

# Department of Homeland Security Office for Civil Rights and Civil Liberties

Semiannual Report to Congress

Third and Fourth Quarters, FY 2015 (April 1 – September 30, 2015)

March 3, 2016



#### Foreword



I am pleased to present this Quarterly Report on the activities of the Department of Homeland Security (DHS) Office for Civil Rights and Civil Liberties (CRCL), as required by Section 803 of the *Implementing Recommendations of the 9/11 Commission Act of* 2007, 42 U.S.C. § 2000ee-1(f).

This Report includes information on the third and fourth quarters of Fiscal Year (FY) 2015. The DHS Chief Privacy Officer provides separate quarterly reports under the 9/11 Commission Act concerning privacy advice and complaints, available at the Privacy Office's web site, http://www.dhs.gov/privacy.

Pursuant to congressional requirements, this Report is being provided to the following Members of Congress:

#### The Honorable Thad Cochran

Chairwoman, U.S. Senate Committee on Appropriations

#### The Honorable Barbara Mikulski

Vice Chairwoman, U.S. Senate Committee on Appropriations

#### The Honorable Ron Johnson

Chairman, U.S. Senate Committee on Homeland Security and Governmental Affairs

#### The Honorable Thomas R. Carper

Ranking Member, U.S. Senate Committee on Homeland Security and Governmental Affairs

#### The Honorable Richard Burr

Chairman, U.S. Senate Select Committee on Intelligence

#### The Honorable Dianne Feinstein

Vice Chairman, U.S. Senate Select Committee on Intelligence

#### The Honorable Charles Grassley

Chairman, U.S. Senate Committee on the Judiciary

#### The Honorable Patrick J. Leahy

Ranking Member, U.S. Senate Committee on the Judiciary

#### The Honorable Paul D. Ryan

Speaker of the House, U.S. House of Representatives

#### The Honorable Hal Rogers

Chairman, U.S. House of Representatives Committee on Appropriations

#### The Honorable Nita M. Lowey

Ranking Member, U.S. House of Representatives Committee on Appropriations

#### The Honorable Michael McCaul

Chairman, U.S. House of Representatives Committee on Homeland Security

#### The Honorable Bennie G. Thompson

Ranking Member, U.S. House of Representatives Committee on Homeland Security

#### **The Honorable Devin Nunes**

Chairman, U.S. House of Representatives Permanent Select Committee on Intelligence

#### The Honorable Adam Schiff

Ranking Member, U.S. House of Representatives Permanent Select Committee on Intelligence

#### The Honorable Bob Goodlatte

Chairman, U.S. House of Representatives Committee on the Judiciary

#### The Honorable John Conyers, Jr.

Ranking Member, U.S. House of Representatives Committee on the Judiciary

#### The Honorable Jason Chaffetz

Chairman, U.S. House of Representatives Committee on Oversight and Government Reform

#### The Honorable Elijah E. Cummings

Ranking Member, U.S. House of Representatives Committee on Oversight and Government Reform

Additional information, including our prior quarterly and annual reports and our civil rights complaint contact information, are available at <a href="www.dhs.gov/crcl">www.dhs.gov/crcl</a>. Please direct inquiries regarding this Report to the Office for Civil Rights and Civil Liberties at 866-644-8360 (TTY 866-644-8361) or <a href="crcl@dhs.gov">crcl@dhs.gov</a>.

Yours very truly,

Megan H. Mack

Officer for Civil Rights and Civil Liberties U.S. Department of Homeland Security

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# **Executive Summary**

This Semiannual Report details activities of the Department of Homeland Security Office for Civil Rights and Civil Liberties, as required by section 803 of the *Implementing Recommendations of the 9/11 Commission Act of 2007*, 42 U.S.C. § 2000ee-1(f). This Report includes information on CRCL's investigative work during the third and fourth quarters of FY 2015.

The majority of complaint investigations opened during this time period focused on allegations made against two DHS components, U.S. Immigration and Customs Enforcement (ICE) and U.S. Customs and Border Protection (CBP). A significant portion of complaints received concerning ICE alleged inadequate medical and/or mental health care for detainees being held in ICE custody (outlined in the table below). CRCL utilizes contract subject matter experts in medical care detention issues to evaluate these claims and make recommendations regarding appropriate medical treatment. A large number of the complaints concerning CBP alleged inadequate conditions of detention for juveniles in CBP custody, which is reflective of the surge in the apprehension numbers of unaccompanied children and family units occurring in the spring and early summer of 2014. We note that complaints alleging excessive use of force by CBP officers and agents declined from the first and second quarters, which may be related to the release and implementation of CBP's revised Use of Force Policy.

