



Memorandum

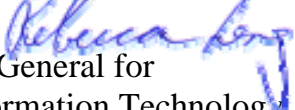
**U.S. Department of
Transportation**

Office of the Secretary
Of Transportation

Office of Inspector General

Subject: **INFORMATION:** Notification of Audit—Protection of
Privacy Information, DOT
Project Number: 07F3019F000

Date: December 5, 2007

From: Rebecca C. Leng 
Assistant Inspector General for
Financial and Information Technology Audits

Reply To

Attn Of: JA-20

To: Chief Information Officer

The Office of Inspector General is initiating an audit of the Department of Transportation's (DOT) protection of privacy information displayed in an identifiable form that permits the identity of an individual to whom the information applies to be reasonably inferred, by either direct or indirect means. More than 100 DOT systems contain such sensitive information. This review is required by Section 522 of the Fiscal Year 2005 Transportation, Treasury, Independent Agencies, and General Government Appropriations Act.

The objectives of this audit are to determine whether (1) the necessity of using personally identifiable information for processing was properly evaluated; (2) the Department has established adequate procedures governing the collection, use, and security of personally identifiable information; and (3) Operating Administrations properly complied with the prescribed procedures to prevent unauthorized access to, or unintended use of, personally identifiable information.

We have contracted with Clifton Gunderson, LLP, to assist us in conducting this audit. The audit will be conducted at selected DOT Operating Administrations in Washington, D.C., and field sites. We will contact your audit liaison to establish an entrance conference in order to discuss the audit. The (Acting) Program Director for this audit is Nathan Custer. If you have any questions, please call me at (202) 366-1496 or Nathan Custer at (202) 366-5540.

cc: Habib Azarsina, Acting Departmental Privacy Officer
Martin Gertel, M-1