

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 1 of 5) Version 5.0a

| | | |
|--------------------|------------------------------------|--|
| Reporting OIG: | Department of Transportation - OIG | |
| Month Ending Date: | 01/31/2013 | |

| Recovery Act Funds Used on Recovery Act Activity | | | | | | | | |
|--|------------------------------------|---|--|--------------|-------------------|---------------------|------------------------|---------------|
| No. | Agency / Bureau | Recovery Act TAFS | Award Type | US Indicator | Total Obligations | Total Gross Outlays | Direct or Reimbursable | Ordering TAFS |
| 1 | Department of Transportation - OIG | (69-0131 2009 \ 2013) Transportation - OIG - Recovery Act | Other | Y - US | \$17,814,736 | \$17,540,771 | Direct | n/a |
| 2 | Department of Transportation - OIG | (69-0131 2009 \ 2013) Transportation - OIG - Recovery Act | Contracts and Orders (including modifications) | Y - US | \$329,000 | \$329,000 | Direct | n/a |
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| Non-Recovery Act Funds Used on Recovery Act Activity | | | | | | | | | | |
|--|------------------------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------------|---------------------------|-----------------------------|
| No. | Agency / Bureau | FY 2009 Non-Recovery Act TAFS | Total FY 2009 Obligations | Total FY 2009 Gross Outlays | FY 2010 Non-Recovery Act TAFS | Total FY 2010 Obligations | Total FY 2010 Gross Outlays | FY 2011 Non-Recovery Act TAFS | Total FY 2011 Obligations | Total FY 2011 Gross Outlays |
| 1 | Department of Transportation - OIG | (69-0130 2009) Transportation - OIG | \$1,569,106 | \$1,569,106 | (69-0130 2010) Transportation - OIG | \$5,157,796 | \$5,157,796 | (69-0130 2011) Transportation - OIG | \$569,541 | \$569,541 |
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| Non-Recovery Act Funds Used on Recovery Act Activity | | | | | | | |
|--|------------------------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|---------------------------|-----------------------------|
| No. | Agency / Bureau | FY 2012 Non-Recovery Act TAFS | Total FY 2012 Obligations | Total FY 2012 Gross Outlays | FY 2013 Non-Recovery Act TAFS | Total FY 2013 Obligations | Total FY 2013 Gross Outlays |
| 1 | Department of Transportation - OIG | (69-0130 2012) Transportation - OIG | \$2,259,048 | \$2,252,283 | | | |
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| | |
|--------------------|------------------------------------|
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| Month Ending Date: | 1/31/2013 |

| Fiscal Year 2009 | | | |
|----------------------------------|--------------|--|--|
| Monetary Results -Investigations | | Monetary Results - Audits, Inspections, Reviews* | |
| Recoveries (FY 09): | | Questioned Costs (FY 09): | |
| Forfeitures/Seizures (FY 09): | \$750,000.00 | Unsupported Costs (FY 09): | |
| Estimated Savings (FY 09): | | Recommendations for Better Use of Funds (FY 09): | |

| Fiscal Year 2010 | | | |
|----------------------------------|--|--|--|
| Monetary Results -Investigations | | Monetary Results - Audits, Inspections, Reviews* | |
| Recoveries (FY 10): | | Questioned Costs (FY 10): | |
| Forfeitures/Seizures (FY 10): | | Unsupported Costs (FY 10): | |
| Estimated Savings (FY 10): | | Recommendations for Better Use of Funds (FY 10): | |

| Fiscal Year 2011 | | | |
|----------------------------------|------------|--|--|
| Monetary Results -Investigations | | Monetary Results - Audits, Inspections, Reviews* | |
| Recoveries (FY 11): | \$4,766.00 | Questioned Costs (FY 11): | |
| Forfeitures/Seizures (FY 11): | | Unsupported Costs (FY 11): | |
| Estimated Savings (FY 11): | | Recommendations for Better Use of Funds (FY 11): | |

| Fiscal Year 2012 | | | |
|----------------------------------|--|--|--|
| Monetary Results -Investigations | | Monetary Results - Audits, Inspections, Reviews* | |
| Recoveries (FY 12): | | Questioned Costs (FY 12): | |
| Forfeitures/Seizures (FY 12): | | Unsupported Costs (FY 12): | |
| Estimated Savings (FY 12): | | Recommendations for Better Use of Funds (FY 12): | |

| Fiscal Year 2013 | | | |
|----------------------------------|-------------|--|--|
| Monetary Results -Investigations | | Monetary Results - Audits, Inspections, Reviews* | |
| Recoveries (FY 13): | \$60,000.00 | Questioned Costs (FY 13): | |
| Forfeitures/Seizures (FY 13): | | Unsupported Costs (FY 13): | |
| Estimated Savings (FY 13): | | Recommendations for Better Use of Funds (FY 13): | |

| Cumulative Since 2/17/2009 | | | |
|------------------------------------|--------------|---|--------|
| Monetary Results -Investigations | | Monetary Results - Audits, Inspections, Reviews* | |
| Recoveries (cumulative): | \$64,766.00 | Questioned Costs (cumulative): | \$0.00 |
| Forfeitures/Seizures (cumulative): | \$750,000.00 | Unsupported Costs (cumulative): | \$0.00 |
| Estimated Savings (cumulative): | \$0.00 | Recommendations for Better Use of Funds (cumulative): | \$0.00 |

