

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 1 of 4) Version 4.0a		
Reporting Entity:	Department of Transportation - OIG	
Month Ending Date:	01/31/2010	

Recovery Act Funds Used on Recovery Act Activity								
No.	Agency / Bureau	Recovery Act TAFS	Award Type	US Indicator	Total Obligations	Total Gross Outlays	Direct or Reimbursable	Ordering TAFS
1	Department of Transportation - OIG	(69-0131 2009 \ 2013) Transportation - OIG - Recovery Act		Y - US	\$1,965,552	\$1,697,542	Direct	N/A
2								
3								
4								
5								
6								
7								
8								
9								
10								

Non-Recovery Act Funds Used on Recovery Act Activity							
No.	Agency / Bureau	FY 2009 Non-Recovery Act TAFS	Total FY 2009 Obligations	Total FY 2009 Gross Outlays	FY 2010 Non-Recovery Act TAFS	Total FY 2010 Obligations	Total FY 2010 Gross Outlays
1	Department of Transportation - OIG	(69-0130 2009) Transportation - OIG	\$1,569,106	\$1,569,106	(69-0130 2010) Transportation - OIG	\$0	\$0
2							
3							
4							
5							
6							
7							
8							
9							
10							

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 2 of 4) Version 4.0a

Reporting OIG:	Department of Transportation - OIG	
Month Ending Date:	01/31/2010	

FTE Working on Recovery			
Fiscal Year	2009	2010	Cumulative
Newly Hired FTE (cumulative):	0.77	3.48	4.25
FTE Funded by Recovery Act Funds (cumulative):	1.54	15.23	16.77
FTE <u>Not</u> Funded by Recovery Act Funds (cumulative):	15.20	0.00	15.20

Testimonies:	
Provided (monthly):	0
Provided (cumulative):	3

Complaints		Whistleblower Reprisal Allegations		Investigations		Audits / Inspections / Evaluations / Reviews		Training / Outreach	
Monthly Data		Monthly Data		Monthly Data		Monthly Data		Monthly Data	
Received:	5	Received:	0	Opened (this month):	2	Initiated (this month):	2	Training Sessions Provided:	13
		Accepted:	0	Active (as of the end of the month):	17	In Process (as of the end of the month):	11	Individuals Trained:	537
		Pending Decision:	9	Completed Final Published Work Products:	1	Hours of Training Provided:	537		
		Closed without Action:	0	Priority Interim Published Work Products:	0	Outreach Sessions Conducted:	5		
		Accepted for Prosecution:	3	Unpublished Work Products*:	0				
		Prosecution Denied:	0						
		Referred for Alternative Resolution:	0						
Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009	
Received:	204	Received:	0	Closed without Action:	2	Completed Final Published Work Products:	7	Training Sessions Provided:	168
		Accepted:	0	Accepted for Prosecution:	16	Priority Interim Published Work Products:	0	Individuals Trained:	11,798
		Prosecution Denied:	1	Unpublished Work Products:	0	Hours of Training Provided:	11,698		
		Referred for Alternative Resolution:	1	Cumulative Total:	7	Outreach Sessions Conducted:	140		
				Cumulative Total:	20				

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 3 of 4) Version 4.0a

Reporting OIG:	Department of Transportation - OIG
Month Ending Date:	01/31/2010

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)
1	On January 4, the DOT OIG initiated an evaluation of high speed rail forecasting best practices. The objectives of this evaluation are to: (1) assess the strengths and weaknesses of various methodologies used to develop high speed rail and intercity passenger rail ridership and revenue forecasts, cost estimates, and public benefits valuations; and (2) identify best practices in the preparation of these forecasts.
2	On January 7, the DOT OIG issued a report on the "Department of Transportation's Suspension and Debarment (S&D) Program. The report focuses on two issues (1) Operating Administration's S&D decisions and reporting have been significantly delayed, which increases the risk that DOT and other agencies will award contracts and grants to parties that DOT will ultimately suspend or debar; and (2) weaknesses in DOT's S&D policies, procedures, and internal controls, which make them inadequate to safeguard DOT's efforts to exclude prohibited parties from obtaining contracts and grants. We made recommendation to address these issues and the Department agreed to take action.
3	On January 20, the DOT OIG initiated an audit of the Federal Highway Administration's (FHWA) oversight of high dollar Recovery Act highway projects. The objective of this audit is to determine whether FHWA's oversight of selected higher dollar value Recovery Act projects has resulted in project compliance with key Federal-aid highway requirements for cost, quality, and construction schedule.

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months)
1	By March 31, the DOT OIG plans to initiate audits/reviews of the following: FHWA's oversight of improper payments, Federal Railroad Administration's (FRA) state-freight railroad capital agreements, FRA and states ability to plan and manage high speed rail projects, Federal Transit Administration's (FTA) oversight of major transit projects in the New York region, and FTA's oversight of grantees' Recovery Act contract award and administration practices.
2	Issue "ARRA Advisories" to the Department and Congress when we identify vulnerabilities that could impede DOT's ability to provide effective oversight to ARRA-funded projects and meet new requirements
3	Continue to monitor for fraudulent schemes in the contracting process.

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 4 of 4) Version 4.0a

Reporting OIG:	Department of Transportation - OIG	
Month Ending Date:	01/31/2010	

TRAINING ACTIVITIES											
No.	Type of Training	Target Audience	Title of Training	Training Location (City, State)	Date of Training	Length of Training (hours)	Number of Participants	Hours of Training Provided (length x participants)	Cost of Training	Presentation with Other OIGs	Average Evaluation Rating
1	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Brooklyn, New York	01/05/2010	1	52	52			
2	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Philadelphia, Pennsylvania	01/11/2010	1	40	40			
3	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	San Francisco, California	01/13/2010	1	20	20			
4	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Fort Worth, Texas	01/19/2010	1	36	36			
5	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Atlanta, Georgia	01/20/2010	1	55	55			
6	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Fort Worth, Texas	01/21/2010	1	24	24			
7	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Atlanta, Georgia	01/22/2010	1	80	80			
8	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Worcester, Massachusetts	01/26/2010	1	50	50			
9	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Orlando, Florida	01/26/2010	1	40	40			
10	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Northhampton, Massachusetts	01/27/2010	1	20	20			
11	Fraud Prevention/Awareness	State	Fraud Prevention/Awareness Briefing	Baltimore, Maryland	01/27/2010	1	15	15			
12	Fraud Prevention/Awareness	State	Fraud Prevention/Awareness Briefing	Providence, Rhode Island	01/28/2010	1	20	20			
13	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Atlanta, Georgia	01/28/2010	1	85	85			
							TOTAL	537	537		

OIG Recovery Act Monthly Report

OUTREACH ACTIVITIES					
No.	Organization to which Outreach Provided	Number of Organizations Represented at Outreach Session	Description of Outreach	Outreach Location (City, State)	Date of Outreach
1	New Jersey Transit, Office of Diversity Programs	1	Discussed Fraud Prevention/Awareness Training Opportunities	New Jersey	01/05/2010
2	Connecticut Department of Transportation	1	Discussed Training Opportunities	Connecticut	01/07/2010
3	Massachusetts Department of Transportation	1	Discussed Ongoing Recovery Act Projects	Massachusetts	01/07/2010
4	Texas Department of Transportation	1	Discussed Fraud Prevention Strategies	Fort Worth, Texas	01/11/2010
5	Construction Industry	Unknown	Panel Discussion on "Federal and Massachusetts Public Construction Projects- New and Expanded Requirements"	Massachusetts	01/19/2010