**Table 1: CBP and ICE Investigations Opened** 

| Component | Issue   | Situation              | Total     |
|-----------|---|------------------------|-----------|
|           |   |                        | 3Q and 4Q |
| CBP       | Excessive force or inappropriate use of force | Unaccompanied children | 6         |
| CBP       | Conditions of detention                       | Unaccompanied children | 1         |
| ICE       | Conditions of detention                       | Immigration detention  | 35        |
| ICE       | Sexual assault/abuse                          | Immigration detention  | 4         |
| ICE       | Medical/mental health care                    | Immigration detention  | 178       |



# DHS Office for Civil Rights and Civil Liberties FY 2015 Third and Fourth Quarter Report

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## I. Legislative Language

#### 42 U.S.C. § 2000ee-1 Privacy and Civil Liberties Officers

Implementing Recommendations of the 9/11 Commission Act of 2007, Pub. L. No. 110-53, sec. 803, 121 Stat. 266, 360-362, as amended by the Intelligence Authorization Act for Fiscal Year 2014, Pub. L. No. 113-126, title III, § 329(b)(4), 128 Stat. 1390, 1406.

(a) Designation and functions

. . .

[T]he Secretary of Homeland Security . . . shall designate not less than 1 senior officer to serve as the principal advisor to—

- (1) assist the head of such department, agency, or element and other officials of such department, agency, or element in appropriately considering privacy and civil liberties concerns when such officials are proposing, developing, or implementing laws, regulations, policies, procedures, or guidelines related to efforts to protect the Nation against terrorism;
- (2) periodically investigate and review department, agency, or element actions, policies, procedures, guidelines, and related laws and their implementation to ensure that such department, agency, or element is adequately considering privacy and civil liberties in its actions:
- (3) ensure that such department, agency, or element has adequate procedures to receive, investigate, respond to, and redress complaints from individuals who allege such department, agency, or element has violated their privacy or civil liberties; and
- (4) in providing advice on proposals to retain or enhance a particular governmental power the officer shall consider whether such department, agency, or element has established—
  - (A) that the need for the power is balanced with the need to protect privacy and civil liberties;
  - **(B)** that there is adequate supervision of the use by such department, agency, or element of the power to ensure protection of privacy and civil liberties; and
  - (C) that there are adequate guidelines and oversight to properly confine its use.
- (b) Exception to designation authority

. . .

#### (2) Civil liberties officers

In any department, agency, or element referred to in subsection (a) of this section . . . which has a statutorily created civil liberties officer, such officer shall perform the functions specified in subsection (a) of this section with respect to civil liberties.

#### (c) Supervision and coordination

Each privacy officer and civil liberties officer described in subsection (a) or (b) of this section shall—

- (1) report to the head of the department . . . ; and
- (2) coordinate their activities with the Inspector General of such department . . . to avoid duplication of effort.

#### (d) Agency cooperation

The head of each department, agency, or element shall ensure that each privacy officer and civil liberties officer—

- (1) has the information, material, and resources necessary to fulfill the functions of such officer;
- (2) is advised of proposed policy changes;
- (3) is consulted by decision makers; and
- (4) is given access to material and personnel the officer determines to be necessary to carry out the functions of such officer.

. . .

#### (f) Periodic reports

#### (1) In general

The privacy officers and civil liberties officers of each department, agency, or element referred to or described in subsection (a) or (b) of this section shall periodically, but not less than semiannually, submit a report on the activities of such officers—

- (A)(i) to the appropriate committees of Congress, including the Committee on the Judiciary of the Senate, the Committee on the Judiciary of the House of Representatives, the Committee on Homeland Security and Governmental Affairs of the Senate, the Committee on Oversight and Government Reform of the House of Representatives, the Select Committee on Intelligence of the Senate, and the Permanent Select Committee on Intelligence of the House of Representatives;
- (ii) to the head of such department, agency, or element; and
- (iii) to the Privacy and Civil Liberties Oversight Board; and
- **(B)** which shall be in unclassified form to the greatest extent possible, with a classified annex where necessary.

#### (2) Contents

Each report submitted under paragraph (1) shall include information on the discharge of each of the functions of the officer concerned, including—

- (A) information on the number and types of reviews undertaken;
- **(B)** the type of advice provided and the response given to such advice;

- **(C)** the number and nature of the complaints received by the department, agency, or element concerned for alleged violations; and
- **(D)** a summary of the disposition of such complaints, the reviews and inquiries conducted, and the impact of the activities of such officer.

#### (g) Informing the public

Each privacy officer and civil liberties officer shall—

- (1) make the reports of such officer, including reports to Congress, available to the public to the greatest extent that is consistent with the protection of classified information and applicable law; and
- (2) otherwise inform the public of the activities of such officer, as appropriate and in a manner consistent with the protection of classified information and applicable law.

#### (h) Savings clause

Nothing in this section shall be construed to limit or otherwise supplant any other authorities or responsibilities provided by law to privacy officers or civil liberties officers.

# II. Civil Rights and Civil Liberties Impact Assessments

As part of its statutory requirement, the Office for Civil Rights and Civil Liberties (CRCL) conducts in-depth examinations of the civil rights and civil liberties implications and effects of selected Department of Homeland Security (DHS) programs, and provides suggestions for improvements to those programs. There was no activity concerning impact assessments in the third and fourth quarters.

## III. Investigations Data and Analysis

CRCL investigates complaints concerning:

- abuses of civil rights, civil liberties, and racial, ethnic, or religious profiling, 6 U.S.C. § 345(a)(1);
- compliance with constitutional, statutory, regulatory, policy, and other requirements relating to the civil rights or civil liberties of individuals affected by the programs and activities of the Department, 6 U.S.C. § 345(a)(4);
- possible abuses of civil rights or civil liberties, unless the DHS Office of the Inspector General determines that any such complaint or information should be investigated by the Inspector General, 6 U.S.C. § 345(a)(6); and
- department, agency, or element actions, policies, procedures, guidelines, and related laws and their implementation to ensure that such department, agency, or element is adequately considering civil liberties in its actions, 42 U.S.C. § 2000ee-1(a)(2).

Under these authorities, CRCL reviews and assesses civil rights and human rights complaints. These matters arise in a variety of contexts, which we designate in the tables that follow as "situations," and raise one or more issues. The tables set forth here identify investigations by the primary situation and issue involved.

CRCL initiates investigations based on complaints received from the general public and non-governmental organizations by U.S. mail, email, fax, and the CRCL telephone hotline, as well as through the DHS Traveler Redress Inquiry Program (TRIP). Incidents that might merit investigation are also forwarded to CRCL from other offices at DHS and other government agencies. **Table 2** indicates investigations opened during the third and fourth quarters by the primary civil rights *issue* raised, the *situation* in which the investigation arose, and the DHS *Component* that was the primary subject of the investigation.

Pursuant to 6 U.S.C. § 345(a)(6) and internal DHS policies, CRCL begins the investigation process by referring all matters involving the conduct of DHS employees to the DHS Office of Inspector General (OIG).¹ OIG then determines whether or not it will investigate the case. In this report, cases OIG decides to investigate are designated *OIG retained*. If OIG declines to investigate the complaint, it is referred back to CRCL for appropriate action, at which point CRCL determines whether the case should be retained for CRCL's own investigation (*CRCL retained*) or referred to the relevant DHS Component(s) to conduct the factual investigation (*CRCL referred*). Retained cases may be subject to a *full investigation* or *short-form* resolution.²

<sup>&</sup>lt;sup>1</sup> Under the former ICE Secure Communities initiative, and under its successor the Priority Enforcement Program (PEP), complaints involving the activities of state or local law enforcement agencies, acting under state law, that come to CRCL may not initially be referred to OIG if they do not involve allegations regarding the conduct of DHS employees. In those cases, CRCL would notify the Department of Justice, which has jurisdiction to investigate certain violations of civil rights by state and local officers.

<sup>&</sup>lt;sup>2</sup> CRCL has implemented "short-form" complaint processing procedures to facilitate swift action on urgent complaints and expeditious resolution of allegations that are narrowly focused and require limited investigation.

