

PURPOSE OF GUIDANCE

A Strategic Highway Safety Plan (SHSP) developed by the State Department of Transportation (DOT) is a new Federal requirement of SAFETEA-LU, 23 U.S.C. § 148, and is a major particle the core Highway Safety Improvement Program (HSIP). This document has three purposes

- To promote best practices and serve as guidance to State DOTs and their safety partners for the development and implementation of the State SHSP.
- To assist State DOTs in creating an SHSP that meets the requirements of SAFETEA-LU with the ultimate goal of reducing the number of his way fatalities and serious injuries on all public roads.
- To assist States in understanding the relationship between the SISP and existing transportation planning and programming processes in order to best develop the SHSP with implementation in mind.

Strategic Highway Safety Plans: A Character's Guide to Saving Lives 04/05/00

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INTRODUCTION

Purpose of a Strategic Highway Safety Plan (SHSP)

The purpose of an SHSP is to identify the State's key safety needs and guide investment decisions to achieve significant reductions in highway fatalities and serious injuries on of public roads. A public road is defined in section 101 (a) of title 23 United States Code as "toy road or street under the jurisdiction of and maintained by a public authority and open to other travel." The SHSP allows all highway safety programs in the State to work together in an effort to aligh and leverage its resources and positions the State and its safety partners to collectively address the State's safety challenges on all public roads.

An SHSP is a statewide-coordinated safety plan that provides a coreprehensive framework, and specific goals and objectives, for reducing highway fatalities and serious injuries on all public roads. This statewide document, developed by the State DOT of a collaborate process, includes input from public and private safety stakeholders. The SHSP is a data-driven, four to five year comprehensive plan that integrates the 4Es — engineering, education difforcement and emergency medical services (EMS). The SHSP strategically establishes statewide tools, objectives, and key emphasis areas developed in consultation with Federal, Strategical, and private sector safety stakeholders.

Benefits of an SHSP

Highway fatalities and serious injugate are at unacteptably high evels in the United States. An important benefit of an SHSP is better coordination of statewisk goals and safety programs that most effectively reduce highway fatalities and serious injuges on all public roads through a comprehensive approach. The collaboration process of developing and implementing a State SHSP brings together and draws on the strengths and resources of 20 safety partners. The SHSP will allow the scheduling and implementation of colety improvement programs, comprehensive initiatives, and profests to be coordinated throughout the State Other benefits of an SHSP include:

- Establishing contaion statewids afety goals and priorities,
- > Strengthening xisting partroships,
- Building new safety coalisons,
- Sharing ata, knowledge, and resources,
- Quantifying the existing and need resources and activities to meet the State's safty goal,
- woiding redundant activities and leveraging limited existing resources such as funds, people, and leaders p attention, toward common objectives,
- Communicating the impact of investing additional resources for highway safety countermeasures, and
- In Seporating both behavioral and infrastructure strategies and countermeasures to have a greater impact on reducing highway fatalities and serious injuries on all public roads.

DEVELOPING THE SHSP

Provided below are suggested activities that will help create a process and identify milestones for the development of the SHSP. These are based on the requirements in SAFETEA-LU and best ipersede Supersede practices developed by States. All States have different needs and resources and have the flexibility to establish a process that best fits those needs and resources. Activities that hould be considered in the development of an SHSP may include:

- ➤ Gain Leadership Support and Initiative
- ➤ Identify a Champion
- ➤ Initiate the Development Process
- ➤ Gather Data
- ➤ Analyze Data
- > Establish a Working Group
- ➤ Bring Safety Partners Together
- ➤ Adopt a Strategic Goal
- ➤ Identify Key Emphasis Areas

- Form Task Groups
- Identify Key Emphase Area Performance Based Toals
- ➤ Identify Strategic and Countermeasures
- Determine in initial point in initial **Implementation**
- Wrigh Me SHSI

A more detailed explanation of each activity is wided below. These actives are not necessarily listed in a sequential order that at states will of hould follow and some activities may be iterative in nature. SAFETEA-LL requirement are in bold text. Additional information and explanation, including test practices are in regulation. A legislative compilation outlining all of the SHEP related SARE/IEA-LU recurrements is included in this guidance in **Appendix A**.

Gain Leadership Support and Initiative

Leadership of the Standor Chief Executive Officer (CEO), State Commissioners, or other upper level position, is crucial proughout the SHSP development and implementation process. Leadership influences the policy direction, sets priorities to their agencies, and defines performance expectations for their staff. Leaders should persuade safety partners to take an aggressive locused and imprehensive approach to addressing safety. To expand leadership support, start with the affety partner who are committed to the concept of an SHSP. Encourage the leadership of the partners contact their eers regarding the significance of this effort to shal their soport. Their dorsement whe SHSP should include encouraging staff to stay ngaged and to build relationships acrosomeganizational boundaries and traditional areas of responsibility. Leaderskip support affects agencies or organizations internally by granting permisson to dedicate time and resources for the effort, and holding those responsible for the development and implementation countable.

eadership apport should be sustained even after the plan is developed to ensure implementation and continued evaluation. Leadership must recognize that this is a long-term ongoing stocess. This sange in how safety partners conduct business, how they interact with each other and how they manage their own safety programs should be institutionalized for the SHSP

to be effective over the long-term. Leadership must continue to support the SHSP as a priority and continue to allow for the use of time and resources throughout implementation.

Identify a Champion

Successful SHSP efforts call for at least one "champion," an individual or a unit, to ensure all critical safety partners are integrated into a collaborative group. In order for success SHSP development and implementation the safety champion must be actively and demonstrably committed. A safety champion helps to secure the necessary leadership, resources, visibility. buy-in, commitment, and shared goals of all partners. A safety champion can reside at any evel within the organizational structure. One example of a high-level champion is an individual such as the State Secretary of Transportation who can coordinate with high-tel leadership of other agencies and organizations. The role of this champion would be an indication of high-level agency support and interest in safety. Another example could be middle maxement champion. The role of this champion would be coordinating orking group activities and other details related to development and implementation. Sometimes the champen may be appointed by the DOT leadership or the leadership of the primary sponsoring age by just to init be the activities. The safety champion would lead the working group that velops the SESP and would be responsible for maintaining the group's mesion, focus and effectiveness. The champion may either take on a part time/full time permanent role or transfero sponsibilitie to a new champion or small group of champions are the SHST rocess is used way. The earety champion should sustain the group's interest and momentum and clearly demonstrate the need for communication and coordination. Where relationships have not fully developed, the champion may need to make additional efforts to ensure commitment and participation from the full range of safety partners.

A champion should be someone who can provide enthusiasm and support to accomplish the development of an SHSP. This person should have en ellent interpresonal skills, be an expediter and have good organizational skills. This person challed be credible and accountable.

Initiate the Development Process

Starting the development of an SHSP i Oot an overwhelming or arduous task. There are several approaches to initiate the process. Angical place to start is to identify and evaluate the State's current safety activities. One way to do this is to use AASHTO's "Self-Assessment Tool". It is available at www.safety.transtortation.org, which is the status of transportation safety in the State? What are the existing State safety trends? What should be the vision of safety in the State five, ten, and twenty wars from now? These are some of the questions that, when answered, will help frame the discussion for all safety partness. Visioning and long term thinking will help a State deprimine what I wants to a complish and move toward defining a strategic goal.

Other way to start the evelopment process are to:

Reach out peers in other States that have begun the development of an SHSP to learn from the experiences.

- ➤ Become familiar with what has already been done within the State. SAFETEA-LU requires States to have an SHSP that considers the results of State, regional, or local transportation and highway safety planning processes. For a more detailed description of the relationship between the SHSP and existing planning and programmy processes refer to Appendix B.
- ➤ Build a process based on components from existing State plans and programs such as:
 - o the State Section 402 Highway Safety Plan and Annual Performance (HSP)
 - o the annual Motor Carrier Safety Assistance Program (MCSAP) Conhercial Vehicle Safety Plan (CVSP)
 - the Traffic Records Coordinating Committee (TRCC) strategic plan for data improvement
 - o the Highway Safety Improvement Program (HSIP) (23 OR 924)
 - o the statewide and metropolitan long range transportation plans
- Study other States' SHSPs. How are they similar or how of they differ? Examples of existing Strategic/Comprehensive Highway Safety Plan, that were could before the SAFETEA-LU requirements are available on-line. Some links to describe plans are included within this guidance under the Links to Resources section in **Appendix** C.
- Examine previous challenges that have prevented or limited access in the ast.
- Review existing literature, such as the AASHTO Strategic Highway Safety Plan, and Integrated Safety Management Process (CHRP Report 501).

Gather Data

Data is a critical element in the development of artificative SHSP. The strength of the SHSP is in the State's ability to identify, analyze, priorities, and evaluate reliable data. SAFETEA-LU requires that as part of the SHSP the State shall have a place a crast data system with the ability to perform safety problem identification and of untermeasive analysis. SAFETEA-LU also requires that a part of the SHSP the State shall advance the capabilities of the State for traffic records data collection, analysis and integration with other sources of safety data (e.g. See traffic record systems, input from policesuch as citations, input from emergency service providers and highway maintenance workers, motor carrier data, transit data, the FRA investory of highy y-railroad grade crossings, sedical records, crash data research, road invenives, driver cords, etc.) tes should strive to improve the timeliness, accuracy, completeess, uniformly, integration and accessibility of the safety data needed to identify priorities for Feder State, regio and local sighway and traffic safety programs. States should not store be SHSP development process to wait for better data systems. States should use We best information availabe to determine the statewide safety priorities. Availability of complete and accurate coan data for appublic roads is a very important foundation to the successor an SHSP and may be a correct highway safety issue for many States. Some States may Sentify the need to upgrade improve, and standardize their traffic records information system as one other key ergolasis areas to ensure that future updates and changes to the SHSP The based on that is complete and accurate. In the future the States will be able to use the improved ta systems or data gathering and analysis and make revisions to the SHSP accordingly, as it is wated.

