

FHWA Guidance: Initial State Performance Report

August 31, 2016

Background

Title 23 U.S.C. 150(e) requires State DOTs to submit reports on performance targets and progress in achieving established targets to Federal Highway Administration (FHWA) not later than October 1, 2016. The FHWA recognizes that reporting State Department of Transportation (DOT) targets by October 1, 2016, is not feasible since the first performance measures final rule requiring this report will not be effective by October 1, 2016. The FHWA also recognizes that since the second and third performance measures rules will not be effective prior to October 1, 2016, State DOTs will not have a full year to establish their targets. As a result, FHWA is issuing this guidance to assist State DOTs with meeting the statutory requirement.

Purpose

State DOTs are required to submit an Initial State Performance Report to FHWA by October 1, 2016, that includes the following information:

- (i) The condition/performance of the National Highway System (NHS) in the State;
 - a. This requirement is deemed satisfied for State DOTs that have already reported to the National Bridge Inventory and the Highway Performance Monitoring System
- (ii) The effectiveness of the investment strategy document in the State Asset Management plan for the NHS;
 - a. For State DOTs that have developed a State Asset Management Plan for the NHS, State DOT's should provide an assessment of its effectiveness.
 - b. For State DOTs that have not yet completed a State Asset Management Plan for the NHS, provide a description of the processes being used or will be used to develop a plan.
- (iii) A description of State DOT's progress in achieving performance targets;
 - a. State DOTs that have established targets should provide a description of the target establishment process including how coordination with relevant MPOs and other agencies occurred in the selection of targets.
 - b. State DOTs that have not established targets should provide a description of the planned processes for target establishment including how coordination with relevant MPOs and other agencies will occur in the selection of targets.
- (iv) A description of the ways in which the State DOT is addressing congestion at freight bottlenecks. (<https://www.transportation.gov/freight/NFSP>)

This report should be completed in Microsoft Word with a length of no more than 10 written pages. The State DOT shall submit this report no later than October 1, 2016 to:

Francine Shaw Whitson
Office of Transportation Performance Management (HIPM-10)
Federal Highway Administration, Room E76-338
1200 New Jersey Avenue, SE
Washington, DC 20590
Or by email to: PerformanceMeasuresRulemaking@dot.gov

The State DOT should also provide a copy of this report to its respective FHWA Division office, to the attention of the Division Administrator. Information in this report is collected under Paperwork Reduction Act Number [2132-0529](#).

Once received, FHWA will provide an acknowledgement of receipt to the State DOT.