A small number of cases are subject to a *joint investigation*, combining CRCL's investigative resources with those of the relevant Component(s). Investigations at any other stage are noted as *in process*.<sup>3</sup> **Table 3** describes all investigations, including those initiated prior to FY 2015, in process as of the last day of the quarter, under these categories. In cases where the OIG retains a complaint, once the OIG investigation is complete, the matter is returned to CRCL for consideration. CRCL may then close the matter or retain it for our own investigation.

If a case is referred to a Component for investigation, the Component issues a Report of Investigation to CRCL at the completion of the investigation, which is reviewed by CRCL. If the case is retained, CRCL conducts its own investigation and drafts an investigative report. When the investigation is complete, whether conducted by CRCL or the Component(s) involved, CRCL typically *closes* the matter and provides senior leadership of the relevant Component(s) with its conclusions and any recommendations for improving policies, practices, or training. At that time, we also notify the complainant of actions being taken as a result of our investigation. **Table 4** provides investigations closed during the third and fourth quarters, by issue, situation, and Component principally involved.

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The short-form process makes it easier to open and close complaints, allowing speedier resolution. Cases that subsequently require additional work are converted to standard investigations.

<sup>&</sup>lt;sup>3</sup> The *in process* categories include multiple cases where Reports of Investigation (ROIs) have been received from Components and are currently under review within CRCL. For CRCL's purposes, these investigations remain in process until we have completed our review and response to the ROIs.

**Table 2.** Investigations opened, 3Q and 4Q 2015 (as of September 30, 2015)

| Total by issue                                 | 5 (as of September 30, 2015)  Component |   |    |   |   |   |    |  |  |
|--|---|---|----|---|---|---|----|--|--|
| Subtotal by situation                          | CBP DHS* ICE TSA USCG USCIS To          |   |    |   |   |   |    |  |  |
| Abuse of authority/misuse of official position | 5                                       | 4 | 5  | 1 |   |   | 15 |  |  |
| CBP detention or CBP deferred inspection       | 1                                       |   |    |   |   |   | 1  |  |  |
| DHS law enforcement activity                   | 1                                       | 1 | 1  |   |   |   | 3  |  |  |
| DHS supported activity (not 287(g))            |   | 1 |    |   |   |   | 1  |  |  |
| Federal government building or area            |   | 2 |    |   |   |   | 2  |  |  |
| Immigration detention                          |   |   | 4  |   |   |   | 4  |  |  |
| Port of entry/CBP checkpoint                   | 3                                       |   |    | 1 |   |   | 4  |  |  |
| Conditions of detention                        | 3                                       |   | 35 |   |   |   | 38 |  |  |
| Immigration detention                          |   |   | 35 |   |   |   | 35 |  |  |
| Port of entry/CBP checkpoint                   | 2                                       |   |    |   |   |   | 2  |  |  |
| Unaccompanied minor                            | 1                                       |   |    |   |   |   | 1  |  |  |
| Disability accommodation (Section 504)         |   | 1 | 6  | 1 |   | 1 | 9  |  |  |
| Federal government building or area            |   | 1 |    |   |   |   | 1  |  |  |
| Immigration benefit application processing     |   |   |    |   |   | 1 | 1  |  |  |
| Immigration detention                          |   |   | 6  |   |   |   | 6  |  |  |
| Screening (non-watchlist)                      |   |   |    | 1 |   |   | 1  |  |  |
| Discrimination/Profiling                       | 4                                       | 2 | 3  | 1 |   |   | 10 |  |  |
| DHS law enforcement activity                   | 2                                       |   | 1  |   |   |   | 3  |  |  |
| Immigration benefit application processing     |   | 1 |    |   |   |   | 1  |  |  |
| Immigration detention                          |   | 1 | 2  |   |   |   | 3  |  |  |
| Port of entry/CBP checkpoint                   | 1                                       |   |    |   |   |   | 1  |  |  |
| Screening (non-watch list)                     | 1                                       |   |    | 1 |   |   | 2  |  |  |
| Due process                                    | 4                                       | 2 | 10 |   |   | 2 | 18 |  |  |
| DHS law enforcement activity                   | 1                                       | 1 | 1  |   |   |   | 3  |  |  |
| DHS supported activity (not 287(g))            |   |   |    |   |   | 1 | 1  |  |  |
| Immigration benefit application processing     | 1                                       | 1 |    |   |   |   | 2  |  |  |
| Immigration detention                          |   |   | 9  |   |   | 1 | 10 |  |  |
| Port of entry/CBP checkpoint                   | 1                                       |   |    |   |   |   | 1  |  |  |
| Unaccompanied minor                            | 1                                       |   |    |   |   |   | 1  |  |  |
| Excessive force or inappropriate use of force  | 12                                      |   | 9  |   |   |   | 21 |  |  |
| DHS law enforcement activity                   | 2                                       |   |    |   |   |   | 2  |  |  |
| Immigration detention                          |   |   | 8  |   |   |   | 8  |  |  |
| Port of entry/CBP checkpoint                   | 4                                       |   |    |   |   |   | 4  |  |  |
| Unaccompanied minor                            | 6                                       |   | 1  |   |   |   | 7  |  |  |
| First Amendment (free speech/association)      | 1                                       |   | 1  |   | 1 |   | 3  |  |  |
| Immigration detention                          |   |   | 1  |   |   |   | 1  |  |  |
| Political demonstration/rally                  |   |   |    |   | 1 |   | 1  |  |  |
| Watchlist/aviation security                    | 1                                       |   |    |   |   |   | 1  |  |  |
| Fourth Amendment (search and seizure)          | 2                                       | 1 | 2  |   |   |   | 5  |  |  |
| DHS law enforcement activity                   | 1                                       |   | 2  |   |   |   | 3  |  |  |
| Port of entry/CBP checkpoint                   | 1                                       | 1 |    |   |   |   | 2  |  |  |

