

OIG Recovery Act Monthly Report

| Monthly Update Report Data (sheet 1 of 4) Version 4.0a | | |
|---|------------------------------------|--|
| Reporting Entity: | Department of Transportation - OIG | |
| Month Ending Date: | 11/30/2009 | |

| Recovery Act Funds Used on Recovery Act Activity | | | | | | | | |
|---|------------------------------------|---|------------|--------------|-------------------|---------------------|------------------------|---------------|
| No. | Agency / Bureau | Recovery Act TAFS | Award Type | US Indicator | Total Obligations | Total Gross Outlays | Direct or Reimbursable | Ordering TAFS |
| 1 | Department of Transportation - OIG | (69-0131 2009 \ 2013) Transportation - OIG - Recovery Act | | Y - US | \$1,071,165 | \$817,015 | Direct | N/A |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |
| 6 | | | | | | | | |
| 7 | | | | | | | | |
| 8 | | | | | | | | |
| 9 | | | | | | | | |
| 10 | | | | | | | | |

| Non-Recovery Act Funds Used on Recovery Act Activity | | | | | | | |
|---|------------------------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------------|---------------------------|-----------------------------|
| No. | Agency / Bureau | FY 2009 Non-Recovery Act TAFS | Total FY 2009 Obligations | Total FY 2009 Gross Outlays | FY 2010 Non-Recovery Act TAFS | Total FY 2010 Obligations | Total FY 2010 Gross Outlays |
| 1 | Department of Transportation - OIG | (69-0130 2009) Transportation - OIG | \$1,569,106 | \$1,569,106 | (69-0130 2010) Transportation - OIG | \$0 | \$0 |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
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| | | |
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| FTE Working on Recovery | | | |
|---|-------|------|------------|
| Fiscal Year | 2009 | 2010 | Cumulative |
| Newly Hired FTE (cumulative): | 0.77 | 1.38 | 2.15 |
| FTE Funded by Recovery Act Funds (cumulative): | 1.54 | 6.68 | 8.22 |
| FTE <u>Not</u> Funded by Recovery Act Funds (cumulative): | 15.20 | 0.00 | 15.20 |

| Testimonies: | |
|------------------------|---|
| Provided (monthly): | 0 |
| Provided (cumulative): | 3 |

| Complaints | | Whistleblower Reprisal Allegations | | Investigations | | Audits / Inspections / Evaluations / Reviews | | Training / Outreach | |
|--------------|-----|--------------------------------------|---|---|-----------|--|--------|---------------------------------|--------|
| Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | |
| Received: | 10 | Received: | 0 | Opened (this month): | 4 | Initiated (this month): | 3 | Training Sessions Provided: | 8 |
| | | Accepted: | 0 | Active (as of the end of the month): | 13 | In Process (as of the end of the month): | 6 | Individuals Trained: | 732 |
| | | Pending Decision: | 6 | Completed Final Published Work Products: | 1 | Hours of Training Provided: | 732 | | |
| | | Closed without Action: | 1 | Priority Interim Published Work Products: | 0 | Outreach Sessions Conducted: | 8 | | |
| | | Accepted for Prosecution: | 5 | Unpublished Work Products*: | 0 | | | | |
| | | Prosecution Denied: | 0 | | | | | | |
| | | Referred for Alternative Resolution: | 0 | | | | | | |
| | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | |
| Received: | 194 | Received: | 0 | Closed without Action: | 1 | Completed Final Published Work Products: | 6 | Training Sessions Provided: | 150 |
| | | Accepted: | 0 | Accepted for Prosecution: | 12 | Priority Interim Published Work Products: | 0 | Individuals Trained: | 10,866 |
| | | Prosecution Denied: | 1 | Unpublished Work Products: | 0 | Hours of Training Provided: | 10,866 | | |
| | | Referred for Alternative Resolution: | 1 | Cumulative Total: | 6 | Outreach Sessions Conducted: | 131 | | |
| | | | | Cumulative Total: | 15 | | | | |

