



U.S. Department  
of Transportation

**Federal Highway  
Administration**

# Notice

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Subject:

**REVISED FEDERAL-AID HIGHWAY PROGRAM OBLIGATION  
AUTHORITY – FISCAL YEAR (FY) 2011**

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Classification Code

Date

Office of Primary Interest

**N 4520.214**

December 23, 2011

**HCFB-1**

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1. **What is the purpose of this Notice?** This Notice is to advise the States of the revised distribution of the limitation on Federal-aid highway program obligations pursuant to the Full-Year Continuing Appropriations Act, 2011, division B of Public Law (Pub. L.) 112-10.
2. **Does this Notice cancel FHWA Notice 4520.209?** Yes, this Notice cancels FHWA Notice 4520.209, Revised Federal-aid Highway Program Obligation Authority – Fiscal Year (FY) 2011, dated June 30, 2011. The revision to the obligation limitation distribution is required based on the revised FY 2011 apportionments. The distribution of the \$2,000,000,000 in special limitation for the Equity Bonus program has been revised to match the revised Equity Bonus contract authority with which the special limitation is associated. None of the other obligation limitation amounts have been revised. All adjustments made based on the revised distribution will be entered into the Fiscal Management Information System as adjustments to the FY 2012 Equity Bonus special limitation distribution to the extent possible.
3. **What are the legislative provisions?**
  - a. The Full-Year Continuing Appropriations Act, 2011, provides the limitation on obligations for FY 2011 of \$41,107,000,000.
  - b. The limitation shall not apply to obligations for projects covered under:
    - (1) section 125 of title 23, United States Code (U.S.C.);
    - (2) section 147 of the Surface Transportation Assistance Act of 1978;
    - (3) section 9 of the Federal-Aid Highway Act of 1981;
    - (4) sections 131(b) and 131(j) of the Surface Transportation Assistance Act of 1982;
    - (5) sections 149(b) and 149(c) of the Surface Transportation and Uniform Relocation Assistance Act of 1987;
    - (6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991;

- (7) section 157 of title 23, U.S.C., as in effect on the day before the date of enactment of the Transportation Equity Act for the 21<sup>st</sup> Century;
- (8) section 105 of title 23, U.S.C, as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years;
- (9) the Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21<sup>st</sup> Century or subsequent public laws for multiple years or to remain available until used, but only to the extent that the obligation authority has not lapsed or been used;
- (10) section 105 of title 23, U.S.C., but only in an amount equal to \$639,000,000 for each fiscal year 2005 through 2011; and
- (11) section 1603 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation.

**4. What are the allocated accounts?**

- a. Amounts authorized for administrative expenses and programs funded from the administrative takedown, the highway use tax evasion program, and the Bureau of Transportation Statistics are subject to the limitation on obligations.
- b. Unobligated balances of funds from the previous fiscal year that are allocated by the Secretary are subject to the limitation on obligations but are excluded from the State-by-State distribution.
- c. Obligations of funds authorized for Transportation Research are subject to the limitation on obligations. Obligation limitation made available for Transportation Research remains available for 3 fiscal years. Obligation limitation made available for Transportation Research in prior fiscal years is in addition to amounts made available for FY 2011.
- d. Amounts that are allocated will be covered by an equal amount of obligation limitation, which will be distributed with the allocation of the funds.

**5. What is the distribution of obligation limitation to the States?**

- a. The attached Table 1 shows the amount of formula obligation limitation distributed to each State for FY 2011. Each State receives a proportional amount of the obligation limitation available to be distributed to the States based on the ratio of that State's total

apportionments subject to the limitation on obligations bears to the total of such apportionments for all States.

- b. After August 1, 2011, the Secretary will revise the distribution of obligation authority made available if a State does not plan to obligate the amount distributed during FY 2011 and redistribute such amount to those States able to obligate amounts in addition to those previously distributed during FY 2011. The revised distribution of obligation authority shall not include such obligation authority that is available indefinitely (until used) or that is available to be used after FY 2011.

