

## Implementation Assistance

### Available Applications

3D Utility Location Data Repository (R01A)

Performance Specifications for Rapid Renewal (R07)

Railroad-DOT Mitigation Strategies (R16)

Planning Process Bundle (C02/C08/C09/C12/C15)

### Implementation Q&As

### Leadership Endorsement

### Contact

Jennifer Balis (FHWA)  
[jennifer.balis@dot.gov](mailto:jennifer.balis@dot.gov)

Evan Rothblatt (AASHTO)  
[erothblatt@AASHTO.org](mailto:erothblatt@AASHTO.org)



# Implementation Assistance Application for Performance Specifications for Rapid Renewal (R07)



[Read the Product Page](#)

## Background

In response to the widening gap between investment needs and available resources, transportation agencies have begun to explore ways to shift more responsibility for product performance to the contractors. The traditional way of building highway projects—using prescriptive requirements (Method Specifications) that tell the contractor how to perform the work places the risks all on the owner agency— does not provide much incentive to the contractor in providing more than the prescribed minimum. Adding performance specifications to an agency's tool box would provide the means to motivate and empower a contractor to find creative solutions to save time, minimize disruption, and/or enhance safety and quality in the interest of rapid renewal.

By adopting a broad definition for "performance specifications," SHRP2 has developed an implementation guide for agencies interested in making a phased approach to performance specifications. The product suite includes:

- Implementation guidelines for decision makers;
- A guide for specification writers; and
- Model specifications ready for adaption and use by transportation agencies.

## Leadership Endorsement

Each application submitted from an organization or agency must have the endorsement of the Chief Executive Officer or designee. The letter of endorsement is submitted as an attachment to the application. Guidance for creating an endorsement letter appears in the application below.

## Priority Ranking

When an organization or agency submits multiple applications, it must designate priority. Please rank each application in a single grouping, including all product submissions from your agency. Do not separate your organization's applications into categories for individual products, or levels of incentives (i.e., Lead Adopter or User Incentive).

For example, if your agency submits four applications (one for C02/C08/C09/C12/C15, one for R01A, one for R16, and one for R07), your agency must rank each application in priority order from 1 to 4, with 1 as the highest priority and 4 as the lowest priority. For your convenience, you will find a box later in this application to designate the priority ranking. FHWA and AASHTO will take into consideration the agency's rankings when reviewing and evaluating the applications for implementation assistance. Your priority ranking should be expressed as "X of Y," as in "1 of 4."

## For Lead Adopter

Type of Assistance:  Lead Adopter

Number of Awards: Up to 5

Funding Level: \$75,000, plus technical assistance (per award)

Who Can Apply: State departments of transportation (DOTs), Federal Lands Highway Divisions

- Recipient Requirements:
1. Commitment of State DOT leadership to explore the use of performance specifications by working through the *Implementation Guidelines for Decision Makers*.
  2. Identification of at least one area (HMA, PCCP, bridge, work zone safety, etc.) in which to develop a State-appropriate performance specification using the *Specification Writers' Guide*.
  3. Identification of one or more projects to test the specification through either direct or shadow application.
  4. Willingness to post any performance specification developed through this process on the National Specifications Web site under "Emerging Specifications."
  5. Participation in R07 product evaluation including a qualitative, organizational, before/after assessment conducted by an independent consultant for FHWA.
  6. Willingness to share knowledge with other State DOTs interested in implementing performance specifications.
  7. Willingness to participate in regional or national knowledge-sharing events to promote the product.

**For User Incentive**

Type of Assistance: User Incentive

Number of Awards: Up to 5

Funding Level: Approximately \$25,000 in technical assistance only (per award)

Who Can Apply: State departments of transportation (DOTs), Federal Lands Highway Divisions

- Recipient Requirements:
1. Commitment of State DOT leadership to the use of performance specifications.
  2. Identification of the area (HMA, PCCP, bridge, work zone safety, etc.) for which technical assistance is needed to adapt a State specification to a performance basis (Technical assistance could include specification review, identification of performance measures, data analysis, etc.).
  3. Willingness to post any performance specification developed through this process on the National Specifications Web site under "Emerging Specifications."
  4. As appropriate, participation in R07 product evaluation including a qualitative, organizational, before/after assessment conducted by an independent consultant for FHWA.
  5. Willingness to share knowledge with other State DOTs interested in implementing performance specifications.
  6. Willingness to participate in regional or national knowledge-sharing events to promote the product.