* These data include Federal Audits, Inspections, and Reviews only

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| | | |
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| Reporting OIG: | Department of Transportation - OIG | |
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| FTE Working on Recovery | | | | | | |
|---|-------|-------|-------|-------|-------|------------|
| Fiscal Year | 2009 | 2010 | 2011 | 2012 | 2013 | Cumulative |
| Newly Hired FTE (cumulative): | 0.77 | 15.40 | 25.23 | 18.94 | 3.65 | 63.99 |
| FTE Funded by Recovery Act Funds (cumulative): | 1.54 | 22.19 | 56.85 | 30.05 | 12.22 | 122.85 |
| FTE <u>Not</u> Funded by Recovery Act Funds (cumulative): | 15.20 | 40.52 | 4.43 | 15.97 | 0.00 | 76.12 |

| Testimonies: | |
|------------------------|---|
| Provided (monthly): | 0 |
| Provided (cumulative): | 6 |

| Complaints | | Whistleblower Reprisal Allegations | | Investigations | | Audits / Inspections / Evaluations / Reviews | | Training / Outreach | |
|---------------------------------|-----|------------------------------------|----|---|------------|--|-----------|---------------------------------|-------|
| Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | |
| Received: | 7 | Received: | 0 | Opened (this month): | 1 | Initiated (this month): | 0 | Training Sessions Provided: | 0 |
| | | Accepted: | 0 | Active (as of the end of the month): | 63 | In Process (as of the end of the month): | 10 | Individuals Trained: | 0 |
| | | | | Closed without Action: | 1 | Completed Final Published Work Products: | 0 | Hours of Training Provided: | 0 |
| | | | | Prosecution Declined: | 0 | Priority Interim Published Work Products: | 0 | Outreach Sessions Conducted: | 0 |
| | | | | Referred for Alternative Resolution: | 1 | Unpublished Work Products*: | 0 | | |
| | | | | Convictions, Settlements, Pleas, Judgments: | 0 | QCRs Issued: | 0 | | |
| Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | |
| Received: | 468 | Received: | 10 | Closed without Action: | 78 | Completed Final Published Work Products: | 22 | Training Sessions Provided: | 316 |
| | | Accepted: | 10 | Prosecution Declined: | 19 | Priority Interim Published Work Products: | 1 | Individuals Trained: | 21808 |
| | | | | Referred for Alternative Resolution: | 10 | Unpublished Work Products*: | 0 | Hours of Training Provided: | 22216 |
| | | | | Convictions, Settlements, Pleas, Judgments: | 5 | QCRs Issued: | 0 | Outreach Sessions Conducted: | 165 |
| | | | | Cumulative Total: | 112 | Cumulative Total: | 23 | | |

*These work products were not published because they contain proprietary or other sensitive information that cannot be made available to the public.

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| | |
|---------------------------|------------------------------------|
| Reporting OIG: | Department of Transportation - OIG |
| Month Ending Date: | 01/31/2013 |

| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month) |
|-----|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |
| 10 | |

| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months) |
|-----|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |
| 10 | |

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| | | |
|-----------------------|--------------------------------|--|
| Reporting OIG: | Department of Transportation - | |
| Month Ending | 01/31/2013 | |

| TRAINING ACTIVITIES | | | | | | | | | |
|---------------------|------------------|-----------------|-------------------|---------------------------------|------------------|----------------------------|------------------------|--|------------------|
| No. | Type of Training | Target Audience | Title of Training | Training Location (City, State) | Date of Training | Length of Training (hours) | Number of Participants | Hours of Training Provided (length x participants) | Cost of Training |
| 1 | | | | | | | | 0 | |
| 2 | | | | | | | | 0 | |
| 3 | | | | | | | | 0 | |
| 4 | | | | | | | | 0 | |
| 5 | | | | | | | | 0 | |
| 6 | | | | | | | | 0 | |
| 7 | | | | | | | | 0 | |
| 8 | | | | | | | | 0 | |
| 9 | | | | | | | | 0 | |
| 10 | | | | | | | | 0 | |
| 11 | | | | | | | | 0 | |
| 12 | | | | | | | | 0 | |
| 13 | | | | | | | | 0 | |
| TOTAL | | | | | | | 0 | 0 | |

| OUTREACH ACTIVITIES | | | | | |
|---------------------|---|---|-------------------------|---------------------------------|------------------|
| No. | Organization to which Outreach Provided | Number of Organizations Represented at Outreach Session | Description of Outreach | Outreach Location (City, State) | Date of Outreach |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |