



U.S. Department of
Transportation


Office of the Secretary
of Transportation

Office of Inspector General

Memorandum

Subject: INFORMATION: Notification of Audit on
Background Investigations of DOT
Government and Contractor Employees
Project No. 04B3008B000

Date: July 7, 2004

From: Alexis M. Stefani 
Principal Assistant Inspector General
for Auditing and Evaluation

Reply to
Attn of: JA-60

To: Assistant Secretary for Administration

The Office of Inspector General will perform an audit of background investigations of Department of Transportation (DOT) government and contractor employees.

Our objectives are to determine: (1) whether DOT government and contractor employees have undergone background investigations that are appropriate to the position that they hold, (2) how promptly background investigations of government and contractor employees are completed, (3) the status and adequacy of departmental systems for tracking background investigations, and (4) whether DOT uses the investigative results of other Federal agencies in assessing the backgrounds of current and potential government and contract employees.

We plan to start this audit the week of August 2, 2004. We will contact your audit liaison to schedule an entrance date to discuss the planned audit. The Program Director for the project is Darren Murphy, and the Project Manager is Jerrold Savage. For any additional information, please call Mr. Murphy at (206) 220-6503 or Mr. Savage at (206) 220-6511.

#

cc: Lee A. Privett, M-40
Martin Gertel, M-1
Ann Branch, TSS-10
Barbara Braswell, MC-PRS
Mike Hartley, DMA-10

Zahid Khawaja, NPO-310
Kevin O'Malley, SLSDC
Debra Steward, RAD-20
Cynthia Thornton, HAIM-13
Richard Weaver, MAR-310
Anthony R. Williams, ABU-100