



Memorandum

U.S. Department of
Transportation
Office of the Secretary
of Transportation
Office of Inspector General

Subject: INFORMATION: Audit Announcement–DOT’s
Implementation of Personal Identity Verification Cards
Project Number: 09F3004F000

Date: December 19, 2008

From: *for* Rebecca C. Leng *Rebecca C. Leng*
Assistant Inspector General for Financial
and Information Technology Audits

Reply
to Attn. JA-20
of:

To: Chief Information Officer, DOT

The Office of Inspector General (OIG) plans to initiate an audit of DOT’s implementation of personal identity verification (PIV) cards. On August 27, 2004, President Bush signed Homeland Security Presidential Directive 12 (HSPD-12), “Policy for a Common Identification Standard for Federal Employees and Contractors.” The Directive requires the development and implementation of a mandatory, government-wide standard for secure and reliable forms of identification, referred to as PIV cards, to be issued by the Federal government to its employees and contractors. OMB required the Department to fully implement HSPD-12 by October 27, 2008.

Our audit objectives are to determine if DOT (1) has an effective process to issue, maintain, and terminate functional PIV cards for employees and contractors; and (2) is adequately protecting the personal information collected, stored, processed, and transmitted on the PIV systems.

We will contact your audit liaison to schedule the entrance conference. We will conduct our audit at DOT Headquarters, selected Operating Administrations, and if applicable, at contractor sites. The Program Director for this audit is Louis C. King and the Project Manager is Lissette Mercado. If you have any questions, please call me at 202-366-1407 or Louis King at 202-366-4350.

cc: Acting Federal Aviation Administrator
CIO Council Members
Martin Gertel, M-1