To advance the States' data gathering capabilities, each State should develop an active partnership with an existing Traffic Records Coordinating Committee (TRCC). If the State does not currently have a TRCC, one should be established. TRCCs are responsible for identifying data system enhancement strategies that can affect access to data, as well as its accuracy and timeliness. As part of 23 U.S.C. § 408, NHTSA provides grants to States with a TRCC anstrategic data improvement plan. Another opportunity available for States to assess their urrent data capabilities includes a Traffic Records Assessment conducted by NHTSA.

Analyze Data

SAFETEA-LU requires the States to develop an SHSP that analyzes and makes effective use of State, regional, or local crash data. States should carefully analyze the best available data to identify the critical highway safety problems and safety improvement opportunities for all public roads. Data may include, but should not be limited to, vehile, driver a dedestrian crash data, roadway and travel data, citation data, observational and prinion survey, behavioral risk factor surveys, medical data including hospital discharge and the statewick databases. Through the data analysis process, each State should identify its highest provity safety program areas (e.g., pedestrians, intersections, rural coads, roadway toparture, occupant protection, impaired driving, distracted driving, an aggressive coing). The MSP should identify and document all safety priorities whether behavioral, enforcement of frastructure for EMS related, and outline emphasis areas with grategies and counterme course to address these needs. This comprehensive approach may equire a movextensive and coordinated ta analysis. Previously, individual agencie analyzed of the data that was related their own specific program needs. Individual encies initiated safety projects independent of multipartner data input, problem analysis, and solution implementation. Now agencies should make decisions using a wider variety of data and wax to solve problems collectively.

The AASHTO Strategic toghway Safety Plan outlines 22 key emplosis areas organized into six plan elements: drivers special users, vehicles, hie ways, EMS, the management. These key emphasis areas can here as a starting point to evaluate State of a. States should also consider key emphasis areas unique to their specific highway safety hallenges, such as demographics (older and you ager driver facility trends), weather, rail, and work zones.

Establisoa Working Proup

To acilitate a consultative and comprehensice approach to safety, States have found it beneficial destablish a working group to guide the development of the SHSP. The working group consists of representatives from various agencies across the engineering, education, enforcement and EMS desciplines. SAF TEA-LU requires the State DOTs to develop an SHSP after consultation with:

- Highway safety representative of the governor of the State
- > Reconal transportation planning organizations and metropolitan planning organization of any
 - Representatives of major modes of transportation
 State and local traffic enforcement officials

- ➤ Persons responsible for administering 23 U.S.C. § 130 at the State level
- > Representatives conducting Operation Lifesaver
- > Representatives conducting a motor carrier safety program
- **➤** Motor Vehicle Administration agencies
- ➤ Other major State and local safety stakeholders

This SHSP Guidance defines consultation as: "Consultation means that one party confers with another identified party in accordance with an established process and, prior to taking action(s), considers that party's views." This definition is the same as the FHWA regulations applicable of Planning Assistance and Standards at 23 CFR § 450.104. According to this definition, there is a need to establish collaboration between the State transportation department and those safety partners described in SAFETEA-LU. It is up to each State to establish that the "consultation process" looks like. While the State DOT has responsibility for the development of the SHSP, consultation in good faith should be done with all major safety partners and stal holders. The essence of an SHSP is communication and shared responsibility for implementation. All of those partners should be included in the development and interplementation of the plan. The US DOT recommends the use of an expanded list of other safety partners estakeholders.

Appendix D identifies potential safety partners and stakeholders that yould be asked to participate.

The working group may build on existing continons and include safety at locates from State, regional, local and Federal government, academia, and the private sector. Members of this group should be identified for their level of expertise and commitment to highway safety. At a minimum, stakeholders described in 20 U.S.C. § 142(a)(6)(A) should be included Participants may be appointed by leadership or invited to participate by the champion, Although State DOT transportation planners were not specifically mentioned in Section 148 of AFETEA-LU, they should be involved along with the metroperatan and regional transportation planners. Likewise, given the high number of bighway fatalities and serious injuries that occur on non-State roads, local and regional against should be invited and accouraged to participate.

Some working steaps demonstrate their commitment to improving highway safety by developing a Carter \to facilitate communication between transportation professionals within each participating organization. The clotter briefly describes the common goal of improved highways afety and exphasizes the commitment to work as a team to achieve a shared vision. A charter reminds men bers of their dission and coals, emphasizes the importance of each participant's contribution, helps the group remain focused, can increase understanding and trust between agencies and organizations, and accilitates a change in paradigms of traditional working relationships.

Brin Safety Partners Together

The organizational structure of a State's agency and inter-agency working relationships are an important octor to consider when bringing safety partners together. Rather than create entirely new committees, the Gates should build upon existing relationships, interagency working groups, and committees. Many States currently have functioning transportation safety committees such as Standing Committees on Highway Traffic Safety, TRCCs, and

Transportation Safety Planning (TSP) Committees. If a transportation safety committee does not exist, start with a core group consisting of the State's Department of Transportation (DOT), Governor's Highway Safety Office (GHSO), Department of Motor Vehicles (DMV), Department of Public Safety (DPS), the Federal Highway Administration (FHWA) Division Office, and the National Highway Traffic Safety Administration (NHTSA) Regional Office. From there it may expand on the membership. At a minimum, the safety coalition should include those paginers outlined in 23 U.S.C. § 148(a)(6)(A). Regardless of how safety partners are initially cathered to create an organizational structure for the development and implementation of the SDSP, States should look for ways to expand on the membership to include non-traditional partners with the intent of creating an integrated committee.

States may convene a safety summit (or similar opportunity) to bring promers together. This could be a large initial meeting to kick off the development process. It is could be the initial convening of the working group. This is an opportunity to learn about each of the safety partner's priorities, what they can contribute, and recognize common goals. Outlicipants may be given the opportunity to describe their current safety programs and safety interests. This may advance into a discussion of critical safety issues and identification of liveraging opportunities. Further, the summit could be a forum to initiate the development of the SHSP and may help forge an agreement on how to proceed.

Safety Conscious Planning (SCP), now known as Transportation Safety Planning (TSP), was originally implemented to address the safety factor required in the transportation planting process. SCP forums integrate safety into the transportation planning process by Ex vating the emphasis on safety and creating dialogue on realistic action planting and problem solving strategies. If the State has previously conducted SCP Forums the participants from these forums could serve as a foundation in building an SKS working eroup.

Adopt a Strategic Goal

SAFETEA-LU receives that appart of an SHSP a State shall adopt strategic and performance grass that address traffic safety, including schavioral and infrastructure problems aper portunities on all public roads. The coals should focus resources on areas of greatest ness and should be coordinate with other State highway safety programs. A strategic goal is he essary because it is what the plan is intended to accomplish. The goal is something to strive for and compe progress to It is the overall goal that all of the activities within the plan and for which complementation plans convolute. Because SAFETEA-LU requires the SHSP consider the results of Stock, regional, Pocal transportation and highway safety planning processes the goals should be compatible and support one another. During the development process the State may and that some existing safety plans do not complement one another. The SHS will help multiple agencies work toward the same safety goal and the first step may be resignizing where and how string goals are incompatible. The coalition responsible for developing an implementing the SHSP should take this disconnect into consideration as individual gency plans re updated. The compatibility differences between goals and priorities can be econciled at the time. The SHSP is a venue for the safety partners to dialogue, coordinate, and up in a complementary manner.

A strategic goal can be developed by comparing the safety goals of participating agencies and agreeing on mutually acceptable goals. Another way is to review safety trends and forecast performance to identify a goal. Some State goals are linked to national goals, such as the joint AASHTO-DOT-GHSA-AAMVA safety goal to reduce the traffic fatality rate to 1.0 fatalities/HMVMT by 2008. A best practice in identifying reasonable and attainable goals of calculate reductions in fatalities using crash reduction factors. However this method is accurate after the State fully analyzes the safety data and the appropriate emphasis are and strategies are determined. The effectiveness of various countermeasures can be projected and the sum of lives saved based on these countermeasures can be translated into an overall goal. Strategic goals are longer-term goals that usually span an extended time period. The strategy goal in a State's SHSP should align with the strategic goals in the State's Mer safety plans. Strategic goals often include a fatality rate in combination with a timefone such as the joint safety goal. Some States may prefer to adopt a goal expressed with total number percentage reduction in highway fatalities and serious injuries in combination with a time me. An example would be "reduce statewide roadway fatalities by 10% by 2008" or ower high vay fatalities to no more than 400 fatalities per year by 2010" Priormance walls are shorter term goals that contribute toward achieving the strategic goal. More detail sout performance goals is provided in the "Identify Key Emphasis Area Performance Based Gods" section of page 9 of this guidance.

After the SHSP has been approved and implemented in the state, the strategic goals and objectives identified in the SHSP should be carried forward into the Statewide long transportation plan, should be used in the Statewide SETP project selection processing evaluating transportation project funding, and about inform the safety goals in the metropolitan transportation planning and programming decisions.

Identify Key Emphasis Areas

Based on the data analysis completed earlier in the process, each state should identify its key emphasis areas (e.g. occupant protection, pedestrians, intersections, roadway departure, impaired driving, data systems management process, distracted driving, aggressive driving, commercial proof vehicles LMS, motorcycles). Input from the representatives of the 4Es should reflect those areas that of or the greatest potential for reducing fatalities and injuries. The 4Es are defined as:

- Engine ing
- Education
- > Exporcement
- mergency Medical Service (EMS)

While each of the 4Es is equely important, highway safety professionals have long utilized engineering crucation, and enforcement approaches. EMS is the most recent addition and is often under tilized when identifying safety partners, emphasis areas, and strategies. One possibly reason for the is lack of understanding of the EMS role and the contribution EMS can make in a comprehensive and integrated approach to safety. To help safety professionals better understand the conefits of EMS, this section expands on EMS roles and activities.

