

Transit Asset Management

Checklist #2b

Am I going to be a participant in a TAM group plan (eligibility and sponsor identification)?

Applicable TAM Rule Language

§ 625.27 Group plans for Transit Asset Management.

Sponsor means a State, a designated recipient, or a direct recipient that develops a group TAM for at least one tier II provider.

Group TAM plan means a single TAM plan that is developed by a sponsor on behalf of at least one tier II provider.

(a) Responsibilities of a group TAM plan sponsor.

- (1) A sponsor must develop a group TAM plan for its tier II provider subrecipients, except those subrecipients that are also direct recipients under the 49 U.S.C. 5307 Urbanized Area Formula Grant Program. The group TAM plan must include a list of those subrecipients that are participating in the plan.
- (2) A sponsor must comply with the requirements of this part for a TAM plan when developing a group TAM plan.
- (3) A sponsor must coordinate the development of a group TAM plan with each participant's Accountable Executive.
- (4) A sponsor must make the completed group TAM plan available to all participants in a format that is easily accessible.

(b) Responsibilities of a group TAM plan participant.

- (1) A tier II provider may participate in only one group TAM plan.
- (2) A tier II provider must provide written notification to a sponsor if it chooses to opt-out of a group TAM plan. A provider that opts-out of a group TAM plan must either develop its own TAM plan or participate in another sponsor's group TAM plan.
- (3) A participant must provide a sponsor with any information that is necessary and relevant to the development of a group TAM plan.

Questions

1. Am I a tier I operator? Use [Checklist #1](#) for tier I/II to determine this.

Yes: I am not eligible to be covered by a group plan.

No: Continue to next question.

2. Am I a direct recipient of the 49 U.S.C. 5307 Urbanized Area Formula Grant Program?

Yes: I am not required to be covered by a group plan. However, by consent of a sponsor I may voluntarily participate in a group plan. Continue to Question 6.

No: Continue to next question.

3. Am I subrecipient to only one FTA grantee?

Yes: I can participate in their Group Plan or opt out. Continue to Question 6.

No: Continue to the next question.

4. How many FTA grantees am I a subrecipient to (enter number here) ____? Of those potential Group Plan Sponsors do I want to participate in any of their group plans?

Yes: I must provide written notice to all potential sponsors indicating which Group Plan that I will participate in. Continue to Question 6.

No: Continue to the next question.

5. Are there other Group Plan Sponsors I would prefer to participate with?

Yes: I must provide written notice to all other potential sponsors that I will participate in another group plan and name the FTA recipient that will sponsor it. Continue to Question 6.

No: Continue to the next question.

6. Have I identified a Group Plan Sponsor? (If you do not have a subrecipient relationship with the identified Group Plan Sponsor, and/or answered yes to Question 2. The Sponsor must agree to your participation in their Group Plan)

Yes: I need to work with my Group Plan sponsor. Who is (enter name of Group Plan sponsor here) _____.

No: Continue to the next question.

7. Am I opting out of Group Plan participation?

Yes: I will develop an individual TAM plan and provide written notification to each potential sponsor that I have opted out.

No: I could not find a sponsor willing to let me participate their group plan, and/or none are required to cover me so I must do an individual TAM plan.

Resources:

[Transit Asset Management Guide](#)

[Small Provider TAM Guide and Template](#)