



Memorandum

U.S. Department of
Transportation
Office of the Secretary
of Transportation
Office of Inspector General

Subject: **INFORMATION: Audit Announcement—DOT's Information Security Program and Practices for Fiscal Year 2008** Date: June 10, 2008
Project Number: 08F3013F000

From: Rebecca C. Leng *Rebecca Leng* Reply to Attn. JA-20
Assistant Inspector General for Financial of:
and Information Technology Audits

To: Chief Information Officer, DOT

As required by the Federal Information Security Management Act of 2002 (FISMA), the Office of Inspector General is initiating its Fiscal Year 2008 audit of the Department of Transportation's (DOT) information security program and practices. As further required by FISMA, we will review a representative subset of DOT's systems and assess DOT's compliance with FISMA requirements.

Our audit objective is to determine the effectiveness of DOT's information security program and practices. Specifically, we will assess the status of DOT's (1) implementation of minimum security standards, including progress in addressing issues identified previously in risk categorization and managing corrective actions; (2) configuration management, including deployment of baseline configurations and addressing telecommuting issues; (3) incident handling and reporting; and (4) renewed initiatives in addressing Air Traffic Control system security weaknesses, including business continuity planning and testing of operational systems security outside of the computer laboratory. As required by OMB, we will also complete a FISMA template with various assessments and performance measures.

Our work will begin this month. We will contact your audit liaison to schedule an entrance conference to discuss this audit. We will conduct the audit at selected Operating Administrations and contractor sites. The Program Director for this audit is Louis C. King; the Project Manager is James Mallow. If you have any questions, please call me at 202-366-1407 or Louis King at 202-366-4350.

cc: The Deputy Secretary
Acting Federal Aviation Administrator
CIO Council Members
Martin Gertel, M-1