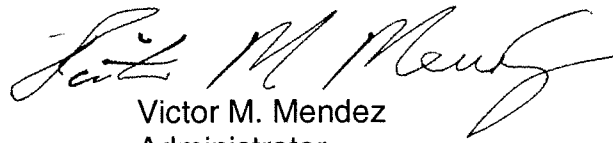
**6. What are the special limitations?**

- a. The Full-Year Continuing Appropriations Act, 2011, continues section 120(a)(4)(A) of the Department of Transportation Appropriations Act, 2010, division A, title I of Pub. L. 111-117, which provides for an obligation limitation within the overall obligation ceiling for the funds authorized under the following:
  - (1) section 117 of title 23, U.S.C., undesignated funds for the High Priority Projects Program; and
  - (2) section 14501 of title 40, U.S.C., Appalachian Development Highway System Program.
- b. The Full-Year Continuing Appropriations Act, 2011, continues section 120(a)(4)(B) of the Department of Transportation Appropriations Act, 2010, which provides that \$2,000,000,000 of the obligation limitation shall be distributed for the Equity Bonus Program (section 105 of title 23, U.S.C.).
- c. The Full-Year Continuing Appropriations Act, 2011, continues section 120(f) of the Department of Transportation Appropriations Act, 2010, which provides that the special limitation associated with the provision specified in section 120(a)(4) of the Department of Transportation Appropriations Act, 2010, shall:
  - (1) remain available until used for the obligation of funds for which distributed; and
  - (2) be in addition to any amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.
- d. The State-by-State distribution of the special limitation associated with the Equity Bonus Program and the Appalachian Development Highway System Program for FY 2011 is also shown on the attached Table 1.

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\* References to sections 1301, 1302, 1702, and 1934 of the SAFETEA-LU, and section 144(f)(1) of title 23, U.S.C. have not been included because the Surface Transportation Extension Act of 2010, as amended, does not continue the projects under these sections.

7. **Are there any transfer provisions?** Yes, limitation will be transferred to the State's 402 Safety Program for those States that failed to meet the provisions of section 154 of title 23, U.S.C., the Open Container Requirements; and section 164 of title 23, U.S.C., the Minimum Penalties for Repeat Offenders for Driving While Intoxicated or Driving Under the Influence for FY 2011. The amounts of the transfers and associated obligation limitation are shown on Table 2.
8. **What action is required?** Division Administrators should ensure that copies of this Notice are provided to the State departments of transportation.



Victor M. Mendez  
Administrator

Attachments

U. S. DEPARTMENT OF TRANSPORTATION  
FEDERAL HIGHWAY ADMINISTRATION

REVISED FY 2011 OBLIGATION LIMITATION DISTRIBUTION  
PURSUANT TO THE FULL-YEAR CONTINUING APPROPRIATIONS ACT, 2011,  
DIVISION B OF PUBLIC LAW 112-10

STATE	Formula Obligation Limitation	Revised Equity Bonus (Special)	Appalachia (Special)	Total
ALABAMA	566,710,207	33,780,156	118,954,637	719,445,000
ALASKA	391,019,457	57,899,174	0	448,918,631
ARIZONA	637,252,753	51,933,809	0	689,186,562
ARKANSAS	452,316,615	27,288,365	0	479,604,980
CALIFORNIA	3,281,767,019	139,461,974	0	3,421,228,993
COLORADO	487,617,045	20,047,622	0	507,664,667
CONNECTICUT	441,444,958	27,231,117	0	468,676,075
DELAWARE	151,191,418	5,974,526	0	157,165,944
DISTRICT OF COLUMBIA	151,872,450	738,300	0	152,610,750
FLORIDA	1,620,454,938	158,966,235	0	1,779,421,173
GEORGIA	1,103,520,388	99,451,337	11,744,457	1,214,716,182
HAWAII	158,945,711	2,430,104	0	161,375,815
IDAHO	249,832,383	19,736,193	0	269,568,576
ILLINOIS	1,268,689,828	76,108,627	0	1,344,798,455
INDIANA	820,369,569	75,586,603	0	895,956,172
IOWA	443,950,029	13,759,793	0	457,709,822
KANSAS	356,279,239	4,492,657	0	360,771,896
KENTUCKY	551,849,758	36,218,671	40,387,894	628,456,323
LOUISIANA	615,835,373	28,160,033	0	643,995,406
MAINE	177,079,140	0	0	177,079,140
MARYLAND	538,095,687	17,792,090	14,078,071	569,965,848
MASSACHUSETTS	570,794,891	8,791,370	0	579,586,261
MICHIGAN	951,361,309	46,783,814	0	998,145,123
MINNESOTA	571,506,771	32,285,785	0	603,792,556
MISSISSIPPI	426,147,114	17,868,144	5,419,071	449,434,329
MISSOURI	805,596,062	53,036,929	0	858,632,991
MONTANA	346,901,991	30,605,728	0	377,507,719
NEBRASKA	267,878,020	7,251,136	0	275,129,156
NEVADA	322,377,347	20,766,636	0	343,143,983
NEW HAMPSHIRE	150,994,413	5,949,717	0	156,944,130
NEW JERSEY	890,886,197	53,491,492	0	944,377,689
NEW MEXICO	320,594,326	18,554,268	0	339,148,594
NEW YORK	1,537,711,022	49,208,881	9,675,878	1,596,595,781
NORTH CAROLINA	887,791,676	62,935,634	32,485,239	983,212,549
NORTH DAKOTA	229,939,724	6,378,380	0	236,318,104
OHIO	1,147,654,411	72,987,749	22,991,642	1,243,633,802
OKLAHOMA	575,417,932	26,043,240	0	601,461,172
OREGON	453,152,455	13,190,533	0	466,342,988
PENNSYLVANIA	1,391,328,839	55,184,422	112,851,497	1,559,364,758
RHODE ISLAND	206,290,321	0	0	206,290,321
SOUTH CAROLINA	543,856,786	41,130,715	7,226,960	592,214,461
SOUTH DAKOTA	247,768,429	13,153,688	0	260,922,117
TENNESSEE	705,254,999	50,421,739	25,555,353	781,232,091
TEXAS	2,735,984,486	234,377,704	0	2,970,362,190
UTAH	290,060,307	15,130,718	0	305,191,025
VERMONT	190,184,591	524,833	0	190,709,424
VIRGINIA	846,209,187	57,952,548	39,051,889	943,213,624
WASHINGTON	624,562,885	10,853,178	0	635,416,063
WEST VIRGINIA	335,329,124	30,789,869	39,057,384	405,176,377
WISCONSIN	633,728,506	58,014,040	0	691,742,546
WYOMING	222,017,260	9,279,724	0	231,296,984
<b>SUBTOTAL</b>	<b>33,895,375,346</b>	<b>2,000,000,000</b>	<b>479,479,972</b>	<b>36,374,855,318</b>
Allocated Programs				4,396,226,930
Undesignated High Priority Projects				1,516,850
Transfer to Sections 154 & 164				<u>334,400,902</u>
<b>TOTAL</b>				<b>41,107,000,000</b>