<sup>\*</sup>Multi-Component or DHS Headquarters unit

Table 2 continued, Investigations opened 3Q and 4Q 2015 (as of September 30, 2015)

| Total by issue                                  | Component |      |     |     |      |       |       |
|---|-----------|------|-----|-----|------|-------|-------|
| Subtotal by situation                           | СВР       | DHS* | ICE | TSA | USCG | USCIS | Total |
| Human rights                                    |           | 5    |     |     |      |       | 5     |
| DHS supported activity (not 287(g))             |           | 5    |     |     |      |       | 5     |
| Inappropriate questioning/inspection conditions | 2         |      |     |     |      |       | 2     |
| Port of entry/CBP checkpoint                    | 2         |      |     |     |      |       | 2     |
| Inappropriate touch/search of person (non-TSA)  | 1         |      |     |     |      |       | 1     |
| Unaccompanied minor                             | 1         |      |     |     |      |       | 1     |
| Intimidation/threat/improper coercion           | 5         |      |     |     |      | 1     | 6     |
| Immigration benefit application processing      |           |      |     |     |      | 1     | 1     |
| Port of entry/CBP checkpoint                    | 1         |      |     |     |      |       | 1     |
| Unaccompanied minor                             | 4         |      |     |     |      |       | 4     |
| Language access                                 | 1         |      |     |     |      |       | 1     |
| Unaccompanied minor                             | 1         |      |     |     |      |       | 1     |
| Legal access                                    |           |      | 4   |     |      |       | 4     |
| Immigration detention                           |           |      | 4   |     |      |       | 4     |
| Medical/mental health care                      | 6         |      | 180 |     |      |       | 186   |
| DHS law enforcement activity                    | 2         |      |     |     |      |       | 2     |
| Immigration benefit application processing      |           |      | 1   |     |      |       | 1     |
| Immigration detention                           |           |      | 178 |     |      |       | 178   |
| Port of entry/CBP checkpoint                    | 4         |      |     |     |      |       | 4     |
| Unaccompanied minor                             |           |      | 1   |     |      |       | 1     |
| Privacy   | 1         |      |     |     |      | 3     | 4     |
| CBP Detention or CBP Deferred Inspection        | 1         |      |     |     |      |       | 1     |
| Immigration benefit application processing      |           |      |     |     |      | 2     | 2     |
| Visa processing                                 |           |      |     |     |      | 1     | 1     |
| Religious accommodation                         |           |      | 4   |     |      |       | 4     |
| Immigration detention                           |           |      | 4   |     |      |       | 4     |
| Retaliation                                     |           |      | 3   |     |      |       | 3     |
| Immigration detention                           |           |      | 3   |     |      |       | 3     |
| Sexual assault/abuse                            | 1         | 1    | 5   |     |      |       | 7     |
| CBP detention or CBP deferred inspection        | 1         |      |     |     |      |       | 1     |
| Immigration detention                           |           | 1    | 4   |     |      |       | 5     |
| Unaccompanied minor                             |           |      | 1   |     |      |       | 1     |
| TSA AIT and TSA pat-downs                       |           |      |     | 1   |      |       | 1     |
| Screening (non-watchlist)                       |           |      |     | 1   |      |       | 1     |
| Grand Total                                     | 48        | 16   | 267 | 4   | 1    | 7     | 343   |