EMS is a complex system with both soft side and hard side features and has the demonstrated ability to reduce injury-related morbidity and mortality. Once a crash occurs despite engineering, enforcement, and educational efforts, emergency medical services offer the best prospects for improved patient outcome.

Many State EMS systems have developed well-organized subsystems of trauma care in an effort to reduce injury-related morbidity and mortality. The planning and deployment of these systems are soft side approaches in themselves. They contain other vital soft side components such as training and credentialing of prehospital and hospital staff; trauma facility designation; medicac helicopter and ground ambulance command and control; patient assessment and treatment protocols; and performance information review and action processes for system improvement. The trauma subsystem has hard side components that include, for example, helicopiers, trauma facilities, ambulances and specialized rescue equipment, automatic crash notification systems, and data systems.

The SHSP is intended to unify the collective efforts of all organization by describing afety goals, directions, problems and solutions. The SHSP is an umbrella occument and may not have the level of detail that describes all safety activities. Emphasis and strategies in the SHSP are data driven needs. The number of key emphasis areas selected should represent a balance of resources and priorities. Typically States identify between our and eight key emphasis areas.

Form Task Groups

Many States form task groups for each emphasiturea, conduct urther analyses of State safety data, and develop action plans for each emphasis area that include details estrategies, countermeasures and performance based goals. States may use and both upon existing task groups that may already to addressing various emphasis areas. For example, if a State has identified seat belts at all emphasis area in the SUSP, a good plate to start in forming a task group could be the Grate's "Safe y Belt Coalition". Keep in proof that reducing highway fatalities and seriods injuries coall public roads is contingent upon a multi-agency collaborative effort so some times existing groups may have to expand their membership. The task groups are usually comprised of representatives from various agencies and each of the 4Es. The benefits of participating in the task groups are that representatives can influence what strategies are given the highest priority and how resources are allocated. Task group members should include technical species at knowledge able in the group's emphasis area and those whose safety program plans would be directly affected. It the recommendations made by the task group.

Identife Key Emphass Area Performance Based Goals

To task groups at specific prormance based goals for the key emphasis areas. Performance based goals are shorter-term goals that contribute toward achieving the strategic goal. These goals are important in evaluating the attainability of the State's strategic goal. They are needed to evaluate strategy/countermeasure effectiveness thus providing milestones and progress indicators throughout the important attainability of the State's strategic goal. They are needed to evaluate strategy/countermeasure effectiveness thus providing milestones and progress indicators throughout the important process. Performance based goals should be established with a specific time perford. Current practice for many States is to set their performance based goals at

yearly intervals measured over the life of the plan. Task groups should establish performance based goals related to current safety measures, conditions and activities to assess progress over the period of the SHSP. An example of a performance based goal would be "attain a 2% increase in seatbelt usage in the State each year to attain a 98% usage rate by 2008" or "reduction roadway departure fatalities each year and an overall reduction of 10% by 2010". All emphasis areas should have goals and it is desirable to have performance measures for each emphasis area strategy. Some emphasis areas such as data improvement may not be conducive to a soal that directly ties it to a number or rate. However, all emphasis areas, as well as strategy can have some type of goal to be achieved within a time period.

Identify Strategies and Countermeasures

SAFETEA-LU requires the State to develop an SHSP that describes a program of projects or strategies to reduce or eliminate safety hazards. It is acceptable for a State's SHSP to not be project specific, but instead describe strategies for addression safety hazards. The description of the program of projects will be provided in the State's HAD, MCSAP, HEIP and other state and local plans. This program of projects or strategies should also be redected in the crograms and plans of other local and State agencies.

It is also important to point out that SAFETEA our requires that as part of the SHSP the State shall identify opportunities for preventing the development of such hazardous conditions. An example of an opportunity could be introduced the potential for crashes. Another example could be a system wide improvement addressing a data supposed emphasis area. The SHSP should have a balance of both Crrective and preventative strategies based on the data analysis specific to that State. The data will also keep determine to what extent strategies should be system wide versus location specific. As strategies and countermeasures are identified to address key emphasis areas, the following questions should be addressed:

- What are the priorities for a particular emphasis area?
- What strategies and recources are available for a particular emphasis area?
- What stategies lend demselves to collaborative of orts and how might the SHSP levelage various assources each cartner brings to the table?
- hat proactive approaches in be taken to address potentially hazardous locations and features on system-wide asis?

AFETEA-LU requires the State to develop an SHSP that addresses engineering, management, operation education, colorcement, and emergency services elements (including integrated interoperator emergency communications) of highway safety as key factors in evaluating highway redjects. This is consistent with identifying key emphasis areas as developing trategies and countermeasures with input from representatives from the 4Es. Prowever, some emphasis areas may not have all of these factors overtly represented in the strategies and countermeasures. This will depend on the specific emphasis area and what the data hat revealed as the problem causation. However, all 4Es should be thoughtfully considered. If the safety partners involved in the decision making process represent the 4Es then the resulting stategies and contermeasures will have been determined with an integrated approach. Since all

4E safety partners are crucial to the success of the SHSP, a good cross section of representatives should be involved in developing the emphasis area strategies and countermeasures.

High priority should be given to those strategies that could significantly reduce highway fatalities and serious injuries in the key emphasis areas. Low-cost and proven countermeasures should also be given a high priority. For information on countermeasures and strategies consult the NCHRP 500 Series Guidance Documents, available at www.safety.transportation.org. Another valuable resource is a new guidebook developed by GHSA for NHTSA titled "Countermeasures that Work: A Highway Safety Countermeasure Guide for State Highway Safety Offices," available at

http://www.nhtsa.dot.gov/people/injury/airbags/Countermeasures/index.htm. This guida ook offers countermeasures for NHTSA's priority areas. There are also a variety of other publications that provide detailed countermeasure recommendations timed at very pecific safety problems. One example that targets specific types of road users yould be "Guidalines and Recommendations to Accommodate Older Drivers and Pedestrans," available at http://www.tfhrc.gov/humanfac/01105/cover.htm. Reducing the number of highway fat lities and serious injuries often requires continuing and/or strengthening current programs as well as, implementing new strategies. States are encouraged to develop their two measures of effectiveness in evaluating which strategies and countermeasures are best suited for their needs. Both strategies and countermeasures should be deasured and monitored for offectiveness and may continue to be fine-tuned as the implementation process unfolds.

Just as the key emphasis areas have performance goals the SHSP strategies should also be tied to performance measures and indicates that will allow the State of monitor the effectiveness of the strategies. Interim targets or minestones are deful tools in complying with the HSIP reporting requirements in SAFETEA-LU. In a finite targets are specific to conticular strategy or strategies so that crash reductions can be tacked to the effective specific to conticular strategy. An example of this is a target of 20% reduction of cross median faterities and serious injuries within 4 years. This parformance target supports a proader goal of reducing roadway departure fatalities and serious injuries. The resulting reduction of cross median crashes can be correlated with a strategy such as the installation of a median barrier system. The task groups also monitor short and long term successive to see that target goals are being achieved.

Determine Priorities or Implementation

See TEA-Le jequires that as part of the HSP the States shall determine priorities for the correction of hazardors road locations, sections, and elements (including railway-highway prossing improvements) as Gentified through crash data analysis. States should look at the crash data and determine where the fatalities and serious injuries are occurring and why states should find out when trends exist and look for where the crash happened; why and has the crash occurred; and the was involved. The purpose of a data driven process is to direct resources where they are most needed and have the greatest potential for impact. While SAFETEACLU places much emphasis on hazardous locations it is important to recognize that the State may identify say priorities that are system-wide or programmatic in nature. A close look at the data could ray all an over representation of fatalities and serious injuries relating to things such as:

- > Specific locations or corridors;
- Characteristics such as: age group (older drivers), behavioral safety problems (safety belt usage, alcohol, aggressive driving), vulnerable road users (pedestrians, bicycles, motorcycles), special vehicles (motorcycles, commercial vehicles);
- System-wide or programmatic safety problems that point to a statewide need to improve particular infrastructure deficiencies;
- Serious crash types such as speeding related, run off the road, intersection, raingrade crossing, and work zone;
- > Time, day, week, or month.

A variety of strategies and countermeasures for each key emphasis area should be consorred when identifying priorities for implementation. This prioritization should include the behavioral, infrastructure, and other safety strategies and countermeasures identified in the process of developing emphasis area performance goals and targets. The provides should onsider proactive, as well as, reactive measures to address current and otential hazards on all public roads. SAFETEA-LU requires the State to develop an SASP that considers the safety needs of, and high fatality segments of, public roads. SAFETEA-16 requires 10 State, as part of the crash data analysis, to identify hazardous locations, and adements (including roadside obstacles, railway-highway-rossing needs and unmarked or poor marked roads) that constitute a danger to moorists (including motorcyclists), bicyclists, pedestrians, and other highway users. SAFETEA-LU quires the state to, based on the analysis from the crash data system, establish the relative severity of those locations, in terms of accidents, injuries, deaths, conffic volume evels, and other relevant that a. At a minimum, factors/criteria to consider in setting program and project priorities sould be based on: the potential reduction in the number highway fatalities are serious injuries on all public roads; the costs of projects and programs and the resources available; and ther criteria as determined by the working group.

Write the SHSP

The structure of the plan and the nature of its content will stat to emerge during the SHSP development stocess. While the State DOT is ultimately accountable for the development and implementation of the SESP, all safety cartners are expected to implement the plan. Multiagency implementation should be considered as the strategies are developed and the plan is written. Consultation with all safety partners will make the written plan more comprehensive are will also increase the ability for statewise and multi-agency implementation. Overall, the SHSP should clearly and concisely describe the State's safety problem and describe a program of priorities or strategies to brevent, reduce or eliminate hazardous conditions. The SHSP is intended to describe the safety priorities in the State and offer strategic solutions. A State does not have to include a list of projects in the actual SHSP. The SHSP should describe how the strategies will be implement of inrough other plans and how the projects will be programmed.