**Application Form**

Follow these steps to apply for implementation assistance:

1. Review all background information located on this product's application page.
2. Download the [Performance Specifications for Rapid Renewal \(R07\)](#) application form; and save it to your computer in order to iterate, revise, and secure approvals before uploading the final application and [Leadership Endorsement Letter](#) to this site.
3. Once you have completed this form and secured the required Leadership Endorsement Letter(s), return to application page and complete the contact information fields.
4. Upload this form and the Leadership Endorsement Letter to the page.
5. Click submit; you will receive an email confirmation that includes the uploaded endorsement letter and application form.

Attach letter of endorsement from CEO or designee

No file chosen

[View Leadership Endorsement Guidance](#)

Attach completed application form. Be sure you are attaching the application form that matches this application.

No file chosen

Download the [Performance Specifications for Rapid Renewal \(R07\)](#) application form.

Type of Assistance

Number of applications your organization is submitting to Round 5

Rank this application relative to the total

**Contact Information**

Point of Contact

Title

Business Phone

Business Email

Organization

Type of Organization

State

I wish to subscribe to SHRP2 Email Updates.

Be sure to click submit only one time.

[Contact Us](#) | [Site Map](#) | [Privacy Policy](#) | [Freedom of Information Act](#) | [Plug-ins](#) | [Accessibility](#) | [OIG Hotline](#) | [WhiteHouse.gov](#) | [USA.gov](#)



## SHRP2 Implementation Assistance Program

### Round 5 Application Form – Used for either USER INCENTIVE or LEAD ADOPTER – *Application period closes February 13, 2015.*

**Name of Product: Performance Specifications for Rapid Renewal (R07)**

**FHWA Product Lead Name: Jennifer Balis, [jennifer.balis@dot.gov](mailto:jennifer.balis@dot.gov), (202) 493-7302 (phone)**

This SHRP2 Solution is part of Round 5 of the Implementation Assistance Program. For more information about this product or about applying for implementation assistance, visit the [Implementation Assistance Program page](#) or this product's application page on the GoSHRP2 Web site.

#### **Point of Contact (POC):**

The SHRP2 Implementation Assistance Program is designed to foster peer learning, and as a result, applicants are encouraged to share their experience implementing SHRP2 products with others. By submitting this application, your organization grants permission to FHWA to publish and distribute the name and business email address of a **staff member from the applying organization** who is familiar with the project. Please provide:

POC Name: Provide your response here.

POC Business Email Address: Provide your response here.

#### **Questions:**

1. For which type of implementation assistance are you applying (select one)?

User Incentive

Lead Adopter

2. Briefly describe the agency's interest and goals for adopting performance specifications (What do you hope to gain? Is there a specific issue you hope to resolve? How do you define success?)

Provide your response here.

3. Briefly describe executive level support for participation.

Provide your response here.

4. Briefly describe the approach, extent, and timetable.
  - a. Problem statement

- b. Approach including potential performance measures and available data to be used
- c. Expected deliverables
- d. Technical assistance needed
- e. Budget, including proposed use of the funding (for Lead Adopters only)
- f. Timetable

Provide your response here.

As a reminder:

1. Review all background information located on this product's application page.
2. Once you have completed this form and secured the required Leadership Endorsement Letter, return to application page and complete the contact information fields.
3. Upload this form and the Leadership Endorsement Letter to the page.
4. Click submit; you will receive an email confirmation that includes the uploaded endorsement letter and application form.

For more information or to find this product's application page, visit the [Implementation Assistance Program page](#) on the GoSHRP2 Web site.