<sup>\*</sup>Multi-Component or DHS Headquarters unit

**Table 3.** Investigations in process 3Q and 4Q 2015 (as of September 30, 2015)

|   | Grand | Under               | OIG      | CRCL Re            | etained    | Joint         | CRCL     |
|---|-------|---------------------|----------|--------------------|------------|---------------|----------|
|   | Total | Review <sup>4</sup> | Retained | Full Investigation | Short Form | Investigation | Referred |
| By issue  |       |                     |          |                    |            |               |          |
| Abuse of authority/misuse of official position  | 31    | 12                  | 1        | 1                  | 12         |               | 5        |
| Conditions of detention                         | 114   | 29                  |          | 30                 | 44         |               | 11       |
| Disability accommodation (Section 504)          | 10    | 6                   |          | 1                  | 3          |               |          |
| Discrimination/profiling                        | 45    | 12                  |          | 17                 | 13         |               | 3        |
| Due process                                     | 63    | 23                  | 1        | 21                 | 18         |               |          |
| Excessive force or inappropriate use of force   | 82    | 26                  | 4        | 9                  | 34         |               | 9        |
| Fourth Amendment (search and seizure)           | 14    | 4                   | 1        | 2                  | 5          |               | 2        |
| First Amendment (free speech/association)       | 8     | 4                   |          | 2                  | 2          |               |          |
| Hate speech                                     | 1     |                     |          |                    |            |               | 1        |
| Human rights                                    | 4     | 4                   |          |                    |            |               |          |
| Inappropriate questioning/inspection conditions | 11    | 4                   | 1        |                    | 2          |               | 4        |
| Inappropriate touch/search of person (non-TSA)  | 10    | 4                   | 2        | 1                  | 2          |               | 1        |
| Intimidation/threat/improper coercion           | 16    | 4                   |          | 2                  | 6          |               | 4        |
| Language access                                 | 5     |                     | 1        | 1                  | 3          |               |          |
| Legal access                                    | 11    | 2                   |          | 1                  | 7          |               | 1        |
| Medical/mental health care                      | 302   | 86                  | 15       | 35                 | 158        |               | 8        |
| Privacy   | 10    | 3                   |          |                    | 7          |               |          |
| Religious accommodation                         | 14    | 2                   |          | 2                  | 9          |               | 1        |
| Retaliation                                     | 5     | 2                   |          | 2                  |            |               | 1        |
| Sexual assault/abuse                            | 21    | 7                   |          | 7                  | 6          |               | 1        |
| TSA AIT and TSA pat-downs                       | 2     |                     |          |                    | 2          |               |          |
| Total by issue                                  | 779   | 234                 | 26       | 134                | 333        | 0             | 52       |

<sup>&</sup>lt;sup>4</sup> The data in this column cover investigations opened that have not yet been categorized in CRCL's database as Retained, Referred, Short Form, or Joint Investigations, or those which we are awaiting decision from the OIG on whether or not they will retain.