The SHSP evelopment process, implementation, and evaluation are the driving forces behind the State successfully deeting its safety goals. Documentation of the SHSP development, implementation, and evaluation process is recommended. It is important for States to think though how the plan will be implemented and evaluated as the plan is being developed. For this

reason, it is recommended that some documentation or explanation of the process be included in the SHSP. The SHSP is a living document and is expected to evolve over time. Turnover with team members is inevitable and many who were not directly involved in the development process will read and use the SHSP. An explanation of the development process will document the process and inform those who were not as closely involved, and new team members.

A number of States have included the following information in their SHSP: a listing of safety partners; mission, vision and goal statements; key emphasis areas and background information on challenges and past or on-going efforts; performance goals and measures; implementation strategies and processes; and evaluation processes and analyses. SHSPs should be considered dynamic documents and the goals, strategies and countermeasures may be adjusted based on monitoring the achievement of performance goals. Because of its dynamic nature, the SHSP should be written in a format that will allow it to be updated easily and SHSP tempate is provided in **Appendix E** as an example of how these elements could be incorporated into an SHSP. Many strategic and/or comprehensive highway safety of ans were developed prior to the new SAFETEA-LU requirements. Example plans that currently exist are wailable on-line. Links to these plans can be found in the Links to Resources section of the guidance in **Appendix C** and on the web at www.safety.transportation.org.

superseded superseded

APPROVAL

SAFETEA-LU requires that the SHSP be approved by the Governor of the State or a responsible State agency. Approval at the Governor's level is appropriate in most States, as this highlights the comprehensive nature of the SHSP and high level commitment to implementation.

As part of FHWA's oversight and stewardship responsibilities, FHWA Division Aministrators will ensure that the State has followed a process that is consistent with the requirements outlied in 23 U.S.C. § 148. This guidance document has incorporated all of these requirements as well as best practices to assist in this compliance.

To facilitate a State's transition to the new core Highway Safety Ingrovement Program, States should keep the FHWA Division Office fully involved and inforced during the development and implementation of the SHSP. Upon receipt of a State-approved SHSP, the ICWA Division Office will determine if SAFETEA-LU requirements have been fulfilled through evaluating the process used to develop the plan. This evaluation will include consulting with and considering the views of the other Federal DOT field offices (NETSA, FMCSA) TA, and FEA). Ultimately, FHWA Division Administrators will make a formal collaration to the State as to whether the process the State used to develop at SHSP is in compliance with SAFETEA-IO. FHWA acceptance of the process used to develop and implement an SHCP is required in order to be eligible to obligate funds in accordance with 23 U.S. § 148 (HSIP) eligible activates and in order to exercise new flexibility option?

States with an existing SHSP should work with Heir respective Division Office to evaluate the SHSP and the development process to ensure that both meet the intent of the requirements listed in SAFETEA-LU. If the State's SHSP process does not ally meet the requirements, a State should revise the SHSP and/or process accordingly to ulfill the intert of and comply with SAFETEA-LU. State that are currently developing an SHSP may need to make modifications to their SHSP development process to comply with SAFETEA LU requirements.

SAFETEA-LO requires States to develop and implement an SHSP by October 1, 2006 in order to chigate funds for Section 143 (HSIP) eligible activities. States that have SHSPs that meet the equirement of SAFETEA-LU may obligate funds for Section 148 eligible activities.

SAFETEA-LE requires that until a State Gevelops and implements an SHSP, the State may only obligate Section 145 runds for projects that were previously eligible under Sections 130 and 152. Thus in the absence of an approved SHSP, the provisions of Sections 130 and 152, as well 32 CFR 924 still apply in obligations of Section 148 funds.

State has no developed coapproved SHSP by October 1, 2007 (fiscal year 2008), the State's HSIP apportunents will be held at the fiscal year 2007 amounts for all subsequent years until an SHSP is developed and approved. In addition, a State will not be eligible to use up to 10% of its HSIN tunds for calls' safety projects that would be allowed under Section 148.

IMPLEMENTING THE SHSP

After developing and approving the SHSP, the real work begins: implementation. As essential as the collaborative process is in developing an SHSP, it is critical for the collaborative process s supersede to be sustained and expanded in the implementation phase. The SHSP is intended to provide a guiding direction for all of the State's safety partners in addressing key highway safety safety safety and aligning highway safety efforts. Attention to the SHSP should not end after the otial development phase. Follow through in implementing the SHSP will make the real-inference and impact in the State's fatality rates and whether the state will meet its safety goals.

Implementing the SHSP Through Existing Safety Plans

A multitude of funding sources should be used to implement both the infrastructure and behavioral strategies and programs agreed upon in the SHSP, including funding sources associated with FMSCA, NHTSA, and FHWA. Safety project are eligible of NHS, STE and IM funding. The strategies and projects included in the actual Motor Carrier Safety Acceptance Program (MCSAP) Commercial Vehicle Safety Plan (CVSP) (per 49 CR 350); the Sate Section 402 Highway Safety Plan and Annual Performance Plan (HSF) (per 23 CF 1200); and the Highway Safety Improvement Program (HSIP) per 23 CFR 24); and methoditan and statewide long range transportation plans shouled considered and appropriately included referenced in implementing a State's SHSP

As the implementation process of the SPSP evolves of the collaborative efforts the working group become institutionalized, the commendations from the SSP should incluence the priorities in the above mentioned plans. The SLEP is not intended to replace these plans. The benefit of the over-arching nature of the SHO's that it is for result of a collaborative effort. Current safety plans and processes like these mentioned in this section will remain stand-alone planning documents for string safety programs.

Implementation of the SHSP good beyond Federal grant programs and planning processes. Each safety partner involved agrees that the emphasis areas and strategies outlined in the SHSP are the best way that hey can collectively reduce fatalities and scrious injuries. Each agency whether it is the DOT the GHSO of a private organization should have a plan for safety or a plan that includes safety elements. Safety parners should implement the SHSP to the extent of their institutional capabilities. The pricities set for in the SHSP and detailed in the emphasis area Con plans should guide the safety related ctivities in individual safety partners' plans.

As the safety partners more forward wan the implementation process and in determining funding priorities the following should be considered:

- Where does the important of the activity fall in relation to what the data shows?
- Is the fanding appropriate for the level of need?
- What vill happen if the activity is scaled down or eliminated?
- Md scaling two or eliminating the activity create a safety problem?

Implementing the SHSP Through Action Plans

A best practice for implementing the SHSP is through action plans. Many States have developed action plans based on the emphasis areas outlined in their SHSP by expanding on the supportion data and strategies. Details in action plans describe the why, what, how, when, where, and tho. Action plans also provide specifics such as performance measures, funding, and may also contain some project level detail. The plans also include evaluation criteria for assessing the success of the implemented safety strategies. Ideally each emphasis area in the SHSP could be supplemented with an action plan.

Action plans should be developed with multi-agency involvement. One particular agency may take a lead. For instance, if the GHSO or OHS prepares the annual Highway Safety Plan for the State they would take the lead on emphasis areas such as alcohol or secupant protection. The Highway Safety Plan can sometimes serve as an implementation than for these seets. The DOT may take the lead on the roadway departure action plan, and should seek input from other safety partners to develop integrated projects and strategies.

SAFETEA-LU requires that as part of an SHSP a State shall establish and implement a schedule of highway safety improvement projects for hazard prevention. This schedule of projects should be derived from the emphasis oreas or strategies in the SHSP and funded under Section 148. As a minimum, the State's collectule should acclude all projects to be funded under Section 148. States may wish to include safety projects from other funding sources and other resource commitments as well. Other safety projects may be eligible under separate plans such as the HSP and MCSAP.

As the SHSP and action plans are being implemented it is important to recognize the need for agencies and organizations to coordinate coorts and provide reinforcements to each other's efforts. For example, if to SHSP identifies a need foostatewide troning related to intersection safety and roadway disparture countermeasures, some and local recordinate with programs such as the Local Technical Assistance Program (LTAP) to ensure this type of safety training is made available. In addition to training, LTAP's information clearinghouse, technology updates, and technical assistance on safety related programs may be customized to address the State's specify safety prior ses.

Linking the SHSP with the Trassportation Nanning Process

An SHSP shares similar good with the transportation planning process: to increase State and local decition makers' awareness of sacty needs, to improve the effectiveness of planning and programming through the use of accorate and timely data, and to expand the participation of major state and local stakeholder. State DOTs and MPOs should consider safety as a factor in the transportation planning process. Both SHSP and TSP take a comprehensive approach to safety that includes engineering, education, enforcement and EMS. Both need a broad coalition of safety and planning partners to succeed. Incorporating the appropriate elements of the SHSP throughout the stages of the transportation planning process should give the SHSPs higher visibility and greats understanding among stakeholders, elected and appointed officials, and the public. It ensures that the appropriate SHSP initiatives are incorporated into the planning and

policy documents of State DOTs and MPOs (i.e. transportation plans and corridor plans), into the program of projects in the Transportation Improvement Programs/Statewide Transportation Improvement Programs (TIPs/STIPs), and are eligible for Federal-aid transportation funding.

