



Memorandum


**U.S. Department of
Transportation**

Office of the Secretary
of Transportation

Office of Inspector General

Subject: INFORMATION: Notification of Audit - Contract
Audit of Computer Security and Controls of Grant
Management and Payment Systems, FTA
Project Number: 03F3012F000

Date: April 8, 2003

From: Alexis M. Stefani 
Principal Assistant Inspector General for
Auditing and Evaluation

Reply To

Attn Of: Leng:x61496

To: Federal Transit Administrator

The Office of Inspector General (OIG) is in the process of selecting an independent contractor to audit the computer security and controls of three Federal Transit Administration (FTA) systems used to approve, monitor, and pay grants. These systems include the Transportation Electronic Award Management System (TEAM), Electronic Clearing House Operation System (ECHO), and Delphi Online Transaction System (DOTS). ECHO is also used by the Federal Aviation Administration to support grant payments to airport authorities.

The objective of this audit is to determine the effectiveness of the controls of the TEAM, ECHO and DOTS environment in support of compilation of Fiscal Year 2003 Financial Statements. Specifically, the audit will focus on entitywide security program planning and management, access controls, network vulnerabilities, application software development and change controls, system software controls, segregation of duties, and service continuity.

This audit will be conducted at DOT Headquarters in Washington, DC and at the TEAM contractor facility in Herndon, Virginia. We anticipate an April 2003 start for this audit. After contract award, we will contact your audit liaison to establish an entrance conference to discuss the audit. If you have any questions or need additional information, please contact Rebecca Leng, Deputy Assistant Inspector General for Information Technology and Computer Security, or Philip deGonzague, Project Manager at (202) 366-1513.

cc: Chief Information Officer, DOT
Chief Financial Officer, DOT
Chief Information Officer, FAA
Chief Financial Officer, FAA