Table 3 continued, Investigations in process 3Q and 4Q 2015 (as of September 30, 2015)

| ÿ ,  | Grand | Under  | OIG      | CRCL Re            | tained     | Joint         | CRCL     |
|--|-------|--------|----------|--------------------|------------|---------------|----------|
|  | Total | Review | Retained | Full Investigation | Short Form | Investigation | Referred |
| By situation   |       |        |          |                    |            |               |          |
| CBP detention/hold room/CBP deferred inspection site | 19    | 2      | 1        | 3                  | 10         |               | 3        |
| DHS law enforcement activity                         | 66    | 18     | 5        | 18                 | 20         |               | 5        |
| DHS public messaging/websites                        | 1     | 1      |          |                    |            |               |          |
| DHS regulatory/rule processing                       | 2     |        |          | 1                  | 1          |               |          |
| DHS supported activity (not 287(g))                  | 7     | 5      |          | 1                  | 1          |               |          |
| Federal government building or area                  | 20    | 5      | 1        | 2                  | 9          |               | 3        |
| Immigration benefit application processing           | 16    | 6      |          |                    | 10         |               |          |
| Immigration detention                                | 454   | 145    | 11       | 63                 | 211        |               | 24       |
| Political demonstration/rally                        | 1     | 1      |          |                    |            |               |          |
| Port of entry/CBP checkpoint                         | 80    | 29     | 7        | 13                 | 24         |               | 7        |
| Screening (non-watchlist)                            | 7     |        |          |                    | 4          |               | 3        |
| Unaccompanied minor                                  | 102   | 20     | 1        | 33                 | 41         |               | 7        |
| Visa processing                                      | 2     |        |          |                    | 2          |               |          |
| Watchlist/aviation security                          | 2     | 2      |          |                    |            |               |          |
| Total by situation                                   | 779   | 234    | 26       | 134                | 333        | 0             | 52       |
| By Component   |       |        |          |                    |            |               |          |
| DHS (multi-Component or Headquarters unit)           | 52    | 19     | 1        | 9                  | 17         |               | 6        |
| СВР  | 215   | 61     | 13       | 43                 | 78         |               | 20       |
| ICE  | 495   | 149    | 12       | 82                 | 226        |               | 26       |
| TSA  | 5     |        |          |                    | 5          |               |          |
| USCG   | 1     | 1      |          |                    |            |               |          |
| USCIS  | 11    | 4      |          |                    | 7          |               |          |
| Total by Component                                   | 779   | 234    | 26       | 134                | 333        | 0             | 52       |

**Table 4.** Investigations closed, 3Q and 4Q 2015 (as of September 30, 2015)

| Total by issue                                 | Component |       |     |     |       |       |
|--|-----------|-------|-----|-----|-------|-------|
| Subtotal by situation                          | СВР       | DHS * | ICE | TSA | USCIS | Total |
| Abuse of authority/misuse of official position | 3         | 1     | 2   |     |       | 6     |
| CBP detention or CBP deferred inspection       | 1         |       |     |     |       | 1     |
| DHS supported activity (not 287(g))            |           | 1     |     |     |       | 1     |
| Immigration detention                          |           |       | 2   |     |       | 2     |
| Port of entry/CBP checkpoint                   | 1         |       |     |     |       | 1     |
| Unaccompanied minor                            | 1         |       |     |     |       | 1     |
| Conditions of detention                        | 9         |       | 23  |     |       | 32    |
| CBP detention or CBP deferred inspection       | 1         |       |     |     |       | 1     |
| Immigration detention                          |           |       | 23  |     |       | 23    |
| Port of entry/CBP checkpoint                   | 1         |       |     |     |       | 1     |
| Unaccompanied minor                            | 7         |       |     |     |       | 7     |
| Disability accommodation (Section 504)         | 1         |       | 2   | 2   | 1     | 6     |
| Immigration benefit application processing     |           |       |     |     | 1     | 1     |
| Immigration detention                          |           |       | 2   |     |       | 2     |
| Port of entry/CBP checkpoint                   | 1         |       |     |     |       | 1     |
| Screening (non-watchlist)                      |           |       |     | 2   |       | 2     |
| Discrimination/profiling                       | 1         | 1     | 2   | 1   | 1     | 6     |
| Federal government building or area            |           | 1     |     |     |       | 1     |
| Immigration detention                          |           |       | 2   |     |       | 2     |
| Port of entry/CBP checkpoint                   | 1         |       |     |     |       | 1     |
| Screening (non-watchlist)                      |           |       |     | 1   |       | 1     |
| Visa processing                                |           |       |     |     | 1     | 1     |
| Due process                                    | 3         | 1     | 5   |     |       | 9     |
| CBP detention or CBP deferred inspection       | 1         |       |     |     |       | 1     |
| DHS law enforcement activity                   | 1         |       | 1   |     |       | 2     |
| Immigration benefit application processing     |           | 1     |     |     |       | 1     |
| Immigration detention                          |           |       | 4   |     |       | 4     |
| Port of entry/CBP checkpoint                   | 1         |       |     |     |       | 1     |
| Excessive force or inappropriate use of force  | 5         | 1     | 15  |     |       | 21    |
| DHS law enforcement activity                   |           | 1     |     |     |       | 1     |
| Immigration benefit application processing     |           |       | 1   |     |       | 1     |
| Immigration detention                          |           |       | 14  |     |       | 14    |
| Port of entry/CBP checkpoint                   | 2         |       |     |     |       | 2     |
| Unaccompanied minor                            | 3         |       |     |     |       | 3     |
| Fourth Amendment (search and seizure)          |           |       | 5   |     |       | 5     |
| DHS law enforcement activity                   |           |       | 5   |     |       | 5     |
| Human rights                                   |           | 4     |     |     |       | 4     |
| DHS supported activity (not 287(g))            |           | 4     |     |     |       | 4     |
| Inappropriate touch/search of person (non-TSA) |           |       | 1   |     |       | 1     |
| Immigration detention                          |           |       | 1   |     |       | 1     |
| Intimidation/threat/improper coercion          |           |       | 2   |     |       | 2     |
| Immigration detention                          |           |       | 2   |     |       | 2     |