SAFETEA-LU requires that the State develop a SHSP that is consistent with the requirements of Section 135(g). A program of infrastructure projects, or specific infrastructure projects, that directly support and implement the SHSP shall be included in the STIP of Federal-aid transportation funding eligibility. The projects that are intended to be included in the STIP should meet all requirements of 23 U.S.C. 135 (g) Statewide Transportation Improvement Program. The STIP should include a specific description of how the contents of the STIP sheet the priorities and goals in the SHSP. By the time these projects (or program of projects) are included in the STIP, the following requirements shall be met:

- 1) Includes all federally funded projects, including all capital and none upital projects, and all regionally significant transportation projects equiring Federal approval or permits
- 2) Developed in consultation with affected non-metropolitational official and with Indian tribal governments
- 3) Provides interested parties with a reasonable opportunity for comment
- 4) Consistent with the Statewide Transfortation Plan
- 5) Fiscal constraint

MPOs will continue to develop strategies to incorporate safety in their transportation planning process and TIP development. The NPO's safety goals should redress regional afety issues, but the results of the MPO safety planning process should, as appropriate, be consistent with and reflect the goals and objectives of the State's MSP process.

It is important to note, he ever, that the transportation planning process (i.e. transportation plan, TIP, and STIP) applies only to Federal-aid highway and transit programs. Other plans such as the CVSP and the LCP remain stand-alone planning document. As previously mentioned, SHSPs should be coordinated out these plans as well. For amore detailed description of the relationship between the SHSP and existing planning and programming processes refer to **Appendix 8.**

The SHSP can be it plemented though existing safety plans, action plans, and through the transportation conning proces. All safety of there should implement the SHSP to the extent that each agency or organication is capable. Implementation can occur at all levels of government from state throcal to tribat. Consider how safety partners can include SHSP strategies as they integrate safety a divities into their own organizational plans. Implementation by all safety partners will make a triping the SHSP goals a reality.

EVALUATING AND UPDATING THE SHSP

To facilitate better decision-making regarding allocation of resources and to track progress and 6 determine the impacts of various strategies over time, it is important for States to establish an evaluation process and to plan to revisit their Strategic Highway Safety Plan on a regular wis. The working group should meet periodically to review the SHSP, examine progress toward goals, and suggest changes or modifications if needed. The leadership of participation safety partners should be briefed periodically on the activities of the working group, ef the plan, and recommendations for modifications.

SAFETEA-LU requires States to evaluate the plan on a regular basical ensure the Safety Improvement Program (HSIP) reporting requirements (23 U.S.C. § 148(g)) each State shall submit an HSIP report to the FHWA Division Administrate and an account of the Highway of this yearly reporting requirement, it is expected that after a initial implementation period States will evaluate the SHSP on an annual basis to ensure the accuracy of the data, priority of proposed improvements and effectiveness of the projects and plan. The evaluation should not be limited to just HSIP related projects and strategies but as a best vactice should include all projects and strategies regardless of the funding source or agency esponsible for the implementation.

SAFETEA-LU requires States to use the evaluation in setting prior les for highway safety improvement project. The performence-based dements in the SASP should help States determine the effective of highway afety improvement projects in reducing the number of highway fatalities and serious injurie on all public bads. The findings resulting from the evaluation process shall be incorporated a basic source data when resisting priorities included in the SHSP. This will help deternine how eromasis areas at strategies will be revised.

SAFETEA-LU receires each State to establish an evaluation process to analyze and assess results achieved by highway afety improvement projects carried out in accordance with procedures and criteria established in 23 U.S.C. § 1487 Evaluation of the SHSP should include a process of determining the effect the highway safety improvement projects have in reducing the number of fatalities and serious of juries, including:

- The cost the safety sountermeasure implemented, and the safety benefits resulting from the countermasures
- Accord of crash experience hoore and after the implementation of a strategy comparison of crash numors, rates, and severity observed after the implementation of a strategy with the crash tumbers, rates, and severity expected had the strategy not been implemented.

SUPERPA As the State regularly recommendates the effectiveness of countermeasures and strategis, and monitor progress in accomplishing goals, the State will need to determine if any elements of the Stop should be updated or revised. The SHSP should be revised periodically, maps every for to five years, so that the plan reflects updated safety goals and priorities in the State. An update of an SHSP is also a way to renew the momentum, coordination and State. An update of an SHSP is also a way to renew the momentum, cooperation needed to continue to achieve reductions in highway fatalities and serious injuries on the state of the serious injuries all public roads. When an SHSP is revised, it should follow a process consistent with the requirements outlined in this SHSP Guidance, consistent with SAFETEA-LU.

APPENDIX A: SHSP Legislative Compilation

23 U.S.C. § 148 Requirements

The purpose of this legislative compilation is to offer an easy quick reference. The major safety features of the bill as it relates to the State Strategic Highway Safety Plan (SHSP) are as few ways.

SECTION 148(a) Definition

SAFETEA-LU requires State DOTs to develop and implement a strategic highway safety plants (SHSP) *after* consultation with:

- Highway safety representative of the governor of the State
- Regional transportation planning organization and metroperan planning organizations, if any
- Representatives of major modes of transportation
- State and local traffic enforcement officials
- Persons responsible for administering Section at the State Teve.
- Representatives conducting Operation Lifesaver
- Representatives conducting a motor carrier safety program
- Motor Vehicle Administration agencie?
- Other major State and local safety **Reholders

By definition an SHSP:

- Analyzes and makes use State, regional or local such data.
- Addresses engineering, management operation, pucation, enforcement, and emergency medical services (EMS) elements (Leduding integrated, interoperable emergency communications) of nighway safety as key factors in evaluating highway safety projects.
- Considers safety needs of, and high facility segments of, public roads.
- Considers the results of State, regional, or local transportation and highway safety planting processes.
- Foscribes a program of projects or strategies to reduce or eliminate safety hazards.
 - As approved by the Governor of the State or a responsible State Agency.
- Is consisted with the reprirements of Section 135(g).

ECTION 1460) Eligibilit

To obligate funds apportuned under Section 104(b)(5) [Highway Safety Improvement Program] a Start Hall have in effect a State Lighway Safety Improvement Program under which the State:

- Decrops and imprements a State strategic highway safety plan that identifies and abelyzes highway safety problems and opportunities. This plan should be evaluated on a regular vasis to ensure the accuracy of the data and the priority of the proposed improvements. As part of the State SHSP, a State shall:
 - Have in place a crash data system with the ability to perform safety problem teentification and countermeasure analysis.

- Based on the above analysis:
 - o Identify hazardous locations, sections and elements (including roadside obstacles, railway-highway crossing needs, and unmarked or poorly marked roads) that constitute a danger to motorists (including motorcyclists), bicyclists, pedestrians and other highway users,
 - O Using such criteria as the State deems appropriate, establish the relative severity of those locations, in terms of accidents, injuries, deaths, write volume levels, and other relevant data,
- Adopt strategic and performance based goals that:
 - o Address traffic safety, including behavioral and infrastructure problems opportunities on all public roads,
 - o Focus resources on areas of greatest need,
 - o Coordinate with other State highway safety programs.
- Advance State capabilities for traffic records data offection, and sis, and integration with other sources of safety data (such as road involucies) in a manner that:
 - Complements the State Highway Safety Plan and the commercial Phicle safety plan;
 - o Includes all public roads;
 - o Identifies hazardous location sections, and elements on a blic roads the constitute a danger to motor sts (includin motorcycles), bicyclists pedestrians, and other behway users and
 - o Includes a means of centifying the elative severity of hazardors locations described in terms of accidents figures, deaths, and traffic volume levels;
- Determine priorities for the collection of hard dous road locations, sections, and elements (including rail vay-highway crossing improvements), as identified through crassicata analysis.
 - o Identic opportunities for preventice the development of such hazardous contrions; and
 - o etablish and implement a schedule of high ay safety improvement projects for hazard correction and hazard prevention; and
- Establish revaluation process to analyze and assess results achieved by highway safety improvement projects carried out in accordance with projectes and orderia established by this section; and
 - o the information in setting priorities for highway safety improvement projects.

A State styll evaluate the plan on a regular basis to ensure the accuracy of the data and priority of proposed improvements.

SECTION 148(e) Flexible funding for States with a Strategic Highway Safety Plan

To further the implementation of a State strategic highway safety plan, a State may use up to 10 percent of the amount of funds apportioned under the Highway Safety Improvement Program a fiscal year to carry out safety projects under any other Section as provided in the SHSP if the State certifies that:

- o It has met needs in the State relating to the rail highway grade crossings; and
- It has met the State's infrastructure safety needs relating to high ay safety improvement projects.

Nothing in the requirements for the SHSP requires a State to revise any State process, plans program in effect on the date of enactment of this Section.

SAFETEA-LU Section 1401(e) Requirements

Interim Period:

An approved plan is to be completed by Octobe 2, 2006. Until a State develops and implements an SHSP, States may obligate funds under Sections 148 for pojects that the eligible for funding under Sections 130 and 152 of that title.

If a State has not developed a strategie highway safety plan by October 1, 2007, the State shall receive for the highway safety improvement program for each subsequent fiscal year until the date of development of such plan an amount that equals the amount appear and to the State for that program for fiscal year 2007.

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APPENDIX B: The Relationship Between Strategic Highway Safety Plans (SHSP) and Existing Planning and Programming Processes

Developing an SHSP calls for a comprehensive, collaborative, and data driven approach to highway safety that brings together all appropriate safety stakeholders in the State to work together towards a common highway safety goal. To effectively develop and implement the strategies outlined in an SHSP, it is important to understand this new SHSP requirement and its link to the transportation planning and programming processes. Statewide Transportation Plans metropolitan transportation plans, Transportation Improvement Programs (TIP), Statewide Transportation Improvement Programs (STIP), as well as the highway safety improvement program (HSIP), motor carrier safety assistance program (MCSAP) comportial vehicle safety plans (CVSP) and highway safety plans (HSP) and other State and local plans are all critical to the success of an SHSP and vice-versa, as is the developmental process involved. Perparing them. The links between these programs and plans are necessary to the success of the States in advancing their safety agenda. This appendix explains the real lonships between the various programs and plans. More specifically, this appendix explains what they share in corporation, and what States should consider as they satisfy all of the various safety are transportation planning requirements as they relate to the SHSP.