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| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month) |
|-----|---|
| 1 | On November 2, the DOT OIG initiated an evaluation of FHWA's National Review Team. The objective of this audit will be to evaluate the effectiveness of the FHWA's National Review Team in conducting national oversight of highway funds and mitigating risks posed by the implementation of ARRA. |
| 2 | On November 19, the DOT OIG initiated an audit of FTA's oversight of the Dulles Corridor Metrorail Project. The objectives of this audit will be to evaluate the effectiveness of FTA's oversight of the Dulles Corridor Metrorail Project and assess potential safety concerns. |
| 3 | On November 19, the DOT OIG initiated an audit of FAA's job creation under the American Recovery and Reinvestment Act of 2009 (ARRA). The objectives of this audit are to determine whether (1) Airport Improvement Program and Facilities and Equipment projects funded under ARRA are preserving and creating jobs and (2) the reporting of job data satisfies ARRA requirements. |
| 4 | On November 30, 2009, the DOT OIG issued an audit report on the "Department of Transportation's Implementation of the American Recovery and Reinvestment Act Continued Management Attention Is Needed To Address Oversight Vulnerabilities." |
| 5 | |

| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months) |
|-----|--|
| 1 | Issue "ARRA Advisories" to the Department and Congress when we identify vulnerabilities that could impede DOT's ability to provide effective oversight to ARRA-funded projects and meet new requirements |
| 2 | Continue to monitor for fraudulent schemes in the contracting process. |

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TRAINING ACTIVITIES

| No. | Type of Training | Target Audience | Title of Training | Training Location (City, State) | Date of Training | Length of Training (hours) | Number of Participants | Hours of Training Provided (length x participants) | Cost of Training | Presentation with Other OIGs | Average Evaluation Rating |
|--------------|-------------------------------------|-----------------|-------------------------------------|---------------------------------|------------------|----------------------------|------------------------|--|------------------|------------------------------|---------------------------|
| 1 | Fraud Prevention/Awareness Training | State | Fraud Prevention/Awareness Briefing | Raleigh, North Carolina | 11/02/2009 | 1 | 73 | 73 | | | |
| 2 | Fraud Prevention/Awareness Training | State | Fraud Prevention/Awareness Briefing | Charlotte, North Carolina | 11/03/2009 | 1 | 62 | 62 | | | |
| 3 | Fraud Prevention/Awareness Training | Mixed | Fraud Prevention/Awareness Briefing | Los Angeles, California | 11/03/2009 | 1 | 50 | 50 | | | |
| 4 | Fraud Prevention/Awareness Training | Mixed | Fraud Prevention/Awareness Briefing | Salt Lake City, Utah | 11/05/2009 | 1 | 15 | 15 | | | |
| 5 | Fraud Prevention/Awareness Training | Federal | Fraud Prevention/Awareness Briefing | Oxford, Alabama | 11/13/2009 | 1 | 82 | 82 | | | |
| 6 | Fraud Prevention/Awareness Training | Mixed | Fraud Prevention/Awareness Briefing | Matteson, Illinois | 11/16/2009 | 1 | 120 | 120 | | | |
| 7 | Fraud Prevention/Awareness Training | Mixed | Fraud Prevention/Awareness Briefing | Dallas, Texas | 11/17/2009 | 1 | 30 | 30 | | | |
| 8 | Anti-trust Training | Federal | Anti-Trust Training | Tampa, Florida | 11/18/2009 | 1 | 300 | 300 | | | |
| TOTAL | | | | | | | 732 | 732 | | | |

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| OUTREACH ACTIVITIES | | | | | |
|---------------------|---|---|--|---------------------------------|------------------|
| No. | Organization to which Outreach Provided | Number of Organizations Represented at Outreach Session | Description of Outreach | Outreach Location (City, State) | Date of Outreach |
| 1 | Texas Department of Transportation | 1 | Discussed TXDOT Processes | Fort Worth, TX | 11/06/2009 |
| 2 | District of Columbia Department of Transportation | 1 | Discussed Recovery Act projects | Washington, DC | 11/10/2009 |
| 3 | District of Columbia Department of Transportation | 1 | Discussed Recovery Act projects | Washington, DC | 11/10/2009 |
| 4 | District of Columbia Department of Transportation | 1 | Discussed Recovery Act projects | Washington, DC | 11/10/2009 |
| 5 | District of Columbia Department of Transportation | 1 | Discussed Recovery Act projects | Washington, DC | 11/10/2009 |
| 6 | District of Columbia Department of Transportation | 1 | Discussed Recovery Act projects | Washington, DC | 11/12/2009 |
| 7 | District of Columbia Department of Transportation | 1 | Discussed Recovery Act projects | Washington, DC | 11/12/2009 |
| 8 | Indiana Office of Inspector General | 1 | Discussed Recovery Act audits conducted by the Indiana OIG | Indianapolis, IN | 11/16/2009 |