Hazardous Pipelines

Green

Popular Title: Liquid Hazardous Pipelines

## RIN 2137-AE66

## Stage: NPRM

**Previous Stage:** ANPRM: Publication Date 10/18/2010; Extension of Comment Period 01/04/2011; End of Comment Period 01/18/2011; End of Extended Comment Period 02/18/2011.

**Abstract:** This rulemaking would address effective procedures that hazardous liquid operators can use to improve the protection of High Consequence Areas (HCA) and other vulnerable areas along their hazardous liquid onshore pipelines. PHMSA is considering whether changes are needed to the regulations covering hazardous liquid onshore pipelines, whether other areas should be included as HCAs for integrity management (IM) protections, what the repair timeframes should be for areas outside the HCAs that are assessed as part of the IM program, whether leak detection standards are necessary, valve spacing requirements are needed on new construction or existing pipelines, and PHMSA should extend regulation to certain pipelines currently exempt from regulation. The agency would also address the public safety and environmental aspects any new requirements, as well as the cost implications and regulatory burden.

#### **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 08/13/2010

Docket Number: PHMSA-2010-0229

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/18/2011		
To OMB	12/16/2011		
OMB Clearance	03/19/2012		
Publication Date	03/30/2012		
End of Comment Period	05/30/2012		

## **Explanation for any delay:** N/A

## Federal Register Citation for NPRM: None

Pipeline and Hazardous Materials Safety Administration

Red

## 100. Hazardous Materials: Transportation of Lithium Batteries

## Popular Title: Lithium Batteries II

## RIN 2137-AE68

Stage: Undetermined

Previous Stage: None

**Abstract:** This rulemaking would amend requirements in the Hazardous Materials Regulations (HMR) that apply to cargo shipments of lithium batteries and cells by aircraft (none of the proposed changes would apply to the checked or carry-on baggage of a passenger or crewmember). The revisions would apply to shipments of lithium cells and batteries (but not to lithium batteries packed with or contained in equipment) and would: (1) extend the current prohibition of lithium metal cells and batteries from passenger carrying aircraft to cargo-only aircraft; (2) prohibit the transport of lithium ion cells and batteries as cargo aboard passenger-carrying aircraft; (3) impose a restriction on the location(s) on a cargo-only aircraft where lithium cells and batteries could be transported. One additional change would reduce the aircraft quantity limits for lithium cells and batteries packed with or contained in equipment. An NPRM was scheduled for publication on 4/19/2011.

#### **Effects:**

Economically Significant Major

#### Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 09/13/2010

#### **Docket Number:**

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			

To OMB		
OMB Clearance		
Publication Date		
End of Comment Period		

Explanation for any delay: Unanticipated issues requiring further analysis

## Federal Register Citation for Undetermined: None

Pipeline and Hazardous Materials Safety Administration

## 101. Hazardous Materials: Revision of Requirements for Fireworks Approvals Yellow

**Popular Title**: Fireworks Approvals

RIN 2137-AE70

Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would amend the HMR by revising and clarifying requirements for the examination, testing, certification, and transportation of fireworks. This action would include consolidating the fireworks requirements into one section, limiting fireworks approvals to manufacturers only, requiring manufacturers to assign a unique "product identification number" to each firework, require 1.3G and 1.4G fireworks to be examined by authorized test labs, and implement an on-line application process.

**Effects:** 

None

Prompting action: None

Legal Deadline: None

**Rulemaking Project Initiated:** 09/27/2010

Docket Number: PHMSA-2010-0320

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	08/22/2011	10/19/2011	
To OMB	09/29/2011	11/17/2011	
OMB Clearance	12/30/2011	02/17/2012	
Publication Date	01/19/2012	03/28/2012	
End of Comment Period	04/19/2012	05/28/2012	

## Explanation for any delay: N/A

Federal Register Citation for NPRM: None

Pipeline and Hazardous Materials Safety Administration

102. Pipeline Safety: Excess Flow Valves In Applications Other Than Single-Family Residences in Gas Distribution Systems

Red

**Popular Title**: Excess Flow Valves

RIN 2137-AE71

Stage: ANPRM

Previous Stage:None

**Abstract:** This rulemaking would require excess flow valves (EFVs) be installed in all new and renewed gas service lines, for structures other than single family dwellings, when the

operating conditions are compatible with readily available valves. These changes would be in response to NTSB and PHMSA investigations of current EFV installation practices. The intended effect of the rule is to increase the level of safety for structures other than single family dwellings currently subject to Federal pipeline safety regulation. This rulemaking was recently upgraded to significant.