The Planning Process

Long- Range Statewide Transportation lans

The statewide transportation plan is the product of the planning socess whereby the States, in consultation with local officials, identify transportation goals objectives and needs for the next 20 years, as well as a plan of recommendations, policies are strategies for accommodating those needs. This plan is based on the vision and goals for the system, identified future needs, and policies, solutions and strategies to address those needs. The safets of the transportation system should be one of those goals, and is a required factor to be addressed within the scope of the statewide transportation planning process. Depicted along six the statewide transportation plan box in **Figure 1** on page 27 is the metropolitan transportation plan.

Metropolitan Transportation Plans

Metropolitan transfertation plans present a medi-modal set of capital, operational, and systems prinagement stategies for the transportation system within an urbanized area with population greater then 50,000. A State should coordinate planning needs in metropolitan areas with statewide transportation planning, as with statewide planning activities. The scope of metropolitan transportation planning, as with statewide planning, should consider safety as a factor with safety goals addressing regional safety issues. But, at the same time, the safety aspects of metropolitan transportation should be consistent with the goals and objectives from the State's SHSP. The double-arrow between the metropolitan transportation plans box and the SHSP box in **Figure 1** on page 27 depicts this relation to p. Transportation planners at Metropolitan Planning Organizations are an integral part of the SHSP process. It is important that MPO planners participate in the SHSP

process, to help facilitate the seamless integration of the transportation planning and safety planning processes. Additionally, States are required to consult with representatives of the major modes of transportation in preparing the SHSP. The metropolitan, as well as statewide, planning processes are appropriate venues for doing this consultation.

Because SAFETEA-LU requires that safety be considered as a separate, stand-alone planning factor in the transportation planning process at both the MPO and State level. State and metropolitan planners should, through extensive public involvement, develop strategies to incorporate safety in their transportation planning process.

Strategic Highway Safety Plans

The SHSP is a statewide safety plan that involves a collaborative and comprehens a approach that provides a framework for advancing all of the State's safety strivities. It is strategic planning document that identifies goals and objectives the State will pursue of improve the safety of the transportation system, and that are consistent with extrall statewide long-range transportation plan goals. While the SHSP is initially being developed safety partner should consider the safety goals identified in the statewide transportation plan and the metropolitan transportation plans. After the SHSP is developed and approved the goals and objectives of the SHSP should be reflected in the next updated stoewide transportation plan to assure full integration of safety goals as a component of the transportation plan. The safety goals of the metropolitan transportation plans should also reflect the scals and objectives of the SASP. The double-arrow in **Figure 1** on page 27 from the SHSP to the statewide plan and to the metropolitan long-range transportation plans shows his relation tip.

States may also choose to develop action plans in selected imphasis" are sidentified in the SHSP. These supplemental plans would provide further detail on strangles that lead to projects in the HSIP, MCSAP, HO, and other State and local plans.

Other State Plans

The State will also have other plans relating to infrastructure improvements. While these other plans are not directly related to the SHAD, they are also influenced by the statewide transportation plant box in **Figure** on page 27. Infrastructure improvements identified in these plans (in this core freight and take/pedestriate used as examples) may have safety elements. Ideally, these safety elements will have been integrated as a result of a strategy in an SHSP action plan. This relationship is depicted in **Figure 1** on page 27 by the two-way arrow from the SHSP low to the Other State Plans DX.

The SHSP and Celated Safe Programs

The SHSP intended to provide guidance for all of the State's safety partners in addressing critical highway safety essues and to align their highway safety efforts. SAFETEA-LU calls for States to undertake Stensive consultation with interested parties, including MPOs and revesentatives the major modes, in preparing the SHSP. Goals and objectives identified in the

SHSP will be reflected in the State Highway Safety Improvement Program, FMCSA's Motor Carrier Safety Assistance Program (MCSAP), and NHTSA's Highway Safety Plan (HSP), and other safety plans. The two-way arrows from the SHSP box, as shown in **Figure 1** on page 27, the boxes representing these plans show this relationship. Each of these plans has their own safety provisions and eligibility criteria. Given the comprehensive nature of the SHSP and the requirement for the SHSP to address all 4 E's: engineering, education, enforcement, and emergency medical services; a State should use multiple funding sources and maximize flexibilities to support SHSP activities.

Infrastructure related safety projects described in the HSIP should be founded from strategic in the SHSP. There could also be instances where data analysis points to specific locations features, or operations that need to be immediately addressed in a State HSIP. The double-arrow between the SHSP box and the HSIP box in **Figure 1** on page 7 not only represents this HSIP requirement, but also demonstrates that the goals in the HSIP are consisted with the SHSP. The HSIP yields a list of infrastructure safety projects that feeds into the STIP. These projects are funded through the HSIP, a stand-alone core Esteral-aid highway safety program.

The CVSP and the HSP share a relationship with the SHSP that is stitular to the HSIP, although these programs are funded differently. Safety projects relating to ommercial pictor vehicles are funded through the CVSP by grant. Other safety projects such as those relating to the behavioral aspect of transportation safety are funded through the HSP also by grant. These non-infrastructure related safety projects do not have to feed the TIPs or STIPs. However, they are still vital to improving safety in the statewide transportation system. Many of these projects are also products of the strategies described in the SHSP and contribute to the goals of the SHSP as well as the statewide transportation plan.

The Programming Process

TIPs and STIPs

The metropolitan transportation plan is carried out through the TIP, as shown in **Figure 1** on page 27. The TIP is the programming document for the detropolitan area, and identifies the projects and lunding to be implemented to reach the vision for the metropolitan area's transportation system and services. It represents a commitment of the projects and programs that will be implemented in the metropolitan area using local, State, and Federal-aid funds.

Safety should be one of the Major factors and in selecting and prioritizing projects from the transportation plan to be beluded in the TIP. Projects from the TIP are incorporated into the STIP trace programmes for funding

For most categores of transportation projects, FHWA/FTA funds cannot be used unless the project is included on a fiscally-constrained TIP/STIP. Reasonably available or committed revenue sources shall be identified to match the estimated costs of the strategies included in the TIP/STIP. In air quality maintenance and non-attainment areas, the TIP/STIP and long-range plan shall also demonstrate conformity with the regions' air quality implementation plan. It is

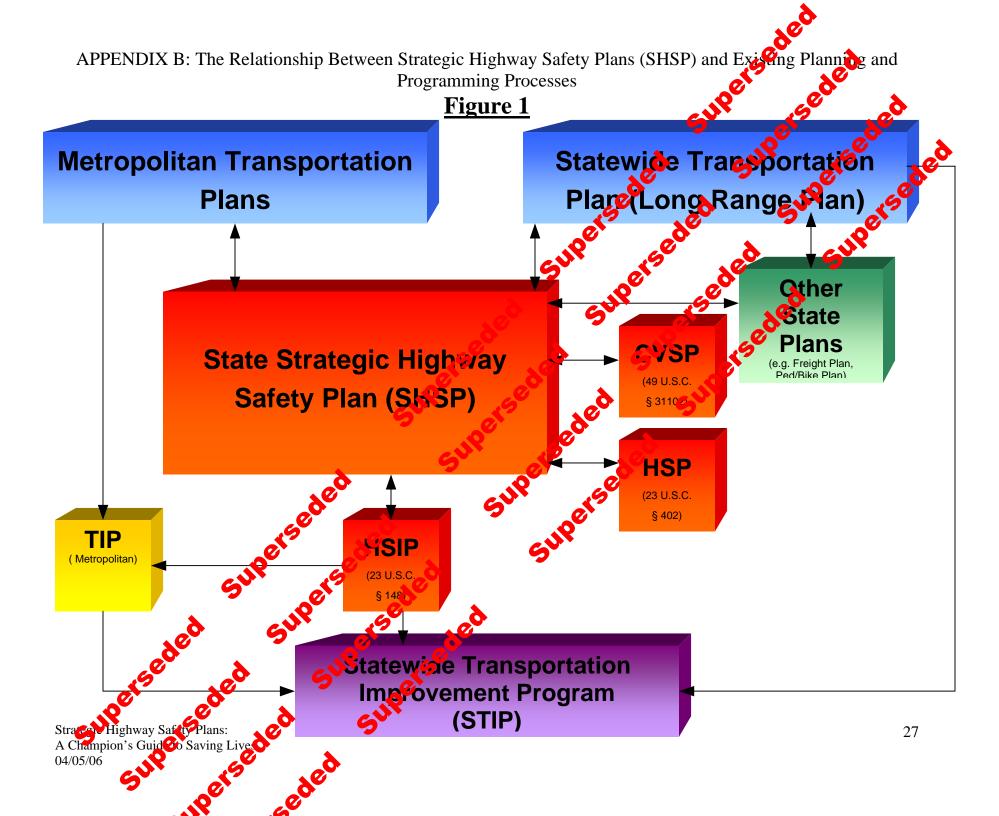
advisable to coordinate with transportation planners to ensure that all factors and requirements are considered and addressed to include appropriate projects and strategies in the TIP/STIP.

The STIP is the programming document for the State, and identifies the projects and funding to be implemented to reach the vision for the State's transportation system and services. It represents a commitment of the projects and programs that will be implemented throughout the State using Federal-aid transportation and transit funding. As depicted in Figure 1 on page 27, the TIP flows directly into the STIP, but the STIP also contains projects from other ources, including non-metropolitan areas of the State. Safety related infrastructure improvement projects come from several sources, but the majority will be identified in the HSIP's list of projects this is depicted with an arrow from the HSIP box to the STIP box. Infrastructure related safety projects in the HSIP, which are funded through the HSIP list of projects should originate from strategies in the SHSP. Other safety and non-safety related infrastructure improvement projects come from the statewide long range transportation plan and the other plans that feed into it, as shown in Figure 1 on page 27.