<sup>\*</sup>Multi-Component or DHS Headquarters unit

Table 4 continued, Investigations closed 3Q and 4Q 2015 (as of September 30, 2015)

| Total by issue                             |     | Component |     |     |       |       |  |
|--|-----|-----------|-----|-----|-------|-------|--|
| Subtotal by situation                      | СВР | DHS *     | ICE | TSA | USCIS | Total |  |
| Language access                            |     |           | 1   |     |       | 1     |  |
| Immigration detention                      |     |           | 1   |     |       | 1     |  |
| Legal access                               |     |           | 10  |     |       | 10    |  |
| DHS law enforcement activity               |     |           | 1   |     |       | 1     |  |
| Immigration detention                      |     |           | 9   |     |       | 9     |  |
| Medical/mental health care                 | 2   | 1         | 153 |     |       | 156   |  |
| CBP detention or CBP deferred inspection   |     | 1         |     |     |       | 1     |  |
| Federal government building or area        |     |           | 1   |     |       | 1     |  |
| Immigration benefit application processing |     |           | 1   |     |       | 1     |  |
| Immigration detention                      |     |           | 151 |     |       | 151   |  |
| Port of entry/CBP checkpoint               | 1   |           |     |     |       | 1     |  |
| Unaccompanied minor                        | 1   |           |     |     |       | 1     |  |
| Privacy                                    |     |           | 1   |     |       | 1     |  |
| Immigration detention                      |     |           | 1   |     |       | 1     |  |
| Religious accommodation                    |     |           | 3   | 1   |       | 4     |  |
| Immigration detention                      |     |           | 3   |     |       | 3     |  |
| Screening (non-watchlist)                  |     |           |     | 1   |       | 1     |  |
| Retaliation                                |     |           | 1   |     |       | 1     |  |
| Immigration detention                      |     |           | 1   |     |       | 1     |  |
| Sexual assault/abuse                       | 4   |           | 5   |     |       | 9     |  |
| Immigration detention                      |     |           | 5   |     |       | 5     |  |
| Unaccompanied minor                        | 4   |           |     |     |       | 4     |  |
| Grand Total                                | 28  | 9         | 231 | 4   | 2     | 274   |  |

<sup>\*</sup>Multi-Component or DHS Headquarters unit

# IV. Appendix: Acronyms

CBP U.S. Customs and Border Protection

CRCL DHS Office for Civil Rights and Civil Liberties

DHS Department of Homeland Security

FEMA Federal Emergency Management Agency ICE U.S. Immigration and Customs Enforcement

OIG DHS Office of the Inspector General

PEP Priority Enforcement Program

ROI Report of Investigation

TRIP Traveler Redress Inquiry Program
TSA Transportation Security Administration

USCG U.S. Coast Guard

USCIS U.S. Citizenship and Immigration Services