## **Effects:**

None

Prompting action: None Legal Deadline: None Rulemaking Project Initiated: 10/01/2010 Docket Number: PHMSA-2011-0009

## Dates for ANPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/02/2011		07/29/2011
To OMB	06/30/2011	08/29/2011	
OMB Clearance	07/14/2011	09/13/2011	
Publication Date	07/25/2011	09/22/2011	
End of Comment Period	10/25/2011	11/22/2011	

Explanation for any delay: Additional coordination necessary

## Federal Register Citation for ANPRM: None

Pipeline and Hazardous Materials Safety Administration

## 103. **Pipeline Safety: Safety of Gas Transmission Pipelines**

Red

**Popular Title**: Safety of Gas Transmission Pipelines

## RIN 2137-AE72

Stage: ANPRM

Previous Stage:None

**Abstract:** In this rulemaking PHMSA will be revisiting the requirements in the Pipeline Safety Regulations addressing integrity management principles for Gas Transmission pipelines. In particular, PHMSA will be reviewing the definition of an HCA ( including the concept of a potential impact radius), the repair criteria for both HCA and non-HCA areas, requiring the use of automatic and remote controlled shut off valves, valve spacing, and whether applying the integrity management program requirements to additional areas would mitigate the need for class location requirements.

## **Effects:**

None

Prompting action: None

Legal Deadline: None

Rulemaking Project Initiated: 01/04/2011

**Docket Number:** PHMSA-2011-0023

## **Dates for ANPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/23/2011		06/03/2011

To OMB	06/20/2011	07/22/1011	07/14/2011
OMB Clearance	07/05/2011	08/04/2011	08/15/2011
Publication Date	07/14/2011	08/15/2011	08/25/2011

Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for ANPRM: 76 FR 5308

Pipeline and Hazardous Materials Safety Administration

#### 104. Drivers of CMVs: Addressing Distracted Driving

**Popular Title**: Distracted Driving

## RIN 2137-AE75

Stage: Undetermined

#### Previous Stage: None

**Abstract:** The Federal Motor Carrier Safety Administration (FMCSA) and Pipeline and Hazardous Materials Safety Administration (PHMSA) are considering a rulemaking to limit or restrict the use of electronic devices or certain activities by commercial motor vehicle (CMV) drivers operating in interstate commerce and for drivers of CMVs containing a quantity of hazardous materials requiring placarding under Part 172 of the 49 CFR or any quantity of a select agent or toxin listed in 42 CFR Part 73. The Agencies have considered the results of recent research concerning distracted driving, and ideas and concepts from FMCSAÂ's Motor Carrier Safety Advisory Committee and now seeks public comment and information to assist the Agencies in determining whether additional regulatory action is needed as a follow-up to previous regulatory actions. An ANPRM was scheduled for 9/22/2010.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

#### Legal Deadline: None

Rulemaking Project Initiated: 08/20/2010

#### **Docket Number:**

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Additional coordination necessary

#### Federal Register Citation for Undetermined: None

#### **Research and Innovative Technology Administration**

Research and Innovative Technology Administration

#### 105. Reporting Ancillary Airline Passenger Revenues

Green

Red

Popular Title: Reporting Ancillary Airline Passenger Revenues RIN 2139-AA13

#### Stage: NPRM

Previous Stage: None.

**Abstract:** This rulemaking would collect more detailed revenue information regarding airline imposed fees from those air carriers meeting the definition of "large certificated air carriers." Many air carriers have adopted fees for such services as checked baggage, carry-on baggage, meals, on-board entertainment, internet connections, pillows, blankets, early boarding, canceling or changing reservations, unaccompanied minors, pet transportation, etc. The rulemaking would also change the way the Department computes mishandled baggage rates from mishandled baggage reports per domestic enplanement to mishandled bags per checked bags.

#### **Effects:**

Information Collection

Prompting action: Secretarial/Head of Operating Administration Decision

## Legal Deadline: None

Rulemaking Project Initiated: 10/01/2010

Docket Number: RITA 2011-0001

## **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/01/2011	02/01/2011	02/01/2011
To OMB	03/04/2011	03/29/2011	03/30/2011
OMB Clearance	06/03/2011	06/29/2011	07/01/2011
Publication Date	06/10/2011	07/02/2011	07/15/2011
End of Comment Period	08/10/2011	09/02/2011	09/13/2011

Explanation for any delay:  $\ensuremath{\text{N/A}}$ 

Federal Register Citation for NPRM: 76 FR 41726