Summary

In summary, the new requirement for an SHSP will need to be accomplished is coordination with other State and metropolitan transportation banning and programming processes and consultation with a broad range of stakeholder interests. The SHSP is a statewide safety plan that provides a comprehensive framework for all safety related activities in a State. The SHSP is a strategic planning document that identities goals an objectives. These safety coals, objectives, and program of projects of strategies, developed in consultation with a variety of safety partners, influence decisions made concerning transportation safety resources throughout the State. Through the SHSP and in coordination with existing safety planning and programming processes, transportation patters will together improvement safety of the entire statewide transportation water.

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APPENDIX C: Links to Resources

American Association of State Highway transportation Officials (AASHTO) http://safety.transportation.org/

American Association of State Highway transportation Officials (AASHTO) "Self Assessment Tool" http://safety.transportation.org/assessment.aspx

American Association of State Highway transportation Officials (AASHTO) "Elements of a Safety Plan" http://safety.transportation.org/elements.aspx

Federal Highway Administration – Office of Safety, http://safety.fhwa@t.gov/

Federal Highway Administration – Office of Safety, "HSIP Maryal" http://www.fhwa.dot.gov/tfhrc/safety/pubs/81218/intro.htm

Federal Highway Administration - "Considering Safety In the Transportation Planning Process' http://tmip.fhwa.dot.gov/clearinghouse/docs/safety/

Federal Highway Administration/Federal Transportation - "Transportation Planning Capacity Building" http://www.planning.doj.ov/

Federal Highway Administration - "Projective Approach to Safety Planning" (Artile) http://www.tfhrc.gov/pubrds/03mee/2.htm

National Highway Traffic Safety Administration - "Countemeasures that Work: A Highway Safety Countermeasure Guide for State Highway Safety Offices" http://www.nhtsa.dot.gov/People/injury/airbags/Countermeasures/idex.htm

Federal Railroad Administration "Secretary's Action Plan Anghway-Rail Crossing Safety and Trespass Prevertion, June 2000 http://www.fra.dot.gov/downloads/safety/action_plan_2004.pdf

Federal Transit Administration – TRIS Patabase http://trisonline.bts.gov

Institute of Transportation Engineers, ITE, "To Traffic Safety Toolbox" http://www.ite.org/

National Cooperative Highway Research Yogram (NCHRP) Report 500 "Implementing AASHT Strategic Highway Safety San" http://safety.transportation.org/guides.aspx

National Cooperative Highway Rearch Program (NCHRP) Report 501 "Integrated Safety Management Process" http://co.org/publications/nchrp/nchrp rpt 501.pdf

National Coperative Hophway Research Program (NCHRP) Report 500 Project 17-18 "Guidalce for Implantation of the AASHTO Strategic Highway Safety Plan" https://www4.trb.co/trb/crp.nsf/

National Cooperative Highway Research Program (NCHRP) Report 546 "Incorporating Safety into Long-Range Transportation Planning"

http://www4.trb.org/trb/crp.nsf/All+Projects/NCHRP+8-44

Federal Highway Administration - "Highway Design Handbook for Older Drivers and Pedestrians" http://www.tfhrc.gov/humanfac/01103/coverfront.htm

Federal Highway Administration - "Guidelines and Recommendations to Accommodate Older Drivers and Pedestrians" http://ww.tfhrc.gov/humanfac/01105/cover.htm

Local Technical Assistance Program (LTAP) and the Tribal Technical Assistance Program (TTAP) http://www.ltapt2.org/about/program.htm

JUPET DUT Some examples of currently existing Strategic and/or Concrehensive available on-line:

(These plans were developed prior to SAFETEA-1 **P**eguiremen

http://utca.eng.ua.edu/project@inal_report.04404fnl.p Alabama Florida http://www.dot.state.fl.us/\arety/TransSat/\text{ng/strategicplando}

http://www.dot.state.ga.\(\frac{1}{2}\)/dot/operate Georgia

design/Documents/PNF/SAPIntro of

http://www.dot.state.il.us/illinois/HSP/pdf/illinoischsp.pdf Illinois

http://www.iowasms.org/stratelic_highway_afety_planeraft.htm Iowa Maine http://www.themtsc.org/Carbook/mtsc_satabook_com/ete.pdf http://www.sha.state.md.us/safety/oog/strategichwe/han.asp Maryland http://www.michigan.gov/document/MI CHSP 10103 7.pdf Michigan Minnesota www.dot.state.mn.us/trafficeng/safety/cop/index.html

://www.saz-molives.com/pdf/Missouri& OBlueprint% 20for%20 Missouri

r%20R dways.pdf

.dot.state.nrxs/safety/chspa.html New York

ww.doh.do North \subseteq

Carolina

preconstruct/traffic/safety/reports/Current www.danaot.state.nc

cts/Excorgstructur@df

dot.state.obus/roadwaysafety/PDF_Files/DraftCHSP.PDF

ww.oregon w/ODOT/TS/tsap.shtml#Download_the_2004 TSAP Here

http://www.tdot.ote.tn.us/Chief Engineer/assistant engineer

perations/ng/Menance/IncidentManagement/TNStrategicHwySafetyPlan.pdf

http://www.wsdot.wa.gov/biz/trafficoperations/pdf/targetzero.pdf

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APPENDIX D: Potential Safety Partners and Stakeholders

SAFETEA-LU requires State DOT's to develop and implement an SHSP after consultation with a variety of safety partners. At a minimum, a State will be required to consult with the agencies and organizations outlined in section 148(a)6(A) of SAFETEA-LU. Below is an example st of public and private safety stakeholders, including many non-traditional, that are potential partners in the development and implementation of a State's SHSP. This list includes representatives from the engineering, education, enforcement, and emergency medical services communities.

American Association of Retired People

American Automobile Association

American Traffic Safety Services Association

Associated General Contractors

Attorney General's Office

Beer Wholesalers

Broadcasters Association

Chiefs of Police Association

City Commissioners Association

City Engineers

City-County Alcohol & Drug Program

Coalition for Children

Commerce Commission

Cooperatives Association

Council of Governments

County Engineers Association

County Governments

County Highway Association

County Highway Superingendents Association

Drug Abuse Resistan Education

Driver and Safety Eucation Association

Driver Licensin Motor Vehice Administration

Education Devartment

Emergency Medical Service Directors

Emergency Response Agencies

Federal Highway Aministration

Exeral Motor Strier Safety Aministration

Federal Railroad Administration

Governor Highway Savry Office

Governo's Office

Healin Department

Hahway Patrol

Human Services Department

Indian Heath Services

Institut of Transport on Engineers

Instrunce Companies

Insurance Institute for Nighway Safety

Law Enforcement Training Division

Local Law Enforcement

Local Transportion Assistant Program

Metropolitar Nanning Or Mizations

Mothers Against Drunk Oriving

Moto Arriers Association

Motorcycle Riders Clubs

Motorcycle Safey Foundation

Municipal Governments

Municipal League

Municipal League Portic Works

National Associate of County Engineers

Mional Association of Townships

National Higgway Traffic Safety Administration

National Mety Council

Native American Advicacy Project

Office of Highway Safety

Ceration Lifester

Police Chief Association

Public Sacry Department

Public Works Association

Regional Planning Organizations

Retail Liquor Dealers Association

Retailers Association

Revenue and Regulation Department

Road and Transportation Builders Association

Safe Kids Coalition

Safety Council

Secretary of State

Sheriffs' Association

Social Services Department

State Legislators

Students Against Destructive Decisions

Toll Highway Authority

Tourism and State Development Department

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Towns & Townships Association Township Highways Commissioners Transit Authority Transportation Management Associations Transportation Department Trucking Association Turnpike Authority Unified Judicial System Universities University Alcohol/Drug Program Urban Indian Health

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APPENDIX E: SHSP Template

Mission: The mission statement defines the purpose of the plan, what it does, and what it is all about. The mission statement usually does not change and sets the culture of the organization.

Vision: A vision statement describes what you are striving for.

Goal: The overall goal of the SHSP. What do you hope to achieve in a specific mount of time? This can and often evolves.

INTRODUCTION

Background

Discuss the current condition of the State with respect to road ay fatalities. Discuss the surpose of the plan and how the plan will help make a difference statality and closh rates. The section should also describe the purpose of the document and the expected on come of implementing the plan

Partners

List the agencies that were consulted in two development of the SHSP and are crucio in achieving the SHSP goals. The following list included the safety partners listed in SAFETEA-LU. It is expected that States will critical a variety of additional takeholders.

(List Orymizations only)

Highway Safety Representative Of The Governor Ool he State
Regional Transportation Planting Organizations
Metropolitan Planting Organizations
Representatives Of Major Modes Of Transportation
Stay And Local Traffic Enforcement Officials
resons Responsible For Administering Section 130 At The State Level
Representatives Conducting Operation Lifesaver
Representatives Conducting A Motor Carrier Safety Program
Motor Vehicle Administration Agencies
Other Major State And Local Safety Stakeholders

Development Process

Discuss the process as a record whow the plan was created. The SHSP development process is very bit as important as the atual SHSP report. There will be many activities that lead to the SHSP report. It might be difficult to determine whether the required processes were followed just by recaing the SHSP. For this reason, it is recommended that some documentation or explanation of the process be included in the SHSP. The SHSP is a living document and is explicit to evolve over time.

Turnover with team members is inevitable and it should also be expected that many who were not directly involved in the development process will read and use the SHSP. Including some explanation of the development process will document the process and inform those who were not as closely involved as well as being useful to new team members. A section on the development process or reference to documentation will also help approving officials.

Data Analysis

Discuss how the data was gathered and analyzed. Include any concerns about the quality and reliability of data, assumptions, and data improvement needs.