U. S. DEPARTMENT OF TRANSPORTATION  
FEDERAL HIGHWAY ADMINISTRATION

FY 2011 OBLIGATION LIMITATION TRANSFERRED TO THE SECTION 402  
HIGHWAY SAFETY PROGRAM PURSUANT TO SECTIONS 154 AND 164

STATE	TOTAL FUNDS SECTIONS 154 & 164	OBLIGATION LIMITATION TRANSFER
ALABAMA	0	0
ALASKA	20,326,946	18,735,261
ARIZONA	0	0
ARKANSAS	11,012,945	10,163,062
CALIFORNIA	67,559,399	62,351,255
COLORADO	0	0
CONNECTICUT	6,835,644	6,305,977
DELAWARE	3,785,909	3,493,544
DISTRICT OF COLUMBIA	0	0
FLORIDA	0	0
GEORGIA	0	0
HAWAII	0	0
IDAHO	0	0
ILLINOIS	0	0
INDIANA	0	0
IOWA	0	0
KANSAS	0	0
KENTUCKY	0	0
LOUISIANA	23,698,594	21,867,840
MAINE	0	0
MARYLAND	0	0
MASSACHUSETTS	0	0
MICHIGAN	0	0
MINNESOTA	14,898,987	13,732,527
MISSISSIPPI	10,581,424	9,764,068
MISSOURI	39,265,726	36,233,529
MONTANA	9,386,828	8,662,887
NEBRASKA	0	0
NEVADA	0	0
NEW HAMPSHIRE	0	0
NEW JERSEY	0	0
NEW MEXICO	9,058,519	8,363,265
NEW YORK	0	0
NORTH CAROLINA	0	0
NORTH DAKOTA	0	0
OHIO	26,070,988	24,051,787
OKLAHOMA	0	0
OREGON	10,121,897	9,340,187
PENNSYLVANIA	0	0
RHODE ISLAND	3,365,172	3,104,735
SOUTH CAROLINA	0	0
SOUTH DAKOTA	6,732,908	6,213,948
TENNESSEE	18,386,139	16,966,796
TEXAS	0	0
UTAH	0	0
VERMONT	3,840,699	3,540,891
VIRGINIA	20,205,826	18,645,342
WASHINGTON	12,228,469	11,283,661
WEST VIRGINIA	7,258,344	6,697,673
WISCONSIN	17,613,865	16,239,760
WYOMING	12,948,094	11,950,589
SUBTOTAL	355,183,322	327,708,584
Puerto Rico	7,227,126	6,692,318
TOTAL	362,410,448	334,400,902

## NOTE:

(1) Puerto Rico Highway Program is an allocated program and is not treated as an apportionment to the States.

(2) The Total Funds Sections 154 & 164 column reflects revised contract authority levels found in FHWA Notice 4510.745. The amount of obligation limitation transferred has not been revised.