Emphasis Areas

Introduce how you identified these emphasis areas and why addressing them is Mal in achieving the goal. The list only serves as a quick reference and introduction to the body and structure of the plan.

List Emphasis Areas (5 to 7)

(For each emphasis area provide the following structure, wally about the page per emphasis area)

EMPHASIS AREA NAME

Background:

The background for the ophasis area should be a body explanation as to why this was important enough to charess in the SHSP. In this excition you should provide the fatality data and show trends that deconstrate that this is a data driven need. This is a good section to fit in graphics such at Par charts operaphs.

Objective

What is the goal for his emphasione?. (i.e. Induce roadway departure fatalities by x% by 2(X)

Performance Measures

When heasures will be used to meditor the objective (i.e. roadway departure fatalities)?

Strategies:

List generally the strategies that will be performed. These strategies should include actions that can be performed by the 4Es, if appropriate. Each strategy should include a performance measure. These strategies will be carried over as action plans are created for each emphasis area. Further information about what, how, when, where, and who of safety activities will be included in the action plans. Action plans can also provide specifics such as various funding sources for safety activities and may also contain some project level detail, responsible agencies, and timeframes for safety activities.

IMPLEMENTATION PROCESS

Discuss how the group plans to implement the SHSP. Include schedule of projects r how you will get to a schedule of projects (i.e. action plan).

EVALUATION PROCESS

What will the steps be in the evaluation process? How often is it expected that the group will meet? Is someone responsible to monitor the numbers throughout the year? When will revision strategies be made? How will the SHSP evaluation affect future projects unded through the HSIP, HSP, and CVSP? How will the project evaluations in these programs affect the SHSP?

NEXT STEPS

Discuss what will come next. This will help kee momentum in the process and make it clear who needs to do what. What are the responsibilities of the artners, what vill each of them do with the plan? Remember, this is a living a cument!

REFERENCES

This section could list other plans that were referenced in a development of the SHSP such as the State's HSP, HSP or CSP. This section could list be action plans that provide more detail on the emphasis areas described in this plan. The SHSP is a strategic planning document and is intended to be concise. Action plans, expand on the information in the SHSP and are much more detailed. Action plans are based on the emphasis areas outlined in the SHSP and expand on the supporting date and strategies. These details would describe the what, how, when, where, and who. Action plans can also provide specifics such as funding and may also contain some project level detail. They may also include exclusion criteria for assessing the success of the implemented safety strategies. Ideally each emphasis area in the SHSP should be supplemented with action plan. SAFETEA Requires each State to establish and implement a schedule of his way safety to provement of ojects and strategies for hazard correction and hazard prevention. Yet section could help fulfill that requirement as it related to HSIP.

GLOSSARY

Some States has found it helpful to include a glossary of terms used in the SHSP.

APPENDIX F: Glossary

Codes and Regulations:

23 U.S.C. § 130: Railway Highway Crossings

23 U.S.C. § 135(g)*: Statewide Transportation Improvement Program

23 U.S.C. § 148*: Highway Safety Improvement Program

23 U.S.C. § 402: Highway Safety Programs

23 U.S.C. § 408*: State Traffic Safety Information System Improvement Grad

23 CFR 924: Highway Safety Improvement Program

23 CFR 1200: Uniform Procedures for State Highway Safety Programs 49 CFR 350: Commercial Motor Carrier Safety Assistance Program

Acronyms:

4Es: Engineering, Education, Enforcement, Onergency Merical Services

AAMVA: American Association of Motor Variet Administrators

AASHTO: American Association of State Highway Transportation Officials

CEO: Chief Executive Officer
CFR: Code of Federal Regulation
CVSP: Commercial Vehicle Safety Plan
DOT: Department of Transportation
FHWA: Federal Highway Administration

FMCSA: Federal Motor Corier Safety Coministration

FRA: Federal Rail Administration
FTA: Federal Transit Administration
GHSA: Governors' Highway Suety Asso

GHSA:

HMVMT:

Hundred Million Vehicle Miles Traveled
HSIP:

HSP:

MCSAP:

MPO:

Governors' Highway Safety Associction
Hundred Million Vehicle Miles Traveled
Highway Safety Improvement Program
Highway Safety Plan (Section 402)
Motor Carrier Safety Assistance Program
Metrop Gtan Planning Organization

NHTSA: National Highway Traffic Safety Administration

SAFETEALU: See, Accountage, Flexible, Efficient Transportation Equity Act: A

Degacy for Users

SCOHTS: AASHTQ Standing Committee on Highway Traffic Safety

SAFETY Conscious Playing
SHSP: Strangic Highway Safety Plan
SMC: Safety Management Committees

STIP Statewide Trosportation Improvement Program

TIPOT Transport on Improvement Program
TracC: Traffic ecords Coordinating Committee

Transportation Safety Planning

U.S.C.: Lited States Code

Definitions:

AASHTO Self Assessment Tool: The Assessment Tool is designed to assist agencies involved with highway safety in judging how they might better focus or redirect their safety activities to have more of an impact in efforts to reduce the number of fatalities and injuries resulting from traffic crashes.

AASHTO Strategic Highway Safety Plan: The Strategic Highway Safety Plan is hiffies 22 key emphasis areas that affect highway safety and focuses attention on selected strategies. If implemented, they can significantly reduce highway deaths and injuries.

Collaborative: To work jointly with public (State, Local, and Federal) are private safety stakeholders in the development and implementation of the State's SHSP.

Commercial Vehicle Safety Plan: The document outlining the State's commercial motor vehicle safety objectives, strategies, activities and performance measures.

Comprehensive: The SHSP emphasis areas consider, as appropriate trategies in 4Es.

Consultation: One party confers with another in thified party in accordance with an established process and, prior to taking action(s), consider that party's liews and party dically informs that party about action(s) taken.

Countermeasure Analysis: A process with the about to identify and analyze are effectiveness of selected safety countermeasures.

Crash Data System: Each State maintain and database that contains confirmention about people, vehicles, and conditions recorded in Prope Accident peopres (PARs). Information will vary from State to State because each State has different data collection and reporting standards.

Data Driven: Careful analysis of the best available data identify critical highway safety problems and safety improvement opportunities for each State on all public roads.

Emphasis Area: Coportunity areas to improve safety identified through a data-driven process for the State's **253**P.

Highway Safety Plan (Siction 402): Exerribes activities to achieve goals and performance measure to improve highway safety in the State as established in the State's performance plan.

Institutionalize To incorposition a structured way of doing business.

Long Range Transportation Plan: A document resulting from regional or statewide collaboration and consensus on a region or State's transportation system, and serving as the defining vision for the region's or State's transportation systems and services. In metropolitan areas, the plan indicates all of the transportation improvements scheduled for funding over the next 20 years.

Motor Carrier Safety Assistance Program: A Federal grant program that provides chancial assistance to States to reduce the number of hazardous materials incidents involving commercial motor vehicles. The goal of the MCSAP is to reduce commercial motor vehicle involved crasks, fatalities and injuries through consistent, uniform and effective commercial motor vehicles wety programs. Investing grant monies in appropriate safety programs will increase the likely mod that safety defects, driver deficiencies and unsafe motor carrier practices will be detected and corrected before they become contributing factors in crashes.

Problem Identification: The discovery of where, when, how and why crashes occur. Also of major importance is the identification of the causes of crashes and collisions.

Safety Conscious Planning: SCP implies a proactive approach to the prevention of accidents and unsafe transportation conditions by establishing inherently said transportation networks. School achieves road safety improvements through small quantum changes, targete out the whole onetwork. The short-term objective is to integrate safety considerations into the transportation planning processes at all levels, specifically the Statewisk Transportation Improvement Programs (STIP) and the Transportation improvement Programs (TIP) developed by the State Departments of Transportation (DOT) and Metropolitan Planning Organization (MPOs) respectively. This step should be followed by consideration of Cafety objectives in the longer range, 20 year plans that the State DOTs and VPOs are regarded to prepare and update periodically.

Safety Management Vstem: A systematic proces that has the coal of reducing the number and severity of transportation related accidents by ensuring that all opportunities to improve safety are identified, considered and implemented as appropriate.

State Highway Safety Leprovement Pogram: Projects or strategies included in the State strategic lighway safety plan carried out as part of the State transportation improvement program.

Statewide Transportation Emprovement Program: A staged, multi-year, statewide, multi-modal program of transportation projects, consistent with the statewide transportation plan and planning processes as well as metropolitan plans, TIPs, and processes.

Scategic: The can is strate of in that elements are included only if they are considered important enough to affect goal achievement.

Strates C Highway Servy Plan: Under 23 U.S.C. § 148, State DOT's are required, after constration with public and private safety stakeholders, to develop and implement a Strategic Highway Safety Tan (SHSP). The purpose of an SHSP is to identify critical highway safety

problems and opportunities within the State. The SHSP provides a comprehensive framework for reducing highway fatalities and serious injuries, enabling the State to make data driven strategic investment decisions.

Transportation Improvement Program: A staged, multi-year, multimodal program of transportation projects, developed and formally adopted by an MPO as part of the metropolitan transportation planning process that is consistent with the metropolitan transportation and

Traffic Records Assessment: The NHTSA National Driver Register and Traffic Records Teach manages the Traffic Records Assessment process. It is a technical assistance tool that NHTSA/FHWA/FMCSA offer to State offices of highway safety to allow management a review the traffic records program. Their support of this process includes the comation of assessment teams representing people from throughout the United States who have extensive cowledge about traffic safety data systems. These teams spend a week interviewing the valous stakeholders, data managers, and users within a State. A configuration assessment is prepared of how well the State data systems meet the guidelines contains in the Traffic Records System Advisory, the State's traffic records strengths and accomprishments are doted, and stogestions where improvements can be made are offered.

Transportation Safety Planning: A broad term elated to the existing transportation planting process, safety conscious planning and the new SHSP.

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